

Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No. 1545-0052

2007

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2007, or tax year beginning

, 2007, and ending

, 20

G Check all that apply: ☐ Initial return ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation Rockefeller Brothers Fund, Inc.		A Employer identification number 13-1760106
	Number and street (or P.O. box number if mail is not delivered to street address) Room/suite 437 Madison Avenue		B Telephone number (see page 10 of the instructions) (212) 812-4200
	City or town, state, and ZIP code New York, New York 10022		C If exemption application is pending, check here <input type="checkbox"/>
			D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation			E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 983,499,647			F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received (attach schedule)	160,500			
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments	2,235,183	2,235,183		
4 Dividends and interest from securities	10,947,561	24,076,702		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	99,496,378			
b Gross sales price for all assets on line 6a	998,464,845			
7 Capital gain net income (from Part IV, line 2)		112,869,730		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less: Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	337,260	(4,747,364)		
12 Total. Add lines 1 through 11	113,176,882	134,434,251	0	
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc.	1,166,763	63,355	0	1,103,408
14 Other employee salaries and wages	2,622,157	147,530		2,550,627
15 Pension plans, employee benefits	737,001	27,883		1,293,569
16a Legal fees (attach schedule)	427,492	82,608		296,031
b Accounting fees (attach schedule)	118,080	60,330		44,420
c Other professional fees (attach schedule)	6,543,342	4,799,891		1,828,039
17 Interest				
18 Taxes (attach schedule) (see page 14 of the instructions)	2,369,733			
19 Depreciation (attach schedule) and depletion	1,421,007	44,038		
20 Occupancy	1,466,057	385,404		1,116,507
21 Travel, conferences, and meetings	1,014,276	12,005		999,119
22 Printing and publications	312,294			321,306
23 Other expenses (attach schedule)	1,325,224	86,139		1,142,141
24 Total operating and administrative expenses. Add lines 13 through 23	19,523,426	5,709,183	0	10,695,167
25 Contributions, gifts, grants paid	28,493,246			30,182,343
26 Total expenses and disbursements. Add lines 24 and 25	48,016,672	5,709,183	0	40,877,510
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	65,160,210			
b Net investment income (if negative, enter -0-)		128,725,068		
c Adjusted net income (if negative, enter -0-)			0	

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

Cat. No. 11289X

Form 990-PF (2007)

- If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II and check this box ☐
- Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.
- If you are filing for an Automatic 3-Month Extension, complete only Part I (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. You must file original and one copy.

Type or print File by the extended due date for filing the return. See instructions.	Name of Exempt Organization	Employer identification number
	THE ROCKEFELLER BROTHERS FUND, INC.	13-1760106
	Number, street, and room or suite no. If a P.O. box, see instructions.	For IRS use only
	437 MADISON AVENUE, 37th FLOOR	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	NEW YORK, NY 10022	

Check type of return to be filed (File a separate application for each return):

<input type="checkbox"/> Form 990	<input checked="" type="checkbox"/> Form 990-PF	<input type="checkbox"/> Form 1041-A	<input type="checkbox"/> Form 6069
<input type="checkbox"/> Form 990-BL	<input type="checkbox"/> Form 990-T (sec. 401(a) or 408(a) trust)	<input type="checkbox"/> Form 4720	<input type="checkbox"/> Form 8870
<input type="checkbox"/> Form 990-EZ	<input type="checkbox"/> Form 990-T (trust other than above)	<input type="checkbox"/> Form 5227	

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ☒ Leah D. Angelo
Telephone No. ☐ (212) 812-4228 FAX No. ☐
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐ . If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 4 I request an additional 3-month extension of time until 11/17/2008
- 5 For calendar year , or other tax year beginning and ending
- 6 If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period
- 7 State in detail why you need the extension INFORMATION NECESSARY TO PREPARE A COMPLETE AND ACCURATE RETURN IS NOT YET AVAILABLE.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$2,240,000.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$2,240,000.
c Balance Due. Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ☒ [Signature] Title ☒ Authorized Agent Date ☒ 7/25/08

Form 8868 (Rev. 4-2008)

KPMG LLP ATTN:

345 PARK AVENUE

NEW YORK, NY 10154

Form **8868**

Rev. April 2007)

Department of the Treasury
Internal Revenue Service**Application for Extension of Time To File an
Exempt Organization Return**

OMB No. 1545-1709

► File a separate application for each return.

- If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box ☐
- If you are filing for an Additional (not automatic) 3-Month Extension, complete only Part II (on page 2 of this form).
Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

Section 501(c) corporations required to file Form 990-T and requesting an automatic 6-month extension - check this box ☒

and complete Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for section 501(c) corporations required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Type or print	Name of Exempt Organization	Employer identification number
	ROCKEFELLER BROTHERS FUND, INC.	13-1760106
	Number, street, and room or suite no. If a P.O. box, see instructions.	
	437 MADISON AVE., 37TH FLOOR	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	NEW YORK, NY 10022	

Check type of return to be filed (file a separate application for each return):

- | | | |
|---|---|------------------------------------|
| <input type="checkbox"/> Form 990 | <input type="checkbox"/> Form 990-T (corporation) | <input type="checkbox"/> Form 4720 |
| <input type="checkbox"/> Form 990-BL | <input type="checkbox"/> Form 990-T (sec. 401(a) or 408(a) trust) | <input type="checkbox"/> Form 5227 |
| <input type="checkbox"/> Form 990-EZ | <input type="checkbox"/> Form 990-T (trust other than above) | <input type="checkbox"/> Form 6069 |
| <input checked="" type="checkbox"/> Form 990-PF | <input type="checkbox"/> Form 1041-A | <input type="checkbox"/> Form 8870 |

• The books are in the care of ► GERALDINE WATSON

Telephone No. ► 212-812-4230

FAX No. ► _____

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ . If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover.

1 I request an automatic 3-month (6 months for a section 501(c) corporation required to file Form 990-T) extension of time until 8/15, 2008, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

- ☒ calendar year 2007 or
- ☐ tax year beginning _____, _____, and ending _____, _____

2 If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$2,284,340
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$2,194,340
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$90,000.00

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form 8868 (Rev. 4-2007)

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	320,892	262,299	262,299
	2 Savings and temporary cash investments	30,529,027	66,878,998	66,878,998
	3 Accounts receivable ▶ 32,961,981			
	Less: allowance for doubtful accounts ▶	3,104,145	32,961,981	32,961,981
	4 Pledges receivable ▶ 13,539,872			
	Less: allowance for doubtful accounts ▶	13,539,872	13,539,872	13,539,872
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges 355,228		1,065,516	1,065,516
	10a Investments—U.S. and state government obligations (attach schedule) Schedule 9 28,568,615		21,116,867	21,116,867
	b Investments—corporate stock (attach schedule) Schedule 9 478,508,506		429,155,839	429,155,839
	c Investments—corporate bonds (attach schedule) Schedule 9 44,126,991		25,656,559	25,656,559
	11 Investments—land, buildings, and equipment: basis ▶ 510,000			
Liabilities	Less: accumulated depreciation (attach schedule) ▶ Schedule 10 510,000		510,000	510,000
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule) Schedule 11 310,894,647		385,141,918	385,141,918
	14 Land, buildings, and equipment: basis ▶ 22,375,943			
	Less: accumulated depreciation (attach schedule) ▶ Schedule 12 16,651,145		6,520,313	5,724,798
	15 Other assets (describe ▶ Schedule 13) 1,605,000		1,485,000	1,485,000
	16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	918,583,236	983,499,647	983,499,647
	17 Accounts payable and accrued expenses	4,911,950	4,820,744	
	18 Grants payable	20,898,598	19,207,939	
	19 Deferred revenue			
Net Assets or Fund Balances	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ Schedule 14 Deferred Taxes) 3,954,623		3,995,770	
	23 Total liabilities (add lines 17 through 22)	29,765,171	28,024,453	
	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	870,915,119	937,003,793	
	25 Temporarily restricted	10,007,946	10,576,401	
	26 Permanently restricted	7,895,000	7,895,000	
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see page 17 of the instructions)	888,818,065	955,475,194	
	31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	918,583,236	983,499,647	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	888,818,065
2 Enter amount from Part I, line 27a	2	65,160,210
3 Other increases not included in line 2 (itemize) ▶ Schedule 15	3	1,496,919
4 Add lines 1, 2, and 3	4	955,475,194
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	955,475,194

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	112,869,730
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2006	36,930,163	815,963,975	4.53%
2005	33,914,557	744,329,891	4.56%
2004	33,403,835	687,274,753	4.86%
2003	28,256,440	605,008,217	4.67%
2002	33,261,759	589,423,735	5.64%

2 Total of line 1, column (d)	2	24.26%
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	4.85%
4 Enter the net value of noncharitable-use assets for 2007 from Part X, line 5	4	922,756,652
5 Multiply line 4 by line 3	5	44,772,153
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,287,251
7 Add lines 5 and 6	7	46,059,404
8 Enter qualifying distributions from Part XII, line 4	8	41,088,800

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling letter: (attach copy of ruling letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	2,574,501	
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2		
3	Add lines 1 and 2	3	2,574,501	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	2,574,501	
6	Credits/Payments:			
a	2007 estimated tax payments and 2006 overpayment credited to 2007	6a	2,150,000	
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c	90,000	
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7	2,240,000	
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	334,501	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	0	
11	Enter the amount of line 10 to be: Credited to 2008 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
1a				✓
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		✓
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.				
c	Did the foundation file Form 1120-POL for this year?	1c	N/A	
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ (2) On foundation managers. ▶ \$			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		✓
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		✓
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	✓	
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b	✓	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		✓
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	✓	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	7	✓	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ California, New York			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	✓	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2007 or the taxable year beginning in 2007 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	9		✓
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		✓

Part VII-A Statements Regarding Activities (continued)

11a	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. (see page 20 of the instructions)	11a		✓
b	If "Yes," did the foundation have a binding written contract in effect on August 17, 2006, covering the interest, rehts, royalties, and annuities described in the attachment for line 11a?	11b		✓
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract?	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	✓	
Website address ▶ <u>www.RBF.org</u>				
14	The books are in care of ▶ <u>Leah D'Angelo</u>	Telephone no.	▶ <u>212-812-4228</u>	
	Located at ▶ <u>437 Madison Avenue, New York, New York</u>	ZIP+4	▶ <u>10,022</u>	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ <u>15</u>			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	1b	✓
Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2007?	1c	✓
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2007, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2007? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If "Yes," list the years ▶ 20 , 20 , 20 , 20		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 22 of the instructions.)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20 , 20 , 20 , 20		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2007 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2007.)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	✓
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2007?	4b	✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☒ Yes ☐ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☒ Yes ☐ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☒ Yes ☐ No
If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If you answered "Yes" to 6b, also file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

5b		✓
6b		✓
7b		N/A

Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 23 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Schedule 17		1,166,762	266,815	10,559

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
William S. Moody 437 Madison Avenue, New York, N.Y. 10022	Program Dir. - 35 hrs. <input checked="" type="checkbox"/>	147,411	45,415	1,910
Charles Granquist 437 Madison Avenue, New York, N.Y. 10022	Program Dir. - 35 hrs. <input checked="" type="checkbox"/>	185,804	55,344	none
Michael N. Northrop 437 Madison Avenue, New York, N.Y. 10022	Program Dir. - 35 hrs. <input checked="" type="checkbox"/>	167,159	50,837	3,360
Shenyu Belsky 437 Madison Avenue, New York, N.Y. 10022	Program Director - 35 hrs <input checked="" type="checkbox"/>	123,111	27,156	2,876
Ben Rodriguez-Cubenas 437 Madison Avenue, New York, N.Y. 10022	Program Director - 35 hrs <input checked="" type="checkbox"/>	154,132	33,744	none
Total number of other employees paid over \$50,000				26

Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Greenrock Corporation 30 Rockefeller Plaza, New York, N.Y. 10112	Facilities Maintenance	1,054,619
Silchester International Investors 780 Third Avenue, 42nd Fl., New York, N.Y. 10017	Investment Management	690,468
Frontier Capital Management 99 Summer Street, Boston, MA 02110	Investment Management	506,828
Iridian Asset Management 276 Post Road West, Westport, CT 06880	Investment Management	606,540
Paterson, Belknap, Webb & Tyler 1133 Avenue of the Americas, New York, NY 10036	Investment Management	410,044
Total number of others receiving over \$50,000 for professional services		11

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 See Schedule 18 - Pocantico Historic Area	3,090,676
2 See Schedule 18 - Assistance to Charitable Organizations	484,744
3 See Schedule 18 - Fellowships for Students of Color Entering the Teaching Profession	811,592
4 See Schedule 18 - Pocantico Conference Center	267,524

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 None	0
2	
3 All other program-related investments. See page 24 of the instructions.	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	935,809,579
b	Average of monthly cash balances	1b	999,205
c	Fair market value of all other assets (see page 25 of the instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	936,808,784
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	936,808,784
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)	4	14,052,132
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	922,756,652
6	Minimum investment return. Enter 5% of line 5	6	46,137,833

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	46,137,833
2a	Tax on investment income for 2007 from Part VI, line 5	2a	2,574,501
b	Income tax for 2007. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	2,574,501
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	43,563,332
4	Recoveries of amounts treated as qualifying distributions	4	120,075
5	Add lines 3 and 4	5	43,683,407
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	43,683,407

Part XII Qualifying Distributions (see page 26 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	40,877,510
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	211,290
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	41,088,800
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	41,088,800

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2006	(c) 2006	(d) 2007
1 Distributable amount for 2007 from Part XI, line 7				43,683,407
2 Undistributed income, if any, as of the end of 2006:				
a Enter amount for 2006 only				
b Total for prior years: 20 <u>20</u> , 20 <u> </u> , 20 <u> </u>				
3 Excess distributions carryover, if any, to 2007:				
a From 2002	2,951,675			
b From 2003				
c From 2004	99,557			
d From 2005				
e From 2006				
f Total of lines 3a through e	3,051,232			
4 Qualifying distributions for 2007 from Part XII, line 4: ► \$ <u>41,088,800</u>				
a Applied to 2006, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see page 27 of the instructions)				
c Treated as distributions out of corpus (Election required—see page 27 of the instructions)				
d Applied to 2007 distributable amount				41,088,800
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2007 (If an amount appears in column (d), the same amount must be shown in column (a).)	2,594,607			2,594,607
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	456,625			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see page 27 of the instructions		0		
e Undistributed income for 2006. Subtract line 4a from line 2a. Taxable amount—see page 27 of the instructions			0	
f Undistributed income for 2007. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2008				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2002 not applied on line 5 or line 7 (see page 27 of the instructions)	357,068			
9 Excess distributions carryover to 2008. Subtract lines 7 and 8 from line 6a	99,557			
10 Analysis of line 9:				
a Excess from 2003				
b Excess from 2004	99,557			
c Excess from 2005				
d Excess from 2006				
e Excess from 2007				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2007, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2007	(b) 2006	(c) 2005	(d) 2004	
N/A	N/A	N/A	N/A	N/A
N/A	N/A	N/A	N/A	N/A
N/A	N/A	N/A		N/A
				N/A
N/A	N/A	N/A	N/A	N/A

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test—enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test—enter % of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test—enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see page 28 of the instructions.)

- 1 Information Regarding Foundation Managers:**
- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number of the person to whom applications should be addressed:

SEE SCHEDULE 19

- b** The form in which applications should be submitted and information and materials they should include:

SEE SCHEDULE 19

- c** Any submission deadlines:

SEE SCHEDULE 19

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE SCHEDULE 19

Part IV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE SCHEDULE 20				30,182,343
Total			▶ 3a	30,182,343
b Approved for future payment SEE SCHEDULE 20				19,207,940
Total			▶ 3b	19,207,940

Enter gross amounts unless otherwise indicated.

Schedule M-1 Analysis of Income-Producing Activities				
Unrelated business income		Excluded by section 512, 513, or 514		(e)
(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See page 28 of the instructions.)
Enter gross amounts unless otherwise indicated.				
1 Program service revenue:				
a				
b				
c				
d				
e				
f				
g Fees and contracts from government agencies				
2 Membership dues and assessments				
3 Interest on savings and temporary cash investments				
		14	2,235,183	
4 Dividends and interest from securities				
		14	10,947,561	
5 Net rental income or (loss) from real estate:				
a Debt-financed property				
b Not debt-financed property				
6 Net rental income or (loss) from personal property				
525990	(503,571)	14	527,742	
7 Other investment income				
525990	303,655	18	99,192,723	
8 Gain or (loss) from sales of assets other than inventory				
9 Net income or (loss) from special events				
10 Gross profit or (loss) from sales of inventory				
11 Other revenue: a <u>Income From Subleases</u>				
		16	313,089	
b				
c				
d				
e				
12 Subtotal. Add columns (b), (d), and (e)				
	(199,916)		113,216,298	0
13 Total. Add line 12, columns (b), (d), and (e)				
				13 113,016,382

(See worksheet in line 13 instructions on page 29 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVI Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | | |
|----------|--|-------|-----|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| (1) | Cash | 1a(1) | | ✓ |
| (2) | Other assets | 1a(2) | | ✓ |
| b | Other transactions: | | | |
| (1) | Sales of assets to a noncharitable exempt organization | 1b(1) | | ✓ |
| (2) | Purchases of assets from a noncharitable exempt organization | 1b(2) | | ✓ |
| (3) | Rental of facilities, equipment, or other assets | 1b(3) | | ✓ |
| (4) | Reimbursement arrangements | 1b(4) | | ✓ |
| (5) | Loans or loan guarantees | 1b(5) | | ✓ |
| (6) | Performance of services or membership or fundraising solicitations | 1b(6) | | ✓ |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | ✓ |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

11.5.08
Date

President
Title

Sign Here

Paid		Preparer's Use Only	
1	2	3	4
5	6	7	8
9	10	11	12
13	14	15	16
17	18	19	20
21	22	23	24
25	26	27	28
29	30	31	32
33	34	35	36
37	38	39	40
41	42	43	44
45	46	47	48
49	50	51	52
53	54	55	56
57	58	59	60
61	62	63	64
65	66	67	68
69	70	71	72
73	74	75	76
77	78	79	80
81	82	83	84
85	86	87	88
89	90	91	92
93	94	95	96
97	98	99	100

Preparer's
signature

▶ *Flu Thru*

Date _____

11-4-08

Check if self-employed ☐

Preparer's SSN or PTIN
(See Signature on page 30 of the instructions.)

P00636769

Firm's name (or yours if self-employed), address, and ZIP code

▶ KPMG LLP
345 PARK Avenue New York NY 10154

EIN ▶ 13-556-5207

Phone no. (212) 758-9700

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

Supplementary Information for
line 1 of Form 990, 990-EZ, and 990-PF (see instructions)

OMB No. 1545-0047

2007

Name of organization

Rockefeller Brothers Fund, Inc

Employer identification number

13 : 1760106

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**. (Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule—see instructions.)

General Rule—

- ☒ For organizations filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. (Complete Parts I and II.)

Special Rules—

- ☐ For a section 501(c)(3) organization filing Form 990, or Form 990-EZ, that met the 33⅓% support test of the regulations under sections 509(a)(1)/170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of \$5,000 or 2% of the amount on line 1 of these forms. (Complete Parts I and II.)
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, aggregate contributions or bequests of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. (Complete Parts I, II, and III.)
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, some contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. (If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the Parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year.) ▶ \$ _____

Caution: Organizations that are not covered by the General Rule and/or the Special Rules do not file Schedule B (Form 990, 990-EZ, or 990-PF), but they must check the box in the heading of their Form 990, Form 990-EZ, or on line 2 of their Form 990-PF, to certify that they do not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization

Rockefeller Brothers Fund, Inc

Employer identification number

13

1760106

Part I Contributors (See Specific Instructions.)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$ 100,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$ 5,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$ 50,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)

Name of organization

Rockefeller Brothers Fund, Inc

Employer identification number

13

1760106

Part II Noncash Property (See Specific Instructions.)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	_____ _____ _____ _____	\$ _____	____ / ____ / ____ 00
	_____ _____ _____ _____	\$ _____	____ / ____ / ____ 00
	_____ _____ _____ _____	\$ _____	____ / ____ / ____ 00
	_____ _____ _____ _____	\$ _____	____ / ____ / ____ 00
	_____ _____ _____ _____	\$ _____	____ / ____ / ____ 00
	_____ _____ _____ _____	\$ _____	____ / ____ / ____ 00

Name of organization

Rockefeller Brothers Fund, Inc

Employer identification number

13

1760106

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. (Complete columns (a) through (e) and the following line entry.)

For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once—see instructions.) ▶ \$

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

ROCKEFELLER BROTHERS FUND, INC.

13-1760106

437 Madison Avenue

New York, New York 10022

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Part III, line 3 **Schedule 15**Grants Subject to Expenditure Responsibility
Part VII-B, line 5 (c) **Schedule 16**Compensation of Officers, Directors & Trustees
Part VIII, line 1 **Schedule 17**Summary of Direct Charitable Activities
Part IX-A **Schedule 18**Information Regarding Grant Programs
Part XV, line 2 (a-d) **Schedule 19**Grants and Contributions Paid During the Year
or Approved for Future Payment
Part XV, line 3 (a) & (b) **Schedule 20**

ROCKEFELLER BROTHERS FUND, INC.

13-1760106

437 Madison Avenue

New York, New York 10022

FORM 990-PF

YEAR 2007

Schedule of Limited Partnerships' Gains and Losses per Schedules K-1Part IV

Axiom International Equity Fund	\$ 7,022,159
Colchester Global Bond Fund	58,849
Natural Gas Partners VIII	291,609
Private Advisors Income Fund	21,036
Private Advisors Small Co.	117,821
Prospect Venture Partners	67,987
Ampersand V	(168,116)
Three Arch Capital	(194,677)
Three Arch Capital IV	15,695
New Enterprise Associates 10	37,230
TCV IV	1,094,701
Contrarian Capital	1,906,047
TIFF Partners I	36,070
TIFF Partners II	530,610
TIFF Partners IV	1,149,210
Lexington Capital II	217,367
Lexington Capital IV	2,155,493
Weston Presidio Capital III	59,430
Weston Presidio Capital IV	436,325
J.H. Whitney III	2,649,586
J.H. Whitney IV	188,739
Trinity Ventures VI	(265,988)
Trinity Ventures VII	142,142
Trinity Ventures VIII	62,772
1818 Mezzanine	(20,220)
Silchester International	13,752,860
Cypress Merchant B	199,619
Cypress Merchant Banking	472,252
Palamon European Equity	62,019
DLJ Strategic Partners	702,138
CSFB Strategic Partners II	719,836
CSFB Strategic Partners III	385,482
CSFB Strategic Partners III VC	339,730
Lloyd George Emerging Markets	3,926,276
Lehman Bros. Secondary Opp Fd	724,316
Lehman Bros. Co-Investments, Cayman AIV I, LP	1,521,418
Kayne Anderson Energy Fund III	691,686
Famco-EL Paso Pipeline Partners	(4)
Plains All Amer. Pipeline	595
Atlas Pipeline Holdings, LP	(1,817)
State Street- US Treas 1-3 Year Index	(19,972)
	<u>\$ 41,088,311</u>

ROCKEFELLER BROTHERS FUND, INC.

13-1760106

437 Madison Avenue

New York, New York 10022

SCHEDULE 2

FORM 990-PF

YEAR 2007

Schedule of Capital Gains and LossesPart IV, line 2

	Gain or (Loss)
MFS	\$ 3,565
Frontier Capital Management	7,566,002
Westport Asset Management	12,580,155
Silchester International	470,708
Jennison Associates	1,923,183
Southern Asset Management	(10,277)
State Street Treasury Fund	(447,191)
Iridian Asset Management	19,451,580
Warburg Pincus	343,711
Fir Tree Institutional Value Fund	4,799,163
Security Capital	2,667,492
Operating Reserve Fund	(335,585)
Pimco Total Return Fund	106,805
GMO Emerging Market Fund	5,241,801
Investure Cash Fund	(15,072)
Fir Tree International Fund II	(110,370)
Axiom International	173,386
Alpine Real Estate	4,031,232
Alpine International	2,868,858
Rockwater Asset Management	34,875
Fiduciary Asset Management	17,257
LSV Emerging Markets	10,868
Lloyd George	3,584,734
Eton Park	142,954
Private Advisors Income Fund	1,525,860
OSS Overseas	3,751,900
Third Avenue International	(5,984)
Axiom International Opp Fund	1,406,500
Colchester Global Advisors	3,309
Income from partnerships accrued in audited financial statements	27,714,959
Net Gains per Books	99,496,378
Actual gains per limited partnerships (See attached schedule)	41,088,311
Less estimated income from partnerships accrued in audited financial statements	(27,714,959)
Total Part IV, line 2	\$ 112,869,730

ROCKEFELLER BROTHERS FUND, INC.

13-1760106

437 Madison Avenue

New York, New York 10022

SCHEDULE 3**FORM 990-PF****YEAR 2007**Schedule of Other Income *Part I, line 11

Miscellaneous Investment Income	24,171
Income from Subleases	313,089
Total line 11, col. (a)	\$337,260
Add: Other Income per Limited Partnerships K-1s (See attached schedule)	(5,084,624)
Total line 11, col. (b)	<u>(\$4,747,364)</u>

* Partnership income booked on cash receipts basis.

Schedule of Other Income
Part I, line 11, col. (b)

	Other Income	Deductions Related to Portfolio Income	Net Other Income
<u>Net Income received from the following Partnerships: *</u>			
1818 Mezzanine	\$ -	\$ (10,394)	\$ (10,394)
Alinda Infrastructure Fund I, LP	5,527	(121,960)	(116,433)
Ampersand 2001 Limited Partnership	-	(65,566)	(65,566)
Axiom International Equity Fund	(62,785)	(84,468)	(147,253)
Carlyle Riverstone Partners Renewable Energy	1,757	(220,108)	(218,351)
Carlyle Riverstone TE Partners III, LP		(299,206)	(299,206)
Lehman Brothers Secondary Opportunities Fund	(2,127)	(224,001)	(226,128)
Lehman Bros. Co-Investment Partners, LP	13,866	(91,219)	(77,353)
Lehman Bros. CO-Investment Partners, Cayman AIV I, LP		(59)	(59)
Colchester Global Bond Fund	74,992	-	74,992
Morgan Stanley RE Fund V International TE, LP	(81,236)	(83,471)	(164,707)
Morgan Stanley Real Estate Fund IV	(37,155)	(15,914)	(53,069)
Natural Gas Partners VIII	6,850	(102,181)	(95,331)
NGP Energy Technology Partners	-	(14,410)	(14,410)
Private Advisors Income Fund	36,679	(323,731)	(287,052)
Private Advisors Small Co.	104,340	(293,251)	(188,911)
Cabot Industrial Value Fund II	-	(350)	(350)
Prospect Venture Partners	-	(61,537)	(61,537)
Three Arch Capital	-	(99,787)	(99,787)
Three Arch Capital IV	-	(126,074)	(126,074)
New Enterprise Associates 10	(8)	(32,839)	(32,847)
TCV IV	-	(123,429)	(123,429)
Contrarian Capital	(274,753)	(1,650)	(276,403)
TIFF Partners I	15,294	(16,366)	(1,072)
TIFF Partners II	52,223	(47,688)	4,535
TIFF Partners IV	51,440	(140,447)	(89,007)
Lexington Capital II	874	(32,775)	(31,901)
Lexington Capital IV	(126,026)	(257,474)	(383,500)
Lloyd George Emerging Markets Fund	(18,100)	(139,166)	(157,266)
Weston Presidio Capital III	-	(33,819)	(33,819)
Weston Presidio Capital IV	-	(99,865)	(99,865)
Weston Presidio V, LP	(5)	(83,801)	(83,806)
J.H. Whitney III	(1,916)	(1,140)	(3,056)
J.H. Whitney IV	-	(3,697)	(3,697)
Trinity Ventures VI	-	(15,579)	(15,579)
Trinity Ventures VII	-	(37,620)	(37,620)
Trinity Ventures VIII	-	(35,189)	(35,189)
Kayne Anderson Energy Fund III	(22,569)	(426,802)	(449,371)
Kayne Anderson Energy Fund IV	(826)	(62,794)	\$ (63,620)
Silchester International	234,327	(159,126)	75,201
Cypress Merchant B II (Cayman)	-	(675)	(675)
Cypress Merchant Banking II	-	(32,891)	(32,891)
Palamon European Equity	184,137	(104,195)	79,942
Pemira IV LP 1	(288)	(171,469)	(171,757)
State Street US Treas. 1-3 Year Index Sec. Lend CTF	32,231	(5,011)	27,220
DLJ Strategic Partners	2,756	(57,276)	(54,520)

CSFB Strategic Partners II	5,416	(85,097)	(79,681)
CSFB Strategic Partners III	19,678	(338,229)	(318,551)
CSFB Strategic Partners III VC	4,497	(163,105)	(158,608)
Siguler Guff	706	(331,426)	(330,720)
FAMCO - Alliance Holdings GP, LLC	30	(103)	(73)
Atlas Pipeline Holdings, LP	985	(12)	973
DCP Midstream Partners, LP	31		31
EL Paso Pipeline Partners	12		12
EV Energy Partners, LP	7,077	(759)	6,318
Enterprise Products Partners, LP	(2,089)		(2,089)
Inergy LP	(2)		(2)
NuStar GP Holdings, LLC	147	(503)	(356)
Plains All American Pipeline, LP		(153)	(153)
US Shipping Partners	(30,754)		(30,754)
	<u>\$ 195,233</u>	<u>\$ (5,279,857)</u>	

Other Income from Limited Partnerships

(5,084,624)

* Partnership income reported for investment income tax purposes on basis of Schedules K-1.

Attachments to IRS Form 990-PF
Rockefeller Brothers Fund, Inc.
13-1760106
Tax Year 2007

Schedule 4: Part I, Line 16a - Legal Fees

Type of service	Amount
1 General Legal Services	427,492
Total	427,492

Schedule 4: Part I, Line 16b - Accounting Fees

Type of service	Amount
1 Annual Financial Audit & Tax Review	118,080
Total	118,080

Schedule 4: Part I, Line 16c - Other Professional Fees

Type of service	Amount
1 Investment Management	4,378,268
2 Consulting	294,155
3 Facilities Maintenance	1,464,521
4 Custody Fees	406,398
Total	6,543,342

Attachments to IRS Form 990-PF
Rockefeller Brothers Fund, Inc.
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Tax Year 2007

Schedule 5: Part I, Line 18 - Taxes

Type of tax	Amount
1 Tax on investment income	2,369,733
Total	2,369,733

ROCKEFELLER BROTHERS FUND, INC.
13-1760106
437 Madison Avenue
New York, New York 10022

SCHEDULE 6
FORM 990-PF
YEAR 2007

SCHEDULE OF DEPRECIATION

Part I, line 19

Description	ASSETS			ACCUMULATED DEPRECIATION		
	Beginning of Year	2007 Acquisitions	2007 Dispositions	Reserve, beginning of Year	2007 Depreciation (Part I, line 19)	Reserve, end of Year
Office Equipment	1,066,471	18,480		986,407	24,191	1,010,598
Computer Equipment & Software	1,433,286	130,413	-	1,335,070	109,923	1,444,993
Fine Art	4,780	0	-		0	0
Leasehold Improvements-New Office Space	3,042,831	0	-	1,247,356	23,375	1,270,731
Leasehold Improvements	16,203,083	476,599	-	11,661,305	1,263,518	12,924,823
	<u>21,750,451</u>	<u>625,492</u>	<u>0</u>	<u>15,230,138</u>	<u>1,421,007</u>	<u>16,651,145</u>

Attachments to IRS Form 990-PF
Rockefeller Brothers Fund, Inc.
13-1760106
Tax Year 2007

Schedule 7: Part I, Line 23 - Other Expenses

Description	Amount
1 Telephone	93,218
2 Postage	28,040
3 Equipment Leases	41,090
4 Maintenance & Repairs	110,744
5 Office Supplies	51,205
6 General Insurance	237,679
7 Messenger Services	2,634
8 Temporary Help & Employment Agency Fees	418,965
9 Printing & Stationary	13,040
10 Dues & Subscriptions	24,598
11 Staff Development & Retreat	77,030
12 Fellowship Program Misc. Expense	74,269
13 Collections Maintenance	64,171
14 Miscellaneous General Office	88,541
15	
Total	<u>1,325,224</u>
Total	-

Attachments to IRS Form 990-PF
 Rockefeller Brothers Fund, Inc.
 13-1760106
 Tax Year 2007

Schedule 9: Part II, Line 10 - Investments—Government Obligations, Corporate Stocks and Bonds

Line 10a - Investments - U.S. and state government obligations	or Market Value	End of Year	
		Book Value	Value
Total U.S. Government obligations			
Total Obligations of state and municipal governments or itemize:			
1 JENNISON ASSOCIATES		1,288,779	1,288,779
2 INVESTURE FIXED INCOME		19,828,088	19,828,088
3			
4			
5			
Total		21,116,867	21,116,867

Line 10b - Investments - Corporate Stock

1 ALPINE INT'L REAL ESTATE EQUITY FUND	14,806,288	14,806,288
2 THIRD AVENUE INTERNATIONAL	16,257,232	16,257,232
3 SOUTHEASTERN ASSET MANAGEMENT	26,038,477	26,038,477
4 AXIOM INT'L EQUITY FUND	39,991,216	39,991,216
5 GMO EMERGING MARKETS FUND	19,010,236	19,010,236
6 OPERATING RESERVE	9,158	9,158
7 EQUITY HOLDINGS	1,188,707	1,188,707
8 SECURITY CAPITAL RESEARCH	32,573,774	32,573,774
9 IRIDIAN ASSET MANAGEMENT	42,460,294	42,460,294
10 ROCKWATER ASSET MGMT	23,433,140	23,433,140
11 WESTPORT ASSET MGMT	18,415,581	18,415,581
12 FRONTIER CAPITAL	48,227,047	48,227,047
13 LSV EMERGING MARKETS EQUITY	26,393,690	26,393,690
14 FIDUCIARY ASSET MANAGEMENT	13,225,831	13,225,831
15 SILCHESTER INTERNATIONAL	107,125,168	107,125,168
Total	429,155,839	429,155,839

Attachments to IRS Form 990-PF
Rockefeller Brothers Fund, Inc.
13-1760106
Tax Year 2007

Line 10c - Investments - Corporate Bonds

1	JENNISON ASSOCIATES	14,817,088	14,817,088
2	COLCHESTER GLOBAL BOND FUND	10,839,471	10,839,471
3			
4			
5			
6			
7			
8			
9			
10			
Total		<u>25,656,559</u>	<u>25,656,559</u>

Attachments to IRS Form 990-PF
Rockefeller Brothers Fund, Inc.
13-1760106
Tax Year 2007

Schedule 10: Part II, Line 11 - Investments—Land, Buildings, and Equipment

Description:		End of Year			
		Basis	Depreciation	Book Value	Value
1	REAL ESTATE	510,000		510,000	510,000
2				0	
3				0	
4				0	
5				0	
6				0	
7				0	
8				0	
9				0	
10				0	
Total		510,000	0	510,000	510,000

