

**Return of Private Foundation**  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

OMB No. 1545-0052

**2006**Department of the Treasury  
Internal Revenue Service

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2006, or tax year beginning , 2006, and ending , 20

G Check all that apply: ☐ Initial return ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation <b>Rockefeller Brothers Fund, Inc.</b>		A Employer identification number <b>13 1760106</b>
	Number and street (or P.O. box number if mail is not delivered to street address)	Room/suite	B Telephone number (see page 11 of the instructions) <b>( 212 ) 812-4200</b>
	City or town, state, and ZIP code <b>New York, New York 10022</b>		C If exemption application is pending, check here <input type="checkbox"/>
			D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation			E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <b>\$ 918,583,236</b>			F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions).)				
	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	79,000		
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B			
	3 Interest on savings and temporary cash investments	1,910,568	1,910,568	
	4 Dividends and interest from securities	12,356,247	21,958,626	
	5a Gross rents			
	b Net rental income or loss			
	6a Net gain or (loss) from sale of assets not on line 10	94,051,774		
	b Gross sales price for all assets on line 6a	94,051,774		
	7 Capital gain net income (from Part IV, line 2)		99,958,868	
	8 Net short-term capital gain			
	9 Income modifications			
	10a Gross sales less returns and allowances			
Operating and Administrative Expenses	b Less: Cost of goods sold			
	c Gross profit or (loss) (attach schedule)			
	11 Other income (attach schedule) Schedule 3	527,618	(4,611,780)	
	12 Total. Add lines 1 through 11	108,925,207	119,216,282	0
	13 Compensation of officers, directors, trustees, etc.	1,258,092	266,389	0
	14 Other employee salaries and wages	2,848,097	114,395	2,657,702
	15 Pension plans, employee benefits Schedule 4	1,638,664	151,031	1,409,386
	16a Legal fees (attach schedule) Schedule 4	156,340	55,025	69,259
	b Accounting fees (attach schedule) Schedule 4	85,125	13,125	50,000
	c Other professional fees (attach schedule)	6,260,911	4,013,806	2,118,461
	17 Interest Schedule 5			
	18 Taxes (attach schedule) (see page 14 of the instructions) Schedule 6	3,260,761		
	19 Depreciation (attach schedule) and depletion	1,305,574	82,830	
	20 Occupancy	1,673,116	596,631	1,040,630
	21 Travel, conferences, and meetings	897,119	26,692	869,405
	22 Printing and publications	342,409		320,283
	23 Other expenses (attach schedule) Schedule 7	1,054,943	88,604	901,292
	24 Total operating and administrative expenses. Add lines 13 through 23	20,781,151	5,408,528	0
	25 Contributions, gifts, grants paid	28,387,751		26,257,488
	26 Total expenses and disbursements. Add lines 24 and 25	49,168,902	5,408,528	0
	27 Subtract line 26 from line 12:			
	a Excess of revenue over expenses and disbursements	59,756,305		
	b Net investment income (if negative, enter -0-)		113,807,754	
	c Adjusted net income (if negative, enter -0-)		0	

<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash—non-interest-bearing . . . . .	751,658	320,892	320,892
	2 Savings and temporary cash investments . . . . .	17,727,515	30,529,027	30,529,027
	3 Accounts receivable ▶ ..... 3,104,145			
	Less: allowance for doubtful accounts ▶ ..... 3,587,628	3,587,628	3,104,145	3,104,145
	4 Pledges receivable ▶ ..... 13,539,872			
	Less: allowance for doubtful accounts ▶ ..... 13,539,872	13,539,872	13,539,872	13,539,872
	5 Grants receivable . . . . .			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions) . . . . .			
	7 Other notes and loans receivable (attach schedule) ▶ ..... Less: allowance for doubtful accounts ▶ ..... 390,129	390,129	355,228	355,228
	10a Investments—U.S. and state government obligations (attach schedule) <b>Schedule 9</b> 32,562,735	32,562,735	28,568,615	28,568,615
	b Investments—corporate stock (attach schedule) <b>Schedule 9</b> 442,068,652	442,068,652	478,508,506	478,508,506
	c Investments—corporate bonds (attach schedule) <b>Schedule 9</b> 48,541,757	48,541,757	44,126,991	44,126,991
	11 Investments—land, buildings, and equipment: basis ▶ ..... 510,000			
	Less: accumulated depreciation (attach schedule) <b>Schedule 10</b> ▶ ..... 510,000	510,000	510,000	510,000
	12 Investments—mortgage loans . . . . .			
	13 Investments—other (attach schedule) <b>Schedule 11</b> 246,597,568	246,597,568	310,894,647	310,894,647
14 Land, buildings, and equipment: basis ▶ ..... 21,750,451				
Less: accumulated depreciation (attach schedule) <b>Schedule 12</b> ▶ ..... 15,230,138	7,559,193	6,520,313	6,520,313	
15 Other assets (describe ▶ ..... ) <b>Schedule 13</b> 1,725,000	1,725,000	1,605,000	1,605,000	
16 <b>Total assets</b> (to be completed by all filers—see page 17 of the instructions. Also, see page 1, item I) . . . . .	815,561,707	918,583,236	918,583,236	
<b>Liabilities</b>	17 Accounts payable and accrued expenses . . . . .	4,472,155	4,911,950	
	18 Grants payable . . . . .	18,667,476	20,898,598	
	19 Deferred revenue . . . . .			
	20 Loans from officers, directors, trustees, and other disqualified persons . . . . .			
	21 Mortgages and other notes payable (attach schedule) . . . . .			
	22 Other liabilities (describe ▶ ..... ) <b>Deferred Taxes</b> 3,136,124	3,136,124	3,954,623	
23 <b>Total liabilities</b> (add lines 17 through 22) . . . . .	26,275,755	29,765,171		
<b>Net Assets or Fund Balances</b>	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted . . . . .	772,101,972	870,915,119	
	25 Temporarily restricted . . . . .	9,288,980	10,007,946	
	26 Permanently restricted . . . . .	7,895,000	7,895,000	
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds . . . . .			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund . . . . .			
	29 Retained earnings, accumulated income, endowment, or other funds . . . . .			
	30 <b>Total net assets or fund balances</b> (see page 18 of the instructions) . . . . .	789,285,952	888,818,065	
	31 <b>Total liabilities and net assets/fund balances</b> (see page 18 of the instructions) . . . . .	815,561,707	918,583,236	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	789,285,952
2 Enter amount from Part I, line 27a . . . . .	2	59,756,305
3 Other increases not included in line 2 (itemize) ▶ <b>Schedule 15</b> . . . . .	3	39,775,808
4 Add lines 1, 2, and 3 . . . . .	4	888,818,065
5 Decreases not included in line 2 (itemize) ▶ . . . . .	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6	888,818,065

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss) $\left\{ \begin{array}{l} \text{If gain, also enter in Part I, line 7} \\ \text{If (loss), enter -0- in Part I, line 7} \end{array} \right\}$			2	99,958,868
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 18 of the instructions). If (loss), enter -0- in Part I, line 8			3	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No  
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 19 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2005	33,914,557	744,329,891	4.56%
2004	33,403,835	687,274,753	4.86%
2003	28,256,440	605,008,217	4.67%
2002	33,261,759	589,423,735	5.64%
2001	34,944,722	680,759,604	5.13%
2 Total of line 1, column (d)			24.86%
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			4.97%
4 Enter the net value of noncharitable-use assets for 2006 from Part X, line 5			815,963,975
5 Multiply line 4 by line 3			40,569,729
6 Enter 1% of net investment income (1% of Part I, line 27b)			1,138,078
7 Add lines 5 and 6			41,707,807
8 Enter qualifying distributions from Part XII, line 4			36,930,163

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 19.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 19 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling letter: _____ (attach copy of ruling letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	2,276,155	
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2		
3	Add lines 1 and 2	3	2,276,155	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	5	2,276,155	
6	Credits/Payments:			
a	2006 estimated tax payments and 2005 overpayment credited to 2006	6a	2,213,750	
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7	2,213,750	
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8		
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	9	62,405	
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	10	0	
11	Enter the amount of line 10 to be: <b>Credited to 2007 estimated tax</b> <input type="checkbox"/> <b>Refunded</b> <input type="checkbox"/>	11		

**Part VII-A Statements Regarding Activities**

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	Yes	No
1a			✓
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 20 of the instructions for definition)?		✓
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		
c	Did the foundation file <b>Form 1120-POL</b> for this year?	1c	N/A
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2	✓
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	✓
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	✓
b	If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?	4b	✓
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5	✓
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	✓
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	✓
8a	Enter the states to which the foundation reports or with which it is registered (see page 20 of the instructions) ▶ <b>New York</b>		
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	8b	✓
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2006 or the taxable year beginning in 2006 (see instructions for Part XIV on page 28)? <i>If "Yes," complete Part XIV</i>	9	✓
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	✓

**Part VII-A Statements Regarding Activities Continued**

11a	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. (see instructions)	11a	✓
b	If "Yes," did the foundation have a binding written contract in effect on August 17, 2006, covering the interest, rents, royalties, and annuities described in the attachment for line 11a?	11b	✓
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract?	12	✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	✓

Website address ▶ www.RBF.org

14 The books are in care of ▶ Geraldine F. Watson Telephone no. ▶ 212-812-4230  
 Located at ▶ 437 Madison Avenue, New York, New York ZIP+4 ▶ 10022

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here ☐  
 and enter the amount of tax-exempt interest received or accrued during the year . . . . . 15

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)?	1b	✓
	Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2006?	1c	✓
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2006, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2006? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20 . . . . , 20 . . . . , 20 . . . . , 20 . . . .		
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see page 22 of the instructions.)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here. ▶ 20 . . . . , 20 . . . . , 20 . . . . , 20 . . . .		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2006 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2006.)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	✓
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2006?	4b	✓

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required *Continued*

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☒ Yes ☐ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☒ Yes ☐ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 23 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☒ Yes ☐ No  
If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No  
If you answered "Yes" to 6b, also file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

5b

✓

6b

✓

7b

N/A

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 23 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Schedule 17		1,258,092	367,034	7,760

2 Compensation of five highest-paid employees (other than those included on line 1—see page 24 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
William S. Moody 437 Madison Avenue, New York, N.Y. 10022	Program Dir. - 35 hrs	191,608	70,483	1,760
Charles Granquist 437 Madison Avenue, New York, N.Y. 10022	Program Dir. - 35 hrs	176,117	66,144	0
Michael N. Northrop 437 Madison Avenue, New York, N.Y. 10022	Program Dir. - 35 hrs	154,672	57,992	3,360
Jacqueline Basile 437 Madison Avenue, New York, N.Y. 10022	HR Director - 35 hrs	140,196	38,238	0
Ben Rodriguez-Cubenas 437 Madison Avenue, New York, N.Y. 10022	Program Dir. - 35 hrs	146,096	40,685	5,120
Total number of other employees paid over \$50,000				38

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *Continued*

3 Five highest-paid independent contractors for professional services (see page 24 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
<b>Greenrock Corporation</b> 30 Rockefeller Plaza, New York, N.Y. 10112	Facilities Maintenance	1,193,323
<b>Silchester International Investors</b> 780 Third Avenue, 42nd Fl., New York, N.Y. 10017	Investment Management	610,041
<b>Frontier Capital Management</b> 99 Summer Street, Boston, MA 02110	Investment Management	451,637
<b>Iridian Asset Management</b> 276 Post Road West, Westport, CT 06880	Investment Management	518,098
<b>Axiom Int'l Equity Fund</b> 55 Railroad Avenue, Greenwich, CT 06830-6378	Investment Management	276,620

Total number of others receiving over \$50,000 for professional services 13

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 See Schedule 18 - Pocantico Historic Area	3,095,887
2 See Schedule 18 - Assistance to Charitable Organizations	670,482
3 See Schedule 18 - Fellowships for Students of Color Entering the Teaching Profession	756,411
4 See Schedule 18 - Pocantico Conference Center	276,383

**Part IX-B** Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 None	0
2	
All other program-related investments. See page 25 of the instructions.	
3	
Total. Add lines 1 through 3	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 25 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	827,582,605
b	Average of monthly cash balances	1b	807,217
c	Fair market value of all other assets (see page 25 of the instructions)	1c	
d	<b>Total</b> (add lines 1a, b, and c)	1d	828,389,822
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	828,389,822
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see page 26 of the instructions)	4	12,425,847
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	815,963,975
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	40,798,199

**Part XI Distributable Amount** (see page 26 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	40,798,199
2a	Tax on investment income for 2006 from Part VI, line 5	2a	2,276,155
b	Income tax for 2006. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	2,276,155
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	38,522,044
4	Recoveries of amounts treated as qualifying distributions	4	220,859
5	Add lines 3 and 4	5	38,742,903
6	Deduction from distributable amount (see page 26 of the instructions)	6	
7	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	38,742,903

**Part XII Qualifying Distributions** (see page 26 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	36,685,609
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	244,554
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	36,930,163
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 27 of the instructions)	5	
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	36,930,163

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see page 27 of the instructions)

	(a) Corpus	(b) Years prior to 2005	(c) 2005	(d) 2006
1 Distributable amount for 2006 from Part XI, line 7 . . . . .				<b>38,742,903</b>
2 Undistributed income, if any, as of the end of 2005:				
a Enter amount for 2005 only . . . . .				
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2006:				
a From 2001 . . . . .	<b>1,012,828</b>			
b From 2002 . . . . .	<b>3,751,587</b>			
c From 2003 . . . . .				
d From 2004 . . . . .	<b>99,557</b>			
e From 2005 . . . . .				
f <b>Total</b> of lines 3a through e . . . . .	<b>4,863,972</b>			
4 Qualifying distributions for 2006 from Part XII, line 4: ► \$ <b>36,930,163</b>				
a Applied to 2005, but not more than line 2a . . . . .				
b Applied to undistributed income of prior years (Election required—see page 27 of the instructions) . . . . .				
c Treated as distributions out of corpus (Election required—see page 27 of the instructions) . . . . .				
d Applied to 2006 distributable amount . . . . .				<b>36,930,163</b>
e Remaining amount distributed out of corpus . . . . .				
5 Excess distributions carryover applied to 2006 . . . . . (If an amount appears in column (d), the same amount must be shown in column (a).)	<b>1,812,740</b>			<b>1,812,740</b>
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 . . . . .	<b>3,051,232</b>			
b Prior years' undistributed income. Subtract line 4b from line 2b . . . . .		<b>0</b>		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
d Subtract line 6c from line 6b. Taxable amount—see page 27 of the instructions . . . . .		<b>0</b>		
e Undistributed income for 2005. Subtract line 4a from line 2a. Taxable amount—see page 27 of the instructions . . . . .			<b>0</b>	
f Undistributed income for 2006. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2007 . . . . .				<b>0</b>
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(E) or 4942(g)(3) (see page 28 of the instructions) . . . . .				
8 Excess distributions carryover from 2001 not applied on line 5 or line 7 (see page 28 of the instructions) . . . . .				
9 <b>Excess distributions carryover to 2007.</b> Subtract lines 7 and 8 from line 6a . . . . .	<b>3,051,232</b>			
10 Analysis of line 9:				
a Excess from 2002 . . . . .	<b>2,951,675</b>			
b Excess from 2003 . . . . .				
c Excess from 2004 . . . . .	<b>99,557</b>			
d Excess from 2005 . . . . .				
e Excess from 2006 . . . . .				

**Part XIV Private Operating Foundations** (see page 28 of the instructions and Part VII-A, question 9)

- 1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2006, enter the date of the ruling ▶
- b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

b 85% of line 2a . . . . .

c Qualifying distributions from Part XII, line 4 for each year listed . . . . .

d Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .

e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test—enter:

(1) Value of all assets . . . . .

(2) Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .

b "Endowment" alternative test—enter  $\frac{1}{4}$  of minimum investment return shown in Part X, line 6 for each year listed . . . . .

c "Support" alternative test—enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .

(3) Largest amount of support from an exempt organization . . . . .

(4) Gross investment income . . . . .

Tax year	Prior 3 years			(e) Total
(a) 2006	(b) 2005	(c) 2004	(d) 2003	
N/A	N/A	N/A	N/A	N/A
N/A	N/A	N/A	N/A	N/A
N/A	N/A			N/A
				N/A
N/A	N/A	N/A	N/A	N/A
N/A	N/A	N/A	N/A	N/A
				N/A
				N/A
N/A	N/A	N/A	N/A	N/A
				N/A
				N/A
				N/A
				N/A

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see page 28 of the instructions.)**1 Information Regarding Foundation Managers:**

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number of the person to whom applications should be addressed:

SEE SCHEDULE 19

- b The form in which applications should be submitted and information and materials they should include:

SEE SCHEDULE 19

- c Any submission deadlines:

SEE SCHEDULE 19

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE SCHEDULE 19

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b> <b>SEE SCHEDULE 20</b>				<b>26,257,488</b>
<b>Total</b>			▶ <b>3a</b>	<b>26,257,488</b>
<b>b Approved for future payment</b> <b>SEE SCHEDULE 20</b>				<b>20,898,598</b>
<b>Total</b>			▶ <b>3b</b>	<b>20,898,598</b>



		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash		✓
	(2) Other assets		✓
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization		✓
	(2) Purchases of assets from a noncharitable exempt organization		✓
	(3) Rental of facilities, equipment, or other assets		✓
	(4) Reimbursement arrangements		✓
	(5) Loans or loan guarantees		✓
	(6) Performance of services or membership or fundraising solicitations		✓
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees		✓
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . . ☐ Yes ☒ No

**b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

10.30.07  President  
Date Title

**Sign Here**

**Paid  
Preparer's  
Use Only**

Preparer's  
signature

▶ Robert R. Lyman

Date \_\_\_\_\_

11/13/07

Check if self-employed ► ☐

Preparer's SSN or PTIN  
(See Signature on page 31  
of the instructions.)

P00227472

Firm's name (or yours if self-employed), address, and ZIP code

KPMG LLP  
345 Park Ave., New York, NY 10154

EIN ▶ 13 -- 5565207

Phone no. (212) 758-9700

**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

Supplementary Information for  
line 1 of Form 990, 990-EZ, and 990-PF (see instructions)

OMB No. 1545-0047

**2006**

Name of organization

Rockefeller Brothers Fund, Inc.

Employer identification number

13 1760106

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**. (Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule—see instructions.)

**General Rule—**

- ☒ For organizations filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. (Complete Parts I and II.)

**Special Rules—**

- ☐ For a section 501(c)(3) organization filing Form 990, or Form 990-EZ, that met the 33⅓% support test of the regulations under sections 509(a)(1)/170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of \$5,000 or 2% of the amount on line 1 of these forms. (Complete Parts I and II.)
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, aggregate contributions or bequests of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. (Complete Parts I, II, and III.)
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, some contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. (If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the Parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year.) . . . . . ▶ \$ \_\_\_\_\_

**Caution:** Organizations that are not covered by the General Rule and/or the Special Rules do not file Schedule B (Form 990, 990-EZ, or 990-PF), but they **must** check the box in the heading of their Form 990, Form 990-EZ, or on line 2 of their Form 990-PF, to certify that they do not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization  
Rockefeller Brothers Fund, Inc.

Employer identification number  
13 1760106

**Part I** Contributors (See Specific Instructions.)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
	David Rockefeller 30 Rockefeller Plaza, Rm 5600 New York, New York 10112	\$ 75,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)

Name of organization

Rockefeller Brothers Fund, Inc.

Employer identification number

13 1760106

**Part II** Noncash Property (See Specific Instructions.)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
    	    	    \$ 	    / /
    	    	    \$ 	    / /
    	    	    \$ 	    / /
    	    	    \$ 	    / /
    	    	    \$ 	    / /
    	    	    \$ 	    / /
    	    	    \$ 	    / /



Name of organization

Rockefeller Brothers Fund, Inc.

Employer identification number

13 1760106

**Part III** Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. (Complete columns (a) through (e) and the following line entry.)For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once—see instructions.) ► \$

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

**ROCKEFELLER BROTHERS FUND, INC.**

13-1760106

437 Madison Avenue

New York, New York 10022

M 990-PF

2,006

**INDEX OF SCHEDULES**

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ROCKEFELLER BROTHERS FUND, INC.  
13-1760106  
437 Madison Avenue  
New York, New York 10022

SCHEDULE 2  
FORM 990-PF  
YEAR 2006

Schedule of Capital Gains and Losses

Part IV, line 2

	Gain or (Loss)
MFS	\$ 4,666,015
Frontier Capital Management	3,556,098
Westport Asset Management	13,058,735
Silchester International	4,505,481
Jennison Associates	(408,529)
Southern Asset Management	8,696,180
Iridian Asset Management	5,850,653
Warburg Pincus	160,951
Fir Tree Institutional Value Fund	1,953,766
Security Capital	8,799,592
Operating Reserve Fund	6,058
Pimco Total Return Fund	(487,290)
GMO Emerging Market Fund	10,973,619
Rexiter Capital	4,668,114
Wellington	4,239,612
Axiom International	101,223
Alpine Real Estate	161,750
Alpine International	1,861,100
Rockwater Asset Management	(785,542)
Fiduciary Asset Management	462,108
Eton Park	397,440
Private Advisors Income Fund	2,340,518
Third Avenue International	204,702
Colchester Global Advisors	702
Income from partnerships accrued in audited financial statements	19,068,717
Net Gains per Books	94,051,774
Actual gains per limited partnerships (See attached schedule)	24,975,811
Less estimated income from partnerships accrued in audited financial statements	(19,068,717)
<b>Total Part IV, line 2</b>	<b>\$ 99,958,868</b>

## ROCKEFELLER BROTHERS FUND, INC.

13-1760106

437 Madison Avenue

New York, New York 10022

FORM 990-PF

YEAR 2006

Schedule of Limited Partnerships' Gains and Losses per Schedules K-1Part IV

Axiom International Equity Fund	\$ 4,292,734
Colchester Global Bond Fund	8,596
Natural Gas Partners VIII	6,724
Private Advisors Income Fund	9,651
Private Advisors Small Co.	298,879
Wellington Trust	950,276
Prospect Venture Partners	336,044
Ampersand V	1,539,935
Three Arch Capital	431,858
Three Arch Capital IV	80,819
New Enterprise Associates 10	55,003
TCV IV	263,800
Contrarian Capital	1,518,763
TIFF Partners I	1,159,260
TIFF Partners II	980,725
TIFF Partners IV	726,719
Lexington Capital II	767,347
Lexington Capital IV	1,361,101
Weston Presidio Capital III	231,723
Weston Presidio Capital IV	(106,227)
J.H. Whitney III	(499,062)
J.H. Whitney IV	(408,528)
Trinity Ventures VI	71,724
Trinity Ventures VII	(771,215)
Trinity Ventures VIII	(259,511)
1818 Mezzanine	68,857
Silchester International	9,266,657
Cypress Merchant B	-
Cypress Merchant Banking	500,504
Palarnon European Equity	811,629
DLJ Strategic Partners	590,550
CSFB Strategic Partners II	570,710
CSFB Strategic Partners III	197,544
CSFB Strategic Partners III VC	65,770
Lloyd George Emerging Markets	(658,569)

Lehman Bros. Seco	Opp Fd	381,897
Kayne Anderson Energy Fund III		127,726
Famco-Energy Transfer Equity		15
Plains All Amer. Pipeline		(2)
State Street- US Treas 1-3 Year Index		(179,101)
-Active Emerging Mkts		184,486
		0
		<u>\$ 24,975,811</u>

**ROCKEFELLER BROTHERS FUND, INC.**

13-1760106

437 Madison Avenue

New York, New York 10022

**SCHEDULE 3****FORM 990-PF****YEAR 2006**Schedule of Other Income \*Part I, line 11

Miscellaneous Investment Income	21,911
---------------------------------	--------

Income from Subleases	505,707
-----------------------	---------

<b>Total line 11, col. (a)</b>	<b>\$527,618</b>
--------------------------------	------------------

Add: Other Income per Limited Partnerships K-1s (See attached schedule)	(5,139,398)
--	-------------

<b>Total line 11, col. (b)</b>	<b><u>(\$4,611,780)</u></b>
--------------------------------	-----------------------------

\* Partnership income booked on cash receipts basis.

Schedule of Other Income

Part I, line 11, col. (b)

	Other Income	Deductions Related to Portfolio Income	Net Other Income
<u>Net Income received from the following Partnerships: *</u>			
1818 Mezzanine	\$ -	\$ (15,262)	\$ (15,262)
Alinda Infrastructure Fund I, LP	-	(67,994)	(67,994)
Ampersand 2001 Limited Partnership	-	(82,349)	(82,349)
Axiom International Equity Fund	18,820	(75,718)	(56,898)
Carlyle Riverstone Partners Renewable Energy	(148)	(207,424)	(207,572)
Carlyle Riverstone TE Partners III, LP	-	(310,044)	(310,044)
Lehman Brothers Secondary Opportunities Fund	(20,775)	(184,745)	(205,520)
Lehman Bros. Co-Investment Partners, LP	-	(36,957)	(36,957)
Colchester Global Bond Fund	(384,831)	-	(384,831)
Morgan Stanley RE Fund V International TE, LP	(73,012)	(102,585)	(175,597)
Natural Gas Partners VIII	11,215	(111,894)	(100,679)
NGP Energy Technology Partners	-	(14,256)	(14,256)
Private Advisors Income Fund	1,415	(328,032)	(326,617)
Private Advisors Small Co.	21,474	(135,967)	(114,493)
Wellington Trust (WTC-CTF Internat'l Sm Cap	32,481	(47,394)	(14,913)
Cabot Industrial Value Fund II	-	(311)	(311)
Prospect Venture Partners	-	(67,175)	(67,175)
Three Arch Capital	-	(126,110)	(126,110)
Three Arch Capital IV	-	(127,212)	(127,212)
New Enterprise Associates 10	-	(34,435)	(34,435)
TCV IV	(1)	(136,115)	(136,116)
Contrarian Capital	(143,930)	(469)	(144,399)
TIFF Partners I	39,586	(44,198)	(4,612)
TIFF Partners II	7,710	(76,876)	(69,166)
TIFF Partners IV	53,266	(140,608)	(87,342)
Lexington Capital II	4,590	(69,776)	(65,186)
Lexington Capital IV	156,716	(122,725)	33,991
Lloyd George Emerging Markets Fund	(28,629)	(169,912)	(198,541)
Weston Presidio Capital III	-	(47,564)	(47,564)
Weston Presidio Capital IV	-	(115,561)	(115,561)
Weston Presidio V, LP	-	(87,665)	(87,665)
J.H. Whitney III	-	(1,869)	(1,869)
J.H. Whitney IV	-	(3,549)	(3,549)
Trinity Ventures VI	-	(13,604)	(13,604)
Trinity Ventures VII	-	(45,517)	(45,517)
Trinity Ventures VIII	-	(42,693)	(42,693)
Kayne Anderson Energy Fund II	993,315	(500,445)	492,870
Silchester International	(1,676,284)	-	(1,676,284)
Cypress Merchant B II (Cayman)	(60)	(162)	(222)
Cypress Merchant Banking II	-	(30,391)	(30,391)
Palamon European Equity	53,738	(115,539)	(61,801)
Pemira IV LP 1	-	(69,115)	(69,115)
State Street US Treas. 1-3 Year Index Sec. Lend CTF	19,437	(6,148)	13,289
Active Emerging Markets CTF	2,163	(1,752)	411
DLJ Strategic Partners	(3,728)	(56,983)	(60,711)
CSFB Strategic Partners II	402	(86,602)	(86,200)

CSFB Strategic Partners III	16,692	(121,544)	(104,852)
CSFB Strategic Partners III VC	397	(59,654)	(59,257)
Verde Group, LLC #57 (Alpine Realty Income & Growth)	106	(7)	99
Verde Realty Master, LP #72 (Alpine Realty Income & Growth)	(740)	(6)	(746)
Verde Realty Master LP #71 (Alpine International R.E. Equity)	356	(6)	350
Verde Group LLC #66 (Alpine International R.E. Equity)	106	(6)	100
FAMCO - Alliance Holdings GP, LLC	6	-	6
Alliance Resource Partners LP	(200)	-	(200)
Atlas Pipeline Holdings, LP	-	-	-
Copano Energy, LLC	10,766	(11,032)	(266)
Crosstex Energy LP	265	-	265
DCP Midstream Partners, LP	(49)	-	(49)
Energy Transfer Equity, LP	(17)	-	(17)
Enterprise Products Partners, LP	(202)	-	(202)
Genesis Energy LP	(2)	-	(2)
Hiland Holdings, GP	-	-	-
Hiland Partners, LP	-	-	-
Inergy Holdings, LP	(296)	-	(296)
Inergy LP	(1)	-	(1)
K-Sea Transportation Partners, LP	947	(287)	660
Martin Midstream Partners LP	1,335	-	1,335
Plains All American Pipeline LP	(1)	(32)	(33)
Regency Energy Partners LP	4	-	4
TransMontaigne Partners, LP	8	-	8
US Shipping Partners	468	-	468
Valero GP Holdings LLC	-	-	-
Williams Partners LP	-	-	-
	<u>\$ (685,122)</u>	<u>\$ (4,254,276)</u>	

Other Income from Limited Partnerships

(5,139,398)

\* Partnership income reported for investment income tax purposes on basis of Schedules K-1.



Attachments to IRS Form 990-PF  
Rockefeller Brothers Fund, Inc.  
13-1760106  
Tax Year 2006

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**Schedule 4: Part I, Line 16a - Legal Fees**

Type of service	Amount
1 General Legal Services	156,340
<b>Total</b>	<b>156,340</b>

**Schedule 4: Part I, Line 16b - Accounting Fees**

Type of service	Amount
1 Annual Financial Audit & Tax Review	85,125
<b>Total</b>	<b>85,125</b>

**Schedule 4: Part I, Line 16c - Other Professional Fees**

Type of service	Amount
1 Investment Management	3,669,808
2 Consulting	488,775
3 Facilities Maintenance	1,772,830
4 Custody Fees	329,498
<b>Total</b>	<b>6,260,911</b>

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Attachments to IRS Form 990-PF  
Rockefeller Brothers Fund, Inc.  
13-1760106  
Tax Year 2006

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Schedule 5: Part I, Line 18 - Taxes

Type of tax	Amount
1 Tax on investment income	3,260,761
Total	3,260,761

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ROCKEFELLER BROTHERS FUND, INC.  
13-1760106  
437 Madison Avenue  
New York, New York 10022

SCHEDULE 6  
FORM 990-PF  
YEAR 2006

SCHEDULE OF DEPRECIATION

Part I, line 19

Description	ASSETS			ACCUMULATED DEPRECIATION		
	Beginning of Year	2006 Acquisitions	2005 Dispositions	End of Year	Reserve, beginning of Year	2006 Depreciation (Part 1, line 19) Reserve, end of Year
Office Equipment	1,047,840	18,631	-	1,066,471	962,268	24,139 986,407
Computer Equipment & Software	1,328,432	104,854	-	1,433,286	1,246,571	88,499 1,335,070
Fine Art	4,780	0	-	4,780	0	0
Leasehold Improvements-New Office Space	3,042,831	0	-	3,042,831	1,031,737	215,619 1,247,356
Leasehold Improvements	16,059,874	143,209	-	16,203,083	10,683,988	977,317 11,661,305
	21,483,757	266,694	0	21,750,451	13,924,564	1,305,574 15,230,138

Attachments to IRS Form 990-PF  
Rockefeller Brothers Fund, Inc.  
13-1760106  
Tax Year 2006

Schedule 7: Part I, Line 23 - Other Expenses

Description	Amount
1 Telephone	64,786
2 Postage	22,029
3 Equipment Leases	20,470
4 Maintenance & Repairs	66,339
5 Office Supplies	58,341
6 General Insurance	256,150
7 Messenger Services	2,864
8 Temporary Help & Employment Agency Fees	272,990
9 Printing & Stationary	18,543
10 Collections Maintenance	50,243
11 Fellowship Program Miscellaneous Expenses	44,898
12 Subscriptions & Dues	23,569
13 Management of Employee Benefit Plans	20,902
14 Miscellaneous General Office	36,238
15 Staff Development & Retreat	96,581
20 Amortization from schedule below	
Total	1,054,943

Attachments to IRS Form 990-PF  
Rockefeller Brothers Fund, Inc.  
13-1760106  
Tax Year 2006

Schedule 9: Part II, Line 10 - Investments—Government Obligations, Corporate Stocks and Bonds

Line 10a - Investments - U.S. and state government obligations	Valued at Cost or Market Value	End of Year	
		Book Value	Fair Market Value
Total U.S. Government obligations			
1 JENNISON ASSOCIATES		6,046,002	6,046,002
2 STATE STREET TREASURY FUND		22,522,613	22,522,613
Total		28,568,615	28,568,615
Line 10b - Investments - Corporate Stock			
1 ALPINE INT'L REAL ESTATE EQUITY FUND		15,073,120	15,073,120
2 ALPINE REALTY INCOME & GROWTH FUND		14,105,271	14,105,271
3 SOUTHEASTERN ASSET MANAGEMENT		25,884,381	25,884,381
4 AXIOM INT'L EQUITY FUND		30,578,017	30,578,017
5 GMO EMERGING MARKETS FUND		18,034,688	18,034,688
6 OPERATING RESERVE		9,158	9,158
7 SCHOTT CAPITAL		720,121	720,121
8 SECURITY CAPITAL RESEARCH		41,183,906	41,183,906
9 IRIDIAN ASSET MANAGEMENT		70,785,889	70,785,889
10 WESTPORT ASSET MGMT		36,331,181	36,331,181
11 FRONTIER CAPITAL		45,408,604	45,408,604
12 SILCHESTER INTERNATIONAL		98,337,847	98,337,847
13 LSV EMERGING MARKETS EQUITY		17,874,671	17,874,671
14 LLOYD GEORGE		17,414,194	17,414,194
15 ROCKWATER ASSET MGMT		18,853,774	18,853,774
16 FIDUCIARY ASSET MANAGEMENT		11,821,688	11,821,688
17 THIRD AVENUE INTERNATIONAL		16,091,996	16,091,996
Total		478,508,506	478,508,506
Line 10c - Investments - Corporate Bonds			
1 JENNISON ASSOCIATES		33,917,107	33,917,107
2 COLCHESTER GLOBAL BOND FUND		10,209,884	10,209,884
Total		44,126,991	44,126,991

Attachments to IRS Form 990-PF  
Rockefeller Brothers Fund, Inc.  
13-1760106  
Tax Year 2006

Schedule 10: Part II, Line 11 - Investments—Land, Buildings, and Equipment

Description:	Cost or Other Basis	Accumulated Depreciation	End of Year	
			Book Value	Fair Market Value
1 REAL ESTATE	510,000		510,000	510,000
Total	510,000	0	510,000	510,000

Attachments to IRS Form 990-PF  
 Rockefeller Brothers Fund, Inc.  
 13-1760106  
 Tax Year 2006

Schedule 11: Part II, Line 13 - Investments—Other

Description:	Valued at Cost or Market Value	End of Year	
		Book Value	Fair Market Value
1 PRIVATE ADVISORS SM CAP			3,033,687
2 PERMIRA III, LP			10,945,132
3 PERMIRA IV LP 2			1,025,778
4 DLJ STRATEGIC EQUITY			2,279,969
5 CSFB STRATEGIC PARTNERS II			3,049,906
6 CSFB STRATEGIC PARTNERS III, VC			2,805,122
7 CSFB STRATEGIC PARTNERS III, LP			2,635,551
8 CABOT INDUSTRIAL VALUE FUND			(75,197)
9 CABOT IND. VALUE FUND II			2,515,569
10 PROSPECT VENTURES PARTNERS II			1,806,716
11 AMPERSAND V			4,717,720
12 FIR TREE VALUE INTERNATIONAL LTD			21,298,489
13 TIFF ABSOLUTE RETURN POOL			27,527,460
14 NEW ENTERPRISE ASSOCIATES 10			3,161,379
15 THREE ARCH CAPITAL			3,686,081
16 THREE ARCH CAPITAL IV			1,196,526
17 TECHNOLOGY CROSSOVER VENTURE			6,031,711
18 1818 MEZZANIE FUND			660,795
19 MORGAN STANLEY REAL ESTATE			7,889,605
20 JMB REALTY IV			835,608
21 PALAMON EUROPEAN EQUITY			6,128,224
22 CYPRESS ASSOCIATES			5,174,915
23 WESTON PRESIDIO III			2,597,409
24 WESTON PRESIDIO IV			4,472,625
25 WESTON PRESIDIO V			1,116,065
26 TRINITY VENTURES VI			1,265,654
27 TRINITY VENTURES VII			2,277,527
28 TRINITY VENTURES VIII			1,818,171
29 LEXINGTON CAPITAL II			2,726,406
30 LEXINGTON CAPITAL IV			5,884,910
31 J.H. WHITNEY III			818,038
32 J.H. WHITNEY IV			462,928
33 TIFF PARTNERS I			852,728
34 REALTY ASSOCIATES FUND IV			1,273,967
35 CONTRARIAN CAPITAL			15,708,750
36 TIFF PARTNERS II			2,428,550
37 TIFF PARTNERS IV			5,033,599
38 ORION EURO R.E. FUND II, C.V.			1,411,197
39 KAYNE ANDERSON ENERGY FUND			2,184,489
40 CARLYLE RIVERSTONE RENEWABLE			1,194,919
41 CARLYLE RIVERSTONE ENERGY			3,302,944
42 LEHMAN BROS CO-INVESTORS			3,069,249
43 LEHMAN BROS. SECONDARY OPP FUND			2,585,135
44 EUROPA			2,481,643
45 NARURAL GAS PARTNERS VIII			2,079,612
46 ALINDA INFRASTRUCTURE FUND I			4,909,423
47 MARINER SELECT			10,339,002
48 PIMCO TOTAL RETURN FUND			35,500,474
49 PRIVATE ADVISORS INCOME FUND			23,635,708
50 ETON PARK OVERSEAS FUND			19,552,481
51 AXIOM OFFSHORE OPPORTUNITY FUND			20,227,330
52 OSS CAPITAL MANAGEMENT			11,207,300
53 NGP ENERGY TECHNOLOGY			145,668

Attachments to IRS Form 990-PF  
Rockefeller Brothers Fund, Inc.  
13-1760106  
Tax Year 2006

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Total	310,894,647	310,894,647
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Attachments to IRS Form 990-PF  
Rockefeller Brothers Fund, Inc.  
13-1760106  
Tax Year 2006

Schedule 12: Part II, Line 14 - Land, Buildings, and Equipment

Description:	Cost or Other Basis	Accumulated Depreciation	End of Year	
			Book Value	Fair Market Value
1 Leasehold Improvements	19,250,694	12,908,661	6,342,033	6,342,033
2 Computer Equipment & Software	1,433,286	1,335,070	98,216	98,216
3 Office Equipment	1,066,471	986,407	80,064	80,064
Total	21,750,451	15,230,138	6,520,313	6,520,313

Attachments to IRS Form 990-PF  
Rockefeller Brothers Fund, Inc.  
13-1760106  
Tax Year 2006

Schedule 13: Part II, Line 15 - Other Assets

Description:	End of Year	
	Book Value	Fair Market Value
1 Program Related Loan Receivable	1,605,000	1,605,000
Total	1,605,000	1,605,000

Attachments to IRS Form 990-PF  
Rockefeller Brothers Fund, Inc.  
13-1760106  
Tax Year 2006

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Schedule 14: Part II, Line 22 - Other Liabilities

Description:	End of Year Amount
1 Deferred tax on unrealized investment gain/(loss)	3,954,623
Total	3,954,623

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Attachments to IRS Form 990-PF  
Rockefeller Brothers Fund, Inc.  
13-1760106  
Tax Year 2006

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Schedule 15: Part III, Line 3 - Other Increases Not Included in Line 2

Description	Amount
1 Change in Unrealized Appreciation on Investments	39,787,851
2 Minimum Pension Liability Adjustment	(12,043)
Total	<u>39,775,808</u>

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ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

Program-Related Investment Loan

1 Name and Address of Recipient:

RAMON MAGSAYSAY AWARD FOUNDATION  
1680 Roxas Boulevard  
1004, Manila PHILIPPINES

2 Date and Amount of Loan:

July 28, 1994	\$ 468,061.00
July 29, 1994	1,020,230.00
November 4, 1994	183,130.00
January 12, 1995	429,017.25
March 15, 1995	169,432.00
May 10, 1995	217,273.75
October 13, 1995	101,969.15
December 20, 1995	410,886.85
	<u>\$3,000,000.00</u>

Loan Re-payment through 12/31/06 <1,395,000.00>

3 Purpose of Loan:

For the renovation and upgrading of the Magsaysay Center.

