

**Return of Private Foundation**  
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.  
▶ Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

For calendar year 2021 or tax year beginning , and ending

|  |   |  |
|--|---|--|
| Name of foundation<br><b>ROCKEFELLER BROTHERS FUND, INC.</b>   |   | <b>A Employer identification number</b><br>13-1760106  |
| Number and street (or P.O. box number if mail is not delivered to street address)<br><b>475 RIVERSIDE DRIVE</b>  | Room/suite<br>900   | <b>B Telephone number</b><br>212-812-4200  |
| City or town, state or province, country, and ZIP or foreign postal code<br><b>NEW YORK, NY 10115</b>  |   | <b>C</b> If exemption application is pending, check here ... <input type="checkbox"/>  |
| <b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity<br><input type="checkbox"/> Final return <input type="checkbox"/> Amended return<br><input type="checkbox"/> Address change <input type="checkbox"/> Name change |   | <b>D 1.</b> Foreign organizations, check here ..... <input type="checkbox"/><br><b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation ..... <input type="checkbox"/> |
| <b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation   |   | <b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here ... <input type="checkbox"/>   |
| <b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16)<br>\$ <b>1,654,004,790.</b>  | <b>J</b> Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) _____ | <b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ... <input type="checkbox"/>  |

| <b>Part I Analysis of Revenue and Expenses</b><br><small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)</small> |  | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|--|------------------------------------|---------------------------|-------------------------|---|
| <b>Revenue</b>  | <b>1</b> Contributions, gifts, grants, etc., received .....                                | 8,581,618.                         |                           | N/A                     |   |
|   | <b>2</b> Check <input type="checkbox"/> if the foundation is not required to attach Sch. B |                                    |                           |                         |   |
|   | <b>3</b> Interest on savings and temporary cash investments .....                          | 1,313.                             | 1,313.                    |                         | STATEMENT 1   |
|   | <b>4</b> Dividends and interest from securities .....                                      | 4,707,450.                         | 14,976,730.               |                         | STATEMENT 2   |
|   | <b>5a</b> Gross rents .....  |                                    |                           |                         |   |
|   | <b>b</b> Net rental income or (loss) .....   |                                    |                           |                         |   |
|   | <b>6a</b> Net gain or (loss) from sale of assets not on line 10 .....                      | 80,620,335.                        |                           |                         |   |
|   | <b>b</b> Gross sales price for all assets on line 6a .....                                 | 824,341,955.                       |                           |                         |   |
|   | <b>7</b> Capital gain net income (from Part IV, line 2) .....                              |                                    | 149,247,248.              |                         |   |
|   | <b>8</b> Net short-term capital gain .....   |                                    |                           |                         |   |
|   | <b>9</b> Income modifications .....  |                                    |                           |                         |   |
|   | <b>10a</b> Gross sales less returns and allowances .....                                   |                                    |                           |                         |   |
| <b>b</b> Less: Cost of goods sold .....   |  |                                    |                           |                         |   |
| <b>c</b> Gross profit or (loss) .....   |  |                                    |                           |                         |   |
| <b>11</b> Other income .....  | 754,587.   | 1,351,893.                         |                           | STATEMENT 3             |   |
| <b>12 Total.</b> Add lines 1 through 11 .....   | 94,665,303.  | 165,577,184.                       |                           |                         |   |
| <b>Operating and Administrative Expenses</b>  | <b>13</b> Compensation of officers, directors, trustees, etc. ....                         | 2,466,776.                         | 137,439.                  |                         | 2,329,337.  |
|   | <b>14</b> Other employee salaries and wages .....  | 4,576,502.                         | 85,749.                   |                         | 4,622,149.  |
|   | <b>15</b> Pension plans, employee benefits .....   | 3,534,766.                         | 79,952.                   |                         | 2,979,910.  |
|   | <b>16a</b> Legal fees ..... STMT 4   | 343,260.                           | 136,027.                  |                         | 143,116.  |
|   | <b>b</b> Accounting fees ..... STMT 5  | 256,903.                           | 69,478.                   |                         | 113,236.  |
|   | <b>c</b> Other professional fees ..... STMT 6  | 15,518,717.                        | 9,088,372.                |                         | 5,381,531.  |
|   | <b>17</b> Interest .....   |                                    | 529,020.                  |                         |   |
|   | <b>18</b> Taxes ..... STMT 7   | 5,948,235.                         | 853,960.                  |                         | 95,827.   |
|   | <b>19</b> Depreciation and depletion .....   | 1,144,136.                         | 0.                        |                         |   |
|   | <b>20</b> Occupancy .....  | 940,105.                           | 14,886.                   |                         | 940,521.  |
|   | <b>21</b> Travel, conferences, and meetings .....  | 336,101.                           | 4,625.                    |                         | 342,161.  |
|   | <b>22</b> Printing and publications .....  | 96,050.                            | 2,480.                    |                         | 93,570.   |
|   | <b>23</b> Other expenses ..... STMT 8  | 1,608,552.                         | 12,775,079.               |                         | 1,815,766.  |
|   | <b>24 Total operating and administrative expenses.</b> Add lines 13 through 23 .....       | 36,770,103.                        | 23,777,067.               |                         | 18,857,124.   |
|   | <b>25</b> Contributions, gifts, grants paid .....  | 53,593,013.                        |                           |                         | 54,223,167.   |
| <b>26 Total expenses and disbursements.</b> Add lines 24 and 25 .....   | 90,363,116.  | 23,777,067.                        |                           | 73,080,291.             |   |
| <b>27</b> Subtract line 26 from line 12:  |  |                                    |                           |                         |   |
| <b>a</b> Excess of revenue over expenses and disbursements ...  | 4,302,187.   |                                    |                           |                         |   |
| <b>b Net investment income</b> (if negative, enter -0-) .....   |  | 141,800,117.                       |                           |                         |   |
| <b>c Adjusted net income</b> (if negative, enter -0-) .....   |  |                                    | N/A                       |                         |   |

# Application for Automatic Extension of Time To File an Exempt Organization Return

Department of the Treasury  
Internal Revenue Service

▶ **File a separate application for each return.**  
▶ **Go to [www.irs.gov/Form8868](http://www.irs.gov/Form8868) for the latest information.**

**Electronic filing (e-file).** You can electronically file Form 8868 to request a 6-month automatic extension of time to file any of the forms listed below with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, for which an extension request must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/e-file-providers/e-file-for-charities-and-non-profits](http://www.irs.gov/e-file-providers/e-file-for-charities-and-non-profits).

**Automatic 6-Month Extension of Time.** Only submit original (no copies needed).

All corporations required to file an income tax return other than Form 990-T (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

|  |  |  |
|--|--|--|
| <b>Type or print</b>   | Name of exempt organization or other filer, see instructions.<br><br>ROCKEFELLER BROTHERS FUND, INC.           | Taxpayer identification number (TIN)<br><br>13-1760106 |
| File by the due date for filing your return. See instructions. | Number, street, and room or suite no. If a P.O. box, see instructions.<br>475 RIVERSIDE DRIVE, 900             |  |
|  | City, town or post office, state, and ZIP code. For a foreign address, see instructions.<br>NEW YORK, NY 10115 |  |

Enter the Return Code for the return that this application is for (file a separate application for each return) 0 4

| Application Is For                       | Return Code | Application Is For                | Return Code |
|--|-------------|-----------------------------------|-------------|
| Form 990 or Form 990-EZ                  | 01          | Form 1041-A                       | 08          |
| Form 4720 (individual)                   | 03          | Form 4720 (other than individual) | 09          |
| Form 990-PF                              | 04          | Form 5227                         | 10          |
| Form 990-T (sec. 401(a) or 408(a) trust) | 05          | Form 6069                         | 11          |
| Form 990-T (trust other than above)      | 06          | Form 8870                         | 12          |
| Form 990-T (corporation)                 | 07          |                                   |             |

GERALDINE F. WATSON

- The books are in the care of ▶ 475 RIVERSIDE DRIVE - NEW YORK, NY 10115

Telephone No. ▶ 212-812-4200 Fax No. ▶ \_\_\_\_\_

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_. If this is for the whole group, check this box . If it is for part of the group, check this box  and attach a list with the names and TINs of all members the extension is for.

**1** I request an automatic 6-month extension of time until NOVEMBER 15, 2022, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

- ▶  calendar year 2021 or
- ▶  tax year beginning \_\_\_\_\_, and ending \_\_\_\_\_.

**2** If the tax year entered in line 1 is for less than 12 months, check reason:  Initial return  Final return  Change in accounting period

|   |           |    |            |
|---|-----------|----|------------|
| <b>3a</b> If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.   | <b>3a</b> | \$ | 2,920,894. |
| <b>b</b> If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit. | <b>3b</b> | \$ | 2,320,894. |
| <b>c Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.              | <b>3c</b> | \$ | 600,000.   |

**Caution:** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-TE and Form 8879-TE for payment instructions.

| Part II Balance Sheets      |   | Attached schedules and amounts in the description column should be for end-of-year amounts only. |                | Beginning of year     | End of year    |              |
|-----------------------------|---|--|----------------|-----------------------|----------------|--------------|
|                             |   | (a) Book Value   | (b) Book Value | (c) Fair Market Value |                |              |
| Assets                      | 1   | Cash - non-interest-bearing  |                | 3,071,232.            | 3,898,821.     | 3,898,821.   |
|                             | 2   | Savings and temporary cash investments   |                | 82,185,613.           | 51,396,866.    | 51,396,866.  |
|                             | 3   | Accounts receivable  | 2,887,340.     |                       |                |              |
|                             |   | Less: allowance for doubtful accounts  |                | 1,530,522.            | 2,887,340.     | 2,887,340.   |
|                             | 4   | Pledges receivable   | 1,935,000.     |                       |                |              |
|                             |   | Less: allowance for doubtful accounts  |                | 750,000.              | 1,935,000.     | 1,935,000.   |
|                             | 5   | Grants receivable  |                |                       |                |              |
|                             | 6   | Receivables due from officers, directors, trustees, and other disqualified persons               |                |                       |                |              |
|                             | 7   | Other notes and loans receivable   | 468,698.       |                       |                |              |
|                             |   | Less: allowance for doubtful accounts  | 0.             | 588,698.              | 468,698.       | 468,698.     |
|                             | 8   | Inventories for sale or use  |                |                       |                |              |
|                             | 9   | Prepaid expenses and deferred charges  |                | 515,586.              | 652,388.       | 652,388.     |
|                             | 10a   | Investments - U.S. and state government obligations  | STMT 10        | 16,689,249.           | 12,964,131.    | 12,964,131.  |
|                             | b   | Investments - corporate stock  | STMT 11        | 166,132,525.          | 158,057,288.   | 158,057,288. |
|                             | c   | Investments - corporate bonds  | STMT 12        | 21,897,150.           | 18,549,893.    | 18,549,893.  |
|                             | 11  | Investments - land, buildings, and equipment: basis  |                |                       |                |              |
|                             | Less: accumulated depreciation  |  |                |                       |                |              |
| 12                          | Investments - mortgage loans  | STMT 13  | 0.             | 2,177,265.            | 2,177,265.     |              |
| 13                          | Investments - other   | STMT 14  | 1,150,542,206. | 1,372,670,476.        | 1,372,670,476. |              |
| 14                          | Land, buildings, and equipment: basis   | 43,415,313.  |                |                       |                |              |
|                             | Less: accumulated depreciation  | STMT 15  | 34,783,173.    | 8,068,718.            | 8,632,140.     |              |
| 15                          | Other assets (describe)   | STATEMENT 16   | 8,326,112.     | 19,714,484.           | 19,714,484.    |              |
| 16                          | <b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I)                        |  | 1,460,297,611. | 1,654,004,790.        | 1,654,004,790. |              |
| Liabilities                 | 17  | Accounts payable and accrued expenses  |                | 14,488,507.           | 18,190,244.    |              |
|                             | 18  | Grants payable   |                | 17,772,040.           | 17,141,885.    |              |
|                             | 19  | Deferred revenue   |                |                       |                |              |
|                             | 20  | Loans from officers, directors, trustees, and other disqualified persons                         |                |                       |                |              |
|                             | 21  | Mortgages and other notes payable  |                |                       |                |              |
|                             | 22  | Other liabilities (describe)   | STATEMENT 17   | 7,870,792.            | 12,402,796.    |              |
| 23                          | <b>Total liabilities</b> (add lines 17 through 22)  |  | 40,131,339.    | 47,734,925.           |                |              |
| Net Assets or Fund Balances | Foundations that follow FASB ASC 958, check here <input checked="" type="checkbox"/> and complete lines 24, 25, 29, and 30. |  |                |                       |                |              |
|                             | 24  | Net assets without donor restrictions  |                | 1,057,004,438.        | 1,192,883,530. |              |
|                             | 25  | Net assets with donor restrictions   |                | 363,161,834.          | 413,386,335.   |              |
|                             | Foundations that do not follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 26 through 30.          |  |                |                       |                |              |
|                             | 26  | Capital stock, trust principal, or current funds   |                |                       |                |              |
|                             | 27  | Paid-in or capital surplus, or land, bldg., and equipment fund                                   |                |                       |                |              |
|                             | 28  | Retained earnings, accumulated income, endowment, or other funds                                 |                |                       |                |              |
| 29                          | <b>Total net assets or fund balances</b>  |  | 1,420,166,272. | 1,606,269,865.        |                |              |
| 30                          | <b>Total liabilities and net assets/fund balances</b>   |  | 1,460,297,611. | 1,654,004,790.        |                |              |

Part III Analysis of Changes in Net Assets or Fund Balances

|   |  |   |   |
|---|--|---|---|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) | 1 | 1,420,166,272.                            |
| 2 | Enter amount from Part I, line 27a   | 2 | 4,302,187.                                |
| 3 | Other increases not included in line 2 (itemize)   | 3 | SEE STATEMENT 9                           |
| 4 | Add lines 1, 2, and 3  | 4 | 1,607,842,909.                            |
| 5 | Decreases not included in line 2 (itemize)   | 5 | PROVISION FOR DEFERRED FEDERAL EXCISE TAX |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29  | 6 | 1,606,269,865.                            |

**Part IV Capital Gains and Losses for Tax on Investment Income**

SEE ATTACHED STATEMENTS

| (a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)  |   | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.)  | (d) Date sold<br>(mo., day, yr.) |
|--|---|--|---|----------------------------------|
| 1a   |   |  |   |                                  |
| b  |   |  |   |                                  |
| c  |   |  |   |                                  |
| d  |   |  |   |                                  |
| e  |   |  |   |                                  |
| (e) Gross sales price  | (f) Depreciation allowed<br>(or allowable)  | (g) Cost or other basis<br>plus expense of sale  | (h) Gain or (loss)<br>((e) plus (f) minus (g))  |                                  |
| a  |   |  |   |                                  |
| b  |   |  |   |                                  |
| c  |   |  |   |                                  |
| d  |   |  |   |                                  |
| e  | 187,068.  | 14,836.  | 149,247,248.  |                                  |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.   |   |  | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |                                  |
| (i) FMV as of 12/31/69   | (j) Adjusted basis<br>as of 12/31/69  | (k) Excess of col. (i)<br>over col. (j), if any  |   |                                  |
| a  |   |  |   |                                  |
| b  |   |  |   |                                  |
| c  |   |  |   |                                  |
| d  |   |  |   |                                  |
| e  |   |  | 149,247,248.  |                                  |
| 2 Capital gain net income or (net capital loss)  | { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 ..... } |  | 2   | 149,247,248.                     |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8 ..... | { ..... }   |  | 3   | N/A                              |

**Part V Excise Tax Based on Investment Income (Section 4940(a), 4940(b), or 4948 - see instructions)**

|  |    |            |
|--|----|------------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1.<br>Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instructions) | 1  | 1,971,022. |
| b All other domestic foundations enter 1.39% (0.0139) of line 27b. Exempt foreign organizations, enter 4% (0.04) of Part I, line 12, col. (b) .....  |    |            |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-) .....   | 2  | 0.         |
| 3 Add lines 1 and 2 .....  | 3  | 1,971,022. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-) .....   | 4  | 0.         |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- .....  | 5  | 1,971,022. |
| 6 Credits/Payments:  |    |            |
| a 2021 estimated tax payments and 2020 overpayment credited to 2021 .....  | 6a | 2,320,894. |
| b Exempt foreign organizations - tax withheld at source .....  | 6b | 0.         |
| c Tax paid with application for extension of time to file (Form 8868) .....  | 6c | 600,000.   |
| d Backup withholding erroneously withheld .....  | 6d | 0.         |
| 7 Total credits and payments. Add lines 6a through 6d .....  | 7  | 2,920,894. |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached .....   | 8  | 0.         |
| 9 Tax due. If the total of lines 5 and 8 is more than 7, enter amount owed .....   | 9  |            |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid .....   | 10 | 949,872.   |
| 11 Enter the amount of line 10 to be: Credited to 2022 estimated tax <input type="checkbox"/> 949,872.   Refunded <input type="checkbox"/> .....   | 11 | 0.         |

Part VI-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes?
1c Did the foundation file Form 1120-POL for this year?
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments?
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?
4b If "Yes," has it filed a tax return on Form 990-T for this year?
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:
7 Did the foundation have at least \$5,000 in assets at any time during the year?
8a Enter the states to which the foundation reports or with which it is registered.
8b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G?
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2021 or the tax year beginning in 2021?
10 Did any persons become substantial contributors during the tax year?
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)?
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges?
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?
14 The books are in care of GERALDINE F. WATSON Telephone no. 212-812-4200 Located at 475 RIVERSIDE DRIVE, NEW YORK, NY ZIP+4 10115
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year 15 N/A
16 At any time during calendar year 2021, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country SEE STATEMENT 18

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Table with columns: Question, Yes, No. Rows include 1a(1) through 4b, covering various activities like property sales, borrowing, and business holdings.

**Part VI-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

|  | Yes | No |
|--|-----|----|
| <b>5a</b> During the year, did the foundation pay or incur any amount to:  |     |    |
| (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  |     | X  |
| (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  |     | X  |
| (3) Provide a grant to an individual for travel, study, or other similar purposes?   |     | X  |
| (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions  | X   |    |
| (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  |     | X  |
| <b>b</b> If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions |     | X  |
| <b>c</b> Organizations relying on a current notice regarding disaster assistance, check here <input type="checkbox"/>  |     |    |
| <b>d</b> If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? SEE STATEMENT 22   | X   |    |
| If "Yes," attach the statement required by Regulations section 53.4945-5(d).   |     |    |
| <b>6a</b> Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  |     | X  |
| <b>b</b> Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.  |     | X  |
| <b>7a</b> At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?   |     | X  |
| <b>b</b> If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A   |     |    |
| <b>8</b> Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?  |     | X  |

**Part VII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1** List all officers, directors, trustees, and foundation managers and their compensation.

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|---|---|---|---------------------------------------|
| SEE STATEMENT 21     |   | 246,677.6                                 | 429,361.  | 34,008.                               |
|                      |   |   |   |                                       |
|                      |   |   |   |                                       |
|                      |   |   |   |                                       |
|                      |   |   |   |                                       |
|                      |   |   |   |                                       |
|                      |   |   |   |                                       |
|                      |   |   |   |                                       |

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000                  | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|--|---|------------------|---|---------------------------------------|
| MICHAEL NORTHROP - 475 RIVERSIDE DRIVE, SUITE 900, NEW YORK, NY 10115          | PROGRAM DIRECTOR<br>40.00                                 | 266,349.         | 83,507.   | 4,559.                                |
| BENIGNO RODRIGUEZ-CUBENAS - 475 RIVERSIDE DRIVE, SUITE 900, NEW YORK, NY 10115 | PROGRAM DIRECTOR<br>40.00                                 | 241,164.         | 46,959.   | 4,877.                                |
| SHENYU BELSKY - 475 RIVERSIDE DRIVE, SUITE 900, NEW YORK, NY 10115             | PROGRAM DIRECTOR<br>40.00                                 | 231,954.         | 45,231.   | 4,850.                                |
| THOMAS KRUSE - 475 RIVERSIDE DRIVE, SUITE 900, NEW YORK, NY 10115              | PROGRAM DIRECTOR<br>40.00                                 | 214,081.         | 63,546.   | 4,221.                                |
| KEESHA GASKINS-NATHAN - 475 RIVERSIDE DRIVE, SUITE 900, NEW YORK, NY 10115     | PROGRAM DIRECTOR<br>40.00                                 | 204,905.         | 51,617.   | 4,202.                                |
| <b>Total</b> number of other employees paid over \$50,000                      |   |                  |   | 45                                    |

**Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

| (a) Name and address of each person paid more than \$50,000                     | (b) Type of service     | (c) Compensation |
|---|-------------------------|------------------|
| YORKE CONSTRUCTION CORPORATION<br>140 WEST 31ST STREET, NEW YORK, NY 10001      | CONSTRUCTION MANAGEMENT | 11,783,349.      |
| AGILITY<br>767 FIFTH AVENUE, NEW YORK, NY 10153                                 | INVESTMENT MANAGEMENT   | 8,390,507.       |
| GREENROCK CORPORATION<br>200 LAKE ROAD, SLEEPY HOLLOW, NY 10591                 | FACILITIES MAINTENANCE  | 3,064,377.       |
| ALL-BRIGHT ELECTRIC<br>101 SNAKE HILL ROAD, WEST NYACK, NY 10995                | FACILITIES MAINTENANCE  | 722,334.         |
| INVESTURE, LLC - 240 W MAIN STREET, SUITE<br>500, CHARLOTTESVILLE, VA 22902     | INVESTMENT MANAGEMENT   | 617,872.         |
| <b>Total</b> number of others receiving over \$50,000 for professional services |                         | 26               |

**Part VIII-A Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses    |
|--|-------------|
| 1<br>SEE STATEMENT 23  | 7,219,531.  |
| 2<br>SEE STATEMENT 24  | 11,461,126. |
| 3<br>SEE STATEMENT 25  | 739,209.    |
| 4<br>SEE STATEMENT 26  | 126,311.    |

**Part VIII-B Summary of Program-Related Investments**

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|---|--------|
| 1 N/A   |        |
| 2   |        |
| All other program-related investments. See instructions.  |        |
| 3   |        |
| <b>Total.</b> Add lines 1 through 3   | 0.     |

**Part IX Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|   |   |    |                |
|---|---|----|----------------|
| 1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: |   |    |                |
| a   | Average monthly fair market value of securities .....   | 1a | 1,506,144,768. |
| b   | Average of monthly cash balances .....  | 1b | 4,419,944.     |
| c   | Fair market value of all other assets (see instructions) .....  | 1c |                |
| d   | <b>Total</b> (add lines 1a, b, and c) .....   | 1d | 1,510,564,712. |
| e   | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) .....       | 1e | 0.             |
| 2   | Acquisition indebtedness applicable to line 1 assets .....  | 2  | 0.             |
| 3   | Subtract line 2 from line 1d .....  | 3  | 1,510,564,712. |
| 4   | Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions) ..... | 4  | 22,658,471.    |
| 5   | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 .....                                       | 5  | 1,487,906,241. |
| 6   | <b>Minimum investment return.</b> Enter 5% (0.05) of line 5 .....   | 6  | 74,395,312.    |

**Part X Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here  and do not complete this part.)

|    |  |    |             |
|----|--|----|-------------|
| 1  | Minimum investment return from Part IX, line 6 .....   | 1  | 74,395,312. |
| 2a | Tax on investment income for 2021 from Part V, line 5 .....  | 2a | 1,971,022.  |
| b  | Income tax for 2021. (This does not include the tax from Part V.) .....  | 2b | 1,974,411.  |
| c  | Add lines 2a and 2b .....  | 2c | 3,945,433.  |
| 3  | Distributable amount before adjustments. Subtract line 2c from line 1 .....                                    | 3  | 70,449,879. |
| 4  | Recoveries of amounts treated as qualifying distributions .....  | 4  | 15,015.     |
| 5  | Add lines 3 and 4 .....  | 5  | 70,464,894. |
| 6  | Deduction from distributable amount (see instructions) .....   | 6  | 0.          |
| 7  | <b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1 ..... | 7  | 70,464,894. |

**Part XI Qualifying Distributions** (see instructions)

|  |   |    |             |
|--|---|----|-------------|
| 1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: |   |    |             |
| a  | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 .....                             | 1a | 73,080,291. |
| b  | Program-related investments - total from Part VIII-B .....  | 1b | 0.          |
| 2  | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes ..... | 2  | 13,064,946. |
| 3 Amounts set aside for specific charitable projects that satisfy the:                       |   |    |             |
| a  | Suitability test (prior IRS approval required) .....  | 3a |             |
| b  | Cash distribution test (attach the required schedule) .....   | 3b |             |
| 4  | <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part XII, line 4 .....              | 4  | 86,145,237. |

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**Part XII Undistributed Income** (see instructions)

|   | (a)<br>Corpus | (b)<br>Years prior to 2020 | (c)<br>2020 | (d)<br>2021 |
|---|---------------|----------------------------|-------------|-------------|
| <b>1</b> Distributable amount for 2021 from Part X, line 7 .....  |               |                            |             | 70,464,894. |
| <b>2</b> Undistributed income, if any, as of the end of 2021:   |               |                            |             |             |
| <b>a</b> Enter amount for 2020 only .....   |               |                            | 0.          |             |
| <b>b</b> Total for prior years:   |               | 0.                         |             |             |
| <b>3</b> Excess distributions carryover, if any, to 2021:   |               |                            |             |             |
| <b>a</b> From 2016 .....  | 2,528,058.    |                            |             |             |
| <b>b</b> From 2017 .....  | 2,081,133.    |                            |             |             |
| <b>c</b> From 2018 .....  | 489,692.      |                            |             |             |
| <b>d</b> From 2019 .....  |               |                            |             |             |
| <b>e</b> From 2020 .....  | 11,099,439.   |                            |             |             |
| <b>f</b> Total of lines 3a through e .....  | 16,198,322.   |                            |             |             |
| <b>4</b> Qualifying distributions for 2021 from Part XI, line 4: ▶ \$ 86,145,237.   |               |                            |             |             |
| <b>a</b> Applied to 2020, but not more than line 2a ...   |               |                            | 0.          |             |
| <b>b</b> Applied to undistributed income of prior years (Election required - see instructions) ...  |               | 0.                         |             |             |
| <b>c</b> Treated as distributions out of corpus (Election required - see instructions) .....  | 0.            |                            |             |             |
| <b>d</b> Applied to 2021 distributable amount .....   |               |                            |             | 70,464,894. |
| <b>e</b> Remaining amount distributed out of corpus .....   | 15,680,343.   |                            |             |             |
| <b>5</b> Excess distributions carryover applied to 2021 (If an amount appears in column (d), the same amount must be shown in column (a).) .....  | 0.            |                            |             | 0.          |
| <b>6</b> Enter the net total of each column as indicated below:   |               |                            |             |             |
| <b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 .....  | 31,878,665.   |                            |             |             |
| <b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b .....   |               | 0.                         |             |             |
| <b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed ..... |               | 0.                         |             |             |
| <b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions .....   |               | 0.                         |             |             |
| <b>e</b> Undistributed income for 2020. Subtract line 4a from line 2a. Taxable amount - see instr. ...  |               |                            | 0.          |             |
| <b>f</b> Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2022 .....  |               |                            |             | 0.          |
| <b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) .....       | 1,777,335.    |                            |             |             |
| <b>8</b> Excess distributions carryover from 2016 not applied on line 5 or line 7 .....   | 750,723.      |                            |             |             |
| <b>9</b> Excess distributions carryover to 2022. Subtract lines 7 and 8 from line 6a .....  | 29,350,607.   |                            |             |             |
| <b>10</b> Analysis of line 9:   |               |                            |             |             |
| <b>a</b> Excess from 2017 ...   | 2,081,133.    |                            |             |             |
| <b>b</b> Excess from 2018 ...   | 489,692.      |                            |             |             |
| <b>c</b> Excess from 2019 ...   |               |                            |             |             |
| <b>d</b> Excess from 2020 ...   | 11,099,439.   |                            |             |             |
| <b>e</b> Excess from 2021 ...   | 15,680,343.   |                            |             |             |

Part XIII Private Operating Foundations (see instructions and Part VI-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2021, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

Table with 5 columns: (a) 2021, (b) 2020, (c) 2019, (d) 2018, (e) Total. Rows include 2a-e (Qualifying distributions) and 3a-d (Alternative tests).

Part XIV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers: a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs: Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed: REFER TO WEBSITE: HTTPS://WWW.RBF.ORG/GRANTS/FOR-GRANT-SEEKERS, 212-812-4200 475 RIVERSIDE DRIVE #900, NEW YORK, NY 10115

b The form in which applications should be submitted and information and materials they should include: SEE STATEMENT 30

c Any submission deadlines: SEE STATEMENT 30

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors: SEE STATEMENTS 30 AND 31

**Part XIV** **Supplementary Information** (continued)

| <b>3 Grants and Contributions Paid During the Year or Approved for Future Payment</b>            |   |                                |  |             |
|--|---|--------------------------------|--|-------------|
| Recipient  | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution<br>**                   | Amount      |
| Name and address (home or business)  |   |                                |  |             |
| <b>a Paid during the year</b>  |   |                                |  |             |
| 2030, INC.<br>607 CERRILLOS ROAD, SUITE G<br>SANTA FE, NM 87505                                  | N/A   | PC                             | FOR GENERAL SUPPORT                                      | 250,000.    |
| 2030, INC.<br>607 CERRILLOS ROAD, SUITE G<br>SANTA FE, NM 87505                                  | N/A   | PC                             | FOR ITS CARBON POSITIVE PROJECT                          | 100,000.    |
| 2030, INC.<br>607 CERRILLOS ROAD, SUITE G<br>SANTA FE, NM 87505                                  | N/A   | PC                             | FOR ADVANCING A ZERO NET CARBON BUILDING SECTOR IN CHINA | 200,000.    |
| A JEWISH VOICE FOR PEACE, INC.<br>P.O. BOX 589<br>BERKELEY, CA 94701                             | N/A   | PC                             | FOR GENERAL SUPPORT                                      | 90,000.     |
| ACTION CENTER ON RACE AND THE ECONOMY INSTITUTE<br>1901 WEST CARROLL AVENUE<br>CHICAGO, IL 60612 | N/A   | PC                             | FOR GENERAL SUPPORT                                      | 50,000.     |
| <b>Total</b>   | SEE CONTINUATION SHEET(S) ▶ <b>3a</b>   |                                |  | 54,223,167. |
| <b>b Approved for future payment</b>   |   |                                |  |             |
| 2030, INC.<br>607 CERRILLOS ROAD, SUITE G<br>SANTA FE, NM 87505                                  | N/A   | PC                             | FOR ADVANCING A ZERO NET CARBON BUILDING SECTOR IN CHINA | 200,000.    |
| A JEWISH VOICE FOR PEACE, INC.<br>P.O. BOX 589<br>BERKELEY, CA 94701                             | N/A   | PC                             | FOR GENERAL SUPPORT                                      | 75,000.     |
| AMALGAMATED CHARITABLE FOUNDATION, INC.<br>1825 K STREET, NW<br>WASHINGTON, DC 20006             | N/A   | PC                             | FOR ITS DEMOCRACY FRONTLINES FUND                        | 81,855.     |
| <b>Total</b>   | SEE CONTINUATION SHEET(S) ▶ <b>3b</b>   |                                |  | 17,141,885. |