Attachments to IRS Form 990-PF
 Rockefeller Brothers Fund, Inc.
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 Tax Year 2007

Schedule 11: Part II, Line 13 - Investments—Other

Description:	or Market Value	End of Year	
		Book Value	Value
1 PRIVATE ADVISORS SM CAP			5,048,752
2 PERMIRA III, LP			11,001,976
3 PERMIRA IV LP 2			4,260,838
4 DLJ STRATEGIC EQUITY			1,898,885
5 CSFB STRATEGIC PARTNERS II			2,651,257
6 CSFB STRATEGIC PARTNERS III, VC			4,203,421
7 CSFB STRATEGIC PARTNERS III, LP			8,628,908
8 CABOT IND VALUE FUND II			4,723,227
9 PROSPECT VENTURES PARTNERS II			1,887,906
10 AMPERSAND V			6,160,485
11 FIR TREE VALUE INTERNATIONAL LTD			25,316,405
12 FIR TREE INTERNATIONAL FUND II			9,835,000
13 NEW ENTERPRISE ASSOCIATES 10			3,010,245
14 THREE ARCH CAPITAL			3,737,342
15 THREE ARCH CAPITAL IV			1,986,002
16 TECHNOLOGY CROSSOVER VENTURES			4,202,669
17 1818 MEZZANINE FUND			742,681
18 MORGAN STANLEY REAL ESTATE V			9,403,891
19 MORGAN STANLEY REAL ESTATE IV			2,386,055
20 JMB REALTY IV			929,002
21 PALAMON EUROPEAN EQUITY			9,358,578
22 CYPRESS PARTNERS II & CAYMAN			2,932,984
23 WESTON PRESIDIO III			2,211,346
24 WESTON PRESIDIO IV			5,076,629
25 WESTON PRESIDIO V			2,531,131
26 TRINITY VENTURES IV			273,894
27 TRINITY VENTURES VII			2,467,594
28 TRINITY VENTURES VIII			1,931,250
29 LEXINGTON CAPITAL II			1,823,705
30 LEXINGTON CAPITAL IV			3,440,051
31 J. H. WHITNEY III			493,666
32 J. H. WHITNEY IV			419,319
33 TIFF PARTNERS I			381,903
34 CONTRARIAN CAPITAL			15,538,741
35 TIFF PARTNERS II			1,997,968
36 TIFF PARTNERS IV			5,384,503
37 ORION EURO R.E. FUND II, C.V.			1,280,755
38 KAYNE ANDERSON III & IV			2,390,580
39 CARLYL/RIVERSTONE RENEWABLE			5,551,937
40 CARLYL/RIVERSTONE ENERGY			12,244,686
41 LEHMAN BROS CO INVESTMENT			5,925,068
42 LEHMAN BROS SECONDARY OPP FUND			5,761,895
43 EUROPA			4,340,055
44 NATURAL GAS PARTNERS VIII			5,095,210
45 ALINDA INFRASTRUCTURE FUND I			7,123,472
46 SIGULER GUFF SBOF			2,606,454
47 INVESTURE ALTERNATIVE FUND			38,159,592
48 CONVEXITY OFFSHORE LP			19,007,970
49 NGP ENERGY TECHNOLOGY			472,760
50 MARINER SELECT			10,510,636
51 PRIVATE ADVISORS INCOME FUND			24,540,974
52 ETON PARK OVERSEAS FUND			26,372,573
53 AXIOM OFFSHORE OPP FUND			26,158,781
54 OSS CAPITAL MANAGEMENT			19,320,311
55			
56			
Total		0	385,141,918

Attachments to IRS Form 990-PF
Rockefeller Brothers Fund, Inc.
13-1760106
Tax Year 2007

Schedule 12: Part II, Line 14 - Land, Buildings, and Equipment

Description:	End of Year			
	Basis	Depreciation	Book Value	Value
1 Leasehold Improvements	19,727,293	14,195,554	5,531,739	5,531,739
2 Computer Equipment & Software	1,563,699	1,444,993	118,706	118,706
3 Office Equipment	1,084,951	1,010,598	74,353	74,353
4			0	
5			0	
6			0	
7			0	
8			0	
9			0	
10			0	
11			0	
12			0	
13			0	
14			0	
15			0	
Total	22,375,943	16,651,145	5,724,798	5,724,798

Attachments to IRS Form 990-PF
Rockefeller Brothers Fund, Inc.
13-1760106
Tax Year 2007

Schedule 13: Part II, Line 15 - Other Assets

Description:	End of Year	
	Book Value	Value
1 Program Related Loan Receivable	1,485,000	1,485,000
2		
3		
4		
5		
6		
7		
Total	1,485,000	1,485,000

Attachments to IRS Form 990-PF
Rockefeller Brothers Fund, Inc.
13-1760106
Tax Year 2007

Schedule 14: Part II, Line 22 - Other Liabilities

Description:		End of Year Amount
1	Deferred tax on unrealized investment gain/(loss)	3,995,770
2		
3		
4		
5		
6		
7		
Total		3,995,770

Attachments to IRS Form 990-PF
Rockefeller Brothers Fund, Inc.
13-1760106
Tax Year 2007

Schedule 15 : Part III, Line 3 - Other Increases Not Included in Line 2

Description	Amount
1 Change in Unrealized Appreciation on Investments	1,667,590
2 Minimum Pension Liability Adjustment	(170,671)
3	
4	
5	
Total	1,496,919

SCHEDULE 16

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

Program-Related Investment Loan

1 Name and Address of Recipient

RAMON MAGSAYSAY AWARD FOUNDATION
1680 Roxas Boulevard
1004, Manila PHILIPPINES

2 Date and Amount of Loan:

July 28, 1994	\$ 468,061.00
July 29, 1994	1,020,230.00
November 4, 1994	183,130.00
January 12, 1995	429,017.25
March 15, 1995	169,432.00
May 10, 1995	217,273.75
October 13, 1995	101,969.15
December 20, 1995	410,886.85
	<u>\$3,000,000.00</u>

Loan Re-payment through 12/31/07 <1,515,000.00>

3 Purpose of Loan:

For the renovation and upgrading of the Magsaysay Center.

4 Amount Expended by the Borrower

The Lender has received from Borrower narrative and financial reports certifying that the entire amount of the loan was expended for the purpose for which it was made.

5 Whether the Grantee-to the knowledge of the Grantor-made any diversions of funds from the purpose of the Grant

No.

6 Date of Reports received from the Grantee

On November 7, 1994, and May 4, 1995, Lender received narrative and expenditure reports from Borrower certifying that the entire amount of the loan was expended for the purpose for which it was made. Pursuant to the loan agreement, the Lender receives quarterly unaudited financial statements from the Borrower, as well as annual audited financial statements. Such financial statements have been received for each quarter and year since the loan was made.

7 Dates and Results of the Verification of Grantee's Report(s)

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

(Remaining open from 2002, 2003, 2004, 2005, and 2006)

1. Name and Address of Recipient:

RADIO TELEVISION B92
Makedonska 22/VI
Beograd 11 000
YUGOSLAVIA

2. Date and Amount of Grant:

5/31/01 \$15,000

3. Purpose of Grant:

For a conference, "In Search of Truth and Responsibility: Toward a Democratic Future."

4. Amount Expended by Grantee:

Not currently known. Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees who are delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee--to the knowledge of the Grantor--made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

(Remaining open from 2002, 2003, 2004, 2005, and 2006)

1. Name and Address of Recipient:

PUTER FOUNDATION
Permata Cimanggu Blok A No. 4
R.T./R.W. 008/007 Kedung Badak - Tanah Sareal
Bogor 16710
INDONESIA

2. Date and Amount of Grant:

10/1/01 \$35,000

3. Purpose of Grant:

To the Indonesian Network of Coastal and Marine NGOs (Jaring Pela).

4. Amount Expended by Grantee:

Not currently known. Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees who are delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee--to the knowledge of the Grantor--made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

(Remaining open from 2003, 2004, 2005, and 2006)

1. Name and Address of Recipient:

PUTER FOUNDATION
Permata Cimanggu Blok A No. 4
R.T./R.W. 008/007 Kedung Badak - Tanah Sareal
Bogor 16710
INDONESIA

2. Date and Amount of Grant:

8/1/02 \$35,000

3. Purpose of Grant:

As a contribution to the Indonesian Network of Coastal and Marine NGOs (Jaring Pela).

4. Amount Expended by Grantee:

Not currently known. Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees who are delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee--to the knowledge of the Grantor--made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

(Remaining open from 2002, 2003, 2004, 2005 and 2006)

1. Name and Address of Recipient:

COUNCIL ON RENEWABLE ENERGY IN THE MEKONG REGION
c/o Solar Energy Research & Training Center
Naresuan University
Phitsanulok
THAILAND

2. Date and Amount of Grant:

8/1/01 \$40,000

3. Purpose of Grant:

Toward teaching, research, and outreach programs.

4. Amount Expended by Grantee:

Not currently known. Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees who are delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee--to the knowledge of the Grantor--made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

(Remaining open from 2003, 2004, 2005, and 2006)

1. Name and Address of Recipient

CITIZENS ACTION - CENTER FOR COMMUNITY ORGANIZING
Kapitul'ska 13
974 01 Banska Bystrica
SLOVAKIA

2. Date and Amount of Grant

12/23/02 \$40,000

3. Purpose of Grant:

As a contribution for core support.

4. Amount Expended by Grantee

Not currently known. Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees who are delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee--to the knowledge of the Grantor--made any diversions of funds from the purpose of the Grant

No.

6. Date of Reports received from the Grantee

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

(Remaining open from 2004, 2005, and 2006)

1. Name and Address of Recipient:

A ROCHA - CHRISTIANS FOR CONSERVATION
Kostnicka str. 85
CZ - 384 21 HUSINEC
CZECH REPUBLIC

2. Date and Amount of Grant:

10/2/03 \$12,500

3. Purpose of Grant:

For general budgetary purposes.

4. Amount Expended by Grantee:

Not currently known. Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees who are delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee--to the knowledge of the Grantor--made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

(Remaining open from 2006)

1. Name and Address of Recipient

INSTITUTE OF NATURAL RESOURCES
Private Bag X01
Scottsville 3209
SOUTH AFRICA

2. Date and Amount of Grant

12/14/04 \$41,000

3. Purpose of Grant

As a contribution to its African Roots Project.

4. Amount Expended by Grantee

The entire amount. Grantor has received from grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose in which it was made.

5. Whether the Grantee--to the knowledge of the Grantor--made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

On October 5, 2008, grantor received from grantee narrative and expenditure reports certifying that the full amount of the grant was expended for the purpose in which it was made.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

(Remaining open from 2004, 2005 and 2006)

1. Name and Address of Recipient:

YUNNAN PARTICIPATORY WATERSHED MANAGEMENT
RESEARCH AND PROMOTION CENTER
7th Floor, Old Building of Yunnan Social Academy
No. 133 Qixiang Road
Kunming, Yunnan Province
CHINA 650034

2. Date and Amount of Grant:

10/21/03 \$30,000

3. Purpose of Grant:

Toward its work on Participatory Social Impact Assessment.

4. Amount Expended by Grantee:

Not currently known. Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees who are delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee--to the knowledge of the Grantor--made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

(Remaining in 2006)

1. Name and Address of Recipient:

YUNNAN PARTICIPATORY WATERSHED MANAGEMENT
RESEARCH AND PROMOTION CENTER
7th Floor, Old Building of Yunnan Social Academy
No. 133, Qixiang Road
Kunming, Yunnan Province
CHINA 650034

2. Date and Amount of Grant:

12/19/05 \$30,000

3. Purpose of Grant:

To its work on Participatory Social Impact Assessment.

4. Amount Expended by Grantee:

Not currently known. Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees who are delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

(Remaining Open from 2005 and 2006)

1. Name and Address of Recipient:

TRAIL ASSOCIATION
Dusanova 4/2
18000 Nis
SERBIA & MONTENEGRO

2. Date and Amount of Grant:

12/31/03 \$25,000

3. Purpose of Grant:

For its youth winter camp "In the Name of Our Future."

4. Amount Expended by Grantee:

Not currently known. Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees who are delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee--to the knowledge of the Grantor--made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

(Remaining open from 2006)

1. Name and Address of Recipient:

MULTIETHNIC CHILDREN AND YOUTH PEACE CENTER
House of Culture, 3rd Floor
MITROVICA, KOSOVO

2. Date and Amount of Grant:

1/8/04 \$10,000

3. Purpose of Grant:

As a contribution for its multiethnic magazine project.

4. Amount Expended by Grantee:

Not currently known. Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees who are delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee--to the knowledge of the Grantor--made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

(Remaining open from 2006)

1. Name and Address of Recipient:

BELGRADE FUND FOR POLITICAL EXCELLENCE
Dositejeva 9, 11000 Belgrade
SERBIA AND MONTENEGRO

2. Date and Amount of Grant:

8/9/05 \$75,000

3. Purpose of Grant:

As a contribution for general budgetary support.

4. Amount Expended by Grantee:

Not currently known. Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees who are delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

1. Name and Address of Recipient:

FOURTH FREEDOM FORUM, INC.
803 Main Street
Goshen, INDIANA 46528-2632

2. Date and Amount of Grant:

9/9/04 \$25,000

3. Purpose of Grant:

As a contribution for public outreach associated with the Forum's Secure America project.

4. Amount Expended by Grantee:

Not currently known. Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees who are delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee--to the knowledge of the Grantor--made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#04-218

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

(Remaining open from 2006)

1. Name and Address of Recipient:

BENTON FOUNDATION
The Commonwealth Building
1625 K Street, N.W., 11th Floor
WASHINGTON, D.C. 20006

2. Date and Amount of Grant:

12/23/04 \$100,000

3. Purpose of Grant:

Toward OneWorldU.S.

4. Amount Expended by Grantee:

Not currently known. Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees who are delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee--to the knowledge of the Grantor--made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

1. Name and Address of Recipient:

GREEN NETWORK OF VOJVODINA
Heroja Pinkija 32
21000 Novi Sad
Vojvodina
STATE UNION OF SERBIA AND MONTENEGRO

2. Date and Amount of Grant:

1/23/07 \$55,000

3. Purpose of Grant:

As a contribution for general operating support.

4. Amount Expended by Grantee:

The entire amount. Grantor has received from grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose in which it was made.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

On April 3, 2008, grantor received from grantee narrative and expenditure reports certifying that the full amount of the grant was expended for the purpose in which it was made.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

(Remaining open from 2006)

1. Name and Address of Recipient:

ZED BOOKS, LTD.
7 Cynthia Street
LONDON, N1 9JF
ENGLAND

2. Date and Amount of Grant:

3/15/05 \$6,000

3. Purpose of Grant:

As a contribution to the translation into English of *Islam et Liberte*, by Mohamed Charfi.

4. Amount Expended by Grantee:

Not currently known. Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees who are delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

1. Name and Address of Recipient:

KOSOVAR INSTITUTE FOR POLICY RESEARCH AND DEVELOPMENT
Bregu I Diellit, Zona Prendimore, Rr. III
39 Pristina
UNMIK, KOSOVO

2. Date and Amount of Grant:

12/18/06 \$30,000

3. Purpose of Grant:

As a contribution to general support.

4. Amount Expended by Grantee:

Not currently known. Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees who are delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

(Remaining open from 2006)

1. Name and Address of Recipient:

COMMUNITY CENTER-BAR
55 Jovana Tomasevica
85000 Bar
SERBIA AND MONTENEGRO

2. Date and Amount of Grant:

9/30/05 \$25,000

3. Purpose of Grant:

As a contribution for core needs and institutional strengthening of itself and two other community centers in Montenegro.

4. Amount Expended by Grantee:

Not currently known. Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees who are delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

A report on the balance of \$5,000 was due in 2007.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

1. Name and Address of Recipient:

COMMUNITY CENTER-BAR
55 Jovana Tomasevica
85000 Bar
SERBIA

2. Date and Amount of Grant:

12/18/06 \$25,000

3. Purpose of Grant:

As a contribution for core needs and institutional strengthening of itself and tow other community centers in Montenegro.

4. Amount Expended by Grantee:

Not currently known. Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees who are delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#05-43

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

(Remaining open from 2006)

1. Name and Address of Recipient:

MEDIA CENTAR NIS
Obrenoviceva 38
18000 Nis
SERBIA and MONTENEGRO

2. Date and Amount of Grant:

11/29/05 \$30,000

3. Purpose of Grant:

As a contribution to a project to produce a weekly video newsletter publicizing the efforts and achievements of local NGOs in southeast Serbia.

4. Amount Expended by Grantee:

Not currently known. Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees who are delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

(Remaining open from 2006)

1. Name and Address of Recipient:

KOSOVAR STABILITY INITIATIVE
Dardania R.B. 1/1-5
PRISHTINE, KOSOVO

2. Date and Amount of Grant:

8/5/05 \$20,000

3. Purpose of Grant:

As a contribution toward general support.

4. Amount Expended by Grantee:

The entire amount. Grantor has received from grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose in which it was made.

5. Whether the Grantee--to the knowledge of the Grantor--made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

On December 20, 2006, Grantor has received from grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose in which it was made.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

1. Name and Address of Recipient:

KOSOVAR STABILITY INITIATIVE
Dardania R.B. 1/1-5
PRISHTINE, KOSOVO
2. Date and Amount of Grant:

12/20/06 \$20,000
3. Purpose of Grant:

As a contribution toward general support.
4. Amount Expended by Grantee:

Not currently known. Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees who are delinquent in submitting satisfactory reports will be withheld.
5. Whether the Grantee--to the knowledge of the Grantor--made any diversions of funds from the purpose of the Grant:

No.
6. Date of Reports received from the Grantee:

See number 4 above.
7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

(Remaining open from 2006)

1. Name and Address of Recipient:

THE DEVELOPMENT SCHOOL
58 Lordship Road
LONDON, N16 0QT
UNITED KINGDOM

2. Date and Amount of Grant:

9/22/05 \$15,000

3. Purpose of Grant:

For support of participation from Serbia and Montenegro in the postgraduate program, MA
Social Development Practice.

4. Amount Expended by Grantee:

Not currently known. Grantor is awaiting an updated report and has made efforts to secure
same from grantee. Future payments to grantees who are delinquent in submitting satisfactory
reports will be withheld.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the
purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#05-207

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

(Remaining open from 2006)

1. Name and Address of Recipient

LUMIERE PRODUCTIONS, INC.
26 West 17th Street
NEW YORK, NEW YORK 10011

2. Date and Amount of Grant

9/30/05 \$20,000

3. Purpose of Grant

As a contribution for a documentary film entitled *When Muppets Dream of Peace*.

4. Amount Expended by Grantee

The entire amount. Grantor has received from grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose in which it was made.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant

No.

6. Date of Reports received from the Grantee

On December 13, 2007, grantor received from grantee narrative and expenditure reports certifying that the full amount of the grant was expended for the purpose in which it was made.

7. Dates and Results of the Verification of Grantee's Report(s)

Not required.

#05-209

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

1. Name and Address of Recipient:

PUBLIC INTEREST LAW INSTITUTE
Non-Profit Organizations/Small Business Clinic
435 West 116th Street, Box B-6,
NEW YORK, NEW YORK 10027

2. Date and Amount of Grant:

7/13/06 \$75,000

3. Purpose of Grant:

As a contribution to its civil society strengthening program in Serbia and Montenegro.

4. Amount Expended by Grantee:

The entire amount. Grantor has received from grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

On February 19, 2008, grantor received from grantee narrative and expenditure reports certifying that the entire grant payment was expended for the purpose in which it was made.*

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

* No longer an expenditure responsibility grant; transferred to PILI Fdtn from Columbia University per memo signed by SBH 6/27/06; transferred from PILI Fdtn to Public Interest Law Institute per memo signed by SBH 8/31/07.

#05-308

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

1. Name and Address of Recipient:

CRISIS MANAGEMENT INITIATIVE
Pieni Roobertinkatu 13 B 24-36
FI-00130 Helsinki
FINLAND

2. Date and Amount of Grant:

1/10/06 \$30,000

3. Purpose of Grant:

As a contribution to its work assisting the United Nations Office of the Special Envoy for Kosovo (UNOSEK).

4. Amount Expended by Grantee:

Not currently known. Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees who are delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#05-372

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

1. Name and Address of Recipient:

VULEKA PRODUCTIONS
Post Office Box 15077
Bellair 4006
SOUTH AFRICA

2. Date and Amount of Grant:

3/16/06 \$10,000

3. Purpose of Grant:

As a contribution toward re-editing the documentary A Child is a Child (because of other people) as an educational and outreach tool to improve psychosocial support for children affected by HIV/AIDS.

4. Amount Expended by Grantee:

The entire amount. Grantor has received from grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

On November 22, 2007, grantor received from grantee narrative and expenditure reports certifying that the full amount of the grant was expended for the purpose in which it was made.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

1. Name and Address of Recipient:

ISANDLA INSTITUTE
Post Office Box 12263
Mill Street
Gardens 8010
CAPE TOWN, SOUTH AFRICA

2. Date and Amount of Grant:

4/20/06 \$70,000

3. Purpose of Grant:

As a contribution to a research project on HIV/AIDS and urban development.

4. Amount Expended by Grantee:

\$36,638. Grantor has received from grantee narrative and financial reports certifying that \$36,638 of the grant was expended for the purpose for which it was made.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

On May 31, 2007, grantor received from grantee narrative and expenditure reports certifying that \$36,638 of the grant was spent. The balance of \$33,362 will be reported in 2008*.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

* Per the public support form received from Isandla Institute dated January 30, 2008, the organization now qualifies as publicly supported. Therefore, we no longer need to exercise expenditure responsibility.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

1. Name and Address of Recipient:

ARTLINK BELGRADE
Knez Miletina 1
11000 BELGRADE
REPUBLIC OF SERBIA

2. Date and Amount of Grant:

6/28/2007 \$15,000

3. Purpose of Grant:

Toward its Art Interventions project.

4. Amount Expended by Grantee:

The entire amount. Grantor has received from grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose in which it was made.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

On April 30, 2008, grantor received from grantee narrative and expenditure reports certifying that the full amount of the grant was expended for the purpose in which it was made.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

1. Name and Address of Recipient:

RECONSTRUCTION WOMEN'S FUND
Narodnog Fronta 66
11000 Beograd
SERBIA

2. Date and Amount of Grant:

1/18/2008 \$45,000

3. Purpose of Grant:

As a contribution to its Violence Against Women program and its Roma Women's Initiative program.

4. Amount Expended by Grantee:

This grant was made for expenditures during the year following its payment. Accordingly, a report with respect to expenditure of the grant will not be timely until after that period.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

1. Name and Address of Recipient:

RECONSTRUCTION WOMEN'S FUND
Narodnog Fronta 66
11000 Beograd
SERBIA

2. Date and Amount of Grant:

12/20/06 \$35,000

3. Purpose of Grant:

As a contribution to its Violence Against Women program and its Roma Women's Initiative program.

4. Amount Expended by Grantee:

The entire amount. Grantor has received from grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

On December 28, 2007, grantor received from grantee narrative and expenditure reports certifying that the entire amount of the grant was expended for the purpose in which it was made.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

1. Name and Address of Recipient:

GLOBAL COMMUNITY INITIATIVES, INC.
12 Parkside Drive
Montpelier, VERMONT

2. Date and Amount of Grant:

8/23/2007 \$43,000

3. Purpose of Grant:

To its Diepsloot Bikes project.

4. Amount Expended by Grantee:

This grant was made for expenditures during the year following its payment. Accordingly, a report with respect to expenditure of the grant will not be timely until after that period.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#06-154

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

1. Name and Address of Recipient:

PESTICIDE ECO-ALTERNATIVES CETNER YUNNAN THOUGHTFUL ACTION
Room 30 E Chang Chun Garden Grand Bldg
22 Ren Min Zhong Lu Road
650021 Kunming, Yunnan Province
PEOPLES REPUBLIC OF CHINA

2. Date and Amount of Grant:

8/24/06 \$35,000

3. Purpose of Grant:

As a contribution to its Case-based Community Health Risk Assessment on Exposure to Paraquat project.

4. Amount Expended by Grantee:

The entire amount. Grantor has received from grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

On September 15, 2008, grantor received from grantee narrative and expenditure reports certifying that the entire amount of the grant was expended for the purpose in which it was made.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

1. Name and Address of Recipient:

MEDIA CENTAR NIS
Obrenoviceva 38
18000 Nis
SERBIA

2. Date and Amount of Grant:

12/18/06 \$30,000

3. Purpose of Grant:

As a contribution to a project to produce a weekly video newsletter publicizing the efforts and achievements of local NGOs in southeast Serbia.

4. Amount Expended by Grantee:

Not currently known. Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees who are delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

1. Name and Address of Recipient:

MEDIA & REFORM CENTER NIS
Obrenoviceva 38
18000 Nis
SERBIA

2. Date and Amount of Grant:

8/24/06 \$65,000

3. Purpose of Grant:

As a contribution for the project, Promoting Dialogue.

4. Amount Expended by Grantee:

Not currently known. Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees who are delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

1. Name and Address of Recipient:

CENTER FOR PROTECTION AND RESEARCH OF BIRDS OF MONTENEGRO
P.p.2
81000 Podgorica
MONTENEGRO

2. Date and Amount of Grant:

10/19/06 \$5,000

3. Purpose of Grant:

As a contribution for a book about birds and their habitat in Montenegro.

4. Amount Expended by Grantee:

Not currently known. Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees who are delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#06-256

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

1. Name and Address of Recipient:

ETHICAL CERTIFICATION AND LABELLING AUTHENTICATION PROJECT
22, av.des Cerisiers
CH-1009 Pully
SWITZERLAND

2. Date and Amount of Grant:

11/9/06 \$50,000

3. Purpose of Grant:

As a contribution toward general support.

4. Amount Expended by Grantee:

The entire amount. Grantor has received from grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

On December 20, 2007, grantor received from grantee narrative and expenditure reports certifying that the full amount of the grant was expended for the purpose in which it was made.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#06-268

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

1. Name and Address of Recipient:

FOOTSTEPS
23 Acacia Road
Glenwood 4001
Durban
SOUTH AFRICA

2. Date and Amount of Grant:

12/28/06 \$50,000

3. Purpose of Grant:

As a contribution to support grantees of the Fund in the KwaZulu-Natal Province.

4. Amount Expended by Grantee:

The entire amount. Grantor has received from grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

On June 27, 2007, grantor received from grantee narrative and expenditure reports certifying that the entire amount of the grant was expended for the purpose in which it was made.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

1. Name and Address of Recipient:

PROTEUS DEVELOPMENT RESOURCES
5 Rose Way
Constantia 7806
SOUTH AFRICA

2. Date and Amount of Grant:

12/20/06 \$50,000

3. Purpose of Grant:

As a contribution to assist grantees of the Fund in the Western and Eastern Cape provinces.

4. Amount Expended by Grantee:

The entire amount. Grantor has received from grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

In June 2008, grantor received from grantee narrative and expenditure reports certifying that the entire amount of the grant was expended for the purpose in which it was made.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

1. Name and Address of Recipient:

PRINCESS TRUST
#25 Private Bag X9
Melville, SOUTH AFRICA

2. Date and Amount of Grant:

12/20/06 \$50,000

3. Purpose of Grant:

As a contribution to assist grantees of the Fund in the Gauteng and Mpumalanga provinces.

4. Amount Expended by Grantee:

\$39,804. Grantor has received from grantee narrative and financial reports certifying that \$39,804 of the grant was expended for the purpose for which it was made.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

On April 8, 2008, grantor received from grantee narrative and expenditure reports certifying that \$39,804 of the grant was expended for the purpose in which it was made. A report on the balance of \$10,196 will be due February 28, 2009.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

1. Name and Address of Recipient:

BRIDGE INITIATIVE INTERNATIONAL
20 Bid Poissonniere
75009 PARIS
FRANCE

2. Date and Amount of Grant:

1/12/2007 \$50,000

3. Purpose of Grant:

Toward general support.

4. Amount Expended by Grantee:

The entire amount. Grantor has received from grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose in which it was made.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

On April 23, 2008, grantor received from grantee narrative and expenditure reports certifying that the full amount of the grant was expended for the purpose in which it was made.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#06-353

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

1. Name and Address of Recipient:

BRIDGE INITIATIVE INTERNATIONAL
20, Bd Poissonniere,
75009 Paris
FRANCE

2. Date and Amount of Grant:

1/18/08 \$50,000

3. Purpose of Grant:

As a contribution for general support.

4. Amount Expended by Grantee:

The entire amount. Grantor has received from grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

On April 23, 2008, grantor received from grantee narrative and expenditure reports certifying that the full amount of the grant was expended for the purpose in which it was made.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#06-353

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

1. Name and Address of Recipient:

RAMON MAGSAYSAY AWARD FOUNDATION
1680 Roxas Boulevard
1004, Manila
PHILIPPINES

2. Date and Amount of Grant:

12/20/06 \$189,193

3. Purpose of Grant:

As a contribution to the activities of the Program for Asian Projects in 2006.

4. Amount Expended by Grantee:

Not currently known. Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees who are delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#06-376

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

1. Name and Address of Recipient:

BEVERLY WILLIS ARCHITECTURE FOUNDATION
2 Columbus Avenue, Suite 3A
New York, New York 10023

2. Date and Amount of Grant:

3/6/07 \$5,000

3. Purpose of Grant:

As a contribution for a two-day colloquium in New York highlighting the contributions of women to architecture.

4. Amount Expended by Grantee:

The entire amount. Grantor has received from grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

On April 14, 2008, grantor received from grantee narrative and expenditure reports certifying that the full amount of the grant was expended for the purpose in which it was made.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

1. Name and Address of Recipient:

CENTER FOR COMMUNITY DEVELOPMENT STUDIES
Yunnan Academy of Social Sciences
No. 133, Qixiang Road
Kunming, Yunnan 650032
PEOPLES REPUBLIC OF CHINA

2. Date and Amount of Grant:

3/202007 \$25,000

3. Purpose of Grant:

Toward its Workshop on Environment, Health, and Poverty.

4. Amount Expended by Grantee:

The entire amount. Grantor has received from grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose in which it was made.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

On February 12, 2008. grantor received from grantee narrative and expenditure reports certifying that the full amount of the grant was expended for the purpose in which it was made.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

1. Name and Address of Recipient:

FREEDOM HOUSE EUROPE, Kht.
Falk Miksa u. 30 4/2
BUDAPEST, HUNGARY H-1055

2. Date and Amount of Grant:

11/16/2007 \$\$25,000

3. Purpose of Grant:

Toward its Kosovo-Serbia European Standards Project.

4. Amount Expended by Grantee:

This grant was made for expenditures during the year following its payment. Accordingly, a report with respect to expenditure of the grant will not be timely until after that period.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.



Rockefeller
Brothers Fund

Philanthropy for an Interdependent World

MEMORANDUM

September 24, 2007

To: LDA

cc: MFN, HAL, JES

From: BRSjr

Subject: Johnson Foundation, Inc. Grant #07-121

Attached is a check of the Johnson Foundation in the amount of \$5,664.87. The above \$25,000 grant was paid with funds from both the DP-US and Sustainable Resource budgets (\$12,500 each). Per Christopher Beem's 8/8/07 email to BRS, the check represents the portion of the grant payment that was not expended. As expenditure responsibility was exercised for this grant, the unexpended funds could not be re-directed by the grantee, and BRS instructed them to return the funds to us.

Therefore, kindly return \$2,832 to each of the DP-US and Sustainable Resource budgets (RBF gets to keep the \$.87).

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

1. Name and Address of Recipient:

JOHNSON FOUNDATION, Inc.
33 East Four Mile Road
RACINE, WISCONSIN 53405-2621

2. Date and Amount of Grant:

7/2/2007 \$25,000

3. Purpose of Grant:

Toward a conference on young people, climate change, and the 2008 presidential elections.

4. Amount Expended by Grantee:

Not currently known. Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees who are delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

1. Name and Address of Recipient

GREEN NETWORK OF VOJVODINA
Heroja Pinkija 32
Vojvodina
Novi Sad 21000
SERBIA

2. Date and Amount of Grant

12/20/2007 \$40,000

3. Purpose of Grant

Toward general support.

4. Amount Expended by Grantee

This grant was made for expenditures during the year following its payment. Accordingly, a report with respect to expenditure of the grant will not be timely until after that period.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant

No.

6. Date of Reports received from the Grantee

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s)

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

1. Name and Address of Recipient

JIA Work Camp Coordination Center
15-a-1106, Mingpu Garden
Xiangpu Street, Dongpu County
Tianhe District
GUANGZHOU, PRC

2. Date and Amount of Grant

6/29/2007 \$35,000

3. Purpose of Grant

As a contribution for its general and capacity training.

4. Amount Expended by Grantee

The entire amount. Grantor has received from grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose in which it was made.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee

On June 2, 2008, grantor received from grantee narrative and expenditure reports certifying that the full amount of the grant was expended for the purpose in which it was made.

7. Dates and Results of the Verification of Grantee's Report(s)

Not required.

#07-143

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

1. Name and Address of Recipient:

RAMON MAGSAYSAY AWARD FOUNDATION
1680 Roxas Boulevard
1004, Manila
PHILIPPINES

2. Date and Amount of Grant:

8/1/2007 \$150,000

3. Purpose of Grant:

Toward stipends for the 2007 Ramon Magsaysay Awards.

4. Amount Expended by Grantee:

Not currently known. Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees who are delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

1. Name and Address of Recipient:

RAMON MAGSAYSAY AWARD FOUNDATION
1680 Roxas Boulevard
1004 Manila
PHILIPPINES

2. Date and Amount of Grant:

8/1/2007 \$50,000

3. Purpose of Grant:

Toward documentation and dissemination of information about the recipients of the Ramon Magsaysay Awards.

4. Amount Expended by Grantee:

Not currently known. Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees who are delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

1. Name and Address of Recipient:

RAMON MAGSAYSAY AWARD FOUNDATION
1680 Roxas Boulevard
1004 Manila
PHILIPPINES

2. Date and Amount of Grant:

10/17/2007 \$55,000

3. Purpose of Grant:

To a research study on the impact of the awards on awardee work and in the context of developments in Asia.

4. Amount Expended by Grantee:

This grant was made for expenditures during the year following its payment. Accordingly, a report with respect to expenditure of the grant will not be timely until after that period.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

1. Name and Address of Recipient:

RAMON MAGSAYSAY AWARD FOUNDATION
1680 Roxas Boulevard
1004 Manila
PHILIPPINES

2. Date and Amount of Grant:

10/17/2007 \$80,000

3. Purpose of Grant:

To a DVD series documenting best practices drawn from awardee work.

4. Amount Expended by Grantee:

This grant was made for expenditures during the year following its payment. Accordingly, a report with respect to expenditure of the grant will not be timely until after that period.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#07-262

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

1. Name and Address of Recipient:

RAMON MAGSAYSAY AWARD FOUNDATION
1680 Roxas Boulevard
1004 Manila
PHILIPPINES

2. Date and Amount of Grant:

10/17/2007 \$100,000

3. Purpose of Grant:

To the activities of the Program for Asian Projects in 2007.