4 Amount Expended by the Borrower:

The Lender has received from Borrower narrative and financial reports certifying that the entire amount of the loan was expended for the purpose for which it was made.

5 Whether the Grantee--to the knowledge of the Grantor--made any diversions of funds from the purpose of the Grant:

No.

6 Date of Reports received from the Grantee:

On November 7, 1994, and May 4, 1995, Lender received narrative and expenditure reports from Borrower certifying that the entire amount of the loan was expended for the purpose for which it was made. Pursuant to the loan agreement, the Lender receives quarterly unaudited financial statements from the Borrower, as well as annual audited financial statements. Such financial statements have been received for each quarter and year since the loan was made.

7 Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

(Remaining open from 2001, 2002, 2003, 2004, and 2005)

1. Name and Address of Recipient:

RAMON MAGSAYSAY AWARD FOUNDATION  
1680 Roxas Boulevard  
1004, Manila  
PHILIPPINES

2. Date and Amount of Grant:

7/24/00          \$5,000

3. Purpose of Grant:

As a contribution for continuing publication of *The Magsaysay Awardee*.

4. Amount Expended by Grantee:

\$722.95. Grantor has received from grantee narrative and financial reports certifying \$722.95 of the grant was expended for the purpose for which it was made. An unexpended balance of \$4,278.05 was returned to the Fund.

5. Whether the Grantee--to the knowledge of the Grantor--made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

On October 16, 2006, grantor received from grantee narrative and expenditure reports certifying that \$722.95 was expended for the purpose in which it was made and an unexpended balance of \$4,278.05 was returned to the Fund.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#99-311

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

(Remaining open from 2002, 2003, 2004, and 2005)

1. Name and Address of Recipient:

RAMON MAGSAYSAY AWARD FOUNDATION  
1680 Roxas Boulevard  
1004, Manila  
PHILIPPINES

2. Date and Amount of Grant:

5/21/01            \$5,000

3. Purpose of Grant:

For the continued publication of *The Magsaysay Awardee*.

4. Amount Expended by Grantee:

\$300.08. Grantor has received from grantee narrative and financial reports certifying \$300.08 of the grant was expended for the purpose for which it was made. An unexpended balance of \$4,699.92 was returned to the Fund.

5. Whether the Grantee--to the knowledge of the Grantor--made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

On October 16, 2006, grantor received from grantee narrative and expenditure reports certifying that \$300.08 was expended for the purpose in which it was made and an unexpended balance of \$4,699.92 was returned to the Fund.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#00-273

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

(Remaining open from 2002)

1. Name and Address of Recipient:

RADIO TELEVISION B92  
Makedonska 22/VI  
Beograd 11 000  
YUGOSLAVIA

2. Date and Amount of Grant:

5/31/01          \$15,000

3. Purpose of Grant:

For a conference, "In Search of Truth and Responsibility: Toward a Democratic Future."

4. Amount Expended by Grantee:

**Not currently known.** Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees are who delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee--to the knowledge of the Grantor--made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.



ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

(Remaining open from 2003, 2004, and 2005)

1. Name and Address of Recipient:

PUTER FOUNDATION  
Permata Cimanggu Blok A No. 4  
R.T./R.W. 008/007 Kedung Badak - Tanah Sareal  
Bogor 16710  
INDONESIA

2. Date and Amount of Grant:

8/1/02            \$35,000

3. Purpose of Grant:

As a contribution to the Indonesian Network of Coastal and Marine NGOs (Jaring Pela).

4. Amount Expended by Grantee:

**Not currently known.** Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees are who delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee--to the knowledge of the Grantor--made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

(Remaining open from 2002, 2003, 2004, and 2005)

1. Name and Address of Recipient:

PUTER FOUNDATION  
Permata Cimanggu Blok A No. 4  
R.T./R.W. 008/007 Kedung Badak - Tanah Sareal  
Bogor 16710  
INDONESIA

2. Date and Amount of Grant:

10/1/01          \$35,000

3. Purpose of Grant:

To the Indonesian Network of Coastal and Marine NGOs (Jaring Pela).

4. Amount Expended by Grantee:

**Not currently known.** Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees are who delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee--to the knowledge of the Grantor--made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#01-107

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

(Remaining open from 2002, 2003, 2004, and 2005)

1. Name and Address of Recipient:

COUNCIL ON RENEWABLE ENERGY IN THE MEKONG REGION  
c/o Solar Energy Research &  
Training Center  
Naresuan University  
Phitsanulok  
THAILAND

2. Date and Amount of Grant:

8/1/01            \$40,000

3. Purpose of Grant:

Toward teaching, research, and outreach programs.

4. Amount Expended by Grantee:

**Not currently known.** Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees who are delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee--to the knowledge of the Grantor--made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#01-140

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

(Remaining open from 2002, 2003, and 2004)

1. Name and Address of Recipient:

CENTER FOR BIODIVERSITY AND INDIGENOUS KNOWLEDGE  
Zhonghuandasha, Yanjiadi  
Kunming  
Yunnan  
PEOPLE'S REPUBLIC OF CHINA

2. Date and Amount of Grant:

12/18/01      \$52,200

3. Purpose of Grant:

To its work on solid waste management, resource tenure research and advocacy, and watershed governance in southern China.

4. Amount Expended by Grantee:

The entire amount. Grantor has received from grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose in which it was made.

5. Whether the Grantee--to the knowledge of the Grantor--made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

On May 14, 2007, grantor received from grantee narrative and expenditure reports certifying that the entire amount of the grant was expended for the purpose in which it was made.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#01-221

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

(Remaining open from 2003, 2004, and 2005)

1. Name and Address of Recipient:

CITIZENS ACTION – CENTER FOR COMMUNITY ORGANIZING  
Kapitulska 13  
974 01 Banska Bystrica  
SLOVAKIA

2. Date and Amount of Grant:

12/23/02      \$40,000

3. Purpose of Grant:

As a contribution for core support.

4. Amount Expended by Grantee:

**Not currently known.** Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees are who delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee--to the knowledge of the Grantor--made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

(Remaining open from 2003, 2004, and 2005)

1. Name and Address of Recipient:

RAMON MAGSAYSAY AWARD FOUNDATION  
1680 Roxas Boulevard  
1004, Manila  
PHILIPPINES

2. Date and Amount of Grant:

4/16/02          \$5,000

3. Purpose of Grant:

As a contribution to continued publication of *The Magsaysay Awardee*.

4. Amount Expended by Grantee:

\$4,867.75. Grantor has received from grantee narrative and financial reports certifying \$4,867.75 of the grant was expended for the purpose for which it was made. An unexpended balance of \$132.25 was returned to the Fund.

5. Whether the Grantee--to the knowledge of the Grantor--made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

On October 16, 2006, grantor received from grantee narrative and expenditure reports certifying that \$4,867.75 was expended for the purpose in which it was made and a refund of \$132.25 was made to the Fund.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#01-328

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

(Remaining open from 2004 and 2005)

1. Name and Address of Recipient:

A ROCHA – CHRISTIANS FOR CONSERVATION  
Kostnicka str. 85  
CZ – 384 21 HUSINEC  
CZECH REPUBLIC

2. Date and Amount of Grant:

10/2/03          \$12,500

3. Purpose of Grant:

For general budgetary purposes.

4. Amount Expended by Grantee:

**Not currently known.** Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees are who delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee--to the knowledge of the Grantor--made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

INSTITUTE OF NATURAL RESOURCES  
Private Bag X01  
Scottsville 3209  
SOUTH AFRICA

2. Date and Amount of Grant:

12/14/04      \$41,000

3. Purpose of Grant:

As a contribution to its African Roots Project.

4. Amount Expended by Grantee:

**Not currently known.** Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees who are delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee--to the knowledge of the Grantor--made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#03-138



ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

(Remaining open from 2004)

1. Name and Address of Recipient:

YUNNAN PARTICIPATORY WATERSHED MANAGEMENT  
RESEARCH AND PROMOTION CENTER  
7<sup>th</sup> Floor, Old Building of Yunnan Social Academy  
No. 133 Qixiang Road  
Kunming, Yunnan Province  
CHINA 650034

2. Date and Amount of Grant:

10/21/03      \$30,000

3. Purpose of Grant:

Toward its work on Participatory Social Impact Assessment.

4. Amount Expended by Grantee:

**Not currently known.** Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees are who delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee--to the knowledge of the Grantor--made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#03-167

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

YUNNAN PARTICIPATORY WATERSHED MANAGEMENT  
RESEARCH AND PROMOTION CENTER  
7<sup>th</sup> Floor, Old Building of Yunnan Social Academy  
No. 133, Qixiang Road  
Kunming, Yunnan Province  
CHINA 650034

2. Date and Amount of Grant:

12/19/05      \$30,000

3. Purpose of Grant:

To its work on Participatory Social Impact Assessment.

4. Amount Expended by Grantee:

This grant was made for expenditures during the year following its payment. Accordingly, a report with respect to expenditure of the grant will not be timely until after that period.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

TRAIL ASSOCIATION  
Dusanova 4/2  
18000 Nis  
SERBIA & MONTENEGRO

2. Date and Amount of Grant:

12/31/03      \$25,000

3. Purpose of Grant:

For its youth winter camp "In the Name of Our Future."

4. Amount Expended by Grantee:

**Not currently known.** Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees are who delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee--to the knowledge of the Grantor--made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#03-221

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

MULTIETHNIC CHILDREN AND YOUTH PEACE CENTER  
House of Culture, 3<sup>rd</sup> Floor  
MITROVICA, KOSOVO

2. Date and Amount of Grant:

1/8/04            \$10,000

3. Purpose of Grant:

As a contribution for its multiethnic magazine project.

4. Amount Expended by Grantee:

**Not currently known.** Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees are who delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee--to the knowledge of the Grantor--made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#03-278

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

(Remaining open from 2005)

1. Name and Address of Recipient:

RAMON MAGSAYSAY AWARD FOUNDATION  
1680 Roxas Boulevard  
1004, Manila  
PHILIPPINES

2. Date and Amount of Grant:

12/23/04      \$8,000

3. Purpose of Grant:

For a project to enhance the foundation's web site.

4. Amount Expended by Grantee:

\$5,770.60. Grantor has received from grantee narrative and financial reports certifying \$5,770.60 of the grant was expended for the purpose for which it was made. An unexpended balance of \$2,229.40 was returned to the Fund.

5. Whether the Grantee--to the knowledge of the Grantor--made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

On October 16, 2006, grantor received from grantee narrative and expenditure reports certifying that \$5,770.60 was expended for the purpose in which it was made and an unexpended balance of \$2,229.40 was returned to the Fund.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#04-60

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

RAMON MAGSAYSAY AWARD FOUNDATION  
1680 Roxas Boulevard  
1004, Manila  
PHILIPPINES

2. Date and Amount of Grant:

12/23/04      \$6,619

3. Purpose of Grant:

Toward support of this year's Asian Issues and Trends for Development seminar.

4. Amount Expended by Grantee:

\$6,619 was refunded to the Fund. Grantor has received from grantee narrative and financial reports certifying that the entire amount of the grant was unexpended for the purpose in which it was made and refunded.

5. Whether the Grantee--to the knowledge of the Grantor--made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

On October 16, 2006, grantor received from grantee narrative and expenditure reports certifying that the full amount of the grant was unexpended for the purpose in which it was made and refunded to the RBF.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

(Remaining open from 2005)

1. Name and Address of Recipient:

RAMON MAGSAYSAY AWARD FOUNDATION  
1680 Roxas Boulevard  
1004, Manila  
PHILIPPINES

2. Date and Amount of Grant:

12/23/04      \$62,500

3. Purpose of Grant:

Toward its Books of Record project.

4. Amount Expended by Grantee:

The entire amount. Grantor has received from grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose in which it was made.

5. Whether the Grantee--to the knowledge of the Grantor--made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

On October 18, 2006, grantor received from grantee narrative and expenditure reports certifying that the full amount of the grant was expended for the purpose in which it was made.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

BELGRADE FUND FOR POLITICAL EXCELLENCE  
Dositejeva 9, 11000 Belgrade  
SERBIA AND MONTENEGRO

2. Date and Amount of Grant:

8/9/05              \$75,000

3. Purpose of Grant:

As a contribution for general budgetary support.

4. Amount Expended by Grantee:

**Not currently known.** Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees are who delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#04-166



ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

FOURTH FREEDOM FORUM, INC.  
803 Main Street  
Goshen, INDIANA 46528-2632

2. Date and Amount of Grant:

9/9/04        \$25,000

3. Purpose of Grant:

As a contribution for public outreach associated with the Forum's Secure America project.

4. Amount Expended by Grantee:

**Not currently known.** Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees are who delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee--to the knowledge of the Grantor--made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#04-218

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

BENTON FOUNDATION  
The Commonwealth Building  
1625 K Street, N.W., 11<sup>th</sup> Floor  
WASHINGTON, D.C. 20006

2. Date and Amount of Grant:

12/23/04                      \$100,000

3. Purpose of Grant:

Toward OneWorldU.S.

4. Amount Expended by Grantee:

**Not currently known.** Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees are who delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee--to the knowledge of the Grantor--made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#04-265

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

GREEN NETWORK OF VOJVODINA  
Heroja Pinkija 32  
21000 Novi Sad  
Vojvodina  
STATE UNION OF SERBIA AND MONTENEGRO

2. Date and Amount of Grant:

12/20/05      \$50,000

3. Purpose of Grant:

As a contribution for general operating support.

4. Amount Expended by Grantee:

**The entire amount.** Grantor has received from grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose in which it was made.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

On August 28, 2006, grantor received from grantee narrative and expenditure reports certifying that the full amount of the grant was expended for the purpose in which it was made.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

ZED BOOKS, LTD.  
7 Cynthia Street  
LONDON, N1 9JF  
ENGLAND

2. Date and Amount of Grant:

3/15/05          \$6,000

3. Purpose of Grant:

As a contribution to the translation into English of *Islam et Liberte*, by Mohamed Charfi.

4. Amount Expended by Grantee:

Not currently known. Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees are who delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#05-24

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

KOSOVAR INSTITUTE FOR POLICY RESEARCH AND DEVELOPMENT  
Bregu I Diellit, Zona Prendimore, Rr. III  
39 Pristina  
UNMIK, KOSOVO.

2. Date and Amount of Grant:

12/18/06      \$30,000

3. Purpose of Grant:

As a contribution to general support.

4. Amount Expended by Grantee:

This grant was made for expenditures during the year following its payment. Accordingly, a report with respect to expenditure of the grant will not be timely until after that period.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#05-34

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

COMMUNITY CENTER-BAR  
55 Jovana Tomasevica  
85000 Bar  
SERBIA AND MONTENEGRO

2. Date and Amount of Grant:

9/30/05          \$25,000

3. Purpose of Grant:

As a contribution for core needs and institutional strengthening of itself and two other community centers in Montenegro.

4. Amount Expended by Grantee:

\$20,000. Grantor received from grantee narrative and financial reporting certifying that \$20,000 of the grant was expended for the purpose in which it was made. A report on the balance of \$5,000 will be due in 2007.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

On October 31, 2006, grantor received from grantee narrative and expenditure reports certifying that \$20,000 of the grant was expended for the purpose in which it was made. A report on the balance of \$5,000 is due in 2007.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#05-43

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

COMMUNITY CENTER-BAR  
55 Jovana Tomasevica  
85000 Bar  
SERBIA

2. Date and Amount of Grant:

12/18/06      \$25,000

3. Purpose of Grant:

As a contribution for core needs and institutional strengthening of itself and tow other community centers in Montenegro.

4. Amount Expended by Grantee:

**Not currently known.** Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees are who delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

BRIDGE INITIATIVE INTERNATIONAL  
20 Bld Poissonniere  
75009 Paris  
FRANCE

2. Date and Amount of Grant:

1/31/06          \$50,000

3. Purpose of Grant:

As a contribution to its project of mediation activities between civil society and international institutions on issues related to globalization.

4. Amount Expended by Grantee:

**The entire amount.** Grantor has received from grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose in which it was made.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

On March 15, 2007, grantor received from grantee narrative and expenditure reports certifying that the entire grant payment was expended for the purpose in which it was made.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#05-130



ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

BRIDGE INITIATIVE INTERNATIONAL  
20 Bld Poissonniere  
75009 PARIS  
FRANCE

2. Date and Amount of Grant:

8/5/05              \$50,000

3. Purpose of Grant:

As a contribution to its project including mediation activities between civil society and international institutions on globalization issues.

4. Amount Expended by Grantee:

**The entire amount.** Grantor has received from grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose in which it was made.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

On March 15, 2007, grantor received from grantee narrative and expenditure reports certifying that an outstanding balance of \$337 from a grant payment of \$50,000 grant was expended for the purpose in which it was made.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

MEDIA CENTAR NIS  
Obrenoviceva 38  
18000 Nis  
SERBIA and MONTENEGRO

2. Date and Amount of Grant:

11/29/05      \$30,000

3. Purpose of Grant:

As a contribution to a project to produce a weekly video newsletter publicizing the efforts and achievements of local NGOs in southeast Serbia.

4. Amount Expended by Grantee:

**Not currently known.** Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees are who delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#05-160

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

KOSOVAR STABILITY INITIATIVE  
Dardania R.B. 1/1-5  
PRISHTINE, KOSOVO

2. Date and Amount of Grant:

8/5/05            \$20,000

3. Purpose of Grant:

As a contribution toward general support.

4. Amount Expended by Grantee:

**Not currently known.** Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees are who delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee--to the knowledge of the Grantor--made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#05-163

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

KOSOVAR STABILITY INITIATIVE  
Dardania R.B. 1/1-5  
Prishtine 10000  
KOSOVO

2. Date and Amount of Grant:

12/20/06          \$20,000

3. Purpose of Grant:

As a contribution to general support.

4. Amount Expended by Grantee:

This grant was made for expenditures during the year following its payment. Accordingly, a report with respect to expenditure of the grant will not be timely until after that period.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#05-163

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

ASIAN CULTURAL COUNCIL, INC.  
437 Madison Avenue, Suite 3700  
NEW YORK, NEW YORK 10022-7001

2. Date and Amount of Grant:

7/13/06            \$200,000

3. Purpose of Grant:

As a contribution to its unrestricted grants program.

4. Amount Expended by Grantee:

**The entire amount.** Grantor has received from grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose in which it was made.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

On June 22, 2006, grantor received from grantee narrative and expenditure reports certifying that the full amount of the grant was expended for the purpose in which it was made.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#05-179

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

RAMON MAGSAYSAY AWARD FOUNDATION  
1680 Roxas Boulevard  
MANILA, PHILIPPINES

2. Date and Amount of Grant:

8/23/05            \$43,543

3. Purpose of Grant:

As a contribution to documentation and dissemination of information about the recipients of the Ramon Magsaysay Awards.

4. Amount Expended by Grantee:

**The entire amount.** Grantor has received from grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose in which it was made.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

On October 18, 2006, grantor received from grantee narrative and expenditure reports certifying that the full amount of the grant was expended for the purpose in which it was made.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#05-181

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

Tallberg Foundation  
Blasieholmstorg 8  
S-111 48 Stockholm  
SWEDEN

2. Date and Amount of Grant:

12/20/05      \$25,000

3. Purpose of Grant:

To support Tallberg Forum 2005.

4. Amount Expended by Grantee:

**The entire amount.** Grantor has received from grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose in which it was made.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

On May 7, 2007, grantor received from grantee narrative and expenditure reports certifying that the entire amount of the grant was expended for the purpose in which it was made.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#05-203

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

THE DEVELOPMENT SCHOOL  
58 Lordship Road  
LONDON, N16 0QT  
UNITED KINGDOM

2. Date and Amount of Grant:

9/22/05          \$15,000

3. Purpose of Grant:

For support of participation from Serbia and Montenegro in the postgraduate program, MA Social Development Practice.

4. Amount Expended by Grantee:

**Not currently known.** Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees are who delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#05-207



ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

LUMIERE PRODUCTIONS, INC.  
26 West 17<sup>th</sup> Street  
NEW YORK, NEW YORK 10011

2. Date and Amount of Grant:

9/30/05          \$20,000

3. Purpose of Grant:

As a contribution for a documentary film entitled *When Muppets Dream of Peace*.

4. Amount Expended by Grantee:

**Not currently known.** Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees are who delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#05-209

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

POLYSCOPE ACTION FUND  
454 West 46<sup>th</sup> Street, #4DS  
NEW YORK, NEW YORK 10036

2. Date and Amount of Grant:

10/13/05      \$50,000

3. Purpose of Grant:

As a contribution to its Infinite Family Interactive project.

4. Amount Expended by Grantee:

**Nancy L. Muirhead memo dated 10/31/06 (attached); IRS granted Public Charity status;  
Expenditure Responsibility is no longer required.**

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#05-242

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

THE OPEN TRUST  
c/o Bindman and Partners  
275 Grays Inn Road  
LONDON, WC1X 8QB  
UNITED KINGDOM

2. Date and Amount of Grant:

9/29/06      \$100,000

3. Purpose of Grant:

As a contribution for its project openDemocracy.net to strengthen coverage of key debates around democracy and globalization, Islam and democracy, and reflections on the role of the U.S. in the world.

4. Amount Expended by Grantee:

**The entire amount.** Grantor has received from grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

On April 5, 2007, grantor received from grantee narrative and expenditure reports certifying that the entire amount of the grant was expended for the purpose in which it was made.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#05-247

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

ASIAN CULTURAL COUNCIL  
437 Madison Avenue, Suite 3700  
NEW YORK, NEW YORK 10022-7001

2. Date and Amount of Grant:

12/29/05      \$200,000

3. Purpose of Grant:

Toward general operating expenses in 2006 and 2007.

4. Amount Expended by Grantee:

**The entire amount.** Grantor has received from grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose in which it was made.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

On May 10, 2007, grantor received from grantee narrative and expenditure reports certifying that the full amount of the grant was expended for the purpose in which it was made.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#05-265

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

BUDAPEST LAW CENTER  
Szent Istvan ter 11/C  
1501 Budapest  
HUNGARY

2. Date and Amount of Grant:

7/13/06          \$75,000

3. Purpose of Grant:

As a contribution to its civil society strengthening program in Serbia and Montenegro.

4. Amount Expended by Grantee:

**Not currently known.** Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees are who delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#05-308

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

PESTICIDE ECO-ALTERNATIVES CENTER YUNNAN THOUGHTFUL ACTION  
Room 30 E Chang Chun Garden Grand Bldg  
22 Ren Min Zhong Lu Road  
650021 Kunming, Yunann Province  
PRC

2. Date and Amount of Grant:

2/16/06          \$30,000

3. Purpose of Grant:

As a contribution toward general support.

4. Amount Expended by Grantee:

The entire amount. Grantor has received from grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose in which it was made.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

On January 31, 2007, grantor received from grantee narrative and expenditure reports certifying that the full amount of the grant was expended for the purpose in which it was made.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#05-329

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

CRISIS MANAGEMENT INITIATIVE  
Pieni Roobertinkatu 13 B 24-36  
FI-00130 Helsinki  
FINLAND

2. Date and Amount of Grant:

1/10/06          \$30,000

3. Purpose of Grant:

As a contribution to its work assisting the United Nations Office of the Special Envoy for Kosovo (UNOSEK).

4. Amount Expended by Grantee:

This grant report is due February 28, 2007.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#05-372

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

VULEKA PRODUCTIONS  
Post Office Box 15077  
Bellair 4006  
SOUTH AFRICA

2. Date and Amount of Grant:

3/16/06          \$10,000

3. Purpose of Grant:

As a contribution toward re-editing the documentary A Child is a Child (because of other people) as an educational and outreach tool to improve psychosocial support for children affected by HIV/AIDS.

4. Amount Expended by Grantee:

This grant report is due April 30, 2007.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#06-29



ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

ISANDLA INSTITUTE  
Post Office Box 12263  
Mill Street  
Gardens 8010  
CAPE TOWN, SOUTH AFRICA

2. Date and Amount of Grant:

4/20/06            \$70,000

3. Purpose of Grant:

As a contribution to a research project on HIV/AIDS and urban development.

4. Amount Expended by Grantee:

\$36,638. Grantor has received from grantee narrative and financial reports certifying that \$36,638 of the grant was expended for the purpose for which it was made.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

On May 31, 2007, grantor received from grantee narrative and expenditure reports certifying that \$36,638 of the grant was spent. The balance of \$33,362 will be reported in 2008.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

ARTLINK BELGRADE  
Glasinacka 29/11  
11000 Beograd  
SERBIA

2. Date and Amount of Grant:

8/3/06          \$15,000

3. Purpose of Grant:

As a contribution for its Art Interventions project.

4. Amount Expended by Grantee:

**The entire amount.** Grantor has received from grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose in which it was made.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

On April 30, 2007, grantor received from grantee narrative and expenditure reports certifying that the full amount of the grant was expended for the purpose in which it was made.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#06-67

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

RECONSTRUCTION WOMEN'S FUND  
Narodnog Fronta 66  
11000 Beograd  
SERBIA

2. Date and Amount of Grant:

12/20/06      \$35,000

3. Purpose of Grant:

As a contribution to its Violence Against Women program and its Roma Women's Initiative program.

4. Amount Expended by Grantee:

**Not currently known.** Grantor is awaiting an updated report and has made efforts to secure same from grantee. Future payments to grantees are who delinquent in submitting satisfactory reports will be withheld.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#06-71

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

GLOBAL COMMUNITY INITIATIVES, INC.  
12 Parkside Drive  
Montpelier, VERMONT 05602

(Grant funds earmarked for Global Community Initiatives in South Africa; determined to be a charitable project and therefore subject to expenditure responsibility.)

2. Date and Amount of Grant:

6/29/06          \$42,000

3. Purpose of Grant:

As a contribution to its Diepsloot Bikes Project.

4. Amount Expended by Grantee:

**The entire amount.** Grantor has received from grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

On August 17, 2007, grantor received from grantee narrative and expenditure reports certifying that the entire amount of the grant was expended for the purpose in which it was made.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#06-154

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

PESTICIDE ECO-ALTERNATIVES CETNER YUNNAN THOUGHTFUL ACTION  
Room 30 E Chang Chun Garden Grand Bldg  
22 Ren Min Zhong Lu Road  
650021 Kunming, Yunnan Province  
PRC

2. Date and Amount of Grant:

7/25/06      \$35,000

3. Purpose of Grant:

As a contribution to its Case-based Community Health Risk Assessment on Exposure to Paraquat project.

4. Amount Expended by Grantee:

**\$14,817.48.** Grantor has received from grantee narrative and financial reports certifying that \$14,817.48 of the grant was expended for the purpose for which it was made.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

On February 6, 2007, grantor received from grantee narrative and expenditure reports certifying that \$14,817.48 of the grant was expended for the purpose in which it was made. A further report on the expenditure of the balance (\$20,182.52) will be due before second payment is sent in July 2007.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#06-159

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

MEDIA CENTAR NIS  
Obrenoviceva 38  
18000 Nis  
SERBIA

2. Date and Amount of Grant:

12/18/06      \$30,000

3. Purpose of Grant:

As a contribution to a project to produce a weekly video newsletter publicizing the efforts and achievements of local NGOs in southeast Serbia.

4. Amount Expended by Grantee:

This grant report is due April 30, 2007.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#06-160

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

MEDIA & REFORM CENTER NIS  
Obrenoviceva 38  
18000 Nis  
SERBIA

2. Date and Amount of Grant:

8/24/06          \$65,000

3. Purpose of Grant:

As a contribution for the project, Promoting Dialogue.

4. Amount Expended by Grantee:

This grant was made for expenditures during the year following its payment. Accordingly, a report with respect to expenditure of the grant will not be timely until after that period.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#06-171

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

RAMON MAGSAYSAY AWARD FOUNDATION  
1680 Roxas Boulevard  
1004, Manila  
PHILIPPINES

2. Date and Amount of Grant:

8/10/06          \$150,000

3. Purpose of Grant:

Toward its annual stipends of the Ramon Magsaysay Awards Foundation awardees.

4. Amount Expended by Grantee:

**The entire amount.** Grantor has received from grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose in which it was made.

5. Whether the Grantee--to the knowledge of the Grantor--made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

On December 28, 2006, grantor received from grantee narrative and expenditure reports certifying that the entire amount of the grant was expended for the purpose in which it was made.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required..



ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

ENO TRANSPORTATION FOUNDATION, INC.  
1634 Eye Street, N.W., Suite 500  
Washington, D.C. 20006-4003

2. Date and Amount of Grant:

7/20/06          \$15,000

3. Purpose of Grant:

As a contribution to its efforts to organize and launch a commission on national transportation policy.

4. Amount Expended by Grantee:

**The entire amount.** Grantor has received from grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose in which it was made.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

On August 22, 2007, grantor received from grantee narrative and expenditure reports certifying that the entire amount of the grant was expended for the purpose in which it was made.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#06-223

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

JIA  
15-a-1106, Mingpu Garden  
Xiangpu Street, Dongpu County  
Tianhe District  
GUANGZHOU, PRC

2. Date and Amount of Grant:

7/25/06          \$10,000

3. Purpose of Grant:

As a contribution for its annual conference and a workshop.

4. Amount Expended by Grantee:

**The entire amount.** Grantor has received from grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose in which it was made.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

On March 30, 2007, grantor received from grantee narrative and expenditure reports certifying that the full amount of the grant was expended for the purpose in which it was made.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#06-234

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

BRIDGE INITIATIVE INTERNATIONAL  
20 Bld Poissonniere  
75009 Paris  
FRANCE

2. Date and Amount of Grant:

9/14/06          \$50,000

3. Purpose of Grant:

As a contribution to support the Reinventing Globalization 2006 conference in Lyon.

4. Amount Expended by Grantee:

**The entire amount.** Grantor has received from grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

On March 15, grantor received from grantee narrative and expenditure reports certifying that the entire amount of the grant was expended for the purpose in which it was made.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#06-245

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

CENTER FOR PROTECTION AND RESEARCH OF BIRDS OF MONTENEGRO  
P.p.2  
81000 Podgorica  
MONTENEGRO

2. Date and Amount of Grant:

10/19/06      \$5,000

3. Purpose of Grant:

As a contribution for a book about birds and their habitat in Montenegro.

4. Amount Expended by Grantee:

This grant was made for expenditures during the year following its payment. Accordingly, a report with respect to expenditure of the grant will not be timely until after that period.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#06-256

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

ETHICAL CERTIFICATION AND LABELLING AUTHENTICATION PROJECT  
22, av.des Cerisiers  
CH-1009 Pully  
SWITZERLAND

2. Date and Amount of Grant:

11/9/06          \$50,000

3. Purpose of Grant:

As a contribution toward general support.

4. Amount Expended by Grantee:

This grant was made for expenditures during the year following its payment. Accordingly, a report with respect to expenditure of the grant will not be timely until after that period.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#06-268

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

FOOTSTEPS  
23 Acacia Road  
Glenwood 4001  
Durban  
SOUTH AFRICA

2. Date and Amount of Grant:

12/28/06      \$50,000

3. Purpose of Grant:

As a contribution to support grantees of the Fund in the KwaZulu-Natal Province.

4. Amount Expended by Grantee:

\$15,560. Grantor has received from grantee narrative and financial reports certifying that \$15,560 of the grant was expended for the purpose for which it was made.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

On June 6, 2007, grantor received from grantee narrative and expenditure reports certifying that \$15,560 of the grant was expended for the purpose in which it was made. A further report on the expenditure of the balance (\$34,440) will be due May 31, 2008.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

PROTEUS DEVELOPMENT RESOURCES  
5 Rose Way  
Constantia 7806  
SOUTH AFRICA

2. Date and Amount of Grant:

12/20/06      \$50,000

3. Purpose of Grant:

As a contribution to assist grantees of the Fund in the Western and Eastern Cape provinces.

4. Amount Expended by Grantee:

\$3,176. Grantor has received from grantee narrative and financial reports certifying that \$3,176 of the grant was expended for the purpose for which it was made.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

On April 30, 2007, grantor received from grantee narrative and expenditure reports certifying that \$3,176 of the grant was expended for the purpose in which it was made. A further report on the expenditure of the balance (\$46,824) will be due in early 2008.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#06-343

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

PRINCESS TRUST  
#25 Private Bag X9  
Melville, SOUTH AFRICA

2. Date and Amount of Grant:

12/20/06      \$50,000

3. Purpose of Grant:

As a contribution to assist grantees of the Fund in the Gauteng and Mpumalanga provinces.

4. Amount Expended by Grantee:

**None.** Grantor has received from grantee narrative and financial reports certifying that no amount of the grant was expended for the purpose for which it was made.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

On March 15, 2007, grantor received from grantee narrative and expenditure reports certifying that no amount of the grant was expended for the purpose in which it was made.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#06-344



ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

RAMON MAGSAYSAY AWARD FOUNDATION  
1680 Roxas Boulevard  
1004, Manila  
PHILIPPINES

2. Date and Amount of Grant:

12/20/06      \$189,193

3. Purpose of Grant:

As a contribution to the activities of the Program for Asian Projects in 2006.

4. Amount Expended by Grantee:

This grant was made for expenditures during the year following its payment. Accordingly, a report with respect to expenditure of the grant will not be timely until after that period.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#06-376

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2006

1. Name and Address of Recipient:

GRANTS MANAGERS NETWORK, INC.  
141 Homestead Avenue  
METAIRIE, LOUISIANA

2. Date and Amount of Grant:

12/28/06        \$800

3. Purpose of Grant:

As a contribution toward general support.

4. Amount Expended by Grantee:

Benjamin R. Shute's memo dated 1/12/07 (attached); IRS granted Public Charity status;  
Expenditure Responsibility is no longer required.

5. Whether the Grantee—to the knowledge of the Grantor—made any diversions of funds from the purpose of the Grant:

No.