**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold, e.g., real estate,<br>2-story brick warehouse; or common stock, 200 shs. MLC Co. | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|--|--|--------------------------------------|----------------------------------|
| 1a SALES OF PUBLICLY TRADED SECURITIES   | P  |                                      |                                  |
| b SALES OF PUBLICLY TRADED SECURITIES  | D  |                                      |                                  |
| c AEOLUS PROPERTY CATASTROPHE FUND   | P  |                                      |                                  |
| d BEACH POINT DYNAMIC INCOME   | P  |                                      |                                  |
| e DCIG CAPITAL LTD   | P  |                                      |                                  |
| f ETON PARK  | P  |                                      |                                  |
| g EUROPA FUND IV, L.P.   | P  |                                      |                                  |
| h EUROPA II  | P  |                                      |                                  |
| i GENERATION IM CLIMATE SOLUTIONS FUND II  | P  |                                      |                                  |
| j GHO CAPITAL FUND III   | P  |                                      |                                  |
| k GOOD HILL OVERSEAS FUND LTD  | P  |                                      |                                  |
| l HUDSON BAY INTERNATIONAL FUND  | P  |                                      |                                  |
| m ISHANA CAPITAL OFFSHORE FUND   | P  |                                      |                                  |
| n MOON CAPITAL GLOBAL EQUITY PARTNERS  | P  |                                      |                                  |
| o ORION EUROPEAN REAL ESTATE II  | P  |                                      |                                  |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a                     |  |   | 26,122,231.                                  |
| b                     | 187,068.                                   | 14,836.   | 172,232.                                     |
| c                     |  |   | -499,324.                                    |
| d                     |  |   | 4,011,966.                                   |
| e                     |  |   | 1,157,291.                                   |
| f                     |  |   | -38,729.                                     |
| g                     |  |   | -1,046.                                      |
| h                     |  |   | -4,162,035.                                  |
| i                     |  |   | 4,373,372.                                   |
| j                     |  |   | -14,767.                                     |
| k                     |  |   | 5,436,575.                                   |
| l                     |  |   | 740,970.                                     |
| m                     |  |   | 1,518,666.                                   |
| n                     |  |   | 112,675.                                     |
| o                     |  |   | -2,457,869.                                  |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any | (l) Losses (from col. (h))<br>Gains (excess of col. (h) gain over col. (k),<br>but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a                         |                                      |   | 26,122,231.   |
| b                         |                                      |   | 172,232.  |
| c                         |                                      |   | -499,324.   |
| d                         |                                      |   | 4,011,966.  |
| e                         |                                      |   | 1,157,291.  |
| f                         |                                      |   | -38,729.  |
| g                         |                                      |   | -1,046.   |
| h                         |                                      |   | -4,162,035.   |
| i                         |                                      |   | 4,373,372.  |
| j                         |                                      |   | -14,767.  |
| k                         |                                      |   | 5,436,575.  |
| l                         |                                      |   | 740,970.  |
| m                         |                                      |   | 1,518,666.  |
| n                         |                                      |   | 112,675.  |
| o                         |                                      |   | -2,457,869.   |

|   |   |  |
|---|---|--|
| 2 Capital gain net income or (net capital loss) ..... { If gain, also enter in Part I, line 7<br>If (loss), enter "-0-" in Part I, line 7 } .....                                       | 2 |  |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter "-0-" in Part I, line 8 ..... | 3 |  |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold, e.g., real estate,<br>2-story brick warehouse; or common stock, 200 shs. MLC Co. | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|--|--|--------------------------------------|----------------------------------|
| 1a OZ CREDIT OPPORTUNITIES OVERSEAS FUND LP  | P  |                                      |                                  |
| b STIRLING SQ CAP PTRS   | P  |                                      |                                  |
| c STIRLING SQUARE MEDISUP CO-INVESTMENT  | P  |                                      |                                  |
| d GAINS THROUGH K-1S, NET OF UBI   | P  |                                      |                                  |
| e  |  |                                      |                                  |
| f  |  |                                      |                                  |
| g  |  |                                      |                                  |
| h  |  |                                      |                                  |
| i  |  |                                      |                                  |
| j  |  |                                      |                                  |
| k  |  |                                      |                                  |
| l  |  |                                      |                                  |
| m  |  |                                      |                                  |
| n  |  |                                      |                                  |
| o  |  |                                      |                                  |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a                     |  |   | 34,396.                                      |
| b                     |  |   | -15,667.                                     |
| c                     |  |   | -4,413.                                      |
| d                     |  |   | 112,760,724.                                 |
| e                     |  |   |  |
| f                     |  |   |  |
| g                     |  |   |  |
| h                     |  |   |  |
| i                     |  |   |  |
| j                     |  |   |  |
| k                     |  |   |  |
| l                     |  |   |  |
| m                     |  |   |  |
| n                     |  |   |  |
| o                     |  |   |  |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any | (l) Losses (from col. (h))<br>Gains (excess of col. (h) gain over col. (k),<br>but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a                         |                                      |   | 34,396.   |
| b                         |                                      |   | -15,667.  |
| c                         |                                      |   | -4,413.   |
| d                         |                                      |   | 112,760,724.  |
| e                         |                                      |   |   |
| f                         |                                      |   |   |
| g                         |                                      |   |   |
| h                         |                                      |   |   |
| i                         |                                      |   |   |
| j                         |                                      |   |   |
| k                         |                                      |   |   |
| l                         |                                      |   |   |
| m                         |                                      |   |   |
| n                         |                                      |   |   |
| o                         |                                      |   |   |

|   |   |              |
|---|---|--------------|
| 2 Capital gain net income or (net capital loss) ..... { If gain, also enter in Part I, line 7<br>If (loss), enter "-0-" in Part I, line 7 } .....                                       | 2 | 149,247,248. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter "-0-" in Part I, line 8 ..... | 3 | N/A          |

**Part XIV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution                                    | Amount             |
|--|--|--------------------------------------|--|--------------------|
| AFGHANISTAN ANALYSTS NETWORK<br>WEITZGRUENDER STRASSE 48<br>BERLIN, GERMANY 12623  | N/A  | PC                                   | FOR GENERAL SUPPORT  | 75,000.            |
| AFGHANISTAN INDEPENDENT HUMAN RIGHTS<br>COMMISSION<br>FAIZ MOHAMMAD KATEB ROAD, DARULAMAN,<br>6TH DISTRICT KABUL, AFGHANISTAN 0093 | N/A  | GOV                                  | FOR ITS INITIATIVE FOR<br>THE PEACE PROCESS                            | 75,000.            |
| AKEVOT INSTITUTE FOR<br>ISRAELI-PALESTINIAN CONFLICT RESEARCH<br>8 NE'EMANIM STREET<br>HAIFA, ISRAEL 3326426                       | N/A  | PC                                   | FOR GENERAL SUPPORT  | 50,000.            |
| AMALGAMATED CHARITABLE FOUNDATION,<br>INC.<br>1825 K STREET, NW<br>WASHINGTON, DC 20006  | N/A  | PC                                   | FOR ITS DEMOCRACY<br>FRONTLINES FUND                                   | 1,980,000.         |
| AMERICAN ACADEMY OF ARTS AND SCIENCES<br>136 IRVING STREET<br>CAMBRIDGE, MA 02138  | N/A  | PC                                   | FOR THE IMPLEMENTATION<br>OF THE OUR COMMON<br>PURPOSE REPORT          | 500,000.           |
| AMERICAN INDIAN COMMUNITY HOUSE, INC.<br>39 ELDRIDGE STREET, 4TH FLOOR<br>NEW YORK, NY 10002                                       | N/A  | PC                                   | FOR GENERAL SUPPORT  | 50,000.            |
| AMERICAN JOURNALISM PROJECT, INC.<br>6218 GEORGIA AVENUE, NW, SUITE 1 -<br>#599 WASHINGTON, DC 20011                               | N/A  | PC                                   | FOR A COMMUNICATIONS<br>ASSOCIATE TO SUPPORT<br>MOVEMENT BUILDING WORK | 50,000.            |
| AMIGOS DEL MUSEO DEL BARRIO, INC.<br>1230 FIFTH AVENUE<br>NEW YORK, NY 10029   | N/A  | PC                                   | FOR ITS TRIENNIAL AND<br>TWO ARTIST EXHIBITIONS                        | 100,000.           |
| ARMS CONTROL ASSOCIATION<br>1200 18TH STREET, NW, SUITE 1175<br>WASHINGTON, DC 20036-1207  | N/A  | PC                                   | FOR ITS PROJECT, THE<br>FORUM ON THE ARMS<br>TRADE                     | 60,000.            |
| ARS NOVA THEATER I, INC.<br>511 WEST 54TH STREET<br>NEW YORK, NY 10019   | N/A  | PC                                   | FOR ITS VISION<br>RESIDENCY  | 50,000.            |
| <b>Total from continuation sheets</b>  |  |                                      |  | <b>53,533,167.</b> |

**Part XIV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient<br>Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution                    | Amount   |
|---|--|--------------------------------------|--|----------|
| ART 21, INC.<br>231 WEST 29TH STREET, SUITE 706<br>NEW YORK, NY 10001   | N/A  | PC                                   | FOR NEW YORK CLOSE UP                                  | 35,000.  |
| ART DEFINED PRODUCTIONS, INC.<br>2850 CLAFLIN AVENUE, SUITE 601<br>BRONX, NY 10468  | N/A  | PC                                   | FOR GENERAL SUPPORT                                    | 35,000.  |
| ARTS FOR ART, INC.<br>107 SUFFOLK STREET, #300<br>NEW YORK, NY 10002-3387   | N/A  | PC                                   | FOR GENERAL SUPPORT                                    | 25,000.  |
| ASIA SOCIETY<br>725 PARK AVENUE<br>NEW YORK, NY 10021   | N/A  | PC                                   | FOR ITS CHINA NGO<br>PROJECT                           | 25,000.  |
| ASIA SOCIETY<br>725 PARK AVENUE<br>NEW YORK, NY 10021   | N/A  | PC                                   | FOR ITS COAL + ICE<br>PROJECT                          | 150,000. |
| ASIAN AMERICAN ARTS ALLIANCE<br>20 JAY STREET, SUITE 740<br>BROOKLYN, NY 11201-9352   | N/A  | PC                                   | FOR GENERAL SUPPORT                                    | 50,000.  |
| ASIAN AMERICANS ADVANCING JUSTICE -<br>AAJC, INC.<br>1620 L STREET, NW, SUITE 1050<br>WASHINGTON, DC 20036                                      | N/A  | PC                                   | FOR GENERAL SUPPORT                                    | 100,000. |
| ASOCIACION BUFETE JURIDICO DE<br>DERECHOS HUMANOS<br>1RA. CALLE 5-51 ZONA 2 2DA. CALLE<br>5-54 ZONA 2 GUATEMALA CITY,<br>GUATEMALA 01002        | N/A  | PC                                   | FOR ITS ANALYSIS UNIT                                  | 70,000.  |
| ASOCIACION CENTRO LATINOAMERICANO DE<br>INVESTIGACION PERIODISTICA<br>DEL COLONO 250 MTS SUR Y 25 MTS ESTE<br>NICOYA, COSTA RICA 50201          | N/A  | PC                                   | FOR GENERAL SUPPORT                                    | 85,000.  |
| ASOCIACION DE AMIGOS DE PLAZA PUBLICA<br>16 AVENIDA A 3-81 ZONA 15 COLONIA EL<br>MAESTRO JARDINES DE MINERVA<br>GUATEMALA CITY, GUATEMALA 01015 | N/A  | PC                                   | FOR THE PRE-PRODUCTION<br>OF AN AUDIOVISUAL<br>PROJECT | 48,000.  |
| <b>Total from continuation sheets</b>   |  |                                      |  |          |

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|--|--|--------------------------------------|---|----------|
| ASOCIACION POP NO'J<br>3 AVENIDA 0-80 ZONA 3, COLONIA BRAN<br>GUATEMALA CITY, GUATEMALA 01003  | N/A  | PC                                   | FOR GENERAL SUPPORT   | 65,000.  |
| ASSOCIATION FOR POST-CONFLICT<br>RESEARCH<br>PRUSCAKOVA 21<br>SARAJEVO, BOSNIA-HERZEGOVINA 71000   | N/A  | PC                                   | FOR ITS PEACE MUSEUM<br>PROJECT   | 30,000.  |
| ASSOCIATION FOR POST-CONFLICT<br>RESEARCH<br>PRUSCAKOVA 21<br>SARAJEVO, BOSNIA-HERZEGOVINA 71000   | N/A  | PC                                   | FOR GENERAL SUPPORT   | 40,000.  |
| ASSOCIATION FOR PROMOTION AND<br>EXPLORATION OF PERFORMING ARTS IN BIH<br>"KONTAKT"<br>BIHACKA 5<br>SARAJEVO, BOSNIA-HERZEGOVINA 71000           | N/A  | PC                                   | FOR GENERAL SUPPORT   | 40,000.  |
| ASSOCIATION FOR SOCIAL INNOVATIONS<br>ASSOCIATION FOR DEVELOPMENT OF NEW<br>OPTIONS SKOPJE<br>ISAIJA MAZHOVSKI 40/2-17<br>SKOPJE, MACEDONIA 1000 | N/A  | PC                                   | FOR GENERAL SUPPORT   | 60,000.  |
| ASSOCIATION NETWORK FOR BUILDING<br>PEACE<br>OBALA KULINA BANA 39<br>SARAJEVO, BOSNIA-HERZEGOVINA 71000  | N/A  | PC                                   | FOR GENERAL SUPPORT   | 40,000.  |
| ASSOCIATION OF BLACK FOUNDATION<br>EXECUTIVES, INC.<br>55 EXCHANGE PLACE, SUITE 401<br>NEW YORK, NY 10005  | N/A  | PC                                   | FOR GENERAL SUPPORT   | 75,000.  |
| ASSOCIATION OF CITIZENS PESCANIK<br>TAKOVSKA 22<br>BELGRADE, SERBIA 11000  | N/A  | PC                                   | FOR GENERAL SUPPORT   | 30,000.  |
| ATINA - CITIZENS ASSOCIATION<br>CUSTENDILSKA 28<br>BELGRADE, SERBIA 11000  | N/A  | PC                                   | FOR ITS PROJECT,<br>REFUGEE WOMEN ADVOCACY<br>GROUP   | 50,000.  |
| ATLANTIC COUNCIL OF THE U.S., INC.<br>1030 15TH STREET, NW, 12TH FLOOR<br>WASHINGTON, DC 20005   | N/A  | PC                                   | FOR ITS SOUTH ASIA<br>CENTER, AFGHANISTAN<br>STRATEGIC DIALOGUES,<br>AND FUTURE OF IRAN<br>INITIATIVE | 250,000. |
| <b>Total from continuation sheets</b>  |  |                                      |   |          |

**Part XIV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

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|---|--|--------------------------------------|---|----------|
| BALKAN GREEN FOUNDATION<br>UNIVERSITETI ST. 36/2<br>PRISTINA, OTHER COUNTRY 10000   | N/A  | PC                                   | FOR GENERAL SUPPORT   | 135,000. |
| BALKAN INVESTIGATIVE REPORTING<br>REGIONAL NETWORK<br>BRANILACA SARAJEVA 14<br>SARAJEVO, BOSNIA-HERZEGOVINA 71000   | N/A  | PC                                   | FOR GENERAL SUPPORT   | 120,000. |
| BALLET HISPANICO OF NEW YORK<br>167 WEST 89TH STREET<br>NEW YORK, NY 10024  | N/A  | PC                                   | FOR ITS INSTITUTO<br>COREOGRAFICO   | 50,000.  |
| BALLET THEATRE FOUNDATION, INC.<br>890 BROADWAY, 3RD FLOOR<br>NEW YORK, NY 10003  | N/A  | PC                                   | FOR A STUDIO COMPANY<br>RESIDENCY AND<br>PERFORMANCE AT THE<br>POCANTICO CENTER                     | 50,000.  |
| BEIJING CHAOYANG DISTRICT YONGXU<br>GLOBAL ENVIRONMENTAL INSTITUTE<br>TAYUAN DIPLOMATIC RESIDENCE OFFICE<br>BUILDING, NO. 14 LIANGMAHE SOUTH ROAD<br>BEIJING, CHINA 100062        | N/A  | PC                                   | FOR GENERAL SUPPORT   | 350,000. |
| BEIJING CHAOYANG DISTRICT YONGXU<br>GLOBAL ENVIRONMENTAL INSTITUTE<br>TAYUAN DIPLOMATIC RESIDENCE OFFICE<br>BUILDING, NO. 14 LIANGMAHE SOUTH ROAD<br>BEIJING, CHINA 100062        | N/A  | PC                                   | SEE STATEMENT   | 120,000. |
| BEIJING ENTREPRENEUR ENVIRONMENTAL<br>PROTECTION FOUNDATION<br>4TH FL, BLDG 3, CHUANGYUAN RD 36, LAI<br>GUANG YING HIGH-TECH INDUSTRIAL PARK<br>BEIJING, CHAOYANG DISTRICT, CHINA | N/A  | PC                                   | FOR ITS WORK ON<br>POLLUTION CONTROL,<br>GREEN SUPPLY CHAINS,<br>AND OCEAN CONSERVATION<br>IN CHINA | 400,000. |
| BEIJING GREENOVATION INSTITUTE FOR<br>PUBLIC WELFARE DEVELOPMENT<br>ROOM 515, FENGTAI CENTRE, NO. 2 ZHU<br>SHI KOU EAST STREET BEIJING,<br>DONGCHENG DISTRICT, CHINA              | N/A  | PC                                   | SEE STATEMENT   | 300,000. |
| BEIJING HEYI GREEN FOUNDATION<br>ROOM 909, UNIT 1, 16 HAIDIAN MIDDLE<br>STREET, HAIDIAN DISTRICT BEIJING,<br>CHINA 100080   | N/A  | PC                                   | FOR DEVELOPING ITS<br>FOODTHINK PLATFORM TO<br>PROMOTE SUSTAINABLE<br>FOOD SYSTEMS                  | 150,000. |
| BEIJING INSTITUTE OF FINANCE AND<br>SUSTAINABILITY<br>ROOM 1204, BLDG 3, WORLD OVERSEAS<br>CHINESE BUSINESS CENTER BEIJING,<br>TONGZHOU DISTRICT, CHINA 101100                    | N/A  | PC                                   | FOR PROMOTING GREEN<br>INVESTMENT AND FINANCE<br>IN CHINA   | 270,000. |
| <b>Total from continuation sheets</b>   |  |                                      |   |          |

**Part XIV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

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|--|--|--------------------------------------|---|----------|
| BEIJING UNIVERSITY OF TECHNOLOGY<br>100 PINGLE GARDEN, CHAOYANG DISTRICT<br>BEIJING, CHINA 100022    | N/A  | PC                                   | FOR ITS DIALOGUE<br>SERIES ON ENERGY AND<br>COAL TRANSITION IN<br>CHINA | 100,000. |
| BENNINGTON COLLEGE CORPORATION<br>ONE COLLEGE DRIVE<br>BENNINGTON, VT 05201                          | N/A  | PC                                   | FOR ITS PROJECT,<br>BEYOND PLASTICS                                     | 50,000.  |
| BIPARTISAN POLICY CENTER, INC.<br>1225 I STREET, NW, SUITE 1000<br>WASHINGTON, DC 20005              | N/A  | PC                                   | FOR ITS ELECTIONS<br>PROJECT  | 100,000. |
| BOREALIS PHILANTHROPY<br>P.O. BOX 3295<br>MINNEAPOLIS, MN 55403                                      | N/A  | PC                                   | FOR ITS RACIAL EQUITY<br>IN PHILANTHROPY FUND                           | 15,429.  |
| BOYS & GIRLS CLUB OF HARLEM, INC.<br>521 WEST 145TH STREET<br>NEW YORK, NY 10031                     | N/A  | PC                                   | FOR GENERAL SUPPORT   | 50,000.  |
| BREAKING THE SILENCE<br>P.O. BOX 51027<br>TEL AVIV, ISRAEL 6713206                                   | N/A  | PC                                   | FOR GENERAL SUPPORT   | 100,000. |
| BRIDGE ALLIANCE EDUCATION FUND<br>214 S. ALLEN STREET<br>STATE COLLEGE, PA 16801                     | N/A  | PC                                   | FOR GENERAL SUPPORT   | 50,000.  |
| BRIDGEUSA GROUP<br>338 SPEAR STREET, UNIT 7E<br>SAN FRANCISCO, CA 94105                              | N/A  | PC                                   | FOR GENERAL SUPPORT   | 50,000.  |
| BRIGHTLINE DEFENSE PROJECT<br>1028A HOWARD STREET<br>SAN FRANCISCO, CA 94103                         | N/A  | PC                                   | FOR ITS CALIFORNIA<br>OFFSHORE WIND<br>COMMUNITY OUTREACH<br>PROJECT    | 50,000.  |
| BROADWAY CARES/EQUITY FIGHTS AIDS,<br>INC.<br>165 WEST 46TH STREET, SUITE 1300<br>NEW YORK, NY 10036 | N/A  | PC                                   | SEE STATEMENT   | 20,000.  |
| <b>Total from continuation sheets</b> .....  |  |                                      |   |          |

**Part XIV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

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|---|--|--------------------------------------|--|----------|
| BROOKLYN ARTS EXCHANGE, INC.<br>421 5TH AVENUE<br>BROOKLYN, NY 11215  | N/A  | PC                                   | FOR CAPACITY BUILDING  | 40,000.  |
| BROOKLYN INSTITUTE OF ARTS AND<br>SCIENCES<br>THE BROOKLYN MUSEUM, 200 EASTERN<br>PARKWAY BROOKLYN, NY 11238-6052 | N/A  | PC                                   | FOR NEW EXHIBITIONS<br>AND RELATED<br>PROGRAMMING  | 50,000.  |
| CABD, INC.<br>P.O. BOX 340600<br>JAMAICA, NY 11434-0600   | N/A  | PC                                   | FOR A NEW<br>CHOREOGRAPHIC WORK,<br>RESIDENCY, AND<br>PERFORMANCE AT THE<br>POCANTICO CENTER | 25,000.  |
| CALSTART, INC.<br>48 S. CHESTER AVENUE<br>PASADENA, CA 91106  | N/A  | PC                                   | FOR ITS DRIVE TO ZERO:<br>NORTHEAST PROJECT  | 100,000. |
| CAMPAIGN LEGAL CENTER, INC.<br>1101 14TH STREET, NW, SUITE 400<br>WASHINGTON, DC 20005                            | N/A  | PC                                   | FOR GENERAL SUPPORT  | 100,000. |
| CANDID<br>32 OLD SLIP, 24TH FLOOR<br>NEW YORK, NY 10008   | N/A  | PC                                   | FOR ITS MERGER WITH<br>GUIDESTAR AND GENERAL<br>SUPPORT                                      | 44,326.  |
| CARBON TRACKER INITIATIVE, INC.<br>300 PARK AVENUE, 12TH FLOOR<br>NEW YORK, NY 10022                              | N/A  | PC                                   | FOR GENERAL SUPPORT  | 200,000. |
| CARNEGIE ENDOWMENT FOR INTERNATIONAL<br>PEACE<br>1779 MASSACHUSETTS AVENUE, NW<br>WASHINGTON, DC 20036            | N/A  | PC                                   | FOR ITS U.S.-IRAN<br>INITIATIVE  | 100,000. |
| CARNEGIE ENDOWMENT FOR INTERNATIONAL<br>PEACE<br>1779 MASSACHUSETTS AVENUE, NW<br>WASHINGTON, DC 20036            | N/A  | PC                                   | FOR ITS MIDDLE EAST<br>PROGRAM   | 125,000. |
| CASITA MARIA, INC.<br>928 SIMPSON STREET, 6TH FLOOR<br>BRONX, NY 10459  | N/A  | PC                                   | FOR GENERAL SUPPORT  | 50,000.  |
| <b>Total from continuation sheets</b> .....   |  |                                      |  |          |

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|---|--|--------------------------------------|---|----------|
| CEDENMA<br>LUGO N24-389 Y CORUNA, LA FLORESTA<br>QUITO, ECUADOR 170143  | N/A  | PC                                   | FOR ITS PROGRAM ON<br>POLITICAL ECOLOGY   | 30,000.  |
| CENT FOR URBAN COMMUNITY SVCS<br>198 EAST 121ST STREET<br>NEW YORK, NY 10035                                    | N/A  | PC                                   | FOR COVID-19 RELIEF<br>SUPPORT  | 50,000.  |
| CENTAR CZKD<br>BIRCANINOVA 21<br>BELGRADE, SERBIA 11000   | N/A  | PC                                   | FOR GENERAL SUPPORT   | 30,000.  |
| CENTAR ZA INFORMATIVNU<br>DEKONTAMINACIJU MLADIH<br>ALEJA SVETOG SAVE 24<br>BANJALUKA, BOSNIA-HERZEGOVINA 78000 | N/A  | PC                                   | FOR ITS PROJECT, END<br>HATE SPEECH   | 50,000.  |
| CENTAR ZA ZENSKA PRAVA<br>BULEVAR SVETOG PETRA CETINJSKOG 54<br>PODGORICA, MONTENEGRO 81000                     | N/A  | PC                                   | FOR GENERAL SUPPORT   | 35,000.  |
| CENTER FOR A NEW AMERICAN SECURITY,<br>INC.<br>1152 15TH STREET, NW, SUITE 950<br>WASHINGTON, DC 20005          | N/A  | PC                                   | FOR ITS PROJECT, A<br>PATH TOWARD<br>DE-ESCALATION: THE<br>FUTURE OF U.S. POLICY<br>TOWARD IRAN | 50,000.  |
| CENTER FOR A NEW AMERICAN SECURITY,<br>INC.<br>1152 15TH STREET, NW, SUITE 950<br>WASHINGTON, DC 20005          | N/A  | PC                                   | FOR ITS PROJECT,<br>SUPPORTING AFGHANISTAN<br>AFTER U.S. TROOP<br>WITHDRAWAL                    | 125,000. |
| CENTER FOR AMERICAN PROGRESS<br>1333 H STREET, NW, 10TH FLOOR<br>WASHINGTON, DC 20005                           | N/A  | PC                                   | FOR ITS CLIMATE<br>FINANCE RESEARCH<br>PROJECT  | 150,000. |
| CENTER FOR COMMUNITY CHANGE<br>1536 U STREET, NW<br>WASHINGTON, DC 20009  | N/A  | PC                                   | FOR GENERAL SUPPORT   | 80,000.  |
| CENTER FOR DEMOCRACY AND HUMAN RIGHTS<br>BAKU 74, III/6<br>PODGORICA, MONTENEGRO 81000                          | N/A  | PC                                   | FOR GENERAL SUPPORT   | 30,000.  |
| <b>Total from continuation sheets</b> .....   |  |                                      |   |          |

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|---|--|--------------------------------------|--|----------|
| CENTER FOR DEMOCRACY AND HUMAN RIGHTS<br>BAKU 74, III/6<br>PODGORICA, MONTENEGRO 81000                                | N/A  | PC                                   | FOR GENERAL SUPPORT  | 30,000.  |
| CENTER FOR DEMOCRATIC TRANSITION<br>MOSKOVSKA 153<br>PODGORICA, MONTENEGRO 81000                                      | N/A  | PC                                   | FOR GENERAL SUPPORT  | 50,000.  |
| CENTER FOR ECONOMIC AND POLICY<br>RESEARCH<br>1611 CONNECTICUT AVENUE, NW, SUITE<br>400 WASHINGTON, DC 20009          | N/A  | PC                                   | FOR GENERAL SUPPORT  | 60,000.  |
| CENTER FOR EFFECTIVE PHILANTHROPY,<br>INC.<br>675 MASSACHUSETTS AVENUE, 7TH FLOOR<br>CAMBRIDGE, MA 02139              | N/A  | PC                                   | FOR GENERAL SUPPORT  | 45,000.  |
| CENTER FOR ENERGY EFFICIENCY AND<br>RENEWABLE TECHNOLOGIES<br>1100 ELEVENTH STREET, SUITE 311<br>SACRAMENTO, CA 95814 | N/A  | PC                                   | FOR ITS BUILDING<br>DECARBONIZATION<br>COALITION PROJECT                           | 150,000. |
| CENTER FOR EURO-ATLANTIC STUDIES<br>DR. DRAGOSLAVA POPOVICA 15/15<br>BELGRADE, SERBIA 11000                           | N/A  | PC                                   | FOR FINAL SUPPORT TO<br>COMPLETE ITS EU<br>ACCESSION REFORMS<br>MONITORING PROJECT | 6,000.   |
| CENTER FOR INTERNATIONAL POLICY, INC.<br>2000 M STREET, NW, SUITE 720<br>WASHINGTON, DC 20036                         | N/A  | PC                                   | FOR GENERAL SUPPORT  | 100,000. |
| CENTER FOR INVESTIGATIVE JOURNALISM<br>OF SERBIA<br>RESAVSKA 28<br>BELGRADE, SERBIA 11000                             | N/A  | PC                                   | FOR GENERAL SUPPORT  | 40,000.  |
| CENTER FOR RESPONSIVE POLITICS<br>1101 14TH STREET, NW, SUITE 1030<br>WASHINGTON, DC 20005                            | N/A  | PC                                   | SEE STATEMENT  | 25,000.  |
| CENTER FOR TECHNOLOGY AND CIVIC LIFE<br>233 N. MICHIGAN AVENUE, SUITE 1800<br>CHICAGO, IL 60601                       | N/A  | PC                                   | FOR GENERAL SUPPORT  | 100,000. |
| <b>Total from continuation sheets</b>   |  |                                      |  |          |

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|--|--|--------------------------------------|---|----------|
| CENTRO DE TRABAJADORES UNIDOS EN LA<br>LUCHA<br>3715 CHICAGO AVENUE<br>MINNEAPOLIS, MN 55407   | N/A  | PC                                   | FOR ITS PROJECT, RISE<br>UP   | 250,000. |
| CHANGE ILLINOIS<br>233 N. MICHIGAN AVENUE, SUITE 1800<br>CHICAGO, IL 60601   | N/A  | PC                                   | FOR ITS PROJECT, THE<br>CHICAGO ADVISORY<br>REDISTRICTING<br>COMMISSION | 20,000.  |
| CHASHAMA, INC.<br>675 THIRD AVENUE, 32ND FLOOR<br>NEW YORK, NY 10017   | N/A  | PC                                   | FOR ITS ENLIVEN NYC<br>PROJECT  | 150,000. |
| CHESAPEAKE CLIMATE ACTION NETWORK<br>P.O. BOX 11138<br>TAKOMA PARK, MD 20913   | N/A  | PC                                   | FOR GENERAL SUPPORT   | 65,000.  |
| CHINA COUNCIL FOR INTERNATIONAL<br>COOPERATION ON ENVIRONMENT AND<br>DEVELOPMENT<br>5 HOUYINGFANG HUTONG, XICHENG<br>DISTRICT BEIJING, CHINA 100035            | N/A  | GOV                                  | FOR PHASE VI OF THE<br>CCICED POLICY RESEARCH<br>PLATFORM               | 250,000. |
| CHINA DIALOGUE TRUST<br>15 PRINTING HOUSE YARD, PERSEVERANCE<br>WORKS LONDON, UNITED KINGDOM E2<br>7PR   | N/A  | PC                                   | FOR GENERAL SUPPORT   | 150,000. |
| CHINA DIALOGUE TRUST<br>15 PRINTING HOUSE YARD, PERSEVERANCE<br>WORKS LONDON, UNITED KINGDOM E2<br>7PR   | N/A  | PC                                   | FOR SUPPORTING<br>ORGANIZATIONAL<br>RESILIENCY                          | 100,000. |
| CHINA ENVIRONMENTAL PROTECTION<br>FOUNDATION<br>ROOM 720, ENVIRONMENTAL PROTECTION<br>BLDG, NO. 16 GUANGQUMEN NEI STREET<br>BEIJING, DONGCHENG DISTRICT, CHINA | N/A  | PC                                   | FOR ITS NGO PLATFORM<br>ON ENVIRONMENTAL<br>GOVERNANCE                  | 400,000. |
| CHINESE ACADEMY OF SCIENCES<br>52 SANLIHE ROAD, XICHENG DISTRICT<br>BEIJING, CHINA 100864  | N/A  | PC                                   | SEE STATEMENT   | 150,000. |
| CHINESE PROGRESSIVE ASSOCIATION<br>1042 GRANT AVENUE, SUITE 5<br>SAN FRANCISCO, CA 94133   | N/A  | PC                                   | FOR ITS PROJECT, THE<br>BLACK FUTURES LAB<br>EDUCATION FUND             | 100,000. |
| <b>Total from continuation sheets</b>  |  |                                      |   |          |

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|--|--|--------------------------------------|---|----------|
| CHOOSE LOVE, INC.<br>45 WEST 36TH STREET, 6TH FLOOR<br>NEW YORK, NY 10018-7635   | N/A  | PC                                   | FOR ITS LEBANON AND<br>GREECE WORK  | 240,000. |
| CITIZEN UNIVERSITY<br>P.O. BOX 94534<br>SEATTLE, WA 98124  | N/A  | PC                                   | FOR GENERAL SUPPORT   | 165,000. |
| CLEAN AIR INITIATIVE FOR ASIAN CITIES<br>CENTER, INC.<br>UNIT 3504-3505, ROBINSONS-EQUITABLE<br>TOWER, ADB AVENUE PASIG CITY, NCR,<br>PHILIPPINES 1605 | N/A  | PC                                   | FOR ENHANCING<br>COMMUNICATIONS AND<br>OUTREACH CAPACITY ON<br>COAL-FIRED POWER<br>PLANTS | 180,000. |
| CLEAN AIR INITIATIVE FOR ASIAN CITIES<br>CENTER, INC.<br>UNIT 3504-3505, ROBINSONS-EQUITABLE<br>TOWER, ADB AVENUE PASIG CITY, NCR,<br>PHILIPPINES 1605 | N/A  | PC                                   | FOR PROMOTING<br>AIR-QUALITY MANAGEMENT<br>IN CHINA                                       | 190,000. |
| CLIENTEARTH<br>FIELDWORKS, 274 RICHMOND ROAD<br>LONDON, UNITED KINGDOM E8 3QW  | N/A  | PC                                   | FOR ENHANCING NGO<br>CAPACITY IN CHINA'S<br>CLIMATE GOVERNANCE                            | 200,000. |
| CLIMATE ACCOUNTABILITY INSTITUTE<br>1626 GATEWAY ROAD<br>SNOWMASS, CO 81654  | N/A  | PC                                   | FOR GENERAL SUPPORT   | 15,000.  |
| CLIMATE ACTION NETWORK EUROPE<br>RUE DEDIMBOURG 26<br>BRUSSELS, BELGIUM 1050   | N/A  | PC                                   | FOR ITS WORK ON TRADE<br>AND CLIMATE CHANGE   | 60,000.  |
| CLIMATE JOBS NATIONAL RESOURCE<br>CENTER, INC.<br>350 WEST 31ST STREET, 7TH FLOOR<br>NEW YORK, NY 10001  | N/A  | PC                                   | FOR GENERAL SUPPORT   | 500,000. |
| CLIMATE JOBS NY EDUCATION FUND, INC.<br>350 WEST 31ST STREET, 7TH FLOOR<br>NEW YORK, NY 10001  | N/A  | PC                                   | FOR ITS NEW YORK<br>OFFSHORE WIND PROJECT   | 100,000. |
| CMI - MARTTI AHTISAARI PEACE<br>FOUNDATION SR<br>ETELARANTA 12<br>HELSINKI, FINLAND 00130  | N/A  | PC                                   | FOR ITS PROJECT,<br>DIALOGUE FOR UNITY  | 100,000. |
| <b>Total from continuation sheets</b> .....  |  |                                      |   |          |