4. Amount Expended by Grantee:

This grant was made for expenditures during the year following its payment. Accordingly, a report with respect to expenditure of the grant will not be timely until after that period.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#07-263

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

1. Name and Address of Recipient:

PROTEUS DEVELOPMENT RESOURCES
5 Rose Way
Constantia 7806
SOUTH AFRICA

2. Date and Amount of Grant:

10/25/07 \$4,200

3. Purpose of Grant:

As a contribution for a meeting of service providers working with RBF grantees in South Africa.

4. Amount Expended by Grantee:

The entire amount. Grantor has received from grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

On March 6, 2008, grantor received from grantee narrative and expenditure reports certifying that the full amount of the grant was expended for the purpose in which it was made.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#07-297

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

1. Name and Address of Recipient

CONVENE VENTURE PHILANTHROPY
66 Kerry Road
Parkview 2193
SOUTH AFRICA

2. Date and Amount of Grant

12/14/2007 \$50,000

3. Purpose of Grant

To its Orphans and Vulnerable Children Leadership and Innovation Network Initiative.

4. Amount Expended by Grantee

\$23,850.76. Grantor has received from grantee narrative and financial reports certifying that \$3,850.76 of the grant was expended for the purpose for which it was made.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No:

6. Date of Reports received from the Grantee

On September 12, 2008, grantor received from grantee narrative and expenditure reports certifying that \$23,850.76 of the grant was expended for the purpose in which it was made. A report on the balance of \$26,149.24 will be due within the following year.

7. Dates and Results of the Verification of Grantee's Report(s)

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

1. Name and Address of Recipient:

RAMON MAGSAYSAY AWARD FOUNDATION
1680 Roxas Boulevard
Manila 1004
PHILIPPINES

2. Date and Amount of Grant:

12/14/2007 \$122,500

3. Purpose of Grant:

Toward its strategic planning effort designed to position the Ramon Magsaysay Awards for high impact and financial sustainability for the next fifty years.

4. Amount Expended by Grantee:

This grant was made for expenditures during the year following its payment. Accordingly, a report with respect to expenditure of the grant will not be timely until after that period.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#07-355

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2007

1. Name and Address of Recipient:

VULEKA PRODUCTIONS
POBox 15077
Bellair 4006
SOUTH AFRICA

2. Date and Amount of Grant:

12/18/07 \$14,000

3. Purpose of Grant:

As a contribution for its work to support and amplify orphan and vulnerable children interventions through media.

4. Amount Expended by Grantee:

\$1,235. Grantor has received from grantee narrative and financial reports certifying that \$1,235 of the grant was expended for the purpose for which it was made.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

On April 30, 2008, grantor received from grantee narrative and expenditure reports certifying that the \$1,235 of the grant was expended for the purpose in which it was made. A report on the balance of \$12,765 will be due within the following year.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

13-1760106

437 Madison Avenue

New York, New York 10022

SCHEDULE 17
FORM 990-PF
YEAR 2007

Compensation of Officers, Directors, and Trustees

Part VIII. (I)

Name and Address	Title	Average Hours per Week Devoted to Position	Compensation	Contributions to Employee Benefit Plans	Expense Account and Other Allowances
Abby M. O'Neill c/o Rockefeller Brothers Fund 437 Madison Avenue New York, N.Y. 10022	Advisory Trustee	1 hr.	- 0 -	None	None
Steven C. Rockefeller c/o Rockefeller Brothers Fund 437 Madison Avenue New York, N.Y. 10022	Trustee	(1) 1 hr.	- 0 -	None	None
David Rockefeller Jr. c/o Rockefeller Brothers Fund 437 Madison Avenue New York, N.Y. 10022	Trustee	(2) 1 hr.	- 0 -	None	None
Richard G. Rockefeller c/o Rockefeller Brothers Fund 437 Madison Avenue New York, N.Y. 10022	Trustee Chairman	1 hr.	- 0 -	None	None
Neva Goodwin c/o Rockefeller Brothers Fund 437 Madison Avenue New York, N.Y. 10022	Trustee Vice Chair	1 hr.	- 0 -	None	None

ROCKEFELLER BROTHERS FUND, INC.

13-1760106

437 Madison Avenue

New York, New York 10022

SCHEDULE 17
FORM 990-PF
YEAR 2007

Part VIII. (1)
Compensation of Officers, Directors, and Trustees

Name and Address	Title	Average Hours per Week Devoted to Position	Compensation	Contributions to Employee Benefit Plans	Expense Account and Other Allowances
William H. Luers c/o Rockefeller Brothers Fund 437 Madison Avenue New York, N.Y. 10022	Advisory Trustee	1 hr.	- 0 -	None	None
Richard D. Parsons c/o Rockefeller Brothers Fund 437 Madison Avenue New York, N.Y. 10022	Advisory Trustee	1 hr.	- 0 -	None	None
Jessica P. Einhorn c/o Rockefeller Brothers Fund 437 Madison Avenue New York, N.Y. 10022	Trustee	1 hr.	- 0 -	None	None
Robert B. Oxnam c/o Rockefeller Brothers Fund 437 Madison Avenue New York, N.Y. 10022	Advisory Trustee	(3) 1 hr.	- 0 -	None	None
Anne Bartley c/o Rockefeller Brothers Fund 437 Madison Avenue New York, N.Y. 10022	Trustee	(4) 1 hr.	- 0 -	None	None

ROCKEFELLER BROTHERS FUND, INC.

13-1760106

437 Madison Avenue

New York, New York 10022

SCHEDULE 17

FORM 990-PF

YEAR 2007

Part VIII. (1)

Compensation of Officers, Directors, and Trustees

Name and Address	Title	Average Hours per Week Devoted to Position	Compensation	Contributions to Employee Benefit Plans	Expense Account and Other Allowances
Vali Nasr c/o Rockefeller Brothers Fund 437 Madison Avenue New York, N.Y. 10022	Trustee	(7) 1 hr.	- 0 -	None	None
Frank G. Wisner c/o Rockefeller Brothers Fund 437 Madison Avenue New York, N.Y. 10112	Trustee	1 hr.	- 0 -	None	None
Jonathan F. Fanton c/o Rockefeller Brothers Fund 437 Madison Avenue New York, N.Y. 10112	Advisory Trustee	1 hr.	- 0 -	None	None
Richard Chasin c/o Rockefeller Brothers Fund 437 Madison Avenue New York, N.Y. 10112	Trustee	1 hr.	- 0 -	None	None
James Moltz c/o Rockefeller Brothers Fund 437 Madison Avenue New York, N.Y. 10112	Trustee	1 hr.	2,000	None	None

ROCKEFELLER BROTHERS FUND, INC.

13-1760106

437 Madison Avenue

New York, New York 10022

SCHEDULE 17
FORM 990-PF
YEAR 2007

Part VIII. (1)

Compensation of Officers, Directors, and Trustees

Name and Address	Title	Average Hours per Week Devoted to Position	Compensation	Contributions to Employee Benefit Plans	Expense Account and Other Allowances
John Morning c/o Rockefeller Brothers Fund 437 Madison Avenue New York, N.Y. 10112	Trustee	1 hr.	- 0 -	None	None
David Rockefeller c/o Rockefeller Brothers Fund 437 Madison Avenue New York, N.Y. 10112	Advisory Trustee	1 hr.	- 0 -	None	None
Valerie Rockefeller Wayne c/o Rockefeller Brothers Fund 437 Madison Avenue New York, N.Y. 10112	Trustee	1 hr.	- 0 -	None	None
James Gustave Speth c/o Rockefeller Brothers Fund 437 Madison Avenue New York, N.Y. 10022	Trustee	(6) 1 hr.	- 0 -	None	None
Joseph A. Pierson c/o Rockefeller Brother Fund 437 Madison Avenue New York, N.Y. 10022	Trustee	1 hr.	- 0 -	None	None

ROCKEFELLER BROTHERS FUND, INC.

13-1760106

437 Madison Avenue

New York, New York 10022

SCHEDULE 17
FORM 990-PF
YEAR 2007

Part VIII. (1)
Compensation of Officers, Directors, and Trustees

Name and Address	Title	Average Hours per Week Devoted to Position	Compensation	Contributions to Employee Benefit Plans	Expense Account and Other Allowances
Miranda M. Kaiser c/o Rockefeller Brother Fund 437 Madison Avenue New York, NY 10022	Trustee	1 hr.	- 0 -	None	None
Wendy O'Neill Wang c/o Rockefeller Brothers Fund 437 Madison Avenue New York, N.Y. 10112	Trustee	1 hr.	- 0 -	None	None
Timothy O'Neill c/o Rockefeller Brothers Fund 437 Madison Avenue New York, N.Y. 10112	Trustee	1 hr.	4,000	None	None
Stephen B. Heintz 437 Madison Avenue New York, N.Y. 10022	Trustee, President	40 hrs.	400,000	64,522	4,865
Benjamin R. Shute, Jr. 437 Madison Avenue New York, N.Y. 10022	Secretary	40 hrs.	215,536	60,701	None
Nancy L. Muirhead 437 Madison Avenue New York, N.Y. 10022	Assistant Secretary	40 hrs.	149,768	49,603	2,907

ROCKEFELLER BROTHERS FUND, INC.

13-1760106

437 Madison Avenue

New York, New York 10022

SCHEDULE 17
FORM 990-PF
YEAR 2007

Compensation of Officers, Directors, and Trustees

Part VIII. (1)

Name and Address	Title	Average Hours per Week Devoted to Position	Compensation	Contributions to Employee Benefit Plans	Expense Account and Other Allowances
Elizabeth C. Campbell 437 Madison Avenue New York, N. Y. 10022	Vice President For Programs	(5) 40 hrs.	123,397	11,012	882
Leah A. D'Angelo 437 Madison Avenue New York, N.Y. 10022	Comptroller	23 hrs.	79,362	33,628	544
Geraldine F. Watson 437 Madison Avenue New York, N.Y. 10022	Vice President, Finance and Operations	40 hrs	192,700	47,349	1,361
			<u>\$ 1,166,763</u>	<u>\$ 266,815</u>	<u>\$ 10,559</u>

(1) Effective June 14, 2007

(2) Until June 14, 2007

(3) Until June 14, 2007

(4) Trustee until June 14, 2007. Advisory Trustee Effective 6/14/2007

(5) Effective June 13, 2007

(6) Effective June 14, 2007

(7) Effective June 14, 2007

ROCKEFELLER BROTHERS FUND, INC. 13-1760106
437 Madison Avenue - 37th Floor
New York, NY 10022

Schedule 18
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Year 2007

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Line 1 Pocantico Historic Area

In 1991, the Rockefeller Brothers Fund entered into an agreement with the National Trust for Historic Preservation in the United States under which the Fund is responsible for the renovation, maintenance, and operation of this historic site which is to be used both for a program of public visitation and for a philanthropic program. In 2007, the public visitation program, organized by Historic Hudson Valley, hosted 35,112 visitors. In its capacity as steward of the Pocantico Historic Area, in 2007 the Rockefeller Brothers Fund continued its efforts to preserve and conserve the collections and buildings in its care.

Amount: \$3,090,676

Line 2 Assistance to Charitable Organizations:

During 2007, 11 members of the staff of Rockefeller Brothers Fund provided technical assistance to charitable organizations, governmental bodies and committees, and 8 members of the staff served on 27 charitable organizations' boards, public commissions, or task forces.

Amount: \$484,744

Line 3 Fellowships For Aspiring Teachers of Color:

During 2007, the Rockefeller Brothers Fund continued to administer and award its Fellowships for Aspiring Teachers of Color. Activities included: monitoring of fellowship grants previously paid and approval of subsequent payments; maintaining contact with and reviewing the progress of fellows appointed; organizing and convening a four-day residential conference for the existing fellows and mentors; reviewing the involvement to date of the participating institutions; collecting and organizing data for use in evaluation of the fellowship program. An additional 25 new fellows were selected in 2007.

Amount: \$811,592

ROCKEFELLER BROTHERS FUND, INC. 13-1760106
437 Madison Avenue - 37th Floor
New York, NY 10022

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Line 4 Pocantico Conference Center

The Pocantico Conference Center of the Rockefeller Brothers Fund, located within the Pocantico Historic Area (see Line 1, above), began operations in April of 1994. The purpose of the Pocantico Conference Center is to provide a setting where nonprofit organizations and public sector institutions can bring together people of diverse backgrounds and perspectives to engage in discussions of critical issues related to the Fund's philanthropic program, leading to new levels of understanding and creative resolution of problems. In 2007, 57 conferences and 2 forums were hosted at the Conference Center. Pocantico Conferences, usually multi-day events, are designed by RBF staff, grantees and/or outside groups whose objectives are consistent with the program interests of the Fund.

Amount: \$267,524

PART XV, Line 2a

Letters of inquiry or proposals are accepted online at www.rbf.org.

PART XV, Line 2b

The Rockefeller Brothers Fund promotes social change that contributes to a more just, sustainable, and peaceful world. Its grantmaking is organized around four thematic programs—Democratic Practice, Human Advancement, Peace and Security, and Sustainable Development—focused on certain geographic areas and cross-cutting issues. The Fund supports efforts to expand knowledge, clarify values and critical choices, nurture creative expression, and shape public policy.

A letter of inquiry (LOI) is the recommended starting point for requesting a grant from the RBF. Each LOI is reviewed by staff for fit within current program guidelines, funding priorities, and budget constraints. The review process takes, on average, 30-60 days from the time the inquiry is received. While there is no application form, applicants may use the Fund's online LOI to submit their request. The LOI should be in English, between one to three pages in length, and include the following:

- Brief description of the project and the issue to be addressed.
- Expected outcomes, both immediate and long term.
- If the project is already underway, information on its progress to date.
- How the project relates to the Fund's program interests.
- Brief description of the organization, and why it is the right one to undertake this project.
- Information on key staff and full contact information.
- Approximate budget and timeframe.
- List of other sources of funding for the project, both confirmed and requests in process.
- Dissemination plans.
- Evaluation plans.

Applicants are strongly encouraged to submit LOIs via the Fund's online system. LOIs may be submitted through mail, fax, or e-mail; however, the processing time may be longer for applications submitted outside of the web site.

If there is interest in considering a grant, staff will contact the applicant for additional information, including a detailed proposal. Applicants should not send a full proposal unless requested to do so by Fund staff. Details on what to include in the proposal can be found on the Fund's web site.

If a proposal is being considered for a grant, the approval process is generally completed within three months; however, the exact timeline may vary. Most grants are approved by the Fund's board of trustees, which in 2007, met four times (March, June, October, and December). Beginning in 2008, the board will meet three times a year (March, June, and November). A limited number of grants may be approved by the Fund's president between meetings. RBF staff will advise an applicant as to how and when a proposal is being reviewed for funding.

PART XV, Line 2c

The Rockefeller Brothers Fund Fellowships for Aspiring Teachers of Color – Fellowship candidates must attend one of the 27 colleges and universities that participate in the program (visit www.rbf.org for a list of partner institutions). A complete application packet is available from the school's Fellowship liaison. Upon receipt of the application, the Fellowship liaison and a screening committee, appointed by the university's president or chancellor, will review all applications and nominate up to three candidates to the Rockefeller Brothers Fund (RBF). The RBF does not accept direct applications.

Charles E. Culpeper Arts and Culture Program – Proposals accepted annually from January 1–March 3 for consideration in the same calendar year. Proposals for enhancing institutional leadership are accepted throughout the year. Arts and Culture proposals should be sent to:

Benjamin Rodriguez-Cubefias
Rockefeller Brothers Fund
437 Madison Avenue, 37th Floor
New York, New York 10022-7001

PART XV, Line 2d

To qualify for a grant from the Rockefeller Brothers Fund, as from most other foundations, a prospective grantee in the United States must be either a tax-exempt organization or an organization seeking support for a project that would qualify as educational or charitable. A prospective foreign grantee must satisfy an RBF determination that it would qualify, if incorporated in the United States, as a tax-exempt organization or that the project for which support is sought would qualify in the United States as educational or charitable. A grantee must also be engaged in work that fits within the Fund's guidelines.

General Restrictions:

Regrettably, the RBF is able to support only a fraction of the great number of worthwhile inquiries it receives. We favor a flexible approach to grantmaking; however, we do not make grants that support individuals, biomedical research, or scholarships. Furthermore we only support conferences, symposia, publications, and documentaries that are integral to or an outgrowth of one of the Fund's programs.

Geographic Restrictions:

The United States and four pivotal places—New York City, South Africa, Southern China, and the Western Balkans—are the primarily geographic grantmaking focus.

RBF Program Statement

The Rockefeller Brothers Fund promotes social change that contributes to a more just, sustainable, and peaceful world. Through its grantmaking, the Fund supports efforts to expand knowledge, clarify values and critical choices, nurture creative expression, and shape public policy. The Fund's programs are intended to develop leaders, strengthen institutions, engage citizens, build community, and foster partnerships that include government, business, and civil society. Respect for cultural diversity and ecological integrity pervades the Fund's activities.

As an institutional citizen of an interdependent world, the Fund is active globally, nationally, and locally in its home city of New York. Grant programs are organized around four themes: Democratic Practice; Sustainable Development; Peace and Security; and Human Advancement. In 2006, the RBF trustees approved a new cross-programmatic grantmaking initiative on energy. The Fund recognizes that achievement of progress in each of

these program areas is often interconnected with developments in the others. As a private foundation, the Fund strives to promote philanthropic excellence and to enhance the effectiveness of the nonprofit sector.

As specified in the guidelines for each grant program, the Fund supports activities in a variety of geographic contexts. It also has identified several specific locations on which to concentrate cross-programmatic attention. The Fund refers to these as "RBF pivotal places": sub-national areas, nation-states, or cross-border regions which have special importance with regard to the Fund's substantive concerns and whose future will have disproportionate significance for the future of a surrounding region, an ecosystem, or the world. The Fund currently works in four pivotal places: New York City, South Africa, Southern China, and the Western Balkans.

The Pocantico Conference Center of the Rockefeller Brothers Fund is located at the heart of the Rockefeller estate outside New York City and was created when the Fund leased the area from the National Trust for Historic Preservation in 1991. The conference center provides a unique setting where the RBF and other nonprofit organizations and public sector institutions can bring together people of diverse backgrounds and perspectives to engage critical issues, reach new levels of understanding, and develop creative solutions to pressing problems.

In the years since its founding in 1940, the Fund has developed a distinctive style of grantmaking that is reflected in the following characteristics:

Long View. Grantmaking is primarily concerned with fundamental problems and is designed to contribute to the achievement of long-term goals and to make a lasting impact.

Commitment. Extended commitments are frequently made to specific issues and geographic regions and even to particular grantees.

Synergy. Rather than considering opportunities on a stand-alone basis, the Fund looks for connections among the activities it supports and the themes it pursues, both within and across program areas and in specific geographic locations.

Initiative. The Fund initiates or participates in the development of many of the projects that it supports.

Engagement. In addition to providing financial support, the Fund often works closely with grantee organizations to help strengthen their capacity and advance their work.

Collaboration. The Fund actively seeks opportunities to collaborate with other funders.

Convening. The Fund devotes time and resources, including the use of its Pocantico Conference Center, to convening groups of diverse stakeholders and encouraging collaboration among government agencies, corporations, and nongovernmental organizations.

The goals and strategies in each of our programs are implemented through a variety of approaches to grantmaking. In some programs, as is noted in the guidelines, the Fund proactively identifies grantee partners and thus has limited ability to respond to unsolicited proposals. Grantseekers are encouraged to study the guidelines closely. Prospective grantees are also urged to consult the section "How to Apply" on the Fund's web site for specific guidance on the application process.

PROGRAMS

Democratic Practice Program Guidelines

Democracy in the United States

Context: The United States faces a number of democratic deficits: a decline in many traditional forms of civic engagement, including youth engagement; reduced participation in the formal institutions of democracy, including but not limited to voting; and declining trust in all institutions, especially institutions of government. At the same time, American society is becoming increasingly polarized. The gap between

rich and poor is widening, and residential patterns reinforce this separation along economic lines. All of these negative trends are particularly acute among young people, especially low-income youth and youth of color.

Today in U.S. politics there are few examples of bipartisanship and compromise. In the media, confrontation often passes for political dialogue and the concentration of media ownership reduces the number of public voices while crowding out nuanced views and a middle ground. Theoretically, cable television, the Internet, and other new technologies allow for many more channels of conversation, but they also have the potential to spread misinformation and make it easier to find and listen only to the voices with which one is comfortable.

Goal | Encouraging Civic Engagement in the U.S.

The Fund employs the following strategies to empower individuals and encourage civil society organizations, including philanthropy, to advance constructive social change through democratic decision making and social movements.

Strategies:

- Identify and support innovative and experimental forms of civic participation, especially those that encourage the sustained involvement of participants.
Note: With a limited budget, the Fund concentrates on new approaches to civic engagement that have the potential to be effective in a wide variety of places and situations. In general, the Fund does not support traditional community organizing or single events that are not part of a strategy for sustained civic engagement.
- Support a limited number of youth-led organizations in which youth define their concerns and connect them to public policy making; develop and harness their own approaches to pursue policy change; and form a sophisticated and stable constituency. Organizations led by low-income youth and youth of color will receive special attention.
- Strengthen the capacity of the nonprofit sector to foster civic engagement, and encourage and assist a broad range of civil society organizations to engage more explicitly with public policy that leads to constructive social change.

Goal | Fostering Effective Governance in the U.S.

The Fund pursues the following strategies to strengthen the practices and institutions of democracy, including a free, principled, and vigorous press.

Strategies

- Support innovative and experimental forms of governance, public administration, and public decision-making that enhance the effectiveness, transparency, and responsiveness of the public sector. The Fund is also interested in efforts that foster the public's recognition of the positive role government plays in solving problems and providing services and public infrastructure.
- Promote experimental approaches to political campaign financing, with a special emphasis on both state and local public financing systems.
- Explore the role of the media in democracy, with an emphasis on strengthening its ability to foster informed citizens who demand increased government transparency and accountability.

Global Governance

Context Globalization-the dramatic increase in social, cultural, political, and economic interdependence among nations-is the defining process of the 21st Century, and it offers both challenges and opportunities. Global governance attempts to bring standards and regulations to issues that transcend the authority of individual nations and can be created in several ways-by formal agreements among nations, by consensus among intergovernmental organizations, and by voluntary agreements and partnerships among businesses, nongovernmental organizations, and other civil society groups. Democracy in global governance, however, faces several challenges. It is hindered by the weakened state of the United Nations and the growing influence of international trade and financial institutions with their more limited transparency and inclusion. The spread and growth of multinational corporations also challenges the ability of nations to protect their common wealth and their citizens from the negative consequences of irresponsible corporate behavior. Finally, some of the greatest new opportunities for global governance involve areas considered as global commons, such as climate change and cross border flows of toxics and pollution, as well as pandemics and other health concerns.

Goal | Increasing Access to, and Participation in, Global Governance

The Fund works within the following strategies to improve democratic practice in global governance by expanding participation and effective representation in the political and policymaking processes of globalization. View related grants

Strategies:

- Expand the inclusiveness of leading international trade and development organizations by improving the effective participation and increased involvement of those communities, nongovernmental organizations and governments that shape trade and development policies. The Fund is particularly interested in organizations that represent and help give voice to the concerns of developing countries.
- Increase collaboration among nations to solve transnational problems through improved governance of the global commons.
- Broaden and strengthen the ability of civil society to contribute to setting international standards which encourage and reward the improved social and environmental practices of international business.

Goal | Ensuring Transparency and Accountability in Global Governance

The Fund works within the following strategies to increase transparency and accountability in global governance decision-making processes that affect the quality of people's lives and the integrity of the natural environment.

Strategies

- Encourage reforms within leading trade, development, and civil society institutions that increase the transparency and accountability of global governance decision making and reporting.
- Support the creation and growth of new civil society mechanisms that improve global governance accountability within all transnational institutions, including nongovernmental organizations and international business.
- Selective limited efforts to advance new visions for democratic decision-making in global governance.

Sustainable Development Program Guidelines

Goal | Combating Global Warming

In the United States and globally, the Fund supports strategies to combat global warming and seeks to contribute to promote international cooperation on this issue through the following strategies:

Strategies

- Advancing cost-effective energy efficiency and renewable energy-based approaches to reducing greenhouse gas emissions.
- Building public and policymaker understanding of the threat of global warming and support for a range of actions to address this problem.
- Supporting efforts to strengthen and advance coordinated international action and policy, which are particularly critical to the mitigation of global warming.

Goal | Protecting Ecosystems and Conserving Biodiversity

The Fund seeks to conserve terrestrial and marine biodiversity by protecting and restoring ecosystems and by fostering sustainable communities that pursue locally-appropriate development plans. The Fund promotes this goal through the following strategies:

Strategies

- Supporting the conservation and sustainable use of remaining intact blocks of coastal temperate rainforest lands, especially in British Columbia.
- Promoting sustainable forest management practices that maintain healthy forest ecosystems and protect communities that are culturally and economically dependent on them throughout the world.
- Supporting the reform of unsustainable fishery management practices in the United States and British Columbia.
- Supporting efforts to protect Pacific Salmon, a "keynote species," by encouraging terrestrial and marine conservation programs that link the health of open ocean ecosystems to that of forest watersheds in the Russian Far East.

Note: Given limited funding and the very focused nature of our Sustainable Development goals and strategies, the RBF actively works to identify grantees in the field and is therefore only rarely able to support unsolicited proposals.

Peace and Security Program Guidelines

Goal | Advancing Responsible Approaches to U.S. Global Engagement in the 21st Century

The RBF seeks to advance U.S. policies and behaviors that reflect a broadly shared vision of constructive, cooperative, principled, farsighted, and effective global engagement through the following strategies:

Strategies

- Supporting the development and dissemination of policy approaches with the potential to galvanize constructive debate and policy action across traditional issue and partisan divides. Priority is given to broad frameworks for responsible U.S. global engagement.

- Strengthening the communications capacity of organizations and networks that advocate on behalf of constructive U.S. action to address global challenges. Priority is given to innovative communications efforts that forge links among diverse groups, highlight broad patterns of U.S. behavior, reach large audiences, and promise to generate momentum for responsible U.S. global engagement.
 - In 2005, the RBF has decided to focus this strategy on selected advocacy and public engagement efforts chosen from the following issue areas: terrorism and the proliferation of deadly weapons, climate change, and fairness in global trade.
 - Across a broader range of issues, priority is also given to efforts with the potential to engage people of faith, young people, business leaders, and women.

Note: Given limited resources, the RBF can only fund a small number of communications-related projects each year. Staff actively work to identify strategic opportunities in the field, so funding for unsolicited proposals is the exception rather than the rule.

- Promoting effective collaboration among organizations seeking to build public support for responsible U.S. global engagement. At present, the Fund pursues this strategy primarily through involvement in the Connect US initiative.

Goal | Promoting Mutual Respect and Understanding between Muslim and Western Societies

The RBF seeks to reduce the divisive and destabilizing tensions that exist between much of the Islamic world and the West, particularly the United States, and to increase the potential for collaboration among Muslim and Western societies on behalf of a better, safer world through the following strategies:

Strategies

- Supporting efforts to ensure that U.S. policies and behaviors reflect an understanding of the complexity and diversity of Muslim societies and contribute to mutually respectful, productive relations with those societies.
- Supporting initiatives that contribute to deeper U.S. public understanding of Islam and Muslim societies. Priority is given to high-leverage efforts that have the potential, over time, to reduce persistent misperceptions and sources of tension between the U.S. and the Muslim world.
- Supporting joint Muslim-Western efforts to address issues and problems that are of shared concern and that have a significant bearing on the relationship between the U.S. and Muslim-majority countries.

Note: At present, the Fund pursues this strategy primarily at the global and transnational levels and is only rarely able to support community-based or country-specific programs.

- Supporting the efforts of Muslim organizations and scholars to contribute to dynamic debate within Muslim societies about the role and future of Islam and of Islamic peoples in a rapidly changing, increasingly interconnected world.

Note: Because of the exploratory nature of this strategy, the Fund will be pursuing it primarily through solicited proposals.

Charles E. Culpeper Human Advancement Program Guidelines

Systemic social change is often required to enhance the lives and promote the well-being of individuals and to conserve ecosystems, but individual aspiration, growth, expression, and action are also necessary to fundamental social change. For this reason, the Fund complements its commitment to systemic change with a commitment to human advancement and the fulfillment of individual potential-by supporting arts and culture, working to improve the quality of education, recognizing and encouraging individual leadership, and helping to mitigate the impact of health threats that undermine human advancement on a nation- or region-wide scale.

These themes will be pursued, based on a careful assessment of local needs and priorities, largely in designated RBF "pivotal places," where the Fund is already active. The Human Advancement program is named after Charles E. Culpeper to honor the legacy and achievements of his foundation, which was established in 1940, for the "betterment of humanity." The Charles E. Culpeper Foundation and the RBF merged in July of 1999.

Arts and Culture

In supporting arts and culture, the RBF is inspired by a conviction that art creates beauty, invites discovery, stimulates reflection, and generates self-knowledge, and that engagement with the arts promotes deeper understanding of human experience among diverse communities. The Fund's support for arts and culture currently takes the following forms:

- In New York City, one of the RBF's pivotal places, the Fund seeks to foster an environment in which artists can flourish, and to help sustain small and midsize cultural organizations, particularly those that are community-based and/or culturally specific.
- The RBF provides annual support to the Asian Cultural Council (ACC), which funds cultural exchange in the visual and performing arts between the United States and the countries of Asia. The ACC funds individual fellowships to artists, scholars, and specialists from Asia undertaking research, study, and creative exploration in the United States. Grants are also made to Americans pursuing similar work in Asia, to cultural institutions involved in particularly significant exchange projects, and to activities that encourage regional dialogue and cooperation within Asia. To learn more about the ACC's programs and application procedures, please visit www.asianculturalcouncil.org

Education

The role of education in human advancement is universally recognized. Good schools and inspiring teachers bring meaning and value to students' lives, nurture their aspirations, and help them acquire the skills they need to achieve their goals and realize their potential. Good schools teach respect for all people and for the diversity of life on Earth; they develop young people's confidence and capacity for civic leadership, and are an essential component of strong communities. Inspiring teachers are catalysts for improvement in their schools and often become leaders for change in the larger community as well. Based on these convictions, the RBF seeks to improve education in the following ways:

- In New York City, one of the RBF's designated pivotal places, the Fund seeks to promote civic responsibility for school improvement, strengthen constituencies for effective public education, and create opportunities for young people to grow through active engagement with their communities.
- At a time of profound demographic shifts in communities and classrooms, the Rockefeller Brothers Fund Fellowships for Aspiring Teachers of Color program assists outstanding students of color from selected U.S. colleges and universities to pursue graduate studies and begin teaching in public schools. The RBF also furthers the professional development of Fellows after they have begun their careers in teaching. Note: The fellowships program is open only to students who attend one of the participating higher education institutions.
- In South Africa, another RBF pivotal place, the Fund seeks to improve the quality and accessibility of basic education for children in the area of early childhood development and primary learning,

with a special focus on the developmental needs of orphans and vulnerable children and on the impact of HIV/AIDS on basic education. Please see the grantmaking guidelines for South Africa for additional information.

Leadership

No government, acting alone, can solve the problems or enhance the opportunities that globalization creates. Much the same may be said of individuals: Teamwork and partnership are essential to building social movements and promoting systemic change. But the role of individual leaders in imagining alternatives, inspiring hope, and galvanizing collective action is also crucial. This belief informs all of the RBF's grantmaking, and is the specific impetus for the Fund's involvement with the Ramon Magsaysay Awards program in the Philippines:

- The Ramon Magsaysay Awards were established in the late 1950s by members of the Rockefeller family to honor individuals and organizations in Asia whose civic contributions and leadership "exemplify the greatness of spirit, integrity, and devotion to freedom of Ramon Magsaysay," former president of the Philippines who died tragically in an airplane crash. Often regarded as the Nobel Prizes of Asia, these awards are presented in six categories: government service; public service; community leadership; international understanding; journalism, literature, and creative communication arts; and emergent leadership. Up to six awards of \$50,000 each are given annually by the board of trustees of the Ramon Magsaysay Award Foundation, which is headquartered in Manila and receives significant support from the RBF. For more information, see www.rmaf.org.ph.
- The Rockefeller Brothers Fund Fellowships for Aspiring Teachers of Color, while primarily designed to improve public education, also nurtures individual leadership.

Health

In some regions of the world, infectious disease prevents the realization of individual potential and undermines the family, community, and institutional supports on which human advancement depends. At the same time, positive change cannot occur at the ground level unless we encourage the professional development of medical practitioners and support new approaches to medicine. The RBF is actively engaged on both counts.

- In South Africa, an RBF pivotal place, the Fund has responded to the HIV/AIDS pandemic by supporting efforts to assist orphans and vulnerable children in achieving their full potential as individuals and as constructive members of society.

RBF Pivotal Places

The Fund pursues its four program interests—Democratic Practice, Sustainable Development, Peace and Security, and Human Advancement—in a variety of geographic contexts. In addition, the Fund has identified several specific locations on which to concentrate cross-programmatic grantmaking attention. The Fund refers to these as RBF "pivotal places": sub-national areas, nation-states, or cross-border regions which have special importance with regard to the Fund's substantive concerns and whose future will have disproportionate significance for the future of a surrounding region, an ecosystem, or, indeed, the globe.

While there are many places in the world that might be considered pivotal, the selection of RBF pivotal places is guided by both the Fund's program interests and grantmaking history. These are places where the Fund judges that because of its experience, knowledge, and program interests, its grantmaking could be particularly effective, and where the Fund generally makes a long-term commitment. The Fund's engagement in these places is multidisciplinary, involving two or more RBF program interests. It is also responsive to local needs and priorities; indeed, the Fund may pursue its broad programmatic goals in different ways within each RBF pivotal place.

The Fund currently works in four RBF pivotal places:

New York City Pivotal Place Guidelines

Democratic Practice

Goal | Encouraging Civic Engagement

The Fund seeks to improve the quality of life in disadvantaged neighborhoods.

Strategies

- Supporting civic initiatives and community processes that address locally identified priorities.
- Strengthening community leadership capacity, particularly among immigrant populations
- Encouraging collaboration among local institutions and across sectors.

Sustainable Development

Goal | Building Sustainable Communities

The Fund seeks to improve the safety, aesthetic quality, and the spiritual and community life of New York City neighborhoods through the following strategies:

Strategies

- Assisting community-based initiatives that encourage respect and care for the natural and built environment and that enhance or reclaim public space.
- Supporting opportunities for community engagement in local development and planning processes.
- Advancing innovative ideas and projects that promote the concept of New York as a sustainable city.

Human Advancement

Goal | Improving Public Schools

The Fund seeks to promote civic responsibility for school improvement, through the following strategies:

Strategies

- Supporting projects that reach out to and engage new constituencies in public education improvement efforts.
- Fostering collaborations/networks among community groups, academic centers, education advocacy groups and public institutions to improve the New York City public education system.
- Strengthening the capacity of community groups to engage in public education advocacy and reform related initiatives.