6. Date of Reports received from the Grantee:

See number 4 above.

7. Dates and Results of the Verification of Grantee's Report(s):

Not required.

#06-386

ROCKEFELLER BROTHERS FUND, INC.  
13-1760106  
437 Madison Avenue  
New York, New York 10022

SCHEDULE 17  
FORM 990-PF  
YEAR 2006

Compensation of Officers, Directors, and Trustees

art VIII. (1)

Name and Address	Title	Average Hours per Week Devoted to Position	Compensation	Contributions to Employee Benefit Plans	Expense Account and Other Allowances
Abby M. O'Neill c/o Rockefeller Brothers Fund 437 Madison Avenue New York, N.Y. 10112	Advisory  Trustee	1 hr.	- 0 -	None	None
Steven C. Rockefeller c/o Rockefeller Brothers Fund 437 Madison Avenue New York, N.Y. 10112	Trustee, Chairman	(1) 1 hr.	- 0 -	None	None
David Rockefeller Jr. c/o Rockefeller Brothers Fund 437 Madison Avenue New York, N.Y. 10112	Trustee	1 hr.	- 0 -	None	None
Richard G. Rockefeller c/o Rockefeller Brothers Fund 437 Madison Avenue New York, N.Y. 10112	Trustee Chairman	(2) 1 hr.	- 0 -	None	None
Ieva Goodwin c/o Rockefeller Brothers Fund 437 Madison Avenue New York, N.Y. 10112	Trustee	1 hr.	- 0 -	None	None
William H. Luers c/o Rockefeller Brothers Fund 437 Madison Avenue New York, N.Y. 10112	Advisory				

ROCKEFELLER BROTHERS FUND, INC.  
13-1760106  
437 Madison Avenue  
New York, New York 10022

SCHEDULE 17  
FORM 990-PF  
YEAR 2006

Compensation of Officers, Directors, and Trustees

art VIII. (1)

Name and Address	Title	Average Hours per Week Devoted to Position	Compensation	Contributions to Employee Benefit Plans	Expense Account and Other Allowances
Richard D. Parsons c/o Rockefeller Brothers Fund 437 Madison Avenue New York, N.Y. 10112	Trustee	1 hr.	- 0 -	None	None
Jessica P. Einhorn c/o Rockefeller Brothers Fund 437 Madison Avenue New York, N.Y. 10112	Advisory Trustee	1 hr.	- 0 -	None	None
Robert B. Oxnam c/o Rockefeller Brothers Fund 437 Madison Avenue New York, N.Y. 10112	Trustee	1 hr.	- 0 -	None	None
Frank G. Wisner c/o Rockefeller Brothers Fund 437 Madison Avenue New York, N.Y. 10112	Trustee	1 hr.	- 0 -	None	None
Jonathan F. Fanton c/o Rockefeller Brothers Fund 437 Madison Avenue New York, N.Y. 10112	Advisory Trustee	1 hr.	- 0 -	None	None

ROCKEFELLER BROTHERS FUND, INC.  
13-1760106  
437 Madison Avenue  
New York, New York 10022

SCHEDULE 17  
FORM 990-PF  
YEAR 2006

Part VIII. (1)  
Compensation of Officers, Directors, and Trustees

Name and Address	Title	Average Hours per Week Devoted to Position	Compensation	Contributions to Employee Benefit Plans	Expense Account and Other Allowances
Richard Chasin c/o Rockefeller Brothers Fund 437 Madison Avenue New York, N.Y. 10112	Trustee	1 hr.	- 0 -	None	None
James Moltz c/o Rockefeller Brothers Fund 437 Madison Avenue New York, N.Y. 10112	Trustee	1 hr.	1,500	None	None
John Morning c/o Rockefeller Brothers Fund 437 Madison Avenue New York, N.Y. 10112	Trustee	1 hr.	- 0 -	None	None
Tadataka Yamada, M.D. c/o Rockefeller Brothers Fund 437 Madison Avenue New York, N.Y. 10112	Trustee	(3) 1 hr.	- 0 -	None	None
David Rockefeller c/o Rockefeller Brothers Fund 437 Madison Avenue New York, N.Y. 10112	Advisory Trustee	1 hr.	- 0 -	None	None
Valerie Rockefeller Wayne c/o Rockefeller Brothers Fund 437 Madison Avenue New York, N.Y. 10112	Trustee	1 hr.	- 0 -	None	None

ROCKEFELLER BROTHERS FUND, INC.  
13-1760106  
437 Madison Avenue  
New York, New York 10022

SCHEDULE 17  
FORM 990-PF  
YEAR 2006

Compensation of Officers, Directors, and Trustees

art VIII. (1)

Name and Address	Title	Average Hours per Week Devoted to Position	Compensation	Contributions to Employee Benefit Plans	Expense Account and Other Allowances
Wendy O'Neill Wang c/o Rockefeller Brothers Fund 437 Madison Avenue New York, N.Y. 10112	Trustee	1 hr.	- 0 -	None	None
Timothy O'Neill c/o Rockefeller Brothers Fund 437 Madison Avenue New York, N.Y. 10112	Trustee	1 hr.	3,000	None	None
Stephen B. Heintz 437 Madison Avenue New York, N.Y. 10022	Trustee, President	40 hrs.	376,300	74,421	2,880
William F. McCalpin 437 Madison Avenue New York, N.Y. 10022	Executive Vice President & Chief Operating Officer (4)	40 hrs.	179,808	57,781	None
Benjamin R. Shute, Jr. 437 Madison Avenue New York, N.Y. 10022	Secretary	40 hrs.	207,246	67,469	0
Nancy L. Muthhead 437 Madison Avenue New York, N.Y. 10022	Assistant Secretary	40 hrs.	144,007	55,607	1,760

ROCKEFELLER BROTHERS FUND, INC.  
13-1760106  
437 Madison Avenue  
New York, New York 10022

SCHEDULE 17  
FORM 990-PF  
YEAR 2006

Compensation of Officers, Directors, and Trustees

art VIII. (1)

Name and Address	Title	Average Hours per Week Devoted to Position	Compensation	Contributions to Employee Benefit Plans	Expense Account and Other Allowances
Boris A. Wessely 437 Madison Avenue New York, N.Y. 10022	Treasurer	(5) 40 hrs.	132,829	36,701	2,340
Leah A. D'Angelo 437 Madison Avenue New York, N.Y. 10022	Asst Comptroller	23 hrs.	68,070	29,723	None
Geraldine F. Watson 437 Madison Avenue New York, N.Y. 10022	Vice President, Finance and Operations	(6) 40 hrs	145,332	45,332	780
			<u>\$ 1,258,092</u>	<u>\$ 367,034</u>	<u>\$ 7,760</u>

(1) Until June 15, 2006  
(2) Effective June 15, 2006  
(3) Until June 1, 2006  
(4) Until August 18, 2006  
(5) Until September 29, 2006  
(6) Effective October 1, 2006

ROCKEFELLER BROTHERS FUND, INC. 13-1760106  
437 Madison Avenue - 37<sup>th</sup> Floor  
New York, NY 10022

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PART IX-A - Page 1 of 2

Line 1 Pocantico Historic Area

In 1991, the Rockefeller Brothers Fund entered into an agreement with the National Trust for Historic Preservation in the United States under which the Fund is responsible for the renovation, maintenance, and operation of this historic site which is to be used both for a program of public visitation and for a philanthropic program. In 2006, the public visitation program, organized by Historic Hudson Valley, hosted 28,085 visitors. In its capacity as steward of the Pocantico Historic Area, in 2006 the Rockefeller Brothers Fund continued its efforts to preserve and conserve the collections and buildings in its care.

Amount: \$3,095,887

Line 2 Assistance to Charitable Organizations:

During 2006, 7 members of the staff of Rockefeller Brothers Fund provided technical assistance to charitable organizations, governmental bodies and committees, and 4 members of the staff served on 16 charitable organizations' boards, public commissions, or task forces.

Amount: \$670,482

Line 3 Fellowship Program for Students of Color Entering the Teaching Profession:

During 2006, the Rockefeller Brothers Fund continued to administer and award its Fellowships for Students of Color Entering the Teaching Profession. Activities included: monitoring of fellowship grants previously paid and approval of subsequent payments; maintaining contact with and reviewing the progress of fellows appointed; organizing and convening a four-day residential conference for the existing fellows and mentors; reviewing the involvement to date of the participating institutions; collecting and organizing data for use in evaluation of the fellowship program. An additional 25 new fellows were selected in 2006.

Amount: \$756,411



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Line 4      Pocantico Conference Center

The Pocantico Conference Center of the Rockefeller Brothers Fund, located within the Pocantico Historic Area (see Line 1, above), began operations in April of 1994. The purpose of the Pocantico Conference Center is to provide a setting where nonprofit organizations and public sector institutions can bring together people of diverse backgrounds and perspectives to engage in discussions of critical issues related to the Fund's philanthropic program, leading to new levels of understanding and creative resolution of problems. In 2006, 68 conferences and 2 forums were hosted at the Conference Center. Pocantico Conferences, usually multi-day events, are designed by RBF staff, grantees and/or outside groups whose objectives are consistent with the program interests of the Fund.

Amount: \$276,383

ROCKEFELLER BROTHERS FUND, INC. 13-1760106  
437 Madison Avenue  
New York, NY 10022-7001

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PART XV, Line 2a

Letters of inquiry or proposals should be addressed to:

Benjamin R. Shute, Jr., Secretary  
Rockefeller Brothers Fund  
437 Madison Avenue, 37<sup>th</sup> Floor  
New York, New York 10022-7001  
Telephone: (212) 812-4200  
E-mail: grants@rbf.org

PART XV, Line 2b

A preliminary letter of inquiry is recommended for an initial approach to the Fund for most program areas. Such a letter, which should not be more than two or three pages in length, must include a succinct description of the organization or project for which support is being sought; the RBF program area or areas under which the work falls and the specific RBF grantmaking strategy to which it relates; a brief background of the organization submitting the letter of inquiry and information about the principal staff members involved, including full contact information; the intended outcomes of the proposed activity; and a synopsis of the budget, with an indication of the amount requested from the Fund.

Letters of inquiry are accepted in English only, and are strongly encouraged to be submitted via email to grants@rbf.org. While the Fund will continue to accept letters of inquiry via regular mail and fax, such submissions will take longer to process than those received by email. If the letter cannot be emailed, it should be addressed to Benjamin R. Shute, Jr., Secretary, at the offices of the Fund: 437 Madison Avenue, 37th Floor New York, New York 10022-7001

After receiving the letter, foundation staff may invite the grantseeker to submit a full proposal. There are no application forms and the review of inquiries is ongoing throughout the year. When requested by program staff, a detailed proposal should include a complete description of the purpose of the organization or project; the background and the research that have led to the development of the proposal; the methods by which the work is to be carried out; the qualifications and experience of the organization's or project's principal staff members; a detailed, carefully prepared, and realistic budget; and a list of those who serve as board members of the organization and/or advisers to the project. Attached to each proposal must be a copy of the organization's tax exemption letter from the Internal Revenue Service, dated after 1969, and a copy of its most recent financial statements, preferably independently audited.

Grants are generally awarded by the trustees, who meet quarterly.

PART XV, Line 2c

**The Rockefeller Brothers Fund Fellowships for Students of Color Entering the Teaching Profession** – Fellowship candidates must attend one of the 27 colleges and universities that participate in the program (visit [www.rbf.org](http://www.rbf.org) for a list of partner institutions). A complete application packet is available from the school's Fellowship liaison. Upon receipt of the application, the Fellowship liaison and a screening committee, appointed by the university's president or chancellor, will review all applications and nominate up to three candidates to the Rockefeller Brothers Fund (RBF). The RBF does not accept direct applications.

**Charles E. Culpeper Arts and Culture program** – Proposals accepted annually from January 15-March 15 for consideration in the same calendar year. Proposals for enhancing institutional leadership are accepted throughout the year. Arts and Culture proposals should be sent to:

ROCKEFELLER BROTHERS FUND, INC. 13-1760106  
437 Madison Avenue  
New York, NY 10022-7001

Schedule 19  
FORM 990-PF  
Year 2006

Benjamin Rodriquez-Cubeñas  
Rockefeller Brothers Fund  
437 Madison Avenue, 37<sup>th</sup> Floor  
New York, New York 10022-7001

PART XV, Line 2d

To qualify for a grant from the Rockefeller Brothers Fund, as from most other foundations, a prospective grantee in the United States must be either a tax-exempt organization or an organization seeking support for a project that would qualify as educational or charitable. A prospective foreign grantee must satisfy an RBF determination that it would qualify, if incorporated in the United States, as a tax-exempt organization or that the project for which support is sought would qualify in the United States as educational or charitable. A grantee must also be engaged in work that fits within the Fund's guidelines.

*General Restrictions:*

Regrettably, the RBF is able to support only a fraction of the great number of worthwhile inquiries it receives. We favor a flexible approach to grantmaking; however, we do not make grants that support individuals, biomedical research, or scholarships. Furthermore we only support conferences, symposia, publications, and documentaries that are integral to or an outgrowth of one of the Fund's programs.

*Geographic Restrictions:*

The United States and four pivotal places—New York City, South Africa, Serbia and Montenegro, and Southern China—are the primarily geographic grantmaking focus.

**RBF Program Statement**

The Rockefeller Brothers Fund promotes social change that contributes to a more just, sustainable, and peaceful world. Through its grantmaking, the Fund supports efforts to expand knowledge, clarify values and critical choices, nurture creative expression, and shape public policy. The Fund's programs are intended to develop leaders, strengthen institutions, engage citizens, build community, and foster partnerships that include government, business, and civil society. Respect for cultural diversity and ecological integrity pervades the Fund's activities.

As an institutional citizen of an interdependent world, the Fund is active globally, nationally, and locally in its home city of New York. Grant programs are organized around four themes: Democratic Practice; Sustainable Development; Peace and Security; and Human Advancement. The Fund recognizes that achievement of progress in each of these program areas is often interconnected with developments in the others. As a private foundation, the Fund strives to promote philanthropic excellence and to enhance the effectiveness of the nonprofit sector.

As specified in the guidelines for each grant program, the Fund supports activities in a variety of geographic contexts. It also has identified several specific locations on which to concentrate cross-programmatic attention. The Fund refers to these as "RBF pivotal places": sub-national areas, nation-states, or cross-border regions which have special importance with regard to the Fund's substantive concerns and whose future will have disproportionate significance for the future of a surrounding region, an ecosystem, or the world. The Fund currently works in four pivotal places: New York City, South Africa, Serbia and Montenegro, and Southern China.

The Pocantico Conference Center of the Rockefeller Brothers Fund is located at the heart of the Rockefeller estate outside New York City and was created when the Fund leased the area from the National Trust for Historic Preservation in 1991. The conference center provides a unique setting where the RBF and other

nonprofit organizations and public sector institutions can bring together people of diverse backgrounds and perspectives to engage critical issues, reach new levels of understanding, and develop creative solutions to pressing problems.

In the years since its founding in 1940, the Fund has developed a distinctive style of grantmaking that is reflected in the following characteristics:

**Long View.** Grantmaking is primarily concerned with fundamental problems and is designed to contribute to the achievement of long-term goals and to make a lasting impact.

**Commitment.** Extended commitments are frequently made to specific issues and geographic regions and even to particular grantees.

**Synergy.** Rather than considering opportunities on a stand-alone basis, the Fund looks for connections among the activities it supports and the themes it pursues, both within and across program areas and in specific geographic locations.

**Initiative.** The Fund initiates or participates in the development of many of the projects that it supports.

**Engagement.** In addition to providing financial support, the Fund often works closely with grantee organizations to help strengthen their capacity and advance their work.

**Collaboration.** The Fund actively seeks opportunities to collaborate with other funders.

**Convening.** The Fund devotes time and resources, including the use of its Pocantico Conference Center, to convening groups of diverse stakeholders and encouraging collaboration among government agencies, corporations, and nongovernmental organizations.

The goals and strategies in each of our programs are implemented through a variety of approaches to grantmaking. In some programs, as is noted in the guidelines, the Fund proactively identifies grantee partners and thus has limited ability to respond to unsolicited proposals. Grantseekers are encouraged to study the guidelines closely. Prospective grantees are also urged to consult the section "How to Apply" for specific guidance on the application process.

## PROGRAMS

### Democratic Practice Program Guidelines

#### Democracy in the United States

Context: The United States faces a number of democratic deficits: a decline in many traditional forms of civic engagement, including youth engagement; reduced participation in the formal institutions of democracy, including but not limited to voting; and declining trust in all institutions, especially institutions of government. At the same time, American society is becoming increasingly polarized. The gap between rich and poor is widening, and residential patterns reinforce this separation along economic lines. All of these negative trends are particularly acute among young people, especially low-income youth and youth of color.

Today in U.S. politics there are few examples of bipartisanship and compromise. In the media, confrontation often passes for political dialogue and the concentration of media ownership reduces the number of public voices while crowding out nuanced views and a middle ground. Theoretically, cable television, the Internet, and other new technologies allow for many more channels of conversation, but they also have the potential to spread misinformation and make it easier to find and listen only to the voices with which one is comfortable.

#### Goal | Encouraging Civic Engagement in the U.S.

The Fund employs the following strategies to empower individuals and encourage civil society organizations, including philanthropy, to advance constructive social change through democratic decision making and social movements.

*Strategies:*

- Identify and support innovative and experimental forms of civic participation, especially those that encourage the sustained involvement of participants.  
*Note: With a limited budget, the Fund concentrates on new approaches to civic engagement that have the potential to be effective in a wide variety of places and situations. In general, the Fund does not support traditional community organizing or single events that are not part of a strategy for sustained civic engagement.*
- Support a limited number of youth-led organizations in which youth define their concerns and connect them to public policy making; develop and harness their own approaches to pursue policy change; and form a sophisticated and stable constituency. Organizations led by low-income youth and youth of color will receive special attention.
- Strengthen the capacity of the nonprofit sector to foster civic engagement, and encourage and assist a broad range of civil society organizations to engage more explicitly with public policy that leads to constructive social change.

Goal | Fostering Effective Governance in the U.S.

The Fund pursues the following strategies to strengthen the practices and institutions of democracy, including a free, principled, and vigorous press.

*Strategies*

- Support innovative and experimental forms of governance, public administration, and public decision-making that enhance the effectiveness, transparency, and responsiveness of the public sector. The Fund is also interested in efforts that foster the public's recognition of the positive role government plays in solving problems and providing services and public infrastructure.
- Promote experimental approaches to political campaign financing, with a special emphasis on both state and local public financing systems.
- Explore the role of the media in democracy, with an emphasis on strengthening its ability to foster informed citizens who demand increased government transparency and accountability.

Global Governance

Context Globalization-the dramatic increase in social, cultural, political, and economic interdependence among nations-is the defining process of the 21st Century, and it offers both challenges and opportunities. Global governance attempts to bring standards and regulations to issues that transcend the authority of individual nations and can be created in several ways-by formal agreements among nations, by consensus among intergovernmental organizations, and by voluntary agreements and partnerships among businesses, nongovernmental organizations, and other civil society groups. Democracy in global governance, however, faces several challenges. It is hindered by the weakened state of the United Nations and the growing influence of international trade and financial institutions with their more limited transparency and inclusion. The spread and growth of multinational corporations also challenges the ability of nations to protect their common wealth and their citizens from the negative consequences of irresponsible corporate behavior. Finally, some of the greatest new opportunities for global governance involve areas considered as global commons, such as climate change and cross border flows of toxics and pollution, as well as pandemics and other health concerns.

Goal | Increasing Access to, and Participation in, Global Governance

The Fund works within the following strategies to improve democratic practice in global governance by expanding participation and effective representation in the political and policymaking processes of globalization. View related grants

*Strategies:*

- Expand the inclusiveness of leading international trade and development organizations by improving the effective participation and increased involvement of those communities, nongovernmental organizations and governments that shape trade and development policies. The Fund is particularly interested in organizations that represent and help give voice to the concerns of developing countries.
- Increase collaboration among nations to solve transnational problems through improved governance of the global commons.
- Broaden and strengthen the ability of civil society to contribute to setting international standards which encourage and reward the improved social and environmental practices of international business.

Goal | Ensuring Transparency and Accountability in Global Governance

The Fund works within the following strategies to increase transparency and accountability in global governance decision-making processes that affect the quality of people's lives and the integrity of the natural environment.

*Strategies*

- Encourage reforms within leading trade, development, and civil society institutions that increase the transparency and accountability of global governance decision making and reporting.
- Support the creation and growth of new civil society mechanisms that improve global governance accountability within all transnational institutions, including nongovernmental organizations and international business.
- Selective limited efforts to advance new visions for democratic decision-making in global governance.

**Sustainable Development Program Guidelines**

Goal | Combating Global Warming

In the United States and globally, the Fund supports strategies to combat global warming and seeks to contribute to promote international cooperation on this issue through the following strategies:

*Strategies*

- Advancing cost-effective energy efficiency and renewable energy-based approaches to reducing greenhouse gas emissions.
- Building public and policymaker understanding of the threat of global warming and support for a range of actions to address this problem.
- Supporting efforts to strengthen and advance coordinated international action and policy, which are particularly critical to the mitigation of global warming.

Goal | Protecting Ecosystems and Conserving Biodiversity

The Fund seeks to conserve terrestrial and marine biodiversity by protecting and restoring ecosystems and by fostering sustainable communities that pursue locally-appropriate development plans. The Fund promotes this goal through the following strategies:

*Strategies*

- Supporting the conservation and sustainable use of remaining intact blocks of coastal temperate rainforest lands, especially in British Columbia.
- Promoting sustainable forest management practices that maintain healthy forest ecosystems and protect communities that are culturally and economically dependent on them throughout the world.
- Supporting the reform of unsustainable fishery management practices in the United States and British Columbia.
- Supporting efforts to protect Pacific Salmon, a "keynote species," by encouraging terrestrial and marine conservation programs that link the health of open ocean ecosystems to that of forest watersheds in the Russian Far East.

Note: Given limited funding and the very focused nature of our Sustainable Development goals and strategies, the RBF actively works to identify grantees in the field and is therefore only rarely able to support unsolicited proposals.

**Peace and Security Program Guidelines**

Goal | Advancing Responsible Approaches to U.S. Global Engagement in the 21<sup>st</sup> Century

The RBF seeks to advance U.S. policies and behaviors that reflect a broadly shared vision of constructive, cooperative, principled, farsighted, and effective global engagement through the following strategies:

*Strategies*

- Supporting the development and dissemination of policy approaches with the potential to galvanize constructive debate and policy action across traditional issue and partisan divides. Priority is given to broad frameworks for responsible U.S. global engagement.
- Strengthening the communications capacity of organizations and networks that advocate on behalf of constructive U.S. action to address global challenges. Priority is given to innovative communications efforts that forge links among diverse groups, highlight broad patterns of U.S. behavior, reach large audiences, and promise to generate momentum for responsible U.S. global engagement.
  - In 2005, the RBF has decided to focus this strategy on selected advocacy and public engagement efforts chosen from the following issue areas: terrorism and the proliferation of deadly weapons, climate change, and fairness in global trade.
  - Across a broader range of issues, priority is also given to efforts with the potential to engage people of faith, young people, business leaders, and women.

*Note: Given limited resources, the RBF can only fund a small number of communications-related projects each year. Staff actively work to identify strategic opportunities in the field, so funding for unsolicited proposals is the exception rather than the rule.*

- Promoting effective collaboration among organizations seeking to build public support for responsible U.S. global engagement. At present, the Fund pursues this strategy primarily through involvement in the Connect US initiative.

Goal | Promoting Mutual Respect and Understanding between Muslim and Western Societies

The RBF seeks to reduce the divisive and destabilizing tensions that exist between much of the Islamic world and the West, particularly the United States, and to increase the potential for collaboration among Muslim and Western societies on behalf of a better, safer world through the following strategies:

*Strategies*

- Supporting efforts to ensure that U.S. policies and behaviors reflect an understanding of the complexity and diversity of Muslim societies and contribute to mutually respectful, productive relations with those societies.
- Supporting initiatives that contribute to deeper U.S. public understanding of Islam and Muslim societies. Priority is given to high-leverage efforts that have the potential, over time, to reduce persistent misperceptions and sources of tension between the U.S. and the Muslim world.
- Supporting joint Muslim-Western efforts to address issues and problems that are of shared concern and that have a significant bearing on the relationship between the U.S. and Muslim-majority countries.

*Note: At present, the Fund pursues this strategy primarily at the global and transnational levels and is only rarely able to support community-based or country-specific programs.*

- Supporting the efforts of Muslim organizations and scholars to contribute to dynamic debate within Muslim societies about the role and future of Islam and of Islamic peoples in a rapidly changing, increasingly interconnected world.

*Note: Because of the exploratory nature of this strategy, the Fund will be pursuing it primarily through solicited proposals.*

**Charles E. Culpeper Human Advancement Program Guidelines**

Systemic social change is often required to enhance the lives and promote the well-being of individuals and to conserve ecosystems, but individual aspiration, growth, expression, and action are also necessary to fundamental social change. For this reason, the Fund complements its commitment to systemic change with a commitment to human advancement and the fulfillment of individual potential-by supporting arts and culture, working to improve the quality of education, recognizing and encouraging individual leadership, and helping to mitigate the impact of health threats that undermine human advancement on a nation- or region-wide scale.

These themes will be pursued, based on a careful assessment of local needs and priorities, largely in designated RBF "pivotal places," where the Fund is already active. The Human Advancement program is named after Charles E. Culpeper to honor the legacy and achievements of his foundation, which was established in 1940, for the "betterment of humanity." The Charles E. Culpeper Foundation and the RBF merged in July of 1999.

**Arts and Culture**

In supporting arts and culture, the RBF is inspired by a conviction that art creates beauty, invites discovery, stimulates reflection, and generates self-knowledge, and that engagement with the arts promotes deeper understanding of human experience among diverse communities. The Fund's support for arts and culture currently takes the following forms:



- In New York City, one of the RBF's pivotal places, the Fund seeks to foster an environment in which artists can flourish, and to help sustain small and midsize cultural organizations, particularly those that are community-based and/or culturally specific.
- The RBF provides annual support to the Asian Cultural Council (ACC), which funds cultural exchange in the visual and performing arts between the United States and the countries of Asia. The ACC funds individual fellowships to artists, scholars, and specialists from Asia undertaking research, study, and creative exploration in the United States. Grants are also made to Americans pursuing similar work in Asia, to cultural institutions involved in particularly significant exchange projects, and to activities that encourage regional dialogue and cooperation within Asia. To learn more about the ACC's programs and application procedures, please visit [www.asianculturalcouncil.org](http://www.asianculturalcouncil.org)

### Education

The role of education in human advancement is universally recognized. Good schools and inspiring teachers bring meaning and value to students' lives, nurture their aspirations, and help them acquire the skills they need to achieve their goals and realize their potential. Good schools teach respect for all people and for the diversity of life on Earth; they develop young people's confidence and capacity for civic leadership, and are an essential component of strong communities. Inspiring teachers are catalysts for improvement in their schools and often become leaders for change in the larger community as well. Based on these convictions, the RBF seeks to improve education in the following ways:

- In New York City, one of the RBF's designated pivotal places, the Fund seeks to promote civic responsibility for school improvement, strengthen constituencies for effective public education, and create opportunities for young people to grow through active engagement with their communities.
- At a time of profound demographic shifts in communities and classrooms, the Rockefeller Brothers Fund Fellowships for Students of Color Entering the Teaching Profession assists outstanding students of color from selected U.S. colleges and universities to pursue graduate studies and begin teaching in public schools. The RBF also furthers the professional development of Fellows after they have begun their careers in teaching. Note: The fellowships program is open only to students who attend one of the participating higher education institutions.
- In South Africa, another RBF pivotal place, the Fund seeks to improve the quality and accessibility of basic education for children in the area of early childhood development and primary learning, with a special focus on the developmental needs of orphans and vulnerable children and on the impact of HIV/AIDS on basic education. Please see the grantmaking guidelines for South Africa for additional information.

### Leadership

No government, acting alone, can solve the problems or enhance the opportunities that globalization creates. Much the same may be said of individuals: Teamwork and partnership are essential to building social movements and promoting systemic change. But the role of individual leaders in imagining alternatives, inspiring hope, and galvanizing collective action is also crucial. This belief informs all of the RBF's grantmaking, and is the specific impetus for the Fund's involvement with the Ramon Magsaysay Awards program in the Philippines:

- The Ramon Magsaysay Awards were established in the late 1950s by members of the Rockefeller family to honor individuals and organizations in Asia whose civic contributions and leadership "exemplify the greatness of spirit, integrity, and devotion to freedom of Ramon Magsaysay," former president of the Philippines who died tragically in an airplane crash. Often regarded as the Nobel Prizes of Asia, these awards are presented in six categories: government service; public service; community leadership; international understanding; journalism, literature, and creative communication arts; and emergent leadership. Up to six awards of \$50,000 each are given annually by the board of trustees of the Ramon Magsaysay Award Foundation, which is

headquartered in Manila and receives significant support from the RBF. For more information, see [www.rmaf.org.ph](http://www.rmaf.org.ph).

- The Rockefeller Brothers Fund Fellowships for Students of Color Entering the Teaching Profession, while primarily designed to improve public education, also nurture individual leadership.

### Health

In some regions of the world, infectious disease prevents the realization of individual potential and undermines the family, community, and institutional supports on which human advancement depends. At the same time, positive change cannot occur at the ground level unless we encourage the professional development of medical practitioners and support new approaches to medicine. The RBF is actively engaged on both counts.

- In South Africa, an RBF pivotal place, the Fund has responded to the HIV/AIDS pandemic by supporting efforts to assist orphans and vulnerable children in achieving their full potential as individuals and as constructive members of society.

### RBF Pivotal Places

The Fund pursues its four program interests—Democratic Practice, Sustainable Development, Peace and Security, and Human Advancement—in a variety of geographic contexts. In addition, the Fund has identified several specific locations on which to concentrate cross-programmatic grantmaking attention. The Fund refers to these as RBF "pivotal places":\* sub-national areas, nation-states, or cross-border regions which have special importance with regard to the Fund's substantive concerns and whose future will have disproportionate significance for the future of a surrounding region, an ecosystem, or, indeed, the globe.

While there are many places in the world that might be considered pivotal, the selection of RBF pivotal places is guided by both the Fund's program interests and grantmaking history. These are places where the Fund judges that because of its experience, knowledge, and program interests, its grantmaking could be particularly effective, and where the Fund generally makes a long-term commitment. The Fund's engagement in these places is multidisciplinary, involving two or more RBF program interests. It is also responsive to local needs and priorities; indeed, the Fund may pursue its broad programmatic goals in different ways within each RBF pivotal place.

The Fund currently works in four RBF pivotal places:

### New York City Pivotal Place Guidelines

#### Democratic Practice

##### Goal | Encouraging Civic Engagement

The Fund seeks to improve the quality of life in disadvantaged neighborhoods.

##### *Strategies*

- Supporting civic initiatives and community processes that address locally identified priorities.
- Strengthening community leadership capacity, particularly among immigrant populations
- Encouraging collaboration among local institutions and across sectors.

## Sustainable Development

### Goal | Building Sustainable Communities

The Fund seeks to improve the safety, aesthetic quality, and the spiritual and community life of New York City neighborhoods through the following strategies:

#### *Strategies*

- Assisting community-based initiatives that encourage respect and care for the natural and built environment and that enhance or reclaim public space.
- Supporting opportunities for community engagement in local development and planning processes.
- Advancing innovative ideas and projects that promote the concept of New York as a sustainable city.

## Human Advancement

### Goal | Improving Public Schools

The Fund seeks to promote civic responsibility for school improvement, through the following strategies:

#### *Strategies*

- Supporting projects that reach out to and engage new constituencies in public education improvement efforts.
- Fostering collaborations/networks among community groups, academic centers, education advocacy groups and public institutions to improve the New York City public education system.
- Strengthening the capacity of community groups to engage in public education advocacy and reform related initiatives.

### Goal | Supporting the Creative Process

The Fund seeks to foster an environment in which artists and the creative process can flourish through the following strategy:

#### *Strategy*

- Supporting organizations that assist individual artists and the creative process, provide infrastructure to sustain the artistic life, and offer additional opportunities to artists for developing skills complementary to their creative talents.

### Goal | Building Capacity in Cultural Organizations

The Fund seeks to sustain and advance small and mid-size cultural organizations, particularly those that are community based and/or culturally specific through the following strategies:

#### *Strategies*

- Supporting core operations by providing non-renewable, two-year capacity-building grants of up to \$50,000 per year, as a source of stable funding and added vitality in the immediate term.

- Strengthening long-term financial viability by providing endowment grants and cash reserve grants of up to \$250,000 to cultural and arts organizations that demonstrate the potential for long-term leadership and excellence in the presentation of creative work to the broadest possible audiences.
- Enhancing institutional leadership through competitive awards to arts and cultural organizations for innovative, team-based leadership conferences designed to strengthen long-range organizational management and governance. The Fund's Pocantico Conference Center will host representatives from selected organizations (including senior management, artistic leadership, and board members) for one-or two-day conferences on issues of institutional advancement. Each selected organization will receive a grant of up to \$15,000 to cover the costs of the leadership conference, including the participation of professional consultants, advisors, or facilitators. Following completion of a leadership conference, the Fund may make an additional award of up to \$25,000 to support the participating organization's efforts to implement some aspect(s) of what was learned through the conference.

## South Africa Pivotal Place Guidelines

### Human Advancement

#### Goal | Improving Basic Education

The Fund seeks to improve the quality and accessibility of basic education for children in the areas of early childhood development and primary learning through the following strategies:

#### Strategies

- Assisting efforts to address the impact of HIV/AIDS on basic education, including:
  - Enabling the introduction and evaluation of new models of early childhood education and primary learning that integrate a concern for HIV/AIDS.
  - Supporting new models of teacher training to respond to the need for more teachers and to assist teachers to support orphans and vulnerable children.
  - Assisting primary schools to become nodes of care, education, and support for increasingly vulnerable children.
- Supporting research and advocacy efforts to improve the quality and accessibility of basic education for children.

#### Goal | Assisting Orphans and Vulnerable Children

The Fund supports efforts to assist orphans and vulnerable children in achieving their full potential as individuals and as members of society through the following strategies:

#### *Strategies*

- Encouraging the development and evaluation of innovative models of care, education, and support for orphans and vulnerable children.
- Strengthening the institutional capacity of nonprofit organizations, university programs, and government agencies working with orphans and vulnerable children.

- Supporting targeted social science research that will improve the understanding and effectiveness of work related to orphans and vulnerable children.

## **Sustainable Development | Democratic Practice | Peace and Security**

### Goal | Addressing the Societal Impacts of HIV/AIDS

The Fund works to strengthen understanding of the linkages between HIV/AIDS and democratic practice, sustainable development, and peace and security issues in South Africa, and to promote integrated responses to the broad societal impacts of the HIV/AIDS pandemic through the following strategies:

#### *Strategies*

- Supporting and disseminating research to improve understanding of linkages between HIV/AIDS and sustainable development, democratic practice, and peace and security.
- Strengthening the capacity of nonprofit organizations, university programs, and government agencies working on linkages between HIV/AIDS and these three issues, including the facilitation of cross-sectoral communication and collaboration.
- Facilitating the introduction and evaluation of promising models of responses to the linkages between HIV/AIDS and these three core issues of RBF.

## **Serbia and Montenegro Pivotal Place Guidelines**

### **Democratic Practice**

#### Goal | Improving the Performance, Accountability, and Transparency of Government

##### *Strategies*

- Supporting the development and implementation of internal reforms in select government institutions.
- Assisting government officials, parliamentarians, and emerging public sector leaders to acquire the skills necessary for effective governance.

#### Goal | Strengthening Constituencies of Citizens Actively Engaged in Building Democracy

##### *Strategies*

- Supporting public education and communications activities that promote a deeper understanding of the responsibilities and benefits of open societies and equip citizens to participate in democratic processes.
- Promoting civic engagement of youth, including leadership development activities and efforts to insert fresh ideas into public life at all levels of society.
- Strengthening civil society as an essential component of a robust democratic political culture.

### **Sustainable Development**

#### Goal | Supporting Efforts to Nurture Economically, Ecologically, and Socially Sustainable Urban and Rural Communities

*Strategies*

- Fostering experimentation with urban, rural, and regional planning processes that actively engage the public.
- Promoting inclusive collaborations to promote the wise use of natural and cultural resources.
- Assisting efforts to integrate into formal education and life-long learning the knowledge, values, and skills needed for sustainable development.

**Southern China**

In March 2004, the Rockefeller Brothers Fund decided to concentrate its future grantmaking in Asia on Southern China, one of the fastest growing and most dynamic regions in the world. The Fund's new initiative in Southern China builds on its long history of philanthropic involvement in East and Southeast Asia. In April 2005, Shenyu Belsky joined the Fund to provide leadership to its work in Southern China. Guidelines for the RBF's grantmaking focus in Southern China will be forthcoming.

**Cross-Programmatic Initiative: Energy**

On October 12, 2006, RBF trustees approved grantmaking in the area called Cross-Programmatic Initiative: Energy. Work within this pivotal issue offers the opportunity to reshape the energy system by advancing conservation and efficiency and by accelerating the development and implementation of alternatives in order to enhance security, promote development and economic growth, and protect against the devastating impacts of global warming.