**Part XIV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient<br>Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount   |
|---|--|--------------------------------------|---|----------|
| COALSWARM<br>1254 UTAH STREET<br>SAN FRANCISCO, CA 94110  | N/A  | PC                                   | FOR ITS PROJECT,<br>GLOBAL ENERGY MONITOR   | 60,000.  |
| COLOROFCHANGE.ORG EDUCATION FUND,<br>INC.<br>1714 FRANKLIN STREET, SUITE 100-136<br>OAKLAND, CA 94612 | N/A  | PC                                   | FOR GENERAL SUPPORT   | 175,000. |
| COLOROFCHANGE.ORG EDUCATION FUND,<br>INC.<br>1714 FRANKLIN STREET, SUITE 100-136<br>OAKLAND, CA 94612 | N/A  | PC                                   | FOR ITS WORK WITH THE<br>ASPEN COMMISSION ON<br>INFORMATION DISORDER                    | 25,000.  |
| COMET-ME<br>26 KFAR ETZION STREET, APT. 3<br>JERUSALEM, ISRAEL 93392                                  | N/A  | PC                                   | FOR GENERAL SUPPORT   | 50,000.  |
| COMMON CAUSE EDUCATION FUND<br>805 15TH STREET, NW, SUITE 800<br>WASHINGTON, DC 20005                 | N/A  | PC                                   | FOR ITS PROJECT ON<br>RANKED CHOICE VOTING<br>EDUCATION IN NEW YORK<br>CITY             | 35,000.  |
| COMMON CAUSE EDUCATION FUND<br>805 15TH STREET, NW, SUITE 800<br>WASHINGTON, DC 20005                 | N/A  | PC                                   | SEE STATEMENT   | 125,000. |
| COMMON COUNSEL FOUNDATION<br>1624 FRANKLIN STREET, #1022<br>OAKLAND, CA 94612                         | N/A  | PC                                   | FOR THE ELECTORAL<br>JUSTICE PROJECT OF ITS<br>PROJECT, THE MOVEMENT<br>FOR BLACK LIVES | 75,000.  |
| COMMONWEAL<br>P.O. BOX 316, 451 MESA ROAD<br>BOLINAS, CA 94924  | N/A  | PC                                   | FOR ITS OMEGA: THE<br>RESILIENCE FUNDERS<br>NETWORK PROJECT                             | 25,000.  |
| COMMUNITY DEVELOPMENT PROJECT, INC.<br>123 WILLIAMS STREET, 16TH FLOOR<br>NEW YORK, NY 10038          | N/A  | PC                                   | FOR GENERAL SUPPORT   | 50,000.  |
| COMMUNITY VOICES HEARD, INC.<br>115 E. 106TH STREET, 3RD FLOOR<br>NEW YORK, NY 10029                  | N/A  | PC                                   | FOR COVID-19 RELIEF<br>SUPPORT  | 50,000.  |
| <b>Total from continuation sheets</b> .....   |  |                                      |   |          |

**Part XIV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

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|--|--|--------------------------------------|--|----------|
| COMPOSERS NOW, INC.<br>36 FOREST RIDGE ROAD<br>NYACK, NY 10960-1754  | N/A  | PC                                   | FOR GENERAL SUPPORT  | 50,000.  |
| CONFLUENCE PHILANTHROPY<br>1 PENN PLAZA, P.O. BOX 6169<br>NEW YORK, NY 10119   | N/A  | PC                                   | FOR GENERAL SUPPORT  | 15,000.  |
| CONFLUENCE PHILANTHROPY<br>1 PENN PLAZA, P.O. BOX 6169<br>NEW YORK, NY 10119   | N/A  | PC                                   | FOR ITS 2020 CAPACITY<br>CAMPAIGN, THE DECISIVE<br>DECADE                                      | 16,835.  |
| CONFLUENCE PHILANTHROPY<br>1 PENN PLAZA, P.O. BOX 6169<br>NEW YORK, NY 10119   | N/A  | PC                                   | FOR ITS RACIAL EQUITY<br>INVESTING COALITION<br>STRATEGIC PLANNING                             | 25,000.  |
| CONGRESSIONAL PROGRESSIVE CAUCUS<br>CENTER<br>80 F STREET, NW<br>WASHINGTON, DC 20001  | N/A  | PC                                   | FOR GENERAL SUPPORT  | 20,000.  |
| CONSEJO CIVICO DE ORGANIZACIONES<br>POPULARES E INDIGENAS DE HONDURAS<br>BARRIO LAS DELICIAS, FRENTE A<br>LLANtera LEMPira INTIBUCA, HONDURAS<br>14101 | N/A  | PC                                   | FOR GENERAL SUPPORT  | 75,000.  |
| CONSEJO NACIONAL ANTICORRUPTION<br>COLONIA SAN CARLOS, CALLE REPUBLICA<br>DE MEXICO TEGUCIGALPA, HONDURAS 504  | N/A  | PC                                   | FOR ITS WORK ON<br>ANTICORRUPTION,<br>ANTI-IMPUNITY, AND<br>LAW-ABIDING CULTURE IN<br>HONDURAS | 80,000.  |
| CONSENSUS BUILDING INSTITUTE, INC.<br>2067 MASSACHUSETTS AVENUE, SUITE 570<br>CAMBRIDGE, MA 02140  | N/A  | PC                                   | FOR A POCANTICO<br>CONVENING ON NATIONAL<br>SERVICE  | 60,000.  |
| CONSERVATIVE ENERGY NETWORK<br>106 W. ALLEGAN STREET, SUITE 200<br>LANSING, MI 48933   | N/A  | PC                                   | FOR ITS STATES AND<br>REGIONS PROGRAM  | 150,000. |
| CONSULTATIVE GROUP ON BIOLOGICAL<br>DIVERSITY<br>P.O. BOX 29361, 1016 TORNEY AVENUE,<br>2ND FLOOR SAN FRANCISCO, CA 94129                              | N/A  | PC                                   | FOR 2021 MEMBERSHIP<br>AND GENERAL SUPPORT   | 35,250.  |
| <b>Total from continuation sheets</b>  |  |                                      |  |          |

**Part XIV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

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|---|--|--------------------------------------|--|----------|
| COUNCIL FOR INCLUSIVE GOVERNANCE<br>2 HILLSIDE ROAD<br>NEWTOWN, PA 18940  | N/A  | PC                                   | FOR GENERAL SUPPORT  | 50,000.  |
| COUNCIL ON FOUNDATIONS, INC.<br>1255 23RD STREET, NW, SUITE 200<br>WASHINGTON, DC 20037   | N/A  | PC                                   | FOR GENERAL SUPPORT<br>AND MEMBERSHIP FOR<br>2020 AND 2021                                     | 24,000.  |
| CREATIVE TIME, INC.<br>59 EAST 4TH STREET, 6TH FLOOR<br>NEW YORK, NY 10003-8991   | N/A  | PC                                   | FOR ITS THINK TANK<br>INITIATIVE   | 25,000.  |
| CREATURA, PROMOTORA DEL PENSAMIENTO<br>CRITICO, SOCIEDAD CIVIL<br>POPOCATEPETL 18, HIPODROMO, CUAUHTEMOC<br>MEXICO CITY, MEXICO 06100 | N/A  | NC                                   | FOR ITS COLLABORATIVE<br>FUNDING INITIATIVE TO<br>STRENGTHEN CENTRAL<br>AMERICAN CIVIL SOCIETY | 240,000. |
| CRISIS ACTION, INC.<br>405 LEXINGTON AVENUE, FLOORS 1 & 7-9<br>NEW YORK, NY 10174   | N/A  | PC                                   | FOR GENERAL SUPPORT  | 65,000.  |
| CRISTOSAL, INC.<br>370 SHELBURNE ROAD<br>BURLINGTON, VT 05401-4937  | N/A  | PC                                   | FOR GENERAL SUPPORT  | 150,000. |
| CIVIC ASSOCIATION CRTACENTER FOR<br>RESEARCH, TRANSPARENCY &<br>ACCOUNTABILITY<br>23 TAKOVSKA STREET<br>BELGRADE, SERBIA 11103        | N/A  | PC                                   | FOR GENERAL SUPPORT  | 120,000. |
| CIVIC ASSOCIATION CRTACENTER FOR<br>RESEARCH, TRANSPARENCY &<br>ACCOUNTABILITY<br>23 TAKOVSKA STREET<br>BELGRADE, SERBIA 11103        | N/A  | PC                                   | FOR GENERAL SUPPORT  | 100,000. |
| DEMOCRACY WORKS, INC.<br>20 JAY STREET, SUITE 840<br>BROOKLYN, NY 11201   | N/A  | PC                                   | FOR GENERAL SUPPORT  | 225,000. |
| DEMOCRATIZATION POLICY COUNCIL E.V.<br>SYBELSTR. 10<br>BERLIN, GERMANY 10629  | N/A  | PC                                   | FOR GENERAL SUPPORT  | 60,000.  |
| <b>Total from continuation sheets</b> .....   |  |                                      |  |          |

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|--|--|--------------------------------------|---|----------|
| DEMOS: A NETWORK FOR IDEAS AND<br>ACTION, LTD.<br>80 BROAD STREET, 4TH FLOOR<br>NEW YORK, NY 10004           | N/A  | PC                                   | FOR GENERAL SUPPORT   | 175,000. |
| DOKUFEST<br>MARIN BARLETI NN<br>PRIZREN, OTHER COUNTRY 20000   | N/A  | PC                                   | FOR GENERAL SUPPORT   | 35,000.  |
| DONORS OF COLOR NETWORK, INC.<br>315 FLATBUSH AVENUE, #512<br>BROOKLYN, NY 11217                             | N/A  | PC                                   | FOR GENERAL SUPPORT   | 150,000. |
| EARTH INNOVATION INSTITUTE<br>2111 SAN PABLO AVENUE, #2739<br>BERKELEY, CA 94702                             | N/A  | PC                                   | FOR STRATEGIC SUPPORT<br>TO THE BRAZILIAN<br>FORESTS AND CLIMATE<br>STRATEGY PROCESS FOR<br>COP26         | 50,000.  |
| EAST WEST CENTER<br>HAMDIJE KRESEVLJAKOVICA, NO. 27<br>SARAJEVO, BOSNIA-HERZEGOVINA 71000                    | N/A  | PC                                   | FOR GENERAL SUPPORT   | 40,000.  |
| ECFR DEUTSCHLAND GMBH<br>UNTER DEN LINDEN 17<br>BERLIN, GERMANY 10117  | N/A  | PC                                   | FOR ITS BOSNIA WORK<br>AND MAPPING CHINA'S<br>INVOLVEMENT IN THE<br>BALKANS                               | 75,000.  |
| EDUCATION FOR JUST PEACE IN THE<br>MIDDLE EAST<br>1011 ARLINGTON BOULEVARD, SUITE 230<br>ARLINGTON, VA 22209 | N/A  | PC                                   | FOR GENERAL SUPPORT   | 75,000.  |
| EKUMENSKA INICIJATIVA ZENA<br>CETVRT KRALJA SLAVCA 3<br>OMIS, CROATIA 21310                                  | N/A  | PC                                   | FOR ITS PROJECT,<br>SUPPORTING THE<br>RESILIENCE OF WOMEN'S<br>RIGHTS ACTIVISTS IN<br>THE WESTERN BALKANS | 50,000.  |
| EN FOCO INCORPORATED<br>P.O. BOX 1757<br>BRONX, NY 10451   | N/A  | PC                                   | FOR GENERAL SUPPORT   | 25,000.  |
| ENVIRONMENTAL FOUNDATION MICHAEL OTTO<br>GLOCKENGIESSERWALL 26<br>HAMBURG, GERMANY 20095                     | N/A  | PC                                   | FOR ITS F20 INITIATIVE  | 20,000.  |
| <b>Total from continuation sheets</b>  |  |                                      |   |          |

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|--|--|--------------------------------------|---|---------|
| ENVIRONMENTAL GRANTMAKERS ASSOCIATION<br>475 RIVERSIDE DRIVE, SUITE 960<br>NEW YORK, NY 10115                      | N/A  | PC                                   | FOR 2021 MEMBERSHIP<br>AND GENERAL SUPPORT                | 24,800. |
| ENVIRONMENTAL PROTECTION NETWORK<br>P.O. BOX 42022<br>WASHINGTON, DC 20015   | N/A  | PC                                   | FOR GENERAL SUPPORT                                       | 40,000. |
| EUROPEAN COUNCIL ON FOREIGN RELATIONS<br>159-165 GREAT PORTLAND STREET<br>LONDON, UNITED KINGDOM W1W 5PA           | N/A  | PC                                   | SEE STATEMENT   | 75,000. |
| EUROPEAN FOUNDATION CENTRE<br>RUE ROYALE 94, PHILANTHROPY HOUSE<br>BRUSSELS, BELGIUM 1000                          | N/A  | PC                                   | FOR ITS GRANTMAKERS<br>EAST FORUM                         | 15,000. |
| EUROPEAN LEADERSHIP NETWORK<br>8 ST. JAMES'S SQUARE<br>LONDON, UNITED KINGDOM SE1Y 4JU                             | N/A  | PC                                   | FOR ITS IRAN PROJECT                                      | 75,000. |
| EUROPEAN MIDDLE EAST PROJECT<br>HUIDEVETTERSSTRAAT 165<br>BRUSSELS, BELGIUM 1000                                   | N/A  | PC                                   | FOR GENERAL SUPPORT                                       | 60,000. |
| EXTEND<br>29 GOSHEN ROAD<br>CHESTER, NY 10918  | N/A  | PC                                   | FOR GENERAL SUPPORT                                       | 30,000. |
| FEDERATION INTERNATIONALE DES LIGUES<br>DES DROITS DE L'HOMME<br>17 PASSAGE DE LA MAIN D'OR<br>PARIS, FRANCE 75011 | N/A  | PC                                   | FOR ITS MIDDLE EAST<br>AND NORTH AFRICA<br>PROGRAM        | 75,000. |
| FILM FORUM, INC.<br>209 WEST HOUSTON STREET<br>NEW YORK, NY 10014  | N/A  | PC                                   | FOR ITS PROJECT, COUP<br>53                               | 25,000. |
| FLOODLIGHT, INC.<br>712 H STREET, NE, SUITE 1371<br>WASHINGTON, DC 20002   | N/A  | PC                                   | FOR A JOURNALISM<br>PROJECT ON OIL AND GAS<br>DEVELOPMENT | 50,000. |
| <b>Total from continuation sheets</b>  |  |                                      |   |         |

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|--|--|--------------------------------------|---|----------|
| FOND ZA AKTIVNO GRADJANSTVO FAKT,<br>PODGORICA<br>20/1 VAKA DUROVICA<br>PODGORICA, MONTENEGRO 81000  | N/A  | PC                                   | FOR GENERAL SUPPORT   | 60,000.  |
| FOOD & WATER WATCH<br>1616 P STREET, NW<br>WASHINGTON, DC 20036  | N/A  | PC                                   | FOR ITS WORK ON<br>VACCINE JUSTICE AND<br>GLOBAL ACCESS TO<br>HEALTH              | 250,000. |
| FOREIGN ENVIRONMENTAL COOPERATION<br>CENTER OF THE MINISTRY OF ECOLOGY AND<br>ENVIRONMENT<br>5 HOUYINGFANG HUTONG, XICHENG DISTRICT<br>BEIJING, CHINA 100035 | N/A  | GOV                                  | FOR CAPACITY BUILDING<br>AND RESEARCH TO GREEN<br>CHINA'S OVERSEAS<br>INVESTMENTS | 200,000. |
| FORWARD THINKING<br>1 QUALITY COURT<br>LONDON, UNITED KINGDOM WC2A 1HR   | N/A  | PC                                   | FOR ITS WORK IN<br>ISRAEL-PALESTINE AND<br>IN THE PERSIAN GULF<br>REGION          | 50,000.  |
| FOUNDATION ATELIER FOR COMMUNITY<br>TRANSFORMATION ACT<br>MOSCANICA 19<br>SARAJEVO, BOSNIA-HERZEGOVINA 71000   | N/A  | PC                                   | FOR ITS PROJECT,<br>PRESERVING OUR RIVERS   | 25,000.  |
| FOUNDATION BALKAN CIVIL SOCIETY<br>DEVELOPMENT NETWORK SKOPJE<br>STR. MACEDONIA NO. 43, APT. 9<br>SKOPJE, MACEDONIA 1000                                     | N/A  | PC                                   | FOR GENERAL SUPPORT   | 40,000.  |
| FOUNDATION CATALYST<br>TAKOVSKA 38/23<br>BELGRADE, SERBIA 11000  | N/A  | PC                                   | FOR GENERAL SUPPORT   | 40,000.  |
| FRACTURED ATLAS, INC.<br>P.O. BOX 55<br>HARTSDALE, NY 10530  | N/A  | PC                                   | FOR ITS PROJECT, SCENE<br>CITY  | 100,000. |
| FRACTURED ATLAS, INC.<br>P.O. BOX 55<br>HARTSDALE, NY 10530  | N/A  | PC                                   | FOR ITS PROJECT, ABDUL<br>LATIF D2D/T   | 25,000.  |
| FREE PRESS<br>P.O. BOX 60238<br>FLORENCE, MA 01062   | N/A  | PC                                   | FOR GENERAL SUPPORT   | 150,000. |
| <b>Total from continuation sheets</b>  |  |                                      |   |          |

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|---|--|--------------------------------------|---|----------|
| FREEDOM HOUSE, INC.<br>1850 M STREET, NW, 11TH FLOOR<br>WASHINGTON, DC 20036  | N/A  | PC                                   | FOR GENERAL SUPPORT   | 50,000.  |
| FRIENDS OF NATURE<br>BLOCK B, HUALONG PLAZA BLDG 3, NORTH<br>THIRD RING RD MIDDLE NO. A29<br>BEIJING, XICHENG DISTRICT, CHINA | N/A  | PC                                   | FOR GENERAL SUPPORT   | 400,000. |
| FRIENDS OF THE AMERICAN UNIVERSITY OF<br>AFGHANISTAN<br>1901 PENNSYLVANIA AVENUE, NW, SUITE<br>207 WASHINGTON, DC 20006       | N/A  | PC                                   | FOR ITS PROJECT, THE<br>ALLIANCE IN SUPPORT OF<br>THE AFGHAN PEOPLE | 30,000.  |
| FRIENDS OF THE EARTH<br>1101 15TH STREET, NW, 11TH FLOOR<br>WASHINGTON, DC 20005  | N/A  | PC                                   | FOR ITS ECONOMIC<br>POLICY PROGRAM                                  | 200,000. |
| FRIENDS OF THE EARTH EUROPE ASBL<br>MUNDO-B BUILDING, 26 RUE D'EDIMBOURG<br>BRUSSELS, BELGIUM 1050                            | N/A  | PC                                   | FOR ITS WORK ON TRADE   | 60,000.  |
| FRONTLINE AIDS<br>PREECE HOUSE, 91-101 DAVIGDOR ROAD<br>HOVE, UNITED KINGDOM BN3 1RE  | N/A  | PC                                   | FOR ITS PROJECT, THE<br>PEOPLE'S VACCINE<br>ALLIANCE                | 750,000. |
| FUND FOR CONSTITUTIONAL GOVERNMENT<br>122 MARYLAND AVENUE, NE<br>WASHINGTON, DC 20002   | N/A  | PC                                   | FOR ITS PROJECT, THE<br>PEACE AND SECURITY<br>FUNDERS GROUP         | 60,000.  |
| FUND FOR GLOBAL HUMAN RIGHTS<br>1301 CONNECTICUT AVENUE, NW, SUITE 400<br>WASHINGTON, DC 20036                                | N/A  | PC                                   | FOR THE ANA PAULA<br>HERNANDEZ AND SALLY<br>O'NEILL MEMORIAL FUND   | 100,000. |
| FUND FOR PUBLIC HEALTH IN NEW YORK,<br>INC.<br>22 CORTLANDT STREET, SUITE 802<br>NEW YORK, NY 10007                           | N/A  | PC                                   | FOR ITS EPIDEMICS FUND  | 50,000.  |
| FUND FOR THE CITY OF NEW YORK, INC.<br>121 AVENUE OF THE AMERICAS, 6TH FLOOR<br>NEW YORK, NY 10013-1590                       | N/A  | PC                                   | FOR ITS PROJECT,<br>BETANYC   | 64,000.  |
| <b>Total from continuation sheets</b>   |  |                                      |   |          |

**Part XIV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

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|---|--|--------------------------------------|--|----------|
| FUNDACION IXCANUL<br>7A AVENIDA 3-74, ZONA 9, EDIFICIO 74,<br>OFICINA 602 GUATEMALA CITY,<br>GUATEMALA 01010            | N/A  | PC                                   | FOR ITS WORK ON SOCIAL<br>JUSTICE DOCUMENTARIES<br>ABOUT CENTRAL AMERICA                   | 60,000.  |
| FUNDACION PROPАЗ (ONG)<br>5A. CALLE 1-49, ZONA 1<br>GUATEMALA CITY, GUATEMALA 01010                                     | N/A  | PC                                   | FOR ITS EXTRACTIVE<br>INDUSTRY OBSERVATORY<br>PROJECT                                      | 55,000.  |
| GENERATION CITIZEN, INC.<br>110 WALL STREET, 5TH FLOOR<br>NEW YORK, NY 10001  | N/A  | PC                                   | FOR GENERAL SUPPORT  | 100,000. |
| GENEVA HEADQUARTERS OF THE CAIRO<br>INSTITUTE FOR HUMAN RIGHTS STUDIES<br>RUE DES ASTERS 18<br>GENEVA, SWITZERLAND 1202 | N/A  | PC                                   | FOR GENERAL SUPPORT  | 75,000.  |
| GEORGETOWN UNIVERSITY<br>37TH AND O STREETS, NW<br>WASHINGTON, DC 20057   | N/A  | PC                                   | SEE STATEMENT  | 40,000.  |
| GEORGETOWN UNIVERSITY<br>37TH AND O STREETS, NW<br>WASHINGTON, DC 20057   | N/A  | PC                                   | FOR ITS CENTER ON<br>POVERTY & INEQUALITY  | 200,000. |
| GEORGETOWN UNIVERSITY<br>37TH AND O STREETS, NW<br>WASHINGTON, DC 20057   | N/A  | PC                                   | FOR ITS INSTITUTE FOR<br>WOMEN, PEACE AND<br>SECURITY                                      | 65,000.  |
| GEORGETOWN UNIVERSITY<br>37TH AND O STREETS, NW<br>WASHINGTON, DC 20057   | N/A  | PC                                   | FOR THE GEORGETOWN<br>CLIMATE CENTER   | 300,000. |
| GISHA - LEGAL CENTER FOR FREEDOM OF<br>MOVEMENT<br>42 HARAKEVET STREET<br>TEL AVIV-JAFFA, ISRAEL 6777008                | N/A  | PC                                   | FOR GENERAL SUPPORT  | 75,000.  |
| GLOBAL DIALOGUE<br>THE FOUNDRY, 17 OVAL WAY<br>LONDON, UNITED KINGDOM SE11 5RR  | N/A  | PC                                   | FOR ITS PROJECT,<br>ARIADNE - EUROPEAN<br>FUNDERS FOR SOCIAL<br>CHANGE AND HUMAN<br>RIGHTS | 5,000.   |
| <b>Total from continuation sheets</b> .....   |  |                                      |  |          |

**Part XIV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

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|---|--|--------------------------------------|---|----------|
| GLOBAL EXCHANGE<br>1446 MARKET STREET<br>SAN FRANCISCO, CA 94102  | N/A  | PC                                   | FOR ITS PROJECT, OUR<br>WORLD IS NOT FOR SALE                 | 100,000. |
| GLOBAL EXCHANGE<br>1446 MARKET STREET<br>SAN FRANCISCO, CA 94102  | N/A  | PC                                   | FOR ITS PROJECT,<br>MOVEMENT RIGHTS                           | 50,000.  |
| GLOBAL FUND FOR COMMUNITY FOUNDATIONS<br>REGISTERED OFFICE COMMUNITY HOUSE,<br>CITILINK BUSINESS PARK BELFAST,<br>UNITED KINGDOM BT12 4HQ | N/A  | PC                                   | FOR GENERAL SUPPORT   | 56,000.  |
| GRADANSKA ALIJANSA (CIVIC ALLIANCE)<br>STUDENTSKA, LAMELA 21/A, NO. 5<br>PODGORICA, MONTENEGRO 81000                                      | N/A  | PC                                   | FOR GENERAL SUPPORT   | 60,000.  |
| GRADJANSKE INICIJATIVE (CITIZENS'<br>ASSOC FOR DEMOCRACY & CIVIC<br>EDUCATION)<br>KNEZA MILOSA 4<br>BELGRADE, SERBIA 11000                | N/A  | PC                                   | FOR GENERAL SUPPORT   | 40,000.  |
| GRAIN, FUNDACION PRIVADA<br>GIRONA 25, PRINCIPAL<br>BARCELONA, SPAIN 08010  | N/A  | PC                                   | FOR ITS PROJECT,<br>BILATERALS.ORG                            | 20,000.  |
| GRANTMAKERS FOR EFFECTIVE<br>ORGANIZATIONS<br>1310 L STREET, NW, SUITE 650<br>WASHINGTON, DC 20005  | N/A  | PC                                   | FOR GENERAL SUPPORT<br>AND MEMBERSHIP FOR<br>2020 AND 2021    | 13,330.  |
| GRASSROOTS INTERNATIONAL, INC.<br>179 BOYLSTON STREET, 4TH FLOOR<br>BOSTON, MA 02130  | N/A  | PC                                   | FOR ITS PROJECT,<br>BOLSTERING ITS DIGITAL<br>SECURITY SYSTEM | 25,000.  |
| GRASSROOTS JERUSALEM LTD. (CC)<br>HARUN AL RASHID 9<br>JERUSALEM, ISRAEL 9149302  | N/A  | PC                                   | FOR GENERAL SUPPORT   | 75,000.  |
| GREEK FORUM OF REFUGEES<br>NAVARCHOU NOTARA 12<br>ATHENS, GREECE 10683  | N/A  | PC                                   | FOR GENERAL SUPPORT   | 50,000.  |
| <b>Total from continuation sheets</b>   |  |                                      |   |          |

**Part XIV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient<br>Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount   |
|---|--|--------------------------------------|---|----------|
| GREEN CORPS, INC.<br>1543 WAZEE STREET, SUITE 300<br>DENVER, CO 80202-1465                              | N/A  | PC                                   | FOR ITS GRASSROOTS<br>ENVIRONMENTAL CAMPAIGN<br>ORGANIZER TRAINING<br>PROGRAM             | 50,000.  |
| GREENFAITH<br>1216 BROADWAY, 2ND FLOOR, ROOM 1005<br>NEW YORK, NY 10001                                 | N/A  | PC                                   | FOR GENERAL SUPPORT   | 142,039. |
| GREENLINING INSTITUTE<br>360 14TH STREET, 2ND FLOOR<br>OAKLAND, CA 94704                                | N/A  | PC                                   | FOR ITS PROJECT, THE<br>REDRESS PROJECT: NEW<br>MOVEMENT TO REDRESS<br>RACIAL SEGREGATION | 50,000.  |
| GREENPEACE FUND, INC.<br>P.O. BOX 843004<br>BOSTON, MA 02284-3004                                       | N/A  | PC                                   | FOR ITS INTERNATIONAL<br>CLIMATE WORK   | 150,000. |
| GROUNDWORK TRUST<br>P.O. BOX 2375<br>PIETERMARITZBURG, SOUTH AFRICA 3200                                | N/A  | PC                                   | FOR GENERAL SUPPORT   | 100,000. |
| GUANGDONG HARMONY FOUNDATION<br>BLDG 2, 5TH ALLEY, YI LE RD, HAIZHU<br>DISTRICT GUANGZHOU, CHINA 510276 | N/A  | PC                                   | FOR SUPPORTING<br>COMMUNITY-BASED<br>CLIMATE ACTION AND<br>SUSTAINABLE<br>DEVELOPMENT     | 300,000. |
| HARLEM STAGE, INC.<br>150 CONVENT AVENUE<br>NEW YORK, NY 10031  | N/A  | PC                                   | FOR GENERAL SUPPORT   | 35,000.  |
| HIGHER HEIGHTS LEADERSHIP FUND<br>147 PRINCE STREET, SUITE 30<br>BROOKLYN, NY 11201                     | N/A  | PC                                   | FOR GENERAL SUPPORT   | 200,000. |
| HIGHER HEIGHTS LEADERSHIP FUND<br>147 PRINCE STREET, SUITE 30<br>BROOKLYN, NY 11201                     | N/A  | PC                                   | FOR GENERAL SUPPORT   | 50,000.  |
| HIP HOP THEATER FESTIVAL, INC.<br>215 EAST 99TH STREET<br>NEW YORK, NY 10029                            | N/A  | PC                                   | FOR GENERAL SUPPORT   | 25,000.  |
| <b>Total from continuation sheets</b>   |  |                                      |   |          |

**Part XIV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient<br>Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution                        | Amount   |
|---|--|--------------------------------------|--|----------|
| HISPANIC FEDERATION, INC.<br>55 EXCHANGE PLACE, SUITE 501<br>NEW YORK, NY 10005                       | N/A  | PC                                   | FOR ITS FUERZAFEST   | 70,000.  |
| HISPANICS IN PHILANTHROPY<br>414 13TH STREET, SUITE 200<br>OAKLAND, CA 94612                          | N/A  | PC                                   | FOR GENERAL SUPPORT<br>AND MEMBERSHIP FOR<br>2020 AND 2021 | 10,000.  |
| HISPANICS IN PHILANTHROPY<br>414 13TH STREET, SUITE 200<br>OAKLAND, CA 94612                          | N/A  | PC                                   | FOR ITS 2021 ANNUAL<br>LEADERSHIP CONFERENCE               | 20,000.  |
| HOPEWELL FUND<br>1828 L STREET, NW, SUITE 300-D<br>WASHINGTON, DC 20036                               | N/A  | PC                                   | FOR ITS LEADERSHIP NOW<br>PROJECT                          | 100,000. |
| HUMAN RIGHTS WATCH, INC.<br>350 FIFTH AVENUE, 34TH FLOOR<br>NEW YORK, NY 10118-3299                   | N/A  | PC                                   | FOR ITS WORK IN<br>CENTRAL AMERICA                         | 75,000.  |
| HUMANRIGHTS360 CIVIL NON PROFIT<br>PARTNERSHIP<br>LEOF. VASILISSIS SOFIAS 95<br>ATHENS, GREECE 115 21 | N/A  | PC                                   | FOR GENERAL SUPPORT  | 75,000.  |
| ICIVICS, INC.<br>1035 CAMBRIDGE STREET, SUITE 21B<br>CAMBRIDGE, MA 02141                              | N/A  | PC                                   | FOR ITS PROJECT,<br>CIVXNOW                                | 200,000. |
| IF NOT NOW EDUCATION FUND<br>P.O. BOX 26425<br>WASHINGTON, DC 20001                                   | N/A  | PC                                   | FOR GENERAL SUPPORT  | 30,000.  |
| IMPACTASSETS, INC.<br>7315 WISCONSIN AVENUE, SUITE 1000W<br>BETHESDA, MD 20814                        | N/A  | PC                                   | FOR ITS GENDERSMART<br>PROJECT                             | 30,000.  |
| INCLUSIVE AMERICA<br>1407 6TH STREET, NW, APT. B<br>WASHINGTON, DC 20001                              | N/A  | PC                                   | FOR GENERAL SUPPORT  | 50,000.  |
| <b>Total from continuation sheets</b>   |  |                                      |  |          |

**Part XIV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution  | Amount   |
|--|--|--------------------------------------|--|----------|
| INCLUSIVE DEVELOPMENT INTERNATIONAL<br>9 SW PACK SQUARE, SUITE 302<br>ASHEVILLE, NC 28801                | N/A  | PC                                   | FOR BUILDING CAPACITY<br>FOR SUSTAINABLE<br>CHINESE OVERSEAS<br>INVESTMENT AND FINANCE | 100,000. |
| INDEPENDENT JOURNALISTS' ASSOCIATION<br>OF VOJVODINA<br>ZMAJ JOVINA 3/1<br>NOVI SAD, SERBIA 21000        | N/A  | PC                                   | FOR GENERAL SUPPORT  | 60,000.  |
| INDEPENDENT SECTOR<br>1602 L STREET, NW, SUITE 900<br>WASHINGTON, DC 20036                               | N/A  | PC                                   | FOR GENERAL SUPPORT<br>AND MEMBERSHIP FOR<br>2020 AND 2021                             | 14,850.  |
| INDEPENDENT SECTOR<br>1602 L STREET, NW, SUITE 900<br>WASHINGTON, DC 20036                               | N/A  | PC                                   | FOR GENERAL SUPPORT  | 50,000.  |
| INICIATIVA PER PROGRESE<br>ST. LATIF HASANI, NN<br>FERIZAJ, OTHER COUNTRY 70000                          | N/A  | PC                                   | FOR GENERAL SUPPORT  | 30,000.  |
| INNOVATION NETWORK FOR COMMUNITIES<br>156 GROVER LANE<br>TAMWORTH, NH 03886                              | N/A  | PC                                   | FOR ITS PROJECT, THE<br>BUILDING<br>ELECTRIFICATION<br>INITIATIVE                      | 150,000. |
| INNOVATION NETWORK FOR COMMUNITIES<br>156 GROVER LANE<br>TAMWORTH, NH 03886                              | N/A  | PC                                   | FOR ITS CITY SCALE<br>PROJECT  | 40,000.  |
| INNOVATION NETWORK FOR COMMUNITIES<br>156 GROVER LANE<br>TAMWORTH, NH 03886                              | N/A  | PC                                   | FOR ITS HIGH AMBITION<br>CLIMATE ACTION NETWORK<br>COORDINATION PROJECT                | 53,061.  |
| INSTITUT ZA DEMOKRATSKI ANGAZMAN<br>JUGOISTOCNE EVROPE<br>KRALJICE NATALIJE 45<br>BELGRADE, SERBIA 11000 | N/A  | PC                                   | FOR ITS PROJECT,<br>ACADEMIC ENGAGEMENT<br>FOR DEMOCRACY IN<br>SOUTHEAST EUROPE        | 50,000.  |
| INSTITUT ZA URBANE POLITIKE<br>DUSINA 34/6<br>BELGRADE, SERBIA 11000                                     | N/A  | PC                                   | FOR GENERAL SUPPORT  | 45,000.  |
| <b>Total from continuation sheets .....</b>  |  |                                      |  |          |