Goal | Supporting the Creative Process

The Fund seeks to foster an environment in which artists and the creative process can flourish through the following strategy:

Strategy

- Supporting organizations that assist individual artists and the creative process provide infrastructure to sustain the artistic life, and offer additional opportunities to artists for developing skills complementary to their creative talents.

Goal | Building Capacity in Cultural Organizations

The Fund seeks to sustain and advance small and mid-size cultural organizations, particularly those that are community based and/or culturally specific, with annual budgets under \$4 million, through the following strategies:

Strategies

- Supporting core operations by providing non-renewable, three-year capacity-building grants of up to \$50,000 per year, as a source of stable funding and added vitality in the immediate term.
- Strengthening long-term financial viability by providing endowment grants of up to \$250,000 matched on a one-to-one basis to cultural and arts organizations that demonstrate the potential for long-term leadership and excellence in the presentation of creative work to the broadest possible audiences.
- Enhancing institutional leadership through competitive awards to arts and cultural organizations for innovative, team-based leadership conferences designed to strengthen long-range organizational management and governance. The Fund's Pocantico Conference Center will host representatives from selected organizations (including senior management, artistic leadership, and board members) for one- or two-day conferences on issues of institutional advancement. Each selected organization will receive a grant of up to \$15,000 to cover the costs of the leadership conference, including the participation of professional consultants, advisors, or facilitators.

South Africa Pivotal Place Guidelines

Human Advancement

Goal | Improving Basic Education

The Fund seeks to improve the quality and accessibility of basic education for children in the areas of early childhood development and primary learning through the following strategies:

Strategies

- Assisting efforts to address the impact of HIV/AIDS on basic education, including:
 - Enabling the introduction and evaluation of new models of early childhood education and primary learning that integrate a concern for HIV/AIDS.
 - Supporting new models of teacher training to respond to the need for more teachers and to assist teachers to support orphans and vulnerable children.
 - Assisting primary schools to become nodes of care, education, and support for increasingly vulnerable children.
- Supporting research and advocacy efforts to improve the quality and accessibility of basic education for children.

Goal | Assisting Orphans and Vulnerable Children

The Fund supports efforts to assist orphans and vulnerable children in achieving their full potential as individuals and as members of society through the following strategies:

Strategies

- Encouraging the development and evaluation of innovative models of care, education, and support for orphans and vulnerable children.
- Strengthening the institutional capacity of nonprofit organizations, university programs, and government agencies working with orphans and vulnerable children.
- Supporting targeted social science research that will improve the understanding and effectiveness of work related to orphans and vulnerable children.

Sustainable Development | Democratic Practice | Peace and Security

Goal | Addressing the Societal Impacts of HIV/AIDS

The Fund works to strengthen understanding of the linkages between HIV/AIDS and democratic practice, sustainable development, and peace and security issues in South Africa, and to promote integrated responses to the broad societal impacts of the HIV/AIDS pandemic through the following strategies:

Strategies

- Supporting and disseminating research to improve understanding of linkages between HIV/AIDS and sustainable development, democratic practice, and peace and security.
- Strengthening the capacity of nonprofit organizations, university programs, and government agencies working on linkages between HIV/AIDS and these three issues, including the facilitation of cross-sectoral communication and collaboration.
- Facilitating the introduction and evaluation of promising models of responses to the linkages between HIV/AIDS and these three core issues of RBF.

Southern China

In March 2004, the Rockefeller Brothers Fund decided to concentrate its Asian grantmaking in Southern China, one of the fastest growing and most dynamic regions of the world. This focus builds on the Fund's history of philanthropy in East and Southeast Asia and continues over a century of Rockefeller family philanthropy in China which includes the founding of the Peking Union Medical College in 1917.

The Fund's geographic area of interest encompasses the nine diverse and increasingly interconnected provinces of the Pan Pearl River Delta—Guangdong, Guangxi, Hunan, Yunnan, Sichuan, Guizhou, Fujian, Hainan, and Jiangxi—and, to a lesser extent, Hong Kong and Macau. This region accounts for one-third of China's population and 40 percent of its GDP. It has played a pivotal role in China's extraordinary progress over the last 25 years, progress which includes, among many achievements, the elevation of over 400 million people out of extreme poverty and the growth of China's economy into the fourth largest in the world.

Southern China's rapid development, however, has been accompanied by profound challenges including environmental degradation and growing disparities between rich and poor, urban and rural which, if not addressed, threaten the sustainability of development in the region, the country, and the world. The Chinese government and people have been responding vigorously to these complex challenges. The RBF's grantmaking seeks to assist these efforts, supporting work that advances two of its central programmatic interests: sustainable development and human advancement.

Within these two programmatic interests, the Fund has defined three areas of initial focus. These include addressing the links between the environment and human health; advancing sustainable approaches to meeting the region's energy needs; and strengthening community leadership in support of sustainable development.

At the core of all of the RBF's work in Southern China is a fundamental belief in the wisdom, creativity, and resourcefulness of the Chinese people. The Fund will encourage multidisciplinary approaches to problem-solving that integrate social, economic, environmental, and cultural concerns and it will seek to foster collaboration at all levels and across sectors—government, business, civil society, and academia.

SUSTAINABLE DEVELOPMENT HUMAN ADVANCEMENT

Goal | Promoting Healthy, Sustainable Communities by Addressing the Links between the Environment and Human Health

The health of ecological systems and human health are inextricably connected. The Fund seeks to work at the intersection of these two fields by supporting integrated, multidisciplinary approaches for improving the environment and public health.

Strategies:

- Increasing policymaker and citizen understanding of the relationship between the environment and human health by facilitating innovative research, information sharing, and public education.
- Strengthening the capacity of all sectors—government, business, academic institutions, and civil society—to respond to environmental threats to human health.

Goal | Advancing Ecologically, Economically, and Socially Sustainable Approaches to Energy Conservation and Clean Energy Production

The Fund's work on the sustainable production and use of energy is in its initial stage. Exploratory grantmaking will lead to a more refined strategic focus in the future.

Goal | Strengthening Community Leadership to Support Sustainable Development

A strong civic sector can play a vital role in advancing sustainable development, particularly at the local level. The RBF will support a limited number of projects designed to strengthen community leadership.

Strategies:

- Nurturing indigenous philanthropy.

- Strengthening institutional capacity in the civic sector, including the development of creative models for engaging youth.
- Supporting initiatives that actively engage communities in integrating traditional wisdom and culture with their sustainable development goals.

Western Balkans Pivotal Place Guidelines

Democratic Practice

Goal | Improving the Performance, Accountability, and Transparency of Government

Strategies

- Supporting the development and implementation of internal reforms in select government institutions.
- Assisting government officials, parliamentarians, and emerging public sector leaders to acquire the skills necessary for effective governance.

Goal | Strengthening Constituencies of Citizens Actively Engaged in Building Democracy

Strategies

- Supporting public education and communications activities that promote a deeper understanding of the responsibilities and benefits of open societies and equip citizens to participate in democratic processes.
- Promoting civic engagement of youth, including leadership development activities and efforts to insert fresh ideas into public life at all levels of society.
- Strengthening civil society as an essential component of a robust democratic political culture.

Sustainable Development

Goal | Supporting Efforts to Nurture Economically, Ecologically, and Socially Sustainable Urban and Rural Communities

Strategies

- Fostering experimentation with urban, rural, and regional planning processes that actively engage the public.
- Promoting inclusive collaborations to promote the wise use of natural and cultural resources.
- Assisting efforts to integrate into formal education and life-long learning the knowledge, values, and skills needed for sustainable development.

Cross-Programmatic Initiative: Energy

In 2007, the RBF launched the Cross-Programmatic Initiative: Energy to promote an energy future that: (1) protects against the devastating impacts of global warming; (2) enhances security and advances responsible U.S. global engagement; (3) promotes global sustainable development and economic growth. By overlaying our RBF program lenses on the 21st century energy challenges, we believe that the RBF can help accelerate a transition that takes into account the complementary, but often overlooked, paths of a peaceful, just, and sustainable energy future.

The board approved the initiative in October 2006 with a \$500,000 budget. To enhance collaboration among programs, grants under this initiative are funded at a ratio 3:1 (Energy Initiative: RBF program contributions). In June 2007, the board approved an increase in the Energy Initiative budget, bringing the total budget to \$1 million.

ADDITIONAL INFORMATION

The Rockefeller Brothers Fund maintains a Web site at www.rbf.org that includes information about the Fund's program guidelines, descriptions of recent grants, and a list of publications, many of which are available online.

In addition the RBF submits information about its grantmaking on a regular basis to the Foundation Center for inclusion in its key print and electronic resources. The Foundation Center provides free access to a core collection of its publications and CD-ROMs through a network of reference libraries in New York; Washington, D.C.; Atlanta; Cleveland; and San Francisco, as well as Cooperating Collections in more than 200 locations nationwide. Information about the location of Cooperating Collections can be obtained from the Foundation Center by calling 1-800-424-9836.

Detail of Employee Matching Gifts

The Rockefeller Brothers Fund makes matching grants only to U.S. publicly supported organizations.

Date	Organization	Matching Gift Amount
12/21/2007	FRIENDS SEMIANRY	\$ 500.00
12/28/2007	FRIENDS SEMIANRY	500.00
12/28/2007	WINROCK INTERNATIONAL	500.00
3/8/2007	STONY BROOK MILLSTONE	50.00
3/8/2007	AID TO ARTISANS INC	50.00
3/8/2007	CUBAN ARTISTS FUND INC.	100.00
3/8/2007	NORTHWESTERN UNIVERSITY	200.00
3/8/2007	UNIVERSITY OF MICHIGAN	100.00
3/8/2007	HUMAN RIGHTS FIRST	50.00
3/8/2007	D & R GREENWAY LAND TRUST	50.00
3/8/2007	URBAN HOMESTEADING ASSISTANCE	50.00
3/8/2007	FRIENDS OF PRINCETON	50.00
3/8/2007	QUEBEC LABRADOR FOUNDATION	250.00
3/8/2007	ISLAND RESOURCES FOUNDATION	250.00
3/8/2007	INTERNATIONAL HOUSE	250.00
3/8/2007	PROJECT ON ETHNIC RELATIONS I	100.00
3/8/2007	DEMOS	50.00
3/20/2007	BELGIAN AMERICAN EDUCATIONAL	200.00
3/20/2007	WLIW 21	50.00
3/20/2007	THE RESOURCE FOUNDATION	100.00
3/20/2007	THE WOODSTOCK FOUNDATION	250.00
3/20/2007	EDUCATION BROADCASTING CORP	50.00
3/29/2007	WHYY, INC	50.00
4/26/2007	PRINCETON FIRST AID AND RESCUE	25.00
6/28/2007	WNYC RADIO	75.00
7/17/2007	INTERNATIONAL HOUSE	100.00
8/3/2007	DEMOS	50.00
8/10/2007	COUNCIL ON FOREIGN RELATIONS	100.00
8/10/2007	PARTNERS OF THE AMERICAS	50.00
8/10/2007	FRIENDS OF VIA	35.00
8/31/2007	WOODSTOCK FOUNDATION	250.00
8/31/2007	QUEBEC LABRADOR FOUNDATION	250.00
6/20/2007	SPECIAL OLYMPICS NY	50.00
9/14/2007	INFINITE FAMILY	240.00
10/5/2007	INFINITE FAMILY	240.00
12/21/2007	RIVERSIDE PART FUND	50.00
12/14/2007	LIMA COMMUNITY FOUNDATION	500.00
12/14/2007	NATIONAL COUNCIL OF NON PROFIT	1,000.00
12/21/2007	BOWDOIN COLLEGE	1,500.00
12/21/2007	EMMA WILLARD SCHOOL	500.00
8/3/2007	QUEENS LIBRARY FOUNDATION	75.00
9/14/2007	BMCC FOUNDATION	300.00

ROCKEFELLER BROTHERS FUND

13-1760106

437 Madison Avenue

New York, NY 10022

SCHEDULE 20**FORM 990-PF****YEAR 2007****Detail of Employee Matching Gifts**

The Rockefeller Brothers Fund makes matching grants only to U.S. publicly supported organizations.

<u>Date</u>	<u>Organization</u>	<u>Matching Gift Amount</u>
9/28/2007	THIRTEEN WNET	75.00
9/28/2007	WLIW 21	60.00
9/21/2007	NYC AUDUBON	25.00
9/28/2007	LINNAEAN SOCIETY OF NEW YORK	35.00
3/8/2007	GAY AND LESBIAN ALLIANCE	25.00
3/29/2007	LYRICA, INC	80.00
3/29/2007	HOSPICE CARE IN	50.00
10/31/2007	STONE EDUCATIONAL SYSTEMS, INC	2,500.00
11/16/2007	CHILDREN'S CHORUS OF WASHINGTON	100.00
11/21/2007	LYRICA, INC	100.00
4/26/2007	AMERICAN CANCER SOCIETY	25.00
1/12/2007	FSC GLOBAL FUND	500.00
5/3/2007	COMMONWEAL	500.00
5/10/2007	BREAST CANCER FUND	100.00
6/20/2007	PRINCETON UNIVERSITY	500.00
6/21/2007	PRINCETON UNIVERSITY	500.00
6/20/2007	INTERFAITH NUTRITION NETWORK	200.00
11/21/2007	ANIMAL HAVEN, INC	1,000.00
11/21/2007	SAVE A PET ANIMAL RESCUE INC	500.00
11/21/2007	SAVE (SILENT ANIMAL VOICES ECH)	500.00
12/21/2007	BIDE AWEE HOME ASSOCIATION	200.00
9/14/2007	INFINITE FAMILY	370.00
5/3/2007	JOHN HOPKINS UNIVERSITY	200.00
6/20/2007	HOFSTRA UNIVERSITY	200.00
9/28/2007	HOFSTRA UNIVERSITY	800.00
3/8/2007	CENTER FOR EFFECTIVE	500.00
9/21/2007	THRESHOLD SERVICES	150.00
5/10/2007	BETTY'S AIDS EDUCATION	250.00
6/20/2007	NEW YORK FOUNDATION	200.00
6/5/2007	EXIT ART	500.00
7/17/2007	NORTHWEST BRONX COMMUNITY	250.00
7/24/2007	FRIENDS OF HUDSON RIVER PARK	200.00
9/21/2007	MULLER WORKS FOUNDATION	250.00
10/31/2007	CASITA MARIA	1,350.00
3/8/2007	ASTRAEA LESBIAN FOUNDATION	40.00
3/29/2007	NEWARK PUBLIC RADIO	50.00
7/17/2007	FRIENDS OF HUDSON RIVER PARK	100.00
9/28/2007	THE LEUKEMIA & LYPHOMA SOCIETY	100.00

ROCKEFELLER BROTHERS FUND

13-1760106

437 Madison Avenue

New York, NY 10022

SCHEDULE 20**FORM 990-PF****YEAR 2007****Detail of Employee Matching Gifts**

The Rockefeller Brothers Fund makes matching grants only to U.S. publicly supported organizations.

<u>Date</u>	<u>Organization</u>	<u>Matching Gift Amount</u>
11/8/2007	AUDRE LORDE PROJECT	50.00
12/7/2007	CASA ATABEX ACHE	50.00
3/8/2007	NEWARK HABITAT FOR COMMUNITY	64.00
3/8/2007	GILDA'S CLUB NEW YORK CITY	50.00
3/29/2007	HABITAT FOR HUMANITY NEW YORK	50.00
4/26/2007	LESBIAN AND GAY COMMUNITY CENTER	25.00
4/26/2007	MUSEUM OF MODERN ART	120.00
4/26/2007	SPECIAL OLYMPICS NEW YORK	50.00
6/5/2007	PORT DEPOSIT HERITAGE CORP	25.00
6/28/2007	AUTISM SPEAKS	100.00
8/3/2007	CEC STUYVESANT COVE	125.00
8/24/2007	PORT DEPOSIT HERITAGE CORP	60.00
9/14/2007	CECIL COLLEGE FOUNDATION	200.00
9/21/2007	AIDS WALK NEW YORK	50.00
9/21/2007	AIDS WALK NEW YORK	50.00
12/14/2007	THE WOOSTER FUND	100.00
12/21/2007	THIRTEEN WNET	125.00
3/8/2007	SOCIETY FOR PSP	50.00
6/20/2007	SAVE THE CHILDREN FUND	25.00
9/28/2007	ST. JOHN VILLA ACADEMY	1,000.00
4/26/2007	PEOPLE FOR PROGRESS IN INDIA	2,312.00
5/3/2007	WORLD VISION INC.	50.00
4/26/2007	CYSTIC FIBROSIS FOUNDATION	50.00
3/8/2007	CARE	50.00
3/8/2007	INTERNATIONAL RESCUE COMMITTEE	50.00
3/8/2007	COLUMBIA UNIVERSITY	50.00
12/21/2007	NOTRE DAME ANNUAL FUND	200.00
10/19/2007	ASPCA	30.00
3/8/2007	SHELBURNE MUSEUM	1,000.00
3/8/2007	BARD COLLEGE	300.00
4/26/2007	BATES COLLEGE	500.00
12/27/2007	TWAIN HOUSE AND MUSEUM	500.00
5/3/2007	HOSPICE & PALLIATIVE CARE	100.00
11/16/2007	ASIA SOCIETY	120.00
12/14/2007	THE METROPOLITAN MUSEUM OF ART	250.00
3/20/2007	ADDISON COUNTY	50.00
6/5/2007	JACOB BURNS FILM CENTER	80.00
6/20/2007	JACOB BURNS FILM CENTER	80.00
9/14/2007	HOSPICE CARE OF WESTCHESTER	100.00
3/8/2007	HEIFER PROJECT INTERNATIONAL	165.00
		<u>\$ 30,681.00</u>

PART XV, Lines 3(a) and 3(b) Grants and Contributions Paid During the Year and Approved for Future Payment
SCHEDULE OF GRANTS TO INDIVIDUALS
 FYE12/31/2007

SCHEDULE 20

NOTE: There are no relationships between any individual grantee and any foundation manager or substantial contributor.

Recipient and Purpose	Beginning Balance	Approved in 2007	Lapsed in 2007	Paid in 2007	Ending Balance
Adams, Shayla Columbia, MD <i>Fellowships for Aspiring Teachers of Color.</i>	\$0	\$22,100	\$0	\$2,500	\$19,600
Aguirre, Miriam Fontana, CA <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$0	\$6,000	\$13,600
Aiken, Amamda Hopewell Junction, NY <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$0	\$6,000	\$13,600
Alston, Kim Brighton, MA <i>Fellowships for Aspiring Teachers of Color.</i>	\$13,600	\$0	\$0	\$6,000	\$7,600
Alvarado, Danielle Los Gatos, CA <i>Fellowships for Aspiring Teachers of Color.</i>	\$2,400	\$0	\$0	\$1,200	\$1,200
Arciniegas, Migdalia Bronx, NY <i>Fellowships for Aspiring Teachers of Color.</i>	\$3,600	\$0	\$3,600	\$0	\$0
Arellano, Monica Los Angeles, CA <i>Fellowships for Aspiring Teachers of Color.</i>	\$0	\$22,100	\$0	\$2,500	\$19,600
Arteaga, Sonia Huntington Park, CA 90255 <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$3,600	\$0	\$3,600	\$0	\$0

PART XV, Lines 3(a) and 3(b) Grants and Contributions Paid During the Year and Approved for Future Payment
SCHEDULE OF GRANTS TO INDIVIDUALS
 FYE12/31/2007

SCHEDULE 20

NOTE: There are no relationships between any individual grantee and any foundation manager or substantial contributor.

Recipient and Purpose	Beginning Balance	Approved in 2007	Lapsed in 2007	Paid in 2007	Ending Balance
Atkins, Alishia Hampton, VA <i>Fellowships for Aspiring Teachers of Color.</i>	\$7,600	\$0	\$4,000	\$1,200	\$2,400
Baird, Bianca Indianapolis, IN <i>Fellowships for Aspiring Teachers of Color.</i>	\$0	\$22,100	\$0	\$2,500	\$19,600
Barraza, Claudia San Gabriel, CA <i>Fellowship for Aspiring Teachers of Color</i>	\$0	\$22,100	\$0	\$2,500	\$19,600
Bauerle, Phenocia El Cerrito, CA <i>Fellowships for Aspiring Teachers of Color.</i>	\$3,600	\$0	\$3,600	\$0	\$0
Bedney, Adriana Queens Village, NY <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$0	\$6,000	\$13,600
Berry, Patrice Hagerstown, Maryland <i>Fellowships for Aspiring Teachers of Color.</i>	\$13,600	\$0	\$4,000	\$6,000	\$3,600
Bethel, Thalia Ann Brooklyn, NY <i>Fellowships for Aspiring Teachers of Color.</i>	\$0	\$22,100	\$0	\$2,500	\$19,600
Bond, Charmelia Philadelphia, PA <i>Fellowships for Aspiring Teachers of Color..</i>	\$3,600	\$0	\$3,600	\$0	\$0

PART XV, Lines 3(a) and 3(b) Grants and Contributions Paid During the Year and Approved for Future Payment
SCHEDULE OF GRANTS TO INDIVIDUALS
 FYE12/31/2007

SCHEDULE 20

NOTE: There are no relationships between any individual grantee and any foundation manager or substantial contributor.

Recipient and Purpose	Beginning Balance	Approved in 2007	Lapsed in 2007	Paid in 2007	Ending Balance
Bugg, Maya Durham, NC <i>Fellowships for Aspiring Teachers of Color.</i>	\$1,200	\$0	\$0	\$0	\$1,200
Cain, Jessica Fayetteville, NC <i>Fellowships for Aspiring Teachers of Color.</i>	\$3,600	\$0	\$0	\$0	\$3,600
Carter, Melanie Champaign, IL <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$19,600	\$0	\$0
Castano, Giselle Manlius, NY <i>Fellowship for Aspiring Teachers of Color</i>	\$19,600	\$0	\$0	\$0	\$19,600
Charlot, Annick Somerville, Massachusetts <i>Fellowships for Aspiring Teachers of Color.</i>	\$13,600	\$0	\$4,000	\$6,000	\$3,600
Chavez, Emily Durham, NC <i>Fellowships for Aspiring Teachers of Color.</i>	\$1,200	\$0	\$1,200	\$0	\$0
Chen, Thomas S. Hillsborough, NJ <i>Fellowship for Aspiring Teachers of Color</i>	\$19,600	\$0	\$0	\$6,000	\$13,600
Chenier, Spencer Washington, DC <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$3,600	\$0	\$3,600	\$0	\$0

PART XV, Lines 3(a) and 3(b) Grants and Contributions Paid During the Year and Approved for Future Payment
SCHEDULE OF GRANTS TO INDIVIDUALS
 FYE12/31/2007

SCHEDULE 20

NOTE: There are no relationships between any individual grantee and any foundation manager or substantial contributor.

Recipient and Purpose	Beginning Balance	Approved in 2007	Lapsed in 2007	Paid in 2007	Ending Balance
Clark, Kimberly Daly City, CA 94015 <i>Fellowships for Aspiring Teachers of Color.</i>	\$6,400	\$0	\$0	\$1,200	\$5,200
Cooper, Rachel Altadena, California <i>Fellowships for Aspiring Teachers of Color.</i>	\$11,600	\$0	\$0	\$8,000	\$3,600
Cozzarelli, Tatiana Vienna, VA <i>Fellowships for Aspiring Teachers of Color.</i>	\$0	\$22,100	\$0	\$2,500	\$19,600
Cruz, Natalia Haverstraw, NY <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$0	\$0	\$19,600
Curtis-Burnes, Julia New York, NY <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$0	\$6,000	\$13,600
Davenport-Harris, Crystal Windermere, FL <i>Fellowships for Aspiring Teachers of Color..</i>	\$3,600	\$0	\$0	\$2,400	\$1,200
Davis, Elyse Lithonia, Georgia <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$3,600	\$0	\$3,600	\$0	\$0
Davis, Ja'Dell Los Angeles, CA <i>Fellowships for Aspiring Teachers of Color.</i>	\$13,600	\$0	\$4,000	\$7,200	\$2,400

SCHEDULE OF GRANTS TO INDIVIDUALS

FYE12/31/2007

SCHEDULE 20

NOTE: There are no relationships between any individual grantee and any foundation manager or substantial contributor.

Recipient and Purpose	Beginning Balance	Approved in 2007	Lapsed in 2007	Paid in 2007	Ending Balance
Delgado, Prisciliana San Marcos, TX <i>Fellowships for Aspiring Teachers of Color.</i>	\$11,600	\$0	\$0	\$8,000	\$3,600
Diaz, Cecelia Bell Gardens, CA <i>Fellowships for Aspiring Teachers of Color.</i>	\$3,600	\$0	\$0	\$1,200	\$2,400
Elmore, Marlena Williamstown, MA <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$0	\$6,000	\$13,600
Espinoza, Nancy Ontario, CA <i>Fellowships for Aspiring Teachers of Color.</i>	\$1,200	\$0	\$0	\$0	\$1,200
Fleming, Nicole New Haven, CT <i>Fellowships for Aspiring Teachers of Color.</i>	\$3,600	\$0	\$0	\$1,200	\$2,400
Francis, Corvena Bronx, NY <i>Fellowships for Aspiring Teachers of Color.</i>	\$0	\$22,100	\$0	\$2,500	\$19,600
Gajadar, Jodi Otego, NY <i>Fellowships for Aspiring Teachers of Color.</i>	\$0	\$22,100	\$0	\$2,500	\$19,600
Garcia, Araceli Los Angeles, CA <i>Fellowships for Aspiring Teachers of Color.</i>	\$3,600	\$0	\$3,600	\$0	\$0

SCHEDULE OF GRANTS TO INDIVIDUALS

FYE12/31/2007

SCHEDULE 20

NOTE: There are no relationships between any individual grantee and any foundation manager or substantial contributor.

Recipient and Purpose	Beginning Balance	Approved in 2007	Lapsed in 2007	Paid in 2007	Ending Balance
Garza, Gabriel Martindale, TX <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$19,600	\$0	\$0
Golden, Nicole Miami, FL <i>Fellowships for Aspiring Teachers of Color.</i>	\$0	\$22,100	\$0	\$2,500	\$19,600
Gonzalez, Elaine Los Angeles, CA <i>Fellowships for Aspiring Teachers of Color.</i>	\$3,600	\$0	\$0	\$1,200	\$2,400
Gonzalez, Irina Laredo, TX <i>Fellowships for Aspiring Teachers of Color.</i>	\$0	\$22,100	\$0	\$2,500	\$19,600
Granillo, Omar Bronx, NY <i>Fellowships for Aspiring Teachers of Color.</i>	\$3,600	\$0	\$0	\$0	\$3,600
Hall, Bradford Browning, MT <i>Fellowships for Aspiring Teachers of Color.</i>	\$0	\$22,100	\$0	\$2,500	\$19,600
Hamilton, Qeona Los Angeles, CA <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$1,200	\$0	\$0	\$0	\$1,200
Hardy, Kimberly Tyler, TX <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$0	\$0	\$19,600

PART XV, Lines 3(a) and 3(b) Grants and Contributions Paid During the Year and Approved for Future Payment
SCHEDULE OF GRANTS TO INDIVIDUALS
 FYE12/31/2007

SCHEDULE 20

NOTE: There are no relationships between any individual grantee and any foundation manager or substantial contributor.

Recipient and Purpose	Beginning Balance	Approved in 2007	Lapsed in 2007	Paid in 2007	Ending Balance
Harris, Joycelyn Missouri City, TX <i>Fellowships for Aspiring Teachers of Color.</i>	\$11,600	\$0	\$0	\$8,000	\$3,600
Harris, Rabiah Washington, DC <i>Fellowships for Aspiring Teachers of Color.</i>	\$3,600	\$0	\$0	\$0	\$3,600
Hernandez, Yesenia Los Angeles, CA <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$0	\$0	\$19,600
Hogan, Brooke Los Angeles, CA <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$0	\$8,000	\$11,600
Hsu, Taica Stanford, CA <i>Fellowships for Aspiring Teachers of Color.</i>	\$13,600	\$0	\$0	\$7,200	\$6,400
Hughes, Nicole Atlanta, GA <i>Fellowships for Aspiring Teachers of Color.</i>	\$2,400	\$0	\$0	\$0	\$2,400
Iniguez, Jeaneth Wilmington, CA <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$0	\$8,000	\$11,600
Ivey, Raymond Los Angeles, CA <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$3,600	\$0	\$3,600	\$0	\$0

SCHEDULE OF GRANTS TO INDIVIDUALS

FYE12/31/2007

SCHEDULE 20

NOTE: There are no relationships between any individual grantee and any foundation manager or substantial contributor.

Recipient and Purpose	Beginning Balance	Approved in 2007	Lapsed in 2007	Paid in 2007	Ending Balance
Jackson, Kimberly Jackson, GA <i>Fellowships for Aspiring Teachers of Color.</i>	\$13,600	\$0	\$0	\$6,000	\$7,600
Jeffries, Eva New York, NY <i>2006 Fellowship for Aspiring Teachers of Color</i>	\$19,600	\$0	\$0	\$8,000	\$11,600
Jenkins, Salema Philadelphia, PA <i>Fellowships for Aspiring Teachers of Color.</i>	\$3,600	\$0	\$0	\$0	\$3,600
Jeter, Ayesha Piscataway, NJ <i>Fellowships for Aspiring Teachers of Color.</i>	\$0	\$22,100	\$0	\$2,500	\$19,600
Jiles, David Summit, IL <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$0	\$0	\$19,600
Johnson, Ashley Boston, MA <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$0	\$6,000	\$13,600
Johnson, Traci Detroit, MI <i>Fellowships for Aspiring Teachers of Color.</i>	\$1,200	\$0	\$0	\$1,200	\$0
Killsback, Leo Tucson, AZ <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$3,600	\$0	\$3,600	\$0	\$0

PART XV, Lines 3(a) and 3(b) Grants and Contributions Paid During the Year and Approved for Future Payment
SCHEDULE OF GRANTS TO INDIVIDUALS
 FYE12/31/2007

SCHEDULE 20

NOTE: There are no relationships between any individual grantee and any foundation manager or substantial contributor.

Recipient and Purpose	Beginning Balance	Approved in 2007	Lapsed in 2007	Paid in 2007	Ending Balance
King, Rachel Katonah, NY <i>Fellowships for Aspiring Teachers of Color.</i>	\$13,600	\$0	\$4,000	\$6,000	\$3,600
Lau, Joanna Brooklyn, NY <i>Fellowships for Aspiring Teachers of Color.</i>	\$13,600	\$0	\$0	\$6,000	\$7,600
Laud, Nikhil Roslyn, NY <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$3,600	\$0	\$3,600	\$0	\$0
Leanos, Irma Garden Grove, CA <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$0	\$0	\$19,600
Lee, Adrienne Winchester, MA <i>Fellowship for Aspiring Teachers of Color</i>	\$19,600	\$0	\$0	\$6,000	\$13,600
Lee, Celeste Jonesboro, GA <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$2,400	\$0	\$2,400	\$0	\$0
Lee, Juhjung Los Angeles, California <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$0	\$12,000	\$7,600
Leon, Veronica Orange Grove, CA <i>Fellowships for Aspiring Teachers of Color.</i>	\$3,600	\$0	\$0	\$3,600	\$0

SCHEDULE OF GRANTS TO INDIVIDUALS

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SCHEDULE 20

NOTE: There are no relationships between any individual grantee and any foundation manager or substantial contributor.

Recipient and Purpose	Beginning Balance	Approved in 2007	Lapsed in 2007	Paid in 2007	Ending Balance
Leung, Christopher Upland, CA <i>Fellowships for Aspiring Teachers of Color.</i>	\$3,600	\$0	\$0	\$0	\$3,600
Lien, Tse Ching Temple City, CA <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$19,600	\$0	\$0
Lopez, Brenda Pico Rivera, CA <i>Fellowships for Aspiring Teachers of Color.</i>	\$13,600	\$0	\$0	\$7,200	\$6,400
Lopez, Jr., Eduardo Rio Grande City, TX 78582 <i>Fellowships for Aspiring Teachers of Color.</i>	\$0	\$22,100	\$0	\$2,500	\$19,600
Lopez, Roxane Chicago, IL <i>Fellowships for Aspiring Teachers of Color.</i>	\$3,600	\$0	\$0	\$0	\$3,600
Lopez, Stephanie Austin, TX <i>Fellowships for Aspiring Teachers of Color.</i>	\$11,600	\$0	\$0	\$0	\$11,600
Luong, Phuong Brooklyn, NY <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$0	\$0	\$19,600
Mark, Julianne Allston, MA <i>Fellowships for Aspiring Teachers of Color.</i>	\$13,600	\$0	\$13,600	\$0	\$0
Mark, May Brooklyn, NY <i>Fellowships for Aspiring Teachers of Color.</i>	\$0	\$22,100	\$0	\$2,500	\$19,600

PART XV, Lines 3(a) and 3(b) Grants and Contributions Paid During the Year and Approved for Future Payment
SCHEDULE OF GRANTS TO INDIVIDUALS
 FYE12/31/2007

SCHEDULE 20

NOTE: There are no relationships between any individual grantee and any foundation manager or substantial contributor.

Recipient and Purpose	Beginning Balance	Approved in 2007	Lapsed in 2007	Paid in 2007	Ending Balance
Marquez, Maria Middle Village, NY <i>Fellowships for Aspiring Teachers of Color.</i>	\$3,600	\$0	\$0	\$0	\$3,600
Mars, Jane Dorchester, MA <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$1,200	\$0	\$0	\$1,200	\$0
McCarthy, April Hempstead, NY <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$0	\$12,000	\$7,600
McDaniel, Marissa New York, NY <i>Fellowships for Aspiring Teachers of Color.</i>	\$13,600	\$0	\$4,000	\$6,000	\$3,600
Mendoza, Alejandra Westlaco, TX <i>Fellowships for Aspiring Teachers of Color.</i>	\$3,600	\$0	\$0	\$0	\$3,600
Miles, Anthonika Birmingham, AL <i>Fellowships for Aspiring Teachers of Color.</i>	\$7,600	\$0	\$7,600	\$0	\$0
Mitchell, Merrin Madison, WI <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$3,600	\$0	\$3,600	\$0	\$0
Moore, Diamond Los Angeles, CA <i>Fellowships for Aspiring Teachers of Color.</i>	\$3,600	\$0	\$0	\$0	\$3,600

PART XV, Lines 3(a) and 3(b) Grants and Contributions Paid During the Year and Approved for Future Payment

SCHEDULE OF GRANTS TO INDIVIDUALS

FYE12/31/2007

SCHEDULE 20

NOTE: There are no relationships between any individual grantee and any foundation manager or substantial contributor.