**ADDITIONAL INFORMATION**

The Rockefeller Brothers Fund maintains a Web site at [www.rbf.org](http://www.rbf.org) that includes information about the Fund's program guidelines, descriptions of recent grants, and a list of publications, many of which are available online.

In addition the RBF submits information about its grantmaking on a regular basis to the Foundation Center for inclusion in its key print and electronic resources. The Foundation Center provides free access to a core collection of its publications and CD-ROMs through a network of reference libraries in New York; Washington, D.C.; Atlanta; Cleveland; and San Francisco, as well as Cooperating Collections in more than 200 locations nationwide. Information about the location of Cooperating Collections can be obtained from the Foundation Center by calling 1-800-424-9836

**SCHEDULE OF GRANTS TO INDIVIDUALS****SCHEDULE 20**

FYE12/31/2006

Recipient and/or Purpose	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid in 2006	Ending Balance
<b>Aguirre, Miriam</b> Fontana, CA 92335 <i>Fellowships for Aspiring Teachers of Color</i>	\$0.00	\$22,100.00	\$0.00	\$2,500.00	\$19,600.00
<b>Aiken, Amamda</b> Hopewell Junction, NY 12533 <i>Fellowships for Aspiring Teachers of Color</i>	\$0.00	\$22,100.00	\$0.00	\$2,500.00	\$19,600.00
<b>Alston, Kim</b> Brighton, MA 02135 <i>Fellowships for Aspiring Teachers of Color</i>	\$19,600.00	\$0.00	\$0.00	\$6,000.00	\$13,600.00
<b>Alvarado, Danielle</b> San Jose, CA 95133 <i>Fellowships for Aspiring Teachers of Color</i>	\$7,600.00	\$0.00	\$4,000.00	\$1,200.00	\$2,400.00
<b>Arciniegas, Migdalia</b> Bronx, NY 10459 <i>Fellowships for Aspiring Teachers of Color</i>	\$7,600.00	\$0.00	\$0.00	\$4,000.00	\$3,600.00
<b>Arteaga, Sonia</b> Huntington Park, CA 90255 <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$3,600.00	\$0.00	\$0.00	\$0.00	\$3,600.00
<b>Battle, Alishia</b> Oaklawn, IL 60453 <i>Fellowships for Aspiring Teachers of Color</i>	\$13,600.00	\$0.00	\$0.00	\$6,000.00	\$7,600.00
<b>Bauerle, Phenocia</b> El Cerrito, CA 94530 <i>Fellowships for Aspiring Teachers of Color</i>	\$3,600.00	\$0.00	\$0.00	\$0.00	\$3,600.00
<b>Bedney, Adriana</b> Queens Village, NY 11429 <i>Fellowships for Aspiring Teachers of Color</i>	\$0.00	\$22,100.00	\$0.00	\$2,500.00	\$19,600.00
<b>Berry, Patrice</b> Hagerstown, Maryland 21742 <i>Fellowships for Aspiring Teachers of Color</i>	\$19,600.00	\$0.00	\$0.00	\$6,000.00	\$13,600.00
<b>Bond, Charmelia</b> Philadelphia, PA 19143 <i>Fellowships for Aspiring Teachers of Color.</i>	\$3,600.00	\$0.00	\$0.00	\$0.00	\$3,600.00
<b>Bristol, Travis</b> New York, NY 10023 <i>Fellowships for Aspiring Teachers of Color.</i>	\$2,400.00	\$0.00	\$0.00	\$2,400.00	\$0.00
<b>Cain, Jessica</b> Fayetteville, NC 28301 <i>Fellowships for Aspiring Teachers of Color</i>	\$3,600.00	\$0.00	\$0.00	\$0.00	\$3,600.00

**SCHEDULE OF GRANTS TO INDIVIDUALS****SCHEDULE 20**

FYE12/31/2006

Recipient and/or Purpose	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid in 2006	Ending Balance
<b>Carter, Melanie</b> Champaign, IL 61822 <i>Fellowships for Aspiring Teachers of Color</i>	\$0.00	\$22,100.00	\$0.00	\$2,500.00	\$19,600.00
<b>Castano, Giselle</b> Manlius, NY 13104 <i>Fellowship for Aspiring Teachers of Color</i>	\$0.00	\$22,100.00	\$0.00	\$2,500.00	\$19,600.00
<b>Charlot, Annick</b> Somerville, Massachusetts 02143 <i>Fellowships for Aspiring Teachers of Color</i>	\$19,600.00	\$0.00	\$0.00	\$6,000.00	\$13,600.00
<b>Chavez, Emily</b> Durham, NC 27707 <i>Fellowships for Aspiring Teachers of Color</i>	\$1,200.00	\$0.00	\$0.00	\$0.00	\$1,200.00
<b>Chen, Thomas S.</b> 48 Campbell Road Hillsborough, NJ 08844 <i>Fellowship for Aspiring Teachers of Color</i>	\$0.00	\$22,100.00	\$0.00	\$2,500.00	\$19,600.00
<b>Chenier, Spencer</b> Washington, DC 20001 <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$3,600.00	\$0.00	\$0.00	\$0.00	\$3,600.00
<b>Cooper, Rachel</b> Altadena, California 91001 <i>Fellowships for Aspiring Teachers of Color</i>	\$19,600.00	\$0.00	\$0.00	\$8,000.00	\$11,600.00
<b>Cotto, Robert Jr.</b> Hartford, CT 06106 <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$1,200.00	\$0.00	\$0.00	\$1,200.00	\$0.00
<b>Cruz, Natalia</b> Haverstraw, NY 10927 <i>Fellowships for Aspiring Teachers of Color</i>	\$0.00	\$22,100.00	\$0.00	\$2,500.00	\$19,600.00
<b>Curtis-Burnes, Julia</b> New York, NY 10025 <i>Fellowships for Aspiring Teachers of Color</i>	\$0.00	\$22,100.00	\$0.00	\$2,500.00	\$19,600.00
<b>Davenport-Harris, Crystal</b> Windermere, FL 34786 <i>Fellowships for Aspiring Teachers of Color.</i>	\$3,600.00	\$0.00	\$0.00	\$0.00	\$3,600.00
<b>Davis, Elyse</b> Lithonia, Georgia 30058 <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$3,600.00	\$0.00	\$0.00	\$0.00	\$3,600.00
<b>Davis, Ja'Dell</b> Los Angeles, CA 90047 <i>Fellowships for Aspiring Teachers of Color</i>	\$19,600.00	\$0.00	\$0.00	\$6,000.00	\$13,600.00



## SCHEDULE OF GRANTS TO INDIVIDUALS

SCHEDULE 20

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Recipient and/or Purpose	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid in 2006	Ending Balance
<b>Diaz, Cecelia</b> Bell Gardens, CA 90201 <i>Fellowships for Aspiring Teachers of Color</i>	\$3,600.00	\$0.00	\$0.00	\$0.00	\$3,600.00
<b>Duenas, Angelica</b> Sherman Oaks, CA 91403 <i>Fellowships for Aspiring Teachers of Color</i>	\$1,200.00	\$0.00	\$0.00	\$1,200.00	\$0.00
<b>Elmore, Marlena</b> Williamstown, MA 01267 <i>Fellowships for Aspiring Teachers of Color</i>	\$0.00	\$22,100.00	\$0.00	\$2,500.00	\$19,600.00
<b>Espinoza, Nancy</b> Ontario, CA 91764 <i>Fellowships for Aspiring Teachers of Color</i>	\$2,400.00	\$0.00	\$0.00	\$1,200.00	\$1,200.00
<b>Fleming, Nicole</b> New Haven, CT 06511 <i>Fellowships for Aspiring Teachers of Color</i>	\$13,600.00	\$0.00	\$4,000.00	\$6,000.00	\$3,600.00
<b>Garcia, Araceli</b> Los Angeles, CA 90011 <i>Fellowships for Aspiring Teachers of Color</i>	\$3,600.00	\$0.00	\$0.00	\$0.00	\$3,600.00
<b>Garrett, Jeffrey</b> Astoria, NY 11102 <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$1,200.00	\$0.00	\$0.00	\$1,200.00	\$0.00
<b>Garza, Gabriel</b> Martindale, TX 78655 <i>Fellowships for Aspiring Teachers of Color</i>	\$19,600.00	\$0.00	\$0.00	\$0.00	\$19,600.00
<b>Gonzalez, Elaine</b> 146 N. Coronado Los Angeles, CA 90026 <i>Fellowships for Aspiring Teachers of Color</i>	\$11,600.00	\$0.00	\$0.00	\$8,000.00	\$3,600.00
<b>Granillo, Omar</b> Bronx, NY 10469 <i>Fellowships for Aspiring Teachers of Color</i>	\$11,600.00	\$0.00	\$0.00	\$8,000.00	\$3,600.00
<b>Hamilton, Qeona</b> Los Angeles, CA 90019 <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$3,600.00	\$0.00	\$0.00	\$2,400.00	\$1,200.00
<b>Hardy, Kimberly</b> Tyler, TX 75704 <i>Fellowships for Aspiring Teachers of Color</i>	\$19,600.00	\$0.00	\$0.00	\$0.00	\$19,600.00
<b>Harris, Joycelyn</b> Missouri City, TX 77489 <i>Fellowships for Aspiring Teachers of Color</i>	\$11,600.00	\$0.00	\$0.00	\$0.00	\$11,600.00

**SCHEDULE OF GRANTS TO INDIVIDUALS****SCHEDULE 20**

FYE 12/31/2006

Recipient and/or Purpose	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid in 2006	Ending Balance
<b>Harris, Rabiah</b> Washington, DC 20016 <i>Fellowships for Aspiring Teachers of Color</i>	\$3,600.00	\$0.00	\$0.00	\$0.00	\$3,600.00
<b>President and Fellows of Harvard College</b> Cambridge, MA 02138 <i>For the research of Cammie F. Lesser, MD, PhD, concerning the mechanisms that tuberculosis bacteria use to manipulate infection-fighting cells in host organisms.</i>	\$108,000.00	\$0.00		\$108,000.00	\$0.00
<b>Hernandez, Yesenia</b> 4040 Adair Street Los Angeles, CA 90011 <i>Fellowships for Aspiring Teachers of Color</i>	\$0.00	\$22,100.00	\$0.00	\$2,500.00	\$19,600.00
<b>Hogan, Brooke</b> 3785 Cherrywood Avenue Los Angeles, CA 90018 <i>Fellowships for Aspiring Teachers of Color</i>	\$0.00	\$22,100.00	\$0.00	\$2,500.00	\$19,600.00
<b>Hsu, Taica</b> 227 Ayrshire Farm Lane, Apt. 307 Stanford, CA 94305 <i>Fellowships for Aspiring Teachers of Color</i>	\$19,600.00	\$0.00	\$0.00	\$6,000.00	\$13,600.00
<b>Hughes, Nicole</b> 1819 Devon Drive Atlanta, GA 30311 <i>Fellowships for Aspiring Teachers of Color</i>	\$3,600.00	\$0.00	\$0.00	\$1,200.00	\$2,400.00
<b>Iniguez, Jeaneth</b> 2405 Albatross Avenue Wilmington, CA 90744 <i>Fellowships for Aspiring Teachers of Color</i>	\$0.00	\$22,100.00	\$0.00	\$2,500.00	\$19,600.00
<b>Ivey, Raymond</b> 5780 West Sentinela Boulevard, Apt. 226 Los Angeles, CA 90045 <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$3,600.00	\$0.00	\$0.00	\$0.00	\$3,600.00
<b>Jeffries, Eva</b> 1763 Second Avenue, #3K New York, NY 10128 <i>2006 Fellowship for Aspiring Teachers of Color</i>	\$0.00	\$22,100.00	\$0.00	\$2,500.00	\$19,600.00
<b>Jenkins, Salema</b> 5536 Delancey Street Philadelphia, PA 19143-1328 <i>Fellowships for Aspiring Teachers of Color</i>	\$11,600.00	\$0.00	\$0.00	\$8,000.00	\$3,600.00
<b>Jiles, David</b> 7432 West 57th Place Summit, IL 60501 <i>Fellowships for Aspiring Teachers of Color</i>	\$19,600.00	\$0.00	\$0.00	\$0.00	\$19,600.00

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Recipient and/or Purpose	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid in 2006	Ending Balance
Johnson, Ashley 108 Wrentham Street Boston, MA 02124 <i>Fellowships for Aspiring Teachers of Color</i>	\$0.00	\$22,100.00	\$0.00	\$2,500.00	\$19,600.00
Johnson, Traci 14402 Stahelin Detroit, MI 48223 <i>Fellowships for Aspiring Teachers of Color</i>	\$3,600.00	\$0.00	\$0.00	\$2,400.00	\$1,200.00
Killsback, Leo 101 East University Blvd. #4 Tuscon, AZ 85705 <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$3,600.00	\$0.00	\$0.00	\$0.00	\$3,600.00
Clark, Kimberly 35 Poncetta Drive., Apt. #135 Daly City, CA 94015 <i>Fellowships for Aspiring Teachers of Color</i>	\$7,600.00	\$0.00	\$0.00	\$1,200.00	\$6,400.00
Jackson, Kimberly 131 Whippoorwill Ridge Road Jackson, GA 30233 <i>Fellowships for Aspiring Teachers of Color</i>	\$19,600.00	\$0.00	\$0.00	\$6,000.00	\$13,600.00
King, Rachel 16 Primrose Street Katonah, NY 10536 <i>Fellowships for Aspiring Teachers of Color</i>	\$19,600.00	\$0.00	\$0.00	\$6,000.00	\$13,600.00
Lau, Joanna 3621 Avenue P Brooklyn, NY 11234 <i>Fellowships for Aspiring Teachers of Color</i>	\$19,600.00	\$0.00	\$0.00	\$6,000.00	\$13,600.00
Laud, Nikhil 123 Shrubhollow Road Roslyn, NY 11576 <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$3,600.00	\$0.00	\$0.00	\$0.00	\$3,600.00
Leanos, Irma 12464 Bayhill Court Garden Grove, CA 92843 <i>Fellowships for Aspiring Teachers of Color</i>	\$0.00	\$22,100.00	\$0.00	\$2,500.00	\$19,600.00
Lee, Adrienne 17 Socrates Way Winchester, MA 01890 <i>Fellowship for Aspiring Teachers of Color</i>	\$0.00	\$22,100.00	\$0.00	\$2,500.00	\$19,600.00

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Recipient and/or Purpose	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid in 2006	Ending Balance
<b>Lee, Celeste</b> Mundy's Mill High School 9652 Fayetteville Road Jonesboro, GA 30238 <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$2,400.00	\$0.00	\$0.00	\$0.00	\$2,400.00
<b>Lee, Juhying</b> 5409 Carlton Way #214 Los Angeles, California 90027 <i>Fellowships for Aspiring Teachers of Color</i>	\$19,600.00	\$0.00	\$0.00	\$0.00	\$19,600.00
<b>Leon, Veronica</b> Kemp Mill Elementary School 411 Sisson Street Silver Spring, MD 20902 <i>Fellowships for Aspiring Teachers of Color</i>	\$11,600.00	\$0.00	\$0.00	\$8,000.00	\$3,600.00
<b>Leung, Christopher</b> 1095 W. 21st Street Upland, CA 91784 <i>Fellowships for Aspiring Teachers of Color</i>	\$7,600.00	\$0.00	\$4,000.00	\$0.00	\$3,600.00
<b>Lien, Tse Ching</b> 10406 Daines Drive Temple City, CA 91780 <i>Fellowships for Aspiring Teachers of Color</i>	\$19,600.00	\$0.00	\$0.00	\$0.00	\$19,600.00
<b>Lopez, Brenda</b> 9039 Myron Street Pico Rivera, CA 90660 <i>Fellowships for Aspiring Teachers of Color</i>	\$19,600.00	\$0.00	\$0.00	\$6,000.00	\$13,600.00
<b>Lopez, Roxane</b> 421 East Lake Street Crystal City, TX 78839 <i>Fellowships for Aspiring Teachers of Color</i>	\$11,600.00	\$0.00	\$0.00	\$8,000.00	\$3,600.00
<b>Lopez, Stephanie</b> 8714 Coastal Drive Austin, TX 78749 <i>Fellowships for Aspiring Teachers of Color</i>	\$19,600.00	\$0.00	\$0.00	\$8,000.00	\$11,600.00
<b>Luong, Phuong</b> 1571 West 4th Street, #2 Brooklyn, NY 11204 <i>Fellowships for Aspiring Teachers of Color</i>	\$0.00	\$22,100.00	\$0.00	\$2,500.00	\$19,600.00
<b>Mark, Julianne</b> 90 Garner Street #37 Allston, MA 02134 <i>Fellowships for Aspiring Teachers of Color</i>	\$19,600.00	\$0.00	\$0.00	\$6,000.00	\$13,600.00
<b>Marquez, Maria</b> 14426 General Drive Plainfield, IL 60544 <i>Fellowships for Aspiring Teachers of Color</i>	\$11,600.00	\$0.00	\$0.00	\$8,000.00	\$3,600.00

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SCHEDULE 20

FYE 12/31/2006

Recipient and/or Purpose	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid in 2006	Ending Balance
<b>Mars, Jane</b> P.O. Box 12 Dorchester, MA 02122-0001 <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$3,600.00	\$0.00	\$0.00	\$2,400.00	\$1,200.00
<b>Martin, Maya</b> 212 N. Duke Street #133 Durham, NC 27701 <i>Fellowships for Aspiring Teachers of Color</i>	\$2,400.00	\$0.00	\$0.00	\$1,200.00	\$1,200.00
<b>McCarthy, April</b> 43 Glenmore Avenue Hempstead, NY 11550 <i>Fellowships for Aspiring Teachers of Color</i>	\$19,600.00	\$0.00	\$0.00	\$0.00	\$19,600.00
<b>McDaniel, Marissa</b> 1230 Amsterdam Avenue, Room 622 New York, NY 10027 <i>Fellowships for Aspiring Teachers of Color</i>	\$19,600.00	\$0.00	\$0.00	\$6,000.00	\$13,600.00
<b>Mendoza, Alejandra</b> 1901 West Beaumont Westlaco, TX 78596 <i>Fellowships for Aspiring Teachers of Color</i>	\$7,600.00	\$0.00	\$4,000.00	\$0.00	\$3,600.00
<b>Miles, Anthonika</b> 1132 41st Street Ensley Birmingham, AL 35208 <i>Fellowships for Aspiring Teachers of Color</i>	\$13,600.00	\$0.00	\$0.00	\$6,000.00	\$7,600.00
<b>Mitchell, Merrin</b> 739 N. Thompson Drive, Apt. 203 Madison, WI 53704 <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$3,600.00	\$0.00	\$0.00	\$0.00	\$3,600.00
<b>Moore, Diamond</b> 765 Weyburn Place #316 Los Angeles, CA 90024 <i>Fellowships for Aspiring Teachers of Color</i>	\$3,600.00	\$0.00	\$0.00	\$0.00	\$3,600.00
<b>Muigai, Kenneth</b> 45 West 132nd Street New York, NY 10037 <i>Fellowships for Aspiring Teachers of Color</i>	\$0.00	\$22,100.00	\$0.00	\$2,500.00	\$19,600.00
<b>Nguyen, Jonathan</b> 8201 Henry Avenue, Apt. A17 Philadelphia, PA 19128 <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$3,600.00	\$0.00	\$0.00	\$0.00	\$3,600.00

**SCHEDULE OF GRANTS TO INDIVIDUALS****SCHEDULE 20**

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Recipient and/or Purpose	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid in 2006	Ending Balance
<b>Norena, Lina</b> 190 Marcy Avenue Apt. 19D (parents) Brooklyn, NY 11211 <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$2,400.00	\$0.00	\$0.00	\$0.00	\$2,400.00
<b>Osai, Esohe</b> 16603 Rosemont Avenue Detroit, MI 48219 <i>Fellowships for Aspiring Teachers of Color</i>	\$13,600.00	\$0.00	\$4,000.00	\$7,200.00	\$2,400.00
<b>Palatulan, Eugene</b> 2685 University Avenue #22B Bronx, NY 10468 <i>Fellowships for Aspiring Teachers of Color</i>	\$13,600.00	\$0.00	\$4,000.00	\$7,200.00	\$2,400.00
<b>Parikh, Arpita</b> 10 Racine Lane Matawan, NJ 07747 <i>Fellowships for Aspiring Teachers of Color</i>	\$11,600.00	\$0.00	\$4,000.00	\$0.00	\$7,600.00
<b>Pena, Anny</b> 239 50th Street Brooklyn, NY 11220 <i>Fellowships for Aspiring Teachers of Color</i>	\$7,600.00	\$0.00	\$0.00	\$0.00	\$7,600.00
<b>Penn, Morgan</b> 195 Michelle Lane, Apt. 311 Groton, CT 06340 <i>Fellowships for Aspiring Teachers of Color</i>	\$0.00	\$22,100.00	\$0.00	\$2,500.00	\$19,600.00
<b>Perez, Juan</b> 1508 Tabor Houston, TX 77009 <i>Fellowships for Aspiring Teachers of Color</i>	\$3,600.00	\$0.00	\$0.00	\$0.00	\$3,600.00
<b>Perez, Narliebeth</b> 630 49th Street Brooklyn, NY 11220 <i>Fellowships for Aspiring Teachers of Color</i>	\$0.00	\$22,100.00	\$0.00	\$2,500.00	\$19,600.00
<b>Pinckney, Kim</b> 9348 Cherry Hill Road, Apt. 523 College Park, MD 20740 <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$3,600.00	\$0.00	\$0.00	\$0.00	\$3,600.00
<b>Pipestem, Veronica</b> 714 Monnett #2 Norman, OK 73069 <i>Fellowships for Aspiring Teachers of Color</i>	\$11,600.00	\$0.00	\$0.00	\$0.00	\$11,600.00
<b>Pratt, Jaunelle</b> 114 North Centre Street Merchantville, NJ 08109 <i>Fellowships for Aspiring Teachers of Color</i>	\$13,600.00	\$0.00	\$4,000.00	\$6,000.00	\$3,600.00

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Recipient and/or Purpose	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid in 2006	Ending Balance
<b>Pratt, Joel</b> 114 North Centre Street Merchantville, New Jersey 08109 <i>Fellowships for Aspiring Teachers of Color</i>	\$0.00	\$22,100.00	\$0.00	\$2,500.00	\$19,600.00
<b>Delgado, Prisciliana</b> 615 Barbara Drive San Marcos, TX 78666 <i>Fellowships for Aspiring Teachers of Color</i>	\$19,600.00	\$0.00	\$0.00	\$8,000.00	\$11,600.00
<b>Pryor, Lisa</b> 304 I Croyden Avenue San Antonio, TX 78266 <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$2,400.00	\$0.00	\$0.00	\$1,200.00	\$1,200.00
<b>Rasheed, Kameelah</b> University of Witwatersrand Johannesburg SOUTH AFRICA <i>Fellowships for Aspiring Teachers of Color</i>	\$19,600.00	\$0.00	\$0.00	\$0.00	\$19,600.00
<b>Reddick, Krystal</b> 1928 Caroline Avenue Linden, NJ 07036 <i>Fellowships for Aspiring Teachers of Color</i>	\$11,600.00	\$0.00	\$0.00	\$8,000.00	\$3,600.00
<b>Reese, Patrece</b> Phoenix, AZ <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$3,600.00	\$0.00	\$0.00	\$0.00	\$3,600.00
<b>Refuge, Michelle</b> King Drew Magnet High School of Medicine  Los Angeles, CA <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$3,600.00	\$0.00	\$0.00	\$2,400.00	\$1,200.00
<b>Rodriguez, Emilene</b> 466 East 10th Street, Apt. 2I New York, NY 10009 <i>Fellowships for Aspiring Teachers of Color</i>	\$2,400.00	\$0.00	\$0.00	\$0.00	\$2,400.00
<b>Rosetta, Faith</b> 1062 Village Way Santa Fe, NM 87507 <i>Fellowships for Aspiring Teachers of Color</i>	\$0.00	\$22,100.00	\$0.00	\$2,500.00	\$19,600.00
<b>Rousey, Lydia</b> 12326 Little Emily Way Austin, TX 78753 <i>Fellowships for Aspiring Teachers of Color</i>	\$19,600.00	\$0.00	\$0.00	\$0.00	\$19,600.00
<b>Ruiz, Vanessa</b> 14733 S. Catalina Street Gardena, CA 90247 <i>Fellowships for Aspiring Teachers of Color</i>	\$3,600.00	\$0.00	\$0.00	\$0.00	\$3,600.00

**SCHEDULE OF GRANTS TO INDIVIDUALS****SCHEDULE 20**

FYE12/31/2006

Recipient and/or Purpose	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid in 2006	Ending Balance
<b>Saenz, Luis</b> 601 Illinois Street South Houston, TX 77587 <i>Fellowships for Aspiring Teachers of Color</i>	\$13,600.00	\$0.00	\$4,000.00	\$6,000.00	\$3,600.00
<b>Salgado, Miriam</b> 715 E. Garfield Apt. #5 Glendale, CA 91205 <i>Fellowships for Aspiring Teachers of Color</i>	\$3,600.00	\$0.00	\$0.00	\$2,400.00	\$1,200.00
<b>Sandberg, Cara</b> 2745 Pilgrim Lane North Plymouth, MN 55441 <i>Fellowships for Aspiring Teachers of Color</i>	\$13,600.00	\$0.00	\$0.00	\$6,000.00	\$7,600.00
<b>Sapeg, Katrina</b> 820 West 180th Street #3 New York, NY 10033 <i>Fellowships for Aspiring Teachers of Color</i>	\$2,400.00	\$0.00	\$0.00	\$0.00	\$2,400.00
<b>Shakir, Rajaa</b> 6707 North 12th Street Philadelphia, PA 19126 <i>Fellowships for Aspiring Teachers of Color</i>	\$3,600.00	\$0.00	\$0.00	\$0.00	\$3,600.00
<b>Sierra, Stephanie</b> 9801 W. Parmer Lane #2312 Austin, TX 78717 <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$3,600.00	\$0.00	\$0.00	\$2,400.00	\$1,200.00
<b>Simpson, Simona</b> CVS Highlander Charter School 45 Greeley Street Riverside, RI 02904 <i>Fellowships for Aspiring Teachers of Color</i>	\$3,600.00	\$0.00	\$0.00	\$1,200.00	\$2,400.00
<b>Siordia, Veronica</b> 6078 Nancita Court San Diego, CA 92114 <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$3,600.00	\$0.00	\$0.00	\$0.00	\$3,600.00
<b>Solis, Griselda</b> Garfield High School 5101 East 6th Street Los Angeles, CA 90022 <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$1,200.00	\$0.00	\$0.00	\$1,200.00	\$0.00
<b>Spicer, Katrina</b> Academy Prep 1407 E. Columbus Drive Tampa, FL 33605 <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$3,600.00	\$0.00	\$0.00	\$0.00	\$3,600.00



**SCHEDULE OF GRANTS TO INDIVIDUALS****SCHEDULE 20**

FYE12/31/2006

Recipient and/or Purpose	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid in 2006	Ending Balance
<b>Leland Stanford Junior University, Board of Trustees of</b> Stanford, CA 94305 <i>For the research of Ajay Chawla, MD, PhD, concerning metabolic processes that influence the rate of tissue damage associated with aging.</i>	\$108,000.00	\$0.00	\$0.00	\$108,000.00	\$0.00
<b>Steele, Emily</b> 2 Claremont Avenue Long Beach, CA 90803 <i>Fellowships for Aspiring Teachers of Color</i>	\$3,600.00	\$0.00	\$0.00	\$1,200.00	\$2,400.00
<b>Stevens, Sabrina</b> 1801 Edison Glen Terrace Edison, NJ 08837 <i>Fellowships for Aspiring Teachers of Color</i>	\$0.00	\$22,100.00	\$0.00	\$2,500.00	\$19,600.00
<b>Stockton, LaKeisha</b> 220 Cordova Drive Martinsville, VA 24112 <i>Fellowships for Aspiring Teachers of Color</i>	\$0.00	\$22,100.00	\$0.00	\$2,500.00	\$19,600.00
<b>Suero, Jennika</b> 2256 Walton Avenue, Apartment 4F Bronx, NY 10453 <i>Fellowships for Aspiring Teachers of Color</i>	\$19,600.00	\$0.00	\$0.00	\$8,000.00	\$11,600.00
<b>Sutton, Tyrone</b> 8205 Hambridge Lane Austin, TX 78736 <i>Fellowships for Aspiring Teachers of Color</i>	\$19,600.00	\$0.00	\$0.00	\$0.00	\$19,600.00
<b>Tetteh, Nii-Adzei</b> 8586 Mendota Detroit, MI 48204 <i>Fellowships for Aspiring Teachers of Color</i>	\$3,600.00	\$0.00	\$0.00	\$1,200.00	\$2,400.00
<b>University of Texas Southwestern Medical</b> 5323 Harry Hines Boulevard Dallas, TX 75235-9002 <i>For the research of Christine Kim Garcia, MD, PhD, concerning genetic factors that contribute to susceptibility and resistance to emphysema among smokers.</i>	\$108,000.00	\$0.00	\$0.00	\$108,000.00	\$0.00
<b>Thomas, Miya</b> 1814 Greenwich Wood Drive, Apt. 13 Silver Spring, MD 10903 <i>Fellowships for Aspiring Teachers of Color</i>	\$11,600.00	\$0.00	\$0.00	\$8,000.00	\$3,600.00
<b>Thomas, Tracee</b> 7600 Stenton Avenue, Apt. 6A Philadelphia, PA 19118 <i>Fellowships for Aspiring Teachers of Color</i>	\$19,600.00	\$0.00	\$0.00	\$0.00	\$19,600.00

**SCHEDULE OF GRANTS TO INDIVIDUALS****SCHEDULE 20**

FYE12/31/2006

Recipient and/or Purpose	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid in 2006	Ending Balance
<b>Thornton, Lacey</b> 5904 Via Loma Avenue Riverside, CA 92506 <i>Fellowships for Aspiring Teachers of Color</i>	\$11,600.00	\$0.00	\$0.00	\$8,000.00	\$3,600.00
<b>Tinajero, Maria</b> 1006 Lipan Trail Austin, TX 78733 <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$1,200.00	\$0.00	\$0.00	\$1,200.00	\$0.00
<b>Tsai, Jenny</b> 14 Parkway Road, Apt. 2 Brookline, MA 02445 <i>Fellowships for Aspiring Teachers of Color</i>	\$19,600.00	\$0.00	\$0.00	\$6,000.00	\$13,600.00
<b>Turcios, Viana</b> 112 Brook Avenue, Fl. 2 Passaic, NJ 07055 <i>Fellowships for Aspiring Teachers of Color</i>	\$13,600.00	\$0.00	\$4,000.00	\$7,200.00	\$2,400.00
<b>Unkeless, Gideon</b> Durban SOUTH AFRICA <i>Fellowships for Aspiring Teachers of Color</i>	\$19,600.00	\$0.00	\$0.00	\$0.00	\$19,600.00
<b>Vargas, Karina</b> 10098 Shadyview Street Riverside, CA 92503 <i>Fellowships for Aspiring Teachers of Color</i>	\$19,600.00	\$0.00	\$0.00	\$8,000.00	\$11,600.00
<b>Vinson, Catara</b> 1220 Vermont View Drive Watervliet, NY 12189 <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$3,600.00	\$0.00	\$0.00	\$0.00	\$3,600.00
<b>Wang, Sonia</b> 5470 S. Harper Avenue Chicago, IL 60615 <i>Fellowships for Aspiring Teachers of Color</i>	\$19,600.00	\$0.00	\$0.00	\$6,000.00	\$13,600.00
<b>Washington, Kandice</b> 1401 East 55th Street Chicago, IL 60615 <i>Fellowships for Aspiring Teachers of Color</i>	\$19,600.00	\$0.00	\$0.00	\$6,000.00	\$13,600.00
<b>Weaver, Ashley</b> 321 Travis Lane Hewith, TX 76643 <i>Fellowships for Aspiring Teachers of Color</i>	\$0.00	\$22,100.00	\$0.00	\$2,500.00	\$19,600.00
<b>Webster, Reggie</b> 9506 Galvin Avenue San Diego, CA 92126 <i>Fellowships for Aspiring Teachers of Color</i>	\$19,600.00	\$0.00	\$0.00	\$8,000.00	\$11,600.00

**SCHEDULE OF GRANTS TO INDIVIDUALS****SCHEDULE 20**

FYE12/31/2006

Recipient and/or Purpose	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid in 2006	Ending Balance
<b>Wimbley, Laureen</b> 2251 Sherman Avenue NW, 243 E Washington, DC 20001 <i>Fellowships for Aspiring Teachers of Color</i>	\$19,600.00	\$0.00	\$0.00	\$6,000.00	\$13,600.00
<b>Woods, Marci</b> 9100 Riverview Park Driv Providence, RI 02906 <i>Fellowships for Aspiring Teachers of Color</i>	\$3,600.00	\$0.00	\$0.00	\$0.00	\$3,600.00
<b>Xiong, Kathy</b> P.S. 10 511 7th Avenue Brooklyn, NY 11215 <i>Fellowships for Students of Color Entering the Teaching Profession.</i>	\$3,600.00	\$0.00	\$0.00	\$1,200.00	\$2,400.00
<b>Yeh, Linda</b> Fort Rivert Elementary School 70 South East Street Amherst, MA 01002 <i>Fellowships for Aspiring Teachers of Color</i>	\$2,400.00	\$0.00	\$0.00	\$1,200.00	\$1,200.00
<b>Zapanta, Jaclyn</b> P.O. Box 22324 Barrigada, Guam 96921 <i>Fellowships for Aspiring Teachers of Color</i>	\$3,600.00	\$0.00	\$3,600.00	\$0.00	\$0.00
<b>Total, Grants to Individuals</b>	<b>\$1,420,400.00</b>	<b>\$552,500.00</b>	<b>\$43,600.00</b>	<b>\$694,100.00</b>	<b>\$1,235,200.00</b>

**ROCKEFELLER BROTHERS FUND**

13-1760106

437 Madison Avenue

New York, NY 10022

SCHEDULE 20

FORM 990-PF

YEAR 2006

**Detail of Employee Matching Gifts**

The Rockefeller Brothers Fund makes matching grants only to U.S. publicly supported organizations.

Date	Organization	Matching Gift Amount
1/20/2006	ST. LOUIS UNIVERSITY HIGH SCHOOL	\$ 50.00
1/20/2006	THE MONTESSORI SCHOOL	500.00
1/20/2006	WEIR FARM TRUST	50.00
1/20/2006	WILTON LIBRARY ASSOCIATION, INC.	150.00
1/20/2006	GREEN FARMS ACADEMY	1,250.00
1/20/2006	KIEVE-WANUS EDUCATION, INC.	100.00
1/30/2006	WILLIAMS COLLEGE	1,000.00
11/13/2006	WILLIAMS COLLEGE	300.00
3/3/2006	WNYC RADIO	50.00
3/3/2006	EDUCATIONAL BROADCASTING CORP.	50.00
3/3/2006	WILTON LAND CONSERVATION TRUST	50.00
3/3/2006	A BETTER CHANCE OF WILTON, INC.	50.00
1/20/2006	QUEBEC LABRADOR FOUNDATION	250.00
1/20/2006	NORTHWESTERN UNIVERSITY	200.00
1/20/2006	UNIVERSITY OF MICHIGAN	200.00
1/30/2006	WLIW 21	50.00
8/7/2006	WNYC RADIO	75.00
8/7/2006	INTERNATIONAL HOUSE	100.00
8/18/2006	PARTNERS OF THE AMERICAS	50.00
8/18/2006	AFS USA	50.00
11/13/2006	WLIW 21	50.00
12/5/2006	WEST MICHIGAN ENVIRONMENTAL	100.00
12/5/2006	STONY BROOK MILLSTONE	50.00
12/5/2006	PHELPS STOKES FUND	50.00
11/13/2006	SPECIAL OLYMPICS NEW YORK	50.00
12/5/2006	FRIENDS OF SOUTH AFRICA RURAL	200.00
12/5/2006	INFINITE FAMILY	100.00
12/31/2006	INFINITE FAMILY	240.00
12/31/2006	PHILLIPS EXETER ACADEMY	250.00
12/31/2006	BOWDOIN COLLEGE	1,000.00
12/31/2006	BROWN UNIVERSITY	1,000.00
12/31/2006	NATIONAL COUNCIL OF NONPROFIT	750.00
12/31/2006	THE LIMA COMMUNITY FOUNDATION	500.00
3/3/2006	AMERICAN RED CROSS	400.00
11/13/2006	EDUCATIONAL BROADCASTING CORP.	100.00
8/7/2006	CABRINI HOSPICE	50.00
12/5/2006	CHILDREN'S CHORUS OF WASHINGTON	150.00
12/5/2006	LYRICA INC.	40.00
12/31/2006	KIDS FROM WISCONSIN	100.00
12/31/2006	STONE EDUCATIONAL SYSTEMS, INC.	2,600.00

**ROCKEFELLER BROTHERS FUND**

13-1760106

437 Madison Avenue

New York, NY 10022

SCH. 700-20

FORM 990-PF

YEAR 2006

**Detail of Employee Matching Gifts**

The Rockefeller Brothers Fund makes matching grants only to U.S. publicly supported organizations.