**Part XIV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution  | Amount   |
|--|--|--------------------------------------|--|----------|
| INSTITUTE FOR ADVANCED STUDIES GAP<br>SEJDI KRYEZIU, 4/4<br>PRISTINA, OTHER COUNTRY 10000                                      | N/A  | PC                                   | FOR GENERAL SUPPORT  | 45,000.  |
| INSTITUTE FOR AGRICULTURE AND TRADE<br>POLICY<br>P.O. BOX 580710<br>MINNEAPOLIS, MN 55458                                      | N/A  | PC                                   | FOR ITS CLIMATE AND<br>LIVESTOCK PROGRAM                                     | 75,000.  |
| INSTITUTE FOR ENERGY ECONOMICS AND<br>FINANCIAL ANALYSIS, INC.<br>14900 DETROIT AVENUE, SUITE 206<br>LAKEWOOD, OH 44107        | N/A  | PC                                   | FOR ITS PUERTO RICO<br>ELECTRIC SYSTEM REFORM<br>PROJECT                     | 25,000.  |
| INSTITUTE FOR ENERGY ECONOMICS AND<br>FINANCIAL ANALYSIS, INC.<br>14900 DETROIT AVENUE, SUITE 206<br>LAKEWOOD, OH 44107        | N/A  | PC                                   | FOR GENERAL SUPPORT  | 170,000. |
| INSTITUTE FOR EUROPEAN AFFAIRS<br>27. MARTA 25A<br>BELGRADE, SERBIA 11120  | N/A  | PC                                   | FOR GENERAL SUPPORT  | 60,000.  |
| INSTITUTE FOR GOVERNANCE AND<br>SUSTAINABLE DEVELOPMENT, INC.<br>2300 WISCONSIN AVENUE, NW, SUITE 300B<br>WASHINGTON, DC 20007 | N/A  | PC                                   | FOR ITS OIL AND GAS<br>DATA MAPPING PROJECT                                  | 106,500. |
| INSTITUTE FOR POLICY STUDIES<br>1301 CONNECTICUT AVENUE, NW, SUITE 600<br>WASHINGTON, DC 20036                                 | N/A  | PC                                   | FOR ITS GLOBAL JUST<br>TRANSITION PROJECT                                    | 44,000.  |
| INSTITUTE FOR STATE EFFECTIVENESS<br>1050 30TH STREET, NW<br>WASHINGTON, DC 20007  | N/A  | PC                                   | FOR ITS CIVIC<br>ENGAGEMENT PROJECT  | 200,000. |
| INSTITUTE FOR STATE EFFECTIVENESS<br>1050 30TH STREET, NW<br>WASHINGTON, DC 20007  | N/A  | PC                                   | FOR GENERAL SUPPORT  | 59,870.  |
| INSTITUTE OF INTERNATIONAL EDUCATION,<br>INC.<br>ONE WORLD TRADE CENTER, 36TH FLOOR<br>NEW YORK, NY 10007                      | N/A  | PC                                   | FOR THE ROCKEFELLER<br>BROTHERS FUND'S GLOBAL<br>TRAVEL AND LEARNING<br>FUND | 120,000. |
| <b>Total from continuation sheets</b>  |  |                                      |  |          |

**Part XIV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

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|---|--|--------------------------------------|---|----------|
| INSTITUTE OF PUBLIC AND ENVIRONMENTAL<br>AFFAIRS<br>ROOM 1, FL 9, BLDG 6-1, JIANGUOMEN<br>DIPLOMATIC RESIDENCE COMPOUND<br>BEIJING, CHINA | N/A  | PC                                   | FOR GENERAL SUPPORT   | 500,000. |
| INSTITUTE OF PUBLIC AND ENVIRONMENTAL<br>AFFAIRS<br>ROOM 1, FL 9, BLDG 6-1, JIANGUOMEN<br>DIPLOMATIC RESIDENCE COMPOUND<br>BEIJING, CHINA | N/A  | PC                                   | FOR GENERAL SUPPORT   | 15,000.  |
| INSTITUTI I KOSOVES PER DREJTESI<br>RRUSTEM STATOVCI 1, 1ST FLOOR, NO. 1<br>PRISTINA, OTHER COUNTRY 10000                                 | N/A  | PC                                   | FOR GENERAL SUPPORT   | 50,000.  |
| INSTITUTI PER HULUMTIME FRONT<br>MOTHER TERESA SQUARE NO. 20<br>PRISTINA, OTHER COUNTRY 10000   | N/A  | PC                                   | FOR GENERAL SUPPORT   | 40,000.  |
| INSTITUTI PER POLITIKA SOCIALE<br>"MUSINE KOKALARI"<br>15-1 BUILDER DERVISHOLLI STREET B,<br>RESIDIO 5 PRISTINA, OTHER COUNTRY<br>10000   | N/A  | PC                                   | FOR ITS PROJECT,<br>KOSOVO SERBIA PUBLIC<br>OUTREACH CAMPAIGN                   | 50,000.  |
| INTERNATIONAL CENTER FOR<br>NOT-FOR-PROFIT LAW<br>1126 16TH STREET, NW, SUITE 400<br>WASHINGTON, DC 20036                                 | N/A  | PC                                   | FOR ITS PROJECT ON<br>SAFEGUARDING CIVIL<br>SOCIETY IN THE UNITED<br>STATES     | 40,000.  |
| INTERNATIONAL CENTER FOR TRANSITIONAL<br>JUSTICE<br>50 BROADWAY, 23RD FLOOR<br>NEW YORK, NY 10004   | N/A  | PC                                   | FOR ITS SUPPORT OF<br>AFGHAN HUMAN RIGHTS<br>AND DEMOCRACY<br>ORGANIZATIONS     | 40,000.  |
| INTERNATIONAL CIVIL SOCIETY ACTION<br>NETWORK, INC.<br>1126 16TH STREET, NW, SUITE 250<br>WASHINGTON, DC 20036                            | N/A  | PC                                   | FOR ITS INNOVATIVE<br>PEACE FUND  | 115,000. |
| INTERNATIONAL COUNCIL ON CLEAN<br>TRANSPORTATION<br>1500 K STREET, NW, SUITE 650<br>WASHINGTON, DC 20005                                  | N/A  | PC                                   | FOR ITS CLEAN<br>TRANSPORTATION<br>PROJECTS IN SOUTHERN<br>CHINA                | 300,000. |
| INTERNATIONAL CRISIS GROUP<br>1629 K STREET, NW, SUITE 1000<br>WASHINGTON, DC 20006   | N/A  | PC                                   | FOR ITS PREVENTING A<br>U.S.-IRAN<br>CONFRONTATION: THE<br>TRIGGER LIST PROJECT | 75,000.  |
| <b>Total from continuation sheets</b>   |  |                                      |   |          |

**Part XIV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient<br>Name and address (home or business)  | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution  | Amount   |
|---|---|--------------------------------|---|----------|
| INTERNATIONAL DOCUMENTARY FOUNDATION, INC.<br>3600 WILSHIRE BOULEVARD, SUITE 1810<br>LOS ANGELES, CA 90010                      | N/A   | PC                             | FOR ITS PROJECT, THE SHARP EDGE OF PEACE  | 50,000.  |
| INTERNATIONAL REFUGEE ASSISTANCE PROJECT, INC.<br>ONE BATTERY PARK PLAZA, 4TH FLOOR<br>NEW YORK, NY 10004                       | N/A   | PC                             | FOR GENERAL SUPPORT   | 150,000. |
| ISAAH<br>2356 UNIVERSITY AVENUE WEST, SUITE 405<br>SAINT PAUL, MN 55414   | N/A   | PC                             | FOR GENERAL SUPPORT   | 50,000.  |
| J STREET EDUCATION FUND, INC.<br>P.O. BOX 66073<br>WASHINGTON, DC 20035   | N/A   | PC                             | FOR GENERAL SUPPORT   | 100,000. |
| JOBS WITH JUSTICE EDUCATION FUND<br>1616 P STREET, NW, SUITE 150<br>WASHINGTON, DC 20036  | N/A   | PC                             | FOR GENERAL SUPPORT   | 100,000. |
| JOINT CENTER FOR POLITICAL AND ECONOMIC STUDIES<br>633 PENNSYLVANIA AVENUE, NW<br>WASHINGTON, DC 20004                          | N/A   | PC                             | FOR GENERAL SUPPORT   | 50,000.  |
| JOURNALISM DEVELOPMENT NETWORK, INC.<br>4401A CONNECTICUT AVENUE, NW, SUITE 321<br>WASHINGTON, DC 20008                         | N/A   | PC                             | FOR ITS ORGANIZED CRIME AND CORRUPTION REPORTING PROJECT IN THE WESTERN BALKANS | 100,000. |
| JUBILEE SOUTH ASIA PACIFIC MOVEMENT, INC.<br>UNIT 1806, TOWER C M PLACE, MOTHER IGNACIA AVENUE QUEZON CITY,<br>PHILIPPINES 1103 | N/A   | PC                             | FOR GENERAL SUPPORT   | 75,000.  |
| JUST VISION, INC.<br>1835 7TH STREET, NW, SUITE 110<br>WASHINGTON, DC 20001   | N/A   | PC                             | FOR GENERAL SUPPORT   | 125,000. |
| KASSELER KUNSTVEREIN E.V.<br>FRIEDRICHSPLATZ 18<br>KASSEL, GERMANY 34117  | N/A   | PC                             | FOR ITS PROJECT, SET ME FREE WHY DON'T YOU                                      | 15,000.  |
| <b>Total from continuation sheets</b>   |   |                                |   |          |

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|---|--|--------------------------------------|---|----------|
| KINGS MAJESTIC CORPORATION<br>1000 DEAN STREET, SUITE 232<br>BROOKLYN, NY 11238-3383  | N/A  | PC                                   | FOR GENERAL SUPPORT   | 35,000.  |
| KOOPERATIVA - REGIONALNA PLATFORMA ZA<br>KULTURU<br>BARUNA TRENKA 11<br>ZAGREB, CROATIA 10000   | N/A  | PC                                   | FOR GENERAL SUPPORT   | 25,000.  |
| KOSOVO GLOCAL (DBA KOSOVO 2.0)<br>FERAT DRAGA, 60-1<br>PRISTINA, OTHER COUNTRY 10000  | N/A  | PC                                   | FOR GENERAL SUPPORT   | 40,000.  |
| KRENI-PROMENI<br>MILUTINA MILJKOVICA 42E<br>BELGRADE, SERBIA 11000  | N/A  | PC                                   | FOR GENERAL SUPPORT   | 25,000.  |
| LANG LANG INTERNATIONAL MUSIC<br>FOUNDATION, INC.<br>561 SEVENTH AVENUE, ROOM 701<br>NEW YORK, NY 10018                               | N/A  | PC                                   | FOR ITS YOUNG SCHOLARS<br>PROGRAM   | 35,000.  |
| LAUDATO SI' MOVEMENT (F/K/A GLOBAL<br>CATHOLIC CLIMATE MOVEMENT)<br>700 12TH STREET, NW, SUITE 700, PMB<br>90321 WASHINGTON, DC 20005 | N/A  | PC                                   | FOR ITS FOSSIL FUEL<br>PROJECT  | 50,000.  |
| LAUDATO SI' MOVEMENT (F/K/A GLOBAL<br>CATHOLIC CLIMATE MOVEMENT)<br>700 12TH STREET, NW, SUITE 700, PMB<br>90321 WASHINGTON, DC 20005 | N/A  | PC                                   | FOR ITS EUROPE- AND<br>AFRICA-BASED CAMPAIGNS   | 132,500. |
| LAWYERS' COMMITTEE FOR CIVIL RIGHTS<br>UNDER LAW<br>1500 K STREET, NW, SUITE 900<br>WASHINGTON, DC 20005                              | N/A  | PC                                   | FOR GENERAL SUPPORT   | 100,000. |
| LEVIZJA FOL<br>ANDREA GROPA NO. 32<br>PRISTINA, OTHER COUNTRY 10000   | N/A  | PC                                   | FOR GENERAL SUPPORT   | 45,000.  |
| LINCOLN CENTER FOR THE PERFORMING<br>ARTS, INC.<br>70 LINCOLN CENTER PLAZA<br>NEW YORK, NY 10023-6583                                 | N/A  | PC                                   | FOR UPCOMING<br>PROGRAMMING FOR ITS<br>DAVID RUBENSTEIN<br>ATRIUM AND OUTDOOR<br>SPACES | 75,000.  |
| <b>Total from continuation sheets</b>   |  |                                      |   |          |

**Part XIV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

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|--|--|--------------------------------------|---|----------|
| LOST LIGHT PROJECTS, INC.<br>16 COURT STREET, SUITE 2307<br>BROOKLYN, NY 11241         | N/A  | PC                                   | FOR GENERAL SUPPORT   | 200,000. |
| LOST LIGHT PROJECTS, INC.<br>16 COURT STREET, SUITE 2307<br>BROOKLYN, NY 11241         | N/A  | PC                                   | FOR ITS JUSTICE<br>REPORTING INITIATIVE                             | 100,000. |
| MAGDALENE COLLEGE FOUNDATION<br>324 GROVE STREET<br>WORCESTER, MA 01605                | N/A  | PC                                   | FOR ITS PROJECT,<br>BARRIERS AND BORDERS                            | 6,354.   |
| MARCH ON MARYLAND, INC.<br>820 RITCHIE HIGHWAY, SUITE 250<br>SEVERNA PARK, MD 21146    | N/A  | PC                                   | FOR ITS PROJECT,<br>FUTURE COALITION                                | 150,000. |
| MATTIN GROUP<br>SQUARE BARON ALFRED BOUVIER 6/4<br>BRUSSELS, BELGIUM 1060              | N/A  | PF                                   | FOR GENERAL SUPPORT   | 60,000.  |
| MIDDLE EAST CHILDREN'S ALLIANCE<br>1101 EIGHTH STREET, SUITE 100<br>BERKELEY, CA 94710 | N/A  | PC                                   | FOR ITS PROJECT, RAWA:<br>CREATIVE PALESTINIAN<br>COMMUNITIES FUND  | 75,000.  |
| MIDDLE EAST POLICY NETWORK<br>P.O. BOX 8533<br>NEW YORK, NY 10150                      | N/A  | PC                                   | FOR GENERAL SUPPORT   | 75,000.  |
| MIDDLE EAST POLICY NETWORK<br>P.O. BOX 8533<br>NEW YORK, NY 10150                      | N/A  | PC                                   | FOR ITS PALESTINE<br>NONPARTITION PROJECT                           | 105,000. |
| MIGRATION POLICY GROUP<br>RUE BELLIARD 205, BOX 1<br>BRUSSELS, BELGIUM 1040            | N/A  | PC                                   | FOR ITS PROJECT, THE<br>TRANSATLANTIC MIGRANT<br>DEMOCRACY DIALOGUE | 65,000.  |
| MINA'S LIST, INC.<br>241 PERKINS STREET, UNIT I502<br>BOSTON, MA 02130                 | N/A  | PC                                   | FOR GENERAL SUPPORT   | 167,160. |
| <b>Total from continuation sheets</b> .....  |  |                                      |   |          |

**Part XIV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

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|---|--|--------------------------------------|--|----------|
| MINA'S LIST, INC.<br>241 PERKINS STREET, UNIT I502<br>BOSTON, MA 02130  | N/A  | PC                                   | FOR ITS WORK ON THE<br>AFGHAN WOMEN'S PEACE<br>FORUM | 50,000.  |
| MISSION INVESTORS EXCHANGE, INC.<br>105 W. 86TH STREET, #358<br>NEW YORK, NY 10024  | N/A  | PC                                   | FOR GENERAL SUPPORT<br>AND MEMBERSHIP FOR<br>2021    | 24,000.  |
| MISSION INVESTORS EXCHANGE, INC.<br>105 W. 86TH STREET, #358<br>NEW YORK, NY 10024  | N/A  | PC                                   | FOR ITS 2021 IMPACT<br>EXPERIENCE                    | 15,000.  |
| MOLAD-THE CENTER FOR THE RENEWAL OF<br>DEMOCRACY<br>LLOYD GEORGE 6<br>JERUSALEM, ISRAEL 9311006   | N/A  | PC                                   | FOR GENERAL SUPPORT                                  | 40,000.  |
| MORE IN COMMON, INC.<br>115 BROADWAY, 5TH FLOOR<br>NEW YORK, NY 10006   | N/A  | PC                                   | FOR GENERAL SUPPORT                                  | 100,000. |
| MOZAIK FOUNDATION<br>SPLITSKA 14<br>SARAJEVO, BOSNIA-HERZEGOVINA 71000  | N/A  | PC                                   | FOR GENERAL SUPPORT                                  | 100,000. |
| MREZA ZA ISTRAZIVANJE KRIMINALA I<br>KORUPCIJE (CRIME AND CORRUPTION<br>REPORTING NETWORK)<br>MAKENZIJEVA 46/12<br>BELGRADE, SERBIA 11000 | N/A  | PC                                   | FOR GENERAL SUPPORT                                  | 50,000.  |
| MUSEUM OF THE CITY OF NEW YORK<br>1220 FIFTH AVENUE<br>NEW YORK, NY 10029   | N/A  | PC                                   | FOR ITS PROJECT, YOUR<br>HOMETOWN                    | 100,000. |
| NAACP LEGAL DEFENSE AND EDUCATIONAL<br>FUND, INC.<br>40 RECTOR STREET, 5TH FLOOR<br>NEW YORK, NY 10006                                    | N/A  | PC                                   | FOR GENERAL SUPPORT                                  | 100,000. |
| NARADA FOUNDATION<br>RM 1505, TOWER C, VANTONE CENTER, NO.<br>6 CHAOYANGMENWAI ST BEIJING,<br>CHAOYANG DISTRICT, CHINA 100020             | N/A  | PC                                   | SEE STATEMENT  | 200,000. |
| <b>Total from continuation sheets</b> .....   |  |                                      |  |          |

**Part XIV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient<br>Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution                 | Amount   |
|---|--|--------------------------------------|---|----------|
| NATIONAL BLACK TRANS ADVOCACY<br>COALITION<br>P.O. BOX 118282<br>CARROLLTON, TX 75011                     | N/A  | PC                                   | FOR GENERAL SUPPORT                                 | 50,000.  |
| NATIONAL COMMITTEE FOR RESPONSIVE<br>PHILANTHROPY<br>1900 L STREET, NW, SUITE 825<br>WASHINGTON, DC 20036 | N/A  | PC                                   | FOR ITS PUBLIC POLICY<br>WORK                       | 25,000.  |
| NATIONAL CONFERENCE ON CITIZENSHIP<br>1920 L STREET, NW, SUITE 450<br>WASHINGTON, DC 20036                | N/A  | PC                                   | FOR ITS 2021 ANNUAL<br>CONFERENCE ON<br>CITIZENSHIP | 10,000.  |
| NATIONAL COUNCIL OF NONPROFITS<br>1001 G STREET, NW, SUITE 700 EAST<br>WASHINGTON, DC 20001               | N/A  | PC                                   | FOR GENERAL SUPPORT                                 | 45,000.  |
| NATIONAL INSTITUTE ON MONEY IN STATE<br>POLITICS<br>833 N. LAST CHANCE GULCH<br>HELENA, MT 59601-3352     | N/A  | PC                                   | FOR GENERAL SUPPORT                                 | 150,000. |
| NATIONAL IRANIAN AMERICAN COUNCIL<br>1629 K STREET, NW, SUITE 503<br>WASHINGTON, DC 20006                 | N/A  | PC                                   | FOR GENERAL SUPPORT                                 | 50,000.  |
| NATIONAL PARKS OF NEW YORK HARBOR<br>CONSERVANCY<br>26 WALL STREET<br>NEW YORK, NY 10005                  | N/A  | PC                                   | FOR ITS PLAY, THE<br>DEMOCRACY PROJECT              | 25,000.  |
| NATIONAL WILDLIFE FEDERATION<br>11100 WILDLIFE CENTER DRIVE<br>RESTON, VA 20190                           | N/A  | PC                                   | FOR ITS CAMPAIGN FOR<br>OFFSHORE WIND POWER         | 125,000. |
| NATIVE AMERICAN RIGHTS FUND<br>1506 BROADWAY<br>BOULDER, CO 80302   | N/A  | PC                                   | FOR ITS REDISTRICTING<br>PROJECT                    | 150,000. |
| NATURAL CAPITALISM SOLUTIONS, INC.<br>11823 N. 75TH STREET<br>LONGMONT, CO 80503                          | N/A  | PC                                   | FOR ITS PRESIDENTIAL<br>CLIMATE ACTION PROJECT      | 50,000.  |
| <b>Total from continuation sheets</b>   |  |                                      |   |          |

**Part XIV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution  | Amount   |
|--|--|--------------------------------------|--|----------|
| NATURALLY OCCURRING CULTURAL<br>DISTRICTS NY, INC.<br>88 PROSPECT PARK WEST, APT. 3D<br>BROOKLYN, NY 11215 | N/A  | PC                                   | FOR GENERAL SUPPORT  | 25,000.  |
| NEO PHILANTHROPY, INC.<br>45 WEST 36TH STREET, 6TH FLOOR<br>NEW YORK, NY 10018                             | N/A  | PC                                   | FOR ITS PROJECT,<br>BLACKBIRD  | 100,000. |
| NEO PHILANTHROPY, INC.<br>45 WEST 36TH STREET, 6TH FLOOR<br>NEW YORK, NY 10018                             | N/A  | PC                                   | FOR MEMBERSHIP IN 2020<br>AND 2021 AND SUPPORT<br>OF ITS PROJECT, THE<br>FUNDERS' COMMITTEE FOR<br>CIVIC PARTICIPATION | 36,000.  |
| NEO PHILANTHROPY, INC.<br>45 WEST 36TH STREET, 6TH FLOOR<br>NEW YORK, NY 10018                             | N/A  | PC                                   | FOR ITS PROJECT, THE<br>ONLINE PROGRESSIVE<br>ENGAGEMENT NETWORK   | 77,500.  |
| NEO PHILANTHROPY, INC.<br>45 WEST 36TH STREET, 6TH FLOOR<br>NEW YORK, NY 10018                             | N/A  | PC                                   | FOR ITS CHARITY &<br>SECURITY NETWORK<br>PROJECT   | 50,000.  |
| NEO PHILANTHROPY, INC.<br>45 WEST 36TH STREET, 6TH FLOOR<br>NEW YORK, NY 10018                             | N/A  | PC                                   | FOR ITS PROJECT, THE<br>YOUTH ENGAGEMENT FUND  | 250,000. |
| NEVLADINA ORGANIZACIJA "35MM"<br>MARKA RADOVICA STREET 20/32<br>PODGORICA, MONTENEGRO 81000                | N/A  | PC                                   | FOR GENERAL SUPPORT  | 45,000.  |
| NEW AMERICA FOUNDATION<br>740 15TH STREET, NW, SUITE 900<br>WASHINGTON, DC 20005                           | N/A  | PC                                   | FOR ITS POLITICAL<br>REFORM PROGRAM  | 100,000. |
| NEW AMERICA FOUNDATION<br>740 15TH STREET, NW, SUITE 900<br>WASHINGTON, DC 20005                           | N/A  | PC                                   | FOR ITS PROJECT, THE<br>AFGHANISTAN<br>OBSERVATORY   | 75,000.  |
| NEW AMERICAN LEADERS, INC.<br>530 7TH AVENUE, FLOOR M1<br>NEW YORK, NY 10018                               | N/A  | PC                                   | FOR GENERAL SUPPORT  | 50,000.  |
| <b>Total from continuation sheets</b>  |  |                                      |  |          |

**Part XIV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient<br>Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount   |
|---|--|--------------------------------------|---|----------|
| NEW ART PUBLICATIONS, INC.<br>80 HANSON PLACE, SUITE 703<br>BROOKLYN, NY 11217                          | N/A  | PC                                   | FOR CREATING A<br>FIVE-YEAR STRATEGIC<br>PLAN                                   | 25,000.  |
| NEW DRAMATISTS, INC.<br>P.O. BOX 2697<br>NEW YORK, NY 10108-2697  | N/A  | PC                                   | FOR ITS PLAYWRIGHTS'<br>LAB   | 35,000.  |
| NEW FLORIDA MAJORITY EDUCATION FUND,<br>INC.<br>10800 BISCAYNE BOULEVARD, SUITE 1050<br>MIAMI, FL 33161 | N/A  | PC                                   | FOR GENERAL SUPPORT   | 75,000.  |
| NEW GEORGIA PROJECT, INC.<br>165 COURTLAND STREET NE, SUITE A-231<br>ATLANTA, GA 30303                  | N/A  | PC                                   | FOR GENERAL SUPPORT   | 150,000. |
| NEW SOCIAL INITIATIVE<br>CIKA JOVINA IV/3<br>NORTH MITROVICA, OTHER COUNTRY 40000                       | N/A  | PC                                   | FOR GENERAL SUPPORT   | 35,000.  |
| NEW VENTURE FUND<br>1828 L STREET, NW, SUITE 300<br>WASHINGTON, DC 20036                                | N/A  | PC                                   | FOR ITS PROJECT, THE<br>MEDIA DEMOCRACY FUND                                    | 100,000. |
| NEW VENTURE FUND<br>1828 L STREET, NW, SUITE 300<br>WASHINGTON, DC 20036                                | N/A  | PC                                   | FOR ITS PROJECT, THE<br>PARTNERSHIP FUNDS                                       | 300,000. |
| NEW VENTURE FUND<br>1828 L STREET, NW, SUITE 300<br>WASHINGTON, DC 20036                                | N/A  | PC                                   | FOR THE FAIR<br>REPRESENTATION IN<br>REDISTRICTING PROJECT,<br>FOR ITS DATA HUB | 100,000. |
| NEW VENTURE FUND<br>1828 L STREET, NW, SUITE 300<br>WASHINGTON, DC 20036                                | N/A  | PC                                   | FOR ITS PROJECT, THE<br>TIPPING POINT FUND                                      | 150,000. |
| NEW VENTURE FUND<br>1828 L STREET, NW, SUITE 300<br>WASHINGTON, DC 20036                                | N/A  | PC                                   | FOR ITS PROJECT, THE<br>SOCIAL AND ECONOMIC<br>JUSTICE LEADERS<br>PROJECT       | 100,000. |
| <b>Total from continuation sheets</b>   |  |                                      |   |          |

**Part XIV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient<br>Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution  | Amount   |
|---|--|--------------------------------------|--|----------|
| NEW VENTURE FUND<br>1828 L STREET, NW, SUITE 300<br>WASHINGTON, DC 20036                                | N/A  | PC                                   | FOR ITS WORK, THE<br>PARTNERSHIP FUNDS<br>COLLECTIVE COURAGE<br>PROJECT                                  | 125,000. |
| NEW VENTURE FUND<br>1828 L STREET, NW, SUITE 300<br>WASHINGTON, DC 20036                                | N/A  | PC                                   | FOR ITS PROJECT,<br>CAMPAIGN COLLECTIVE  | 50,000.  |
| NEW VENTURE FUND<br>1828 L STREET, NW, SUITE 300<br>WASHINGTON, DC 20036                                | N/A  | PC                                   | FOR ITS PROJECT, FAIR<br>REPRESENTATION IN<br>REDISTRICTING, FOR ITS<br>STATE-BASED ORGANIZING<br>GROUPS | 250,000. |
| NEW VENTURE FUND<br>1828 L STREET, NW, SUITE 300<br>WASHINGTON, DC 20036                                | N/A  | PC                                   | FOR ITS TRUSTED<br>ELECTIONS FUND  | 500,000. |
| NEW WORLD FOUNDATION<br>680 WEST END AVENUE, APT. 1C<br>NEW YORK, NY 10025                              | N/A  | PC                                   | FOR ITS INDIGENOUS<br>ORGANIZATIONS'<br>COMMUNICATIONS LAB<br>PROJECT                                    | 75,000.  |
| NEW YORK CITY BALLET, INC.<br>20 LINCOLN CENTER<br>NEW YORK, NY 10023                                   | N/A  | PC                                   | FOR NEW COMMISSIONS  | 50,000.  |
| NEW YORK COMMUNITIES ORGANIZING FUND,<br>INC.<br>470 VANDERBILT AVENUE, 9TH FLOOR<br>BROOKLYN, NY 11238 | N/A  | PC                                   | FOR ITS NYC SMALL<br>BUILDING RETROFITS<br>PROJECT   | 75,000.  |
| NEW YORK FOUNDATION FOR THE ARTS,<br>INC.<br>20 JAY STREET, SUITE 740<br>BROOKLYN, NY 11201             | N/A  | PC                                   | FOR IMPLEMENTING ITS<br>IMMIGRANT ARTIST<br>RESOURCE DATABASE  | 10,000.  |
| NEW YORK FOUNDATION FOR THE ARTS,<br>INC.<br>20 JAY STREET, SUITE 740<br>BROOKLYN, NY 11201             | N/A  | PC                                   | FOR ITS INCUBATOR FOR<br>EXECUTIVE LEADERS OF<br>COLOR PROGRAM   | 50,000.  |
| NEW YORK LIVE ARTS, INC.<br>219 WEST 19TH STREET<br>NEW YORK, NY 10011                                  | N/A  | PC                                   | FOR ITS LIVE FEED<br>RESIDENCY PROGRAM   | 50,000.  |
| <b>Total from continuation sheets</b>   |  |                                      |  |          |

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|--|--|--------------------------------------|--|---------|
| NEW YORK THEATRE WORKSHOP, INC.<br>79 EAST 4TH STREET<br>NEW YORK, NY 10003                                | N/A  | PC                                   | FOR ITS 2050<br>ADMINISTRATIVE FELLOWS<br>PROGRAM            | 50,000. |
| NEW YORK UNIVERSITY<br>665 BROADWAY, SUITE 801<br>NEW YORK, NY 10012                                       | N/A  | PC                                   | FOR ITS CENTER FOR<br>BALLET AND THE ARTS                    | 50,000. |
| NEW YORK UNIVERSITY<br>665 BROADWAY, SUITE 801<br>NEW YORK, NY 10012                                       | N/A  | PC                                   | SEE STATEMENT  | 40,000. |
| NEW YORK WOMEN IN FILM & TELEVISION,<br>INC.<br>6 EAST 39TH STREET, SUITE 1200<br>NEW YORK, NY 10016       | N/A  | PC                                   | FOR CAPACITY BUILDING  | 19,190. |
| NINE SEVEN TWO-ADVANCEMENT OF CITIZEN<br>JOURNALISM<br>5 AHARON CHELOUCHE LANE<br>TEL AVIV, ISRAEL 6684714 | N/A  | PC                                   | FOR GENERAL SUPPORT  | 75,000. |
| NO FICCION GT, ONG<br>6TH AVENUE 9-62 PARIS PLAZA, OFFICE<br>34, ZONE 1 GUATEMALA CITY, GUATEMALA<br>10001 | N/A  | PC                                   | FOR GENERAL SUPPORT  | 55,000. |
| NONPROFIT NEW YORK, INC.<br>320 EAST 43RD STREET, THIRD FLOOR<br>NEW YORK, NY 10017                        | N/A  | PC                                   | FOR ITS CHANGE THE<br>NARRATIVE PROJECT AND<br>ADVOCACY WORK | 40,000. |
| NONPROFIT NEW YORK, INC.<br>320 EAST 43RD STREET, THIRD FLOOR<br>NEW YORK, NY 10017                        | N/A  | PC                                   | FOR ITS ADVOCACY WORK  | 30,000. |
| NONPROFIT NEW YORK, INC.<br>320 EAST 43RD STREET, THIRD FLOOR<br>NEW YORK, NY 10017                        | N/A  | PC                                   | FOR GENERAL SUPPORT<br>AND MEMBERSHIP FOR<br>2020 AND 2021   | 2,000.  |
| NORTH AMERICAN CONGRESS ON LATIN<br>AMERICA<br>53 WASHINGTON SQUARE SOUTH, FLOOR 4W<br>NEW YORK, NY 10012  | N/A  | PC                                   | FOR GENERAL SUPPORT  | 30,000. |
| <b>Total from continuation sheets</b>  |  |                                      |  |         |

**Part XIV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

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|--|--|--------------------------------------|---|----------|
| NORTH CHINA ELECTRIC POWER UNIVERSITY<br>2 BEINONG ROAD, HUILONGGUAN,<br>CHANGPING DISTRICT BEIJING, CHINA                                     | N/A  | PC                                   | FOR RESEARCH ON<br>SOCIO-ECONOMIC IMPACTS<br>AND COAL TRANSITION<br>POLICIES AND PATHWAYS<br>IN CHINA | 200,000. |
| NOTRE AFFAIRE A TOUS<br>31 RUE BICHAT<br>PARIS, FRANCE 75010   | N/A  | PC                                   | FOR ITS FOSSIL FUEL<br>CAMPAIGN   | 80,000.  |
| ODBOR ZA LJUDSKA PRAVA<br>KARADORDA PETROVICA 115<br>BUJANOVAC, SERBIA 17520   | N/A  | PC                                   | FOR ITS PROJECT, SOUTH<br>SERBIA - NORTH KOSOVO<br>DIALOGUE   | 25,000.  |
| OIL CHANGE INTERNATIONAL<br>714 G STREET, SE, SUITE 202<br>WASHINGTON, DC 20003  | N/A  | PC                                   | FOR GENERAL SUPPORT   | 200,000. |
| OPENTRUST<br>5 MADINGLEY ROAD<br>CAMBRIDGE, UNITED KINGDOM CB3 0EE   | N/A  | PC                                   | FOR ITS PROJECT,<br>OPENDEMOCRACY   | 45,000.  |
| ORGANIZACION FRATERNAL NEGRA<br>HONDURENA<br>RESIDENCIAL EL TORONJAL NO. 2, MAIN<br>STREET LA CEIBA, HONDURAS 341                              | N/A  | PC                                   | FOR GENERAL SUPPORT   | 55,000.  |
| ORGANIZATA JOQEVERITARE INTEGRA<br>RRUSTEM STATOVCI N.N. 2/3<br>PRISTINA, OTHER COUNTRY 10000  | N/A  | PC                                   | FOR GENERAL SUPPORT   | 40,000.  |
| PACHAMAMA ALLIANCE<br>PRESIDIO BUILDING #1009, P.O. BOX<br>29191 SAN FRANCISCO, CA 94129   | N/A  | PC                                   | FOR ITS PROJECT, THE<br>GLOBAL ALLIANCE FOR<br>THE RIGHTS OF NATURE                                   | 205,000. |
| PARTNERS ALBANIA FOR CHANGE AND<br>DEVELOPMENT<br>ELBASANI ST, PARK GATE BLDG, 10TH FL,<br>APT. 71/73, P.O. BOX 2418/1 TIRANA,<br>ALBANIA 1001 | N/A  | PC                                   | FOR GENERAL SUPPORT   | 100,000. |
| PARTNERSHIP FOR PUBLIC SERVICE, INC.<br>1100 NEW YORK AVENUE, NW, SUITE 200<br>EAST WASHINGTON, DC 20005                                       | N/A  | PC                                   | FOR GENERAL SUPPORT   | 100,000. |
| <b>Total from continuation sheets</b> .....  |  |                                      |   |          |