Recipient and Purpose	Beginning Balance	Approved in 2007	Lapsed in 2007	Paid in 2007	Ending Balance
Muigai, Kenneth New York, NY <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$0	\$0	\$19,600
Nekoba, Whitney Kurtistown, HI <i>Fellowships for Aspiring Teachers of Color.</i>	\$0	\$22,100	\$0	\$2,500	\$19,600
Nguyen, Kim-Thoa Houston, TX <i>Fellowships for Aspiring Teachers of Color.</i>	\$0	\$22,100	\$0	\$2,500	\$19,600
Nguyen, Jonathan Philadelphia, PA <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$3,600	\$0	\$3,600	\$0	\$0
Norena, Lina New York, NY <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$2,400	\$0	\$0	\$2,400	\$0
Odackal, John Glastonbury, CT <i>Fellowships for Aspiring Teachers of Color.</i>	\$0	\$22,100	\$0	\$10,500	\$11,600
Osai, Esohe Detroit, MI <i>Fellowships for Aspiring Teachers of Color.</i>	\$2,400	\$0	\$0	\$1,200	\$1,200
Palatulan, Eugene Bronx, NY <i>Fellowships for Aspiring Teachers of Color.</i>	\$2,400	\$0	\$0	\$0	\$2,400

PART XV, Lines 3(a) and 3(b) Grants and Contributions Paid During the Year and Approved for Future Payment

SCHEDULE OF GRANTS TO INDIVIDUALS

FYE12/31/2007

SCHEDULE 20

NOTE: There are no relationships between any individual grantee and any foundation manager or substantial contributor.

Recipient and Purpose	Beginning Balance	Approved in 2007	Lapsed in 2007	Paid in 2007	Ending Balance
Parikh, Arpita Matawan, NJ <i>Fellowships for Aspiring Teachers of Color.</i>	\$7,600	\$0	\$7,600	\$0	\$0
Pena, Anny Brooklyn, NY <i>Fellowships for Aspiring Teachers of Color.</i>	\$7,600	\$0	\$7,600	\$0	\$0
Penn, Morgan Groton, CT <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$0	\$6,000	\$13,600
Perez, Juan Houston, TX <i>Fellowships for Aspiring Teachers of Color.</i>	\$3,600	\$0	\$3,600	\$0	\$0
Perez, Narliebeth Brooklyn, NY <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$0	\$0	\$19,600
Pernell, Brence Blackville, SC <i>Fellowships for Aspiring Teachers of Color.</i>	\$0	\$22,100	\$0	\$2,500	\$19,600
Pinckney, Kim College Park, MD <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$3,600	\$0	\$3,600	\$0	\$0
Pipestem, Veronica Norman, OK <i>Fellowships for Aspiring Teachers of Color.</i>	\$11,600	\$0	\$11,600	\$0	\$0

SCHEDULE OF GRANTS TO INDIVIDUALS

FYE12/31/2007

SCHEDULE 20*NOTE: There are no relationships between any individual grantee and any foundation manager or substantial contributor.*

Recipient and Purpose	Beginning Balance	Approved in 2007	Lapsed in 2007	Paid in 2007	Ending Balance
Pratt, Jaunelle Merchantville, NJ <i>Fellowships for Aspiring Teachers of Color.</i>	\$3,600	\$0	\$0	\$1,200	\$2,400
Pratt, Joel Merchantville, NJ <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$0	\$0	\$19,600
Pryor, Lisa San Antonio, TX <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$1,200	\$0	\$0	\$1,200	\$0
Rasheed, Kameelah Johannesburg SOUTH AFRICA <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$0	\$6,000	\$13,600
Reddick, Krystal Linden, NJ <i>Fellowships for Aspiring Teachers of Color.</i>	\$3,600	\$0	\$0	\$0	\$3,600
Reese, Patrece Jacksonville, FL <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$3,600	\$0	\$0	\$2,400	\$1,200
Refuge, Michelle Los Angeles, CA <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$1,200	\$0	\$0	\$0	\$1,200
Riley, Vernon New Haven, CT <i>Fellowships for Aspiring Teachers of Color.</i>	\$0	\$22,100	\$0	\$2,500	\$19,600

SCHEDULE OF GRANTS TO INDIVIDUALS

FYE12/31/2007

SCHEDULE 20

NOTE: There are no relationships between any individual grantee and any foundation manager or substantial contributor.

Recipient and Purpose	Beginning Balance	Approved in 2007	Lapsed in 2007	Paid in 2007	Ending Balance
Riojas, Priscilla Murphy, TX <i>Fellowships for Aspiring Teachers of Color.</i>	\$0	\$22,100	\$0	\$2,500	\$19,600
Rodriguez, Emilene Bronx, NY <i>Fellowships for Aspiring Teachers of Color.</i>	\$2,400	\$0	\$0	\$0	\$2,400
Rosa, Norma Brooklyn, NY <i>Fellowships for Aspiring Teachers of Color.</i>	\$0	\$22,100	\$0	\$2,500	\$19,600
Rosales, Gloria Inglewood, CA <i>Fellowships for Aspiring Teachers of Color.</i>	\$0	\$22,100	\$0	\$2,500	\$19,600
Rosetta, Faith Santa Fe, NM <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$0	\$0	\$19,600
Rousey, Lydia Bronx, NY <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$0	\$0	\$19,600
Ruiz, Vanessa Carson, CA <i>Fellowships for Aspiring Teachers of Color.</i>	\$3,600	\$0	\$3,600	\$0	\$0
Saenz, Luis Houston, TX <i>Fellowships for Aspiring Teachers of Color.</i>	\$3,600	\$0	\$0	\$0	\$3,600

SCHEDULE OF GRANTS TO INDIVIDUALS

FYE12/31/2007

SCHEDULE 20

NOTE: There are no relationships between any individual grantee and any foundation manager or substantial contributor.

Recipient and Purpose	Beginning Balance	Approved in 2007	Lapsed in 2007	Paid in 2007	Ending Balance
Salgado, Miriam Glendale, CA <i>Fellowships for Aspiring Teachers of Color.</i>	\$1,200	\$0	\$0	\$1,200	\$0
Sandberg, Cara Plymouth, MN <i>Fellowships for Aspiring Teachers of Color.</i>	\$7,600	\$0	\$4,000	\$0	\$3,600
Sapeg, Katrina New York, NY <i>Fellowships for Aspiring Teachers of Color.</i>	\$2,400	\$0	\$0	\$0	\$2,400
Shakir, Rajaa Washington, DC <i>Fellowships for Aspiring Teachers of Color.</i>	\$3,600	\$0	\$3,600	\$0	\$0
Sierra, Stephanie Austin, TX <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$1,200	\$0	\$0	\$1,200	\$0
Simpson, Simona Riverside, RI <i>Fellowships for Aspiring Teachers of Color.</i>	\$2,400	\$0	\$0	\$2,400	\$0
Siordia, Veronica San Diego, CA <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$3,600	\$0	\$3,600	\$0	\$0
Spicer, Katrina Tampa, FL <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$3,600	\$0	\$3,600	\$0	\$0

PART XV, Lines 3(a) and 3(b) Grants and Contributions Paid During the Year and Approved for Future Payment
SCHEDULE OF GRANTS TO INDIVIDUALS
 FYE12/31/2007

SCHEDULE 20

NOTE: There are no relationships between any individual grantee and any foundation manager or substantial contributor.

Recipient and Purpose	Beginning Balance	Approved in 2007	Lapsed in 2007	Paid in 2007	Ending Balance
Steele, Emily Long Beach, CA <i>Fellowships for Aspiring Teachers of Color.</i>	\$2,400	\$0	\$0	\$0	\$2,400
Stevens, Sabrina Edison, NJ <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$0	\$6,000	\$13,600
Stockton, LaKeisha Martinsville, VA <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$0	\$0	\$19,600
Suero, Jennika Bronx, NY <i>Fellowships for Aspiring Teachers of Color.</i>	\$11,600	\$0	\$0	\$0	\$11,600
Sutton, Tyrone Austin, TX <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$0	\$6,000	\$13,600
Tetteh, Nii-Adzei Detroit, MI <i>Fellowships for Aspiring Teachers of Color.</i>	\$2,400	\$0	\$0	\$1,200	\$1,200
Thomas, Miya Silver Spring, MD <i>Fellowships for Aspiring Teachers of Color.</i>	\$3,600	\$0	\$0	\$0	\$3,600
Thomas, Tracee Philadelphoa, PA <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$0	\$8,000	\$11,600

SCHEDULE OF GRANTS TO INDIVIDUALS

FYE12/31/2007

SCHEDULE 20

NOTE: There are no relationships between any individual grantee and any foundation manager or substantial contributor.

Recipient and Purpose	Beginning Balance	Approved in 2007	Lapsed in 2007	Paid in 2007	Ending Balance
Thornton, Lacey Riverside, CA <i>Fellowships for Aspiring Teachers of Color.</i>	\$3,600	\$0	\$0	\$0	\$3,600
Tsai, Jenny Brookline, MA <i>Fellowships for Aspiring Teachers of Color.</i>	\$13,600	\$0	\$0	\$6,000	\$7,600
Turcios, Viana Passaic, NJ <i>Fellowships for Aspiring Teachers of Color.</i>	\$2,400	\$0	\$0	\$1,200	\$1,200
Unkeless, Gideon Durban SOUTH AFRICA <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$19,600	\$0	\$0
Vargas, Karina Riverside, CA <i>Fellowships for Aspiring Teachers of Color.</i>	\$11,600	\$0	\$0	\$0	\$11,600
Vase, Ajoy San Jose, CA <i>Fellowships for Aspiring Teachers of Color.</i>	\$0	\$22,100	\$0	\$2,500	\$19,600
Vinson, Catara Londonville, NY <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$3,600	\$0	\$3,600	\$0	\$0
Vu, Cecilia Westminster, CA <i>Fellowships for Aspiring Teachers of Color.</i>	\$0	\$22,100	\$0	\$2,500	\$19,600

SCHEDULE OF GRANTS TO INDIVIDUALS

FYE12/31/2007

SCHEDULE 20*NOTE: There are no relationships between any individual grantee and any foundation manager or substantial contributor.*

Recipient and Purpose	Beginning Balance	Approved in 2007	Lapsed in 2007	Paid in 2007	Ending Balance
Wang, Sonia Chicago, IL <i>Fellowships for Aspiring Teachers of Color.</i>	\$13,600	\$0	\$0	\$6,000	\$7,600
Washington, Kandice Chicago, IL <i>Fellowships for Aspiring Teachers of Color.</i>	\$13,600	\$0	\$0	\$6,000	\$7,600
Weaver, Ashley Hewitt, TX <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$0	\$6,000	\$13,600
Webster, Reggie Poway, CA <i>Fellowships for Aspiring Teachers of Color.</i>	\$11,600	\$0	\$0	\$8,000	\$3,600
Wimbley, Laureen Washington, DC <i>Fellowships for Aspiring Teachers of Color.</i>	\$13,600	\$0	\$0	\$7,200	\$6,400
Woods, Kisha Cincinnati, OH <i>Fellowships for Aspiring Teachers of Color.</i>	\$0	\$22,100	\$0	\$2,500	\$19,600
Woods, Marci Providence, RI <i>Fellowships for Aspiring Teachers of Color.</i>	\$3,600	\$0	\$3,600	\$0	\$0
Xiong, Kathy Franklin, WI <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$2,400	\$0	\$0	\$1,200	\$1,200

SCHEDULE OF GRANTS TO INDIVIDUALS

FYE12/31/2007

SCHEDULE 20

NOTE: There are no relationships between any individual grantee and any foundation manager or substantial contributor.

Recipient and Purpose	Beginning Balance	Approved in 2007	Lapsed in 2007	Paid in 2007	Ending Balance
Yeh, Linda Amherst, MA 01002 <i>Fellowships for Aspiring Teachers of Color.</i>	\$1,200	\$0	\$0	\$1,200	\$0
Grand Total	\$1,235,200	\$552,500	\$230,000	\$358,900	\$1,198,800

PART XV, Lines 3(a) and 3(b) Grants and Contributions
SCHEDULE OF GRANTS TO ORGANIZATIONS
 FYE12/31/2007

SCHEDULE 20

Recipient and Purpose	Tax Status	Beginning Balance	Approved in 2007	Lapsed in 2007	Paid in 2007	Ending Balance
2020 Vision Education Fund 8403 Colesville Road Silver Spring, MD 20910 <i>For the 2007 National Summit on Energy Security.</i>	2	\$0	\$25,000		\$25,000	\$0
Abraham Path Initiative, Inc. 1245 Pearl Street #208 Boulder, CO 80302 <i>For its programmatic and capacity building efforts.</i>	2	\$0	\$100,000		\$25,000	\$75,000
ActionAid USA ActionAid International USA 1420 K Street, NW Suite 900 Washington, DC 20005 <i>For its advocacy work on development policy choice issues at the international financial institutions on behalf of NGOs and governments in the global south.</i>	2	\$75,000	\$0		\$75,000	\$0
Advocacy Training and Resource Center Rr. Agim Ramadani nr. 15 Prishtina, Kosovo <i>For its transparent forum for debate for Kosovar constituencies in the run-up to the parliamentary, mayoral, and municipal elections.</i>	7	\$0	\$95,000		\$95,000	\$0
Alliance for Children and Families, Inc. 11700 W. Lake Park Drive Milwaukee, WI 53224-3021 <i>For its New Voices at the Civic Table project.</i>	2	\$100,000	\$0		\$100,000	\$0
Alliance for Children and Families, Inc. 11700 W. Lake Park Drive Milwaukee, WI 53224-3021 <i>For a pilot project with Keystone Accountability to develop a constituency perception survey mechanism modeled on the Center for Effective Philanthropy's grantee perception surveys.</i>	2	\$0	\$27,000		\$27,000	\$0
Alliance for Children and Families, Inc. 11700 W. Lake Park Drive Milwaukee, WI 53224-3021 <i>For its New Vision for Civic Engagement program.</i>	2	\$0	\$675,000		\$0	\$675,000
Alliance for Children's Entitlement to Social Security 7 PostNet Suite 78, Private Bag X3 Plumstead 7801 SOUTH AFRICA <i>For general support.</i>		\$70,000	\$0		\$70,000	\$0
Alliance for Children's Entitlement to Social Security 7 PostNet Suite 78, Private Bag X3 Plumstead 7801 SOUTH AFRICA <i>For its Educational Access Project.</i>		\$0	\$64,000		\$64,000	\$0
Alliance for Justice 11 Dupont Circle, NW, 2nd Floor Washington, DC 20036 <i>For the project, Power Amidst Chaos: Foundation Support for Advocacy Related to Disasters.</i>	2	\$0	\$25,000		\$25,000	\$0
Alliance for the Arts, Inc. 330 West 42nd Street Suite 1701 New York, NY 10036 <i>For the development of a comprehensive citywide cultural database.</i>	2	\$50,000	\$0		\$50,000	\$0

PART XV, Lines 3(a) and 3(b) Grants and Contributions
SCHEDULE OF GRANTS TO ORGANIZATIONS
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SCHEDULE 20

Recipient and Purpose	Tax Status	Beginning Balance	Approved in 2007	Lapsed in 2007	Paid in 2007	Ending Balance
Alliance Publishing Trust 76 Sistova Rd London, SW12 9QS UNITED KINGDOM <i>For the publication of their special issue on climate change.</i>	8	\$0	\$5,000		\$5,000	\$0
American Council on Renewable Energy P.O. Box 33518 Washington, DC 20033 <i>For its communication and advocacy program to promote a national clean energy agenda.</i>	2	\$250,000	\$0		\$250,000	\$0
American Council on Renewable Energy P.O. Box 33518 Washington, DC 20033 <i>For its Renewable Energy Communications and Policy Program.</i>	2	\$0	\$500,000		\$250,000	\$250,000
American Foundation for the University of the West Indies 767 Third Avenue Suite 301 New York, NY 10017 <i>For consultant expenses related to the preparation of a Regional Strategy for Reducing the Vulnerability of the Caribbean Island States to Global Climate Change and Sea Level Rise.</i>	2	\$0	\$20,000		\$20,000	\$0
American Indian Artists (AMERINDA), Inc. 12 Rutherford Place New York, New York 10003 <i>For program development and expansion.</i>	2	\$0	\$50,000		\$25,000	\$25,000
American Institute for Social Justice, Inc. 1024 Elysian Fields Avenue New Orleans, LA 70117-8402 <i>For the Brooklyn Education Collaborative.</i>	2	\$50,000	\$0		\$50,000	\$0
American Iranian Council, Inc. 20 Nassau Street, Suite 111 Princeton, NJ 08542 <i>For capacity building activities focused on administrative and financial reorganization.</i>	2	\$0	\$25,000		\$25,000	\$0
American Opera Projects, Inc. 138 South Oxford Street Brooklyn, NY 11217 <i>For an institutional leadership conference at the Pocantico Conference Center, to be held on March 10-11, 2007.</i>	2	\$0	\$15,000		\$15,000	\$0
American Society for Muslim Advancement 475 Riverside Drive, Suite 248 New York, New York 10115 <i>For general support and the Women's Islamic Initiative in Spirituality and Muslim Leaders of Tomorrow projects.</i>	2	\$0	\$100,000		\$50,000	\$50,000
Americans for Informed Democracy Corporation 701 Cathedral Street Suite L#3 Baltimore, MD 21201 <i>For general support.</i>	2	\$0	\$120,000		\$60,000	\$60,000
AmericaSpeaks, Inc. 1050 17th Street, NW, Suite 701 Washington, DC 20036 <i>For its Deliberative Democracy Consortium's work to bridge research and practice in the field of deliberative democracy.</i>	2	\$0	\$148,000		\$74,000	\$74,000

PART XV, Lines 3(a) and 3(b) Grants and Contributions
SCHEDULE OF GRANTS TO ORGANIZATIONS
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SCHEDULE 20

Recipient and Purpose	Tax Status	Beginning Balance	Approved in 2007	Lapsed in 2007	Paid in 2007	Ending Balance
Apollo Theater Foundation, Inc. 253 West 125th Street New York, New York 10027 <i>For the Apollo Salon Series.</i>	2	\$0	\$75,000		\$0	\$75,000
Arabella Legacy Fund 1816 Jefferson Place, NW Washington, DC 20036 <i>For its Creation Care Support Fund.</i>	2	\$0	\$300,000		\$150,000	\$150,000
Arabella Legacy Fund 1816 Jefferson Place, NW Washington, DC 20036 <i>For its project, the Fair Elections Legal Network.</i>	2	\$0	\$15,000		\$15,000	\$0
Arts of Peace, Inc. 854 9th Street, Suite B Arcata, CA 95521 <i>For the production of two series of radio programming produced by its Mainstream Media project.</i>	2	\$0	\$115,000		\$115,000	\$0
ArtLink Belgrade Knez Miletina 1 11000 Belgrade Republic of Serbia <i>For its Art Interventions project.</i>	9	\$15,000	\$0		\$15,000	\$0
ArtsConnection 520 Eighth Avenue Suite 321 New York, NY 10018 <i>For its cash reserve fund.</i>	2	\$75,000	\$0		\$75,000	\$0
Asian Cultural Council, Inc. 6 West 48th Street, 12th Floor New York, NY 10036-1802 <i>To the organization's budget for unrestricted grantmaking.</i>	2	\$0	\$400,000		\$200,000	\$200,000
Aspen Institute, Inc. One Dupont Circle, NW Washington, DC 20036 <i>For its project, Realizing Rights: The Ethical Globalization Initiative.</i>	2	\$200,000	\$0		\$186,000	\$14,000
Aspen Institute, Inc. One Dupont Circle, NW Washington, DC 20036 <i>For its Congressional Program's project, Political Islam: Challenges for U.S. Policy.</i>	2	\$0	\$200,000		\$100,000	\$100,000
Aspen Institute, Inc. One Dupont Circle, NW Washington, DC 20036 <i>To support its workshop, the Global Politics of Energy.</i>	2	\$0	\$125,000		\$125,000	\$0
Aspen Institute, Inc. One Dupont Circle, NW Washington, DC 20036 <i>For a research project on artist-endowed foundations.</i>	2	\$0	\$50,000		\$50,000	\$0
Aspen Institute, Inc. One Dupont Circle, NW Washington, DC 20036 <i>For its Dialogue on International Climate Stabilization Policy.</i>	2	\$0	\$150,000		\$0	\$150,000

PART XV, Lines 3(a) and 3(b) Grants and Contributions
SCHEDULE OF GRANTS TO ORGANIZATIONS
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SCHEDULE 20

Recipient and Purpose	Tax Status	Beginning Balance	Approved in 2007	Lapsed in 2007	Paid in 2007	Ending Balance
Association of Citizens for Democracy and Civic Bulevar Kralja Aleksandra 79/Vsprit 11120 Belgrade SERBIA AND MONTENEGRO <i>For general support.</i>	7	\$0	\$225,000		\$75,000	\$150,000
Balkan Community Initiatives Fund Dobracina 29/1 11 000 Beograd Serbia and Montenegro <i>For general budgetary purposes.</i>	7	\$425,000	\$0		\$200,000	\$225,000
Balkan Investigative Reporting Regional Network Obala Kulina bana 10/2 Sarajevo, 71000 Bosnia and Herzegovina Obala Kulina bana 10/2 Sarajevo, 71000 Bosnia and Herzegovina <i>For general support.</i>	7	\$45,000	\$0		\$45,000	\$0
Balkan Investigative Reporting Regional Network Obala Kulina bana 10/2 Sarajevo, 71000 Bosnia and Herzegovina Obala Kulina bana 10/2 Sarajevo, 71000 Bosnia and Herzegovina <i>For general support.</i>	7	\$0	\$75,000		\$41,000	\$34,000
Balkan Sunflowers Youth, Culture and Sports Hall #114 Luan Haradinaj Street Prishtina KOSOVO <i>For support to the Balkan Sunflowers for it project for public education through movies.</i>	2	\$0	\$18,000		\$18,000	\$0
Ballet Hispanico of New York 167 West 89th Street New York, New York 10024 <i>For its cash reserve fund.</i>	2	\$75,000	\$0		\$75,000	\$0
Bedford Stuyvesant Restoration Corporation 1368 Fulton Street Brooklyn, NY 11216 <i>To further develop the organization's Center for Arts and Culture.</i>	2	\$50,000	\$0		\$50,000	\$0
Belgrade Fund for Political Excellence Dositejeva 9 11000 Belgrade SERBIA AND MONTENEGRO <i>For general budgetary support.</i>	7	\$250,000	\$0		\$150,000	\$100,000
Better World Fund 1225 Connecticut Avenue, NW, Suite 400 Washington, DC 20036 <i>For its Ag Energy Working Group, to pursue a vision of agriculture supplying 25 percent of domestic U.S. energy consumption by 2025.</i>	2	\$200,000	\$0		\$200,000	\$0
Better World Fund 1225 Connecticut Avenue, NW, Suite 400 Washington, DC 20036 <i>For its Task Force on Energy Efficiency and the Grid.</i>	2	\$0	\$200,000		\$100,000	\$100,000

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Beverly Willis Architecture Foundation, Inc. 2 Columbus Avenue Suite 3A New York, New York 10023 <i>For a colloquium on women's contributions to architecture.</i>	4	\$0	\$5,000		\$5,000	\$0
Bridge Initiative International 20 Bld Poissonniere 75009 Paris France <i>For general support.</i>	8	\$100,000	\$0		\$50,000	\$50,000
Bronx Museum of the Arts 1040 Grand Concourse Bronx, New York 10456-3999 <i>For capacity-building initiatives in marketing and program development.</i>	2	\$0	\$70,000		\$35,000	\$35,000
Brookings Institution, The 1775 Massachusetts Avenue, NW Washington, DC 20036- <i>For its project on political polarization in the United States.</i>	2	\$2,200	\$0		\$2,200	\$0
Brookings Institution, The 1775 Massachusetts Avenue, NW Washington, DC 20036- <i>For its project to examine the war on terror and develop alternative paths for its resolution.</i>	2	\$0	\$150,000		\$75,000	\$75,000
Brookings Institution, The 1775 Massachusetts Avenue, NW Washington, DC 20036- <i>For its project, Managing Global Insecurity: American Leadership, International Institutions, and the Search for Peace in the 21st Century.</i>	2	\$0	\$150,000		\$75,000	\$75,000
Brooklyn Academy Local Development Corporation 15 Metrotech Center 19th Floor Brooklyn, New York 11201 <i>For Phase II of the Brooklyn Cultural District Project.</i>	2	\$60,000	\$0		\$60,000	\$0
Brooklyn Academy of Music, Inc. 30 Lafayette Avenue Brooklyn, NY 11217 <i>For the planning of a 2009 program, Illuminating Islam.</i>	2	\$0	\$165,000		\$165,000	\$0
Brooklyn Arts Council 55 Washington Street Suite 218 Brooklyn, New York 11201 <i>For the Folk Feet Dance Workshop Program.</i>	2	\$0	\$50,000		\$50,000	\$0
Brooklyn Bridge Park Conservancy, Inc. 334 Furman Street Brooklyn, NY 11201 <i>For general support.</i>	2	\$0	\$50,000		\$50,000	\$0
Brooklyn Philharmonic Symphony Orchestra, Inc. 138A Court Street Brooklyn, NY 11201 <i>For endowment.</i>	2	\$0	\$200,000		\$50,000	\$150,000
Brown University 164 Angell Street Providence, RI 02912 <i>For its Annenberg Institute for School Reform's Community Involvement Program.</i>	1	\$500,000	\$0		\$250,000	\$250,000

Recipient and Purpose	Tax Status	Beginning Balance	Approved in 2007	Lapsed in 2007	Paid in 2007	Ending Balance
Business & Human Rights Resource Centre 333 Seventh Avenue New York, New York 10001 <i>For consolidation and expansion of its Web site and newsletters on business and human rights.</i>	2	\$50,000	\$0		\$50,000	\$0
Business Leaders for Sensible Priorities 460 West 34th Street New York, NY 10001-2320 <i>For its work to support efforts to develop and articulate a common message and a national call to action on global warming.</i>	2	\$0	\$100,000		\$100,000	\$0
California, University of, The Regents of 1111 Franklin St., 12th floor Oakland, CA 94607 <i>For The War of Ideas: Right Focus, Wrong Strategy, a project of the Berkeley-Duke Center for America's Global Strategic Challenges.</i>	1	\$50,000	\$0		\$50,000	\$0
Campaign Finance Institute 1990 M Street, NW Washington, DC 20036 <i>For its Small Donor Project.</i>	2	\$0	\$100,000		\$50,000	\$50,000
Campaign Legal Center, Inc. 1640 Rhode Island Avenue, NW Washington, DC 20036 <i>To plan and implement a coalition to promote redistricting reform.</i>	2	\$0	\$75,000		\$75,000	\$0
Cape Town, University of Private Bag Rondebosch 7701 Cape Town SOUTH AFRICA <i>To its Centre for Social Science Research for the Democracy in Africa Research Unit's project on AIDS and the quality of democracy in South Africa.</i>	6	\$60,000	\$0		\$60,000	\$0
Cape Town, University of Private Bag Rondebosch 7701 Cape Town SOUTH AFRICA <i>To its Children's Institute for its Caring Schools project.</i>	6	\$70,000	\$0		\$70,000	\$0
Cape Town, University of Private Bag Rondebosch 7701 Cape Town SOUTH AFRICA <i>To its Children's Institute for a researcher to attend the Marseilles AIDS Impact Conference and to participate in a satellite meeting of the Coalition for Children Affected by AIDS</i>	6	\$0	\$3,000		\$3,000	\$0
Carnegie Council on Ethics and International Affairs 170 East 64th Street New York, NY 10021-7478 <i>For the continued development of the Global Policy Innovations portal Web site.</i>	2	\$150,000	\$0		\$150,000	\$0
Carnegie Endowment for International Peace 1779 Massachusetts Avenue, NW Washington, DC 20036 <i>For implementation of its New Vision strategic plan.</i>	2	\$450,000	\$0		\$150,000	\$300,000

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Catholic Education Trust c/o Catholic Institute of Education P.O. Box 2083 Southdale 2135 Johannesburg SOUTH AFRICA <i>To strengthen monitoring, evaluation, and advocacy within the Caring Schools Project of its Catholic Institute of Education.</i>	7	\$0	\$120,000		\$60,000	\$60,000
Cause Effective, Inc. 505 Eighth Avenue Suite 1212 New York, NY 10018 <i>For Governance Training Institute workshops for RBF's arts and culture grantees.</i>	2	\$0	\$50,000		\$50,000	\$0
Cave Canem Foundation, Inc. 584 Broadway Suite 508 New York, New York 10012 <i>For staff and board development and fundraising.</i>	2	\$0	\$50,000		\$25,000	\$25,000
Center for a New American Security 1301 Pennsylvania Avenue, NW Suite 403 Washington, DC 20004 <i>For its Clout and Climate Change project.</i>	2	\$0	\$250,000		\$125,000	\$125,000
Center for Civil Society Development Protecta TPC Kalca BI-43 Obrenoviceva bb Nis, 18000 Serbia and Montenegro <i>For institutional capacity building.</i>	7	\$60,000	\$0		\$60,000	\$0
Center for Civil Society Development Protecta TPC Kalca BI-43 Obrenoviceva bb Nis, 18000 Serbia and Montenegro <i>For its project, REACT, which focuses on citizen engagement to promote decentralization in Serbia.</i>	7	\$0	\$30,000		\$30,000	\$0
Center for Clean Air Policy 750 First Street, NE Washington, DC 20002 <i>To strengthen its communications and outreach capacity.</i>	2	\$0	\$150,000		\$75,000	\$75,000
Center for Community Change 1536 U Street, N.W. Washington, DC 20009 <i>For the FIRM Immigrant Youth program.</i>	2	\$40,000	\$0		\$20,000	\$20,000
Center for Community Change 1536 U Street, N.W. Washington, DC 20009 <i>For its Generation Change project.</i>	2	\$40,000	\$0		\$20,000	\$20,000
Center for Community Change 1536 U Street, N.W. Washington, DC 20009 <i>For a gathering and evaluation of the Generation Change internship program.</i>	2	\$0	\$10,000		\$10,000	\$0
Center for Community Development Studies Yunnan Academy of Social Sciences Kunming, Yunnan 650032 P.R. OF CHINA <i>For its Workshop on Environment, Health, and Poverty.</i>	8	\$0	\$25,000		\$25,000	\$0

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Center for Effective Philanthropy, Inc., The 675 Massachusetts Avenue Cambridge, MA 02139 <i>For general support.</i>	2	\$0	\$25,000		\$25,000	\$0
Center for Governmental Studies 10951 West Pico Boulevard Los Angeles, CA 90064 <i>For its in-depth studies of public financing systems in two states that have recently adopted new public campaign financing laws.</i>	2	\$50,000	\$0		\$50,000	\$0
Center for Lobbying in the Public Interest 1612 K Street, N.W. Washington, D.C. 20006 <i>For general support.</i>	2	\$60,000	\$0		\$30,000	\$30,000
Center for Popular Economics, Inc. PO Box 785 Amherst, MA 01004-0785 <i>For its work on the value of social wealth.</i>	2	\$117,500	\$0		\$117,500	\$0
Center for Public Integrity 910 17th Street, N.W. 7th Floor Washington, DC 20006 <i>For general support.</i>	2	\$0	\$200,000		\$100,000	\$100,000
Center for Regionalism 35 Zeljeznicka Novi Sad SERBIA <i>For organizing round table discussion with Serb Intellectuals and Civil Society regarding final status in Kosovo</i>	7	\$0	\$15,000		\$15,000	\$0
Center for Regionalism 35 Zeljeznicka Novi Sad SERBIA <i>For general support.</i>	7	\$0	\$70,000		\$0	\$70,000
Center for Resource Solutions Presido Building 49 San Francisco, CA 94129 <i>For its Carbon Neutral Alliance.</i>	2	\$0	\$15,000		\$15,000	\$0
Center for Traditional Music and Dance, Inc. 32 Broadway Suite 1314 New York, New York 10004 <i>To establish the Center for Art, Tradition, and Cultural Heritage.</i>	2	\$25,000	\$0		\$25,000	\$0
Centre for the Study of Violence and Reconciliation P.O. Box 30778 Braamfontein 2017 Johannesburg South Africa <i>For its work in Southern Africa related to HIV/AIDS and police forces.</i>	7	\$0	\$140,000		\$70,000	\$70,000
Ceres, Inc 99 Chauncey Street Boston, MA 02111-1703 <i>For its work with institutional investors to advocate for greenhouse gas reductions.</i>	2	\$250,000	\$0		\$250,000	\$0
Consultative Group on Biological Diversity Presido Building 1016 San Francisco, CA 94129-0361 <i>For general support and its funders network on energy and climate.</i>	2	\$50,000	\$0		\$10,000	\$40,000

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Chesapeake Climate Action Network P.O. Box 11138 Takoma Park, MD 20912 <i>For general support.</i>	2	\$0	\$75,000		\$75,000	\$0
Chicago Council on Global Affairs, The 332 South Michigan Avenue, Suite 1100 Chicago, IL 60604-4434 <i>For its project to convene a task force of Midwest leaders to develop an integrated regional strategy on energy security, climate change, and economic competitiveness.</i>	2	\$0	\$150,000		\$75,000	\$75,000
Chicago Video Project 800 W. Huron Suite 3-South Chicago, IL 60622 <i>Towards the distribution and educational use of American Idealist, a documentary on Sargent Shriver.</i>	2	\$0	\$25,000		\$25,000	\$0
Children in Distress Network Post Office Box 157 Pietermaritzburg 3200 SOUTH AFRICA <i>To strengthen the monitoring and evaluation skills of its members.</i>	7	\$35,000	\$0		\$35,000	\$0
Children in Distress Network Post Office Box 157 Pietermaritzburg 3200 SOUTH AFRICA <i>For its project to improve access to basic education in the KwaZulu-Natal province.</i>	7	\$0	\$100,000		\$50,000	\$50,000
Children in Distress Network Post Office Box 157 Pietermaritzburg 3200 SOUTH AFRICA <i>For representatives of CINDI and the KwaZulu-Natal provincial government to attend the Marseille AIDS Impact Conference and to participate in a satellite meeting of the Coalition for Children Affected by AIDS.</i>	7	\$0	\$6,000		\$6,000	\$0
Children's Rights Centre 480 Smith Street Durban 4001 SOUTH AFRICA <i>For general support.</i>	7	\$0	\$120,000		\$87,000	\$33,000
China Council for International Cooperation on Environment and Development Beijing P.R. OF CHINA <i>For the work of its Environment and Health Task Force.</i>	6	\$0	\$70,000		\$70,000	\$0
Churches' Center for Theology and Public Policy 4500 Massachusetts Avenue, NW Washington, DC 20016-5632 <i>For the Muslim-Christian Initiative on the Nuclear Weapons Danger.</i>	2	\$19,000	\$0		\$19,000	\$0
CIF of The San Francisco Foundation 225 Bush Street Suite 500 San Francisco, CA 94104 <i>For its project, the Diversity in Philanthropy Project.</i>	2	\$0	\$50,000		\$50,000	\$0