Date	Organization	Matching Gift Amount
12/31/2006	AMERICAN CANCER SOCIETY	100.00
3/3/2006	NOAH'S WISH	200.00
1/20/2006	COOPERSTOWN GRADUATE PROGRAM	400.00
1/20/2006	PRINCETON IN ASIA	500.00
12/31/2006	PRINCETON IN ASIA	500.00
1/30/2006	PRINCETON UNIVERSITY	1,700.00
3/3/2006	ASHOKA	250.00
1/20/2006	RAINBOW CENTER INC. OF NEWYORK	200.00
1/20/2006	S.A.V.E. ANIMAL RESCUE	50.00
1/20/2006	AMERICAN CANCER SOCIETY	100.00
3/3/2006	BIDE - A- WEE ASSOCIATION, INC.	100.00
5/12/2006	INTERFAITH NUTRITION NETWORK	100.00
8/18/2006	SILENT ANIMAL VOICES ECHO, INC	100.00
8/18/2006	SAVE A PET ANIMAL RESCUE, INC	250.00
11/13/2006	BIDE - A- WEE ASSOCIATION, INC.	200.00
11/13/2006	INTERNATIONAL FUND FOR	100.00
12/5/2006	ANIMAL HAVEN SHELTER INC.	500.00
12/31/2006	PETS ARE WORTH SAVING	120.00
12/5/2006	HOFSTRA UNIVERSITY/HOFSTRA PRI	100.00
12/5/2006	BLUE JAYS HOLIDAY MAGIC	100.00
3/3/2006	JOHNS HOPKINS UNIVERSITY	500.00
3/3/2006	U.S. LACROSSE	50.00
3/3/2006	DENISON UNIVERSITY	300.00
8/7/2006	JOHNS HOPKINS UNIVERSITY	350.00
11/13/2006	YORKTOWN POLICE BENEVOLENT	30.00
3/3/2006	WNYC RADIO	150.00
3/3/2006	INTERNATIONAL PRESCHOOLS	500.00
12/31/2006	ASIAN CULTURAL COUNCIL, INC.	500.00
12/31/2006	DEMOS	500.00
12/31/2006	TRINITY SCHOOL	750.00
7/26/2006	CUBAN ARTISTS FUND INC.	1,500.00
8/7/2006	CASITA MARIA, INC.	90.00
8/7/2006	NEW YORK FOUNDATION FOR	75.00
8/7/2006	NEW PROFESSIONAL THEATRE, INC	250.00
12/5/2006	CASITA MARIA, INC.	800.00
12/5/2006	CHASHAMA, INC	500.00
1/20/2006	HEIFER PROJECT INTERNATIONAL	60.00
5/12/2006	LEGAL AID SOCIETY	50.00
5/12/2006	GILDA'S CLUB NEW YORK CITY	50.00
5/12/2006	WINGSPAN ARTS	50.00

**ROCKEFELLER BROTHERS FUND****13-1760106****437 Madison Avenue****New York, NY 10022****SCHEDULE 20****FORM 990-PF****YEAR 2006****Detail of Employee Matching Gifts**

The Rockefeller Brothers Fund makes matching grants only to U.S. publicly supported organizations.

<u>Date</u>	<u>Organization</u>	<u>Matching Gift Amount</u>
8/7/2006	HABITAT FOR HUMANITY INTERNATI	200.00
8/7/2006	CEC STUYVESANT COVE	75.00
11/13/2006	GAY MEN'S HEALTH CRISIS, INC..	100.00
11/13/2006	CECIL COMMUNITY COLLEGE FOUNDA	200.00
11/13/2006	EPIPHANY SCHOOL FOUNDATION	100.00
12/5/2006	WNYC RADIO	120.00
12/5/2006	EDUCATIONAL BROADCASTING CORP.	125.00
12/5/2006	HOPE STREET KIDS	50.00
12/5/2006	SOARING HEIGHTS CHARTER SCHOOL	100.00
12/5/2006	SAFE HORIZON	108.00
12/31/2006	GOD'S LOVE WE DELIVER, INC.	150.00
12/31/2006	HEIFER PROJECT INTERNATIONAL	25.00
12/31/2006	GOODARD-RIVERSIDE COMMUNITY	25.00
7/10/2006	ORLANDO-ORGANGE COUNTY COMPAC	250.00
8/7/2006	AMERICAN CANCER SOCIETY	100.00
7/10/2006	NATIONAL COMMITTEE ON US -CHI	250.00
3/3/2006	CITY HARVEST INC	100.00
3/3/2006	HABITAT FOR HUMANITY INTERNATI	400.00
3/3/2006	BREAST CANCER, ORG	50.00
6/9/2006	ENTERTAINMENT INDUSTRY FOUNDATION	100.00
12/5/2006	NATURAL RESOURCES DEFENSE COUN	250.00
12/31/2006	FSC GLOBAL FUND	100.00
3/3/2006	AUDRE LORDE PROJECT	24.00
5/12/2006	LEAGUE OF YOUNG VOTERS	600.00
8/7/2006	CARE	100.00
8/7/2006	ROXBURY LATIN SCHOOL	200.00
3/10/2006	ELCA WORLD HUNGER & DIASTER AP	100.00
3/3/2006	WORLD VISION INC.	100.00
3/10/2006	CARE	100.00
5/12/2006	COLUMBIA UNIVERSITY	100.00
5/12/2006	BROOKLYN BOTANIC GARDEN	100.00
11/13/2006	CARE	100.00
6/1/2006	AMERICAN RED CROSS	200.00
1/20/2006	WORLD WILDLIFE FUND	25.00
1/30/2006	BEST FRIENDS ANIMAL SOCIETY	25.00
3/3/2006	HUMANE SOCIETY OF THE US	50.00
3/24/2006	ASPCA	30.00
8/25/2006	COLUMBIA UNIVERSITY	200.00
5/12/2006	BATES COLLEGE	400.00
8/7/2006	THE MADEIRA SCHOOL	50.00

**ROCKEFELLER BROTHERS FUND****13-1760106****437 Madison Avenue****New York, NY 10022****SCHEDULE 20****FORM 990-PF****YEAR 2006****Detail of Employee Matching Gifts**

The Rockefeller Brothers Fund makes matching grants only to U.S. publicly supported organizations.

<u>Date</u>	<u>Organization</u>	<u>Matching Gift Amount</u>
8/7/2006	THE MARK TWAIN HOUSE	1,000.00
11/13/2006	COLLEGE AT ONEONTA FOUNDATION	350.00
12/31/2006	SHELBURNE MUSEUM	1,000.00
1/20/2006	ASIA SOCIETY	250.00
1/20/2006	PASCAL RIOULT DANCE THEATRE	250.00
1/20/2006	NEW YORK YOUTH SYMPHONY	250.00
3/3/2006	OSSINING FOOD PANTRY	250.00
3/3/2006	OSSINING CHILDREN'S CENTER	250.00
6/1/2006	MUSEUM OF MODERN ART	300.00
1/20/2006	HEIFER PROJECT INTERNATIONAL	55.00
3/3/2006	AMERICAN RED CROSS	100.00
8/7/2006	PINE MANOR COLLEGE	50.00
12/5/2006	METROPOLITAN MUSEUM OF ART	250.00
3/3/2006	HABITAT FOR HUMANITY INTERNATI	240.00
		<u>\$ 35,157.00</u>

PART XV, Lines 3(a) and 3(b) Grants Contributions Paid During the Year and Approved for Future Payment  
**SCHEDULE OF GRANTS TO ORGANIZATIONS**  
 FYE12/31/2006

**SCHEDULE**

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
I+I+I=ONE 302 Bedford Avenue, PMB #76 Brooklyn, New York 11211 <i>For capacity building.</i>	2	\$25,000.00	\$0.00	\$0.00	\$25,000.00	\$0.00
20/20 Vision Education Fund 8403 Colesville Road Suite 860 Silver Spring, MD 20910 <i>To support the PowerShift National Tour.</i>	2	\$0.00	\$25,000.00	\$0.00	\$25,000.00	\$0.00
Aaron Davis Hall, Inc. West 135th Street and Convent Avenue New York, NY 10031 <i>For the endowment portion of the Campaign for Aaron Davis Hall.</i>	2	\$125,000.00	\$0.00	\$0.00	\$125,000.00	\$0.00
Aaron Davis Hall, Inc. West 135th Street and Convent Avenue New York, NY 10031 <i>For implementation of a voluntary leadership development plan.</i>	2	\$0.00	\$25,000.00	\$0.00	\$25,000.00	\$0.00
ActionAid USA 1112 16th Street NW Suite 540 Washington, DC 20036 <i>For its advocacy work on development policy choice issues at the international financial institutions on behalf of NGOs and governments in the global south.</i>	2	\$75,000.00	\$0.00	\$0.00	\$0.00	\$75,000.00
Advancement Project 1730 M Street, NW Suite #910 Washington, District of Columbia 20036 <i>General Support.</i>	2	\$0.00	\$50,000.00	\$0.00	\$50,000.00	\$0.00
Alaska Conservation Foundation 441 West Fifth Avenue Suite 402 Anchorage, AK 99501-2340 <i>To support a conference: Strengthening Our Cities, Mayors Responding to Global Warming.</i>	2	\$0.00	\$20,000.00	\$0.00	\$20,000.00	\$0.00
Alliance for Children's Entitlement to Social Security PostNet Suite 78, Private Bag X3 Plumstead 7801 SOUTH AFRICA <i>For general support.</i>	7	\$0.00	\$140,000.00	\$0.00	\$70,000.00	\$70,000.00
Alliance for Children and Families, Inc. 11700 W. Lake Park Drive Milwaukee, WI 53224-3021 <i>For its program, Building Community Voices.</i>	2	\$60,000.00	\$0.00	\$0.00	\$60,000.00	\$0.00



PART XV, Lines 3(a) and 3(b) Grants Contributions Paid During the Year and Approved for Future Payment  
**SCHEDULE OF GRANTS TO ORGANIZATIONS**  
 FYE12/31/2006

**SCHEDULE 29**

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
<b>Alliance for Children and Families, Inc.</b> 11700 W. Lake Park Drive Milwaukee, WI 53224-3021 <i>For its Human Services Building Participation project (now called New Voices at the Civic Table project).</i>	2	\$100,000.00	\$0.00	\$0.00	\$100,000.00	\$0.00
<b>Alliance for Children and Families, Inc.</b> 11700 W. Lake Park Drive Milwaukee, WI 53224-3021 <i>To allow Family Service of Greater New Orleans to participate in the Alliance's New Voices at the Civic Table project.</i>	2	\$0.00	\$15,000.00	\$0.00	\$15,000.00	\$0.00
<b>Alliance for Children and Families, Inc.</b> 11700 W. Lake Park Drive Milwaukee, WI 53224-3021 <i>For its New Voices at the Civic Table project.</i>	2	\$0.00	\$100,000.00	\$0.00	\$0.00	\$100,000.00
<b>Alliance for the Arts, Inc.</b> 330 West 42nd Street Suite 1701 New York, NY 10036 <i>To the development of a comprehensive citywide cultural database.</i>	2	\$0.00	\$100,000.00	\$0.00	\$50,000.00	\$50,000.00
<b>Alliance of Resident Theatres/New York, Inc.</b> 575 Eighth Avenue Suite 17 South New York, New York 10018 <i>For the establishment of the East Fourth Street Cultural District.</i>	2	\$75,000.00	\$0.00	\$0.00	\$75,000.00	\$0.00
<b>Allied Media Projects, Inc.</b> P.O. Box 20128 Toledo, OH 43610 <i>To plan and design the initial version of Fundster, an online tool for visualizing the connections between foundations and nonprofit organizations.</i>	2	\$0.00	\$15,000.00	\$0.00	\$15,000.00	\$0.00
<b>Alvin Ailey Dance Foundation, Inc.</b> The Joan Weill Center for Dance 405 West 55th Street New York, NY 10019-4402 <i>For the Ailey Subsidized Studio Rental Program.</i>	2	\$0.00	\$50,000.00	\$0.00	\$50,000.00	\$0.00
<b>America Abroad Media</b> 1025 Connecticut, N.W., Suite 1000 Washington D.C., 20036 <i>For the evaluation of U.S. audience reactions to television programming that features dialogue between Americans and their counterparts in the Islamic world.</i>	2	\$0.00	\$25,000.00	\$0.00	\$25,000.00	\$0.00
<b>American Council on Renewable Energy</b> 1825 I Street, NW Suite 400 Washington, DC 20006 <i>For its communication and advocacy program to promote a national clean energy agenda.</i>	2	\$0.00	\$500,000.00	\$0.00	\$250,000.00	\$250,000.00

## SCHEDULE OF GRANTS TO ORGANIZATIONS

SCHEDULE 15

FYE12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
American Council on Renewable Energy 1825 I Street, NW Suite 400 Washington, DC 20006 <i>To support 11/29-30/06 conference: Phase II of Renewable Energy in America.</i>	2	\$0.00	\$5,000.00	\$0.00	\$5,000.00	\$0.00
American Institute for Social Justice, Inc. 1024 Elysian Fields Avenue New Orleans, LA 70117-8402 <i>For the Brooklyn Education Collaborative.</i>	2	\$0.00	\$100,000.00	\$0.00	\$50,000.00	\$50,000.00
American Littoral Society, Inc. Sandy Hook Highlands, NJ 07732 <i>For the marine conservation work of its projects: the Regional Marine Conservation Project and the Marine Fish Conservation Network.</i>	2	\$0.00	\$470,000.00	\$0.00	\$470,000.00	\$0.00
American Sufi Muslim Association 175 East 96th Street Suite #21T New York, New York 10128 <i>For the Women's Islamic Initiative in Spirituality and Equity, and the Shariah Project.</i>	2	\$0.00	\$70,000.00	\$0.00	\$70,000.00	\$0.00
Americans for Informed Democracy Corporation 1214 Chapel Street, Suite E New Haven, CT 06511 <i>For general support.</i>	2	\$60,000.00	\$0.00	\$0.00	\$60,000.00	\$0.00
AmericaSpeaks, Inc. 1050 17th Street, NW, Suite 701 Washington, DC 20036 <i>For general support.</i>	2	\$75,000.00	\$0.00	\$0.00	\$75,000.00	\$0.00
AmericaSpeaks, Inc. 1050 17th Street, NW, Suite 701 Washington, DC 20036 <i>To engage all New Orleans citizens, current residents and evacuees in the planning and rebuilding of New Orleans.</i>	2	\$0.00	\$100,000.00	\$0.00	\$100,000.00	\$0.00
Amigos del Museo del Barrio, Inc. 1230 Fifth Avenue New York, New York 10029 <i>For capacity building.</i>	2	\$50,000.00	\$0.00	\$0.00	\$50,000.00	\$0.00
Amigos del Museo del Barrio, Inc. 1230 Fifth Avenue New York, New York 10029 <i>For an institutional leadership conference to be held at the Pocantico Conference Center on September 17-19, 2006.</i>	2	\$0.00	\$15,000.00	\$0.00	\$15,000.00	\$0.00

## SCHEDULE OF GRANTS TO ORGANIZATIONS

SCHEDULE 20

FYE12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
ArtLink Belgrade Knez Miletina 1 11000 Belgrade Republic of Serbia <i>For its Art Interventions project.</i>	9	\$0.00	\$30,000.00	\$0.00	\$15,000.00	\$15,000.00
Arts of Peace, Inc. 854 9th Street, Suite B Arcata, CA 95521 <i>For Moving to Higher Ground, a project of its Mainstream Media Project.</i>	2	\$115,000.00	\$0.00	\$0.00	\$115,000.00	\$0.00
ArtsConnection 520 Eighth Avenue Suite 321 New York, NY 10018 <i>For its cash reserve fund.</i>	2	\$150,000.00	\$0.00	\$0.00	\$75,000.00	\$75,000.00
Artspace Projects, Inc. 250 Third Avenue North, Suite 500 Minneapolis, MN 55401 <i>For the pre-development phase of an arts and creative industries live/work project in Belgrade.</i>	2	\$0.00	\$50,000.00	\$0.00	\$50,000.00	\$0.00
Asian American Writers Workshop, Inc. 16 West 32nd Street Suite 10A New York, NY 10001-3808 <i>For capacity building.</i>	2	\$25,000.00	\$0.00	\$0.00	\$25,000.00	\$0.00
Asian Cultural Council, Inc. 437 Madison Avenue 37th Floor New York, NY 10022 <i>For its unrestricted grants program in 2005 and 2006.</i>	2	\$200,000.00	\$0.00	\$0.00	\$200,000.00	\$0.00
Aspen Institute, Inc. One Dupont Circle, NW Suite 700 Washington, DC 20036 <i>For its Global Interdependence Initiative.</i>	2	\$150,000.00	\$0.00	\$0.00	\$150,000.00	\$0.00
Aspen Institute, Inc. One Dupont Circle, NW Suite 700 Washington, DC 20036 <i>For its project, Realizing Rights: the Ethical Globalization Initiative.</i>	2	\$30,500.00	\$0.00	\$0.00	\$30,500.00	\$0.00
Aspen Institute, Inc. One Dupont Circle, NW Suite 700 Washington, DC 20036 <i>For its Congressional Project on Political Islam.</i>	2	\$100,000.00	\$0.00	\$0.00	\$100,000.00	\$0.00

## SCHEDULE OF GRANTS TO ORGANIZATIONS

SCHEDULE 2

FYE12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
Aspen Institute, Inc. One Dupont Circle, NW Suite 700 Washington, DC 20036 <i>To support research for a book on the fastest growing, highest impact social sector organizations founded in the USA.</i>	2	\$0.00	\$25,000.00	\$0.00	\$25,000.00	\$0.00
Aspen Institute, Inc. One Dupont Circle, NW Suite 700 Washington, DC 20036 <i>To its project, Realizing Rights: The Ethical Globalization Initiative.</i>	2	\$0.00	\$200,000.00	\$0.00	\$0.00	\$200,000.00
Association of Citizens for Democracy and Civic Education CIVIC INITIATIVES Bulevar Kralja Aleksandra 79/Vsprat 11120 Belgrade SERBIA AND MONTENEGRO <i>For general support.</i>	7	\$100,000.00	\$0.00	\$0.00	\$100,000.00	\$0.00
Association Dah Theater -- Center for Theater Research, The Maruliceva 8, 11 000 Beograd Belgrade Serbia and Montenegro <i>For general support.</i>	7	\$15,000.00	\$0.00	\$0.00	\$15,000.00	\$0.00
Association for Energy Affordability, Inc 505 Eighth Avenue Suite 1801 New York, New York 10018 <i>For the Greening A Block project.</i>	2	\$0.00	\$10,000.00	\$0.00	\$10,000.00	\$0.00
Association for Training and Resources in Early Education P.O. Box 35173 Northway 4065 SOUTH AFRICA <i>For its Parenting Program which assists young children impacted by HIV/AIDS in the KwaZulu-Natal Province.</i>	7	\$48,000.00	\$0.00	\$0.00	\$48,000.00	\$0.00
Association Youth Initiative for Human Rights, The Cvijiceva 31 11000 Belgrade Serbia and Montenegro <i>For general support.</i>	7	\$200,000.00	\$0.00	\$0.00	\$100,000.00	\$100,000.00
B 92 Fund Jevrejska 16 11000 Belgrade Serbia and Montenegro <i>To its Rex Cultural Center, for the Center's Human Rights Film Festival.</i>	9	\$25,000.00	\$0.00	\$25,000.00	\$0.00	\$0.00

PART XV, Lines 3(a) and 3(b) Grants Contributions Paid During the Year and Approved for Future Payment  
**SCHEDULE OF GRANTS TO ORGANIZATIONS**  
 FYE12/31/2006

**SCHEDULE 20**

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
<b>Balkan Community Initiatives Fund</b> Dobracina 29/1 11 000 Beograd Serbia and Montenegro <i>For general budgetary purposes.</i>	7	\$0.00	\$600,000.00	\$0.00	\$175,000.00	\$425,000.00
<b>Balkan Investigative Reporting Regional Network</b> Obala Kulina bana 10/2 Sarajevo, 71000 Bosnia and Herzegovina Obala Kulina bana 10/2 Sarajevo, 71000 Bosnia and Herzegovina <i>For general support.</i>	7	\$0.00	\$90,000.00	\$0.00	\$45,000.00	\$45,000.00
<b>Ballet Hispanico of New York</b> 167 West 89th Street New York, New York 10024 <i>For its cash reserve fund.</i>	2	\$150,000.00	\$0.00	\$0.00	\$75,000.00	\$75,000.00
<b>BBB Wise Giving Alliance</b> 4200 Wilson Boulevard, Ste. 800 Arlington, VA 22203 <i>For general support.</i>	2	\$25,000.00	\$0.00	\$0.00	\$25,000.00	\$0.00
<b>Bedford Stuyvesant Restoration Corporation</b> 1368 Fulton Street Brooklyn, NY 11216 <i>To further develop the organization's Center for Arts and Culture.</i>	2	\$0.00	\$100,000.00	\$0.00	\$50,000.00	\$50,000.00
<b>Belgrade Fund for Political Excellence</b> Dositejeva 9 11000 Belgrade SERBIA AND MONTENEGRO <i>For general budgetary support.</i>	7	\$0.00	\$300,000.00	\$0.00	\$50,000.00	\$250,000.00
<b>Better World Fund</b> 1225 Connecticut Avenue, NW, Suite 400 Washington, DC 20036 <i>For its Ag Energy Working Group, to pursue a vision of agriculture supplying 25 percent of domestic U.S. energy consumption by 2025.</i>	2	\$0.00	\$400,000.00	\$0.00	\$200,000.00	\$200,000.00
<b>Better World Fund</b> 1225 Connecticut Avenue, NW, Suite 400 Washington, DC 20036 <i>For its project, The People Speak.</i>	2	\$0.00	\$25,000.00	\$0.00	\$25,000.00	\$0.00
<b>Big Sky Institute for the Advancement of Nonprofits</b> P. O. Box 1514 Helena, Montana 59624 <i>for its Philanthropic Divide project</i>	2	\$0.00	\$15,000.00	\$0.00	\$15,000.00	\$0.00
<b>BoardSource</b> 1828 L Street, NW Suite 900 Washington, DC 20036-5104 <i>For general support.</i>	2	\$30,000.00	\$0.00	\$0.00	\$30,000.00	\$0.00

PART XV, Lines 3(a) and 3(b) Grants Contributions Paid During the Year and Approved for Future Payment  
**SCHEDULE OF GRANTS TO ORGANIZATIONS**  
 FYE12/31/2006

**SCHEDULE 20**

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
<b>Bridge Initiative International</b> 20 Bld Poissonniere 75009 Paris France <i>For its efforts to expand the role of civil society in critical discussions focused on reform of the United Nations and of the international financial institutions and other mediation opportunities.</i>	8	\$50,000.00	\$0.00	\$0.00	\$50,000.00	\$0.00
<b>Bridge Initiative International</b> 20 Bld Poissonniere 75009 Paris France <i>To support the Reinventing Globalization 2006 conference to be held in Lyon, France, October 24-26 2006.</i>	8	\$0.00	\$50,000.00	\$0.00	\$50,000.00	\$0.00
<b>Bridge Initiative International</b> 20 Bld Poissonniere 75009 Paris France <i>For general support.</i>	8	\$0.00	\$100,000.00	\$0.00	\$0.00	\$100,000.00
<b>Bronx Council on the Arts, Inc.</b> 178 Hone Avenue Bronx, NY 10461-1486 <i>To expand the cultural corridor hub in the South Bronx.</i>	2	\$75,000.00	\$0.00	\$0.00	\$75,000.00	\$0.00
<b>Brookings Institution, The</b> 1775 Massachusetts Avenue, NW Washington, DC 20036- <i>For its project on political polarization in the United States.</i>	2	\$80,000.00	\$0.00	\$0.00	\$77,800.00	\$2,200.00
<b>Brooklyn Academy Local Development Corporation</b> 80 Hanson Place Brooklyn, NY 11217 <i>For Phase II of the Brooklyn Cultural District Project.</i>	2	\$0.00	\$125,000.00	\$0.00	\$65,000.00	\$60,000.00
<b>Brooklyn Academy of Music, Inc.</b> 30 Lafayette Avenue Brooklyn, NY 11217 <i>towards the planning of a 2008 program, Culture beyond Politics.</i>	2	\$0.00	\$15,000.00	\$0.00	\$15,000.00	\$0.00
<b>Brooklyn Arts Exchange, Inc.</b> 421 Fifth Avenue Brooklyn, New York 11215 <i>For capacity building.</i>	2	\$30,000.00	\$0.00	\$0.00	\$30,000.00	\$0.00
<b>Brooklyn Bridge Park Conservancy, Inc.</b> 334 Furman Street Brooklyn, NY 11201 <i>Toward implementation of its strategic plan.</i>	2	\$60,000.00	\$0.00	\$0.00	\$60,000.00	\$0.00

## SCHEDULE OF GRANTS TO ORGANIZATIONS

SCHEDULE 20

FYE12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
<b>Brown University</b> 164 Angell Street Providence, RI 02912 <i>For a project of its Thomas J. Watson Jr. Institute for International Studies, Local Dimensions of Sustainable Democracy Building: Research and Training for Policy Impact in the Southern Balkans.</i>	1	\$20,000.00	\$0.00	\$0.00	\$20,000.00	\$0.00
<b>Brown University</b> 164 Angell Street Providence, RI 02912 <i>for its Annenberg Institute for School Reform's Community Involvement Program</i>	1	\$0.00	\$100,000.00	\$0.00	\$100,000.00	\$0.00
<b>Brown University</b> 164 Angell Street Providence, RI 02912 <i>To its Annenberg Institute for School Reform's Community Involvement Program.</i>	1	\$0.00	\$500,000.00	\$0.00	\$0.00	\$500,000.00
<b>Business Council for International Understanding</b> 1212 Avenue of the Americas 10th Floor New York, New York 10036 <i>For its project, Asian Business Cooperation Program.</i>	2	\$25,000.00	\$0.00	\$0.00	\$25,000.00	\$0.00
<b>Business &amp; Human Rights Resource Centre</b> 235 Cucamonga Avenue Claremont, CA 91711 <i>For consolidation and expansion of its Web site and newsletters on business and human rights.</i>	2	\$0.00	\$100,000.00	\$0.00	\$50,000.00	\$50,000.00
<b>Regents of the University of California</b> 215 Moses Hall #2308 Berkeley, CA 94720-2308 <i>For The War of Ideas: Right Focus, Wrong Strategy, a project of the Berkeley-Duke Center for America's Global Strategic Challenges.</i>	1	\$100,000.00	\$0.00	\$0.00	\$50,000.00	\$50,000.00
<b>Regents of the University of California</b> Office of Research Room 3227, Cheadle Hall Santa Barbara, CA 93106-2050 <i>To support a workshop on Governance for Sustainable development in a World of Rising Interdependencies.</i>	1	\$0.00	\$15,000.00	\$0.00	\$15,000.00	\$0.00
<b>Campaign for Fiscal Equity, Inc.</b> 110 William Street Suite 2601 New York, NY 10038 <i>For general support.</i>	2	\$0.00	\$50,000.00	\$0.00	\$50,000.00	\$0.00

## SCHEDULE OF GRANTS TO ORGANIZATIONS

SCHEDULE 20

FYE12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
<b>Cape Town, University of</b> Private Bag Rondebosch 7701 Cape Town SOUTH AFRICA <i>For a research project on the impact of HIV/AIDS on poverty, migration, and food security in the Western and Eastern Cape provinces to be undertaken by the university's Centre for Social Science Research.</i>	6	\$34,000.00	\$0.00	\$0.00	\$34,000.00	\$0.00
<b>Cape Town, University of</b> Private Bag Rondebosch 7701 Cape Town SOUTH AFRICA <i>To the Centre for Social Science Research for its Democracy in Africa Research Unit's project on AIDS and the quality of democracy in South Africa.</i>	6	\$0.00	\$120,000.00	\$0.00	\$60,000.00	\$60,000.00
<b>Cape Town, University of</b> Private Bag Rondebosch 7701 Cape Town SOUTH AFRICA <i>To its Democracy in Africa Research Unit to provide research skills training for grantees of the Fund.</i>	6	\$0.00	\$50,000.00	\$0.00	\$50,000.00	\$0.00
<b>Cape Town, University of</b> Private Bag Rondebosch 7701 Cape Town SOUTH AFRICA <i>To the Children's Institute for its Caring Schools project.</i>	6	\$0.00	\$140,000.00	\$0.00	\$70,000.00	\$70,000.00
<b>Carnegie Council on Ethics and International Affairs</b> 170 East 64th Street New York, NY 10021-7478 <i>To the further development of the Global Policy Innovations internet gateway on positive alternatives for reinventing globalization.</i>	2	\$0.00	\$150,000.00	\$0.00	\$150,000.00	\$0.00
<b>Carnegie Council on Ethics and International Affairs</b> 170 East 64th Street New York, NY 10021-7478 <i>To its work to develop of the Global Policy Innovations portal Web site.</i>	2	\$0.00	\$150,000.00	\$0.00	\$0.00	\$150,000.00
<b>Carnegie Endowment for International Peace</b> 1779 Massachusetts Avenue, NW Washington, DC 20036 <i>For implementation of its New Vision strategic plan.</i>	2	\$0.00	\$450,000.00	\$0.00	\$0.00	\$450,000.00



## SCHEDULE OF GRANTS TO ORGANIZATIONS

SCHEDULE 2

FYE12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
Catholic Education Trust c/o Catholic Institute of Education P.O. Box 2083 Southdale 2135 Johannesburg SOUTH AFRICA <i>For the Caring Schools Project of its Catholic Institute for Education.</i>	7	\$60,000.00	\$0.00	\$0.00	\$60,000.00	\$0.00
Catholic Education Trust c/o Catholic Institute of Education P.O. Box 2083 Southdale 2135 Johannesburg SOUTH AFRICA <i>for its Catholic Institute of Education's Educational Access Project</i>	7	\$0.00	\$12,000.00	\$0.00	\$12,000.00	\$0.00
Cause Effective, Inc. 505 Eighth Avenue Suite 1212 New York, NY 10018 <i>For capacity-building workshops and consulting to RBF's arts and culture grantees.</i>	2	\$0.00	\$35,000.00	\$0.00	\$35,000.00	\$0.00
CEC Stuyvesant Cove Inc. 24-20 FDR Drive Service Road East New York, NY 10010 <i>To support creation a computer-aided design (CAD) model of the Solar2 project.</i>	2	\$0.00	\$20,000.00	\$0.00	\$20,000.00	\$0.00
Center for American Progress 1333 H Street, NW, 10th Floor Washington, DC 20005 <i>For its Agricultural Subsidies, Trade and Energy Program.</i>	2	\$50,000.00	\$0.00	\$0.00	\$50,000.00	\$0.00
Center for Civil Society Development Protection TPC Kalca BI-43 Obrenoviceva bb Nis, 18000 Serbia and Montenegro <i>For institutional capacity-building.</i>	7	\$140,000.00	\$0.00	\$0.00	\$80,000.00	\$60,000.00
Center for Clean Air Policy 750 First Street, NE Suite 940 Washington, DC 20002 <i>For its work to reduce greenhouse gas emissions.</i>	2	\$75,000.00	\$0.00	\$0.00	\$75,000.00	\$0.00
Center for Community Change 1536 U Street, N.W. Washington, DC 20009 <i>For the FIRM Immigrant Youth program.</i>	2	\$0.00	\$60,000.00	\$0.00	\$20,000.00	\$40,000.00
Center for Community Change 1536 U Street, N.W. Washington, DC 20009 <i>For its Generation Change project.</i>	2	\$0.00	\$60,000.00	\$0.00	\$20,000.00	\$40,000.00

## SCHEDULE OF GRANTS TO ORGANIZATIONS

SCHEDULE 15

FYE12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
Center for Development of Non-Governmental Organizations (CRNVO) Dalmatinska 22 Podgorica Montenegro, SCG <i>For general support.</i>	7	\$20,000.00	\$0.00	\$0.00	\$20,000.00	\$0.00
Center for Governmental Studies 10951 West Pico Boulevard Suite 120 Los Angeles, CA 90064 <i>to its study of public campaign financing systems.</i>	2	\$0.00	\$100,000.00	\$0.00	\$50,000.00	\$50,000.00
Center for Lobbying in the Public Interest 1612 K Street, N.W. Suite 505 Washington, D.C. 20006 <i>For general support.</i>	2	\$0.00	\$90,000.00	\$0.00	\$30,000.00	\$60,000.00
Center for Popular Economics, Inc. PO Box 785 Amherst, MA 01004-0785 <i>To its work on the value of social wealth.</i>	2	\$0.00	\$150,000.00	\$0.00	\$32,500.00	\$117,500.00
Center for the Protection and Research of P.p. 2 81000 Podgorica MONTENEGRO Montenegro <i>Book about Birds.</i>	9	\$0.00	\$5,000.00	\$0.00	\$5,000.00	\$0.00
Center for Public Integrity 910 17th Street, N.W. 7th Floor Washington, DC 20006 <i>For general support.</i>	2	\$0.00	\$50,000.00	\$0.00	\$50,000.00	\$0.00
Center for Regionalism 35 Zeljeznicka Novi Sad SERBIA <i>For general budgetary purposes.</i>	7	\$35,000.00	\$0.00	\$0.00	\$35,000.00	\$0.00
Center for Regionalism 35 Zeljeznicka Novi Sad SERBIA <i>EXIT Festival Programs</i>	7	\$0.00	\$7,500.00	\$0.00	\$7,500.00	\$0.00
Center for Resource Economics 1718 Connecticut Avenue, NW Suite 300 Washington, DC 20009- <i>To support the preparation and publication of Lives Per Gallon.</i>	2	\$0.00	\$50,000.00	\$0.00	\$50,000.00	\$0.00
Center for Resource Solutions Presido Building 49 PO Box 29512 San Francisco, CA 94129 <i>Carbon Neutral Alliance.</i>	2	\$0.00	\$5,000.00	\$0.00	\$5,000.00	\$0.00

## SCHEDULE OF GRANTS TO ORGANIZATIONS

SCHEDULE 20

FYE12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
Center for Strategic and International Studies, Inc. 1800 K Street, NW Suite 400 Washington, DC 20006 <i>For a scoping study to assess initiation of a "next generation" engagement project between the United States and the Islamic Republic of Iran.</i>	2	\$0.00	\$30,000.00	\$0.00	\$30,000.00	\$0.00
Center for Traditional Music and Dance, Inc. 32 Broadway Suite 1314 New York, New York 10004 <i>To establish the Center for Art, Tradition, and Cultural Heritage.</i>	2	\$0.00	\$50,000.00	\$0.00	\$25,000.00	\$25,000.00
Center for U.S. Global Engagement 1220 19th Street, NW, Suite 300 Washington, DC 20036 <i>For general support.</i>	2	\$50,000.00	\$0.00	\$0.00	\$50,000.00	\$0.00
Centre for Conflict Resolution University of Cape Town c/o Rhodes Gift Post Office 7707 Cape Town SOUTH AFRICA <i>For a policy and research workshop for RBF grantees researching links between HIV/AIDS and sustainable development, democratic practice, and peace and security issues called, AIDS and Society: Building a Community of Practice.</i>	7	\$0.00	\$45,000.00	\$0.00	\$45,000.00	\$0.00
Centre for Conflict Resolution University of Cape Town c/o Rhodes Gift Post Office 7707 Cape Town SOUTH AFRICA <i>To its project, HIV/AIDS and Militaries in South Africa and Africa.</i>	7	\$0.00	\$70,000.00	\$0.00	\$70,000.00	\$0.00
Centre for the Study of Violence and Reconciliation P.O. Box 30778 Braamfontein 2017 Johannesburg South Africa <i>For a research project on the impact of HIV/AIDS on the South African Police Service in the Johannesburg area.</i>	7	\$66,000.00	\$0.00	\$0.00	\$66,000.00	\$0.00
Centre for the Study of Violence and Reconciliation P.O. Box 30778 Braamfontein 2017 Johannesburg South Africa <i>To disseminate lessons learned from its research project on HIV/AIDS in the South African Police Service at the XVI International AIDS Conference, and to strengthen staff capacity through networking at the conference.</i>	7	\$0.00	\$5,000.00	\$0.00	\$5,000.00	\$0.00