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|---|--|--------------------------------------|--|----------|
| PARTNERSHIP FOR THE ADVANCEMENT OF<br>NEW AMERICANS<br>4089 FAIRMOUNT AVENUE<br>SAN DIEGO, CA 92105   | N/A  | PC                                   | FOR ITS PROJECT,<br>AFGHANS FOR A BETTER<br>TOMORROW   | 60,000.  |
| PAUL TAYLOR DANCE FOUNDATION, INC.<br>551 GRAND STREET<br>NEW YORK, NY 10002  | N/A  | PC                                   | FOR ITS 2019 AND 2020<br>LINCOLN CENTER SEASONS<br>AND 2020 CELEBRATE THE<br>DANCEMAKER TOUR                 | 50,000.  |
| PEAK GRANTMAKING, INC.<br>1666 K STREET, NW, SUITE 440<br>WASHINGTON, DC 20006  | N/A  | PC                                   | FOR GENERAL SUPPORT<br>AND MEMBERSHIP FOR<br>2020 AND 2021   | 3,000.   |
| PEN AMERICAN CENTER, INC.<br>588 BROADWAY, SUITE 303<br>NEW YORK, NY 10012  | N/A  | PC                                   | FOR ITS WRITING AS<br>ACTIVISM FELLOWSHIP  | 50,000.  |
| PEOPLE'S ACTION INSTITUTE<br>1130 N. MILWAUKEE AVENUE<br>CHICAGO, IL 60642  | N/A  | PC                                   | FOR ITS PROJECT,<br>JUSTICE IS GLOBAL  | 75,000.  |
| PEOPLE'S THEATRE PROJECT, INC.<br>3135 JOHNSON AVENUE, APT. 7G<br>BRONX, NY 10463   | N/A  | PC                                   | FOR A RESIDENCY AND<br>PERFORMANCE AT THE<br>POCANTICO CENTER  | 35,000.  |
| PERFORMANCE ZONE, INC.<br>75 MAIDEN LANE, SUITE 906<br>NEW YORK, NY 10038   | N/A  | PC                                   | FOR MEMBERSHIP AND<br>SUPPORT FOR 2021 AND<br>2022 FOR ITS PROJECT,<br>NEW YORKERS FOR<br>CULTURE & ARTS     | 26,550.  |
| PESTICIDE ECO-ALTERNATIVES CENTER<br>YUNNAN THOUGHTFUL ACTION<br>APT. 1214, F. 12, BLDG 14, CHUANJIN<br>RD, YUNNAN YINGXIANG RESIDENTIAL<br>QUARTER KUNMING, CHINA 650224 | N/A  | PC                                   | FOR ITS PROJECT TO<br>REDUCE GREENHOUSE GAS<br>EMISSIONS FROM<br>AGRICULTURE SUPPLY<br>CHAINS IN RURAL CHINA | 150,000. |
| PHILANTHROPY FOR ACTIVE CIVIC<br>ENGAGEMENT<br>1717 RHODE ISLAND AVENUE, NW, SUITE<br>700 WASHINGTON, DC 20036  | N/A  | PC                                   | FOR 2020 AND 2021<br>MEMBERSHIP AND GENERAL<br>SUPPORT   | 19,000.  |
| PHILANTHROPY NEW YORK, INC.<br>320 EAST 43RD STREET<br>NEW YORK, NY 10017   | N/A  | PC                                   | FOR GENERAL SUPPORT<br>AND MEMBERSHIP FOR<br>2020 AND 2021   | 24,250.  |
| <b>Total from continuation sheets</b>   |  |                                      |  |          |

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|--|--|--------------------------------------|---|----------|
| PHILANTHROPY NEW YORK, INC.<br>320 EAST 43RD STREET<br>NEW YORK, NY 10017            | N/A  | PC                                   | FOR ITS PROJECT,<br>ARTSCOM                             | 6,600.   |
| PIONEER WORKS ART FOUNDATION<br>159 PIONEER STREET<br>BROOKLYN, NY 11231-1263        | N/A  | PC                                   | FOR ITS<br>TECHNOLOGY-FOCUSED<br>RESIDENCY              | 50,000.  |
| PLOUGHSHARES FUND<br>315 BAY STREET, SUITE 400<br>SAN FRANCISCO, CA 94133            | N/A  | PC                                   | FOR ITS IRAN CAMPAIGN                                   | 100,000. |
| PLOUGHSHARES FUND<br>315 BAY STREET, SUITE 400<br>SAN FRANCISCO, CA 94133            | N/A  | PC                                   | FOR ITS WOMEN'S<br>INITIATIVE                           | 50,000.  |
| POLARIS INSTITUTE USA<br>1901 OLYMPIC BOULEVARD, SUITE 200<br>WALNUT CREEK, CA 94596 | N/A  | PC                                   | FOR ITS PROJECT,<br>AMAZON, ENERGY, AND<br>ALTERNATIVES | 85,000.  |
| POLICY IMPACT<br>1107 9TH STREET, SUITE 500<br>SACRAMENTO, CA 95814                  | N/A  | PC                                   | FOR ITS PROJECT,<br>DEMOCRACY FUNDERS<br>NETWORK        | 10,000.  |
| POLICYLINK<br>1438 WEBSTER STREET, #303<br>OAKLAND, CA 94612                         | N/A  | PC                                   | FOR GENERAL SUPPORT                                     | 125,000. |
| POLICYLINK<br>1438 WEBSTER STREET, #303<br>OAKLAND, CA 94612                         | N/A  | PC                                   | FOR GENERAL SUPPORT                                     | 250,000. |
| POWER SHIFT NETWORK<br>P.O. BOX 73116<br>WASHINGTON, DC 20056                        | N/A  | PC                                   | FOR GENERAL SUPPORT                                     | 50,000.  |
| POWER SHIFT NETWORK<br>P.O. BOX 73116<br>WASHINGTON, DC 20056                        | N/A  | PC                                   | FOR GENERAL SUPPORT                                     | 50,000.  |
| <b>Total from continuation sheets</b>  |  |                                      |   |          |

**Part XIV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

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|---|--|--------------------------------------|--|----------|
| PRESIDENT AND FELLOWS OF HARVARD COLLEGE<br>79 JFK STREET<br>CAMBRIDGE, MA 02138                                | N/A  | PC                                   | FOR ITS ASH CENTER FOR DEMOCRATIC GOVERNANCE AND INNOVATION SENIOR PRACTICE FELLOWSHIP IN AMERICAN DEMOCRACY | 25,000.  |
| PRISON POLICY INITIATIVE, INC.<br>69 GARFIELD AVENUE, 1ST FLOOR<br>EASTHAMPTON, MA 01027                        | N/A  | PC                                   | FOR GENERAL SUPPORT  | 50,000.  |
| PROJECT SOUTH THE INSTITUTION FOR THE ELIMINATION OF POVERTY & GENOCIDE<br>9 GAMMON AVENUE<br>ATLANTA, GA 30315 | N/A  | PC                                   | FOR GENERAL SUPPORT  | 75,000.  |
| PROTECT DEMOCRACY PROJECT<br>2020 PENNSYLVANIA AVENUE, NW<br>WASHINGTON, DC 20006                               | N/A  | PC                                   | FOR GENERAL SUPPORT  | 100,000. |
| PROTEUS FUND, INC.<br>15 RESEARCH DRIVE, SUITE B<br>AMHERST, MA 01002   | N/A  | PC                                   | FOR ITS INITIATIVE, THE PIPER FUND   | 200,000. |
| PROTEUS FUND, INC.<br>15 RESEARCH DRIVE, SUITE B<br>AMHERST, MA 01002   | N/A  | PC                                   | FOR ITS PROJECT, MORE EQUITABLE DEMOCRACY  | 200,000. |
| PROTEUS FUND, INC.<br>15 RESEARCH DRIVE, SUITE B<br>AMHERST, MA 01002   | N/A  | PC                                   | FOR ITS RISE TOGETHER FUND   | 35,000.  |
| PS1 CONTEMPORARY ART CENTER, INC.<br>22-25 JACKSON AVENUE<br>LONG ISLAND CITY, NY 11101                         | N/A  | PC                                   | SEE STATEMENT  | 75,000.  |
| PUBLIC CITIZEN FOUNDATION, INC.<br>1600 20TH STREET, NW<br>WASHINGTON, DC 20009                                 | N/A  | PC                                   | FOR ITS PROJECT, THE CORPORATE REFORM COALITION  | 50,000.  |
| PUBLIC CITIZEN FOUNDATION, INC.<br>1600 20TH STREET, NW<br>WASHINGTON, DC 20009                                 | N/A  | PC                                   | FOR ITS GLOBAL TRADE WATCH PROGRAM   | 150,000. |
| <b>Total from continuation sheets</b>   |  |                                      |  |          |

**Part XIV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution           | Amount   |
|--|--|--------------------------------------|---|----------|
| PUBLIC POLICY AND EDUCATION FUND OF<br>NEW YORK, INC.<br>94 CENTRAL AVENUE<br>ALBANY, NY 12206                               | N/A  | PC                                   | FOR GENERAL SUPPORT                           | 40,000.  |
| PULITZER CENTER ON CRISIS REPORTING<br>1779 MASSACHUSETTS AVENUE, NW, SUITE<br>615 WASHINGTON, DC 20007                      | N/A  | PC                                   | FOR ITS PROJECT, 1619<br>EDUCATIONAL OUTREACH | 150,000. |
| QENDRA E ARTEVE VIZUELE MULTIMEDIA<br>RR. IDRIZ GJILANI 7/9 1<br>PRISTINA, OTHER COUNTRY 10000                               | N/A  | PC                                   | FOR GENERAL SUPPORT                           | 35,000.  |
| QENDRA E ARTEVE VIZUELE MULTIMEDIA<br>RR. IDRIZ GJILANI 7/9 1<br>PRISTINA, OTHER COUNTRY 10000                               | N/A  | PC                                   | FOR ITS BALKAN<br>BORDELLO PROJECT            | 30,000.  |
| QUEENS MUSEUM OF ART<br>NEW YORK CITY BUILDING, FLUSHING<br>MEADOWS CORONA PARK QUEENS, NY<br>11368                          | N/A  | PC                                   | FOR EXHIBITIONS AND<br>ARTIST RESIDENCIES     | 100,000. |
| QUINCY INSTITUTE FOR RESPONSIBLE<br>STATECRAFT<br>2000 PENNSYLVANIA AVENUE, NW, SUITE<br>7000 WASHINGTON, DC 20006           | N/A  | PC                                   | FOR GENERAL SUPPORT                           | 310,000. |
| RACE FORWARD<br>145 EAST 57TH STREET, 4TH FLOOR<br>NEW YORK, NY 10022  | N/A  | PC                                   | FOR GENERAL SUPPORT                           | 50,000.  |
| RAINFOREST ACTION NETWORK<br>425 BUSH STREET<br>SAN FRANCISCO, CA 94108  | N/A  | PC                                   | FOR ITS CLIMATE &<br>ENERGY PROGRAM           | 100,000. |
| REFUGEE TRAUMA INITIATIVE HELLAS -<br>CIVIL LAW NON-PROFIT COMPANY<br>STR. NAPOLEONTOS ZERVA 6<br>THESSALONIKI, GREECE 54640 | N/A  | PC                                   | FOR GENERAL SUPPORT                           | 50,000.  |
| REFUGEE TRAUMA INITIATIVE HELLAS -<br>CIVIL LAW NON-PROFIT COMPANY<br>STR. NAPOLEONTOS ZERVA 6<br>THESSALONIKI, GREECE 54640 | N/A  | PC                                   | FOR GENERAL SUPPORT                           | 100,000. |
| <b>Total from continuation sheets</b> .....  |  |                                      |   |          |

**Part XIV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

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|--|--|--------------------------------------|--|------------|
| REGULATORNI INSTITUT ZA OBNOVLJIVE<br>IZVORE ENERGIJE I ZASTITU ZIVOTNE<br>SREDINE<br>KRALJA PETRA 70/11<br>BELGRADE, SERBIA 11000 | N/A  | PC                                   | FOR GENERAL SUPPORT  | 40,000.    |
| RENEWABLE ENERGY LONG ISLAND, INC.<br>P.O. BOX 4103<br>EAST HAMPTON, NY 11937  | N/A  | PC                                   | FOR ITS GROWING 100%<br>RENEWABLE ENERGY<br>COMMUNITIES IN NEW<br>YORK STATE PROJECT | 50,000.    |
| RES FOUNDATION<br>DVADESETSEDMOG MARTA ST 28, 6TH FL,<br>APT. 23 BELGRADE, SERBIA 11000  | N/A  | PC                                   | FOR GENERAL SUPPORT  | 70,000.    |
| ROCKEFELLER FAMILY FUND<br>475 RIVERSIDE DRIVE, SUITE 900<br>NEW YORK, NY 10115  | N/A  | PC                                   | FOR ITS PROJECT,<br>CAMBIO   | 50,000.    |
| ROCKEFELLER FAMILY FUND<br>475 RIVERSIDE DRIVE, SUITE 900<br>NEW YORK, NY 10115  | N/A  | PC                                   | FOR ITS POLLUTER PAYS<br>PROJECT   | 75,000.    |
| ROCKEFELLER PHILANTHROPY ADVISORS,<br>INC.<br>6 WEST 48TH STREET, 10TH FLOOR<br>NEW YORK, NY 10036                                 | N/A  | PC                                   | FOR ITS THEORY OF THE<br>FOUNDATION INITIATIVE                                       | 25,000.    |
| ROCKEFELLER PHILANTHROPY ADVISORS,<br>INC.<br>6 WEST 48TH STREET, 10TH FLOOR<br>NEW YORK, NY 10036                                 | N/A  | PC                                   | SEE STATEMENT  | 1,000,000. |
| ROCKEFELLER PHILANTHROPY ADVISORS,<br>INC.<br>6 WEST 48TH STREET, 10TH FLOOR<br>NEW YORK, NY 10036                                 | N/A  | PC                                   | FOR ITS NEW PLURALISTS<br>FUNDERS COLLABORATIVE                                      | 250,000.   |
| ROCKEFELLER PHILANTHROPY ADVISORS,<br>INC.<br>6 WEST 48TH STREET, 10TH FLOOR<br>NEW YORK, NY 10036                                 | N/A  | PC                                   | FOR ITS PROJECT, THE<br>EQUATION CAMPAIGN  | 250,000.   |
| ROCKEFELLER PHILANTHROPY ADVISORS,<br>INC.<br>6 WEST 48TH STREET, 10TH FLOOR<br>NEW YORK, NY 10036                                 | N/A  | PC                                   | FOR ITS ENERGY<br>TRANSITION FUND  | 700,000.   |
| <b>Total from continuation sheets</b>  |  |                                      |  |            |

**Part XIV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

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|--|--|--------------------------------------|--|----------|
| ROCKEFELLER PHILANTHROPY ADVISORS,<br>INC.<br>6 WEST 48TH STREET, 10TH FLOOR<br>NEW YORK, NY 10036   | N/A  | PC                                   | FOR ITS CLIMATE NEXUS<br>PROJECT   | 200,000. |
| ROCKY MOUNTAIN INSTITUTE<br>2490 JUNCTION PLACE, SUITE 200<br>BOULDER, CO 80301  | N/A  | PC                                   | FOR ITS GLOBAL CLIMATE<br>FINANCE PROGRAM                                      | 400,000. |
| ROCKY MOUNTAIN INSTITUTE<br>2490 JUNCTION PLACE, SUITE 200<br>BOULDER, CO 80301  | N/A  | PC                                   | FOR ITS PROJECT ON<br>DEVELOPING A CORPORATE<br>GREEN POWER MARKET IN<br>CHINA | 250,000. |
| RUSSELL SAGE FOUNDATION<br>112 EAST 64TH STREET<br>NEW YORK, NY 10065  | N/A  | EOF                                  | FOR ITS JOURNAL ISSUE<br>DEVOTED TO THE LEGACY<br>OF PLESSY V. FERGUSON        | 20,000.  |
| SAFETY AND RISK MITIGATION<br>ORGANIZATION<br>71-75 SHELTON STREET, COVENT GARDEN<br>LONDON, UNITED KINGDOM WC2H 9JQ                               | N/A  | PC                                   | FOR GENERAL SUPPORT  | 98,600.  |
| SALVADORAN RESEARCH PROGRAM ON<br>DEVELOPMENT AND ENVIRONMENT<br>FOUNDATION<br>PASAJE SAGRADO CORAZON NO. 821,<br>COLONIA ESCALON SAN SALVADOR, EL | N/A  | PC                                   | FOR GENERAL SUPPORT  | 15,000.  |
| SAN FRANCISCO CHAMBER OF COMMERCE<br>FOUNDATION<br>234 MONTGOMERY STREET, SUITE 760<br>SAN FRANCISCO, CA 94104                                     | N/A  | PC                                   | FOR ITS CHAMBERS FOR<br>INNOVATION AND CLEAN<br>ENERGY PROJECT                 | 100,000. |
| SAWA FOR DEVELOPMENT AND AID<br>AHM BLDG, 1ST FL, TAREEK AL JADIDEH,<br>KALAAT RASHAYA RD BEIRUT, LEBANON<br>1001                                  | N/A  | PC                                   | FOR GENERAL SUPPORT  | 75,000.  |
| SBUNKER<br>BOULEVARD DESHMORET E KOMBIT NO. 44/7<br>PRISTINA, OTHER COUNTRY 1000   | N/A  | PC                                   | FOR ITS PROJECT,<br>SBUNKER DEMOCRACY HUB                                      | 40,000.  |
| SEARCH FOR COMMON GROUND<br>1730 RHODE ISLAND AVENUE, NW, SUITE<br>1101 WASHINGTON, DC 20036   | N/A  | PC                                   | FOR ITS COMMON<br>GROUND-USA PROJECT   | 60,000.  |
| <b>Total from continuation sheets</b>  |  |                                      |  |          |

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|--|--|--------------------------------------|--|----------|
| SEATTLE INTERNATIONAL FOUNDATION<br>1601 5TH AVENUE, SUITE 1900<br>SEATTLE, WA 98101   | N/A  | PC                                   | FOR ITS INDEPENDENT<br>JOURNALISM FUND   | 236,500. |
| SEATTLE INTERNATIONAL FOUNDATION<br>1601 5TH AVENUE, SUITE 1900<br>SEATTLE, WA 98101   | N/A  | PC                                   | FOR ITS ANTI-IMPUNITY<br>FUND, CENTRAL AMERICA<br>IN D.C. PROJECT, AND<br>DONORS FORUM           | 110,000. |
| SHED NYC, INC.<br>545 WEST 30TH STREET<br>NEW YORK, NY 10001   | N/A  | PC                                   | FOR OPEN CALL ARTISTS<br>AND THE CREATION OF<br>NEW WORK   | 200,000. |
| SHENZHEN GOALBLUE LOW CARBON<br>DEVELOPMENT PROMOTING CENTER<br>03-26, WEWORK, GONGXIAOGUOJI, NO. 28,<br>DONGDAQIAO GUANDONGDIAN BEIJING,<br>CHAOYANG DISTRICT, CHINA 100600 | N/A  | PC                                   | FOR EMPOWERING YOUTH<br>INFLUENCERS IN<br>SUSTAINABLE<br>CONSUMPTION AND<br>LOW-CARBON LIFESTYLE | 550,000. |
| SHENZHEN PARADISE INTERNATIONAL<br>FOUNDATION<br>104, NO. 22 JIANCHANG HUTONG,<br>DONGCHENG DISTRICT BEIJING, CHINA<br>10007   | N/A  | PC                                   | FOR ITS OCEANS<br>PHILANTHROPY<br>INITIATIVE   | 250,000. |
| SHENZHEN ZERO WASTE ENVIRONMENTAL<br>PUBLIC WELFARE UNDERTAKINGS<br>DEVELOPMENT CENTER<br>LEVEL 4 NORTH, BLDG 23, KEYUAN WEST<br>INDUSTRIAL ZONE, NO. 1 KEZHI RD WEST        | N/A  | PC                                   | FOR GENERAL SUPPORT  | 100,000. |
| SIERRA CLUB FOUNDATION<br>2101 WEBSTER STREET, SUITE 1250<br>OAKLAND, CA 94612   | N/A  | PC                                   | FOR ITS LIVING ECONOMY<br>PROGRAM  | 175,000. |
| SILVER ART PROJECTS, INC.<br>FOUR WORLD TRADE CENTER, 28TH FLOOR<br>NEW YORK, NY 10007   | N/A  | PC                                   | FOR ITS ARTISTS<br>RESIDENCY PROGRAM   | 50,000.  |
| SIMON FRASER UNIVERSITY<br>ADVANCEMENT & ALUMNI ENGAGEMENT,<br>UNIVERSITY DEVELOPMENT, STRAND HALL<br>2127 BURNABY, CANADA V5A 1S6   | N/A  | PC                                   | FOR ITS CASCADIA<br>CLIMATE PROJECT  | 100,000. |
| SLAVKO CURUVIJA FOUNDATION<br>KURSULINA 7<br>BELGRADE, SERBIA 11000  | N/A  | PC                                   | FOR GENERAL SUPPORT  | 60,000.  |
| <b>Total from continuation sheets</b>  |  |                                      |  |          |

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|---|--|--------------------------------------|--|----------|
| SLAVKO CURUVIJA FOUNDATION<br>KURSULINA 7<br>BELGRADE, SERBIA 11000   | N/A  | PC                                   | FOR GENERAL SUPPORT                                | 87,000.  |
| SOCIETY FOR PRESERVATION OF<br>WEEKSVILLE & BEDFORD-STUYVESANT<br>HISTORY<br>158 BUFFALO AVENUE<br>BROOKLYN, NY 11213 | N/A  | PC                                   | FOR GENERAL SUPPORT                                | 50,000.  |
| SOLIDAIRE NETWORK, INC.<br>1330 BROADWAY, 3RD FLOOR<br>OAKLAND, CA 94612  | N/A  | PC                                   | FOR GENERAL SUPPORT                                | 20,000.  |
| SOUTHERN COALITION FOR SOCIAL JUSTICE<br>1415 W. NC HIGHWAY 54, SUITE 101<br>DURHAM, NC 27707                         | N/A  | PC                                   | FOR GENERAL SUPPORT                                | 100,000. |
| SPANISH THEATRE REPERTORY CO., LTD.<br>138 EAST 27TH STREET<br>NEW YORK, NY 10016                                     | N/A  | PC                                   | FOR GENERAL SUPPORT                                | 25,000.  |
| STAND<br>1329 NORTH STATE STREET, SUITE 302<br>BELLINGHAM, WA 98225   | N/A  | PC                                   | FOR GENERAL SUPPORT                                | 250,000. |
| STAND<br>1329 NORTH STATE STREET, SUITE 302<br>BELLINGHAM, WA 98225   | N/A  | PC                                   | FOR COMMUNICATIONS<br>SUPPORT                      | 20,000.  |
| STEPHEN PETRONIO DANCE COMPANY, INC.<br>140 SECOND AVENUE, SUITE 504<br>NEW YORK, NY 10003                            | N/A  | PC                                   | FOR ITS PETRONIO<br>RESIDENCY CENTER               | 25,000.  |
| STICHTING BANKTRACK<br>VISMARKT 15<br>NIJMEGEN, NETHERLANDS 6511 VJ   | N/A  | PC                                   | FOR GENERAL SUPPORT                                | 35,000.  |
| STICHTING EUROPEAN CLIMATE FOUNDATION<br>RIVIERVISMARKT 5<br>THE HAGUE, NETHERLANDS 2513 AM                           | N/A  | PC                                   | FOR ITS POOLED FUND<br>FOR INTERNATIONAL<br>ENERGY | 100,000. |
| <b>Total from continuation sheets</b> .....   |  |                                      |  |          |

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|---|--|--------------------------------------|--|----------|
| STICHTING LIGHTHOUSE REPORTS<br>VAN BEECK CALKOENLAAN 5<br>BUNNK, NETHERLANDS 3981 EL   | N/A  | PC                                   | FOR ITS PROJECT, WAR<br>WINNERS  | 50,000.  |
| STICHTING NETHERLANDS INSTITUTE FOR<br>MULTIPARTY DEMOCRACY<br>95 AVENIDA NORTE NO. 642, COLONIA<br>ESCALON SAN SALVADOR, EL SALVADOR | N/A  | PC                                   | FOR GENERAL SUPPORT  | 105,000. |
| STICHTING RIGHTS DEFENDERS<br>PARKSTRAAT 25<br>ZAANDIJK, NETHERLANDS 1544 AM  | N/A  | PC                                   | FOR GENERAL SUPPORT  | 60,000.  |
| STICHTING THE RIGHTS FORUM<br>ZEKERINGSTRAAT 43 A<br>AMSTERDAM, NETHERLANDS 1014 BV   | N/A  | PC                                   | FOR ITS PROJECT, THE<br>EUROPEAN LEGAL SUPPORT<br>CENTER                       | 40,000.  |
| STICHTING TRANSNATIONAL INSTITUTE<br>DE WITENSTRAAT 25<br>AMSTERDAM, NETHERLANDS 1052 AK  | N/A  | PC                                   | FOR ITS WORK ON TRADE<br>AND INVESTMENT  | 250,000. |
| STORY COLLIDER<br>121 WEST 36TH STREET, SUITE 112<br>NEW YORK, NY 10018-3612  | N/A  | PC                                   | FOR GENERAL SUPPORT  | 25,000.  |
| STUDIO MUSEUM IN HARLEM, INC.<br>144 WEST 125TH STREET<br>NEW YORK, NY 10027  | N/A  | PC                                   | FOR ITS RESIDENCY<br>PROGRAM AND COMMUNITY<br>VISUAL ARTS PROJECT IN<br>HARLEM | 75,000.  |
| SUSTAINABLE MARKETS FOUNDATION<br>45 WEST 36TH STREET, 6TH FLOOR<br>NEW YORK, NY 10018-7635   | N/A  | PC                                   | FOR ITS BANKFWD<br>PROJECT   | 50,000.  |
| TABULA RASA DANCE THEATER, INC.<br>126 EAST 83RD STREET, APT. A2<br>NEW YORK, NY 10028  | N/A  | PC                                   | FOR ITS ONE OF FOUR<br>INITIATIVE  | 25,000.  |
| TAHRIR INSTITUTE FOR MIDDLE EAST<br>POLICY<br>1140 CONNECTICUT AVENUE, NW, SUITE 505<br>WASHINGTON, DC 20036                          | N/A  | PC                                   | FOR GENERAL SUPPORT  | 75,000.  |
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|---|--|--------------------------------------|---|----------|
| THE ADM CAPITAL FOUNDATION LIMITED<br>2405 9 QUEEN'S ROAD CENTRAL<br>HONG KONG, HONG KONG                                 | N/A  | PC                                   | FOR ITS CHINA WATER<br>RISK PROJECT                       | 50,000.  |
| THE AFRICAN AMERICAN POLICY FORUM<br>435 WEST 116TH STREET<br>NEW YORK, NY 10027  | N/A  | PC                                   | FOR GENERAL SUPPORT                                       | 300,000. |
| THE ASPEN INSTITUTE, INC.<br>2300 N STREET, NW, SUITE 700<br>WASHINGTON, DC 20037   | N/A  | PC                                   | FOR ITS 2021 ASPEN<br>STRATEGY GROUP ANNUAL<br>WORKSHOP   | 50,000.  |
| THE ASPEN INSTITUTE, INC.<br>2300 N STREET, NW, SUITE 700<br>WASHINGTON, DC 20037   | N/A  | PC                                   | FOR ITS CONGRESSIONAL<br>PROGRAM                          | 175,000. |
| THE BALKAN FORUM<br>TIRANA C4/2 LAM B-N2 11-1<br>PRISTINA, OTHER COUNTRY 10000  | N/A  | PC                                   | FOR GENERAL SUPPORT                                       | 100,000. |
| THE BROOKINGS INSTITUTION<br>1775 MASSACHUSETTS AVENUE, NW<br>WASHINGTON, DC 20036  | N/A  | PC                                   | FOR A TRACK 2 DIALOGUE<br>ON U.S.-CHINA<br>RELATIONS      | 135,000. |
| THE CENTER FOR ELECTION INNOVATION &<br>RESEARCH, INC.<br>1120 CONNECTICUT AVENUE, NW, SUITE<br>1040 WASHINGTON, DC 20036 | N/A  | PC                                   | FOR GENERAL SUPPORT                                       | 100,000. |
| THE CLIMATE GROUP, INC.<br>335 MADISON AVENUE, 4TH FLOOR<br>NEW YORK, NY 10017  | N/A  | PC                                   | FOR PLANNING NEW YORK<br>CITY CLIMATE WEEK                | 50,000.  |
| THE CRANE INSTITUTE OF<br>SUSTAINABILITY, INC.<br>1 ELM STREET<br>MANCHESTER-BY-THE-SEA, MA 01944                         | N/A  | PC                                   | FOR ITS PROJECT, THE<br>INTENTIONAL ENDOWMENTS<br>NETWORK | 27,330.  |
| THE ENERGY FOUNDATION<br>301 BATTERY STREET, 5TH FLOOR<br>SAN FRANCISCO, CA 94111   | N/A  | PC                                   | SEE STATEMENT   | 200,000. |
| <b>Total from continuation sheets</b>   |  |                                      |   |          |

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|--|--|--------------------------------------|--|----------|
| THE FOUNDATION FOR A CIVIL SOCIETY,<br>LTD.<br>25 EAST END AVENUE, APT. 1B<br>NEW YORK, NY 10028     | N/A  | PC                                   | FOR ITS IRAN PROJECT   | 100,000. |
| THE GAIA FOUNDATION<br>44 GRAND PARADE<br>BRIGHTON, UNITED KINGDOM BN2 9QA                           | N/A  | PC                                   | FOR ITS WORK ON RIGHTS<br>OF NATURE                                | 50,000.  |
| THE HISPANIC SOCIETY OF AMERICA<br>613 WEST 155TH STREET<br>NEW YORK, NY 10032                       | N/A  | PC                                   | FOR ITS CURATORIAL AND<br>CONSERVATION RESEARCH<br>FELLOWS PROGRAM | 50,000.  |
| THE HOTEL SAVANT THEATRE COMPANY,<br>INC.<br>2350 BROADWAY, #1207, C/O PREVITE<br>NEW YORK, NY 10024 | N/A  | PC                                   | FOR ITS PRODUCTION OF<br>OPIATE DAMOUR                             | 35,000.  |
| THE INSTITUTE FOR MIDDLE EAST<br>UNDERSTANDING<br>2913 EL CAMINO REAL, #436<br>TUSTIN, CA 92782      | N/A  | PC                                   | FOR GENERAL SUPPORT  | 60,000.  |
| THE LAUNDROMAT PROJECT, INC.<br>1476 FULTON STREET<br>BROOKLYN, NY 11216                             | N/A  | PC                                   | FOR GENERAL SUPPORT  | 50,000.  |
| THE MUNICIPAL ART SOCIETY OF NEW YORK<br>488 MADISON AVENUE, SUITE 1900<br>NEW YORK, NY 10022        | N/A  | PC                                   | FOR ITS FIGHT FOR<br>LIGHT INITIATIVE                              | 50,000.  |
| THE MUSEUM FOR AFRICAN ART<br>1280 FIFTH AVENUE<br>NEW YORK, NY 10029                                | N/A  | PC                                   | FOR ITS AFRICANS OF<br>AMERICA EXHIBITION AND<br>RELATED PROGRAMS  | 100,000. |
| THE NETWORK FOR AFFIRMATION OF NGO<br>SECTOR<br>DALMATINSKA 188<br>PODGORICA, MONTENEGRO 81000       | N/A  | PC                                   | FOR GENERAL SUPPORT  | 40,000.  |
| THE NEW SCHOOL<br>66 WEST 12TH STREET<br>NEW YORK, NY 10011  | N/A  | PC                                   | FOR ITS CARBON CAPTURE<br>AND SEQUESTRATION<br>DASHBOARD PROJECT   | 75,000.  |
| <b>Total from continuation sheets</b>  |  |                                      |  |          |

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**3 Grants and Contributions Paid During the Year (Continuation)**

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|--|---|--------------------------------|--|----------|
| THE NEW SCHOOL<br>66 WEST 12TH STREET<br>NEW YORK, NY 10011  | N/A   | PC                             | FOR ITS PROJECT, THE INSTITUTE ON RACE AND POLITICAL ECONOMY | 200,000. |
| THE NEW YORK COMMUNITY TRUST<br>909 THIRD AVENUE, 22ND FLOOR<br>NEW YORK, NY 10022                               | N/A   | PC                             | FOR ITS MOSAIC NETWORK AND FUND                              | 200,000. |
| THE NEW YORK IMMIGRATION COALITION, INC.<br>131 WEST 33RD STREET, SUITE 610<br>NEW YORK, NY 10001                | N/A   | PC                             | FOR GENERAL SUPPORT  | 39,500.  |
| THE NISKANEN CENTER, INC.<br>820 FIRST STREET, NE, #675<br>WASHINGTON, DC 20002                                  | N/A   | PC                             | FOR ITS CLIMATE PROGRAM                                      | 100,000. |
| THE PARTNERSHIP PROJECT, INC.<br>P.O. BOX 65826<br>WASHINGTON, DC 20035  | N/A   | PC                             | FOR ITS PROJECT, THE GREEN LEADERSHIP TRUST                  | 25,000.  |
| THE PEN AND BRUSH, INC.<br>29 EAST 22ND STREET<br>NEW YORK, NY 10010   | N/A   | PC                             | FOR GENERAL SUPPORT  | 50,000.  |
| THE PROJECT ON MIDDLE EAST DEMOCRACY, INC.<br>1730 RHODE ISLAND AVENUE, NW, SUITE 617<br>WASHINGTON, DC 20036    | N/A   | PC                             | FOR GENERAL SUPPORT  | 200,000. |
| THE REGENTS OF THE UNIVERSITY OF CALIFORNIA<br>1608 FOURTH STREET, SUITE 220, MC 5940<br>BERKELEY, CA 94710-1749 | N/A   | PC                             | FOR ITS METHANE PROTOCOL COP26 PROJECT                       | 100,000. |
| THE REGENTS OF THE UNIVERSITY OF CALIFORNIA<br>1156 HIGH STREET<br>SANTA CRUZ, CA 95064-1077                     | N/A   | PC                             | FOR ITS BLUE PIONEERS ACCELERATOR PROGRAM                    | 60,000.  |
| THE REGENTS OF THE UNIVERSITY OF CALIFORNIA<br>1608 FOURTH STREET, SUITE 220, MC 5940<br>BERKELEY, CA 94710-1749 | N/A   | PC                             | FOR ITS CALIFORNIA-CHINA CLIMATE INSTITUTE                   | 300,000. |
| <b>Total from continuation sheets</b>  |   |                                |  |          |