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Citizens Union Foundation, Inc., of the City of New 299 Broadway Suite 700 New York, NY 10007 <i>For its Albany reform project.</i>	2	\$0	\$50,000		\$50,000	\$0
City College 21st Century Foundation, Inc., The City College Architectural Center Convent Avenue at 138th Street New York, NY 10031 <i>For the City College Architecture Center's capacity-building initiatives.</i>	2	\$0	\$150,000		\$75,000	\$75,000
Civic Association Kuda.Org Brace Mogin 2 21113 Novi Sad Serbia <i>For the establishment of a youth center in Novi Sad.</i>	7	\$0	\$25,000		\$25,000	\$0
Civic Education Project., Inc. 3557 N. 36th Street Arlington, VA 22207 <i>For the organization's pilot education fellowships in Jordan.</i>	2	\$0	\$25,000		\$25,000	\$0
Clean Air-Cool Planet, Inc., A Northeast Alliance 100 Market Street, Suite 204 Portsmouth, NH 03831 <i>For general support.</i>	2	\$0	\$120,000		\$60,000	\$60,000
Clean Energy Group 50 State Street Montpelier, VT 05602 <i>For general support.</i>	2	\$0	\$200,000		\$100,000	\$100,000
Climate Change Organisation, The Abbey House Wellington Way Weybridge, Surrey, KT13 OTT UNITED KINGDOM <i>For its evaluation of technology and policy options to address greenhouse gas emissions and air quality in the Greater Pearl River Delta Region of China.</i>	7	\$0	\$150,000		\$150,000	\$0
Club of Madrid Foundation, Inc., The 1135 Tremont Street Suite 920 Boston, Massachusetts 02120 <i>For the design and launch of a Rapid Response Mechanism for Promoting Effective Democratic Leadership</i>	2	\$70,000	\$0		\$70,000	\$0
Clubbed Thumb, Inc. 312 East 23rd Street Suite 4B New York, New York 10010 <i>For staff salary support and capacity-building.</i>	2	\$0	\$50,000		\$25,000	\$25,000
Coast Conservation Endowment Fund Foundation Vancouver, BC V6E 3R3 CANADA <i>To its capital campaign for the long term conservation and sustainable management of coastal British Columbia.</i>	7	\$500,000	\$0		\$250,000	\$250,000
Colonial Williamsburg Foundation P.O. Box 1776 Williamsburg, VA 23187-1776 <i>For the World Forum on the Future of Democracy.</i>	2	\$0	\$50,000		\$50,000	\$0

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University of Colorado Foundation 225 East 16th Avenue Suite 900 Denver, CO 80203 <i>For its Presidential Climate Action Project.</i>	2	\$0	\$100,000		\$100,000	\$0
Trustees of Columbia University in the City of New York New York, NY 10027 <i>For fellowship support for students enrolled in the sustainable development program of the university's Earth Institute.</i>	1	\$40,000	\$0		\$40,000	\$0
Trustees of Columbia University in the City of New York New York, NY 10027 <i>For the politics and government coverage of the Graduate School of Journalism's project, CJR Daily.</i>	1	\$75,000	\$0		\$75,000	\$0
Trustees of Columbia University in the City of New York New York, NY 10027 <i>To the Columbia School of Journalism's project, the CJR Daily to add coverage of climate issues.</i>	1	\$100,000	\$0		\$50,000	\$50,000
Trustees of Columbia University in the City of New York New York, NY 10027 <i>For the Initiative for Policy Dialogue.</i>	1	\$50,000	\$0		\$50,000	\$0
Trustees of Columbia University in the City of New York New York, NY 10027 <i>For a planning grant for the Ntataise Developing Families Project, to develop a model of integrated support for rural families impacted by HIV/AIDS with young children.</i>	1	\$0	\$10,000		\$10,000	\$0
Common Assets Defense Fund PO Box 14967 Minneapolis, MN 55414 <i>For its Sky Trust Task Force.</i>	2	\$0	\$35,000		\$35,000	\$0
Common Cause Education Fund 1133 19th Street, NW Washington, DC 20036 <i>For the research and public education elements of its campaign for clean elections.</i>	2	\$0	\$150,000		\$50,000	\$100,000
Community Service Society of New York 105 East 22nd Street New York, NY 10010 <i>For a strategic planning process.</i>	2	\$50,000	\$0		\$50,000	\$0
Constitution Project, The 1025 Vermont Avenue, N.W. Third Floor Washington, DC 20005 <i>For general support.</i>	2	\$0	\$50,000		\$50,000	\$0
Convene Venture Philanthropy 66 Kerry Road Parkview 2193 South Africa <i>For its Orphans and Vulnerable Children Leadership and Innovation Network Initiative.</i>	8	\$0	\$100,000		\$50,000	\$50,000

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Cordoba Initiative P.O. Box 10600 Aspen, Colorado 81612 <i>For general support.</i>	2	\$15,000	\$0		\$0	\$15,000
Cornell University 121 Ives Hall Ithaca, NY 14853-3901 <i>For its Global Labor Institute's Global Labor Task Force on Development Alternatives.</i>	1	\$45,000	\$0		\$45,000	\$0
Cornell University 121 Ives Hall Ithaca, NY 14853-3901 <i>For its Global Labor Institute to build a U.S. trade union delegation to the United Nations Framework Convention on Climate Change Conference of the Parties 13 meeting in Bali during December 2007.</i>	1	\$0	\$40,000		\$40,000	\$0
Cornell University 121 Ives Hall Ithaca, NY 14853-3901 <i>To complete the work of its Global Labor Institute's Global Task Force on Development Alternatives.</i>	1	\$0	\$50,000		\$50,000	\$0
Corporate Ethics International 720 SW Washington Street Suite 660 Portland, OR 97205 <i>For general support.</i>	2	\$150,000	\$0		\$75,000	\$75,000
Corporate Ethics International 720 SW Washington Street Suite 660 Portland, OR 97205 <i>For its collaborative project to design future global warming campaigns</i>	2	\$0	\$50,000		\$50,000	\$0
Corporate Ethics International 720 SW Washington Street Suite 660 Portland, OR 97205 <i>To coordinate the initial steps of a markets campaign to stem demand for tar sands derived fuels in the United States.</i>	2	\$0	\$250,000		\$250,000	\$0
Council on Foreign Relations, Inc. 58 East 68th Street New York, NY 10021 <i>For the Toward a New U.S. Middle East Strategy initiative of the Council on Foreign Relations and Brookings Institution.</i>	2	\$0	\$100,000		\$65,300	\$34,700
Council on Foundations, Inc. 2121 Crystal Drive Suite 700 Arlington, VA 22202 <i>General support for 2008.</i>	2	\$0	\$44,500		\$44,500	\$0
Center for Strategic and International Studies, Inc. 1800 K Street, NW Suite 400 Washington, DC 20006 <i>For its project, Assessing China's Response to the Challenge of Environmental Health.</i>	2	\$0	\$75,000		\$75,000	\$0
Center for Strategic and International Studies, Inc. 1800 K Street, NW Suite 400 Washington, DC 20006 <i>For the Smart Power Speakers Series.</i>	2	\$0	\$20,000		\$20,000	\$0

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Cuban Artists Fund, Inc. 41 Union Square West New York, New York 10003 <i>To develop and implement a strategic plan.</i>	2	\$35,000	\$0		\$35,000	\$0
Cuban Artists Fund, Inc. 41 Union Square West New York, New York 10003 <i>For an institutional leadership conference at the Pocantico Conference Center, to be held on May 30, 2007.</i>	2	\$0	\$15,000		\$15,000	\$0
Cunningham Dance Foundation, Inc. 55 Bethune Street New York, New York 10014 <i>For the cash reserve portion of the organization's fiscal campaign entitled To Merce.</i>	2	\$150,000	\$0		\$75,000	\$75,000
Dance Theatre of Harlem, Inc. 466 West 152nd Street New York, New York 10031-1896 <i>For its donor development initiative.</i>	2	\$0	\$100,000		\$50,000	\$50,000
Dance Theater Workshop, Inc. 219 West 19th Street New York, NY 10011-4079 <i>For endowment support.</i>	2	\$250,000	\$0		\$125,000	\$125,000
Danspace Project, Inc. St. Mark's Church in-the-Bowery 131 East 10th Street New York, NY 10003 <i>For the organization's building reserve fund.</i>	2	\$150,000	\$0		\$75,000	\$75,000
Deep Democracy 5160 E. Bellevue Tucson, AZ 85712 <i>For core support.</i>	2	\$50,000	\$0		\$50,000	\$0
Democracy Matters Institute, Inc. P.O. Box 157 Hamilton, NY 13346 <i>For general support.</i>	2	\$30,000	\$0		\$30,000	\$0
Demos: A Network for Ideas & Action, Ltd. 220 Fifth Avenue Fifth Floor New York, NY 10001 <i>For its Building Movement Project.</i>	2	\$60,000	\$0		\$60,000	\$0
Demos: A Network for Ideas & Action, Ltd. 220 Fifth Avenue New York, NY 10001 <i>For a meeting of funders and practitioners to explore ways that nonprofit service groups can promote civic engagement.</i>	2	\$0	\$15,000		\$15,000	\$0
Demos: A Network for Ideas & Action, Ltd. 220 Fifth Avenue New York, NY 10001 <i>For its National Conference on Young Adult Issues.</i>	2	\$0	\$60,000		\$60,000	\$0
Demos: A Network for Ideas & Action, Ltd. 220 Fifth Avenue New York, NY 10001 <i>For Public Works: The Demos Center for the Public Sector.</i>	2	\$0	\$375,000		\$0	\$375,000

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Demos: A Network for Ideas & Action, Ltd. 220 Fifth Avenue New York, NY 10001 <i>Support for Robert Kuttner, Senior Fellow, travel to conduct research related to his forthcoming globalization and equality book.</i>	2	\$0	\$35,000		\$35,000	\$0
Demos: A Network for Ideas & Action, Ltd. 220 Fifth Avenue New York, NY 10001 <i>Support for the transition of Benjamin Barber and his CivWorld project to Demos.</i>	2	\$0	\$50,000		\$50,000	\$0
Demos: A Network for Ideas & Action, Ltd. 220 Fifth Avenue New York, NY 10001 <i>To enhance a research project on how to talk about the role of the government in the economy.</i>	2	\$0	\$48,000		\$48,000	\$0
The Desmond Tutu Peace Foundation 205 East 64th Street, Suite 404 New York, NY 10021 United States <i>For the development of the new Desmond Tutu Peace Centre in Cape Town, South Africa.</i>	2	\$0	\$25,000		\$25,000	\$0
Dreamyard Drama Project, Inc. 872 Gerard Avenue Bronx, New York 10452 <i>To deepen programs in Bronx School Region One.</i>	2	\$35,000	\$0		\$35,000	\$0
Earth Day Network, Inc. 1616 P Street, NW, Suite 340 Washington, DC 20036 <i>For the workshop, Climate Change: The Challenges and Opportunities for Women.</i>	2	\$0	\$20,000		\$20,000	\$0
Earth Island Institute 300 Broadway Suite 28 San Francisco, CA 94133-3312 <i>For its Energy Action project which organizes and mobilizes 18-35 year olds around reducing greenhouse gas emissions.</i>	2	\$175,000	\$0		\$175,000	\$0
Earth Island Institute 300 Broadway Suite 28 San Francisco, CA 94133-3312 <i>For the first national youth climate summit of its Energy Action Coalition.</i>	2	\$0	\$25,000		\$25,000	\$0
East West Management Institute, Inc. 575 Madison Avenue, 25th Floor New York, NY 10022 <i>To establish and operate the Kosovo 100 Days Task Force.</i>	2	\$0	\$50,000		\$50,000	\$0
East West Management Institute, Inc. 575 Madison Avenue, 25th Floor New York, NY 10022 <i>For its Ready to Govern/Kosovar Leadership Initiative.</i>	2	\$0	\$135,000		\$135,000	\$0
East West Management Institute, Inc. 575 Madison Avenue, 25th Floor New York, NY 10022 <i>For its operation of the Central Local Task Force and their implementation of the recommendations that emerged from Pocantico Kosovo Conference.</i>	2	\$0	\$96,000		\$96,000	\$0

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ecoAmerica 1924 N Street, NW Washington, DC 20036 <i>For its project, the American College and University Presidents Climate Commitment.</i>	2	\$0	\$100,000		\$50,000	\$50,000
Ecologists Linked for Organizing Grassroots PO Box 268 4 Mill Street Middlebury, VT 05753 <i>For its project, Promoting Sustainability in China's</i>	2	\$0	\$50,000		\$50,000	\$0
Ecotrust Canada #200 1238 Homer Street Vancouver, V6B 2Y5, B.C. CANADA <i>For general support.</i>	7	\$150,000	\$0		\$150,000	\$0
Educational Broadcasting Corporation 356 West 58th Street New York, NY 10019-1804 <i>For the production and dissemination activities related to its documentary series on international affairs, Wide Angle.</i>	2	\$0	\$100,000		\$100,000	\$0
El Puente de Williamsburg 211 South 4th Street Brooklyn, NY 11211 <i>For its Waterfront Reclamation Action Project (WRAP).</i>	2	\$0	\$17,500		\$17,500	\$0
Elijah Interfaith Institute USA Inc. 10 Caspi Street Jerusalem, 93554 Israel <i>For its project, Toward a Contemporary Theology of Islam's Religious Other.</i>	2	\$350,000	\$0		\$175,000	\$175,000
En Foco, Inc. 1738 Hone Avenue Bronx, New York 10461 <i>For general support.</i>	2	\$25,000	\$0		\$25,000	\$0
Energy and Resources Institute, The Suite 6432, 6th Floor 1001 Pennsylvania Avenue Washington, DC 20004 <i>For its outreach efforts on the Fourth Assessment Report of the Intergovernmental Panel on Climate Change</i>	7	\$0	\$50,000		\$50,000	\$0
Enterprising Environmental Solutions, Inc. 22 Terminal Way Pittsburgh, PA 15219-1209 <i>For its project, Center for Climate Strategies, to define the template of a climate plan by distilling lessons from existing state, municipal, and corporate climate actions.</i>	2	\$250,000	\$0		\$250,000	\$0
Enterprising Environmental Solutions, Inc. 22 Terminal Way Pittsburgh, PA 15219-1209 <i>For the work of its Center for Climate Solutions to develop climate action plans at the state level.</i>	2	\$550,000	\$0		\$275,000	\$275,000
Enterprising Environmental Solutions, Inc. 22 Terminal Way Pittsburgh, PA 15219-1209 <i>For its project, the Center for Climate Strategies (CCS).</i>	2	\$0	\$400,000		\$250,000	\$150,000

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Environmental Aboriginal Guardianship Through Law and Education 6520 Salish Drive Vancouver, BC V6N 2C7 CANADA <i>For general support</i>	2	\$0	\$35,000		\$35,000	\$0
Environmental Advocates of New York, Inc. 353 Hamilton Street Albany, NY 12210 <i>For the Brownfields Regulatory Watch program.</i>	2	\$0	\$50,000		\$50,000	\$0
Environmental Leadership Program 1609 Connecticut Avenue NW Suite 400 Washington, DC 20009 <i>For its July 2007 conference, Emerging Leaders, Emerging Solutions: Taking Action on Global Warming.</i>	2	\$0	\$10,000		\$10,000	\$0
European Foundation Centre 51 Rue de la Concorde B-1050 Brussels Belgium <i>For expenses for the first Grantmakers East Group (GEG) Annual Meeting (AM) in Serbia last autumn.</i>	7	\$0	\$8,000		\$8,000	\$0
European Stability Initiative Kieffholzstr. 402 12435 Berlin GERMANY <i>For general support.</i>	7	\$25,000	\$0		\$25,000	\$0
Exit Art - The First World, Inc. 475 Tenth Avenue New York, New York 10018 <i>For the organization's working capital cash reserve.</i>	2	\$150,000	\$0		\$75,000	\$75,000
Exit Art - The First World, Inc. 475 Tenth Avenue New York, New York 10018 <i>For Exit Art's new performance venue, Exit Underground.</i>	2	\$0	\$50,000		\$50,000	\$0
Families United for Racial & Economic Equality 81 Willoughby Street Suite 602 Brooklyn, New York 10021 <i>For community-organizing efforts and planning for the development of Downtown Brooklyn.</i>	2	\$0	\$90,000		\$45,000	\$45,000
Family Health International 2101 Wilson Blvd. Arlington, VA 22201 <i>For its work related to family-centered models of care and support of orphans and vulnerable children.</i>	2	\$0	\$25,000		\$25,000	\$0
Focus Project 1742 Connecticut Avenue, N.W. Washington, DC 20009 <i>For its Fueling Democracy: A 21st Century Right to Know Agenda project.</i>	2	\$0	\$50,000		\$25,000	\$25,000
Forest Ethics 1 Haight Street San Francisco, CA 94102 <i>For its efforts to increase the reach and effectiveness of the FSC forest certification system in the United States, Canada, and Chile.</i>	2	\$215,000	\$0		\$215,000	\$0

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Forest Trends Association 1050 Potomac Street, NW Washington, DC 20007 <i>For the work of the Ecosystem Marketplace, a project to track and disseminate information on the voluntary carbon market.</i>	2	\$0	\$50,000		\$50,000	\$0
Forum for Civic Initiative (FIQ) Andrea Gropa Street 29 Prishtine KOSOVO <i>For general support and for its project networking with other NGOs to ensure civilian oversight of the security sector in Kosovo.</i>	7	\$0	\$100,000		\$45,000	\$55,000
Forum on Democracy and Trade 386 Pacific Street Brooklyn, NY 11217 <i>For general support.</i>	2	\$150,000	\$0		\$150,000	\$0
Foundation Center 79 Fifth Avenue, 8th Floor New York, NY 10003 <i>For their Anniversary Campaign.</i>	2	\$0	\$250,000		\$0	\$250,000
Foundation Center 79 Fifth Avenue, 8th Floor New York, NY 10003 <i>General support for 2008.</i>	2	\$0	\$30,000		\$30,000	\$0
Foundation for A Civil Society, Ltd. 419 East 57th Street, Suite 14A New York, NY 10022 <i>For the Kosovar Leadership Initiative that will help Kosovar leadership prepare for statehood.</i>	2	\$0	\$50,000		\$50,000	\$0
Foundation for A Civil Society, Ltd. 419 East 57th Street, Suite 14A New York, NY 10022 <i>For a project, Kosovo's First 120 Days.</i>	2	\$0	\$30,000		\$30,000	\$0
Foundation for A Civil Society, Ltd. 419 East 57th Street, Suite 14A New York, NY 10022 <i>For the Foundation's Project on Justice in Times of Transition to implement recommendations of the Pocantico Kosovo Conference</i>	2	\$0	\$50,000		\$50,000	\$0
Foundation for A Civil Society, Ltd. 419 East 57th Street, Suite 14A New York, NY 10022 <i>For its Project on Justice in Times of Transition to implement recommendations of the Pocantico Kosovo Conference.</i>	2	\$0	\$35,000		\$35,000	\$0
Foundation for A Civil Society, Ltd. 419 East 57th Street, Suite 14A New York, NY 10022 <i>For its Project on Justice in Times of Transition to implement recommendations of the Pocantico Kosovo Conference.</i>	2	\$0	\$40,000		\$40,000	\$0
Foundation for Dance Promotion 27 West 120th Street New York, New York 10027 <i>For outreach and education programs related to the organization's new space.</i>	2	\$50,000	\$0		\$50,000	\$0

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Foundation for Democratic Initiatives, The Besim Bishtazhini, No. 12 Gjakova KOSOVO <i>For general support.</i>	7	\$60,000	\$0		\$60,000	\$0
Foundation for Democratic Initiatives, The Besim Bishtazhini, No. 12 Gjakova KOSOVO <i>For general support</i>	7	\$0	\$100,000		\$0	\$100,000
Free Press 40 Main Street Florence, MA 01062 <i>For general support.</i>	2	\$0	\$150,000		\$75,000	\$75,000
Freedom House Europe Public Service and Democracy Development Public Benefit Company Falk Miksa u. 30 4/2 Budapest, H-1055 HUNGARY <i>For a Kosovo-Serbia European Standards project.</i>	9	\$0	\$25,000		\$25,000	\$0
Fresh Energy 408 St. Peter Street St. Paul, MN 55102 <i>For its Global Warming Solutions program.</i>	2	\$0	\$100,000		\$50,000	\$50,000
Fund for Independence in Journalism 1707 L Street, N.W. Suite 250 Washington, DC 20036 <i>For general support.</i>	2	\$0	\$100,000		\$50,000	\$50,000
Fund for the City of New York, Inc. 121 Avenue of the Americas New York, NY 10013 <i>For the development and implementation of The Cultural Enterprise Suite.</i>	2	\$0	\$125,000		\$75,000	\$50,000
Fund for the City of New York, Inc. 121 Avenue of the Americas New York, NY 10013 <i>For its project, Women's eNews, to produce a series of articles that will counter the frequently misrepresentative coverage of Muslim women in the media.</i>	2	\$0	\$25,000		\$25,000	\$0
Fund for the City of New York, Inc. 121 Avenue of the Americas New York, NY 10013 <i>For the Ethnic and Community Press Fellowship on Public Education, a program of the New York Community Media Alliance, which is a project of the organization's Incubator Program.</i>	2	\$0	\$60,000		\$30,000	\$30,000
Funders Concerned About AIDS, Inc. 50 East 42nd Street New York, NY 10017 <i>For a project on succession planning and implications for grantees of HIV/AIDS in the workplace.</i>	2	\$0	\$3,000		\$3,000	\$0
Funders Concerned About AIDS, Inc. 50 East 42nd Street New York, NY 10017 <i>As supplemental support for its project to assist grantmakers to help their grantees cope with implications of HIV/AIDS in the workplace.</i>	2	\$0	\$700		\$700	\$0

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Future Generations HC 73 Box 100 Franklin, West Virginia 26807 <i>For the Green Long March Project, to support the planning of the Rainforest Exploration route, an area where pollution, deforestation, and an ever-increasing tourist industry threaten the health of local people and the environment.</i>	2	\$0	\$50,000		\$50,000	\$0
Garrison Institute, The 14 St. Mary's Way, Rt. 9D Garrison, NY 10524 <i>For a leadership retreat on climate change.</i>	2	\$0	\$10,000		\$10,000	\$0
George Washington University 2129 Eye Street, N.W. Washington, DC 20052 <i>For the continued activities of the Project on Forward Engagement.</i>	1	\$0	\$75,000		\$75,000	\$0
German Marshall Fund of the U.S. 1744 R Street, NW Washington, DC 20009 <i>For its project, the Balkan Trust for Democracy.</i>	2	\$50,000	\$0		\$50,000	\$0
Global Action Project, Inc. 4 West 37th Street New York, NY 10018 <i>For the Immigrant and Refugee Media Project.</i>	2	\$0	\$25,000		\$25,000	\$0
Global Community Initiatives, Inc. 12 Parkside Drive Montpelier, VT 05602 <i>In renewed support for its Diepsloot Bikes Project in South Africa.</i>	2	\$43,000	\$0		\$43,000	\$0
Global Environmental Institute Building #5, Suite 1-401 The New World Villa Chongwen District, Beijing, 100062 P.R. OF CHINA <i>For its project, Energy Efficiency and Renewable</i>	7	\$0	\$95,000		\$95,000	\$0
Global Environmental Institute Building #5, Suite 1-401 The New World Villa Chongwen District, Beijing, 100062 P.R. OF CHINA <i>For its one-year effort to assist government and business leaders in the United States and China to prepare for a bilateral dialogue to reduce the threat of climate change.</i>	7	\$0	\$100,000		\$100,000	\$0
Global Greengrants Fund 2840 Wilderness Place, Suite A Boulder, Colorado 80301 <i>For its regranting program that supports nongovernmental organizations in China.</i>	2	\$175,000	\$0		\$75,000	\$100,000
Global Kids, Inc. 137 East 25th Street, 2nd Floor New York, NY 10010 <i>For its project U.S. in the World: A Global Citizenship Initiative for Young People.</i>	2	\$0	\$40,000		\$40,000	\$0

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God's Golden Acre 9 Killarney Valley Road Cato Ridge 3680, KwaZulu-Natal SOUTH AFRICA <i>For its Youth Development Community Programme.</i>	7	\$0	\$100,000		\$50,000	\$50,000
God's Golden Acre 9 Killarney Valley Road P.O. Box 331 Cato Ridge 3680, KwaZulu-Natal SOUTH AFRICA <i>For a representative to attend the Marseille AIDS Impact Conference and to participate in a satellite meeting of the Coalition for Children Affected by AIDS.</i>	7	\$0	\$2,000		\$2,000	\$0
Government Accountability Project, Inc. 1612 K Street, NW, Suite 1100 Washington, DC 20006 <i>For its Climate Science Watch project.</i>	2	\$0	\$50,000		\$50,000	\$0
Grantmakers for Effective Organizations 1413 K Street, N.W. Washington, D.C. 20005 <i>General support for 2008.</i>	2	\$0	\$7,500		\$7,500	\$0
Grantmakers in the Arts 604 West Galer Street Seattle, WA 98119-3253 <i>For organizational membership.</i>	2	\$0	\$900		\$900	\$0
Grants Managers Network, Inc. 141 Homestead Avenue Metairie, LA 70005 <i>General support for 2008.</i>	4	\$0	\$1,800		\$1,800	\$0
Greater Washington Educational 2775 South Quincy Street Arlington, VA 22206 <i>For its By the People: America in the World project.</i>	2	\$0	\$170,000		\$85,000	\$85,000
Green Belt Movement International, The 4245 N. Fairfax Drive, Suite 860 Arlington, Virginia 22203 <i>For a donation in honor of Steven Rockefeller's service to the RBF</i>	2	\$0	\$4,000		\$4,000	\$0
Green Home Radosava Burica 31 81000 Podgorica MONTENEGRO <i>For a sustainable development project for Sasko Lake.</i>	7	\$20,000	\$0		\$20,000	\$0
Green Network of Vojvodina Heroja Pinkija 32 Vojvodina Novi Sad, 21000 SERBIA <i>For general support.</i>	8	\$55,000	\$0		\$55,000	\$0
Green Network of Vojvodina Heroja Pinkija 32 Vojvodina Novi Sad, 21000 SERBIA <i>For general support.</i>	8	\$0	\$210,000		\$40,000	\$170,000

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Greenpeace Fund 702 H Street, NW Suite 300 Washington, DC 20001 <i>For its Clean Water Campaign in China.</i>	2	\$75,000	\$0		\$0	\$75,000
Greenpeace Fund 702 H Street, NW Washington, DC 20001 <i>For its efforts to educate current and future policymakers about global warming.</i>	2	\$0	\$150,000		\$75,000	\$75,000
Guangxi Academy of Social Sciences No. 5 Xinzhu Road Nanning, Guangxi 530022 China <i>For the Western Guangxi Manganese Mining Environmental Pollution and Minority Health Research Project.</i>	6	\$40,000	\$0		\$0	\$40,000
Health Care Without Harm 41 Oakview Terrace Jamaica Plain, MA 02130 <i>For its Healthcare Clean Energy Exchange Program</i>	2	\$0	\$50,000		\$50,000	\$0
Highlander Research and Education Center, Inc. 1959 Highlander Way New Market, TN 37820 <i>For Seeds of Fire, a youth leadership development program.</i>	2	\$0	\$90,000		\$30,000	\$60,000
Hong Kong-America Center Limited The Chinese University of Hong Kong Shatin, NT China <i>For its Student Leadership Conference: Environmental Health in the Pearl River Delta.</i>	7	\$0	\$25,000		\$25,000	\$0
Human Sciences Research Council Private Bag X41 Pretoria 0001 SOUTH AFRICA <i>For its Going to Scale Project.</i>	6	\$0	\$140,000		\$70,000	\$70,000
Ikamva Labantu P.O. Box 165 Cape Town 8000 SOUTH AFRICA <i>For its Ithemba Labantwana project.</i>	7	\$120,000	\$0		\$60,000	\$60,000
Illinois Institute of Technology 565 West Adams Street Chicago, IL 60661 <i>For production of the book Final Status for Kosovo: An Inside Story of Sophisticated Multilateral Diplomacy.</i>	1	\$0	\$25,000		\$25,000	\$0
Independent Sector 1200 Eighteenth Street, NW Washington, DC 20036 <i>General support for 2008.</i>	2	\$0	\$12,150		\$12,150	\$0
INFACT dba Corporate Accountability International 46 Plympton Street Boston, MA 02118 <i>For its project, C-Change: Regaining People's Power Over Corporations.</i>	2	\$50,000	\$0		\$50,000	\$0

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Infinite Family P.O. Box 2027 New York, NY 10108 <i>For general support to increase its remote mentoring program.</i>	2	\$0	\$120,000		\$60,000	\$60,000
Institute for Advanced Studies Mother Theresa Str. 41/29 Prishtina, 10000 Kosovo <i>To assist Kosovar government and civil Society in reaching political consensus over the priorities for economic development and post status challenges.</i>	7	\$0	\$90,000		\$90,000	\$0
Institute for Agriculture and Trade Policy 2105 First Avenue South Minneapolis, MN 55404-2505 <i>For general support.</i>	2	\$200,000	\$0		\$100,000	\$100,000
Institute for Democracy in South Africa Box 56950 Arcadia 0007 SOUTH AFRICA <i>For a project to help build HIV/AIDS-resilient political party systems in South Africa through research, training, policy dialogue, and civic interaction.</i>	7	\$70,000	\$0		\$70,000	\$0
Institute for EastWest Studies, Inc. 700 Broadway, 2nd Floor New York, NY 10003 <i>For a cross-border cooperation project involving the municipalities of Gjiilan/Gnjilane in Kosovo, Presevo and Trgoviste in Serbia, and Kumanovo in Macedonia.</i>	2	\$0	\$75,000		\$75,000	\$0
Institute for Governance and Sustainable 2141 Wisconsin Ave., NW, Suite 2-D Washington, DC 20007 <i>For research and dissemination to parliamentary</i>	2	\$50,000	\$0		\$50,000	\$0
Institute for Human Sciences A-1090 Wien Spittelauer Lande 3 AUSTRIA <i>For its 25th Anniversary Conference.</i>	7	\$0	\$25,000		\$25,000	\$0
Institute for Policy Studies 1112 16th Street, NW Washington, D.C. 20036 <i>To support hiring of a media expert to support the organization's outreach campaign for its alternative foreign policy framework initiative. Just Security.</i>	2	\$0	\$25,000		\$25,000	\$0
Institute for Security Studies P.O. Box 1787 Brooklyn Square 0075 SOUTH AFRICA <i>For its project on HIV/AIDS and militaries in Africa.</i>	7	\$60,000	\$0		\$60,000	\$0
Institute for Security Studies P.O. Box 1787 Brooklyn Square 0075 SOUTH AFRICA <i>For a workshop on HIV/AIDS and Society: Building a</i>	7	\$0	\$48,000		\$48,000	\$0
Institute for State Effectiveness 4600 Connecticut Avenue Washington, DC 20008 <i>For the Institute for State Effectiveness to assist Kosovo Government and Civil Society in developing a sustainable economic plan and donor relation strategy.</i>	2	\$0	\$94,000		\$94,000	\$0

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Institute for Sustainable Communities 535 Stone Cutters Way Montpelier, VT 05602-3795 <i>For staff development and institution strengthening in support of civic engagement and sustainable development in two of the Fund's Pivotal Places, Serbia, Montenegro, Kosovo, and Southern China.</i>	2	\$156,400	\$0		\$68,000	\$88,400
Institute for Sustainable Communities 535 Stone Cutters Way Montpelier, VT 05602-3795 <i>To provide virtual assistance to the Institute for Democracy in South Africa in its advocacy training and support for RBF grantees.</i>	2	\$0	\$12,000		\$12,000	\$0
Institute for Sustainable Communities 535 Stone Cutters Way Montpelier, VT 05602-3795 <i>For the Guangdong Community-based Energy Efficiency Project.</i>	2	\$0	\$300,000		\$150,000	\$150,000
Institute for the Analysis of Global Security Inc. 7811 Montrose Road Suite 505 Potomac, MD 20854 <i>For its project, Primary Energy '08, a series of public speeches on energy security with 2008 presidential candidates.</i>	2	\$0	\$50,000		\$50,000	\$0
Institute of Social and Ethical Accountability 137 Shepherdess Walk London, N1 7RQ United Kingdom <i>For a project to launch, further develop, and test proposals for partnership governance and accountability.</i>	7	\$100,000	\$0		\$100,000	\$0
Institute of Social and Ethical Accountability 137 Shepherdess Walk London, N1 7RQ United Kingdom <i>For production and dissemination of the 2007 State of Responsible Competitiveness report.</i>	7	\$0	\$50,000		\$50,000	\$0
Interfaith Youth Core 1111 North Wells Street Suite #501 Chicago, Illinois 60610 <i>For general support.</i>	2	\$0	\$100,000		\$100,000	\$0
International Union for Conservation of Nature & Rue Mauverney 28 Gland CH-1196, - SWITZERLAND <i>For the Kenton R. Miller Award for Protected Area Innovation and Sustainability</i>	2	\$0	\$25,000		\$25,000	\$0
International Council for Local Environmental 436 14th Street Suite 1520 Oakland, CA 94612 <i>For its project to begin mining and communicating lessons from municipal action to reduce greenhouse gas emissions.</i>	2	\$75,000	\$0	\$75,000	\$0	\$0
International Documentary 1201 West 5th Street, Suite M320 Los Angeles, CA 90017 <i>For the production of the documentary film Bringing King to China.</i>	2	\$0	\$35,000		\$35,000	\$0

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International Forum on Globalization 1009 General Kennedy Avenue #2 San Francisco, CA 94129 <i>For general support.</i>	2	\$150,000	\$0		\$75,000	\$75,000
International Institute for Sustainable Development 161 Portage Avenue East 6th Floor Winnipeg, Manitoba R3B 0Y4 Canada <i>For its efforts to provide direct legal and policy advice to developing countries on cross-border investment issues.</i>	7	\$100,000	\$0		\$100,000	\$0
International Labor Rights Fund 2001 S Street, NW Washington, DC 20009 <i>For its project, the Big Box Collaborative Coordinating Center.</i>		\$0	\$25,000		\$25,000	\$0
Internews Network Post Office Box 4448 Arcata, California 95521 <i>For its Earth Journalism Network Curriculum and Training Project on Pollution, Environmental Health and Climate Change.</i>	2	\$0	\$75,000		\$75,000	\$0
Isandla Institute P.O. Box 12263 Mill Street Gardens 8010 Cape Town <i>For a research project on HIV/AIDS and urban development.</i>	7	\$70,000	\$0		\$70,000	\$0
Islamic Society of North America Inc. 6555 South 750 East Plainfield, IN 46168 <i>For the Muslim-Christian Initiative on the Nuclear Weapons Danger.</i>	2	\$50,000	\$0		\$50,000	\$0
ITDP Europe Marienburger Strasse 20 Berlin Germany <i>For its project, Recycling Uran Land in Serbia (RULS), to transfer knowledge and experience from Western and Central Europe to Serbia.</i>	7	\$0	\$40,000		\$40,000	\$0
Jewish Funds for Justice, Inc. 330 Seventh Avenue Suite 1902 New York, NY 10001 <i>For the NYC Flex Fund project of JFJ's Funders Collaborative on Youth Organizing.</i>	2	\$25,000	\$0	\$25,000	\$0	\$0
Jewish Funds for Justice, Inc. 330 Seventh Avenue Suite 1902 New York, NY 10001 <i>For its project, the Funders Collaborative on Youth Organizing.</i>	2	\$250,000	\$0		\$125,000	\$125,000
Jewish Funds for Justice, Inc. 330 Seventh Avenue Suite 1902 New York, NY 10001 <i>For its Funders Collaborative on Youth Organizing projects' communications capacity-building strategies.</i>	2	\$0	\$10,000		\$10,000	\$0