## SCHEDULE OF GRANTS TO ORGANIZATIONS

SCHEDULE 20

FYE12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
<b>Ceres, Inc</b> 99 Chauncey Street Boston, MA 02111-1703 <i>For its work with institutional investors to advocate for greenhouse gas reductions.</i>	2	\$0.00	\$500,000.00	\$0.00	\$250,000.00	\$250,000.00
<b>chashama, Inc.</b> 201 East 42nd Street 32nd Floor New York, New York 10017 <i>For capacity building.</i>	2	\$35,000.00	\$0.00	\$0.00	\$35,000.00	\$0.00
<b>Chesapeake Climate Action Network</b> P.O. Box 11138 Takoma Park, MD 20912 <i>To the start-up of its U.S. Emergency Climate Council.</i>	2	\$0.00	\$75,000.00	\$0.00	\$75,000.00	\$0.00
<b>Chicago Council on Global Affairs, The</b> 332 South Michigan Avenue, Suite 1100 Chicago, IL 60604-4434 <i>For its project, American Muslims and U.S. Foreign Policy.</i>	2	\$40,000.00	\$0.00	\$0.00	\$40,000.00	\$0.00
<b>Children in Distress Network</b> Post Office Box 157 Pietermaritzburg 3200 SOUTH AFRICA <i>Toward its 10th Anniversary Conference.</i>	7	\$0.00	\$10,000.00	\$0.00	\$10,000.00	\$0.00
<b>Children in Distress Network</b> Post Office Box 157 Pietermaritzburg 3200 SOUTH AFRICA <i>To strengthen the monitoring and evaluation skills of its members.</i>	7	\$0.00	\$70,000.00	\$0.00	\$35,000.00	\$35,000.00
<b>Churches' Center for Theology and Public Policy</b> 4500 Massachusetts Avenue, NW Washington, DC 20016-5632 <i>For the Muslim-Christian Initiative on the Nuclear Weapons Danger.</i>	2	\$50,000.00	\$0.00	\$0.00	\$31,000.00	\$19,000.00
<b>Citizens Organizing Neighborhoods to Regain our Liberation</b> 1599 Central Parkway Cincinnati, OH 45214 USA <i>To assess the feasibility of a youth organizing intermediary or network for the Midwest.</i>	2	\$0.00	\$20,000.00	\$0.00	\$20,000.00	\$0.00
<b>Citizens Union Foundation, Inc., of the City of New York</b> 299 Broadway Suite 700 New York, NY 10007 <i>For its initiative to promote non-partisan redistricting and competitive elections in New York State.</i>	2	\$65,000.00	\$0.00	\$0.00	\$65,000.00	\$0.00

## SCHEDULE OF GRANTS TO ORGANIZATIONS

SCHEDULE 29

FYE12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
<b>City Year, Inc.</b> 285 Columbus Ave. Boston, Massachusetts 02116 <i>to support the City Year South Africa Program and expand the organization's international capacity.</i>	2	\$0.00	\$25,000.00	\$0.00	\$25,000.00	\$0.00
<b>Civic Exchange</b> Hoseinee House, Room 701 69 Wyndham Street Central, Hong Kong P.R. OF CHINA <i>To its project on air quality and public health in Southern China.</i>	7	\$0.00	\$75,000.00	\$0.00	\$75,000.00	\$0.00
<b>Classical Theatre of Harlem, Inc., The</b> 520 Eighth Avenue Suite 313 New York, New York 10018 <i>For capacity building.</i>	2	\$30,000.00	\$0.00	\$0.00	\$30,000.00	\$0.00
<b>Clean Air-Cool Planet, Inc., A Northeast Alliance</b> 100 Market Street, Suite 204 Portsmouth, NH 03831 <i>For general support.</i>	2	\$50,000.00	\$0.00	\$0.00	\$50,000.00	\$0.00
<b>Clean Energy Group</b> 50 State Street Montpelier, VT 05602 <i>For general support.</i>	2	\$100,000.00	\$0.00	\$0.00	\$100,000.00	\$0.00
<b>Climate Change Organisation, The</b> Abbey House Wellington Way Weybridge, Surrey, KT13 OTT UNITED KINGDOM <i>For general support.</i>	7	\$250,000.00	\$0.00	\$0.00	\$250,000.00	\$0.00
<b>Climate Change Organisation, The</b> Abbey House Wellington Way Weybridge, Surrey, KT13 OTT UNITED KINGDOM <i>For the founding of a U.S. office for the organization, and to specific meetings and publications planned for late 2005 and early 2006.</i>	7	\$150,000.00	\$0.00	\$150,000.00	\$0.00	\$0.00
<b>Climate Institute</b> 1785 Massachusetts Ave, NW Washington, DC 20036 <i>To its efforts to encourage small island nations to become clean energy countries.</i>	2	\$75,000.00	\$0.00	\$0.00	\$75,000.00	\$0.00
<b>Club of Madrid Foundation, Inc., The</b> 1135 Tremont Street Suite 920 Boston, Massachusetts 02120 <i>For the design and launch of a Rapid Response Mechanism for Promoting Effective Democratic Leadership.</i>	2	\$0.00	\$200,000.00	\$0.00	\$130,000.00	\$70,000.00

PART XV, Lines 3(a) and 3(b) Grant Contributions Paid During the Year and Approved for Future Payment  
**SCHEDULE OF GRANTS TO ORGANIZATIONS**  
 FYE12/31/2006

**SCHEDULE 20**

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
CNA Corporation 4825 Mark Center Drive Alexandria, VA 22311-1846 <i>To support its Climate Change and National Security project.</i>	2	\$0.00	\$50,000.00	\$0.00	\$50,000.00	\$0.00
Coalition for Women's Economic Development and Global Equality, Inc, The  1825 Connecticut Avenue, NW Suite 800 Washington, DC 20009 <i>For its project, Mobilizing Women for Global Engagement, a collaboration with the Communications Consortium Media Center.</i>	2	\$75,000.00	\$0.00	\$0.00	\$75,000.00	\$0.00
Trustees of Columbia University in the City of New York Office of Research Administration 1210 Amsterdam Avenue Room 254 Engineering Terrace, Mail Code: 2205  New York, NY 10027 <i>For fellowship support for students enrolled in the sustainable development program of the university's Earth Institute.</i>	1	\$80,000.00	\$0.00	\$0.00	\$40,000.00	\$40,000.00
Trustees of Columbia University in the City of New York Office of Research Administration 1210 Amsterdam Avenue Room 254 Engineering Terrace, Mail Code: 2205 New York, NY 10027 <i>For the politics and government coverage of the Graduate School of Journalism's project, CJR Daily.</i>	1	\$150,000.00	\$0.00	\$0.00	\$75,000.00	\$75,000.00
Trustees of Columbia University in the City of New York Office of Research Administration 1210 Amsterdam Avenue Room 254 Engineering Terrace, Mail Code: 2205 New York, NY 10027 <i>To the Columbia School of Journalism's project, the CJR Daily for its coverage of climate issues.</i>	1	\$0.00	\$100,000.00	\$0.00	\$0.00	\$100,000.00

## SCHEDULE OF GRANTS TO ORGANIZATIONS

SCHEDULE 20

FYE12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
<b>Trustees of Columbia University in the City of New York</b> Office of Research Administration 1210 Amsterdam Avenue Room 254 Engineering Terrace, Mail Code: 2205  New York, NY 10027 <i>For the Initiative for Policy Dialogue.</i>	1	\$0.00	\$50,000.00	\$0.00	\$0.00	\$50,000.00
<b>Communications Consortium Media Center</b> 1200 New York Avenue, NW Suite 300 Washington, DC 20005-1754 <i>For its project, Mobilizing Women for Global Engagement Initiative, a collaboration with the Coalition for Women's Economic Development and Global Equality.</i>	2	\$75,000.00	\$0.00	\$0.00	\$75,000.00	\$0.00
<b>Community Center-Bar</b> 55 Jovana Tomasevica 85000 Bar Serbia and Montenegro <i>For core needs and institutional strengthening of itself and three other community centers in Montenegro.</i>	8	\$25,000.00	\$0.00	\$0.00	\$25,000.00	\$0.00
<b>Community Service Society of New York</b> 105 East 22nd Street New York, NY 10010 <i>For a strategic planning process.</i>	2	\$0.00	\$100,000.00	\$0.00	\$50,000.00	\$50,000.00
<b>Conference Board, Inc., The</b> 845 Third Avenue New York, NY 10022-6679 <i>For a project to prepare a handbook for business on practical ways to reduce greenhouse gas emissions.</i>	2	\$50,000.00	\$0.00	\$0.00	\$50,000.00	\$0.00
<b>Consensus Building Institute</b> 238 Main Street, Suite 400 Cambridge, MA 02142 <i>For America's Long-Term Response to Terrorism, its joint project with Search for Common Ground.</i>	2	\$48,000.00	\$0.00	\$0.00	\$48,000.00	\$0.00
<b>Constitution Project, The</b> 1025 Vermont Avenue, N.W. Third Floor Washington, DC 20005 <i>for its Constitutional Conversations program</i>	2	\$0.00	\$15,000.00	\$0.00	\$15,000.00	\$0.00
<b>Constitution Project, The</b> 1025 Vermont Avenue, N.W. Third Floor Washington, DC 20005 <i>For general support.</i>	2	\$0.00	\$50,000.00	\$0.00	\$50,000.00	\$0.00
<b>Consultative Group on Biological Diversity</b> Presidio Building 1016 PO Box 29361 San Francisco, CA 94129-0361 <i>For general support.</i>	2	\$15,000.00	\$0.00	\$0.00	\$15,000.00	\$0.00

## SCHEDULE OF GRANTS TO ORGANIZATIONS

SCHEDULE 2

FYE12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
<b>Consultative Group on Biological Diversity</b> Presido Building 1016 PO Box 29361 San Francisco, CA 94129-0361 <i>For general support and to its funders network on climate and energy.</i>	2	\$0.00	\$85,000.00	\$0.00	\$35,000.00	\$50,000.00
<b>Cordoba Initiative</b> P.O. Box 10600 Aspen, Colorado 81612 <i>For general support.</i>	2	\$0.00	\$30,000.00	\$0.00	\$15,000.00	\$15,000.00
<b>Cornell University</b> Labor Education Research 121 Ives Hall Ithaca, NY 14853-3901 <i>To its Global Labor Institute's Global Labor Task Force on Development Alternatives.</i>	1	\$0.00	\$75,000.00	\$0.00	\$30,000.00	\$45,000.00
<b>Corporate Ethics International</b> 720 SW Washington Street Suite 660 Portland, OR 97205 <i>For a project to develop multisectoral standards for corporate community responsibility in the retailing sector.</i>	2	\$75,000.00	\$0.00	\$0.00	\$75,000.00	\$0.00
<b>Corporate Ethics International</b> 720 SW Washington Street Suite 660 Portland, OR 97205 <i>For general support.</i>	2	\$0.00	\$150,000.00	\$0.00	\$0.00	\$150,000.00
<b>Council on Foreign Relations, Inc.</b> 58 East 68th Street New York, NY 10021 <i>For the conference on "The Emerging Shi'a Crescent: Implications for the Middle East and U.S. Policy."</i>	2	\$0.00	\$15,000.00	\$0.00	\$15,000.00	\$0.00
<b>Council on Foundations, Inc.</b> 1828 L Street, N.W. Washington, DC 20036-5168 <i>general support for 2007</i>	2	\$0.00	\$44,500.00	\$0.00	\$44,500.00	\$0.00
<b>Council on Foundations, Inc.</b> 1828 L Street, N.W. Washington, DC 20036-5168 <i>to support its international programs</i>	2	\$0.00	\$20,000.00	\$0.00	\$20,000.00	\$0.00
<b>Creative Capital Foundation</b> 65 Bleecker Street 7th floor New York, New York 10012 <i>For its Artist Services Program for New York City artists and for its Professional Development Workshop Program in New York City.</i>	2	\$0.00	\$60,000.00	\$0.00	\$60,000.00	\$0.00



## SCHEDULE OF GRANTS TO ORGANIZATIONS

SCHEDULE 20

FYE12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
<b>Crisis Management Initiative</b> Pieni Roobertinkatu 13 B 24-26 Helsinki, 00130 FINLAND <i>To assist the United Nations Office of the Special Envoy for Kosovo (UNOSEK).</i>	9	\$30,000.00	\$0.00	\$0.00	\$30,000.00	\$0.00
<b>Cuban Artists Fund, Inc.</b> 41 Union Square West Room 610 New York, New York 10003 <i>To develop and implement a strategic plan.</i>	2	\$0.00	\$70,000.00	\$0.00	\$35,000.00	\$35,000.00
<b>Cunningham Dance Foundation, Inc.</b> 55 Bethune Street New York, New York 10014 <i>For the cash reserve portion of the organization's fiscal campaign entitled To Merce.</i>	2	\$0.00	\$150,000.00	\$0.00	\$0.00	\$150,000.00
<b>Dance Theater Workshop, Inc.</b> 219 West 19th Street New York, NY 10011-4079 <i>For endowment support.</i>	2	\$0.00	\$250,000.00	\$0.00	\$0.00	\$250,000.00
<b>Danspace Project, Inc.</b> St. Mark's Church in-the-Bowery 131 East 10th Street New York, NY 10003 <i>For the Danspace Project Institutional Leadership Conference to be held at the Pocantico Conference Center on May 6-7, 2006.</i>	2	\$0.00	\$15,000.00	\$0.00	\$15,000.00	\$0.00
<b>Danspace Project, Inc.</b> St. Mark's Church in-the-Bowery 131 East 10th Street New York, NY 10003 <i>To the organization's building reserve fund.</i>	2	\$0.00	\$150,000.00	\$0.00	\$0.00	\$150,000.00
<b>Deep Democracy</b> 5160 E. Bellevue #101 Tucson, AZ 85712 <i>For core support.</i>	2	\$100,000.00	\$0.00	\$0.00	\$50,000.00	\$50,000.00
<b>Democracy Matters Institute, Inc.</b> P.O. Box 157 Hamilton, NY 13346 <i>For general support.</i>	2	\$0.00	\$60,000.00	\$0.00	\$30,000.00	\$30,000.00
<b>Demos: A Network for Ideas &amp; Action, Ltd.</b> 220 Fifth Avenue Fifth Floor New York, NY 10001 <i>For its State Governance for the Future program.</i>	2	\$75,000.00	\$0.00	\$0.00	\$75,000.00	\$0.00
<b>Demos: A Network for Ideas &amp; Action, Ltd.</b> 220 Fifth Avenue Fifth Floor New York, NY 10001 <i>For its Building Movement Project.</i>	2	\$0.00	\$120,000.00	\$0.00	\$60,000.00	\$60,000.00

## SCHEDULE OF GRANTS TO ORGANIZATIONS

SCHEDULE 20

FYE12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
Drawing Center, Inc., The 35 Wooster Street New York, New York 10013 <i>For capacity building.</i>	2	\$50,000.00	\$0.00	\$0.00	\$50,000.00	\$0.00
Dreamyard Drama Project, Inc. 872 Gerard Avenue Bronx, New York 10452 <i>To deepen programs in Bronx School Region One.</i>	2	\$0.00	\$70,000.00	\$0.00	\$35,000.00	\$35,000.00
Earth Island Institute 300 Broadway Suite 28 San Francisco, CA 94133-3312 <i>For its Energy Action project.</i>	2	\$25,000.00	\$0.00	\$0.00	\$25,000.00	\$0.00
Earth Island Institute 300 Broadway Suite 28 San Francisco, CA 94133-3312 <i>For its Energy Action project which organizes and mobilizes 18-35 year olds around reducing greenhouse gas emissions.</i>	2	\$0.00	\$350,000.00	\$0.00	\$175,000.00	\$175,000.00
East West Management Institute, Inc. 575 Madison Avenue, 25th Floor New York, NY 10022 <i>For its Kosovo Community Watch Project.</i>	2	\$0.00	\$100,000.00	\$0.00	\$100,000.00	\$0.00
East West Management Institute, Inc. 575 Madison Avenue, 25th Floor New York, NY 10022 <i>For its Kosovo Community Watch Project.</i>	2	\$0.00	\$50,000.00	\$0.00	\$50,000.00	\$0.00
East West Management Institute, Inc. 575 Madison Avenue, 25th Floor New York, NY 10022 <i>For its project, the Negotiation Awareness Campaign.</i>	2	\$0.00	\$175,000.00	\$0.00	\$175,000.00	\$0.00
Ecologists Linked for Organizing Grassroots Initiatives and Action PO Box 268 4 Mill Street Middlebury, VT 05753 <i>For its Programming in China in 2006.</i>	2	\$35,000.00	\$0.00	\$0.00	\$35,000.00	\$0.00
Ecotrust Canada #200 1238 Homer Street Vancouver, V6B 2Y5, B.C. CANADA <i>For general support.</i>	7	\$300,000.00	\$0.00	\$0.00	\$150,000.00	\$150,000.00
El Barrio's Operation Fightback, Inc. 413 East 120th Street New York, New York 10035 <i>For the predevelopment phase of an affordable artist live/work project in East Harlem.</i>	2	\$0.00	\$35,000.00	\$0.00	\$35,000.00	\$0.00

## SCHEDULE OF GRANTS TO ORGANIZATIONS

SCHEDULE 20

FYE12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
Elijah Interfaith Institute USA Inc. 10 Caspi Street Jerusalem, 93554 Israel <i>For a planning session for its proposed project, Toward a Contemporary Theology of Islam's Religious Other.</i>	2	\$27,500.00	\$0.00	\$0.00	\$27,500.00	\$0.00
Elijah Interfaith Institute USA Inc. 10 Caspi Street Jerusalem, 93554 Israel <i>To accommodate additional participants at the RBF sponsored planning session for the proposed project, Toward a Contemporary Theology of Islam's religious 'Other.'</i>	2	\$0.00	\$7,500.00	\$0.00	\$7,500.00	\$0.00
Elijah Interfaith Institute USA Inc. 10 Caspi Street Jerusalem, 93554 Israel <i>For its project, Toward a Contemporary Theology of Islam's Religious Other.</i>	2	\$0.00	\$400,000.00	\$0.00	\$50,000.00	\$350,000.00
En Foco, Inc. 1738 Hone Avenue Bronx, New York 10461 <i>For general operating support.</i>	2	\$0.00	\$50,000.00	\$0.00	\$25,000.00	\$25,000.00
Eno Transportation Foundation, Inc. 1634 I Street, NW Suite 500 Washington, DC 20006-4003 <i>To support preliminary efforts to organize a commission on national transportation policy.</i>	3	\$0.00	\$15,000.00	\$0.00	\$15,000.00	\$0.00
Enterprise Community Partners, Inc. 80 Fifth Avenue 7th Floor New York, NY 10011-8002 <i>For its Green Communities Initiative.</i>	2	\$100,000.00	\$0.00	\$0.00	\$100,000.00	\$0.00
Enterprising Environmental Solutions, Inc. 22 Terminal Way Pittsburgh, PA 15219-1209 <i>For the work of its Center for Climate Strategies.</i>	2	\$87,100.00	\$0.00	\$0.00	\$87,100.00	\$0.00
Enterprising Environmental Solutions, Inc. 22 Terminal Way Pittsburgh, PA 15219-1209 <i>To support project to explore options for policymaking on global warming.</i>	2	\$0.00	\$50,000.00	\$0.00	\$50,000.00	\$0.00
Enterprising Environmental Solutions, Inc. 22 Terminal Way Pittsburgh, PA 15219-1209 <i>To its Center for Climate Strategies for its project to define the template of a climate plan by distilling the existing state, municipal, and corporate lessons of climate policy.</i>	2	\$0.00	\$350,000.00	\$0.00	\$100,000.00	\$250,000.00

## SCHEDULE OF GRANTS TO ORGANIZATIONS

SCHEDULE 29

FYE12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
<b>Enterprising Environmental Solutions, Inc.</b> 22 Terminal Way Pittsburgh, PA 15219-1209 <i>To its project, the Center for Climate Solutions.</i>	2	\$0.00	\$550,000.00	\$0.00	\$0.00	\$550,000.00
<b>Environmental Leadership Program</b> 1609 Connecticut Avenue NW Suite 400 Washington, DC 20009 <i>To support the Vanderryn Fellowship Initiative of the Environmental Leadership Program.</i>	2	\$0.00	\$10,000.00	\$0.00	\$10,000.00	\$0.00
<b>Essential Information Inc.</b> PO Box 19405 Washington, DC 20036 <i>To support a meeting of NGO experts on the new Internet Governance Forum.</i>	2	\$0.00	\$30,000.00	\$0.00	\$30,000.00	\$0.00
<b>Ethical Certification and Labelling Authentication Project</b> 22, av. des Cerisier CH-1009 Pully Switzerland <i>For general support.</i>	8	\$0.00	\$50,000.00	\$0.00	\$50,000.00	\$0.00
<b>European Stability Initiative</b> Kieffholzstr. 402 12435 Berlin GERMANY <i>For a project of technical assistance to the newly-established Kosovo Stability Initiative.</i>	7	\$20,000.00	\$0.00	\$0.00	\$20,000.00	\$0.00
<b>European Stability Initiative</b> Kieffholzstr. 402 12435 Berlin GERMANY <i>For general support.</i>	7	\$0.00	\$75,000.00	\$0.00	\$50,000.00	\$25,000.00
<b>Exit Art - The First World, Inc.</b> 475 Tenth Avenue New York, New York 10018 <i>For the organization's working capital cash reserve.</i>	2	\$0.00	\$150,000.00	\$0.00	\$0.00	\$150,000.00
<b>Focus Project</b> 1742 Connecticut Avenue, N.W. Washington, DC 20009 <i>For its project, NPAction.</i>	2	\$40,000.00	\$0.00	\$0.00	\$40,000.00	\$0.00
<b>Footsteps</b> 23 Acacia Road Glenwood Durban 4001 South Africa <i>To support grantees in the KwaZulu-Natal Province.</i>	8	\$0.00	\$50,000.00	\$0.00	\$50,000.00	\$0.00
<b>Foreign Policy Research Institute</b> 1528 Walnut Street, Suite 610 Philadelphia, PA 19102 <i>For seminars of the Project on Democratic Transitions.</i>	2	\$0.00	\$25,000.00	\$0.00	\$25,000.00	\$0.00

## SCHEDULE OF GRANTS TO ORGANIZATIONS

FYE12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
<b>Forest Ethics</b> 1 Haight Street San Francisco, CA 94102 <i>For its efforts to encourage corporate consumers to purchase Chilean forest products that come from sustainably managed sources.</i>	2	\$60,000.00	\$0.00	\$0.00	\$60,000.00	\$0.00
<b>Forest Ethics</b> 1 Haight Street San Francisco, CA 94102 <i>For its sustainable forestry program.</i>	2	\$75,000.00	\$0.00	\$0.00	\$75,000.00	\$0.00
<b>Forest Ethics</b> 1 Haight Street San Francisco, CA 94102 <i>To support a forest stewardship camp for Heiltsuk youth participants.</i>	2	\$0.00	\$5,000.00	\$0.00	\$5,000.00	\$0.00
<b>Forest Ethics</b> 1 Haight Street San Francisco, CA 94102 <i>To its efforts to build market demand for FSC certified forest products in the United States, Canada, and Chile.</i>	2	\$0.00	\$365,000.00	\$0.00	\$150,000.00	\$215,000.00
<b>Forum on Democracy and Trade</b> 386 Pacific Street Brooklyn, NY 11217 <i>For general support.</i>	2	\$0.00	\$300,000.00	\$0.00	\$150,000.00	\$150,000.00
<b>Foundation Center</b> 79 Fifth Avenue, 8th Floor New York, NY 10003 <i>general support for 2007</i>	2	\$0.00	\$30,000.00	\$0.00	\$30,000.00	\$0.00
<b>Foundation for Dance Promotion</b> 27 West 120th Street #1 New York, New York 10027 <i>For outreach and education programs related to the organization's new space.</i>	2	\$0.00	\$100,000.00	\$0.00	\$50,000.00	\$50,000.00
<b>Foundation for Democratic Initiatives, The</b> Besim Bishtazhini, No. 12 Gjakova KOSOVO <i>For general support.</i>	7	\$135,000.00	\$0.00	\$0.00	\$75,000.00	\$60,000.00
<b>Foundation for Filipino Artists, Inc., The</b> 34-67 60th Street Woodside, NY 11377 <i>To support hiring of part-time staff for the after school youth program.</i>	2	\$0.00	\$15,000.00	\$0.00	\$15,000.00	\$0.00
<b>Foundry Theatre, Inc., The</b> 140-142 Second Avenue Suite 405 New York, NY 10003 <i>For an institutional leadership conference to be held at the Pocantico Conference Center on September 9-10, 2006.</i>	2	\$0.00	\$15,000.00	\$0.00	\$15,000.00	\$0.00

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
<b>Free Press</b> 100 Main Street P.O. Box 28 Northampton, MA 01061 <i>For general support.</i>	2	\$0.00	\$75,000.00	\$0.00	\$75,000.00	\$0.00
<b>Friends of Hudson River Park, Inc.</b> 311 West 43rd Street Suite 300 New York, NY 10036 <i>For a strategic planning process.</i>	2	\$0.00	\$20,000.00	\$0.00	\$20,000.00	\$0.00
<b>Fund for the City of New York, Inc.</b> 121 Avenue of the Americas New York, NY 10013 <i>To pilot The Cultural Enterprise Suite.</i>	2	\$0.00	\$125,000.00	\$0.00	\$125,000.00	\$0.00
<b>Future of Music Coalition, Inc.</b> 1325 13th Street NW Suite 34 Washington, DC 20005 <i>For its project, Outreach Initiative</i>	2	\$0.00	\$22,500.00	\$0.00	\$22,500.00	\$0.00
<b>George Washington University</b> 2129 Eye Street, N.W. Suite 813 Washington, DC 20052 <i>For its Forward Engagement Program.</i>	1	\$40,000.00	\$0.00	\$0.00	\$40,000.00	\$0.00
<b>George Washington University</b> 2129 Eye Street, N.W. Suite 813 Washington, DC 20052 <i>For its project, Young Voter Strategies.</i>	1	\$0.00	\$20,000.00	\$0.00	\$20,000.00	\$0.00
<b>German Marshall Fund of the U.S.</b> 1744 R Street, NW Washington, DC 20009 <i>For its project, the Balkan Trust for Democracy.</i>	2	\$50,000.00	\$0.00	\$0.00	\$0.00	\$50,000.00
<b>German Marshall Fund of the U.S.</b> 1744 R Street, NW Washington, DC 20009 <i>To support the development of civil society.</i>	2	\$0.00	\$25,000.00	\$0.00	\$25,000.00	\$0.00
<b>Global Community Initiatives, Inc.</b> 12 Parkside Drive Montpelier, VT 05602 <i>In renewed support for its Diepsloot Bikes Project in South Africa.</i>	2	\$0.00	\$85,000.00	\$0.00	\$42,000.00	\$43,000.00
<b>Global Fairness Initiative, The</b> 410 First Street, SE Suite 300 Washington, DC 20003 <i>For general support.</i>	2	\$0.00	\$50,000.00	\$0.00	\$50,000.00	\$0.00

## SCHEDULE OF GRANTS TO ORGANIZATIONS

SCHEDULE 20

FYE12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
Global Greengrants Fund 2840 Wilderness Place, Suite A Boulder, Colorado 80301 <i>For its regranting program that supports nongovernmental organizations in China.</i>	2	\$0.00	\$225,000.00	\$0.00	\$50,000.00	\$175,000.00
Global Justice, Inc. 1225 Connecticut Avenue, NW, Suite 401 Washington, DC 20036 <i>For general support.</i>	2	\$75,000.00	\$0.00	\$0.00	\$75,000.00	\$0.00
Global Kids, Inc. 561 Broadway 6th Floor New York, NY 10012 <i>To its program, U.S. in the World: A Global Citizenship Initiative for Young People.</i>	2	\$40,000.00	\$0.00	\$0.00	\$40,000.00	\$0.00
Global Partnerships 909 NE Boat Street, Suite 200 Seattle, WA 98105-6603 <i>For its Initiative on Global Development.</i>	2	\$50,000.00	\$0.00	\$0.00	\$50,000.00	\$0.00
Global Public Affairs Center 420 Lexington Avenue, Suite 300 New York, New York 10170 <i>For a feasibility study of its proposed project, New Models for Global Citizenship.</i>	2	\$50,000.00	\$0.00	\$0.00	\$50,000.00	\$0.00
God's Golden Acre 9 Killarney Valley Road P.O. Box 331 Cato Ridge 3680, KwaZulu-Natal SOUTH AFRICA <i>To further the outreach efforts of its Geinosapho Project.</i>	7	\$54,000.00	\$0.00	\$0.00	\$54,000.00	\$0.00
Government Accountability Project, Inc. 1612 K Street, NW, Suite 1100 Washington, DC 20006 <i>to support its Climate Science Watch project</i>	2	\$0.00	\$25,000.00	\$0.00	\$25,000.00	\$0.00
Grantmakers for Effective Organizations 1413 K Street, N.W. 2nd Floor Washington, D.C. 20005 <i>general support for 2007</i>	2	\$0.00	\$4,500.00	\$0.00	\$4,500.00	\$0.00
Grantmakers in the Arts 604 West Galer Street Seattle, WA 98119-3253 <i>For general support.</i>	2	\$0.00	\$900.00	\$0.00	\$900.00	\$0.00
Grants Managers Network, Inc. 141 Homestead Avenue Metairie, LA 70005 <i>general support for 2007</i>	4	\$0.00	\$800.00	\$0.00	\$800.00	\$0.00

PART XV, Lines 3(a) and 3(b) Grants Contributions Paid During the Year and Approved for Future Payment  
**SCHEDULE OF GRANTS TO ORGANIZATIONS**  
 FYE12/31/2006

**SCHEDULE 20**

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
<b>Green Guerillas, Inc.</b> 214 West 29th Street 5th Floor New York, NY 10001 <i>Towards the organization's technical assistance, education and community organizing work.</i>	2	\$0.00	\$10,000.00	\$0.00	\$10,000.00	\$0.00
<b>Green Home</b> Radosava Burica 31 81000 Podgorica MONTENEGRO <i>For a sustainable development project for Sasko Lake.</i>	7	\$40,000.00	\$0.00	\$0.00	\$20,000.00	\$20,000.00
<b>Green Network of Vojvodina</b> Heroja Pinkija 32 Vojvodina Novi Sad, 21000 SERBIA <i>For general support</i>	8	\$55,000.00	\$0.00	\$0.00	\$0.00	\$55,000.00
<b>Greenpeace Fund</b> 702 H Street, NW Suite 300 Washington, DC 20001 <i>For its project, The Clean Water Campaign.</i>	2	\$0.00	\$150,000.00	\$0.00	\$75,000.00	\$75,000.00
<b>Groundswell Community Mural Project Inc.</b> 339 Douglass Street Brooklyn, NY 11217 <i>To support the creation of the new staff position of program director, beginning in 2007.</i>	2	\$0.00	\$20,000.00	\$0.00	\$20,000.00	\$0.00
<b>Guangxi Academy of Social Sciences</b> No. 5 Xinzhu Road Nanning, Guangxi 530022 China <i>To the Western Guangxi Manganese Mining Environmental Pollution and Minority Health Research Project of the academy's Ethnic Minority Economic Development Institute.</i>	6	\$0.00	\$80,000.00	\$0.00	\$40,000.00	\$40,000.00
<b>Guangxi Poverty Alleviation Office</b> 21 Minzu Road Nanning, Guangxi 530026 China <i>For the Hualan Yao Ethnic Community-based Ethnological Museum project.</i>	6	\$0.00	\$40,000.00	\$0.00	\$40,000.00	\$0.00
<b>President and Fellows of Harvard College</b> Cambridge, MA 02138 <i>Project on the status of trade unions in the Muslim world.</i>	1	\$0.00	\$40,000.00	\$0.00	\$40,000.00	\$0.00
<b>President and Fellows of Harvard College</b> Cambridge, MA 02138 <i>For its Graduate School of Education's project on trust and mistrust in contemporary American society, particularly as it is manifest among young persons.</i>	1	\$0.00	\$225,000.00	\$0.00	\$75,000.00	\$150,000.00



## SCHEDULE OF GRANTS TO ORGANIZATIONS

SCHEDULE 25

FYE12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
Heartbeat Centre for Community Development 7 P.O. Box 72294 Lynwood Ridge 0040 South Africa <i>For capacity building.</i>		\$0.00	\$42,000.00	\$0.00	\$42,000.00	\$0.00
Hesperian Foundation 2 1919 Addison Street, Suite 304 Berkeley, California 94704 <i>To its project A Community Guide to Environmental Health.</i>		\$0.00	\$40,000.00	\$0.00	\$40,000.00	\$0.00
Highlander Research and Education Center, Inc. 2 1959 Highlander Way New Market, TN 37820 <i>For its Young and the Restless program.</i>		\$10,000.00	\$0.00	\$0.00	\$10,000.00	\$0.00
Human Rights Committee Vranje 7 K.S. Prvovenanog br.99 Vranje Serbia <i>For general support.</i>		\$15,000.00	\$0.00	\$0.00	\$15,000.00	\$0.00
Human Rights Committee Vranje 7 K.S. Prvovenanog br.99 Vranje Serbia <i>For its project, Facing the Future.</i>		\$0.00	\$35,000.00	\$0.00	\$35,000.00	\$0.00
Human Sciences Research Council 6 Private Bag X41 Pretoria 0001 SOUTH AFRICA <i>For a series of activities that will inform the planning and design of a large-scale effort to assist children and families in communities affected by HIV/AIDS.</i>		\$56,000.00	\$0.00	\$0.00	\$56,000.00	\$0.00
Humanitarian and Charitable Society of Kosova - Mother Theresa 7 SH.H.B.K "Nena Tereze" Rr " Agim Ramadani" p.n 38000-Prishtine KOSOVA <i>For a small grants fund for initiatives linking people in Serbia and Kosovo.</i>		\$0.00	\$75,000.00	\$0.00	\$75,000.00	\$0.00
Ikamva Labantu 7 P.O. Box 165 Cape Town 8000 SOUTH AFRICA <i>For its Ithemba Labantwana project.</i>		\$120,000.00	\$0.00	\$0.00	\$0.00	\$120,000.00
Independent Media Institute 2 77 Federal St San Francisco, CA 94107 <i>For its project, WireTap.</i>		\$0.00	\$75,000.00	\$0.00	\$25,000.00	\$50,000.00
Independent Sector 2 1200 Eighteenth Street, NW Suite 200 Washington, DC 20036 <i>general support for 2007</i>		\$0.00	\$12,200.00	\$0.00	\$12,200.00	\$0.00

## SCHEDULE OF GRANTS TO ORGANIZATIONS

SCHEDULE 20

FYE12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
INFACIT dba Corporate Accountability International Campaign headquarters 46 Plympton Street Boston, MA 02118 <i>For it's project, C-Change: Regaining People's Power Over Corporations.</i>	2	\$0.00	\$50,000.00	\$0.00	\$0.00	\$50,000.00
Infinite Family 454 West 46th Street #4DS New York, NY 10036 <i>For its Infinite Family Interactive project.</i>	2	\$50,000.00	\$0.00	\$0.00	\$50,000.00	\$0.00
Institute for Agriculture and Trade Policy 2105 First Avenue South Minneapolis, MN 55404-2505 <i>For general support.</i>	2	\$0.00	\$10,000.00	\$0.00	\$10,000.00	\$0.00
Institute for Agriculture and Trade Policy 2105 First Avenue South Minneapolis, MN 55404-2505 <i>For general support.</i>	2	\$0.00	\$300,000.00	\$0.00	\$100,000.00	\$200,000.00
Institute for Democracy in South Africa Box 56950 Arcadia 0007 SOUTH AFRICA <i>For a project to help build HIV/AIDS-resilient political party systems in South Africa through research, training, policy dialogue, and civic interaction.</i>	7	\$0.00	\$140,000.00	\$0.00	\$70,000.00	\$70,000.00
Institute for Democracy in South Africa Box 56950 Arcadia 0007 SOUTH AFRICA <i>To disseminate lessons learned from its research project on HIV/AIDS and democratic practice at the XVI International AIDS Conference and to strengthen staff capacity through networking at the conference.</i>	7	\$0.00	\$5,000.00	\$0.00	\$5,000.00	\$0.00
Institute for Democracy in South Africa Box 56950 Arcadia 0007 SOUTH AFRICA <i>To provide advocacy skills training for grantees of the Fund.</i>	7	\$0.00	\$58,000.00	\$0.00	\$58,000.00	\$0.00
Institute for EastWest Studies, Inc. 700 Broadway, 2nd Floor New York, NY 10003 <i>To enhance the institutional capacity and programming of the Institute's Center for Border Cooperation.</i>	2	\$75,000.00	\$0.00	\$0.00	\$75,000.00	\$0.00
Institute for EastWest Studies, Inc. 700 Broadway, 2nd Floor New York, NY 10003 <i>For its role as secretariat for a joint funder/NGO project on changing Americans' perceptions of Islam and Muslims.</i>	2	\$75,000.00	\$0.00	\$0.00	\$75,000.00	\$0.00