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|---|--|--------------------------------------|---|----------|
| THE REGENTS OF THE UNIVERSITY OF CALIFORNIA<br>3323 PUBLIC AFFAIRS BUILDING, BOX 951656 LOS ANGELES, CA 90095 | N/A  | PC                                   | SEE STATEMENT   | 7,000.   |
| THE ROOSEVELT INSTITUTE<br>570 LEXINGTON AVENUE, 5TH FLOOR<br>NEW YORK, NY 10022                              | N/A  | PC                                   | FOR ITS PROJECT, THE SOUTHERN ECONOMIC ADVANCEMENT PROJECT                | 100,000. |
| THE ROOSEVELT INSTITUTE<br>570 LEXINGTON AVENUE, 5TH FLOOR<br>NEW YORK, NY 10022                              | N/A  | PC                                   | FOR GENERAL SUPPORT   | 100,000. |
| THE SEATTLE AQUARIUM SOCIETY<br>1483 ALASKAN WAY, PIER 59<br>SEATTLE, WA 98101                                | N/A  | PC                                   | FOR ITS PROJECT, THE INTERNATIONAL ALLIANCE TO COMBAT OCEAN ACIDIFICATION | 100,000. |
| THE VOICES PROJECT USA, INC.<br>594 DEAN STREET, FLOOR 2, SUITE 22<br>BROOKLYN, NY 11238                      | N/A  | PC                                   | FOR GENERAL SUPPORT   | 80,000.  |
| THEATER MITU, INC.<br>580 SACKETT STREET, UNIT A - GROUND FLOOR<br>BROOKLYN, NY 11217-3019                    | N/A  | PC                                   | FOR GENERAL SUPPORT   | 25,000.  |
| THIRD WORLD NETWORK BERHAD<br>131 MACALISTER ROAD<br>PENANG, MALAYSIA 10400                                   | N/A  | PC                                   | FOR GENERAL SUPPORT   | 200,000. |
| THIRD WORLD NETWORK-AFRICA<br>9 ASMARA STREET, EAST LEGON, P.O. BOX 19452<br>ACCRA, GHANA                     | N/A  | PC                                   | FOR GENERAL SUPPORT   | 75,000.  |
| TIDES CENTER<br>1012 TORNEY AVENUE<br>SAN FRANCISCO, CA 94129   | N/A  | PC                                   | FOR ITS PROJECT, MOSAIC   | 150,000. |
| TIDES CENTER<br>1012 TORNEY AVENUE<br>SAN FRANCISCO, CA 94129   | N/A  | PC                                   | FOR ITS CLIMATEVOICE PROJECT  | 100,000. |
| <b>Total from continuation sheets</b>   |  |                                      |   |          |

**Part XIV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution                                      | Amount     |
|--|--|--------------------------------------|--|------------|
| TIDES CENTER<br>1012 TORNEY AVENUE<br>SAN FRANCISCO, CA 94129                                      | N/A  | PC                                   | FOR ITS PROJECT,<br>PALESTINE LEGAL                                      | 75,000.    |
| TIDES CENTER<br>1012 TORNEY AVENUE<br>SAN FRANCISCO, CA 94129                                      | N/A  | PC                                   | FOR ITS ADALAH JUSTICE<br>PROJECT  | 75,000.    |
| TIDES FOUNDATION<br>1012 TORNEY AVENUE<br>SAN FRANCISCO, CA 94139-9389                             | N/A  | PC                                   | FOR ITS PROJECT, THE<br>GREEN NEW DEAL NETWORK                           | 2,000,000. |
| TIDES FOUNDATION<br>1012 TORNEY AVENUE<br>SAN FRANCISCO, CA 94139-9389                             | N/A  | PC                                   | FOR ITS DEPLOYMENT<br>MAPPING PROJECT                                    | 75,000.    |
| TIDES FOUNDATION<br>1012 TORNEY AVENUE<br>SAN FRANCISCO, CA 94139-9389                             | N/A  | PC                                   | FOR ITS PROJECT, THE<br>NEW MEDIA VENTURES<br>EDUCATION FUND             | 100,000.   |
| TIMES SQUARE DISTRICT MANAGEMENT<br>ASSOCIATION<br>1560 BROADWAY, SUITE 1001<br>NEW YORK, NY 10036 | N/A  | PC                                   | FOR ITS PUBLIC ART<br>COMMISSION PROGRAM                                 | 200,000.   |
| TRADE JUSTICE EDUCATION FUND<br>P.O. BOX 77043<br>WASHINGTON, DC 20013                             | N/A  | PC                                   | FOR GENERAL SUPPORT  | 50,000.    |
| TRAG FOUNDATION<br>MILESEVSKA 5/6<br>BELGRADE-VRACAR, SERBIA 11118                                 | N/A  | PC                                   | FOR GENERAL SUPPORT<br>AND TO SUPPORT ITS<br>COVID-19 SOLIDARITY<br>FUND | 100,000.   |
| TRI-STATE TRANSPORTATION CAMPAIGN<br>231 WEST 29TH STREET, SUITE 904<br>NEW YORK, NY 10001         | N/A  | PC                                   | FOR ITS NYC CONGESTION<br>PRICING CAMPAIGN                               | 25,000.    |
| TRUSTEES OF BOSTON UNIVERSITY<br>25 BUICK STREET, SUITE 200<br>BOSTON, MA 02215                    | N/A  | PC                                   | SEE STATEMENT  | 253,500.   |
| <b>Total from continuation sheets</b>  |  |                                      |  |            |

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|---|--|--------------------------------------|---|----------|
| TRUSTEES OF BOSTON UNIVERSITY<br>25 BUICK STREET, SUITE 200<br>BOSTON, MA 02215   | N/A  | PC                                   | FOR THE GLOBAL CHINA<br>INITIATIVES RESEARCH<br>ON GREENING CHINAS<br>OVERSEAS DEVELOPMENT<br>FINANCE   | 150,000. |
| TRUSTEES OF COLUMBIA UNIVERSITY IN<br>THE CITY OF NEW YORK<br>1210 AMSTERDAM AVENUE<br>NEW YORK, NY 10027   | N/A  | PC                                   | FOR ITS PROJECT,<br>GULF/2000   | 25,000.  |
| TRUSTEES OF PRINCETON UNIVERSITY<br>87 PROSPECT AVENUE<br>PRINCETON, NJ 08544-0036  | N/A  | PC                                   | SEE STATEMENT   | 100,000. |
| TRUSTEES OF THE UNIVERSITY OF<br>PENNSYLVANIA<br>OFFICE OF RESEARCH SERVICES, 5TH FL,<br>FRANKLIN BLDG, 3451 WALNUT ST<br>PHILADELPHIA, PA 19104-6205 | N/A  | PC                                   | FOR ITS CLIMATE +<br>COMMUNITY PROJECT  | 75,000.  |
| TSINGHUA UNIVERSITY<br>HAIDIAN DISTRICT<br>BEIJING, CHINA 100084  | N/A  | PC                                   | SEE STATEMENT   | 100,000. |
| TSINGHUA UNIVERSITY<br>HAIDIAN DISTRICT<br>BEIJING, CHINA 100084  | N/A  | PC                                   | FOR CREATING<br>LOW-CARBON DEVELOPMENT<br>GUIDELINES FOR CHINA<br>AT THE PROVINCIAL AND<br>LOCAL LEVELS | 80,000.  |
| U.S. MIDDLE EAST PROJECT, INC.<br>641 LEXINGTON AVENUE, SUITE 1500<br>NEW YORK, NY 10022  | N/A  | PC                                   | FOR ITS DRAGONS<br>PROJECT  | 45,000.  |
| UDRUZENJE KROKODIL<br>KARADJORDJEVA 43<br>BELGRADE, SERBIA 11000  | N/A  | PC                                   | FOR GENERAL SUPPORT   | 30,000.  |
| UNION TEMPORAL PROGRAMA NACIONAL DE<br>EDUCACION PARA LA PAZ - EDUCAPAZ<br>CRA. 11 #75-71 OFC 302<br>BOGOTA, COLOMBIA 11001                           | N/A  | PC                                   | FOR ITS COMMISSION FOR<br>THE CLARIFICATION OF<br>TRUTH, COEXISTENCE AND<br>NON-REPETITION PROJECT      | 75,000.  |
| UNITE AMERICA INSTITUTE, INC.<br>1580 LINCOLN STREET, SUITE 520<br>DENVER, CO 80203   | N/A  | PC                                   | FOR ITS PROJECT, THE<br>UNITE AMERICA NETWORK   | 250,000. |
| <b>Total from continuation sheets</b>   |  |                                      |   |          |

**Part XIV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

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|--|--|--------------------------------------|--|----------|
| UNITED NATIONS FOUNDATION<br>1750 PENNSYLVANIA AVENUE, NW, SUITE<br>300 WASHINGTON, DC 20006                     | N/A  | PC                                   | FOR ITS PROJECT, THE<br>U.S. CLIMATE ALLIANCE  | 100,000. |
| UNIVERSITEIT LEIDEN, INTERNATIONAL<br>INSTITUTE FOR ASIAN STUDIES<br>RAPENBURG 59<br>LEIDEN, NETHERLANDS 2311 GJ | N/A  | PC                                   | FOR A COMPARATIVE<br>REVIEW OF CHINAS<br>INTERNATIONAL ACADEMIC<br>AND PROFESSIONAL<br>EXCHANGES             | 150,000. |
| UNIVERSITY OF DELAWARE<br>210 HULLIHEN HALL<br>NEWARK, DE 19716  | N/A  | PC                                   | FOR ITS SPECIAL<br>INITIATIVE ON OFFSHORE<br>WIND PROJECT  | 250,000. |
| UNIVERSITY OF MASSACHUSETTS<br>FOUNDATION, INC.<br>ONE BEACON STREET, 31ST FLOOR<br>BOSTON, MA 02108             | N/A  | PC                                   | FOR THE CONSORTIUM ON<br>GENDER, SECURITY, AND<br>HUMAN RIGHTS' FEMINIST<br>ROADMAP FOR<br>SUSTAINABLE PEACE | 115,000. |
| UNIVERSITY OF OREGON<br>1585 E. 13TH AVENUE<br>EUGENE, OR 97403-5247   | N/A  | GOV                                  | FOR BUILDING A<br>DATABASE ON RIGHTS OF<br>NATURE MEASURES AND<br>ACTIONS                                    | 50,000.  |
| URBAN SUSTAINABILITY DIRECTORS<br>NETWORK<br>700 12TH STREET, NW, SUITE 700<br>WASHINGTON, DC 20005              | N/A  | PC                                   | FOR GENERAL SUPPORT  | 200,000. |
| URBAN SUSTAINABILITY DIRECTORS<br>NETWORK<br>700 12TH STREET, NW, SUITE 700<br>WASHINGTON, DC 20005              | N/A  | PC                                   | FOR ITS URBAN DRAWDOWN<br>INITIATIVE   | 55,000.  |
| URGEWALD E.V.<br>VON-GALEN STR. 4<br>SASSENBERG, GERMANY 48336   | N/A  | PC                                   | FOR ITS PROJECT ON<br>INTERNATIONAL<br>FINANCIAL INSTITUTIONS<br>AND FOSSIL FUELS                            | 50,000.  |
| US SIF FOUNDATION, LTD.<br>1660 L STREET, NW, SUITE 306<br>WASHINGTON, DC 20036                                  | N/A  | PC                                   | FOR GENERAL SUPPORT<br>AND MEMBERSHIP FOR<br>2021  | 5,105.   |
| USAHELLO<br>P.O. BOX 15167<br>PORTLAND, OR 97293   | N/A  | PC                                   | FOR GENERAL SUPPORT  | 30,000.  |
| <b>Total from continuation sheets</b>  |  |                                      |  |          |

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|---|--|--------------------------------------|---|----------|
| VIBE THEATER EXPERIENCE<br>138 SOUTH OXFORD STREET, SUITE 4D<br>BROOKLYN, NY 11217-1695                       | N/A  | PC                                   | FOR GENERAL SUPPORT   | 35,000.  |
| VOTERUNLEAD<br>143 AVENUE B, #2B<br>NEW YORK, NY 10009  | N/A  | PC                                   | FOR GENERAL SUPPORT   | 125,000. |
| WASHINGTON OFFICE ON LATIN AMERICA,<br>INC.<br>1666 CONNECTICUT AVENUE, NW, SUITE 400<br>WASHINGTON, DC 20009 | N/A  | PC                                   | FOR ITS CENTRAL<br>AMERICA PROGRAM  | 115,000. |
| WATERWELL PRODUCTIONS, INC.<br>519 WEST 135TH STREET, #6B<br>NEW YORK, NY 10031                               | N/A  | PC                                   | FOR ITS ENGLISH<br>ADAPTATION OF SEVEN<br>MINUTES   | 25,000.  |
| WE THE PEOPLE MI<br>440 BURROUGHS STREET, SUITE 174<br>DETROIT, MI 48202                                      | N/A  | PC                                   | FOR GENERAL SUPPORT   | 50,000.  |
| WELLBEING ECONOMY ALLIANCE<br>BRAKEBROOK, PARRACOMBE<br>BARNSTAPLE, UNITED KINGDOM EX31 4RG                   | N/A  | PC                                   | FOR GENERAL SUPPORT   | 250,000. |
| WHO PROFITS (R.A.)<br>104 DERECH HAATSMA'UT<br>HAIFA, ISRAEL 3133401  | N/A  | PC                                   | FOR GENERAL SUPPORT   | 60,000.  |
| WILLIAM J. BRENNAN, JR., CENTER FOR<br>JUSTICE, INC.<br>120 BROADWAY, SUITE 1750<br>NEW YORK, NY 10271        | N/A  | PC                                   | FOR ITS DEMOCRACY<br>PROGRAM'S WORK FOCUSED<br>ON MONEY IN POLITICS,<br>FAIR COURTS, AND<br>REDISTRICTING | 200,000. |
| WIN WITHOUT WAR EDUCATION FUND<br>1 THOMAS CIRCLE, NW, SUITE 700<br>WASHINGTON, DC 20005                      | N/A  | PC                                   | FOR GENERAL SUPPORT   | 75,000.  |
| WINDWARD FUND<br>1201 CONNECTICUT AVENUE, NW, SUITE<br>300 WASHINGTON, DC 20036                               | N/A  | PC                                   | FOR ITS REWIRING<br>AMERICA PROJECT   | 150,000. |
| <b>Total from continuation sheets</b>   |  |                                      |   |          |

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|--|--|--------------------------------------|--|----------|
| WNET<br>825 EIGHTH AVENUE<br>NEW YORK, NY 10019-7435   | N/A  | PC                                   | FOR ITS PAST, PRESENT,<br>AND FUTURE PROGRAM               | 75,000.  |
| WOMEN CROSS DMZ<br>3688 WOODLAWN TERRACE PLACE<br>HONOLULU, HI 96822-1475  | N/A  | PC                                   | FOR ITS PROJECT, THE<br>FEMINIST PEACE<br>INITIATIVE       | 100,000. |
| WOMEN CROSS DMZ<br>3688 WOODLAWN TERRACE PLACE<br>HONOLULU, HI 96822-1475  | N/A  | PC                                   | SEE STATEMENT  | 20,000.  |
| WOMEN OF COLOR ADVANCING PEACE AND<br>SECURITY WCAPS<br>3695 KETCHUM COURT<br>WOODBIDGE, VA 22193  | N/A  | PC                                   | FOR GENERAL SUPPORT  | 55,000.  |
| WORLDWIDE INITIATIVES FOR GRANTMAKER<br>SUPPORT, INC.<br>R. DR. VIRGILIO DE CARVALHO PINTO 433<br>- SALA 7 SAO PAULO-SP, BRAZIL<br>05415-030 | N/A  | PC                                   | FOR GENERAL SUPPORT<br>AND MEMBERSHIP FOR<br>2020 AND 2021 | 10,000.  |
| YALE UNIVERSITY<br>OFFICE OF SPONSORED PROJECTS, 25<br>SCIENCE PARK, 150 MUNSON ST NEW<br>HAVEN, CT 06511                                    | N/A  | PC                                   | FOR ITS DIGITAL<br>PUBLICATION, YALE<br>ENVIRONMENT 360    | 50,000.  |
| YESH DIN - VOLUNTEERS FOR HUMAN<br>RIGHTS (RNPO)<br>DAVID KHAKHMI ST. 12, P.O. BOX 50403<br>TEL AVIV, ISRAEL 6777812                         | N/A  | PC                                   | FOR GENERAL SUPPORT  | 50,000.  |
| YOUTH INITIATIVE FOR HUMAN RIGHTS IN<br>BOSNIA AND HERZEGOVINA<br>MULA MUSTAFE BASESKIJE 8/4<br>SARAJEVO, BOSNIA-HERZEGOVINA 71000           | N/A  | PC                                   | FOR GENERAL SUPPORT  | 40,000.  |
| ZASTO NE<br>GABRIELE MORENO LOCATELLI 21<br>SARAJEVO, BOSNIA-HERZEGOVINA 71000   | N/A  | PC                                   | FOR GENERAL SUPPORT  | 40,000.  |
| ZAZIM - COMMUNITY ACTION<br>P.O. BOX 8080<br>TEL AVIV, ISRAEL 6108002  | N/A  | PC                                   | FOR GENERAL SUPPORT  | 50,000.  |
| <b>Total from continuation sheets</b>  |  |                                      |  |          |

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|--|--|--------------------------------------|-------------------------------------|--------|
| AFGHAN AMERICAN WOMENS ASSOCIATION<br>7502 GAMBRILL ROAD<br>SPRINGFIELD, VA 22153                                | N/A  | PC                                   | GENERAL OPERATING<br>PURPOSES       | 250.   |
| ALZHEIMERS DISEASE AND RELATED<br>DISORDERS NEW YORK CITY<br>360 LEXINGTON AVE., 3RD FLOOR<br>NEW YORK, NY 10017 | N/A  | PC                                   | GENERAL OPERATING<br>PURPOSES       | 200.   |
| AMERICAN INDIAN COMMUNITY HOUSE<br>39 ELDRIDGE STREET, 4TH FLOOR<br>NEW YORK, NY 10002                           | N/A  | PC                                   | GENERAL OPERATING<br>PURPOSES       | 240.   |
| BERKELEY-CARROLL STREET SCHOOL<br>152 STERLING PL<br>BROOKLYN, NY 11217-3307                                     | N/A  | PC                                   | GENERAL OPERATING<br>PURPOSES       | 2,200. |
| CASITA MARIA, INC.<br>928 SIMPSON ST 6TH FLOOR<br>BRONX, NY 10459  | N/A  | PC                                   | GENERAL OPERATING<br>PURPOSES       | 600.   |
| COALITION FOR THE HOMELESS<br>1300 S. FOURTH STREET, #250<br>LOUISVILLE, KY 40208                                | N/A  | PC                                   | GENERAL OPERATING<br>PURPOSES       | 3,250. |
| COMMONWEAL<br>451 MESA ROAD<br>BOLINAS, CA 94924   | N/A  | PC                                   | GENERAL OPERATING<br>PURPOSES       | 2,500. |
| CUBAN ARTISTS FUND INC.<br>10 PARK AVENUE<br>NEW YORK, NY 10010  | N/A  | PC                                   | GENERAL OPERATING<br>PURPOSES       | 1,000. |
| DEMOS: A NETWORK FOR IDEAS AND<br>ACTION, LTD<br>80 BROAD STREET, 4TH FOOR<br>NEW YORK, NY 10004                 | N/A  | PC                                   | GENERAL OPERATING<br>PURPOSES       | 1,000. |
| EASTERN MENNONITE UNIVERSITY<br>1200 PARK ROAD<br>HARRISONBURG, VA 22802   | N/A  | PC                                   | GENERAL OPERATING<br>PURPOSES       | 200.   |
| <b>Total from continuation sheets</b>  |  |                                      |                                     |        |

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|--|--|--------------------------------------|-------------------------------------|--------|
| FUND FOR THE CITY OF NEW YORK, INC.<br>121 AVENUE OF THE AMERICAS, 6TH FLOOR<br>NEW YORK, NY 10013 | N/A  | PC                                   | GENERAL OPERATING<br>PURPOSES       | 1,000. |
| GIVE FOUNDATION, INC.<br>PO BOX 50876<br>PALO ALTO, CA 94303                                       | N/A  | PC                                   | GENERAL OPERATING<br>PURPOSES       | 3,156. |
| GIVE FOUNDATION, INC.<br>PO BOX 50876<br>PALO ALTO, CA 94303                                       | N/A  | PC                                   | GENERAL OPERATING<br>PURPOSES       | 1,092. |
| GLOBAL GROWERS NETWORK INC.<br>500 SOUTH COLUMBIA DRIVE<br>DECATUR, IL 30030                       | N/A  | PC                                   | GENERAL OPERATING<br>PURPOSES       | 252.   |
| INDIANS FOR COLLECTIVE ACTION<br>1111 W CAMINO REAL, #133-295<br>SUNNYVALE, CA 94087               | N/A  | PC                                   | GENERAL OPERATING<br>PURPOSES       | 7,000. |
| IRVINGTON EDUCATION FOUNDATION INC.<br>PO BOX 93<br>IRVINGTON, NY 10533                            | N/A  | PC                                   | GENERAL OPERATING<br>PURPOSES       | 500.   |
| IRVINGTON HISTORICAL SOCIETY<br>PO BOX 23<br>IRVINGTON, NY 10533                                   | N/A  | PC                                   | GENERAL OPERATING<br>PURPOSES       | 153.   |
| KNIT THE RAINBOW INC.<br>456 W. 167TH ST., UNIT 1D<br>NEW YORK, NY 10032                           | N/A  | PC                                   | GENERAL OPERATING<br>PURPOSES       | 500.   |
| LAGUARDIA HIGH SCHOOL PARENTS<br>ASSOCIATION INC<br>100 AMSTERDAM AVE<br>NEW YORK, NY 10023        | N/A  | PC                                   | GENERAL OPERATING<br>PURPOSES       | 1,000. |
| LAKEVIEW CHRISTIAN ACADEMY INC.<br>155 W CENTRAL ENTRANCE<br>DULUTH, MN 55811-3450                 | N/A  | PC                                   | GENERAL OPERATING<br>PURPOSES       | 600.   |
| <b>Total from continuation sheets</b>  |  |                                      |                                     |        |

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|--|--|--------------------------------------|-------------------------------------|--------|
| LIGHTHOUSE GUILD INTERNATIONAL INC.<br>250 WEST 64TH ST., 6TH FLOOR<br>NEW YORK, NY 10023          | N/A  | PC                                   | GENERAL OPERATING<br>PURPOSES       | 50.    |
| LOST LIGHT PROJECT, INC.<br>16 COURT STREET, SUITE 2307<br>BROOKLYN, NY 11201                      | N/A  | PC                                   | GENERAL OPERATING<br>PURPOSES       | 1,500. |
| MARBLE COLLEGIATE CHURCH (COLLEGIATE<br>REFORMED PROT)<br>1 WEST 29TH STREET<br>NEW YORK, NY 10001 | N/A  | PC                                   | GENERAL OPERATING<br>PURPOSES       | 335.   |
| MOISHE HOUSE<br>441 SAXONY ROAD, BARN 2<br>ENCINITAS, CA 92024                                     | N/A  | PC                                   | GENERAL OPERATING<br>PURPOSES       | 73.    |
| MONTCLAIR PUBLIC LIBRARY FOUNDATION<br>INC.<br>50 SOUTH FULLERTON AVENUE<br>MONTCLAIR, NJ 07042    | N/A  | PC                                   | GENERAL OPERATING<br>PURPOSES       | 200.   |
| NEW YORK BULLY CREW<br>1457 MONTAUK HIGHWAY<br>EAST PATCHOGUE, NY 11772                            | N/A  | PC                                   | GENERAL OPERATING<br>PURPOSES       | 250.   |
| NEW YORK PUBLIC RADIO<br>160 VARICK STREET<br>NEW YORK, NY 10013-1220                              | N/A  | PC                                   | GENERAL OPERATING<br>PURPOSES       | 144.   |
| NEW YORK PUBLIC RADIO<br>160 VARICK STREET<br>NEW YORK, NY 10013-1220                              | N/A  | PC                                   | GENERAL OPERATING<br>PURPOSES       | 50.    |
| NEW YORK PUBLIC RADIO<br>160 VARICK STREET<br>NEW YORK, NY 10013-1220                              | N/A  | PC                                   | GENERAL OPERATING<br>PURPOSES       | 120.   |
| PEN AMERICAN CENTER INC.<br>588 BROADWAY, SUITE 303<br>NEW YORK, NY 10012                          | N/A  | PC                                   | GENERAL OPERATING<br>PURPOSES       | 1,500. |
| <b>Total from continuation sheets</b> .....  |  |                                      |                                     |        |

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|--|--|--------------------------------------|-------------------------------------|---------|
| ST. JUDE CHILDREN'S RESEARCH HOSPITAL<br>262 DANNY THOMAS PLACE<br>MEMPHIS, TN 38105                       | N/A  | PC                                   | GENERAL OPERATING<br>PURPOSES       | 275.    |
| STATEN ISLAND CHILDREN'S THEATRE<br>ASSOCIATION<br>167 PELTON AVE<br>STATEN ISLAND, NY 10310-1543          | N/A  | PC                                   | GENERAL OPERATING<br>PURPOSES       | 400.    |
| TAKODAH YOUNG MENS CHRISTIAN<br>ASSOCIATION<br>32 LAKE STREET<br>NORTH SWANZEY, NH 03431                   | N/A  | PC                                   | GENERAL OPERATING<br>PURPOSES       | 500.    |
| TEACHERS COLLEGE COLUMBIA UNIVERSITY<br>525 WEST 120TH ST., BOX 306<br>NEW YORK, NY 10027                  | N/A  | PC                                   | GENERAL OPERATING<br>PURPOSES       | 1,000.  |
| THE SATO PROJECT, INC.<br>130 WATER ST<br>BROOKLYN, NY 11201-1040  | N/A  | PC                                   | GENERAL OPERATING<br>PURPOSES       | 1,030.  |
| TIMES SQUARE CHURCH INC<br>1657 BROADWAY 4TH FLOOR<br>NEW YORK, NY 10019-6700                              | N/A  | PC                                   | GENERAL OPERATING<br>PURPOSES       | 5,079.  |
| UNIVERSITY OF CAPE TOWN FUND INC.<br>51 WEST 52ND STREET<br>NEW YORK, NY 10019                             | N/A  | SO I                                 | GENERAL OPERATING<br>PURPOSES       | 1,000.  |
| VIRGINIA CONGRESS OF PARENTS AND<br>TEACHERS (VIRGINIA PTA)<br>5651 RAYBURN AVENUE<br>ALEXANDRIA, VA 22311 | N/A  | PC                                   | GENERAL OPERATING<br>PURPOSES       | 399.    |
| WEST HARLEM ENVIRONMENTAL ACTION INC<br>1854 AMSTERDAM AVE., 2ND FLOOR<br>NEW YORK, NY 10031               | N/A  | PC                                   | GENERAL OPERATING<br>PURPOSES       | 10,000. |
| WESTCHESTER COUNTY HISTORICAL SOCIETY<br>2199 SAW MILL RIVER ROAD<br>ELMSFORD, NY 10523                    | N/A  | PC                                   | GENERAL OPERATING<br>PURPOSES       | 50.     |
| <b>Total from continuation sheets</b>  |  |                                      |                                     |         |



**Part XIV Supplementary Information****3 Grants and Contributions Approved for Future Payment (Continuation)**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount             |
|--|--|--------------------------------------|---|--------------------|
| AMERICAN ACADEMY OF ARTS AND SCIENCES<br>136 IRVING STREET<br>CAMBRIDGE, MA 02138  | N/A  | PC                                   | FOR THE IMPLEMENTATION<br>OF THE OUR COMMON<br>PURPOSE REPORT   | 500,000.           |
| ARMS CONTROL ASSOCIATION<br>1200 18TH STREET, NW, SUITE 1175<br>WASHINGTON, DC 20036-1207  | N/A  | PC                                   | FOR ITS PROJECT, THE<br>FORUM ON THE ARMS<br>TRADE  | 60,000.            |
| ASSOCIATION FOR POST-CONFLICT<br>RESEARCH<br>PRUSCAKOVA 21<br>SARAJEVO, BOSNIA-HERZEGOVINA 71000   | N/A  | PC                                   | FOR GENERAL SUPPORT   | 40,000.            |
| ASSOCIATION FOR PROMOTION AND<br>EXPLORATION OF PERFORMING ARTS IN BIH<br>"KONTAKT"<br>BIHACKA 5<br>SARAJEVO, BOSNIA-HERZEGOVINA 71000           | N/A  | PC                                   | FOR GENERAL SUPPORT   | 40,000.            |
| ASSOCIATION FOR SOCIAL INNOVATIONS<br>ASSOCIATION FOR DEVELOPMENT OF NEW<br>OPTIONS SKOPJE<br>ISAIJA MAZHOVSKI 40/2-17<br>SKOPJE, MACEDONIA 1000 | N/A  | PC                                   | FOR GENERAL SUPPORT   | 60,000.            |
| ASSOCIATION NETWORK FOR BUILDING<br>PEACE<br>OBALA KULINA BANA 39<br>SARAJEVO, BOSNIA-HERZEGOVINA 71000  | N/A  | PC                                   | FOR GENERAL SUPPORT   | 40,000.            |
| ASSOCIATION OF BLACK FOUNDATION<br>EXECUTIVES, INC.<br>55 EXCHANGE PLACE, SUITE 401<br>NEW YORK, NY 10005  | N/A  | PC                                   | FOR GENERAL SUPPORT   | 75,000.            |
| ASSOCIATION OF CITIZENS PESCANIK<br>TAKOVSKA 22<br>BELGRADE, SERBIA 11000  | N/A  | PC                                   | FOR GENERAL SUPPORT   | 60,000.            |
| ATLANTIC COUNCIL OF THE U.S., INC.<br>1030 15TH STREET, NW, 12TH FLOOR<br>WASHINGTON, DC 20005   | N/A  | PC                                   | FOR ITS SOUTH ASIA<br>CENTER, AFGHANISTAN<br>STRATEGIC DIALOGUES,<br>AND FUTURE OF IRAN<br>INITIATIVE | 140,000.           |
| BALKAN GREEN FOUNDATION<br>UNIVERSITETI ST. 36/2<br>PRISTINA, OTHER COUNTRY 10000  | N/A  | PC                                   | FOR GENERAL SUPPORT   | 135,000.           |
| <b>Total from continuation sheets</b>  |  |                                      |   | <b>16,785,030.</b> |

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|--|--|--------------------------------------|--|----------|
| BEIJING INSTITUTE OF FINANCE AND<br>SUSTAINABILITY<br>ROOM 1204, BLDG 3, WORLD OVERSEAS<br>CHINESE BUSINESS CENTER BEIJING,<br>TONGZHOU DISTRICT, CHINA 101100 | N/A  | PC                                   | FOR PROMOTING GREEN<br>INVESTMENT AND FINANCE<br>IN CHINA                                    | 150,000. |
| BENNINGTON COLLEGE CORPORATION<br>ONE COLLEGE DRIVE<br>BENNINGTON, VT 05201  | N/A  | PC                                   | FOR ITS PROJECT,<br>BEYOND PLASTICS  | 50,000.  |
| BROADWAY CARES/EQUITY FIGHTS AIDS,<br>INC.<br>165 WEST 46TH STREET, SUITE 1300<br>NEW YORK, NY 10036   | N/A  | PC                                   | SEE STATEMENT  | 20,000.  |
| CABD, INC.<br>P.O. BOX 340600<br>JAMAICA, NY 11434-0600  | N/A  | PC                                   | FOR A NEW<br>CHOREOGRAPHIC WORK,<br>RESIDENCY, AND<br>PERFORMANCE AT THE<br>POCANTICO CENTER | 25,000.  |
| CALSTART, INC.<br>48 S. CHESTER AVENUE<br>PASADENA, CA 91106   | N/A  | PC                                   | FOR ITS DRIVE TO ZERO:<br>NORTHEAST PROJECT  | 100,000. |
| CARNEGIE ENDOWMENT FOR INTERNATIONAL<br>PEACE<br>1779 MASSACHUSETTS AVENUE, NW<br>WASHINGTON, DC 20036   | N/A  | PC                                   | FOR ITS U.S.-IRAN<br>INITIATIVE  | 100,000. |
| CARNEGIE ENDOWMENT FOR INTERNATIONAL<br>PEACE<br>1779 MASSACHUSETTS AVENUE, NW<br>WASHINGTON, DC 20036   | N/A  | PC                                   | FOR ITS MIDDLE EAST<br>PROGRAM   | 210,000. |
| CEDENMA<br>LUGO N24-389 Y CORUNA, LA FLORESTA<br>QUITO, ECUADOR 170143   | N/A  | PC                                   | FOR ITS PROGRAM ON<br>POLITICAL ECOLOGY  | 30,000.  |
| CENTAR CZKD<br>BIRCANINOVA 21<br>BELGRADE, SERBIA 11000  | N/A  | PC                                   | FOR GENERAL SUPPORT  | 60,000.  |
| CENTER FOR DEMOCRACY AND HUMAN RIGHTS<br>BAKU 74, III/6<br>PODGORICA, MONTENEGRO 81000   | N/A  | PC                                   | FOR GENERAL SUPPORT  | 30,000.  |
| <b>Total from continuation sheets</b>  |  |                                      |  |          |