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Johns Hopkins University 1700 Massachusetts Avenue, NW Washington, DC 20036-1984 <i>For the Islamic-World Training Initiative of the International Reporting Project of the University's School of Advanced International Studies.</i>	1	\$250,000	\$0		\$125,000	\$125,000
Johnson Foundation, Inc., The 33 East Four Mile Road Racine, WI 53405-2621 <i>For a conference on young people, climate change, and the 2008 presidential elections.</i>	3	\$0	\$25,000	\$5,665	\$19,335	\$0
JIA Work Camp Coordinator Center 15-a-1106, mingpu garden Xiangpu Street Guangzhou People's Republic of China <i>For general and capacity training support.</i>	8	\$0	\$70,000		\$35,000	\$35,000
Joyce Theatre Foundation, Inc. 175 Eighth Avenue New York, NY 10011 <i>For the Joyce SoHo Residency Program and Subsidized Rehearsal Studio Program.</i>	2	\$50,000	\$0		\$50,000	\$0
Kings Majestic Corporation 651 Fulton Street Brooklyn, New York 11217 <i>For its artist residency program.</i>	2	\$50,000	\$0		\$50,000	\$0
Kosova Women's Network Ruga Agim Remadani Nr. 50/8 Prishtina Kosova <i>For general support.</i>	7	\$30,000	\$0		\$30,000	\$0
Kosovar Research and Documentation Institute Prishtina, Kosova <i>For general support.</i>	7	\$25,000	\$0		\$0	\$25,000
La MaMa Experimental Theatre Club, Inc. 74A East 4th Street New York, New York 10003 <i>To implement strategic plan goals and objectives.</i>	2	\$40,000	\$0		\$40,000	\$0
The Land Institute 2440 East Water Well Road Salina, KS 67401 <i>For its Climate & Energy Program.</i>	1	\$0	\$100,000		\$100,000	\$0
League of Conservation Voters Education Fund 1920 L Street, NW Suite 800 Washington, DC 20036 <i>For its efforts to educate current and future policymakers about global warming.</i>	2	\$0	\$50,000		\$50,000	\$0
Learning Leaders, Inc. 80 Maiden Lane New York, NY 10038-4811 <i>To assist implementation of the organization's strategic plan.</i>	2	\$35,000	\$0		\$35,000	\$0
Legal Resources Trust P.O. Box 9495 Johannesburg 2000 SOUTH AFRICA <i>For the Children's Rights Project of the Trust's Legal Resources Centre.</i>	7	\$0	\$120,000		\$60,000	\$60,000

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Leland Stanford Junior University, Board of Trustees 1 Stanford, CA 94305 <i>For the production of the play Passages of Martin Luther King in Beijing.</i>		\$0	\$25,000		\$25,000	\$0
Lincoln Center for the Performing Arts, Inc. 2 70 Lincoln Center Plaza New York, NY 10023-6583 <i>For the 2008 Lincoln Center Festival.</i>		\$0	\$100,000		\$100,000	\$0
Link Media, Inc. 2 901 Battery Street, Suite 308 San Francisco, CA 94111 <i>For its programming on Islam, Muslim societies, and U.S. engagement with the Muslim world.</i>		\$150,000	\$0		\$150,000	\$0
Location One, Inc. 2 26 Greene Street New York, New York 10013 <i>For its residency program for New York City-based artists.</i>		\$50,000			\$25,000	\$25,000
Lower Manhattan Cultural Council, Inc. 2 125 Maiden Lane New York, New York 10038 <i>For its artist workspace programs.</i>		\$50,000	\$0		\$50,000	\$0
Make the Road New York 2 301 Grove Street Brooklyn, NY 11237 <i>For general support.</i>		\$50,000	\$0		\$50,000	\$0
Make the Road New York 2 301 Grove Street Brooklyn, NY 11237 <i>For its communications capacity-building and the Urban Youth Collaborative's communications work.</i>		\$0	\$20,000		\$20,000	\$0
MAPLight 2 1474 University Avenue, Suite 105 Berkeley, CA 94702 <i>For general support.</i>		\$0	\$120,000		\$60,000	\$60,000
Marine Fish Conservation Network 2 600 Pennsylvania Avenue, SE Washington, DC 20003 <i>For general support.</i>		\$0	\$125,000		\$125,000	\$0
Massachusetts Institute of Technology 1 Cambridge, MA 02139 <i>For The Audit of Conventional Wisdom in U.S. Foreign Policy, a project of the university's Center for International Studies.</i>		\$60,000	\$0		\$60,000	\$0
Mayi Filipino Theatre Ensemble, Inc. 2 520 Eighth Avenue New York, New York 10018 <i>For endowment</i>		\$0	\$250,000		\$0	\$250,000
Meadan Inc. 2 P.O. Box 97 Woodacre, CA 94973 <i>To support the organization's effort to connect their innovative simultaneous English-Arabic social networking platform with existing online communities.</i>		\$0	\$25,000		\$25,000	\$0

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Media in Education Trust P.O. Box 37590 Overport 4067 SOUTH AFRICA <i>For an advocacy and communications campaign to promote schools as centers of support and care for orphans and vulnerable children</i>	7	\$0	\$100,000		\$50,000	\$50,000
Mediators Foundation, Inc. 2525 Arapahoe Avenue Boulder, CO 80302 <i>For its Abraham Path Initiative.</i>	2	\$50,000	\$0		\$50,000	\$0
Mediators Foundation, Inc. 2525 Arapahoe Avenue Boulder, CO 80302 <i>Towards the efforts of its project, Reuniting America, to develop a transpartisan dialogue on U.S.-Iranian relations.</i>	2	\$0	\$25,000		\$25,000	\$0
Mediators Foundation, Inc. 2525 Arapahoe Avenue Boulder, CO 80302 <i>For the design of the next phase of the Dialogue on Democracy in America series of its project, Reuniting America.</i>	2	\$0	\$40,000		\$40,000	\$0
Mid Atlantic Arts Foundation, Inc. 201 North Charles Street Baltimore, MD 21201 <i>For an international touring program for New York City artists and arts organizations.</i>	2	\$0	\$25,000		\$25,000	\$0
Minnesota Council of Nonprofits, Inc. 2314 University Avenue West, Suite 20 Saint Paul, MN 55114 <i>For its project, the Nonprofit Voter Engagement Network.</i>	2	\$0	\$70,000		\$35,000	\$35,000
Movement Strategy Center 1611 Telegraph Avenue Oakland, CA 94612 <i>For its project, Building Leaders Organizing Communities.</i>	2	\$60,000	\$0		\$33,843	\$26,157
Muller/Works Foundation 131 West 24th Street New York, New York 10011 <i>For its donor development initiative.</i>	2	\$0	\$70,000		\$35,000	\$35,000
Municipal Art Society of New York, The 457 Madison Avenue New York, New York 10022 <i>For transition costs associated with the Metropolitan Waterfront Alliance.</i>	2	\$0	\$250,000		\$150,000	\$100,000
National Black United Fund, Inc. 40 Clinton Street Newark, NJ 07102 <i>For its project, the National Hip Hop Political Convention.</i>	2	\$25,000	\$0		\$25,000	\$0
National Center on Philanthropy and the Law, Inc. 110 West 3rd Street, 2nd Fl. New York, NY 10012-1074 <i>For the Rockefeller Brothers Fund Fellowship in Nonprofit Law.</i>	2	\$50,000	\$0		\$25,000	\$25,000
National Council of Nonprofit Associations 1101 Vermont Avenue, N.W. Washington, DC 20005 <i>For its policy and advocacy programs.</i>	2	\$0	\$150,000		\$75,000	\$75,000

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National Environmental Trust 1200 18th Street, NW Washington, DC 20036 <i>For its climate program.</i>	2	\$200,000	\$0		\$200,000	\$0
National Environmental Trust 1200 18th Street, NW Washington, DC 20036 <i>For its work to coordinate constituency mobilization efforts by RBF-funded "allied voices" groups working on global warming.</i>	2	\$0	\$300,000	\$300,000	\$0	\$0
National Institute on Money in State Politics 833 North Main Helena, MT 59601 <i>For general support.</i>	2	\$50,000	\$0		\$50,000	\$0
National Institute on Money in State Politics 833 North Main Helena, MT 59601 <i>Toward the costs of Mission 2008 and Beyond, a convening of its board of directors with its board of advisors and others to plan future initiatives for disseminating campaign finance data.</i>	2	\$0	\$5,000		\$5,000	\$0
National League of Cities Institute, Inc. 1301 Pennsylvania Avenue, N.W. Washington, DC 20004-1763 <i>For the joint project of its Study Circles Resource Center with the Paul J. Aicher Foundation, Inc., Expanding and Sustaining Civic Engagement: Building Local Capacity for Democratic Practice.</i>	2	\$165,000	\$0	\$165,000	\$0	\$0
National Parks of New York Harbor Conservancy Federal Hall National Memorial 26 Wall Street, 3rd Floor New York, New York 10005 <i>For its next phase of activities to develop New York Harbor.</i>	2	\$0	\$300,000		\$100,000	\$200,000
National Peace Corps Association 1900 L Street, NW Washington, DC 20036 <i>To launch the More Peace Corps Campaign.</i>	2	\$0	\$120,000		\$60,000	\$60,000
National Priorities Project, Inc. 17 New South Street Northampton, MA 01060 <i>For its project, Expanding the NPP Database: Bringing the Numbers Home.</i>	2	\$150,000	\$0		\$75,000	\$75,000
National Religious Partnership for the Environment Inc. 49 South Pleasant Street Suite 301 Amherst, MA 01002 <i>For its collaboration with the Evangelical Environmental Network to build evangelical support for action to mitigate global warming.</i>	2	\$400,000	\$0		\$200,000	\$200,000
National Wildlife Federation 11100 Wildlife Center Drive Reston, VA 20190-5362 <i>For exploratory work on creating global governance mechanisms for the rapidly-emerging biofuels arena.</i>	2	\$156,500	\$0		\$156,500	\$0

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National Wildlife Federation 11100 Wildlife Center Drive Reston, VA 20190-5362 <i>To mobilize hunters and anglers and to amplify their voices in the media on global warming.</i>	2	\$0	\$250,000		\$100,000	\$150,000
Natura Gen. Anđelica 6 81210 Kolasin MONTENEGRO <i>For general budgetary purposes.</i>	7	\$20,000	\$0		\$20,000	\$0
Natural Resources Defense Council, Inc. 40 West 20th Street New York, NY 10011 <i>For its project, Protecting the Public Health from Environmental Pollution—Building Cross-Disciplinary Communities for Environmental Change.</i>	2	\$0	\$300,000		\$150,000	\$150,000
Nature Conservancy, Inc. 4245 N. Fairfax Drive Arlington, VA 22203-1637 <i>For its 2008 Internship Program for City Youth.</i>	2	\$0	\$17,500		\$17,500	\$0
Network 20/20, Inc. 850 Seventh Avenue, Suite 1200 New York, NY 10019 <i>For general support.</i>	2	\$0	\$50,000		\$50,000	\$0
Network for the Affirmation of NGO Sector-MANS, The Stari Aerodrom, Zgrada Podgorica Serbia and Montenegro <i>For its project to fight corruption and support citizen participation in the decision making processes in Montenegro.</i>	7	\$0	\$70,000		\$0	\$70,000
New America Foundation 1630 Connecticut Avenue, NW Washington, DC 20009 <i>For the U.S. in the World Initiative of the foundation's American Strategy Program.</i>	2	\$150,000	\$0		\$150,000	\$0
New America Foundation 1630 Connecticut Avenue, NW Washington, DC 20009 <i>For general support for 2008.</i>	2	\$0	\$150,000		\$0	\$150,000
New Energy Economy Inc. 1522 Cerro Gordo Road Santa Fe, NM 87501 <i>For its project, Architecture 2030, to organize and transform the U.S. and global building sector to become a central part of the solution to the global warming crisis.</i>	2	\$75,000	\$0		\$75,000	\$0
New Horizon Igmanske zgrade 2 85360 Ulcinj MONTENEGRO <i>For general budgetary purposes.</i>	7	\$25,000	\$0		\$25,000	\$0
New Immigrant Community Empowerment, Inc. 37-41 77th Street Second Floor Jackson Heights, New York 11372 <i>For its project, Expand the Vote: Restoring Immigrant Voting Rights in New York City.</i>	2	\$0	\$50,000		\$50,000	\$0

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New Museum of Contemporary Art 235 Bowery New York, NY 10002 <i>Endowment for its education and community programs.</i>	2	\$0	\$250,000		\$85,000	\$165,000
New Partners for Community Revitalization, Inc. 11 Penn Plaza 5th Floor New York, New York 10001 <i>For its NY Metro Brownfields Redevelopment Fund Program.</i>	2	\$50,000	\$0		\$50,000	\$0
New York City Center, Inc. 130 West 56th Street New York, New York 10019 <i>For the fourth annual Fall for Dance Festival.</i>	2	\$0	\$25,000		\$25,000	\$0
New York City Center, Inc. 130 West 56th Street New York, New York 10019 <i>Endowment for its annual Fall for Dance Festival.</i>	2	\$0	\$250,000		\$0	\$250,000
New York City Partnership Foundation One Battery Park Plaza, 4th Fl New York, NY 10004 <i>For a meeting in the spring of 2007 that will showcase New York City as a low carbon leader and demonstrate that reducing carbon emissions is an economic opportunity.</i>	2	\$75,000	\$0		\$75,000	\$0
New York Community Trust, The 909 Third Avenue 22nd Floor New York, NY 10022 <i>For the Fund for New Citizens' capacity-building initiative for immigrant organizations in New York City.</i>	2	\$0	\$50,000		\$50,000	\$0
New York Foundation for the Arts, Inc. 155 Avenue of the Americas 14th Floor New York, NY 10013-1507 <i>Endowment for its Artists' Fellowship program.</i>	2	\$0	\$250,000		\$50,000	\$200,000
New York Regional Association of Grantmakers, Inc. 79 Fifth Avenue Fourth Floor New York, NY 10003 <i>General support for 2008.</i>	2	\$0	\$16,600		\$16,600	\$0
New York Shakespeare Festival 425 Lafayette Street New York, NY 10003 <i>To support artist fees for its musical theater initiative.</i>	2	\$0	\$30,000		\$30,000	\$0
New York University 25 West Fourth Street New York, NY 10012 <i>For its project, Dialogues: Islamic World-U.S.-The West.</i>	1	\$160,000	\$0		\$160,000	\$0
New York University 25 West Fourth Street New York, NY 10012 <i>For the Hemispheric Institute of Performance and Politics' Hemispheric New York project.</i>	1	\$0	\$100,000		\$0	\$100,000

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New York University 25 West Fourth Street New York, NY 10012 <i>For its project, Dialogues: Islamic World-U.S.-The West.</i>	1	\$0	\$160,000		\$0	\$160,000
New Yorkers for Parks 355 Lexington Avenue 14th Floor New York, NY 10017 <i>For its Parks 2030 and Parkland Alienation Reform Coalition projects.</i>	2	\$0	\$175,000		\$85,000	\$90,000
New Vision 10 Jovana Popovica Street 31300 Prijeopolje Serbia and Montenegro <i>For a cooperative integrated rural development project in Serbian Sandzak.</i>	7	\$12,500	\$0		\$12,500	\$0
Nonprofit Coordinating Committee of New York, Inc. 1350 Broadway Suite 1801 New York, NY 10018 <i>General support for 2008.</i>	2	\$0	\$1,500		\$1,500	\$0
Northeast Sustainable Energy Association, Inc. 50 Miles Street Greenfield, MA 01301 <i>For a climate change workshop as part of NESEA's BuildingEnergy07 Conference, March 13-15.</i>	2	\$0	\$3,000		\$3,000	\$0
Northeastern University Boston, MA 02115 <i>For Emerald Cities, a project of the Center for Urban and Regional Policy.</i>	1	\$0	\$30,000		\$30,000	\$0
Northwest Bronx Community and Clergy Coalition 103 East 196th Street Bronx, NY 10468-3637 <i>For capacity-building initiatives.</i>	2	\$50,000	\$0		\$50,000	\$0
Northwest Bronx Community and Clergy Coalition 103 East 196th Street Bronx, NY 10468-3637 <i>For communications capacity-building strategies.</i>	2	\$0	\$10,000		\$10,000	\$0
Norwegian Institute of International Affairs Postbox 8159 Department OSLO, N-0033 Norway <i>For its research project examining the contemporary consequences of international sanctions imposed on Yugoslavia in the 1990s.</i>	6	\$0	\$92,000		\$0	\$92,000
Novi Sad Faculty of Law, University of Trg Dositeja Obradovica 1 Serbia and Montenegro <i>For an initiative to establish an environmental law program at the Novi Sad Faculty of Law.</i>	6	\$20,000	\$0		\$20,000	\$0
Nurturing Orphans of AIDS for Humanity P.O. Box 1299 Umhlanga Rocks 4320 Durban SOUTH AFRICA <i>To increase its professional staff.</i>	7	\$50,000	\$0		\$50,000	\$0

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Nurturing Orphans of AIDS for Humanity P.O. Box 1299 Umhlanga Rocks 4320 Durban SOUTH AFRICA <i>To attend the Marseilles AIDS Impact Conference and to participate in a satellite meeting of the Coalition for Children Affected by AIDS on the quality of evidence needed to inform policy development and implementation.</i>	7	\$0	\$3,000		\$3,000	\$0
Nurturing Orphans of AIDS for Humanity P.O. Box 1299 Umhlanga Rocks 4320 Durban SOUTH AFRICA <i>For research, evaluation, and advocacy efforts related to its work focused on children impacted by HIV/AIDS.</i>	7	\$0	\$67,000		\$55,000	\$12,000
Oceana, Inc. 2501 M Street NW Washington, DC 20037-1311 <i>For general support.</i>	2	\$0	\$600,000		\$200,000	\$400,000
OpenDemocracy USA, Inc 666 West End Avenue New York, New York 10025 United States <i>For its project openDemocracy.net to strengthen coverage of key debates around democracy and globalization, Islam and democracy, and reflections on the role of the U.S. in the world.</i>	2	\$100,000	\$0		\$100,000	\$0
Ottawa, University of 55 Laurier E. (11101) Ottawa, ON K1N 6N5 Canada <i>To support the first meeting of a Canada-Iran Academic dialogue.</i>	6	\$0	\$7,000		\$7,000	\$0
Pacific Environment and Resources Center 311 California, Suite 650 San Francisco, CA 94104 <i>For its environmental work in the Russian Far East.</i>	2	\$500,000	\$0		\$250,000	\$250,000
Pacific Institute for Studies in Development, 654 13th Street Suite 104 Oakland, CA 94612 <i>For its work on international standards.</i>	2	\$100,000	\$0		\$100,000	\$0
Partnership for Cures 70 West Madison Street Chicago, Illinois 60602 United States <i>Long-term transition support for the Charles E. Culpeper Scholarships in Medical Science and the Charles E. Culpeper Biomedical Pilot Initiative.</i>	2	\$1,944,000	\$0		\$972,000	\$972,000
Partnership for Public Service, Inc. 1725 Eye Street, N.W. Washington, DC 20006 <i>For a Pocantico conference that will establish a framework for management of the federal workforce in the next administration, with an emphasis on ways to manage an effective presidential transition.</i>	2	\$0	\$35,000		\$35,000	\$0
Pascal Rioult Dance Theatre, Inc. 246 West 38th Street New York, New York 10018 <i>For its fundraising program.</i>	2	\$0	\$70,000		\$35,000	\$35,000

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Paul J. Aicher Foundation, Inc. P.O. Box 203 697 Pomfret Street Pomfret, CT 06258 <i>For the joint project of its Study Circles Resource Center with the National League of Cities Institute, Inc., Expanding and Sustaining Civic Engagement: Building Local Capacity for Democratic Practice.</i>	3	\$165,000	\$0	\$165,000	\$0	\$0
Pembina Foundation for Environmental Research Suite 606 55 Water Street Vancouver, BC V6B 1A1 Canada <i>To prevent the development of a pipeline and tanker port that endangers the Great Bear Rainforest protected area.</i>	7	\$50,000	\$0		\$50,000	\$0
People for the American Way Foundation 149 Fifth Avenue Seventh Floor New York, NY 10010 <i>For its project, Young People For.</i>	2	\$60,000	\$0		\$30,000	\$30,000
PERFORMA, Inc. 327 East 18th Street New York, NY 10003 <i>For its PERFORMA07 commissions program.</i>	2	\$0	\$25,000		\$25,000	\$0
Performance Space 122, Inc. 150 First Avenue New York, New York 10009 <i>For its cash reserve fund.</i>	2	\$75,000	\$0		\$75,000	\$0
Performance Zone, Inc. 161 Sixth Avenue New York, New York 10013 <i>For upgrades of its information technology infrastructure.</i>	2	\$0	\$70,000		\$35,000	\$35,000
Pesticide Eco-Alternatives Center Yunnan Room 30 E Chang Chun Garden Grand Bldg 22 Ren Min Zhong Lu Road 650021 Kunming, Yunnan Province P.R. OF CHINA <i>For a project to examine links between use of the herbicide paraquat and human health problems in rural areas of Southern China.</i>	8	\$35,000	\$0		\$35,000	\$0
Pew Charitable Trusts, The One Commerce Square 2005 Market Street Philadelphia, PA 19103 <i>For its Fisheries Conservation Initiative (formerly the Regional Marine Conservation Project).</i>	2	\$0	\$115,000		\$115,000	\$0
Philanthropic Research, Inc. 4801 Courthouse Street Williamsburg, VA 23188 <i>For general support and designated for the RBF's membership in GuideStar's TrueNorth program.</i>	2	\$0	\$20,000		\$20,000	\$0
Philanthropy for Active Civic Engagement 444 Sherman Street Denver, CO 80203 <i>For general support.</i>	2	\$0	\$13,000		\$13,000	\$0

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Policy Consensus Initiative, Inc. P.O. Box 1762 Portland, OR 97207 <i>To educate and train state legislators and other state and local public officials on the use of new collaborative governance mechanism and structures.</i>	2	\$0	\$110,000		\$55,000	\$55,000
Pratt Institute Steuben Hall 379 DeKalb Avenue, Second Floor Brooklyn, NY 11205 <i>For the Pratt Center for Community Development to provide technical assistance to community-based organizations.</i>	1	\$0	\$100,000		\$50,000	\$50,000
President and Fellows of Harvard College Cambridge, MA 02138 <i>Towards two projects at its Law School, one exploring how civil society and democracy can be strengthened in Muslim societies, the other an innovative inter-faculty initiative on human rights and the contemporary Islamic state.</i>	1	\$0	\$40,000		\$20,000	\$20,000
President and Fellows of Harvard College Cambridge, MA 02138 <i>For its Graduate School of Education's project on trust and mistrust in contemporary American society, particularly as it is manifest among young persons.</i>	1	\$150,000	\$0		\$75,000	\$75,000
Project for Public Spaces, Inc. 700 Broadway, 4th Floor New York, NY 10003 <i>For its project, Community Development Through Public Spaces: Expanding Placemaking in Serbia, Kosovo and Montenegro.</i>	2	\$50,000	\$0		\$50,000	\$0
Proteus Fund, Inc. 101 University Drive Amherst, MA 01002 <i>For its project, the Piper Fund.</i>	2	\$0	\$250,000		\$150,000	\$100,000
Proteus Fund, Inc. 101 University Drive Amherst, MA 01002 <i>For its Democracy Agenda Project.</i>	2	\$0	\$25,000		\$25,000	\$0
Proteus Development Resources 5 Rose Way Constantia 7806 South Africa <i>To convene capacity building service providers working with RBF grantees.</i>	8	\$0	\$4,200		\$4,200	\$0
Public Agenda Foundation, Inc. 6 East 39th Street New York, NY 10016-0112 <i>For the Yankelovich Initiative.</i>	2	\$120,000	\$0		\$60,000	\$60,000
Public Campaign 1320 19th Street, N.W. Washington, DC 20036 <i>For general support.</i>	2	\$80,000	\$0		\$80,000	\$0
Public Citizen Foundation, Inc. 215 Pennsylvania Avenue, SE Washington, DC 20003 <i>For its Global Trade Watch program.</i>	2	\$50,000	\$0		\$50,000	\$0

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Public Interest Law Institute Non-Profit Organizations/Small Business Clinic 435 West 116 Street New York, New York 10027 <i>For the civil society strengthening program in Serbia and Montenegro of its Public Interest Law Initiative.</i>	2	\$150,000	\$0		\$75,000	\$75,000
Public Interest Projects, Inc. 80 Broad Street New York, NY 10004-3307 <i>To hire a communications coordinator for several organizations seeking to generate increased public outreach about global warming.</i>	2	\$0	\$100,000		\$100,000	\$0
Public Interest Projects, Inc. 80 Broad Street Suite 1600 New York, NY 10004-3307 <i>For membership in its project, Funders' Committee for Civic Participation.</i>	2	\$0	\$4,750		\$4,750	\$0
Public Interest Projects, Inc. 80 Broad Street Suite 1600 New York, NY 10004-3307 <i>For its project, Science First.</i>	2	\$0	\$350,000		\$0	\$350,000
Public Policy and Education Fund of New York, Inc. 94 Central Avenue Albany, NY 12206 <i>For its Clean Money, Clean Elections campaign finance</i>	2	\$0	\$50,000		\$50,000	\$0
Public Radio Capital Bridges Broadcast Center 7409 South Alton Court Centennial, CO 80112 United States of America <i>For technical assistance and support for the filing of non-</i>	2	\$0	\$25,000		\$25,000	\$0
Quebec-Labrador Foundation, Inc. 55 South Main Street Ipswich, MA 01938 <i>For its Central and Southeastern European Stewardship Program.</i>	2	\$73,200	\$0		\$13,200	\$60,000
Rainforest Action Network 221 Pine Street Suite 500 San Francisco, CA 94104 <i>For its campaign to encourage banks to adopt sustainability policies.</i>	2	\$0	\$200,000		\$100,000	\$100,000
Ramon Magsaysay Award Foundation 1680 Roxas Boulevard Manila, 1004 PHILIPPINES <i>To the stipends for the 2007 Ramon Magsaysay Awards.</i>	8	\$0	\$150,000		\$150,000	\$0
Ramon Magsaysay Award Foundation 1680 Roxas Boulevard Manila, 1004 PHILIPPINES <i>For documentation and dissemination of information about the recipients of the Awards.</i>	8	\$0	\$65,000		\$50,000	\$15,000
Ramon Magsaysay Award Foundation 1680 Roxas Boulevard Manila, 1004 PHILIPPINES <i>For the activities of this program during 2007 and 2008.</i>	8	\$0	\$164,000		\$100,000	\$64,000

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Ramon Magsaysay Award Foundation 1680 Roxas Boulevard Manila, 1004 PHILIPPINES <i>For a research study on the impact of the awards on awardee work and in the context of developments in Asia</i>	8	\$0	\$55,000		\$55,000	\$0
Ramon Magsaysay Award Foundation 1680 Roxas Boulevard Manila, 1004 PHILIPPINES <i>For a DVD series documenting best practices drawn from awardee work.</i>	8	\$0	\$80,000		\$80,000	\$0
Ramon Magsaysay Award Foundation 1680 Roxas Boulevard Manila, 1004 PHILIPPINES <i>For strategic planning designed to position the Awards for high impact and financial sustainability for the next 50 years.</i>	8	\$0	\$245,000		\$122,500	\$122,500
Rand Corporation 1700 Main Street Santa Monica, CA 90407-2138 <i>For the Gulf States Policy Institute's local dissemination efforts.</i>	2	\$0	\$25,000		\$25,000	\$0
Rand Corporation 1700 Main Street Santa Monica, CA 90407-2138 <i>For the dissemination activities of the project, Integrating Instruments of Power and Influence for National Security: The Bottom-Up Perspective.</i>	2	\$0	\$25,000		\$25,000	\$0
Reconnecting America 436 14th Street, Suite 1025 Oakland, CA 94612 <i>To assist the NYC Department of Transportation in the preparation a focused business plan.</i>	2	\$0	\$10,000		\$10,000	\$0
Reconstruction Women's Fund Narodnog Fronta 66, Beograd, 11000 Serbia and Montenegro <i>For its Roma Women's Initiative.</i>	9	\$45,000	\$0		\$0	\$45,000
Reform Institute, Inc. 300 North Washington Street Suite 600 Alexandria, VA 22314 <i>For its State Strategies Initiative.</i>	2	\$0	\$50,000		\$25,000	\$25,000
Regional Plan Association, Inc. 4 Irving Place 7th Floor New York, NY 10003 <i>For The Campaign for New York's Future, a coalition of civic, business, environmental, labor, religious, public health, and community organizations that supports the goals of Mayor Bloomberg's PlaNYC.</i>	2	\$0	\$300,000		\$300,000	\$0
Regional Plan Association, Inc. 4 Irving Place 7th Floor New York, NY 10003 <i>For the launch of a tri-state Mayors Institute on Climate Change.</i>	2	\$0	\$100,000		\$0	\$100,000

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Recipient and Purpose	Tax Status	Beginning Balance	Approved in 2007	Lapsed in 2007	Paid in 2007	Ending Balance
Renew the Earth 1900 Oracle Way Suite 717 Reston, VA 20190 <i>For its research project to investigate the relationships of energy policy within and across U.S. government development and security programs.</i>	2	\$0	\$50,000		\$50,000	\$0
Resource Media A Nonprofit Corporation 325 Pacific, 3rd Floor San Francisco, CA 94111 <i>To its Climate Science Initiative, which will publicize and popularize new scientific findings that document the role human activities are playing in global warming and the likely consequences.</i>	2	\$150,000	\$0		\$150,000	\$0
Rising Tide Capital, Inc. PO Box 3099 Jersey City, NJ 07303 <i>For general support.</i>	2	\$0	\$17,500		\$17,500	\$0
Rob Smetherham Bereavement Service for Children 7 Postnet Suite H46 Private Bag X9118 Pietermaritzburg 3200 SOUTH AFRICA <i>To train NGOs in play skills therapy.</i>		\$31,100	\$0		\$31,100	\$0
Rockefeller Family Fund 437 Madison Avenue New York, NY 10022-7001 <i>For the Funders Network on Trade and Globalization, a project of RFF's project, the Environmental Grantmakers Association.</i>	2	\$30,000	\$0		\$30,000	\$0
Rockefeller Family Fund 437 Madison Avenue New York, NY 10022-7001 <i>For the Environmental Grantmakers Association's Federal Policy Briefing in February 2007.</i>	2	\$0	\$10,000		\$10,000	\$0
Rockefeller Family Fund 437 Madison Avenue New York, NY 10022-7001 <i>For Environmental Grantmakers Association general support.</i>	2	\$0	\$3,370		\$3,370	\$0
Rockefeller Family Fund 437 Madison Avenue New York, NY 10022-7001 <i>For 1Sky, a campaign to galvanize a national movement to achieve global warming policy solutions commensurate with the scientific data on the scale and pace of climate change.</i>	2	\$0	\$1,000,000		\$100,000	\$900,000
Rockefeller Philanthropy Advisors 437 Madison Avenue New York, NY 10022 <i>For its project, One Nation.</i>	2	\$0	\$75,000		\$75,000	\$0
Rockefeller Philanthropy Advisors 437 Madison Avenue New York, NY 10022 <i>For its Sustainable Endowments Institute project.</i>	2	\$0	\$75,000		\$37,500	\$37,500
Rocky Mountain Institute 1739 Snowmass Creek Road Snowmass, CO 81654 <i>For general support.</i>	2	\$0	\$300,000		\$150,000	\$150,000

PART XV, Lines 3(a) and 3(b) Grants and Contributions
SCHEDULE OF GRANTS TO ORGANIZATIONS
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Recipient and Purpose	Tax Status	Beginning Balance	Approved in 2007	Lapsed in 2007	Paid in 2007	Ending Balance
Rush Philanthropic Arts Foundation 334 Grand Avenue Brooklyn, NY 11238 <i>For its Rush Kids after-school and weekend programs for children ages 5-12, which will be the only program of its kind in East New York, Brooklyn.</i>	2	\$0	\$17,500		\$17,500	\$0
Sage Foundation 680 - 220 Cambrie Street Vancouver, B.C. V6B 2M9 CANADA <i>For its Canadian Climate Project.</i>	7	\$75,000	\$0		\$75,000	\$0
Salzburg Seminar in American Studies Inc. 17 Dunster St. Cambridge, MA 02138 <i>To support the participation of four participants from the Muslim world in the organization's fall programming.</i>	2	\$0	\$25,000		\$25,000	\$0
San Francisco State University San Francisco, CA 94132 <i>For its project, the Research Collaborative on Youth Activism.</i>	1	\$70,000	\$0		\$35,000	\$35,000
Save the Children Fund P.O. Box 14038 Pretoria SOUTH AFRICA <i>For its Caring Schools Network.</i>	7	\$50,000	\$0		\$50,000	\$0
Save the Children Fund P.O. Box 14038 Pretoria SOUTH AFRICA <i>For a representative to attend the Marseille AIDS Impact Conference and to participate in a satellite meeting of the Coalition for Children Affected by AIDS.</i>	7	\$0	\$3,000		\$3,000	\$0
Scenarios USA, Inc. 80 Hanson Place Brooklyn, NY 11217 <i>For its 2007-2008 creative expression and advocacy program in New York City.</i>	2	\$0	\$17,500		\$17,500	\$0
School of Unity and Liberation 287 17th Street, Suite 225 Oakland, California 94612 <i>For general support.</i>	2	\$0	\$50,000		\$25,000	\$25,000
Sea Studios Foundation 810 Cannery Row Monterey, CA 93940 <i>For its short film, Ahead of the Curve II - US States Lead on Climate Change.</i>	2	\$0	\$50,000		\$50,000	\$0
Sea Studios Foundation 810 Cannery Row Monterey, CA 93940 <i>For production of a documentary: Ahead of the Curve II: U.S. States Lead on Climate Change.</i>	2	\$0	\$40,000		\$40,000	\$0
Search for Common Ground 1601 Connecticut Avenue, N.W. Washington, DC 20009-1035 <i>For the production of The Bridge, a reality television show aimed at increasing Muslim-Western understanding.</i>	2	\$0	\$110,000		\$110,000	\$0