## SCHEDULE OF GRANTS TO ORGANIZATIONS

SCHEDULE 25

FYE12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
<b>Institute for Governance and Sustainable Development, Inc.</b> 2141 Wisconsin Ave., NW, Suite 2-D Washington, DC 20007 <i>For research and dissemination to parliamentary representatives and for new work on crafting an alternative narrative for trade and globalization.</i>	2	\$0.00	\$100,000.00	\$0.00	\$50,000.00	\$50,000.00
<b>Institute for International Economics</b> 1750 Massachusetts Avenue, NW Washington, DC 20036-1903 <i>For its project to expand the role of key developing countries in the governance of the world economy.</i>	2	\$50,000.00	\$0.00	\$0.00	\$50,000.00	\$0.00
<b>Institute for Policy Studies</b> 1112 16th Street, NW Suite 600 Washington, D.C. 20036 <i>To the Debt Boomerang Project: Building U.S. Support for Economic Justice in the Global South.</i>	2	\$0.00	\$50,000.00	\$0.00	\$50,000.00	\$0.00
<b>Institute for Public Accuracy</b> 65 Ninth Street, Suite 3 San Francisco, CA 94103 <i>For general support.</i>	2	\$0.00	\$25,000.00	\$0.00	\$25,000.00	\$0.00
<b>Institute for Security Studies</b> P.O. Box 1787 Brooklyn Square 0075 SOUTH AFRICA <i>To disseminate lessons learned from its research project on HIV/AIDS and the military at the XVI International AIDS Conference and to strengthen staff capacity through networking at the conference.</i>	7	\$0.00	\$5,000.00	\$0.00	\$5,000.00	\$0.00
<b>Institute for Security Studies</b> P.O. Box 1787 Brooklyn Square 0075 SOUTH AFRICA <i>To its project on HIV/AIDS and militaries in Africa.</i>	7	\$0.00	\$120,000.00	\$0.00	\$60,000.00	\$60,000.00
<b>Institute for Sustainable Communities</b> 535 Stone Cutters Way Montpelier, VT 05602-3795 <i>For staff development and institution strengthening in support of civic engagement and sustainable development in two of the Fund's Pivotal Places, Serbia, Montenegro, Kosovo, and Southern China.</i>	2	\$0.00	\$225,000.00	\$0.00	\$68,600.00	\$156,400.00
<b>Institute of Social and Ethical Accountability</b> Unit A 137 Shepherdess Walk London, N1 7RQ United Kingdom <i>For a project to launch, further develop, and test proposals for partnership governance and accountability.</i>	7	\$0.00	\$225,000.00	\$0.00	\$125,000.00	\$100,000.00

## SCHEDULE OF GRANTS TO ORGANIZATIONS

SCHEDULE 2u

FYE12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
<b>Interchurch Center, The</b> 475 Riverside Drive Room 253 New York, NY 10115 <i>For an international conference, Women in Religion in the 21st Century.</i>	2	\$0.00	\$10,000.00	\$0.00	\$10,000.00	\$0.00
<b>Interfaith Youth Core</b> 1111 North Wells Street Suite #501 Chicago, Illinois 60610 <i>For general support.</i>	2	\$50,000.00	\$0.00	\$0.00	\$50,000.00	\$0.00
<b>International Council for Local Environmental Initiatives U.S.A. Inc.</b> 436 14th Street Suite 1520 Oakland, CA 94612 <i>For its communications program to amplify the voice of U.S. mayors on climate policy in the United States.</i>	2	\$0.00	\$400,000.00	\$0.00	\$400,000.00	\$0.00
<b>International Council for Local Environmental Initiatives U.S.A. Inc.</b> 436 14th Street Suite 1520 Oakland, CA 94612 <i>To support the development of a Web site to support mayoral action on reducing greenhouse gas emissions.</i>	2	\$0.00	\$50,000.00	\$0.00	\$50,000.00	\$0.00
<b>International Council for Local Environmental Initiatives U.S.A. Inc.</b> 436 14th Street Suite 1520 Oakland, CA 94612 <i>To its project to begin mining and communicating lessons from municipal action to reduce GHG emissions.</i>	2	\$0.00	\$75,000.00	\$0.00	\$0.00	\$75,000.00
<b>International Forum on Globalization</b> 1009 General Kennedy Avenue #2 San Francisco, CA 94129 <i>For its efforts to engage with the European Parliament and European NGOs on positive alternatives to the current model of economic globalization.</i>	2	\$75,000.00	\$0.00	\$0.00	\$75,000.00	\$0.00
<b>International Forum on Globalization</b> 1009 General Kennedy Avenue #2 San Francisco, CA 94129 <i>For general support.</i>	2	\$0.00	\$150,000.00	\$0.00	\$0.00	\$150,000.00
<b>International Institute for Mediation and Historical Conciliation, Inc.</b> 18 Elba Street Brookline, Massachusetts 02446 <i>For institutional planning and capacity building.</i>	2	\$0.00	\$25,000.00	\$0.00	\$25,000.00	\$0.00

## SCHEDULE OF GRANTS TO ORGANIZATIONS

SCHEDULE 20

FYE12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
<b>International Institute for Sustainable Development</b> 161 Portage Avenue East 6th Floor Winnipeg, Manitoba R3B 0Y4 Canada <i>For its efforts to provide direct legal and policy advice to developing countries on cross-border investment issues.</i>	7	\$100,000.00	\$0.00	\$0.00	\$0.00	\$100,000.00
<b>Internews Interactive, Inc.</b> 10 Quail Way San Anselmo, CA 94960 <i>For the development of its RedBlue project.</i>	2	\$0.00	\$66,000.00	\$0.00	\$66,000.00	\$0.00
<b>Isandla Institute</b> P.O. Box 12263 Mill Street Gardens 8010 Cape Town SOUTH AFRICA <i>For a research project on HIV/AIDS and urban development.</i>	8	\$0.00	\$140,000.00	\$0.00	\$70,000.00	\$70,000.00
<b>Islamic Society of North America Inc.</b> 6555 South 750 East P.O. Box 38 Plainfield, IN 46168 <i>For the Muslim-Christian Initiative on the Nuclear Weapons Danger.</i>	2	\$50,000.00	\$0.00	\$0.00	\$0.00	\$50,000.00
<b>Jewish Fund for Justice, Inc.</b> 330 Seventh Avenue Suite 1401 New York, NY 10001 <i>For the NYC Flex Fund project of JFJ's Funders Collaborative on Youth Organizing.</i>	2	\$25,000.00	\$0.00	\$0.00	\$0.00	\$25,000.00
<b>Jewish Fund for Justice, Inc.</b> 330 Seventh Avenue Suite 1401 New York, NY 10001 <i>For its project, the Funders Collaborative on Youth Organizing.</i>	2	\$0.00	\$375,000.00	\$0.00	\$125,000.00	\$250,000.00

## SCHEDULE OF GRANTS TO ORGANIZATIONS

## SCHEDULE 20

FYE12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
<b>JIA</b> 15-a-1106, mingpu garden Xiangpu Street Dongpu County Guangzhou People's Republic of China <i>To support a conference in Guilin, Guangxi, and a workshop in Zhanjiang, Guangdong, organized by JIA</i>	9	\$0.00	\$10,000.00	\$0.00	\$10,000.00	\$0.00
<b>Johns Hopkins University</b> 1700 Massachusetts Avenue, NW Washington, DC 20036-1984 <i>To the Islamic-World Training Initiative of the International Reporting Project of the University's School of Advanced International Studies.</i>	1	\$0.00	\$250,000.00	\$0.00	\$0.00	\$250,000.00
<b>Johns Hopkins University</b> Wyman Building 3400 N. Charles Street Baltimore, MD 21218-2696 <i>For the Listening Post Project of its Institute for Policy Studies.</i>	1	\$60,000.00	\$0.00	\$0.00	\$60,000.00	\$0.00
<b>Joyce Theatre Foundation, Inc.</b> 175 Eighth Avenue New York, NY 10011 <i>For the Joyce SoHo Residency Program and Subsidized Rehearsal Studio Program.</i>	2	\$0.00	\$50,000.00	\$0.00	\$0.00	\$50,000.00
<b>Judson Memorial Church</b> 55 Washington Square South New York, NY 10012 <i>For New York Jobs with Justice's ReDefining Economic Development NYC initiative.</i>	1	\$0.00	\$30,000.00	\$0.00	\$30,000.00	\$0.00
<b>King Baudouin Foundation United States, Inc.</b> 10 Rockefeller Plaza, 16th floor New York, NY 10020 <i>To support efforts by the 2020 Fund to design and build a new social enterprise committed to achieving a sustainable world.</i>	2	\$0.00	\$25,000.00	\$0.00	\$25,000.00	\$0.00
<b>Kings Majestic Corporation</b> c/o 651 ARTS 651 Fulton Street Brooklyn, New York 11217 <i>For its artist residency program.</i>	2	\$0.00	\$50,000.00	\$0.00	\$0.00	\$50,000.00
<b>Kosova Women's Network</b> Rruga Agim Remadani Nr. 50/8 Prishtina Kosova <i>For general support.</i>	7	\$0.00	\$60,000.00	\$0.00	\$30,000.00	\$30,000.00
<b>Kosovar Institute for Policy Research and Development</b> Bregu i Diellit, Zona Perendimore, Rr. III, 39 Pristina UNMIK, KOSOVO <i>For general support.</i>	8	\$30,000.00	\$0.00	\$0.00	\$30,000.00	\$0.00
<b>Kosovar Research and Documentation Institute</b>	7	\$0.00	\$50,000.00	\$0.00	\$25,000.00	\$25,000.00

## SCHEDULE OF GRANTS TO ORGANIZATIONS

SCHEDULE 20

FYE12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
Deshmoret e Kombit 4 Nr. 4 Prishtina, Kosova Serbia and Montenegro <i>For general support.</i>						
Kosovar Stability Initiative Dardania R.B. 1/1-5 Prishtine, 10000 Kosove (Kosovo) <i>For general support.</i>	8	\$20,000.00	\$0.00	\$0.00	\$20,000.00	\$0.00

## SCHEDULE OF GRANTS TO ORGANIZATIONS

SCHEDULE 20

FYE12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
<b>KwaZulu-Natal, University of</b> Education Building Private Bag X01 Scottsville, Pietermaritzburg 3201 Durban SOUTH AFRICA <i>For the rapid teacher training program of the university's School of Education, Training, and Development.</i>	6	\$100,000.00	\$0.00	\$0.00	\$100,000.00	\$0.00
<b>KwaZulu-Natal, University of</b> Education Building Private Bag X01 Scottsville, Pietermaritzburg 3201 Durban SOUTH AFRICA <i>For its Health, Economics and HIV/AIDS Research Division for work related to the impact of HIV/AIDS on democracy and governance.</i>	6	\$66,000.00	\$0.00	\$0.00	\$66,000.00	\$0.00
<b>La Fuente, A Tri-State Worker &amp; Community Fund, Inc.</b> 101 Avenue of the Americas 18th Floor New York, NY 10013 <i>For general support.</i>	2	\$50,000.00	\$0.00	\$0.00	\$50,000.00	\$0.00
<b>La MaMa Experimental Theatre Club, Inc.</b> 74A East 4th Street New York, New York 10003 <i>To implement strategic plan goals and objectives.</i>	2	\$0.00	\$80,000.00	\$0.00	\$40,000.00	\$40,000.00
<b>League of Young Voters Education Fund</b> 45 Main Street Suite 628 Brooklyn, NY 11201 <i>For general support.</i>	2	\$120,000.00	\$0.00	\$0.00	\$120,000.00	\$0.00
<b>League of Young Voters Education Fund</b> 45 Main Street Suite 628 Brooklyn, NY 11201 <i>For its project, the Generational Alliance.</i>	2	\$0.00	\$500,000.00	\$0.00	\$150,000.00	\$350,000.00
<b>Learning Leaders, Inc.</b> 80 Maiden Lane Suite 1100 New York, NY 10038-4811 <i>To assist implementation of the organization's strategic plan.</i>	2	\$0.00	\$70,000.00	\$0.00	\$35,000.00	\$35,000.00
<b>Legal Resources Trust</b> P.O. Box 9495 Johannesburg 2000 SOUTH AFRICA <i>For the Children's Rights project of the Trust's Legal Resources Centre.</i>	7	\$60,000.00	\$0.00	\$0.00	\$60,000.00	\$0.00



## SCHEDULE OF GRANTS TO ORGANIZATIONS

SCHEDULE 20

FYE12/31/2006

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<b>Link Media, Inc.</b> 901 Battery Street, Suite 308 San Francisco, CA 94111 <i>For its programming on Islam, Muslim societies, and U.S. engagement with the Muslim world.</i>	2	\$300,000.00	\$0.00	\$0.00	\$150,000.00	\$150,000.00
<b>Living Enterprises, Inc.</b> PO Box 20053 Stanford, CA <i>as a contribution to their program, Learning Enterprises, that promotes cross-cultural student exchanges.</i>	2	\$0.00	\$2,500.00	\$0.00	\$2,500.00	\$0.00
<b>Location One, Inc.</b> 26 Greene Street New York, New York 10013 <i>To its residency program for New York City-based artists.</i>	2	\$0.00	\$50,000.00	\$0.00	\$0.00	\$50,000.00
<b>London School of Economics and Political Science</b> Houghton Street London, WC2 2AE UNITED KINGDOM <i>For the assistance that the school is providing to the emerging Citizens Campaign Against Terror.</i>	6	\$50,000.00	\$0.00	\$50,000.00	\$0.00	\$0.00
<b>London School of Economics and Political Science</b> Houghton Street London, WC2 2AE UNITED KINGDOM <i>To support a program of work at its Centre for the Study of Global Governance which aims to lay a theoretical and practical basis for thinking about democracy in governance at the global level.</i>	6	\$0.00	\$50,000.00	\$0.00	\$50,000.00	\$0.00
<b>Lower East Side Tenement Museum</b> 91 Orchard Street New York, NY 10002 <i>For the endowment portion of its capital campaign.</i>	2	\$125,000.00	\$0.00	\$0.00	\$125,000.00	\$0.00
<b>Lower Manhattan Cultural Council, Inc.</b> 125 Maiden Lane 2nd Floor New York, New York 10038 <i>For its artist workspace programs.</i>	2	\$0.00	\$50,000.00	\$0.00	\$0.00	\$50,000.00
<b>Make the Road by Walking</b> 301 Grove Street Brooklyn, NY 11237 <i>For the Urban Youth Collaborative.</i>	2	\$100,000.00	\$0.00	\$0.00	\$100,000.00	\$0.00
<b>Make the Road by Walking</b> 301 Grove Street Brooklyn, NY 11237 <i>For general support.</i>	2	\$0.00	\$75,000.00	\$0.00	\$25,000.00	\$50,000.00
<b>Massachusetts Institute of Technology</b> Cambridge, MA 02139 <i>For The Audit of Conventional Wisdom in U.S. Foreign Policy, a project of the university's</i>	1	\$120,000.00	\$0.00	\$0.00	\$60,000.00	\$60,000.00

## SCHEDULE OF GRANTS TO ORGANIZATIONS

SCHEDULE 20

FYE12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
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*Center for International Studies.*

## SCHEDULE OF GRANTS TO ORGANIZATIONS

SCHEDULE 20

FYE12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
Massachusetts Institute of Technology Cambridge, MA 02139 <i>For its Security Studies Program's planning of an international Muslim-Christian dialogue on the moral status of nuclear weapons.</i>	1	\$0.00	\$55,000.00	\$0.00	\$55,000.00	\$0.00
Media and Reform Centre Nis Obrenoviceva 38, 18000 Nis Serbia <i>For its project, Promoting a Dialogue.</i>	8	\$0.00	\$65,000.00	\$0.00	\$65,000.00	\$0.00
Media Centar Nis Obrenoviceva 38 18000 Nis Serbia and Montenegro <i>For a project to produce a weekly video newsletter publicizing the efforts and achievements of local NGOs in southeast Serbia.</i>	9	\$30,000.00	\$0.00	\$0.00	\$30,000.00	\$0.00
Media in Education Trust P.O. Box 35677 Northway 4065 SOUTH AFRICA <i>For capacity building.</i>	7	\$0.00	\$44,000.00	\$0.00	\$44,000.00	\$0.00
Mediators Foundation, Inc. 9 Bowser Road Lexington, MA 02173 <i>For its Abraham Path Initiative.</i>	2	\$0.00	\$100,000.00	\$0.00	\$50,000.00	\$50,000.00
Meet the Composer, Inc. 75 Ninth Avenue Floor 3R, Suite C New York, NY 10011 <i>For Commissioning Music/NYC.</i>	2	\$50,000.00	\$0.00	\$0.00	\$50,000.00	\$0.00
Meridian Institute PO Box 1829 105 Village Place Dillon, CO 80435 <i>For a feasibility assessment of a global conference on climate change.</i>	2	\$0.00	\$50,000.00	\$0.00	\$50,000.00	\$0.00
Meridian Institute PO Box 1829 105 Village Place Dillon, CO 80435 <i>for Phase Two of a feasibility assessment of a global conference on climate change.</i>	2	\$0.00	\$50,000.00	\$0.00	\$50,000.00	\$0.00
Mount Holyoke College South Hadley, MA 01075-1496 <i>To support conference on New Global Realities: Winners and Losers from Offshore Outsourcing.</i>	1	\$0.00	\$25,000.00	\$0.00	\$25,000.00	\$0.00
Movement Strategy Center 1611 Telegraph Avenue Suite 510 Oakland, CA 94612 <i>For its project, Building Leaders Organizing Communities.</i>	2	\$0.00	\$95,000.00	\$0.00	\$35,000.00	\$60,000.00

## SCHEDULE OF GRANTS TO ORGANIZATIONS

SCHEDULE 20

FYE12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
Moving Image, Inc., The 209 West Houston Street New York, NY 10014 <i>For the endowment portion of its Campaign for the Future.</i>	2	\$125,000.00	\$0.00	\$0.00	\$125,000.00	\$0.00
Museum for African Art 36-01 43rd Avenue Long Island City, NY 11101 <i>for the creation of a master plan and for community outreach</i>	2	\$50,000.00	\$0.00	\$0.00	\$50,000.00	\$0.00
Na na kila Institute Box 1039, 230 Owekeno Street Kitamaat Village, BC V0T 2B0 CANADA <i>To support staff costs for a ceremonial event this summer designed to raise support for a new Haisla cultural center in Kitamaat.</i>	7	\$0.00	\$35,000.00	\$0.00	\$35,000.00	\$0.00
Nansen Dialogue Center Montenegro 8 Vukice Mitrovic St. Podgorica Serbia and Montenegro <i>For a project to strengthen civil society and youth engagement in communities in Montenegrin Sandzak.</i>	7	\$0.00	\$10,000.00	\$0.00	\$10,000.00	\$0.00
National Black United Fund, Inc. 40 Clinton Street Newark, NJ 07102 <i>For its project, the National Hip Hop Political Convention.</i>	2	\$0.00	\$50,000.00	\$0.00	\$25,000.00	\$25,000.00
National Bureau of Asian Research 4518 University Way NE Suite 300 Seattle, WA 98105 <i>For its project, Approaches to Moderate Islam in the Asia-Pacific Region: The Dynamics among Islam, Muslim Identity, Politics, and Society.</i>	2	\$78,000.00	\$0.00	\$0.00	\$78,000.00	\$0.00
National Center on Philanthropy and the Law, Inc. New York University 110 West 3rd Street, 2nd Fl. New York, NY 10012-1074 <i>For the Rockefeller Brothers Fund Fellowship in Nonprofit Law.</i>	2	\$0.00	\$75,000.00	\$0.00	\$25,000.00	\$50,000.00
National Council of Nonprofit Associations 1101 Vermont Avenue, N.W. Suite 1002 Washington, DC 20005 <i>For its State Policy Action Resource Center.</i>	2	\$75,000.00	\$0.00	\$0.00	\$75,000.00	\$0.00
National Council of Nonprofit Associations 1101 Vermont Avenue, N.W. Suite 1002 Washington, DC 20005 <i>For its project, the Nonprofit Congress.</i>	2	\$25,000.00	\$0.00	\$0.00	\$25,000.00	\$0.00

## SCHEDULE OF GRANTS TO ORGANIZATIONS

## SCHEDULE 20

FYE12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
National Environmental Trust 1200 18th Street, NW 5th Floor Washington, DC 20036 <i>To general support for its climate program.</i>	2	\$0.00	\$300,000.00	\$0.00	\$100,000.00	\$200,000.00
National History Center, Inc. 400 A Street, SE Washington, District of Columbia 20003 USA <i>a matching grant toward general support for the development of this new institution.</i>	2	\$0.00	\$25,000.00	\$0.00	\$25,000.00	\$0.00
National Institute on Money in State Politics 833 North Main Second Floor Helena, MT 59601 <i>For general support.</i>	2	\$0.00	\$100,000.00	\$0.00	\$50,000.00	\$50,000.00
National League of Cities Institute, Inc. 1301 Pennsylvania Avenue, N.W. Washington, DC 20004-1763 <i>For its joint project with the Paul J. Aicher Foundation's Study Circles Resource Center, Expanding and Sustaining Civic Engagement: Building Local Capacity for Democratic Practice.</i>	2	\$0.00	\$165,000.00	\$0.00	\$0.00	\$165,000.00
National Park Foundation 11 Dupont Circle, NW Suite 600 Washington, DC 20036 <i>To the New York Harbor Parks Project.</i>	2	\$100,000.00	\$0.00	\$0.00	\$100,000.00	\$0.00
National Priorities Project, Inc. 17 New South Street Suite 302 Northampton, MA 01060 <i>To its project, Expanding the NPP Database: Bringing the Numbers Home.</i>	2	\$0.00	\$225,000.00	\$0.00	\$75,000.00	\$150,000.00
National Religious Partnership for the Environment Inc. 49 South Pleasant Street Suite 301 Amherst, MA 01002 <i>For a communications campaign about the need for action on climate change.</i>	2	\$100,000.00	\$0.00	\$0.00	\$100,000.00	\$0.00
National Religious Partnership for the Environment Inc. 49 South Pleasant Street Suite 301 Amherst, MA 01002 <i>To support its work on climate change.</i>	2	\$0.00	\$400,000.00	\$0.00	\$0.00	\$400,000.00

## SCHEDULE OF GRANTS TO ORGANIZATIONS

## SCHEDULE 20

FYE12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
National Trust for Historic Preservation in the United States 1785 Massachusetts Avenue, NW Washington, DC 20036 <i>to the National Trust for Historic Preservation in the United States to support a conference, "Rebirth: People, Places and Culture in New Orleans."</i>	2	\$0.00	\$25,000.00	\$0.00	\$25,000.00	\$0.00
National Voting Rights Institute 27 School Street Suite 500 Boston, MA 02108 <i>For its work in defense and support of campaign finance laws and policies.</i>	2	\$50,000.00	\$0.00	\$0.00	\$50,000.00	\$0.00
National Wildlife Federation 1400 16th Street, NW Suite 502 Washington, DC 20036 <i>For exploratory work on creating global governance mechanisms for the rapidly-emerging biofuels arena.</i>	2	\$0.00	\$200,000.00	\$0.00	\$43,500.00	\$156,500.00
Natura Gen. Anđelica 6 81210 Kolasin MONTENEGRO <i>For general budgetary purposes.</i>	7	\$40,000.00	\$0.00	\$0.00	\$20,000.00	\$20,000.00
Nature Conservancy, Inc. 4245 N. Fairfax Drive #100 Arlington, VA 22203-1637 <i>For its forest certification program.</i>	2	\$50,000.00	\$0.00	\$0.00	\$50,000.00	\$0.00
Network 20/20 850 Seventh Avenue, Suite 1200 (at 55th st.) New York, NY 10019 <i>For general support.</i>	2	\$50,000.00	\$0.00	\$0.00	\$50,000.00	\$0.00
Network for the Affirmation of NGO Sector-MANS, The Stari Aerodrom, Zgrada "Celebic" 11/9 Podgorica Serbia and Montenegro <i>For a project to combat corruption and support citizen participation in spatial (land-use/construction) planning and development.</i>	7	\$0.00	\$30,000.00	\$0.00	\$30,000.00	\$0.00
New America Foundation 1630 Connecticut Avenue, NW 7th Floor Washington, DC 20009 <i>To the U.S. in the World Initiative.</i>	2	\$0.00	\$300,000.00	\$0.00	\$150,000.00	\$150,000.00
New Energy Economy Inc. 1522 Cerro Gordo Road Santa Fe, NM 87501 <i>For its project, Architecture 2030.</i>	2	\$0.00	\$150,000.00	\$0.00	\$75,000.00	\$75,000.00

## SCHEDULE OF GRANTS TO ORGANIZATIONS

SCHEDULE 20

FYE12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
<b>New Horizon</b> Igmanske zgrade 2 85360 Ulcinj MONTENEGRO <i>For general budgetary purposes.</i>	7	\$50,000.00	\$0.00	\$0.00	\$25,000.00	\$25,000.00
<b>New Partners for Community Revitalization, Inc.</b> 11 Penn Plaza 5th Floor New York, New York 10001 <i>For its NY Metro Brownfields Redevelopment Fund Program.</i>	2	\$0.00	\$100,000.00	\$0.00	\$50,000.00	\$50,000.00
<b>New Vision</b> 10 Jovana Popovica Street 31300 Prijepolije Serbia and Montenegro <i>For a cooperative integrated rural development project in Serbian Sandzak.</i>	7	\$0.00	\$25,000.00	\$0.00	\$12,500.00	\$12,500.00
<b>New Vision Institute, Inc.</b> 37 Concord Avenue, Suite Four Cambridge, MA 02138 <i>For general support.</i>	2	\$10,000.00	\$0.00	\$0.00	\$10,000.00	\$0.00
<b>New York City Center, Inc.</b> 130 West 56th Street New York, New York 10019 <i>For the third Fall for Dance Festival, to be held at City Center from September 28 to October 8, 2006.</i>	2	\$0.00	\$25,000.00	\$0.00	\$25,000.00	\$0.00
<b>New York City Ballet, Inc.</b> New York State Theater 20 Lincoln Center New York, NY 10023 <i>For its New York Choreographic Institute.</i>	2	\$60,000.00	\$0.00	\$0.00	\$60,000.00	\$0.00
<b>New York City Partnership Foundation</b> One Battery Park Plaza, 4th Fl New York, NY 10004 <i>For a meeting in the spring of 2007 that will showcase New York City as a low carbon leader and demonstrate that reducing carbon emissions is an economic opportunity.</i>	2	\$0.00	\$150,000.00	\$0.00	\$75,000.00	\$75,000.00
<b>New York Foundation for Architecture, Inc.</b> 536 LaGuardia Place New York, New York 10012 <i>For general support of exhibitions and related sustainable development activities of the Center for Architecture.</i>	2	\$0.00	\$25,000.00	\$0.00	\$25,000.00	\$0.00
<b>New York Foundation for the Arts, Inc.</b> 155 Avenue of the Americas New York, NY 10013-1507 <i>For capacity building.</i>	2	\$50,000.00	\$0.00	\$0.00	\$50,000.00	\$0.00
<b>New York Foundation for the Arts, Inc.</b> 155 Avenue of the Americas New York, NY 10013-1507 <i>For leadership transition planning and to respond to a Robert Sterling Clark Foundation Challenge Grant.</i>	2	\$0.00	\$2,000.00	\$0.00	\$2,000.00	\$0.00

## SCHEDULE OF GRANTS TO ORGANIZATIONS

FYE12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
New York Immigration Coalition, Inc., The 275 Seventh Avenue 9th Floor New York, NY 10001 <i>For the Equity Monitoring Project for Immigrant and Refugee Education.</i>	2	\$125,000.00	\$0.00	\$0.00	\$125,000.00	\$0.00
New York Regional Association of Grantmakers, Inc. 79 Fifth Avenue Fourth Floor New York, NY 10003 <i>general support for 2007</i>	2	\$0.00	\$12,450.00	\$0.00	\$12,450.00	\$0.00
New York Theatre Workshop, Inc. 79 East 4th Street New York, NY 10003 <i>For the New York Theatre Workshop Institutional Leadership Conference at the Pocantico Conference Center on April 29-30, 2006.</i>	2	\$0.00	\$15,000.00	\$0.00	\$15,000.00	\$0.00
New York University 25 West Fourth Street New York, NY 10012- <i>For its project, Dialogues: Islamic World-U.S.--The West.</i>	1	\$160,000.00	\$0.00	\$0.00	\$160,000.00	\$0.00
New York University 25 West Fourth Street New York, NY 10012- <i>Dialogues: Islamic World-U.S.-The West,</i>	1	\$0.00	\$160,000.00	\$0.00	\$0.00	\$160,000.00
New York University New York, NY 10003 <i>For a workshop organized by its Center on International Cooperation on The Security Council and Nuclear Weapons: Lessons from the Iraq and North Korea Cases.</i>	1	\$13,100.00	\$0.00	\$0.00	\$13,100.00	\$0.00
New Yorkers for Parks 355 Lexington Avenue 14th Floor New York, NY 10017 <i>For the Neighborhood Parks Initiative.</i>	2	\$100,000.00	\$0.00	\$0.00	\$100,000.00	\$0.00
Nkosi's Haven P.O. Box 403 Melville 2109 Johannesburg SOUTH AFRICA <i>support its work with Infinite Family</i>	7	\$0.00	\$5,500.00	\$0.00	\$5,500.00	\$0.00
Nonprofit Coordinating Committee of New York, Inc. 1350 Broadway Suite 1801 New York, NY 10018 <i>general support for 2007</i>	2	\$0.00	\$1,500.00	\$0.00	\$1,500.00	\$0.00



## SCHEDULE OF GRANTS TO ORGANIZATIONS

## SCHEDULE 20

FYE12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
<b>North Star Fund</b> 520 Eighth Avenue 22nd Floor New York, New York 10018 <i>To support capacity-building and field-building work among New York City's diverse grassroots immigrant community groups.</i>	2	\$0.00	\$100,000.00	\$0.00	\$100,000.00	\$0.00
<b>Northwest Bronx Community and Clergy Coalition</b> 103 East 196th Street Bronx, NY 10468-3637 <i>For capacity-building initiatives.</i>	2	\$0.00	\$115,000.00	\$0.00	\$65,000.00	\$50,000.00
<b>Novi Sad Faculty of Law, University of Trg Dositeja Obradovica 1</b> Serbia and Montenegro <i>For an initiative to establish an environmental law program at the Novi Sad Faculty of Law.</i>	6	\$0.00	\$40,000.00	\$0.00	\$20,000.00	\$20,000.00
<b>Nurturing Orphans of AIDS for Humanity</b> Postnet Suite 69 Private Bag X01 Umhlanga 4320 Durban SOUTH AFRICA <i>To increase its professional staff.</i>	7	\$70,500.00	\$0.00	\$0.00	\$20,500.00	\$50,000.00
<b>Oceana, Inc.</b> 2501 M Street NW Suite 300 Washington, DC 20037-1311 <i>For general support.</i>	2	\$150,000.00	\$0.00	\$0.00	\$150,000.00	\$0.00
<b>One World United States</b> 3201 New Mexico Avenue NW, Suite #395 Washington, DC 20016 United States <i>For its OneWorld U.S. project.</i>	2	\$100,000.00	\$0.00	\$0.00	\$100,000.00	\$0.00
<b>Open Trust, The</b> 275 Grays Inn Road London, WC1X 8QB UK <i>For its project openDemocracy.net to strengthen coverage of key debates around democracy and globalization, Islam and democracy, and reflections on the role of the U.S. in the world.</i>	8	\$200,000.00	\$0.00	\$0.00	\$100,000.00	\$100,000.00
<b>Oxford, University of</b> Oxford, OX2 6JF UNITED KINGDOM <i>contribution towards the cost of the conference on 'Civil Resistance and Power Politics' to be held at St Antony's College, Oxford, from 15 to 18 March 2007</i>	6	\$0.00	\$25,000.00	\$0.00	\$25,000.00	\$0.00
<b>Pacific Environment and Resources Center</b> 311 California, Suite 650 San Francisco, CA 94104 <i>For its environmental work in the Russian Far East.</i>	2	\$0.00	\$750,000.00	\$0.00	\$250,000.00	\$500,000.00

## SCHEDULE OF GRANTS TO ORGANIZATIONS

## SCHEDULE 20

FYE12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
<b>Pacific Institute for Studies in Development, Environment, and Security</b> 654 13th Street Suite 104 Oakland, CA 94612 <i>To support its Reinventing Globalization Corporate Engagement project.</i>	2	\$0.00	\$20,000.00	\$0.00	\$20,000.00	\$0.00
<b>Pacific Institute for Studies in Development, Environment, and Security</b> 654 13th Street Suite 104 Oakland, CA 94612 <i>To its work on international standards.</i>	2	\$0.00	\$200,000.00	\$0.00	\$100,000.00	\$100,000.00
<b>Partnership for a Secure America</b> 11 Dupont Circle, Suite 900 Washington D.C., 20036 <i>For general support.</i>	2	\$25,000.00	\$0.00	\$0.00	\$25,000.00	\$0.00
<b>Partnership for Cures</b> 70 West Madison Street Suite 1500 Chicago, Illinois 60602 United States <i>Long-term transition support for the Charles E. Culpeper Scholarships in Medical Science and the Charles E. Culpeper Biomedical Pilot Initiative.</i>	2	\$2,600,000.00	\$0.00	\$0.00	\$656,000.00	\$1,944,000.00
<b>Partnership for Public Service, Inc.</b> 1725 Eye Street, N.W. Suite 900 Washington, DC 20006 <i>For the preparatory costs of a Pocantico Conference on the State of the Public Service.</i>	2	\$0.00	\$25,000.00	\$0.00	\$25,000.00	\$0.00
<b>Paul J. Aicher Foundation, Inc.</b> P.O. Box 203 697 Pomfret Street Pomfret, CT 06258 <i>For the joint project of its Study Circles Resource Center with the National League of Cities Institute, Inc., Expanding and Sustaining Civic Engagement: Building Local Capacity for Democratic Practice.</i>	3	\$0.00	\$165,000.00	\$0.00	\$0.00	\$165,000.00
<b>PeaceWorks Network Foundation</b> P.O. Box 1577 New York, NY 10013 <i>for the New York and Boston tours of the OneVoice International Education Program</i>	2	\$0.00	\$35,000.00	\$0.00	\$35,000.00	\$0.00
<b>Pembina Foundation for Environmental Research and Education</b> Suite 606 55 Water Street Vancouver, BC V6B 1A1 Canada <i>To prevent the development of a pipeline and tanker port that endangers the Great Bear Rainforest protected area.</i>	7	\$0.00	\$100,000.00	\$0.00	\$50,000.00	\$50,000.00

## SCHEDULE OF GRANTS TO ORGANIZATIONS

SCHEDULE 2v

FYE12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
<b>PEN American Center</b> 568 Broadway New York, NY 10012 <i>to support PEN World Voices: The New York Festival of World Literature, New York's first international writers festival, a confluence of remarkable writers from more than 45 countries who will come together for seven days of discussions, tributes, reading</i>	2	\$0.00	\$25,000.00	\$0.00	\$25,000.00	\$0.00
<b>People for the American Way Foundation</b> 149 Fifth Avenue Seventh Floor New York, NY 10010 <i>For its project, Young People For.</i>	2	\$0.00	\$90,000.00	\$0.00	\$30,000.00	\$60,000.00
<b>Performance Space 122, Inc.</b> 150 First Avenue New York, New York 10009 <i>For its cash reserve fund.</i>	2	\$150,000.00	\$0.00	\$0.00	\$75,000.00	\$75,000.00
<b>Pesticide Eco-Alternatives Center Yunnan Thoughtful Action</b> Room 30 E Chang Chun Garden Grand Bldg 22 Ren Min Zhong Lu Road 650021 Kunming, Yunnan Province P.R. OF CHINA <i>For general support.</i>	8	\$30,000.00	\$0.00	\$0.00	\$30,000.00	\$0.00
<b>Pesticide Eco-Alternatives Center Yunnan Thoughtful Action</b> Room 30 E Chang Chun Garden Grand Bldg 22 Ren Min Zhong Lu Road 650021 Kunming, Yunnan Province P.R. OF CHINA <i>For a project to examine links between use of the herbicide paraquat and human health problems in rural areas of Southern China.</i>	8	\$0.00	\$70,000.00	\$0.00	\$35,000.00	\$35,000.00
<b>Philanthropic Research, Inc.</b> 4801 Courthouse Street Suite 220 Williamsburg, VA 23188 <i>for membership in GuideStar's TrueNorth program for foundations</i>	2	\$0.00	\$20,000.00	\$0.00	\$20,000.00	\$0.00
<b>Philanthropy for Active Civic Engagement</b> 444 Sherman Street Suite 200 Denver, CO 80203 <i>for membership in 2006</i>	2	\$0.00	\$9,000.00	\$0.00	\$9,000.00	\$0.00
<b>Ploughshares Fund, Inc.</b> Fort Mason Center B-330 San Francisco, CA 94123 <i>For its project, the Peace and Security Funders Group.</i>	2	\$0.00	\$25,000.00	\$0.00	\$25,000.00	\$0.00