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|--|--|--------------------------------------|---|----------|
| CENTER FOR DEMOCRATIC TRANSITION<br>MOSKOVSKA 153<br>PODGORICA, MONTENEGRO 81000   | N/A  | PC                                   | FOR GENERAL SUPPORT   | 50,000.  |
| CENTER FOR EFFECTIVE PHILANTHROPY,<br>INC.<br>675 MASSACHUSETTS AVENUE, 7TH FLOOR<br>CAMBRIDGE, MA 02139   | N/A  | PC                                   | FOR GENERAL SUPPORT   | 45,000.  |
| CENTER FOR ENERGY EFFICIENCY AND<br>RENEWABLE TECHNOLOGIES<br>1100 ELEVENTH STREET, SUITE 311<br>SACRAMENTO, CA 95814  | N/A  | PC                                   | FOR ITS BUILDING<br>DECARBONIZATION<br>COALITION PROJECT                                    | 150,000. |
| CENTER FOR INTERNATIONAL POLICY, INC.<br>2000 M STREET, NW, SUITE 720<br>WASHINGTON, DC 20036  | N/A  | PC                                   | FOR GENERAL SUPPORT   | 200,000. |
| CENTER FOR INVESTIGATIVE JOURNALISM<br>OF SERBIA<br>RESAVSKA 28<br>BELGRADE, SERBIA 11000  | N/A  | PC                                   | FOR GENERAL SUPPORT   | 40,000.  |
| CENTRO DE TRABAJADORES UNIDOS EN LA<br>LUCHA<br>3715 CHICAGO AVENUE<br>MINNEAPOLIS, MN 55407   | N/A  | PC                                   | FOR ITS PROJECT, RISE<br>UP   | 250,000. |
| CHAMBER MUSIC SOCIETY OF LINCOLN<br>CENTER, INC.<br>70 LINCOLN CENTER PLAZA, 10TH FLOOR<br>NEW YORK, NY 10023  | N/A  | PC                                   | FOR TWO NEW<br>COMMISSIONS, A<br>RESIDENCY, AND A<br>PERFORMANCE AT THE<br>POCANTICO CENTER | 20,000.  |
| CHINA ENVIRONMENTAL PROTECTION<br>FOUNDATION<br>ROOM 720, ENVIRONMENTAL PROTECTION<br>BLDG, NO. 16 GUANGQUMEN NEI STREET<br>BEIJING, DONGCHENG DISTRICT, CHINA | N/A  | PC                                   | FOR ITS NGO PLATFORM<br>ON ENVIRONMENTAL<br>GOVERNANCE                                      | 200,000. |
| CHINESE ACADEMY OF SCIENCES<br>52 SANLIHE ROAD, XICHENG DISTRICT<br>BEIJING, CHINA 100864  | N/A  | PC                                   | SEE STATEMENT   | 100,000. |
| CHINESE PROGRESSIVE ASSOCIATION<br>1042 GRANT AVENUE, SUITE 5<br>SAN FRANCISCO, CA 94133   | N/A  | PC                                   | FOR ITS PROJECT, THE<br>BLACK FUTURES LAB<br>EDUCATION FUND                                 | 100,000. |
| <b>Total from continuation sheets</b>  |  |                                      |   |          |

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|--|--|--------------------------------------|---|----------|
| CITIZEN UNIVERSITY<br>P.O. BOX 94534<br>SEATTLE, WA 98124  | N/A  | PC                                   | FOR GENERAL SUPPORT   | 235,000. |
| CLIMATE ACCOUNTABILITY INSTITUTE<br>1626 GATEWAY ROAD<br>SNOWMASS, CO 81654  | N/A  | PC                                   | FOR GENERAL SUPPORT   | 30,000.  |
| CLIMATE JOBS NATIONAL RESOURCE<br>CENTER, INC.<br>350 WEST 31ST STREET, 7TH FLOOR<br>NEW YORK, NY 10001                        | N/A  | PC                                   | FOR GENERAL SUPPORT   | 500,000. |
| COMMON COUNSEL FOUNDATION<br>1624 FRANKLIN STREET, #1022<br>OAKLAND, CA 94612  | N/A  | PC                                   | FOR THE ELECTORAL<br>JUSTICE PROJECT OF ITS<br>PROJECT, THE MOVEMENT<br>FOR BLACK LIVES | 75,000.  |
| COUNCIL FOR INCLUSIVE GOVERNANCE<br>2 HILLSIDE ROAD<br>NEWTOWN, PA 18940   | N/A  | PC                                   | FOR GENERAL SUPPORT   | 50,000.  |
| CIVIC ASSOCIATION CRTACENTER FOR<br>RESEARCH, TRANSPARENCY &<br>ACCOUNTABILITY<br>23 TAKOVSKA STREET<br>BELGRADE, SERBIA 11103 | N/A  | PC                                   | FOR GENERAL SUPPORT   | 100,000. |
| DEFENCE FOR CHILDREN INTERNATIONAL -<br>PALESTINE<br>AL-SARTAWI BUILDING, 3RD FLOOR<br>RAMALLAH, PALESTINE, OTHER COUNTRY      | N/A  | PC                                   | FOR ITS U.S. PROGRAM  | 50,000.  |
| DEMOCRATIZATION POLICY COUNCIL E.V.<br>SYBELSTR. 10<br>BERLIN, GERMANY 10629   | N/A  | PC                                   | FOR GENERAL SUPPORT   | 60,000.  |
| DOKUFEST<br>MARIN BARLETI NN<br>PRIZREN, OTHER COUNTRY 20000   | N/A  | PC                                   | FOR GENERAL SUPPORT   | 70,000.  |
| DORRANCE DANCE INCORPORATED<br>P.O. BOX 1935, RADIO CITY STATION<br>NEW YORK, NY 10101   | N/A  | PC                                   | FOR GENERAL SUPPORT<br>AND A PERFORMANCE AT<br>THE POCANTICO CENTER                     | 25,000.  |
| <b>Total from continuation sheets</b>  |  |                                      |   |          |

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|--|--|--------------------------------------|--|----------|
| EAST WEST CENTER<br>HAMDIJE KRESEVLJAKOVICA, NO. 27<br>SARAJEVO, BOSNIA-HERZEGOVINA 71000                                | N/A  | PC                                   | FOR GENERAL SUPPORT  | 40,000.  |
| EDUCATION FOR JUST PEACE IN THE<br>MIDDLE EAST<br>1011 ARLINGTON BOULEVARD, SUITE 230<br>ARLINGTON, VA 22209             | N/A  | PC                                   | FOR GENERAL SUPPORT  | 75,000.  |
| EUROPEAN COUNCIL ON FOREIGN RELATIONS<br>159-165 GREAT PORTLAND STREET<br>LONDON, UNITED KINGDOM W1W 5PA                 | N/A  | PC                                   | SEE STATEMENT  | 75,000.  |
| EUROPEAN LEADERSHIP NETWORK<br>8 ST. JAMES'S SQUARE<br>LONDON, UNITED KINGDOM SE1Y 4JU                                   | N/A  | PC                                   | FOR ITS IRAN PROJECT   | 75,000.  |
| FEDERATION INTERNATIONALE DES LIGUES<br>DES DROITS DE L'HOMME<br>17 PASSAGE DE LA MAIN D'OR<br>PARIS, FRANCE 75011       | N/A  | PC                                   | FOR ITS MIDDLE EAST<br>AND NORTH AFRICA<br>PROGRAM                       | 75,000.  |
| FOND ZA AKTIVNO GRADJANSTVO FAKT,<br>PODGORICA<br>20/1 VAKA DUROVICA<br>PODGORICA, MONTENEGRO 81000                      | N/A  | PC                                   | FOR GENERAL SUPPORT  | 120,000. |
| FORUM FOR CIVIC INITIATIVES<br>SEJDI KRYEZIU, BLOKU 20, NR. 3<br>PRISTINA, OTHER COUNTRY 10000                           | N/A  | PC                                   | FOR ITS CIVIL SOCIETY<br>HOUSE PROJECT IN<br>KOSOVO                      | 40,000.  |
| FORWARD THINKING<br>1 QUALITY COURT<br>LONDON, UNITED KINGDOM WC2A 1HR   | N/A  | PC                                   | FOR ITS WORK IN<br>ISRAEL-PALESTINE AND<br>IN THE PERSIAN GULF<br>REGION | 50,000.  |
| FOUNDATION BALKAN CIVIL SOCIETY<br>DEVELOPMENT NETWORK SKOPJE<br>STR. MACEDONIA NO. 43, APT. 9<br>SKOPJE, MACEDONIA 1000 | N/A  | PC                                   | FOR GENERAL SUPPORT  | 80,000.  |
| FOUNDATION CATALYST<br>TAKOVSKA 38/23<br>BELGRADE, SERBIA 11000  | N/A  | PC                                   | FOR GENERAL SUPPORT  | 40,000.  |
| <b>Total from continuation sheets</b> .....  |  |                                      |  |          |

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|---|--|--------------------------------------|--|----------|
| FRACTURED ATLAS, INC.<br>P.O. BOX 55<br>HARTSDALE, NY 10530   | N/A  | PC                                   | FOR ITS PROJECT, ABDUL<br>LATIF D2D/T  | 25,000.  |
| FREE PRESS<br>P.O. BOX 60238<br>FLORENCE, MA 01062  | N/A  | PC                                   | FOR GENERAL SUPPORT  | 150,000. |
| FRONTLINE AIDS<br>PREECE HOUSE, 91-101 DAVIGDOR ROAD<br>HOVE, UNITED KINGDOM BN3 1RE  | N/A  | PC                                   | FOR ITS PROJECT, THE<br>PEOPLE'S VACCINE<br>ALLIANCE                                       | 250,000. |
| FUND FOR THE CITY OF NEW YORK, INC.<br>121 AVENUE OF THE AMERICAS, 6TH FLOOR<br>NEW YORK, NY 10013-1590                                   | N/A  | PC                                   | FOR ITS PROJECT,<br>BETANYC  | 75,000.  |
| GENEVA HEADQUARTERS OF THE CAIRO<br>INSTITUTE FOR HUMAN RIGHTS STUDIES<br>RUE DES ASTERS 18<br>GENEVA, SWITZERLAND 1202                   | N/A  | PC                                   | FOR GENERAL SUPPORT  | 75,000.  |
| GEORGETOWN UNIVERSITY<br>37TH AND O STREETS, NW<br>WASHINGTON, DC 20057   | N/A  | PC                                   | FOR ITS CENTER ON<br>POVERTY & INEQUALITY  | 200,000. |
| GEORGETOWN UNIVERSITY<br>37TH AND O STREETS, NW<br>WASHINGTON, DC 20057   | N/A  | PC                                   | FOR THE GEORGETOWN<br>CLIMATE CENTER   | 300,000. |
| GLOBAL DIALOGUE<br>THE FOUNDRY, 17 OVAL WAY<br>LONDON, UNITED KINGDOM SE11 5RR  | N/A  | PC                                   | FOR ITS PROJECT,<br>ARIADNE - EUROPEAN<br>FUNDERS FOR SOCIAL<br>CHANGE AND HUMAN<br>RIGHTS | 5,000.   |
| GLOBAL FUND FOR COMMUNITY FOUNDATIONS<br>REGISTERED OFFICE COMMUNITY HOUSE,<br>CITILINK BUSINESS PARK BELFAST,<br>UNITED KINGDOM BT12 4HQ | N/A  | PC                                   | FOR GENERAL SUPPORT  | 44,000.  |
| GRADANSKA ALIJANSA (CIVIC ALLIANCE)<br>STUDENTSKA, LAMELA 21/A, NO. 5<br>PODGORICA, MONTENEGRO 81000                                      | N/A  | PC                                   | FOR GENERAL SUPPORT  | 60,000.  |
| <b>Total from continuation sheets .....</b>   |  |                                      |  |          |

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|---|--|--------------------------------------|--|----------|
| GRAIN, FUNDACION PRIVADA<br>GIRONA 25, PRINCIPAL<br>BARCELONA, SPAIN 08010                              | N/A  | PC                                   | FOR ITS PROJECT,<br>BILATERALS.ORG   | 20,000.  |
| GRASSROOTS JERUSALEM LTD. (CC)<br>HARUN AL RASHID 9<br>JERUSALEM, ISRAEL 9149302                        | N/A  | PC                                   | FOR GENERAL SUPPORT  | 150,000. |
| GROUNDWORK TRUST<br>P.O. BOX 2375<br>PIETERMARITZBURG, SOUTH AFRICA 3200                                | N/A  | PC                                   | FOR GENERAL SUPPORT  | 100,000. |
| GUANGDONG HARMONY FOUNDATION<br>BLDG 2, 5TH ALLEY, YI LE RD, HAIZHU<br>DISTRICT GUANGZHOU, CHINA 510276 | N/A  | PC                                   | FOR SUPPORTING<br>COMMUNITY-BASED<br>CLIMATE ACTION AND<br>SUSTAINABLE<br>DEVELOPMENT  | 300,000. |
| HIGHER HEIGHTS LEADERSHIP FUND<br>147 PRINCE STREET, SUITE 30<br>BROOKLYN, NY 11201                     | N/A  | PC                                   | FOR GENERAL SUPPORT  | 200,000. |
| HIP HOP THEATER FESTIVAL, INC.<br>215 EAST 99TH STREET<br>NEW YORK, NY 10029                            | N/A  | PC                                   | FOR GENERAL SUPPORT  | 25,000.  |
| HUMANRIGHTS360 CIVIL NON PROFIT<br>PARTNERSHIP<br>LEOF. VASILISSIS SOFIAS 95<br>ATHENS, GREECE 115 21   | N/A  | PC                                   | FOR GENERAL SUPPORT  | 75,000.  |
| IF NOT NOW EDUCATION FUND<br>P.O. BOX 26425<br>WASHINGTON, DC 20001                                     | N/A  | PC                                   | FOR GENERAL SUPPORT  | 30,000.  |
| INCLUSIVE DEVELOPMENT INTERNATIONAL<br>9 SW PACK SQUARE, SUITE 302<br>ASHEVILLE, NC 28801               | N/A  | PC                                   | FOR BUILDING CAPACITY<br>FOR SUSTAINABLE<br>CHINESE OVERSEAS<br>INVESTMENT AND FINANCE | 100,000. |
| INDEPENDENT JOURNALISTS' ASSOCIATION<br>OF VOJVODINA<br>ZMAJ JOVINA 3/1<br>NOVI SAD, SERBIA 21000       | N/A  | PC                                   | FOR GENERAL SUPPORT  | 40,000.  |
| <b>Total from continuation sheets</b>   |  |                                      |  |          |

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|--|--|--------------------------------------|---|----------|
| INICIATIVA PER PROGRESE<br>ST. LATIF HASANI, NN<br>FERIZAJ, OTHER COUNTRY 70000  | N/A  | PC                                   | FOR GENERAL SUPPORT   | 30,000.  |
| INSTITUT ZA URBANE POLITIKE<br>DUSINA 34/6<br>BELGRADE, SERBIA 11000   | N/A  | PC                                   | FOR GENERAL SUPPORT   | 45,000.  |
| INSTITUTE FOR ADVANCED STUDIES GAP<br>SEJDI KRYEZIU, 4/4<br>PRISTINA, OTHER COUNTRY 10000                                      | N/A  | PC                                   | FOR GENERAL SUPPORT   | 45,000.  |
| INSTITUTE FOR ENERGY ECONOMICS AND<br>FINANCIAL ANALYSIS, INC.<br>14900 DETROIT AVENUE, SUITE 206<br>LAKEWOOD, OH 44107        | N/A  | PC                                   | FOR GENERAL SUPPORT   | 150,000. |
| INSTITUTE FOR GOVERNANCE AND<br>SUSTAINABLE DEVELOPMENT, INC.<br>2300 WISCONSIN AVENUE, NW, SUITE 300B<br>WASHINGTON, DC 20007 | N/A  | PC                                   | FOR ITS OIL AND GAS<br>DATA MAPPING PROJECT                                     | 93,500.  |
| INSTITUTE FOR STATE EFFECTIVENESS<br>1050 30TH STREET, NW<br>WASHINGTON, DC 20007  | N/A  | PC                                   | FOR ITS CIVIC<br>ENGAGEMENT PROJECT   | 200,000. |
| INTERNATIONAL CRISIS GROUP<br>1629 K STREET, NW, SUITE 1000<br>WASHINGTON, DC 20006  | N/A  | PC                                   | FOR ITS PREVENTING A<br>U.S.-IRAN<br>CONFRONTATION: THE<br>TRIGGER LIST PROJECT | 75,000.  |
| INTERNATIONAL REFUGEE ASSISTANCE<br>PROJECT, INC.<br>ONE BATTERY PARK PLAZA, 4TH FLOOR<br>NEW YORK, NY 10004                   | N/A  | PC                                   | FOR GENERAL SUPPORT   | 100,000. |
| JUST VISION, INC.<br>1835 7TH STREET, NW, SUITE 110<br>WASHINGTON, DC 20001  | N/A  | PC                                   | FOR GENERAL SUPPORT   | 210,000. |
| KOSOVO GLOCAL (DBA KOSOVO 2.0)<br>FERAT DRAGA, 60-1<br>PRISTINA, OTHER COUNTRY 10000   | N/A  | PC                                   | FOR GENERAL SUPPORT   | 80,000.  |
| <b>Total from continuation sheets</b>  |  |                                      |   |          |

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|---|--|--------------------------------------|--|----------|
| MIDDLE EAST CHILDREN'S ALLIANCE<br>1101 EIGHTH STREET, SUITE 100<br>BERKELEY, CA 94710                  | N/A  | PC                                   | FOR ITS PROJECT, RAWA:<br>CREATIVE PALESTINIAN<br>COMMUNITIES FUND | 75,000.  |
| MIDDLE EAST POLICY NETWORK<br>P.O. BOX 8533<br>NEW YORK, NY 10150                                       | N/A  | PC                                   | FOR GENERAL SUPPORT  | 150,000. |
| MINA'S LIST, INC.<br>241 PERKINS STREET, UNIT I502<br>BOSTON, MA 02130                                  | N/A  | PC                                   | FOR GENERAL SUPPORT  | 100,000. |
| MOZAIK FOUNDATION<br>SPLITSKA 14<br>SARAJEVO, BOSNIA-HERZEGOVINA 71000                                  | N/A  | PC                                   | FOR GENERAL SUPPORT  | 100,000. |
| NATIONAL IRANIAN AMERICAN COUNCIL<br>1629 K STREET, NW, SUITE 503<br>WASHINGTON, DC 20006               | N/A  | PC                                   | FOR GENERAL SUPPORT  | 50,000.  |
| NATIONAL WILDLIFE FEDERATION<br>11100 WILDLIFE CENTER DRIVE<br>RESTON, VA 20190                         | N/A  | PC                                   | FOR ITS CAMPAIGN FOR<br>OFFSHORE WIND POWER                        | 125,000. |
| NATIVE AMERICAN RIGHTS FUND<br>1506 BROADWAY<br>BOULDER, CO 80302                                       | N/A  | PC                                   | FOR ITS REDISTRICTING<br>PROJECT                                   | 150,000. |
| NEO PHILANTHROPY, INC.<br>45 WEST 36TH STREET, 6TH FLOOR<br>NEW YORK, NY 10018                          | N/A  | PC                                   | FOR ITS PROJECT,<br>BLACKBIRD                                      | 100,000. |
| NEO PHILANTHROPY, INC.<br>45 WEST 36TH STREET, 6TH FLOOR<br>NEW YORK, NY 10018                          | N/A  | PC                                   | FOR ITS CHARITY &<br>SECURITY NETWORK<br>PROJECT                   | 50,000.  |
| NEW FLORIDA MAJORITY EDUCATION FUND,<br>INC.<br>10800 BISCAYNE BOULEVARD, SUITE 1050<br>MIAMI, FL 33161 | N/A  | PC                                   | FOR GENERAL SUPPORT  | 25,000.  |
| <b>Total from continuation sheets</b> .....   |  |                                      |  |          |

**Part XIV Supplementary Information****3 Grants and Contributions Approved for Future Payment (Continuation)**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount   |
|--|--|--------------------------------------|---|----------|
| NEW SOCIAL INITIATIVE<br>CIKA JOVINA IV/3<br>NORTH MITROVICA, OTHER COUNTRY 40000                          | N/A  | PC                                   | FOR GENERAL SUPPORT   | 35,000.  |
| NEW VENTURE FUND<br>1828 L STREET, NW, SUITE 300<br>WASHINGTON, DC 20036                                   | N/A  | PC                                   | FOR ITS PROJECT, THE<br>MEDIA DEMOCRACY FUND  | 100,000. |
| NEW VENTURE FUND<br>1828 L STREET, NW, SUITE 300<br>WASHINGTON, DC 20036                                   | N/A  | PC                                   | FOR ITS PROJECT, THE<br>PARTNERSHIP FUNDS   | 300,000. |
| NEW VENTURE FUND<br>1828 L STREET, NW, SUITE 300<br>WASHINGTON, DC 20036                                   | N/A  | PC                                   | FOR ITS PROJECT, THE<br>SOCIAL AND ECONOMIC<br>JUSTICE LEADERS<br>PROJECT                             | 100,000. |
| NEW VENTURE FUND<br>1828 L STREET, NW, SUITE 300<br>WASHINGTON, DC 20036                                   | N/A  | PC                                   | FOR ITS WORK, THE<br>PARTNERSHIP FUNDS<br>COLLECTIVE COURAGE<br>PROJECT                               | 125,000. |
| NEW WORLD FOUNDATION<br>680 WEST END AVENUE, APT. 1C<br>NEW YORK, NY 10025                                 | N/A  | PC                                   | FOR ITS INDIGENOUS<br>ORGANIZATIONS'<br>COMMUNICATIONS LAB<br>PROJECT                                 | 40,000.  |
| NEW YORK COMMUNITIES ORGANIZING FUND,<br>INC.<br>470 VANDERBILT AVENUE, 9TH FLOOR<br>BROOKLYN, NY 11238    | N/A  | PC                                   | FOR ITS NYC SMALL<br>BUILDING RETROFITS<br>PROJECT  | 75,000.  |
| NEW YORK FOUNDATION FOR THE ARTS,<br>INC.<br>20 JAY STREET, SUITE 740<br>BROOKLYN, NY 11201                | N/A  | PC                                   | FOR IMPLEMENTING ITS<br>IMMIGRANT ARTIST<br>RESOURCE DATABASE   | 10,000.  |
| NONPROFIT NEW YORK, INC.<br>320 EAST 43RD STREET, THIRD FLOOR<br>NEW YORK, NY 10017                        | N/A  | PC                                   | FOR ITS CHANGE THE<br>NARRATIVE PROJECT AND<br>ADVOCACY WORK  | 65,000.  |
| NORTH CHINA ELECTRIC POWER UNIVERSITY<br>2 BEINONG ROAD, HUILONGGUAN,<br>CHANGPING DISTRICT BEIJING, CHINA | N/A  | PC                                   | FOR RESEARCH ON<br>SOCIO-ECONOMIC IMPACTS<br>AND COAL TRANSITION<br>POLICIES AND PATHWAYS<br>IN CHINA | 200,000. |
| <b>Total from continuation sheets</b>  |  |                                      |   |          |

**Part XIV Supplementary Information****3 Grants and Contributions Approved for Future Payment (Continuation)**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution  | Amount   |
|--|--|--------------------------------------|--|----------|
| ORGANIZATA JOQEVERITARE INTEGRA<br>RRUSTEM STATOVCI N.N. 2/3<br>PRISTINA, OTHER COUNTRY 10000  | N/A  | PC                                   | FOR GENERAL SUPPORT  | 80,000.  |
| PARTNERS ALBANIA FOR CHANGE AND<br>DEVELOPMENT<br>ELBASANI ST, PARK GATE BLDG, 10TH FL,<br>APT. 71/73, P.O. BOX 2418/1 TIRANA,<br>ALBANIA 1001 | N/A  | PC                                   | FOR GENERAL SUPPORT  | 100,000. |
| PARTNERSHIP FOR THE ADVANCEMENT OF<br>NEW AMERICANS<br>4089 FAIRMOUNT AVENUE<br>SAN DIEGO, CA 92105  | N/A  | PC                                   | FOR ITS PROJECT,<br>AFGHANS FOR A BETTER<br>TOMORROW   | 60,000.  |
| PAUL TAYLOR DANCE FOUNDATION, INC.<br>551 GRAND STREET<br>NEW YORK, NY 10002   | N/A  | PC                                   | FOR ITS 2019 AND 2020<br>LINCOLN CENTER SEASONS<br>AND 2020 CELEBRATE THE<br>DANCEMAKER TOUR             | 100,000. |
| PERFORMANCE ZONE, INC.<br>75 MAIDEN LANE, SUITE 906<br>NEW YORK, NY 10038  | N/A  | PC                                   | FOR MEMBERSHIP AND<br>SUPPORT FOR 2021 AND<br>2022 FOR ITS PROJECT,<br>NEW YORKERS FOR<br>CULTURE & ARTS | 25,130.  |
| POLICYLINK<br>1438 WEBSTER STREET, #303<br>OAKLAND, CA 94612   | N/A  | PC                                   | FOR GENERAL SUPPORT  | 250,000. |
| PROTEUS FUND, INC.<br>15 RESEARCH DRIVE, SUITE B<br>AMHERST, MA 01002  | N/A  | PC                                   | FOR ITS INITIATIVE,<br>THE PIPER FUND  | 125,000. |
| PUBLIC CITIZEN FOUNDATION, INC.<br>1600 20TH STREET, NW<br>WASHINGTON, DC 20009  | N/A  | PC                                   | FOR ITS PROJECT, THE<br>CORPORATE REFORM<br>COALITION  | 50,000.  |
| PUBLIC CITIZEN FOUNDATION, INC.<br>1600 20TH STREET, NW<br>WASHINGTON, DC 20009  | N/A  | PC                                   | FOR ITS GLOBAL TRADE<br>WATCH PROGRAM  | 150,000. |
| PULITZER CENTER ON CRISIS REPORTING<br>1779 MASSACHUSETTS AVENUE, NW, SUITE<br>615 WASHINGTON, DC 20007  | N/A  | PC                                   | FOR ITS PROJECT, 1619<br>EDUCATIONAL OUTREACH  | 150,000. |
| <b>Total from continuation sheets</b> .....  |  |                                      |  |          |

**Part XIV Supplementary Information****3 Grants and Contributions Approved for Future Payment (Continuation)**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution  | Amount   |
|--|--|--------------------------------------|--|----------|
| QUINCY INSTITUTE FOR RESPONSIBLE<br>STATECRAFT<br>2000 PENNSYLVANIA AVENUE, NW, SUITE<br>7000 WASHINGTON, DC 20006   | N/A  | PC                                   | FOR GENERAL SUPPORT  | 100,000. |
| RES FOUNDATION<br>DVADESETSEDMOG MARTA ST 28, 6TH FL,<br>APT. 23 BELGRADE, SERBIA 11000  | N/A  | PC                                   | FOR GENERAL SUPPORT  | 70,000.  |
| ROCKEFELLER PHILANTHROPY ADVISORS,<br>INC.<br>6 WEST 48TH STREET, 10TH FLOOR<br>NEW YORK, NY 10036   | N/A  | PC                                   | FOR ITS NEW PLURALISTS<br>FUNDERS COLLABORATIVE  | 500,000. |
| ROCKEFELLER PHILANTHROPY ADVISORS,<br>INC.<br>6 WEST 48TH STREET, 10TH FLOOR<br>NEW YORK, NY 10036   | N/A  | PC                                   | FOR ITS PROJECT, THE<br>EQUATION CAMPAIGN  | 250,000. |
| RUSSELL SAGE FOUNDATION<br>112 EAST 64TH STREET<br>NEW YORK, NY 10065  | N/A  | EOF                                  | FOR ITS JOURNAL ISSUE<br>DEVOTED TO THE LEGACY<br>OF PLESSY V. FERGUSON                          | 10,000.  |
| SAFETY AND RISK MITIGATION<br>ORGANIZATION<br>71-75 SHELTON STREET, COVENT GARDEN<br>LONDON, UNITED KINGDOM WC2H 9JQ   | N/A  | PC                                   | FOR GENERAL SUPPORT  | 56,400.  |
| SALVADORAN RESEARCH PROGRAM ON<br>DEVELOPMENT AND ENVIRONMENT<br>FOUNDATION<br>PASAJE SAGRADO CORAZON NO. 821,<br>COLONIA ESCALON SAN SALVADOR, EL                           | N/A  | PC                                   | FOR GENERAL SUPPORT  | 60,000.  |
| SEATTLE INTERNATIONAL FOUNDATION<br>1601 5TH AVENUE, SUITE 1900<br>SEATTLE, WA 98101   | N/A  | PC                                   | FOR ITS INDEPENDENT<br>JOURNALISM FUND   | 11,500.  |
| SHENZHEN GOALBLUE LOW CARBON<br>DEVELOPMENT PROMOTING CENTER<br>03-26, WEWORK, GONGXIAOGUOJI, NO. 28,<br>DONGDAQIAO GUANDONGDIAN BEIJING,<br>CHAOYANG DISTRICT, CHINA 100600 | N/A  | PC                                   | FOR EMPOWERING YOUTH<br>INFLUENCERS IN<br>SUSTAINABLE<br>CONSUMPTION AND<br>LOW-CARBON LIFESTYLE | 180,000. |
| SHENZHEN PARADISE INTERNATIONAL<br>FOUNDATION<br>104, NO. 22 JIANCHANG HUTONG,<br>DONGCHENG DISTRICT BEIJING, CHINA<br>10007   | N/A  | PC                                   | FOR ITS OCEANS<br>PHILANTHROPY<br>INITIATIVE   | 250,000. |
| <b>Total from continuation sheets</b>  |  |                                      |  |          |

**Part XIV Supplementary Information****3 Grants and Contributions Approved for Future Payment (Continuation)**

| Recipient<br>Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution                      | Amount   |
|---|--|--------------------------------------|--|----------|
| SHENZHEN ZERO WASTE ENVIRONMENTAL<br>PUBLIC WELFARE UNDERTAKINGS<br>DEVELOPMENT CENTER<br>LEVEL 4 NORTH, BLDG 23, KEYUAN WEST<br>INDUSTRIAL ZONE, NO. 1 KEZHI RD WEST | N/A  | PC                                   | FOR GENERAL SUPPORT                                      | 75,000.  |
| SILVER ART PROJECTS, INC.<br>FOUR WORLD TRADE CENTER, 28TH FLOOR<br>NEW YORK, NY 10007  | N/A  | PC                                   | FOR ITS ARTISTS<br>RESIDENCY PROGRAM                     | 50,000.  |
| SLAVKO CURUVIJA FOUNDATION<br>KURSULINA 7<br>BELGRADE, SERBIA 11000   | N/A  | PC                                   | FOR GENERAL SUPPORT                                      | 93,000.  |
| SPANISH THEATRE REPERTORY CO., LTD.<br>138 EAST 27TH STREET<br>NEW YORK, NY 10016   | N/A  | PC                                   | FOR GENERAL SUPPORT                                      | 25,000.  |
| STICHTING EUROPEAN CLIMATE FOUNDATION<br>RIVIERVISMARKT 5<br>THE HAGUE, NETHERLANDS 2513 AM   | N/A  | PC                                   | FOR ITS POOLED FUND<br>FOR INTERNATIONAL<br>ENERGY       | 100,000. |
| STICHTING THE RIGHTS FORUM<br>ZEKERINGSTRAAT 43 A<br>AMSTERDAM, NETHERLANDS 1014 BV   | N/A  | PC                                   | FOR ITS PROJECT, THE<br>EUROPEAN LEGAL SUPPORT<br>CENTER | 40,000.  |
| STICHTING TRANSNATIONAL INSTITUTE<br>DE WITENSTRAAT 25<br>AMSTERDAM, NETHERLANDS 1052 AK  | N/A  | PC                                   | FOR ITS WORK ON TRADE<br>AND INVESTMENT                  | 250,000. |
| TAHRIR INSTITUTE FOR MIDDLE EAST<br>POLICY<br>1140 CONNECTICUT AVENUE, NW, SUITE 505<br>WASHINGTON, DC 20036  | N/A  | PC                                   | FOR GENERAL SUPPORT                                      | 75,000.  |
| THE ADM CAPITAL FOUNDATION LIMITED<br>2405 9 QUEEN'S ROAD CENTRAL<br>HONG KONG, HONG KONG   | N/A  | PC                                   | FOR ITS CHINA WATER<br>RISK PROJECT                      | 350,000. |
| THE AFRICAN AMERICAN POLICY FORUM<br>435 WEST 116TH STREET<br>NEW YORK, NY 10027  | N/A  | PC                                   | FOR GENERAL SUPPORT                                      | 300,000. |
| <b>Total from continuation sheets</b>   |  |                                      |  |          |