PART XV, Lines 3(a) and 3(b) Grants and Contributions
SCHEDULE OF GRANTS TO ORGANIZATIONS
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Recipient and Purpose	Tax Status	Beginning Balance	Approved in 2007	Lapsed in 2007	Paid in 2007	Ending Balance
Search for Common Ground 1601 Connecticut Avenue, N.W. Suite 200 Washington, DC 20009-1035 <i>For continued support of its United States Consensus Council.</i>	2	\$0	\$50,000		\$50,000	\$0
Search for Common Ground 1601 Connecticut Avenue, N.W. Suite 200 Washington, DC 20009-1035 <i>For its US-Iran program.</i>	2	\$0	\$150,000		\$75,000	\$75,000
Search for Common Ground 1601 Connecticut Avenue, N.W. Suite 200 Washington, DC 20009-1035 <i>For its project, U.S. Engagement with the Global Muslim Community.</i>	2	\$0	\$150,000		\$75,000	\$75,000
Shotgun Productions, Inc. 165 East 35th Street New York, New York 10016 <i>For its play development program.</i>	2	\$25,000	\$0		\$25,000	\$0
SmartPower Connecticut, Inc. PO Box 1375 Glastonbury, CT 06033 <i>For general support.</i>	2	\$0	\$200,000		\$100,000	\$100,000
Social Science Research Council 810 Seventh Avenue New York, New York 10019 <i>For its project, Environmental Health and Government and Citizen Responses: Research, Policy and Practice.</i>	2	\$77,000	\$0		\$77,000	\$0
Social Science Research Council 810 Seventh Avenue New York, New York 10019 <i>For the South Africa-related research of its AIDS, Security, and Conflict Initiative.</i>	2	\$0	\$120,000		\$60,000	\$60,000
Social Science Research Council 810 Seventh Avenue New York, New York 10019 <i>For the project, International Resource Exchange on Environment and Health in China.</i>	2	\$0	\$130,000		\$130,000	\$0
South Centre Ch. du Champ d'Anier 17 PO Box 228 Geneva 19 SWITZERLAND <i>For its project to assist developing countries in negotiation on issues relating to global economic governance and World Trade Organization institutional reform.</i>	7	\$100,000	\$0		\$100,000	\$0
SACBC AIDS Office P.O. Box 941 Pretoria 0001 South Africa <i>To evaluate family and community-based models of care for orphans and vulnerable children in South Africa.</i>	7	\$0	\$30,000		\$30,000	\$0
Sponsors for Educational Opportunity, Inc. 55 Exchange Place New York, NY 10005 <i>To its Career Program in Philanthropy.</i>	2	\$0	\$10,000		\$10,000	\$0

PART XV, Lines 3(a) and 3(b) Grants and Contributions
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Recipient and Purpose	Tax Status	Beginning Balance	Approved in 2007	Lapsed in 2007	Paid in 2007	Ending Balance
St. Luke's Chamber Ensemble, Inc. 330 West 42nd Street New York, NY 10036 <i>For the permanent endowment portion of the St. Luke's Fund for the Future campaign.</i>	2	\$125,000	\$0		\$125,000	\$0
Henry L. Stimson Center, The 1111 19th Street, N.W., 12th Floor Washington, DC 20036 <i>For its Security for a New Century project.</i>	2	\$125,000	\$0		\$125,000	\$0
Sundance Institute 307 West 200 South, Suite 5002 Salt Lake City, Utah 84101 <i>For its Middle Eastern Screenwriters Lab project and related activities designed to support the development of the film industry in the Middle East and Gulf Region.</i>	2	\$0	\$75,000		\$50,000	\$25,000
SURGE: Students United for a Responsible Global Environment P.O. Box 1188 Chapel Hill, North Carolina 27514 <i>For the work of the Princeton chapter of SURGE to build momentum for greenhouse gas emissions reduction at Princeton and in New Jersey.</i>	2	\$0	\$10,000		\$10,000	\$0
Synergos Institute, Inc., The 51 Madison Avenue, 21st Floor New York, NY 10010 <i>For its 20th Anniversary Meeting A Reflection on 20 Years of Learning: Moving Toward More Effective Partnerships.</i>	2	\$0	\$15,000		\$15,000	\$0
Teresa Group--Child and Family Aid, The 124 Merton Street Toronto, Ontario M4S 2Z2 Canada <i>For its project, the Coalition on Children Affected by AIDS.</i>	7	\$0	\$27,000		\$27,000	\$0
Terror Free Tomorrow 5335 Wisconsin Ave., N.W. Suite 440 Washington, District of Columbia 20015 <i>For general support.</i>	2	\$0	\$45,000		\$45,000	\$0
Theater ODA Pallati i Rinise, 111 Pristine, 100030 Kosova <i>For the television series Politically Incorrect</i>	7	\$0	\$50,000		\$0	\$50,000
Third World Network 131 Jalan Macalister 10400 Penang MALAYSIA <i>For its program to support developing country contributions to the multilateral trading and financial system.</i>	7	\$200,000	\$0		\$100,000	\$100,000
Thomas Jefferson Institute for the Study of World 1514 North Longfellow Street Arlington, VA 22205 <i>For a capacity-building project with the Ministry of Defence of Serbia and Montenegro and to a needs assessment for the Serbian Securities and Exchange Commission.</i>	2	\$75,000	\$0		\$75,000	\$0

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Recipient and Purpose	Tax Status	Beginning Balance	Approved in 2007	Lapsed in 2007	Paid in 2007	Ending Balance
Tides Center The Presidio P. O. Box 29907 San Francisco, CA 94129-0907 <i>For its project, WireTap.</i>	2	\$50,000	\$0		\$50,000	\$0
Tides Center The Presidio P. O. Box 29907 San Francisco, CA 94129-0907 <i>For its project, the Generational Alliance.</i>	2	\$350,000	\$0		\$175,000	\$175,000
Tides Center The Presidio P. O. Box 29907 San Francisco, CA 94129-0907 <i>For its project, Peace and Security Media Initiative.</i>	2	\$0	\$100,000		\$50,000	\$50,000
Tides Center The Presidio P. O. Box 29907 San Francisco, CA 94129-0907 <i>For its project, the Open Media and Information</i>	2	\$0	\$100,000		\$50,000	\$50,000
Tides Center The Presidio P. O. Box 29907 San Francisco, CA 94129-0907 <i>For increased staff capacity at the Center for Small Business and the Environment.</i>	2	\$0	\$40,000		\$40,000	\$0
Tides Center The Presidio P. O. Box 29907 San Francisco, CA 94129-0907 <i>Towards the efforts of its project, the Hollywood Foreign Policy Roundtable.</i>	2	\$0	\$25,000		\$25,000	\$0
Tides Foundation Presidio Main Post, Bldg. #37 PO Box 29903 San Francisco, CA 94129-0903 <i>For its project, Connect US.</i>	2	\$250,000	\$0		\$250,000	\$0
Tides Foundation Presidio Main Post, Bldg. #37 San Francisco, CA 94129-0903 <i>For its project, the Connect US Funders Collaborative.</i>	2	\$0	\$500,000		\$0	\$500,000
Tomorrow Trust P.O. Box 1812 Saxonwold, 2132 South Africa <i>To expand its post-secondary school education and training opportunities for youth impacted by HIV/AIDS.</i>	7	\$0	\$45,000		\$45,000	\$0
Training and Resources in Early Education P.O. Box 35173 Northway 4065 SOUTH AFRICA <i>To attend the Marseille AIDS Impact Conference and to participate in a satellite meeting of the Coalition for Children Affected by AIDS.</i>	7	\$0	\$3,000		\$3,000	\$0

PART XV, Lines 3(a) and 3(b) Grants and Contributions
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Recipient and Purpose	Tax Status	Beginning Balance	Approved in 2007	Lapsed in 2007	Paid in 2007	Ending Balance
Training and Resources in Early Education P.O. Box 35173 Northway 4065 SOUTH AFRICA <i>For research and advocacy on early childhood development sites as resources in AIDS-affected communities.</i>	7	\$0	\$140,000		\$70,000	\$70,000
Triple Candie, Inc. 461 West 126th Street New York, New York 10027 <i>For general support.</i>	2	\$0	\$50,000		\$25,000	\$25,000
Trustees of Tufts College 108 Bromfield Medford, MA 02155 <i>For its Global Development and Environment Institute.</i>	1	\$150,000	\$0		\$75,000	\$75,000
Trustees of Tufts College 108 Bromfield Medford, MA 02155 <i>For development of a new climate economics program at the Global Development and Environment Institute.</i>	1	\$0	\$20,000		\$20,000	\$0
US Working Group Inc. dba Forest Stewardship Council US Washington, DC 20007 <i>For general support</i>	2	\$200,000	\$0		\$180,000	\$20,000
United Nations 1 UN Plaza New York, New York 10017 <i>For a project of its Office for Partnerships on a feasibility study and pilot project that will enable the development of a sophisticated partnerships database.</i>	2	\$0	\$25,000		\$25,000	\$0
United Nations Association of the United States of 801 Second Avenue New York, NY 10017-4706 <i>For its project, the U.S.-Iran Dialogue on Multilateral Diplomacy and the Management of Global Issues.</i>	2	\$0	\$25,000		\$25,000	\$0
United Nations Association of the United States of 801 Second Avenue New York, NY 10017-4706 <i>For its project, the U.S.-Iran Dialogue on Multilateral Diplomacy and the Management of Global Issues.</i>	2	\$0	\$50,000		\$50,000	\$0
United Nations Children's Fund P.O. Box 4884 Pretoria 0001 South Africa <i>For a project of its program, the United Nations Children's Fund, to evaluate the implementation of the National School Nutrition Program in South Africa.</i>	2	\$0	\$75,000		\$75,000	\$0
United Nations Development Programme One United Nations Plaza New York, New York 10017 <i>For a project to support local NGO efforts to provide leadership on anti-corruption initiatives in Montenegro.</i>	2	\$20,000	\$0		\$20,000	\$0

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Recipient and Purpose	Tax Status	Beginning Balance	Approved in 2007	Lapsed in 2007	Paid in 2007	Ending Balance
United Nations Foundation 1800 Massachusetts Avenue, NW, Suite 400 Washington, DC 20036 <i>For logistical and travel costs related to the Climate Change as a Global Challenge thematic debate to be held at the United Nations, July 30-Aug 1, 2007.</i>	2	\$0	\$20,000		\$20,000	\$0
Urban Age Institute 870 Estancia Way San Rafael, California 94903 <i>For the recruitment and participation of local government and NGO leaders from Guangdong Province.</i>	2	\$0	\$30,000		\$30,000	\$0
UrbanGlass\New York Contemporary Glass Center 647 Fulton Street Brooklyn, New York 11217-1112 <i>For its Visiting Artist Fellowship and Urban Invites Programs.</i>	2	\$40,000	\$0		\$20,000	\$20,000
UrbanGlass\New York Contemporary Glass Center 647 Fulton Street Brooklyn, New York 11217-1112 <i>For its 2008 Bead Project, a job skills development program for economically disadvantaged women.</i>	2	\$0	\$17,500		\$17,500	\$0
Visual Arts Research and Resource Center Relating 408 West 58th Street New York, New York 10019 <i>To implement strategic plan strategies.</i>	2	\$35,000	\$0		\$35,000	\$0
Vivian Beaumont Theater, Inc. 150 West 65th Street New York, NY 10023-6975 <i>For its commissioning program.</i>	2	\$50,000	\$0		\$50,000	\$0
Vuleka Productions P.O. Box 15077 Bellair 4006 SOUTH AFRICA <i>To support and amplify orphan and vulnerable children interventions in South Africa through media.</i>	9	\$0	\$14,000		\$14,000	\$0
West Coast Environmental Law Research 1001-207 West Hastings Vancouver, V6B 1H7 CANADA <i>To prevent the development of a pipeline and tanker port that endangers the Great Bear Rainforest protected area</i>	7	\$50,000	\$0		\$50,000	\$0
West Harlem Environmental Action, Inc. 271 West 125th Street Suite 308 New York, NY 10027 <i>For its Sustainable Development Program's community engagement efforts and planning for Harlem Waterfront Park development and Columbia University's expansion.</i>	2	\$0	\$225,000		\$125,000	\$100,000
Western Canada Wilderness Committee 227 Abbott Street Vancouver, BC V6B 2K7 Canada <i>For the publication of a book on temperate rainforest conservation</i>	7	\$0	\$10,000		\$10,000	\$0

Recipient and Purpose	Tax Status	Beginning Balance	Approved in 2007	Lapsed in 2007	Paid in 2007	Ending Balance
Western Cape, University of the Private Bag X17 Bellville 7535 Cape Town SOUTH AFRICA <i>For a research project of the university's Community Law Centre that will focus on the impact of HIV/AIDS on the prison system in South Africa.</i>	6	\$17,000	\$0		\$17,000	\$0
Western Cape, University of the Private Bag X17 Cape Town SOUTH AFRICA <i>For a project of its School of Health that will attempt to improve access to social welfare grants and services for children affected by HIV/AIDS.</i>	6	\$14,000	\$0		\$14,000	\$0
Western Cape, University of the Private Bag X17 Bellville 7535 Cape Town SOUTH AFRICA <i>For a project of its School of Health to assess the impact of child support grants on poor households.</i>	6	\$0	\$60,000		\$60,000	\$0
Western Governors' Foundation 1515 Cleveland Place Denver, CO 80202 <i>For meeting and travel costs associated with the March, 2007 meeting and related climate work of the Western Regional Air Partnership.</i>	2	\$0	\$25,000		\$25,000	\$0
White Box, Ltd. 525 West 26th Street New York, New York 10001 <i>To develop a strategic plan and strengthen the organization's apprentice program.</i>	2	\$35,000	\$0		\$35,000	\$0
Whitney Museum of American Art 945 Madison Avenue at 75th Street New York, NY 10021 <i>For its WHITNEY LIVE program.</i>	2	\$50,000	\$0		\$50,000	\$0
Wilderness Foundation, The P.O. Box 12509 Central Port Elizabeth 6006 South Africa <i>To expand its Umzi Wethu Academy, which trains orphaned youth to work in ecotourism.</i>	7	\$0	\$140,000		\$70,000	\$70,000
William J. Brennan, Jr., Center for Justice, Inc. 161 Avenue of the Americas 12th Floor New York, NY 10013 <i>For its Dobbins Litigation and Public Education project.</i>	2	\$25,000	\$0		\$25,000	\$0
William J. Brennan, Jr., Center for Justice, Inc. 161 Avenue of the Americas 12th Floor New York, NY 10013 <i>For litigation, research, and public education on public financing of political campaigns.</i>	2	\$0	\$160,000		\$132,616	\$27,384
Wisconsin, University of 5162 Vilas Communication Hall 821 University Avenue Madison, WI 53706 <i>For completion of a book on how political advertisements and the Internet sustain and erode democracy.</i>	1	\$0	\$19,200		\$19,200	\$0

PART XV, Lines 3(a) and 3(b) Grants and Contributions
SCHEDULE OF GRANTS TO ORGANIZATIONS
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Recipient and Purpose	Tax Status	Beginning Balance	Approved in 2007	Lapsed in 2007	Paid in 2007	Ending Balance
Wits Health Consortium (Proprietary) Limited Postnet Suite 189 Private Bag X2600 Houghton 2041 SOUTH AFRICA <i>For its Children's Homes Outreach Medical Program.</i>	7	\$50,000	\$0		\$50,000	\$0
Women's Funding Network 1375 Sutter Street, Suite 406 San Francisco, CA 94109 <i>For its U.S. Women's Lens on Global Engagement Initiative.</i>	2	\$100,000	\$0		\$100,000	\$0
Women's Leadership Fund 110 Wall Street New York, New York 10005 United States <i>For its Real Security Initiative.</i>	2	\$0	\$100,000		\$50,000	\$50,000
Woodrow Wilson International Center for Scholars 1300 Pennsylvania Avenue Washington, District of Columbia 20004-3027 <i>For the publication of a Special Environmental Health Issue of the China Environment Series.</i>	2	\$40,000	\$0		\$40,000	\$0
Woodrow Wilson International Center for Scholars One Woodrow Wilson Plaza 1300 Pennsylvania Avenue, NW Washington, DC 20004 <i>For its "Future of the Office of Science and Technology Policy" project.</i>	2	\$0	\$25,000		\$25,000	\$0
Woods Hole Research Center, Inc. 149 Woods Hole Road Falmouth, MA 02540 United States <i>For general support.</i>	2	\$0	\$50,000		\$25,000	\$25,000
Working Theatre Company, Inc., The 128 East Broadway Box 892 New York, New York 10002-0892 <i>For the Into the Future capacity-building program.</i>	2	\$25,000	\$0		\$25,000	\$0
Works and Process, Inc. 845 Third Avenue 16th Floor New York, New York 10022 <i>For endowment campaign planning.</i>	2	\$0	\$100,000		\$50,000	\$50,000
World Affairs Council of Northern California 312 Sutter Street Suite 200 San Francisco, CA 94108 <i>For its Global Philanthropy Forum for 2007.</i>	2	\$0	\$25,000		\$25,000	\$0
World Federalists Association Citizens for Global Solutions Education Fund 418 Seventh Street, S.E. Washington, DC 20003 <i>Towards the organization's online multimedia efforts to engage young people in global issues.</i>	2	\$0	\$45,000		\$45,000	\$0
World Music Institute, Inc. 49 West 27th Street New York, New York 10001-6936 <i>To support improvements to its sales and marketing infrastructure.</i>	2	\$0	\$60,000		\$30,000	\$30,000

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Recipient and Purpose	Tax Status	Beginning Balance	Approved in 2007	Lapsed in 2007	Paid in 2007	Ending Balance
World Resources Institute 10 G Street, N.E. Washington, DC 20002 <i>For its project to expand demand for renewable energy in Europe.</i>	2	\$0	\$100,000		\$100,000	\$0
World Resources Institute 10 G Street, N.E. Washington, DC 20002 To significantly expand the work of World Resources Institute's International Financial Flows and the Environment program.	2	\$0	\$200,000		\$100,000	\$100,000
Yale University 205 Prospect Street New Haven, CT 06511-2189 <i>For its conference: Toward a New Consciousness: Creating a Society in Harmony with Nature</i>	1	\$0	\$25,000		\$25,000	\$0
Youth Initiative for Human Rights, The Cvijiceva 31 11000 Belgrade Serbia and Montenegro <i>For general support.</i>	7	\$100,000	\$0		\$100,000	\$0
Youth Initiative for Human Rights, The Cvijiceva 31 11000 Belgrade Serbia and Montenegro <i>For general support</i>	7	\$0	\$150,000		\$0	\$150,000
Youth Ministries for Peace & Justice, Inc. 1384 Stratford Avenue Bronx, NY 10472 <i>For communications capacity-building initiatives.</i>	1	\$0	\$10,000		\$10,000	\$0
Yunnan Health and Development Research Post Office Box 43 Kunming Medical College 191 Renmin West Road Kunming, 650031 China <i>For its Yunnan Environmental Health Initiative.</i>	7	\$85,000	\$0		\$42,500	\$42,500
Yunnan Health and Development Research Post Office Box 43 Kunming Medical College 191 Renmin West Road Kunming, 650031 China <i>For the project, International Resource Exchange on Environment and Health in China.</i>	7	\$0	\$50,000		\$50,000	\$0
Zhongshan University RM 807, Science and Technology Park Guangzhou, Guangdong 510275 China <i>For its Institute's support of the emergence and development of grassroots NGOs in the region.</i>	6	\$35,000	\$0		\$35,000	\$0
Zhongshan University RM 807, Science and Technology Park Guangzhou, Guangdong 510275 China <i>For general support.</i>	6	\$20,000	\$0		\$20,000	\$0

PART XV, Lines 3(a) and 3(b) Grants and Contributions
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Recipient and Purpose	Tax Status	Beginning Balance	Approved in 2007	Lapsed in 2007	Paid in 2007	Ending Balance
Total, Grants to Organizations		\$19,663,400.00	\$28,874,170	\$735,665	\$29,792,764	\$18,009,141
Total, Grants to Individuals		\$1,235,200.00	\$552,500	\$230,000.00	\$358,900	\$1,198,800
GRAND TOTAL		\$20,898,600.00	\$29,426,670	\$965,665	\$30,151,664	\$19,207,941
2007 Matching Gifts (see schedule attached)					\$30,681	
Immaterial Rounding Differences				\$	(2.00)	\$ (1.00)
Total Part XV, line 3 (a)					\$30,182,343	
Total Part XV, line 3 (b)						\$19,207,940

Key to Tax Status

- 1 U.S. church, school, hospital, government agency
509(a)(1) and 170(b)(1)(A)(i-iii, v)
- 2 U.S. publicly supported organization
509(a)(1) and 170(b)(1)(A)(iv, vi); 509(a)(2); 509(a)(3);
including 4940(d)(2) (exempt operating foundation)
- 3 U.S. private operating foundation
- 4 U.S. private non-operating foundation
- 5 U.S. non 501(c)(3)
- 6 foreign church, school, hospital, government agency
- 7 foreign organization equivalent to publicly supported 501(c)(3)
- 8 foreign organization equivalent to private foundation
- 9 foreign organization not equivalent to 501(c)(3)

SCHEDULE OF GRANTS TO INDIVIDUALS

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NOTE: There are no relationships between any individual grantee and any foundation manager or substantial contributor.

Recipient and Purpose	Beginning Balance	Approved in 2007	Lapsed in 2007	Paid in 2007	Ending Balance
Adams, Shayla Columbia, MD <i>Fellowships for Aspiring Teachers of Color.</i>	\$0	\$22,100	\$0	\$2,500	\$19,600
Aguirre, Miriam Fontana, CA <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$0	\$6,000	\$13,600
Aiken, Amamda Hopewell Junction, NY <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$0	\$6,000	\$13,600
Alston, Kim Brighton, MA <i>Fellowships for Aspiring Teachers of Color.</i>	\$13,600	\$0	\$0	\$6,000	\$7,600
Alvarado, Danielle Los Gatos, CA <i>Fellowships for Aspiring Teachers of Color.</i>	\$2,400	\$0	\$0	\$1,200	\$1,200
Arciniegas, Migdalia Bronx, NY <i>Fellowships for Aspiring Teachers of Color.</i>	\$3,600	\$0	\$3,600	\$0	\$0
Arellano, Monica Los Angeles, CA <i>Fellowships for Aspiring Teachers of Color.</i>	\$0	\$22,100	\$0	\$2,500	\$19,600
Arteaga, Sonia Huntington Park, CA 90255 <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$3,600	\$0	\$3,600	\$0	\$0

SCHEDULE OF GRANTS TO INDIVIDUALS

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SCHEDULE 20

NOTE: There are no relationships between any individual grantee and any foundation manager or substantial contributor.

Recipient and Purpose	Beginning Balance	Approved in 2007	Lapsed in 2007	Paid in 2007	Ending Balance
Atkins, Alishia Hampton, VA <i>Fellowships for Aspiring Teachers of Color.</i>	\$7,600	\$0	\$4,000	\$1,200	\$2,400
Baird, Bianca Indianapolis, IN <i>Fellowships for Aspiring Teachers of Color.</i>	\$0	\$22,100	\$0	\$2,500	\$19,600
Barraza, Claudia San Gabriel, CA <i>Fellowship for Aspiring Teachers of Color</i>	\$0	\$22,100	\$0	\$2,500	\$19,600
Bauerle, Phenocia El Cerrito, CA <i>Fellowships for Aspiring Teachers of Color.</i>	\$3,600	\$0	\$3,600	\$0	\$0
Bedney, Adriana Queens Village, NY <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$0	\$6,000	\$13,600
Berry, Patrice Hagerstown, Maryland <i>Fellowships for Aspiring Teachers of Color.</i>	\$13,600	\$0	\$4,000	\$6,000	\$3,600
Bethel, Thalia Ann Brooklyn, NY <i>Fellowships for Aspiring Teachers of Color.</i>	\$0	\$22,100	\$0	\$2,500	\$19,600
Bond, Charmelia Philadelphia, PA <i>Fellowships for Aspiring Teachers of Color..</i>	\$3,600	\$0	\$3,600	\$0	\$0

PART XV, Lines 3(a) and 3(b) Grants and Contributions Paid During the Year and Approved for Future Payment
SCHEDULE OF GRANTS TO INDIVIDUALS
 FYE12/31/2007

SCHEDULE 20

NOTE: There are no relationships between any individual grantee and any foundation manager or substantial contributor.

Recipient and Purpose	Beginning Balance	Approved in 2007	Lapsed in 2007	Paid in 2007	Ending Balance
Bugg, Maya Durham, NC <i>Fellowships for Aspiring Teachers of Color.</i>	\$1,200	\$0	\$0	\$0	\$1,200
Cain, Jessica Fayetteville, NC <i>Fellowships for Aspiring Teachers of Color.</i>	\$3,600	\$0	\$0	\$0	\$3,600
Carter, Melanie Champaign, IL <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$19,600	\$0	\$0
Castano, Giselle Manlius, NY <i>Fellowship for Aspiring Teachers of Color</i>	\$19,600	\$0	\$0	\$0	\$19,600
Charlot, Annick Somerville, Massachusetts <i>Fellowships for Aspiring Teachers of Color.</i>	\$13,600	\$0	\$4,000	\$6,000	\$3,600
Chavez, Emily Durham, NC <i>Fellowships for Aspiring Teachers of Color.</i>	\$1,200	\$0	\$1,200	\$0	\$0
Chen, Thomas S. Hillsborough, NJ <i>Fellowship for Aspiring Teachers of Color</i>	\$19,600	\$0	\$0	\$6,000	\$13,600
Chenier, Spencer Washington, DC <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$3,600	\$0	\$3,600	\$0	\$0

SCHEDULE OF GRANTS TO INDIVIDUALS

FYE12/31/2007

SCHEDULE 20

NOTE: There are no relationships between any individual grantee and any foundation manager or substantial contributor.

Recipient and Purpose	Beginning Balance	Approved in 2007	Lapsed in 2007	Paid in 2007	Ending Balance
Clark, Kimberly Daly City, CA 94015 <i>Fellowships for Aspiring Teachers of Color.</i>	\$6,400	\$0	\$0	\$1,200	\$5,200
Cooper, Rachel Altadena, California <i>Fellowships for Aspiring Teachers of Color.</i>	\$11,600	\$0	\$0	\$8,000	\$3,600
Cozzarelli, Tatiana Vienna, VA <i>Fellowships for Aspiring Teachers of Color.</i>	\$0	\$22,100	\$0	\$2,500	\$19,600
Cruz, Natalia Haverstraw, NY <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$0	\$0	\$19,600
Curtis-Burnes, Julia New York, NY <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$0	\$6,000	\$13,600
Davenport-Harris, Crystal Windermere, FL <i>Fellowships for Aspiring Teachers of Color..</i>	\$3,600	\$0	\$0	\$2,400	\$1,200
Davis, Elyse Lithonia, Georgia <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$3,600	\$0	\$3,600	\$0	\$0
Davis, Ja'Dell Los Angeles, CA <i>Fellowships for Aspiring Teachers of Color.</i>	\$13,600	\$0	\$4,000	\$7,200	\$2,400

PART XV, Lines 3(a) and 3(b) Grants and Contributions Paid During the Year and Approved for Future Payment
SCHEDULE OF GRANTS TO INDIVIDUALS
 FYE12/31/2007

SCHEDULE 20

NOTE: There are no relationships between any individual grantee and any foundation manager or substantial contributor.

Recipient and Purpose	Beginning Balance	Approved in 2007	Lapsed in 2007	Paid in 2007	Ending Balance
Delgado, Prisciliana San Marcos, TX <i>Fellowships for Aspiring Teachers of Color.</i>	\$11,600	\$0	\$0	\$8,000	\$3,600
Diaz, Cecelia Bell Gardens, CA <i>Fellowships for Aspiring Teachers of Color.</i>	\$3,600	\$0	\$0	\$1,200	\$2,400
Elmore, Marlena Williamstown, MA <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$0	\$6,000	\$13,600
Espinoza, Nancy Ontario, CA <i>Fellowships for Aspiring Teachers of Color.</i>	\$1,200	\$0	\$0	\$0	\$1,200
Fleming, Nicole New Haven, CT <i>Fellowships for Aspiring Teachers of Color.</i>	\$3,600	\$0	\$0	\$1,200	\$2,400
Francis, Corvena Bronx, NY <i>Fellowships for Aspiring Teachers of Color.</i>	\$0	\$22,100	\$0	\$2,500	\$19,600
Gajadar, Jodi Otego, NY <i>Fellowships for Aspiring Teachers of Color.</i>	\$0	\$22,100	\$0	\$2,500	\$19,600
Garcia, Araceli Los Angeles, CA <i>Fellowships for Aspiring Teachers of Color.</i>	\$3,600	\$0	\$3,600	\$0	\$0

SCHEDULE OF GRANTS TO INDIVIDUALS

FYE12/31/2007

SCHEDULE 20

NOTE: There are no relationships between any individual grantee and any foundation manager or substantial contributor.

Recipient and Purpose	Beginning Balance	Approved in 2007	Lapsed in 2007	Paid in 2007	Ending Balance
Garza, Gabriel Martindale, TX <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$19,600	\$0	\$0
Golden, Nicole Miami, FL <i>Fellowships for Aspiring Teachers of Color.</i>	\$0	\$22,100	\$0	\$2,500	\$19,600
Gonzalez, Elaine Los Angeles, CA <i>Fellowships for Aspiring Teachers of Color.</i>	\$3,600	\$0	\$0	\$1,200	\$2,400
Gonzalez, Irina Laredo, TX <i>Fellowships for Aspiring Teachers of Color.</i>	\$0	\$22,100	\$0	\$2,500	\$19,600
Granillo, Omar Bronx, NY <i>Fellowships for Aspiring Teachers of Color.</i>	\$3,600	\$0	\$0	\$0	\$3,600
Hall, Bradford Browning, MT <i>Fellowships for Aspiring Teachers of Color.</i>	\$0	\$22,100	\$0	\$2,500	\$19,600
Hamilton, Qeona Los Angeles, CA <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$1,200	\$0	\$0	\$0	\$1,200
Hardy, Kimberly Tyler, TX <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$0	\$0	\$19,600

SCHEDULE OF GRANTS TO INDIVIDUALS

FYE12/31/2007

SCHEDULE 20

NOTE: There are no relationships between any individual grantee and any foundation manager or substantial contributor.

Recipient and Purpose	Beginning Balance	Approved in 2007	Lapsed in 2007	Paid in 2007	Ending Balance
Harris, Joycelyn Missouri City, TX <i>Fellowships for Aspiring Teachers of Color.</i>	\$11,600	\$0	\$0	\$8,000	\$3,600
Harris, Rabiah Washington, DC <i>Fellowships for Aspiring Teachers of Color.</i>	\$3,600	\$0	\$0	\$0	\$3,600
Hernandez, Yesenia Los Angeles, CA <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$0	\$0	\$19,600
Hogan, Brooke Los Angeles, CA <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$0	\$8,000	\$11,600
Hsu, Taica Stanford, CA <i>Fellowships for Aspiring Teachers of Color.</i>	\$13,600	\$0	\$0	\$7,200	\$6,400
Hughes, Nicole Atlanta, GA <i>Fellowships for Aspiring Teachers of Color.</i>	\$2,400	\$0	\$0	\$0	\$2,400
Iniguez, Jeaneth Wilmington, CA <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$0	\$8,000	\$11,600
Ivey, Raymond Los Angeles, CA <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$3,600	\$0	\$3,600	\$0	\$0

SCHEDULE OF GRANTS TO INDIVIDUALS

FYE12/31/2007

SCHEDULE 20

NOTE: There are no relationships between any individual grantee and any foundation manager or substantial contributor.

Recipient and Purpose	Beginning Balance	Approved in 2007	Lapsed in 2007	Paid in 2007	Ending Balance
Jackson, Kimberly Jackson, GA <i>Fellowships for Aspiring Teachers of Color.</i>	\$13,600	\$0	\$0	\$6,000	\$7,600
Jeffries, Eva New York, NY <i>2006 Fellowship for Aspiring Teachers of Color</i>	\$19,600	\$0	\$0	\$8,000	\$11,600
Jenkins, Salema Philadelphia, PA <i>Fellowships for Aspiring Teachers of Color.</i>	\$3,600	\$0	\$0	\$0	\$3,600
Jeter, Ayesha Piscataway, NJ <i>Fellowships for Aspiring Teachers of Color.</i>	\$0	\$22,100	\$0	\$2,500	\$19,600
Jiles, David Summit, IL <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$0	\$0	\$19,600
Johnson, Ashley Boston, MA <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$0	\$6,000	\$13,600
Johnson, Traci Detroit, MI <i>Fellowships for Aspiring Teachers of Color.</i>	\$1,200	\$0	\$0	\$1,200	\$0
Killsback, Leo Tuscon, AZ <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$3,600	\$0	\$3,600	\$0	\$0

SCHEDULE OF GRANTS TO INDIVIDUALS

FYE12/31/2007

SCHEDULE 20

NOTE: There are no relationships between any individual grantee and any foundation manager or substantial contributor.

Recipient and Purpose	Beginning Balance	Approved in 2007	Lapsed in 2007	Paid in 2007	Ending Balance
King, Rachel Katonah, NY <i>Fellowships for Aspiring Teachers of Color.</i>	\$13,600	\$0	\$4,000	\$6,000	\$3,600
Lau, Joanna Brooklyn, NY <i>Fellowships for Aspiring Teachers of Color.</i>	\$13,600	\$0	\$0	\$6,000	\$7,600
Laud, Nikhil Roslyn, NY <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$3,600	\$0	\$3,600	\$0	\$0
Leanos, Irma Garden Grove, CA <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$0	\$0	\$19,600
Lee, Adrienne Winchester, MA <i>Fellowship for Aspiring Teachers of Color</i>	\$19,600	\$0	\$0	\$6,000	\$13,600
Lee, Celeste Jonesboro, GA <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$2,400	\$0	\$2,400	\$0	\$0
Lee, Juhjung Los Angeles, California <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$0	\$12,000	\$7,600
Leon, Veronica Orange Grove, CA <i>Fellowships for Aspiring Teachers of Color.</i>	\$3,600	\$0	\$0	\$3,600	\$0

SCHEDULE OF GRANTS TO INDIVIDUALS

FYE12/31/2007

SCHEDULE 20

NOTE: There are no relationships between any individual grantee and any foundation manager or substantial contributor.

Recipient and Purpose	Beginning Balance	Approved in 2007	Lapsed in 2007	Paid in 2007	Ending Balance
Leung, Christopher Upland, CA <i>Fellowships for Aspiring Teachers of Color.</i>	\$3,600	\$0	\$0	\$0	\$3,600
Lien, Tse Ching Temple City, CA <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$19,600	\$0	\$0
Lopez, Brenda Pico Rivera, CA <i>Fellowships for Aspiring Teachers of Color.</i>	\$13,600	\$0	\$0	\$7,200	\$6,400
Lopez, Jr., Eduardo Rio Grande City, TX 78582 <i>Fellowships for Aspiring Teachers of Color.</i>	\$0	\$22,100	\$0	\$2,500	\$19,600
Lopez, Roxane Chicago, IL <i>Fellowships for Aspiring Teachers of Color.</i>	\$3,600	\$0	\$0	\$0	\$3,600
Lopez, Stephanie Austin, TX <i>Fellowships for Aspiring Teachers of Color.</i>	\$11,600	\$0	\$0	\$0	\$11,600
Luong, Phuong Brooklyn, NY <i>Fellowships for Aspiring Teachers of Color.</i>	\$19,600	\$0	\$0	\$0	\$19,600
Mark, Julianne Allston, MA <i>Fellowships for Aspiring Teachers of Color.</i>	\$13,600	\$0	\$13,600	\$0	\$0
Mark, May Brooklyn, NY <i>Fellowships for Aspiring Teachers of Color.</i>	\$0	\$22,100	\$0	\$2,500	\$19,600
Marquez, Maria	\$3,600	\$0	\$0	\$0	\$3,600