## SCHEDULE OF GRANTS TO ORGANIZATIONS

SCHEDULE 20

FYE12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
Ploughshares Fund, Inc. Fort Mason Center B-330 San Francisco, CA 94123 <i>For its project, the Peace and Security Initiative.</i>	2	\$0.00	\$50,000.00	\$0.00	\$50,000.00	\$0.00
Ploughshares Fund, Inc. Fort Mason Center B-330 San Francisco, CA 94123 <i>Peace and Security Media Collaborative.</i>	2	\$0.00	\$25,000.00	\$0.00	\$25,000.00	\$0.00
Policy Consensus Initiative, Inc. P.O. Box 1762 Portland, OR 97207 <i>For its Legislative Leaders Network.</i>	2	\$55,000.00	\$0.00	\$0.00	\$55,000.00	\$0.00
Pratt Institute Steuben Hall 379 DeKalb Avenue, Second Floor Brooklyn, NY 11205 <i>For general support of the Pratt Center for Community Development.</i>	1	\$0.00	\$50,000.00	\$0.00	\$50,000.00	\$0.00
Princess Trust #25 Private Bag X9 Melville 2109 South Africa <i>To assist grantees in the Gauteng and Mpumalanga provinces.</i>	9	\$0.00	\$50,000.00	\$0.00	\$50,000.00	\$0.00
Project for Public Spaces, Inc. 700 Broadway, 4th Floor New York, NY 10003 <i>For its project, Community Development Through Public Spaces: Expanding Placemaking in Serbia and Montenegro.</i>	2	\$7,378.00	\$0.00	\$0.00	\$7,378.00	\$0.00
Project for Public Spaces, Inc. 700 Broadway, 4th Floor New York, NY 10003 <i>For its project Community Development Through Public Spaces: Expanding Placemaking in Serbia, Kosovo and Montenegro.</i>	2	\$0.00	\$100,000.00	\$0.00	\$50,000.00	\$50,000.00
Proteus Development Resources 5 Rose Way Constantia 7806 South Africa <i>To assist grant recipients in the Western and Eastern Cape provinces.</i>	8	\$0.00	\$50,000.00	\$0.00	\$50,000.00	\$0.00
Proteus Fund, Inc. 101 University Drive Suite A2 Amherst, MA 01002 <i>To its project, the Piper Fund.</i>	2	\$0.00	\$100,000.00	\$0.00	\$100,000.00	\$0.00
Public Agenda Foundation, Inc. 6 East 39th Street New York, NY 10016-0112 <i>For the Yankelovich Initiative.</i>	2	\$0.00	\$180,000.00	\$0.00	\$60,000.00	\$120,000.00

## SCHEDULE OF GRANTS TO ORGANIZATIONS

SCHEDULE 20

FYE12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
Public Campaign 1320 19th Street, N.W. Suite M-1 Washington, DC 20036 <i>For general support.</i>	2	\$0.00	\$160,000.00	\$0.00	\$80,000.00	\$80,000.00
Public Citizen Foundation, Inc. 215 Pennsylvania Avenue, SE Washington, DC 20003 <i>To its Global Trade Watch program.</i>	2	\$0.00	\$100,000.00	\$0.00	\$50,000.00	\$50,000.00
Public Interest Law Initiative Szent Istvan Ter Budapest HUNGARY <i>For the civil society strengthening program in Serbia and Montenegro of its Public Interest Law Initiative.</i>	8	\$225,000.00	\$0.00	\$0.00	\$75,000.00	\$150,000.00
Public Interest Projects, Inc. 80 Broad Street Suite 1600 New York, NY 10004-3307 <i>To support its project, the Four Freedoms Fund, for its capacity-building and field-building work among New York City's diverse immigrant communities.</i>	2	\$0.00	\$100,000.00	\$0.00	\$100,000.00	\$0.00
Public Radio International, Inc. 100 North Sixth Street Suite 900A Minneapolis, MN 55403 <i>For Islam-related reporting and feature stories on its global news program, The World.</i>	2	\$75,000.00	\$0.00	\$0.00	\$75,000.00	\$0.00
Quebec-Labrador Foundation, Inc. 55 South Main Street Ipswich, MA 01938 <i>For its Central and Southeastern European Stewardship Program.</i>	2	\$0.00	\$180,000.00	\$0.00	\$106,800.00	\$73,200.00
Rainforest Action Network 221 Pine Street Suite 500 San Francisco, CA 94104 <i>For general support.</i>	2	\$100,000.00	\$0.00	\$0.00	\$100,000.00	\$0.00
Rainforest Action Network 221 Pine Street Suite 500 San Francisco, CA 94104 <i>For its Tasmanian rainforest protection project.</i>	2	\$100,000.00	\$0.00	\$0.00	\$100,000.00	\$0.00
Ramon Magsaysay Award Foundation 1680 Roxas Boulevard Manila, 1004 PHILIPPINES <i>For its annual Asian Issues and Trends for Development seminar.</i>	8	\$0.00	\$0.00	\$13,627.00	(\$13,627.00)	\$0.00

## SCHEDULE OF GRANTS TO ORGANIZATIONS

SCHEDULE 20

FYE12/31/2006

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Ramon Magsaysay Award Foundation 1680 Roxas Boulevard Manila, 1004 PHILIPPINES <i>For the stipends for the 2006 Ramon Magsaysay Awards.</i>	8	\$0.00	\$150,000.00	\$0.00	\$150,000.00	\$0.00
Ramon Magsaysay Award Foundation 1680 Roxas Boulevard Manila, 1004 PHILIPPINES <i>For the 2006 Activities of the Program for Asian Projects</i>	8	\$0.00	\$189,193.00	\$0.00	\$189,193.00	\$0.00
Ramon Magsaysay Award Foundation 1680 Roxas Boulevard Manila, 1004 PHILIPPINES <i>towards the continued publication of the "Great Men and Women of Asia" series</i>	8	\$0.00	\$9,110.00	\$0.00	\$9,110.00	\$0.00
Ramon Magsaysay Award Foundation 1680 Roxas Boulevard Manila, 1004 PHILIPPINES <i>towards the compilation and publication of best practices from the work of Ramon Magsaysay Awardees</i>	8	\$0.00	\$18,808.00	\$0.00	\$18,808.00	\$0.00
Ramon Magsaysay Award Foundation 1680 Roxas Boulevard Manila, 1004 PHILIPPINES <i>towards the publication of AwardeeLinks, an electronic newsletter</i>	8	\$0.00	\$2,122.00	\$0.00	\$2,122.00	\$0.00
Ramon Magsaysay Award Foundation 1680 Roxas Boulevard Manila, 1004 PHILIPPINES <i>For a project to enhance the Foundation's Web site</i>	8	\$0.00	\$0.00	\$2,122.00	(\$2,122.00)	\$0.00
Ramon Magsaysay Award Foundation 1680 Roxas Boulevard Manila, 1004 PHILIPPINES <i>For continued publication of the Magsaysay Awardee</i>	8	\$0.00	\$0.00	\$4,700.00	(\$4,700.00)	\$0.00
Ramon Magsaysay Award Foundation 1680 Roxas Boulevard Manila, 1004 PHILIPPINES <i>For continued publication of the Magsaysay Awardee</i>	8	\$0.00	\$0.00	\$132.00	(\$132.00)	\$0.00
Ramon Magsaysay Award Foundation 1680 Roxas Boulevard Manila, 1004 PHILIPPINES <i>For activities of the Program for Asian Projects in 2005</i>	8	\$0.00	\$0.00	\$5,181.00	(\$5,181.00)	\$0.00
Ramon Magsaysay Award Foundation 1680 Roxas Boulevard Manila, 1004 PHILIPPINES <i>For continued publication of the Magsaysay Awardee</i>	8	\$0.00	\$0.00	\$4,278.00	(\$4,278.00)	\$0.00

## SCHEDULE OF GRANTS TO ORGANIZATIONS

SCHEDULE 20

FYE12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
<b>Rand Corporation</b> 1700 Main Street Santa Monica, CA 90407-2138 <i>For the project, Integrating Instruments of Power and Influence for National Security: The Bottom-Up Perspective.</i>	2	\$0.00	\$25,000.00	\$0.00	\$25,000.00	\$0.00
<b>Reconstruction Women's Fund</b> Narodnog Fronta 66, 11000 Beograd, Serbia and Montenegro <i>For its Roma Women's Initiative.</i>	9	\$0.00	\$80,000.00	\$0.00	\$35,000.00	\$45,000.00
<b>Redefining Progress</b> 1904 Franklin Street Sixth Floor Oakland, CA 94612 <i>To its Green Rewards project.</i>	2	\$0.00	\$100,000.00	\$0.00	\$100,000.00	\$0.00
<b>Regents of the University of Colorado, The</b> 468 UCB Boulder, CO 80309 <i>For the research project, HIV/AIDS, Elderly-Headed Households, Food Security, and the Natural Environment in Rural South Africa.</i>	1	\$70,000.00	\$0.00	\$0.00	\$70,000.00	\$0.00
<b>Regional Plan Association, Inc.</b> 4 Irving Place 7th Floor New York, NY 10003 <i>For general support.</i>	2	\$0.00	\$50,000.00	\$0.00	\$50,000.00	\$0.00
<b>Research Foundation of the City University of New York</b> 365 Fifth Avenue New York, NY 10016 <i>to prepare for a pilot project to test a collaborative model for the creation of a think tank on foundations</i>	2	\$0.00	\$34,500.00	\$0.00	\$34,500.00	\$0.00
<b>Resource Media A Nonprofit Corporation</b> 325 Pacific, 3rd Floor San Francisco, CA 94111 <i>To its Climate Science Initiative, which will publicize and popularize new scientific findings that document the role human activities are playing in global warming and the likely consequences.</i>	2	\$0.00	\$300,000.00	\$0.00	\$150,000.00	\$150,000.00
<b>Rhodes University</b> P.O. Box 94 Grahamstown 6140 SOUTH AFRICA <i>To a research project of its Department of Environmental Sciences that will focus on the links between biodiversity, sustainable rural livelihoods, and HIV/AIDS in South Africa.</i>	6	\$53,000.00	\$0.00	\$0.00	\$53,000.00	\$0.00

## SCHEDULE OF GRANTS TO ORGANIZATIONS

## SCHEDULE 20

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Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
<b>Right Question Project, Inc.</b> 2464 Massachusetts Avenue Suite 314 Cambridge, MA 02140 <i>For the development of its Building New Democratic Capacity Through the Right Question Project Network.</i>	2	\$80,000.00	\$0.00	\$0.00	\$80,000.00	\$0.00
<b>Rob Smetherham Bereavement Service for Children</b> Postnet Suite H46 Private Bag X9118 Pietermaritzburg 3200 SOUTH AFRICA <i>To train NGOs in play skills therapy.</i>	7	\$0.00	\$66,000.00	\$0.00	\$34,900.00	\$31,100.00
<b>Rockefeller Family Fund</b> 437 Madison Avenue 36th Floor New York, NY 10022-7001 <i>2006 dues for the Environmental Grantmakers Association.</i>	2	\$0.00	\$2,110.00	\$0.00	\$2,110.00	\$0.00
<b>Rockefeller Family Fund</b> 437 Madison Avenue 36th Floor New York, NY 10022-7001 <i>To the Funders Network on Trade and Globalization, a project of RFF's project, the Environmental Grantmakers Association.</i>	2	\$0.00	\$60,000.00	\$0.00	\$30,000.00	\$30,000.00
<b>Rockefeller Philanthropy Advisors</b> 437 Madison Avenue New York, NY 10022 <i>For a collaborative fund to support projects that address environmental issues, engage and empower communities of color, and ensure the sustainable and equitable rebuilding of New Orleans and other communities along the Gulf Coast.</i>	2	\$0.00	\$100,000.00	\$0.00	\$100,000.00	\$0.00
<b>Rockefeller Philanthropy Advisors</b> 437 Madison Avenue New York, NY 10022 <i>For the College Shareowner Democracy Project of RPA's project, the Sustainable Endowments Institute.</i>	2	\$0.00	\$20,000.00	\$0.00	\$20,000.00	\$0.00
<b>Rockefeller Philanthropy Advisors</b> 437 Madison Avenue New York, NY 10022 <i>for the further development of the educational resources of Earth Charter International, a project of Rockefeller Philanthropy Advisors.</i>	2	\$0.00	\$12,500.00	\$0.00	\$12,500.00	\$0.00
<b>Rocky Mountain Climate Organization</b> PO Box 270444 Louisville, Colorado 80027 <i>To support its Colorado Climate Project.</i>	2	\$0.00	\$50,000.00	\$0.00	\$50,000.00	\$0.00



## SCHEDULE OF GRANTS TO ORGANIZATIONS

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FYE12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
<b>Round River Conservation Studies</b> 4301 Emigration Canyon Road Salt Lake City, UT 84108 <i>To support its work with First Nations on land use plans.</i>	2	\$0.00	\$50,000.00	\$0.00	\$50,000.00	\$0.00
<b>Rural Development Institute</b> 1411 Fourth Avenue Suite 910 Seattle, WA 98101 <i>Towards a feasibility and planning study for establishing a land information center in Southern China.</i>	2	\$0.00	\$13,400.00	\$0.00	\$13,400.00	\$0.00
<b>Sage Foundation</b> 680 - 220 Cambrie Street Vancouver, B.C. V6B 2M9 CANADA <i>For its Canadian Climate Project.</i>	7	\$60,000.00	\$0.00	\$0.00	\$60,000.00	\$0.00
<b>Sage Foundation</b> 680 - 220 Cambrie Street Vancouver, B.C. V6B 2M9 CANADA <i>To support its Canadian Climate Project.</i>	7	\$0.00	\$75,000.00	\$0.00	\$0.00	\$75,000.00
<b>San Francisco State University</b> Office of Research and Sponsored Programs 1600 Holloway Avenue, ADM 471 San Francisco, CA 94132 <i>For its project, the Research Collaborative on Youth Activism.</i>	1	\$0.00	\$105,000.00	\$0.00	\$35,000.00	\$70,000.00
<b>Save the Children Fund</b> P.O. Box 14038 Hatfield 0028 Pretoria SOUTH AFRICA <i>For its Caring and Nurturing Schools project.</i>	7	\$50,000.00	\$0.00	\$0.00	\$50,000.00	\$0.00
<b>Save the Children Fund</b> P.O. Box 14038 Hatfield 0028 Pretoria SOUTH AFRICA <i>To its Caring Schools Network.</i>	7	\$0.00	\$100,000.00	\$0.00	\$50,000.00	\$50,000.00
<b>School of American Ballet, The</b> 70 Lincoln Center Plaza New York, NY 10023 <i>Toward endowment for its Apprentice Program.</i>	1	\$25,000.00	\$0.00	\$0.00	\$25,000.00	\$0.00
<b>School of Unity and Liberation</b> 287 17th Street, Suite 225 Oakland, California 94612 <i>For general support.</i>	2	\$25,000.00	\$0.00	\$0.00	\$25,000.00	\$0.00
<b>Search for Common Ground</b> 1601 Connecticut Avenue, N.W. Suite 200 Washington, DC 20009-1035 <i>For America's Long-Term Response to Terrorism, its joint project with the Consensus Building Institute.</i>	2	\$72,000.00	\$0.00	\$0.00	\$72,000.00	\$0.00

## SCHEDULE OF GRANTS TO ORGANIZATIONS

SCHEDULE 20

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Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
Search for Common Ground 1601 Connecticut Avenue, N.W. Suite 200 Washington, DC 20009-1035 <i>For continued support.</i>	2	\$0.00	\$25,000.00	\$0.00	\$25,000.00	\$0.00
Shantou University Shantou China <i>For a media fellowship program of the university's Cheung Kong School of Journalism and Communications.</i>	6	\$0.00	\$45,000.00	\$0.00	\$45,000.00	\$0.00
Shotgun Productions, Inc. 165 East 35th Street Suite 7J New York, New York 10016 <i>For its play development program.</i>	2	\$0.00	\$25,000.00	\$0.00	\$0.00	\$25,000.00
SmartPower Connecticut, Inc. 100 Pearl Street 14th Floor Hartford, CT 06103 <i>For general support.</i>	2	\$100,000.00	\$0.00	\$0.00	\$100,000.00	\$0.00
Social Science Research Council 810 Seventh Avenue New York, New York 10019 <i>To its Environmental Health and Government and Citizen Responses: Research, Policy and Practice project.</i>	2	\$0.00	\$235,000.00	\$0.00	\$158,000.00	\$77,000.00
Sojourners Lisa Daughtry-Weiss Director of Foundation Relations Sojourners/Call to Renewal 3333 14th Street, NW, Suite 200 Washington, DC 20010 <i>For its U.S. Global Engagement Program.</i>	2	\$50,000.00	\$0.00	\$0.00	\$50,000.00	\$0.00
South Centre Ch. du Champ d'Anier 17 PO Box 228 Geneva 19 SWITZERLAND <i>To its project to assist developing countries in negotiation on issues relating to WTO institutional reform and global economic governance.</i>	7	\$0.00	\$200,000.00	\$0.00	\$100,000.00	\$100,000.00
Sponsors for Educational Opportunity, Inc. 55 Exchange Place New York, NY 10005 <i>to its Career Program in Philanthropy</i>	2	\$0.00	\$10,000.00	\$0.00	\$10,000.00	\$0.00
St. Ann Center for Restoration and the Arts, Inc., The 45 Main Street Suite 315 Brooklyn, New York 11201 <i>For capacity building.</i>	2	\$35,000.00	\$0.00	\$0.00	\$35,000.00	\$0.00

## SCHEDULE OF GRANTS TO ORGANIZATIONS

## SCHEDULE 20

FYE12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
<b>St. Luke's Chamber Ensemble, Inc.</b> 330 West 42nd Street 9th Floor New York, NY 10036 <i>For the permanent endowment portion of the St. Luke's Fund for the Future campaign.</i>	2	\$250,000.00	\$0.00	\$0.00	\$125,000.00	\$125,000.00
<b>Stichting Triodos Renewable Energy for Development Fund</b> Utrechtseweg 60 P.O. Box 55 3700 AB Zeist THE NETHERLANDS <i>For general support.</i>	7	\$928,000.00	\$0.00	\$928,000.00	\$0.00	\$0.00
<b>Henry L. Stimson Center, The</b> 1111 19th Street, N.W., 12th Floor Washington, DC 20036 <i>For its Security for a New Century project.</i>	2	\$250,000.00	\$0.00	\$0.00	\$125,000.00	\$125,000.00
<b>Sundance Institute</b> 307 West 200 South, Suite 5002 Salt Lake City, Utah 84101 <i>To its Middle Eastern Screenwriting Lab Project.</i>	2	\$0.00	\$50,000.00	\$0.00	\$50,000.00	\$0.00
<b>Surge</b> Students United for a Responsible Global Environment P.O. Box 1188 Chapel Hill, North Carolina 27514 United States <i>To support a conference, "Empowering a Progressive South, Community by Community."</i>	2	\$0.00	\$1,000.00	\$0.00	\$1,000.00	\$0.00
<b>Tellus Institute, Inc.</b> 11 Arlington Street Boston, MA 02116-3411 <i>To support the development of civil society and promote sustainable development through the Great Transition Initiative.</i>	2	\$0.00	\$12,500.00	\$0.00	\$12,500.00	\$0.00
<b>Teresa Group--Child and Family Aid, The</b> 124 Merton Street Suite 300 Toronto, Ontario M4S 2Z2 Canada <i>for its project, the Coalition on Children Affected by AIDS</i>	7	\$0.00	\$27,000.00	\$0.00	\$27,000.00	\$0.00
<b>Third World Network</b> 131 Jalan Macalister 10400 Penang MALAYSIA <i>To its program to support developing country contributions to the multilateral trading and financial system.</i>	7	\$0.00	\$300,000.00	\$0.00	\$100,000.00	\$200,000.00

## SCHEDULE OF GRANTS TO ORGANIZATIONS

## SCHEDULE 20

FYE12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
<b>Thomas Jefferson Institute for the Study of World Politics</b> 1514 North Longfellow Street Arlington, VA 22205 <i>For a capacity-building project with the Ministry of Defence of Serbia and Montenegro and to a needs assessment for the Serbian Securities and Exchange Commission.</i>	2	\$150,000.00	\$0.00	\$0.00	\$75,000.00	\$75,000.00
<b>Tides Canada Foundation</b> #680-220 Cambie Street Vancouver, BC V6B 2M9 CANADA <i>To its capital campaign of the Coast Conservation Endowment Fund for the long term conservation and sustainable management of coastal British</i>	7	\$500,000.00	\$0.00	\$0.00	\$0.00	\$500,000.00
<b>Tides Center</b> The Presidio P. O. Box 29907 San Francisco, CA 94129-0907 <i>To support its project, the EMS/Science Communication Network.</i>	2	\$0.00	\$5,000.00	\$0.00	\$5,000.00	\$0.00
<b>Tides Center</b> The Presidio P. O. Box 29907 San Francisco, CA 94129-0907 <i>for its project, the Africa Grantmakers' Affinity Group</i>	2	\$0.00	\$3,000.00	\$0.00	\$3,000.00	\$0.00
<b>Tides Foundation</b> Presidio Main Post, Bldg. #37 PO Box 29903 San Francisco, CA 94129-0903 <i>For its project, Connect US.</i>	2	\$250,000.00	\$0.00	\$0.00	\$0.00	\$250,000.00
<b>Tides Foundation</b> Presidio Main Post, Bldg. #37 PO Box 29903 San Francisco, CA 94129-0903 <i>For the Hollywood Foreign Policy Roundtable, a program of its project, Imagining the Future Fund.</i>	2	\$0.00	\$15,000.00	\$0.00	\$15,000.00	\$0.00
<b>Times Square District Management Association</b> 1560 Broadway Suite 800 New York, New York 10036 <i>For the Times Square Transformation Project.</i>	2	\$0.00	\$110,000.00	\$0.00	\$110,000.00	\$0.00
<b>Tribeca Film Institute, Inc.</b> 375 Greenwich Street New York, New York 10013 <i>For capacity building.</i>	2	\$50,000.00	\$0.00	\$0.00	\$50,000.00	\$0.00
<b>Trustees of Tufts College</b> 108 Bromfield Medford, MA 02155 <i>For the university's Global Development and Environment Program.</i>	1	\$75,000.00	\$0.00	\$0.00	\$75,000.00	\$0.00

## SCHEDULE OF GRANTS TO ORGANIZATIONS

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Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
Trustees of Tufts College 108 Bromfield Medford, MA 02155 <i>For the promotion and dissemination of the publication, Fifty Years in Public Causes: Stories from a Road Less Traveled.</i>	1	\$0.00	\$5,000.00	\$0.00	\$5,000.00	\$0.00
Trustees of Tufts College 108 Bromfield Medford, MA 02155 <i>For its efforts to reduce the university's gas emissions.</i>	1	\$0.00	\$50,000.00	\$0.00	\$50,000.00	\$0.00
Trustees of Tufts College 108 Bromfield Medford, MA 02155 <i>For its Global Development and Environment Institute.</i>	1	\$0.00	\$125,000.00	\$0.00	\$125,000.00	\$0.00
Trustees of Tufts College 108 Bromfield Medford, MA 02155 <i>To its Global Development and Environment Institute.</i>	1	\$0.00	\$150,000.00	\$0.00	\$0.00	\$150,000.00
US Working Group Inc. dba Forest Stewardship Council US 1155 30th Street NW Suite 300 Washington, DC 20007 <i>For general support.</i>	2	\$100,000.00	\$0.00	\$0.00	\$100,000.00	\$0.00
US Working Group Inc. dba Forest Stewardship Council US 1155 30th Street NW Suite 300 Washington, DC 20007 <i>For general support.</i>	2	\$0.00	\$200,000.00	\$0.00	\$0.00	\$200,000.00
United Nations Association of the United States of America, Inc. 801 Second Avenue New York, NY 10017-4706 <i>For its project, U.S.-Iran Dialogue on Multilateral Diplomacy and the Management of Global Issues.</i>	2	\$50,000.00	\$0.00	\$0.00	\$50,000.00	\$0.00
United Nations Association of the United States of America, Inc. 801 Second Avenue New York, NY 10017-4706 <i>For its Help Educate at-Risk Orphans and vulnerable children (HERO) campaign.</i>	2	\$48,000.00	\$0.00	\$0.00	\$48,000.00	\$0.00
United Nations Conference on Trade and Development Palais des Nations CH-1211 Geneva 10 Switzerland <i>For a research project and symposium on the development of new guidelines for businesses operating in conflict zones.</i>	7	\$0.00	\$30,000.00	\$0.00	\$30,000.00	\$0.00

## SCHEDULE OF GRANTS TO ORGANIZATIONS

## SCHEDULE 20

FYE12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
<b>United Nations Conference on Trade and Development</b> Palais des Nations CH-1211 Geneva 10 Switzerland <i>For a research project and symposium on the development of new guidelines for businesses operating in conflict zones.</i>	7	\$0.00	\$30,000.00	\$0.00	\$30,000.00	\$0.00
<b>United Nations Development Programme</b> One United Nations Plaza New York, New York 10017 U.S.A. <i>For a project to support local NGO efforts to provide leadership on anti-corruption initiatives in Montenegro.</i>	2	\$0.00	\$40,000.00	\$0.00	\$20,000.00	\$20,000.00
<b>UrbanGlass\New York Contemporary Glass Center</b> 647 Fulton Street Brooklyn, New York 11217-1112 <i>To its Visiting Artist Fellowship and Urban Invites Programs.</i>	2	\$0.00	\$40,000.00	\$0.00	\$0.00	\$40,000.00
<b>Verite Inc</b> 44 Belchertown Road Amherst, MA 01002 <i>To support a Symposium: Advancing Women in the Global Workplace - Principles in Action.</i>	2	\$0.00	\$15,000.00	\$0.00	\$15,000.00	\$0.00
<b>Virginia Organizing Project, Inc.</b> 703 Concord Avenue Charlottesville, VA 22903 <i>To its Environmental Health Sciences project to launch The Daily Climate newswire.</i>	2	\$0.00	\$100,000.00	\$0.00	\$100,000.00	\$0.00
<b>Visual Arts Research and Resource Center Relating to the Caribbean</b> 408 West 58th Street New York, New York 10019 <i>To implement strategic plan strategies.</i>	2	\$0.00	\$70,000.00	\$0.00	\$35,000.00	\$35,000.00
<b>Vivian Beaumont Theater, Inc.</b> 150 West 65th Street New York, NY 10023-6975 <i>For its commissioning program.</i>	2	\$0.00	\$100,000.00	\$0.00	\$50,000.00	\$50,000.00
<b>Vuleka Productions</b> P.O. Box 15077 Bellair 4006 SOUTH AFRICA <i>Toward re-editing the documentary A Child is a Child (because of other people) as an educational and outreach tool to improve psychosocial support for children affected by HIV/AIDS.</i>	9	\$0.00	\$10,000.00	\$0.00	\$10,000.00	\$0.00

## SCHEDULE OF GRANTS TO ORGANIZATIONS

## SCHEDULE 20

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Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
<b>West Coast Environmental Law Research Foundation</b> 1001-207 West Hastings Vancouver, V6B 1H7 CANADA <i>To prevent the development of a pipeline and tanker port that endangers the Great Bear Rainforest protected area.</i>	7	\$0.00	\$100,000.00	\$0.00	\$50,000.00	\$50,000.00
<b>West Harlem Environmental Action, Inc.</b> 271 West 125th Street Suite 308 New York, NY 10027 <i>For its Harlem on the River project.</i>	2	\$75,000.00	\$0.00	\$0.00	\$75,000.00	\$0.00
<b>Western Cape Networking HIV/AIDS Community of South Africa</b> P.O. Box 6358 Roggebaai 8012 Cape Town SOUTH AFRICA <i>For its Children's HIV/AIDS Network.</i>	7	\$25,000.00	\$0.00	\$0.00	\$25,000.00	\$0.00
<b>Western Cape, University of the</b> Private Bag X17 Bellville 7535 Cape Town SOUTH AFRICA <i>For a research project of the university's Community Law Centre that will focus on the impact of HIV/AIDS on the prison system in South Africa.</i>	6	\$17,000.00	\$0.00	\$0.00	\$0.00	\$17,000.00
<b>Western Cape, University of the</b> Private Bag X17 Bellville 7535 Cape Town SOUTH AFRICA <i>For a project of its School of Health that will attempt to improve access to social welfare grants and services for children affected by HIV/AIDS.</i>	6	\$48,000.00	\$0.00	\$0.00	\$34,000.00	\$14,000.00
<b>Western Governors' Foundation</b> 1515 Cleveland Place Suite 200 Denver, CO 80202 <i>To support meeting and travel costs associated with the April 2006 meeting of the Western Regional Air Partnership.</i>	2	\$0.00	\$25,000.00	\$0.00	\$25,000.00	\$0.00
<b>White Box, Ltd.</b> 525 West 26th Street New York, New York 10001 <i>To develop a strategic plan and strengthen the organization's apprentice program.</i>	2	\$0.00	\$70,000.00	\$0.00	\$35,000.00	\$35,000.00
<b>Whitney Museum of American Art</b> 945 Madison Avenue at 75th Street New York, NY 10021 <i>For its WHITNEY LIVE program.</i>	2	\$0.00	\$50,000.00	\$0.00	\$0.00	\$50,000.00

## SCHEDULE OF GRANTS TO ORGANIZATIONS

SCHEDULE 20

FYE12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
<b>Widener University</b> School of Law 4601 Concord Pike P.O. Box 7474 Wilmington, DE 19803-0474 <i>To its School of Law for a symposium on Envisioning A More Democratic Global System.</i>	1	\$0.00	\$25,000.00	\$0.00	\$25,000.00	\$0.00
<b>Wilderness Foundation, The</b> P.O. Box 12509 Central Port Elizabeth 6006 South Africa <i>To disseminate lessons learned from its Umzi Wethu Academy for Displaced Youth in South Africa at the XVI International AIDS Conference, and to strengthen staff capacity through networking at the conference.</i>	7	\$0.00	\$3,000.00	\$0.00	\$3,000.00	\$0.00
<b>Will Steger Foundation</b> P.O. Box 1438 Minnetonka, MN 55345 <i>To support its Global Warming 101 initiative.</i>	2	\$0.00	\$35,000.00	\$0.00	\$35,000.00	\$0.00
<b>William J. Brennan, Jr., Center for Justice, Inc.</b> 161 Avenue of the Americas 12th Floor New York, NY 10013 <i>For its Dobbins Litigation and Public Education project.</i>	2	\$0.00	\$50,000.00	\$0.00	\$25,000.00	\$25,000.00
<b>William J. Brennan, Jr., Center for Justice, Inc.</b> 161 Avenue of the Americas 12th Floor New York, NY 10013 <i>for support of its defense of public campaign funding laws facing legal challenges in several states and localities</i>	2	\$0.00	\$50,000.00	\$0.00	\$50,000.00	\$0.00
<b>Wits Health Consortium (Proprietary) Limited</b> Postnet Suite 189 Private Bag X2600 Houghton 2041 SOUTH AFRICA <i>For its Children's Homes Outreach Medical Program.</i>	7	\$0.00	\$100,000.00	\$0.00	\$50,000.00	\$50,000.00
<b>Wits Health Consortium (Proprietary) Limited</b> Postnet Suite 189 Private Bag X2600 Houghton 2041 SOUTH AFRICA <i>To disseminate lessons learned from its Bigshoes Foundation project in South Africa at the XVI International AIDS Conference, and to strengthen staff capacity through networking at the conference.</i>	7	\$0.00	\$3,000.00	\$0.00	\$3,000.00	\$0.00



## SCHEDULE OF GRANTS TO ORGANIZATIONS

FYE 12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
<b>Women's Action for New Directions Education Fund, Inc.</b> 691 Massachusetts Avenue Arlington, MA 02476 <i>For its New Vision-New Voices on Security initiative.</i>	2	\$75,000.00	\$0.00	\$0.00	\$75,000.00	\$0.00
<b>Women's Funding Network</b> 1375 Sutter Street, Suite 406 San Francisco, CA 94109 <i>For its U.S. Women's Lens on Global Engagement Initiative.</i>	2	\$0.00	\$200,000.00	\$0.00	\$100,000.00	\$100,000.00
<b>Women's Leadership Fund</b> 110 Wall Street 16th Floor New York, New York 10005 United States <i>For its Real Security Initiative.</i>	2	\$0.00	\$100,000.00	\$0.00	\$100,000.00	\$0.00
<b>Woodrow Wilson International Center for Scholars</b> One Woodrow Wilson Plaza 1300 Pennsylvania Avenue, NW Washington, DC 20004 <i>For a project of its Middle East Program on U.S.-Iran relations.</i>	2	\$0.00	\$25,000.00	\$0.00	\$25,000.00	\$0.00
<b>Woodrow Wilson International Center for Scholars</b> 1300 Pennsylvania Avenue Washington, District of Columbia 20004-3027 United States <i>For the publication of a Special Environmental Health Issue of the China Environment Series.</i>	2	\$0.00	\$40,000.00	\$0.00	\$0.00	\$40,000.00
<b>Working Theatre Company, Inc., The</b> 128 East Broadway Box 892 New York, New York 10002-0892 <i>For the Into the Future capacity-building program.</i>	2	\$0.00	\$50,000.00	\$0.00	\$25,000.00	\$25,000.00
<b>World Affairs Council of Northern California</b> 312 Sutter Street Suite 200 San Francisco, CA 94108 <i>To its Global Philanthropy Forum for 2006.</i>	2	\$0.00	\$25,000.00	\$0.00	\$25,000.00	\$0.00
<b>World Conference on Religions for Peace</b> 777 United Nations Plaza New York, NY 10017 <i>For the creation of an Inter-Religious Council for the Greater Middle East.</i>	2	\$150,000.00	\$0.00	\$0.00	\$150,000.00	\$0.00
<b>World Resources Institute</b> 10 G Street, N.E. Washington, DC 20002 <i>For a project to expand demand for renewable energy in Europe.</i>	2	\$100,000.00	\$0.00	\$0.00	\$100,000.00	\$0.00

## SCHEDULE OF GRANTS TO ORGANIZATIONS

SCHEDULE 2a

FYE12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
Worldwatch Institute 1776 Massachusetts Avenue, NW Washington, DC 20036 <i>To support distribution and communications of: Biofuels for Transportation.</i>	2	\$0.00	\$20,000.00	\$0.00	\$20,000.00	\$0.00
Yale University Office of Grants and Contracts New Haven, CT 06520 <i>For the Yale Program on Forest Certification of the university's School of Forestry and Environmental Studies.</i>	1	\$25,000.00	\$0.00	\$0.00	\$25,000.00	\$0.00
Yale University Office of Grants and Contracts New Haven, CT 06520 <i>For a seminar series and related research to encourage interdisciplinary work on non- governmental organizations in developing countries.</i>	1	\$0.00	\$20,000.00	\$0.00	\$20,000.00	\$0.00
York Theatre Company, Inc. Citigroup Center 619 Lexington Avenue New York, New York 10022-4613 <i>For capacity building.</i>	2	\$50,000.00	\$0.00	\$0.00	\$50,000.00	\$0.00
Youth Ministries for Peace & Justice, Inc. 1384 Stratford Avenue Bronx, NY 10472 <i>For its new Center for Community Development and Planning.</i>	1	\$150,000.00	\$0.00	\$0.00	\$150,000.00	\$0.00
Yunnan Reproductive Health Research Association Post Office Box 43 Kunming Medical College 191 Renmin West Road Kunming, 650031 China <i>To its Yunnan Environmental Health Initiative.</i>	7	\$0.00	\$85,000.00	\$0.00	\$0.00	\$85,000.00
Zhongshan University RM 807, Science and Technology Park No. 135, Xingang West Road Guangzhou, Guangdong 510275 China <i>For its Institute's support of the emergence and development of grassroots NGOs in the region.</i>	6	\$0.00	\$70,000.00	\$0.00	\$35,000.00	\$35,000.00

## SCHEDULE OF GRANTS TO ORGANIZATIONS

## SCHEDULE 20

FYE12/31/2006

Recipient and/or Purpose	Tax Status	Beginning Balance	Approved in 2006	Lapsed in 2006	Amount Paid (or Returned)	Ending Balance
Zhongshan University RM 807, Science and Technology Park No. 135, Xingang West Road Guangzhou, Guangdong 510275 China <i>For the Guangdong Rural Peasant Environment Rights Protection Project of the university's Environmental Law Clinic.</i>	6	\$0.00	\$30,000.00	\$0.00	\$30,000.00	\$0.00

Zhongshan University RM 807, Science and Technology Park No. 135, Xingang West Road Guangzhou, Guangdong 510275 China <i>For general support.</i>	6	\$0.00	\$40,000.00	\$0.00	\$20,000.00	\$20,000.00
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Total, Grants to Organizations	\$17,247,078.00	\$29,127,593.00	\$1,183,040.00	\$25,528,231.00	\$19,663,400.00
Total, Grants to Individuals	\$1,420,400.00	\$552,500.00	\$43,600.00	\$694,100.00	\$1,235,200.00
<b>GRAND TOTAL</b>	<b>\$18,667,478.00</b>	<b>\$29,680,093.00</b>	<b>\$1,226,640.00</b>	<b>\$26,222,331.00</b>	<b>\$20,898,600.00</b>

2006 Matching Gifts (see Schedule) \$ 35,157.00

IMMATERIAL ROUNDING DIFFERENCE (\$2.00)

TOTAL PART XV, line 3 (a) \$26,257,488.00

TOTAL PART XV, line 3 (b) \$20,898,598.00

## Key to Tax Status

- 1 U.S. church, school, hospital, government agency  
509(a)(1) and 170(b)(1)(A)(i-iii, v)
- 2 U.S. publicly supported organization  
509(a)(1) and 170(b)(1)(A)(iv, vi); 509(a)(2); 509(a)(3);  
including 4940(d)(2) (exempt operating foundation)
- 3 U.S. private operating foundation
- 4 U.S. private non-operating foundation
- 5 U.S. non 501(c)(3)
- 6 foreign church, school, hospital, government agency
- 7 foreign organization equivalent to publicly supported 501(c)(3)
- 8 foreign organization equivalent to private foundation
- 9 foreign organization not equivalent to 501(c)(3)