**Part XIV Supplementary Information****3 Grants and Contributions Approved for Future Payment (Continuation)**

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|---|--|--------------------------------------|--|----------|
| THE BALKAN FORUM<br>TIRANA C4/2 LAM B-N2 11-1<br>PRISTINA, OTHER COUNTRY 10000                                      | N/A  | PC                                   | FOR GENERAL SUPPORT  | 100,000. |
| THE FOUNDATION FOR A CIVIL SOCIETY,<br>LTD.<br>25 EAST END AVENUE, APT. 1B<br>NEW YORK, NY 10028                    | N/A  | PC                                   | FOR ITS IRAN PROJECT   | 100,000. |
| THE HISPANIC SOCIETY OF AMERICA<br>613 WEST 155TH STREET<br>NEW YORK, NY 10032                                      | N/A  | PC                                   | FOR ITS CURATORIAL AND<br>CONSERVATION RESEARCH<br>FELLOWS PROGRAM | 50,000.  |
| THE NETWORK FOR AFFIRMATION OF NGO<br>SECTOR<br>DALMATINSKA 188<br>PODGORICA, MONTENEGRO 81000                      | N/A  | PC                                   | FOR GENERAL SUPPORT  | 80,000.  |
| THE NEW SCHOOL<br>66 WEST 12TH STREET<br>NEW YORK, NY 10011   | N/A  | PC                                   | FOR ITS PROJECT, THE<br>INSTITUTE ON RACE AND<br>POLITICAL ECONOMY | 200,000. |
| THE PARTNERSHIP PROJECT, INC.<br>P.O. BOX 65826<br>WASHINGTON, DC 20035   | N/A  | PC                                   | FOR ITS PROJECT, THE<br>GREEN LEADERSHIP TRUST                     | 25,000.  |
| THE PROJECT ON MIDDLE EAST DEMOCRACY,<br>INC.<br>1730 RHODE ISLAND AVENUE, NW, SUITE<br>617 WASHINGTON, DC 20036    | N/A  | PC                                   | FOR GENERAL SUPPORT  | 100,000. |
| THE REGENTS OF THE UNIVERSITY OF<br>CALIFORNIA<br>1156 HIGH STREET<br>SANTA CRUZ, CA 95064-1077                     | N/A  | PC                                   | FOR ITS BLUE PIONEERS<br>ACCELERATOR PROGRAM                       | 60,000.  |
| THE REGENTS OF THE UNIVERSITY OF<br>CALIFORNIA<br>1608 FOURTH STREET, SUITE 220, MC 5940<br>BERKELEY, CA 94710-1749 | N/A  | PC                                   | FOR ITS<br>CALIFORNIA-CHINA<br>CLIMATE INSTITUTE                   | 200,000. |
| THE VOICES PROJECT USA, INC.<br>594 DEAN STREET, FLOOR 2, SUITE 22<br>BROOKLYN, NY 11238                            | N/A  | PC                                   | FOR GENERAL SUPPORT  | 80,000.  |
| <b>Total from continuation sheets</b> .....   |  |                                      |  |          |

**Part XIV Supplementary Information****3 Grants and Contributions Approved for Future Payment (Continuation)**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution                                       | Amount   |
|--|--|--------------------------------------|---|----------|
| THEATER MITU, INC.<br>580 SACKETT STREET, UNIT A - GROUND<br>FLOOR BROOKLYN, NY 11217-3019 | N/A  | PC                                   | FOR GENERAL SUPPORT   | 25,000.  |
| THIRD WORLD NETWORK BERHAD<br>131 MACALISTER ROAD<br>PENANG, MALAYSIA 10400                | N/A  | PC                                   | FOR GENERAL SUPPORT   | 200,000. |
| TIDES CENTER<br>1012 TORNEY AVENUE<br>SAN FRANCISCO, CA 94129                              | N/A  | PC                                   | FOR ITS PROJECT,<br>MOSAIC  | 300,000. |
| TIDES CENTER<br>1012 TORNEY AVENUE<br>SAN FRANCISCO, CA 94129                              | N/A  | PC                                   | FOR ITS PROJECT,<br>PALESTINE LEGAL                                       | 75,000.  |
| TIDES CENTER<br>1012 TORNEY AVENUE<br>SAN FRANCISCO, CA 94129                              | N/A  | PC                                   | FOR ITS ADALAH JUSTICE<br>PROJECT   | 75,000.  |
| TRAG FOUNDATION<br>MILESEVSKA 5/6<br>BELGRADE-VRACAR, SERBIA 11118                         | N/A  | PC                                   | FOR GENERAL SUPPORT<br>AND TO SUPPORT ITS<br>COVID-19 SOLIDARITY<br>FUND  | 100,000. |
| TRUSTEES OF BOSTON UNIVERSITY<br>25 BUICK STREET, SUITE 200<br>BOSTON, MA 02215            | N/A  | PC                                   | SEE STATEMENT   | 46,500.  |
| TRUSTEES OF PRINCETON UNIVERSITY<br>87 PROSPECT AVENUE<br>PRINCETON, NJ 08544-0036         | N/A  | PC                                   | SEE STATEMENT   | 100,000. |
| UNIVERSITY OF DELAWARE<br>210 HULLIHEN HALL<br>NEWARK, DE 19716                            | N/A  | PC                                   | FOR ITS SPECIAL<br>INITIATIVE ON OFFSHORE<br>WIND PROJECT                 | 250,000. |
| UNIVERSITY OF OREGON<br>1585 E. 13TH AVENUE<br>EUGENE, OR 97403-5247                       | N/A  | GOV                                  | FOR BUILDING A<br>DATABASE ON RIGHTS OF<br>NATURE MEASURES AND<br>ACTIONS | 50,000.  |
| <b>Total from continuation sheets</b> .....  |  |                                      |   |          |

**Part XIV Supplementary Information**

**3 Grants and Contributions Approved for Future Payment (Continuation)**

| Recipient<br>Name and address (home or business)   | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount   |
|--|--|--------------------------------------|-------------------------------------|----------|
| VOTERUNLEAD<br>143 AVENUE B, #2B<br>NEW YORK, NY 10009   | N/A  | PC                                   | FOR GENERAL SUPPORT                 | 125,000. |
| WHO PROFITS (R.A.)<br>104 DERECH HAATSMA'UT<br>HAIFA, ISRAEL 3133401   | N/A  | PC                                   | FOR GENERAL SUPPORT                 | 75,000.  |
| WIN WITHOUT WAR EDUCATION FUND<br>1 THOMAS CIRCLE, NW, SUITE 700<br>WASHINGTON, DC 20005   | N/A  | PC                                   | FOR GENERAL SUPPORT                 | 75,000.  |
| WOMEN OF COLOR ADVANCING PEACE AND<br>SECURITY WCAPS<br>3695 KETCHUM COURT<br>WOODBIDGE, VA 22193                                  | N/A  | PC                                   | FOR GENERAL SUPPORT                 | 55,000.  |
| YESH DIN - VOLUNTEERS FOR HUMAN<br>RIGHTS (RNPO)<br>DAVID KHAKHMI ST. 12, P.O. BOX 50403<br>TEL AVIV, ISRAEL 6777812               | N/A  | PC                                   | FOR GENERAL SUPPORT                 | 50,000.  |
| YOUTH INITIATIVE FOR HUMAN RIGHTS IN<br>BOSNIA AND HERZEGOVINA<br>MULA MUSTAFE BASESKIJE 8/4<br>SARAJEVO, BOSNIA-HERZEGOVINA 71000 | N/A  | PC                                   | FOR GENERAL SUPPORT                 | 40,000.  |
| ZASTO NE<br>GABRIELE MORENO LOCATELLI 21<br>SARAJEVO, BOSNIA-HERZEGOVINA 71000   | N/A  | PC                                   | FOR GENERAL SUPPORT                 | 40,000.  |
|  |  |                                      |                                     |          |
|  |  |                                      |                                     |          |
|  |  |                                      |                                     |          |
| <b>Total from continuation sheets</b>  |  |                                      |                                     |          |

**Part XIV** Supplementary Information**3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution**

NAME OF RECIPIENT - BEIJING CHAOYANG DISTRICT YONGXU GLOBAL ENVIRONMENTAL

INSTITUTE

FOR SUPPORTING NON-STATE ACTORS' ENGAGEMENT AND OUTREACH WITH THE

GLOBAL BIODIVERSITY FRAMEWORK

NAME OF RECIPIENT - BEIJING GREENOVATION INSTITUTE FOR PUBLIC WELFARE

DEVELOPMENT

FOR ADVANCING CHINA'S ENGAGEMENT IN DEVELOPING GLOBAL LOW-CARBON

DEVELOPMENT PATHWAYS AND ENVIRONMENTAL GOVERNANCE SYSTEMS

NAME OF RECIPIENT - BROADWAY CARES/EQUITY FIGHTS AIDS, INC.

FOR THE DEVELOPMENT OF NEW WORK AND PERFORMANCE OPPORTUNITIES IN ITS

DANCERS RESPONDING TO AIDS PROGRAM

NAME OF RECIPIENT - CENTER FOR RESPONSIVE POLITICS

FOR ITS GENDER AND RACE PROJECT, GENDER, RACE AND GATE-KEEPING:

EXAMINING THE CONNECTION BETWEEN IDENTITY AND PRIMARY FUNDRAISING

NAME OF RECIPIENT - CHINESE ACADEMY OF SCIENCES

FOR ITS GUANGZHOU INSTITUTE OF ENERGY CONVERSION'S RESEARCH AND ROADMAP

FOR CARBON NEUTRALITY IN GUANGDONG

NAME OF RECIPIENT - COMMON CAUSE EDUCATION FUND

FOR SUPPORT OF PUBLIC ENGAGEMENT AND CIVIC EDUCATION EFFORTS FOR

STATE-LEVEL REDISTRICTING NATIONALLY

NAME OF RECIPIENT - EUROPEAN COUNCIL ON FOREIGN RELATIONS

FOR ITS PROJECTS, BOLSTERING TRANSATLANTIC DIPLOMACY WITH IRAN AND THE

123655 11-18-21

**Part XIV** Supplementary Information**3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution**

MIDDLE EAST SECURITY DIALOGUE LED BY WOMEN

NAME OF RECIPIENT - GEORGETOWN UNIVERSITY

FOR THE AFGHAN WOMEN NEGOTIATORS TOOLKIT PROJECT OF THE GEORGETOWN

INSTITUTE FOR WOMEN, PEACE AND SECURITY

NAME OF RECIPIENT - NARADA FOUNDATION

FOR MAPPING ENVIRONMENTAL FUNDERS AND NGOS PARTICIPATION IN PUBLIC

ENVIRONMENTAL GOVERNANCE IN CHINA

NAME OF RECIPIENT - NEW YORK UNIVERSITY

FOR THE RESILIENT INFRASTRUCTURE FUND FOR NEW YORK STATE OF REBUILD BY

DESIGN, A PROJECT OF ITS INSTITUTE FOR PUBLIC KNOWLEDGE

NAME OF RECIPIENT - PS1 CONTEMPORARY ART CENTER, INC.

FOR THE 20TH ANNIVERSARY QUINQUENNIAL EXHIBITION AND COLLATERAL

ACTIVITIES OF GREATER NEW YORK

NAME OF RECIPIENT - ROCKEFELLER PHILANTHROPY ADVISORS, INC.

FOR THE PARTNERSHIP FOR AMERICAN DEMOCRACY, AN INITIATIVE OF ITS

PROJECT, OFFICE OF AMERICAN POSSIBILITIES

NAME OF RECIPIENT - THE ENERGY FOUNDATION

FOR PROMOTING COLLABORATION IN LOW-CARBON TRANSPORTATION PLANNING FOR

THE GUANGDONG-HONG KONG-MACAO GREATER BAY AREA

NAME OF RECIPIENT - THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

FOR THE UNIVERSITY OF CALIFORNIA, LOS ANGELES' LUSKIN CENTER FOR

**Part XIV** Supplementary Information

**3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution**

INNOVATION'S COMMUNITY CHOICE ENERGY POLICY BRIEF

NAME OF RECIPIENT - TRUSTEES OF BOSTON UNIVERSITY

FOR THE GLOBAL ECONOMIC GOVERNANCE INITIATIVE OF ITS FREDERICK S.

PARDEE SCHOOL OF GLOBAL STUDIES

NAME OF RECIPIENT - TRUSTEES OF PRINCETON UNIVERSITY

FOR ITS PROGRAM ON SCIENCE AND GLOBAL SECURITY AT THE WOODROW WILSON

SCHOOL OF PUBLIC AND INTERNATIONAL AFFAIRS

NAME OF RECIPIENT - TSINGHUA UNIVERSITY

FOR CITY-LEVEL RESEARCH INTO CO-BENEFITS OF EMISSIONS REDUCTIONS AND

AIR POLLUTION CONTROL

NAME OF RECIPIENT - WOMEN CROSS DMZ

FOR ITS POCANTICO CONFERENCE, KOREA PEACE ORGANIZING: TAKING STOCK AND

STRATEGIZING FOR THE FUTURE

**Part XIV** Supplementary Information

**3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution**

NAME OF RECIPIENT - BROADWAY CARES/EQUITY FIGHTS AIDS, INC.

FOR THE DEVELOPMENT OF NEW WORK AND PERFORMANCE OPPORTUNITIES IN ITS

DANCERS RESPONDING TO AIDS PROGRAM

NAME OF RECIPIENT - CHINESE ACADEMY OF SCIENCES

FOR ITS GUANGZHOU INSTITUTE OF ENERGY CONVERSION'S RESEARCH AND ROADMAP

FOR CARBON NEUTRALITY IN GUANGDONG

NAME OF RECIPIENT - EUROPEAN COUNCIL ON FOREIGN RELATIONS

FOR ITS PROJECTS, BOLSTERING TRANSATLANTIC DIPLOMACY WITH IRAN AND THE

MIDDLE EAST SECURITY DIALOGUE LED BY WOMEN

NAME OF RECIPIENT - TRUSTEES OF BOSTON UNIVERSITY

FOR THE GLOBAL ECONOMIC GOVERNANCE INITIATIVE OF ITS FREDERICK S.

PARDEE SCHOOL OF GLOBAL STUDIES

NAME OF RECIPIENT - TRUSTEES OF PRINCETON UNIVERSITY

FOR ITS PROGRAM ON SCIENCE AND GLOBAL SECURITY AT THE WOODROW WILSON

SCHOOL OF PUBLIC AND INTERNATIONAL AFFAIRS

**Schedule B**  
**(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

▶ Attach to Form 990 or Form 990-PF.  
▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

OMB No. 1545-0047

**2021**

Name of the organization

ROCKEFELLER BROTHERS FUND, INC.

Employer identification number

13-1760106

Organization type (check one):

**Filers of:**

**Section:**

Form 990 or 990-EZ

501(c)( ) (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000; or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ..... ▶ \$ \_\_\_\_\_

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990).

|   |  |
|---|--|
| Name of organization<br><br>ROCKEFELLER BROTHERS FUND, INC. | Employer identification number<br><br>13-1760106 |
|---|--|

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4  | (c)<br>Total contributions | (d)<br>Type of contribution   |
|------------|--|----------------------------|---|
| 1          | ABBY A. CAULKINS<br><br>45 ROCKEFELLER PLAZA, 5TH FLOOR<br><br>NEW YORK, NY 10111            | \$ 14,999.                 | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input checked="" type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 2          | ADVANTAGE FOUNDATION<br><br>ZOLLSTRASSE 62, 8031<br><br>ZURICH, SWITZERLAND                  | \$ 250,000.                | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 3          | CATHERINE & KEVIN BRODERICK<br><br>45 ROCKEFELLER PLAZA, 5TH FLOOR<br><br>NEW YORK, NY 10111 | \$ 10,190.                 | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input checked="" type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 4          | CHUCK & ABBY O. CAULKINS<br><br>45 ROCKEFELLER PLAZA, 5TH FLOOR<br><br>NEW YORK, NY 10111    | \$ 5,026.                  | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input checked="" type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 5          | CLIMATEWORKS FOUNDATION<br><br>235 MONTGOMERY ST, 13TH FLOOR<br><br>SAN FRANCISCO, CA 94104  | \$ 1,630,000.              | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 6          | CONNIE & DAVID O'NEILL<br><br>ONE ROCKEFELLER PLAZA, ROOM 2500<br><br>NEW YORK, NY 10020     | \$ 20,871.                 | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input checked="" type="checkbox"/><br>(Complete Part II for noncash contributions.) |

|   |  |
|---|--|
| Name of organization<br><br>ROCKEFELLER BROTHERS FUND, INC. | Employer identification number<br><br>13-1760106 |
|---|--|

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4  | (c)<br>Total contributions | (d)<br>Type of contribution   |
|------------|--|----------------------------|---|
| 7          | DAVID M. RUBENSTEIN<br><br>475 RIVERSIDE DRIVE, SUITE 900<br><br>NEW YORK, NY 10115    | \$ 1,000,000.              | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 8          | EILEEN & PAUL GROWALD<br><br>45 ROCKEFELLER PLAZA, 5TH FLOOR<br><br>NEW YORK, NY 10111 | \$ 25,748.                 | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input checked="" type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 9          | FORD FOUNDATION<br><br>320 EAST 43RD ST<br><br>NEW YORK, NY 10017                      | \$ 250,000.                | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 10         | HOPE ALDRICH<br><br>4600 EAST WEST HWY., SUITE 900<br><br>BETHESDA, MD 20814           | \$ 25,000.                 | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 11         | KATHERINE EADES<br><br>45 ROCKEFELLER PLAZA, 5TH FLOOR<br><br>NEW YORK, NY 10111       | \$ 50,319.                 | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input checked="" type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 12         | LUCY WALETZKY<br><br>ONE ROCKEFELLER PLAZA, ROOM 2500<br><br>NEW YORK, NY 10020        | \$ 100,000.                | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |

|   |  |
|---|--|
| Name of organization<br><br>ROCKEFELLER BROTHERS FUND, INC. | Employer identification number<br><br>13-1760106 |
|---|--|

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4   | (c)<br>Total contributions | (d)<br>Type of contribution   |
|------------|---|----------------------------|---|
| 13         | MICHAEL AND TARA ROCKEFELLER<br><br>ONE ROCKEFELLER PLAZA, ROOM 2500<br><br>NEW YORK, NY 10020  | \$ 15,283.                 | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input checked="" type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 14         | MIRANDA KAISER<br><br>45 ROCKEFELLER PLAZA, 5TH FLOOR<br><br>NEW YORK, NY 10111   | \$ 20,000.                 | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 15         | NATIONAL TRUST FOR HISTORIC PRESERVATION<br>THE WATERGATE OFFICE BUILDING, 2600 VIRGINIA AVENUE SW,<br>SUITE 1100<br><br>WASHINGTON, DC 20037 | \$ 1,759,189.              | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 16         | O'NEILL FAMILY CHARITABLE TRUST<br><br>45 ROCKEFELLER PLAZA, FLOOR 5<br><br>NEW YORK, NY 10111  | \$ 125,000.                | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 17         | PEGGY DULANY<br><br>45 ROCKEFELLER PLAZA, FLOOR 5<br><br>NEW YORK, NY 10111   | \$ 100,000.                | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 18         | POWER CORPORATION OF CANADA<br><br>161 BAY STREET, SUITE 5000<br><br>TORONTO, ONTARIO, CANADA M5J 2S1   | \$ 500,000.                | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |

|   |  |
|---|--|
| Name of organization<br><br>ROCKEFELLER BROTHERS FUND, INC. | Employer identification number<br><br>13-1760106 |
|---|--|

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4   | (c)<br>Total contributions | (d)<br>Type of contribution   |
|------------|---|----------------------------|---|
| 19         | RICK & LAURA SALOMON<br><br>610 5TH AVENUE, SUITE 506<br><br>NEW YORK, NY 10020                   | \$ 100,000.                | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 20         | ADAM GROWALD<br><br>45 ROCKEFELLER PLAZA, FLOOR 5<br><br>NEW YORK, NY 10111                       | \$ 10,000.                 | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 21         | ARIANA ROCKEFELLER<br><br>45 ROCKEFELLER PLAZA, FLOOR 5<br><br>NEW YORK, NY 10111                 | \$ 100,000.                | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 22         | CAMILLA ROCKEFELLER<br><br>45 ROCKEFELLER PLAZA, FLOOR 5<br><br>NEW YORK, NY 10111                | \$ 100,000.                | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 23         | MICHAEL QUATTRONE<br><br>45 ROCKEFELLER PLAZA, FLOOR 5<br><br>NEW YORK, NY 10111                  | \$ 100,000.                | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 24         | ROCKEFELLER PHILANTHROPY ADVISORS<br><br>6 WEST 48TH STREET, 10TH FLOOR<br><br>NEW YORK, NY 10036 | \$ 535,000.                | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |

|   |  |
|---|--|
| Name of organization<br><br>ROCKEFELLER BROTHERS FUND, INC. | Employer identification number<br><br>13-1760106 |
|---|--|

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4  | (c)<br>Total contributions | (d)<br>Type of contribution   |
|------------|--|----------------------------|---|
| 25         | RUSS CARSON<br><br>650 MADISON AVENUE, 26TH FL<br><br>NEW YORK, NY 10022                               | \$ 100,000.                | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 26         | SHARON P. AND JOHN D. ROCKEFELLER IV<br><br>ONE ROCKEFELLER PLAZA, ROOM 2500<br><br>NEW YORK, NY 10020 | \$ 25,000.                 | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 27         | STEPHEN HEINTZ<br><br>475 RIVERSIDE DRIVE, SUITE 900<br><br>NEW YORK, NY 10115                         | \$ 5,000.                  | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 28         | STEVEN ROCKEFELLER<br><br>ONE ROCKEFELLER PLAZA, ROOM 2500<br><br>NEW YORK, NY 10020                   | \$ 44,631.                 | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input checked="" type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 29         | STEVEN ROCKEFELLER<br><br>ONE ROCKEFELLER PLAZA, ROOM 2500<br><br>NEW YORK, NY 10020                   | \$ 5,361.                  | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 30         | STRONG-CUEVAS FDN. INC.<br><br>211 CENTRAL PARK WEST<br><br>NEW YORK, NY 10024                         | \$ 50,000.                 | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |

|   |  |
|---|--|
| Name of organization<br><br>ROCKEFELLER BROTHERS FUND, INC. | Employer identification number<br><br>13-1760106 |
|---|--|

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4   | (c)<br>Total contributions | (d)<br>Type of contribution   |
|------------|---|----------------------------|---|
| 31         | THE WILLIAM & FLORA HEWLETT FOUNDATION<br><br>2121 SAND HILL ROAD<br><br>MENLO PARK, CA 94025 | \$ 1,500,000.              | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| _____      | _____<br><br>_____<br><br>_____   | \$ _____                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.)            |
| _____      | _____<br><br>_____<br><br>_____   | \$ _____                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.)            |
| _____      | _____<br><br>_____<br><br>_____   | \$ _____                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.)            |
| _____      | _____<br><br>_____<br><br>_____   | \$ _____                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.)            |
| _____      | _____<br><br>_____<br><br>_____   | \$ _____                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.)            |
| _____      | _____<br><br>_____<br><br>_____   | \$ _____                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.)            |

|   |  |
|---|--|
| Name of organization<br><br>ROCKEFELLER BROTHERS FUND, INC. | Employer identification number<br><br>13-1760106 |
|---|--|

**Part II Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

| (a)<br>No.<br>from<br>Part I | (b)<br>Description of noncash property given          | (c)<br>FMV (or estimate)<br>(See instructions.) | (d)<br>Date received |
|------------------------------|---|---|----------------------|
| 1                            | PUBLICLY TRADED SECURITIES<br>_____<br>_____<br>_____ | \$ 14,999.                                      | 05/24/21             |
| 3                            | PUBLICLY TRADED SECURITIES<br>_____<br>_____<br>_____ | \$ 10,190.                                      | 05/20/21             |
| 4                            | PUBLICLY TRADED SECURITIES<br>_____<br>_____<br>_____ | \$ 5,027.                                       | 05/24/21             |
| 6                            | PUBLICLY TRADED SECURITIES<br>_____<br>_____<br>_____ | \$ 20,871.                                      | 12/14/21             |
| 8                            | PUBLICLY TRADED SECURITIES<br>_____<br>_____<br>_____ | \$ 25,748.                                      | 06/18/21             |
| 11                           | PUBLICLY TRADED SECURITIES<br>_____<br>_____<br>_____ | \$ 50,319.                                      | 06/25/21             |

|   |  |
|---|--|
| Name of organization<br><br>ROCKEFELLER BROTHERS FUND, INC. | Employer identification number<br><br>13-1760106 |
|---|--|

**Part II Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

| (a)<br>No.<br>from<br>Part I | (b)<br>Description of noncash property given          | (c)<br>FMV (or estimate)<br>(See instructions.) | (d)<br>Date received |
|------------------------------|---|---|----------------------|
| 13                           | PUBLICLY TRADED SECURITIES<br>_____<br>_____<br>_____ | \$ 15,283.                                      | 07/09/21             |
| 28                           | PUBLICLY TRADED SECURITIES<br>_____<br>_____<br>_____ | \$ 44,631.                                      | 07/30/21             |
|                              | _____<br>_____<br>_____                               | \$ _____  | _____                |
|                              | _____<br>_____<br>_____                               | \$ _____  | _____                |
|                              | _____<br>_____<br>_____                               | \$ _____  | _____                |
|                              | _____<br>_____<br>_____                               | \$ _____  | _____                |

|   |  |
|---|--|
| Name of organization<br><br>ROCKEFELLER BROTHERS FUND, INC. | Employer identification number<br><br>13-1760106 |
|---|--|

**Part III** Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this info. once.) ▶ \$ \_\_\_\_\_  
Use duplicate copies of Part III if additional space is needed.

| (a) No. from Part I                     | (b) Purpose of gift | (c) Use of gift                          | (d) Description of how gift is held |
|---|---------------------|--|-------------------------------------|
|   |                     |  |                                     |
| <b>(e) Transfer of gift</b>             |                     |  |                                     |
| Transferee's name, address, and ZIP + 4 |                     | Relationship of transferor to transferee |                                     |
|   |                     |  |                                     |
|   |                     |  |                                     |
| <b>(e) Transfer of gift</b>             |                     |  |                                     |
| Transferee's name, address, and ZIP + 4 |                     | Relationship of transferor to transferee |                                     |
|   |                     |  |                                     |
|   |                     |  |                                     |
| <b>(e) Transfer of gift</b>             |                     |  |                                     |
| Transferee's name, address, and ZIP + 4 |                     | Relationship of transferor to transferee |                                     |
|   |                     |  |                                     |
|   |                     |  |                                     |
| <b>(e) Transfer of gift</b>             |                     |  |                                     |
| Transferee's name, address, and ZIP + 4 |                     | Relationship of transferor to transferee |                                     |
|   |                     |  |                                     |
|   |                     |  |                                     |
| <b>(e) Transfer of gift</b>             |                     |  |                                     |
| Transferee's name, address, and ZIP + 4 |                     | Relationship of transferor to transferee |                                     |
|   |                     |  |                                     |
|   |                     |  |                                     |

# Underpayment of Estimated Tax by Corporations

▶ Attach to the corporation's tax return.

▶ Go to [www.irs.gov/Form2220](http://www.irs.gov/Form2220) for instructions and the latest information.

|  |  |
|--|--|
| Name<br><b>ROCKEFELLER BROTHERS FUND, INC.</b> | Employer identification number<br>13-1760106 |
|--|--|

**Note:** Generally, the corporation is not required to file Form 2220 (see Part II below for exceptions) because the IRS will figure any penalty owed and bill the corporation. However, the corporation may still use Form 2220 to figure the penalty. If so, enter the amount from page 2, line 38, on the estimated tax penalty line of the corporation's income tax return, but **do not** attach Form 2220.

### Part I Required Annual Payment

|  |    |            |
|--|----|------------|
| 1 Total tax (see instructions) .....   | 1  | 1,971,022. |
| 2 a Personal holding company tax (Schedule PH (Form 1120), line 26) included on line 1 .....   | 2a |            |
| b Look-back interest included on line 1 under section 460(b)(2) for completed long-term contracts or section 167(g) for depreciation under the income forecast method .....  | 2b |            |
| c Credit for federal tax paid on fuels (see instructions) .....  | 2c |            |
| d Total. Add lines 2a through 2c .....   | 2d |            |
| 3 Subtract line 2d from line 1. If the result is less than \$500, <b>do not</b> complete or file this form. The corporation does not owe the penalty .....   | 3  | 1,971,022. |
| 4 Enter the tax shown on the corporation's 2020 income tax return. See instructions. <b>Caution:</b> If the tax is zero or the tax year was for less than 12 months, skip this line and enter the amount from line 3 on line 5 ..... | 4  | 1,008,324. |
| 5 <b>Required annual payment.</b> Enter the <b>smaller</b> of line 3 or line 4. If the corporation is required to skip line 4, enter the amount from line 3 .....  | 5  | 1,008,324. |

### Part II Reasons for Filing - Check the boxes below that apply. If any boxes are checked, the corporation **must** file Form 2220 even if it does not owe a penalty. See instructions.

- 6  The corporation is using the adjusted seasonal installment method.
- 7  The corporation is using the annualized income installment method.
- 8  The corporation is a "large corporation" figuring its first required installment based on the prior year's tax.

### Part III Figuring the Underpayment

|   | (a) | (b)      | (c)        | (d)        |          |
|---|-----|----------|------------|------------|----------|
| 9 <b>Installment due dates.</b> Enter in columns (a) through (d) the 15th day of the 4th (Form 990-PF filers: Use 5th month), 6th, 9th, and 12th months of the corporation's tax year .....   | 9   | 05/15/21 | 06/15/21   | 09/15/21   | 12/15/21 |
| 10 <b>Required installments.</b> If the box on line 6 and/or line 7 above is checked, enter the amounts from Sch A, line 38. If the box on line 8 (but not 6 or 7) is checked, see instructions for the amounts to enter. If none of these boxes are checked, enter 25% (0.25) of line 5 above in each column ..... | 10  | 252,081. | 733,430.   | 492,756.   | 492,755. |
| 11 Estimated tax paid or credited for each period. For column (a) only, enter the amount from line 11 on line 15. See instructions .....  | 11  | 435,894. | 1,100,000. | 750,000.   | 35,000.  |
| <b>Complete lines 12 through 18 of one column before going to the next column.</b>  |     |          |            |            |          |
| 12 Enter amount, if any, from line 18 of the preceding column .....   | 12  |          | 183,813.   | 550,383.   | 807,627. |
| 13 Add lines 11 and 12 .....  | 13  |          | 1,283,813. | 1,300,383. | 842,627. |
| 14 Add amounts on lines 16 and 17 of the preceding column .....   | 14  |          |            |            |          |
| 15 Subtract line 14 from line 13. If zero or less, enter -0- .....  | 15  | 435,894. | 1,283,813. | 1,300,383. | 842,627. |
| 16 If the amount on line 15 is zero, subtract line 13 from line 14. Otherwise, enter -0- .....  | 16  |          | 0.         | 0.         |          |
| 17 <b>Underpayment.</b> If line 15 is less than or equal to line 10, subtract line 15 from line 10. Then go to line 12 of the next column. Otherwise, go to line 18 .....   | 17  |          |            |            |          |
| 18 <b>Overpayment.</b> If line 10 is less than line 15, subtract line 10 from line 15. Then go to line 12 of the next column .....  | 18  | 183,813. | 550,383.   | 807,627.   |          |

Go to Part IV on page 2 to figure the penalty. Do not go to Part IV if there are no entries on line 17 - no penalty is owed.

**Part IV Figuring the Penalty**

|  | (a)          | (b) | (c) | (d) |
|--|--------------|-----|-----|-----|
| <b>19</b> Enter the date of payment or the 15th day of the 4th month after the close of the tax year, whichever is earlier.<br><b>(C corporations with tax years ending June 30 and S corporations:</b> Use 3rd month instead of 4th month.<br><b>Form 990-PF and Form 990-T filers:</b> Use 5th month instead of 4th month.) See instructions ..... | <b>19</b>    |     |     |     |
| <b>20</b> Number of days from due date of installment on line 9 to the date shown on line 19 .....   | <b>20</b>    |     |     |     |
| <b>21</b> Number of days on line 20 after 4/15/2021 and before 7/1/2021 .....  | <b>21</b>    |     |     |     |
| <b>22</b> Underpayment on line 17 x $\frac{\text{Number of days on line 21} \times 3\% (0.03)}{365}$ ...   | <b>22</b> \$ | \$  | \$  | \$  |
| <b>23</b> Number of days on line 20 after 6/30/2021 and before 10/1/2021 .....   | <b>23</b>    |     |     |     |
| <b>24</b> Underpayment on line 17 x $\frac{\text{Number of days on line 23} \times 3\% (0.03)}{365}$ ...   | <b>24</b> \$ | \$  | \$  | \$  |
| <b>25</b> Number of days on line 20 after 9/30/2021 and before 1/1/2022 .....  | <b>25</b>    |     |     |     |
| <b>26</b> Underpayment on line 17 x $\frac{\text{Number of days on line 25} \times 3\% (0.03)}{365}$ ...   | <b>26</b> \$ | \$  | \$  | \$  |
| <b>27</b> Number of days on line 20 after 12/31/2021 and before 4/1/2022 .....   | <b>27</b>    |     |     |     |
| <b>28</b> Underpayment on line 17 x $\frac{\text{Number of days on line 27} \times 3\% (0.03)}{365}$ ...   | <b>28</b> \$ | \$  | \$  | \$  |
| <b>29</b> Number of days on line 20 after 3/31/2022 and before 7/1/2022 .....  | <b>29</b>    |     |     |     |
| <b>30</b> Underpayment on line 17 x $\frac{\text{Number of days on line 29} \times \%}{365}$ .....   | <b>30</b> \$ | \$  | \$  | \$  |
| <b>31</b> Number of days on line 20 after 6/30/2022 and before 10/1/2022 .....   | <b>31</b>    |     |     |     |
| <b>32</b> Underpayment on line 17 x $\frac{\text{Number of days on line 31} \times \%}{365}$ .....   | <b>32</b> \$ | \$  | \$  | \$  |
| <b>33</b> Number of days on line 20 after 9/30/2022 and before 1/1/2023 .....  | <b>33</b>    |     |     |     |
| <b>34</b> Underpayment on line 17 x $\frac{\text{Number of days on line 33} \times \%}{365}$ .....   | <b>34</b> \$ | \$  | \$  | \$  |
| <b>35</b> Number of days on line 20 after 12/31/2022 and before 3/16/2023 .....  | <b>35</b>    |     |     |     |
| <b>36</b> Underpayment on line 17 x $\frac{\text{Number of days on line 35} \times \%}{365}$ .....   | <b>36</b> \$ | \$  | \$  | \$  |
| <b>37</b> Add lines 22, 24, 26, 28, 30, 32, 34, and 36 .....   | <b>37</b> \$ | \$  | \$  | \$  |
| <b>38 Penalty.</b> Add columns (a) through (d) of line 37. Enter the total here and on Form 1120, line 34; or the comparable line for other income tax returns .....   | <b>38</b> \$ |     |     | 0.  |

\* Use the penalty interest rate for each calendar quarter, which the IRS will determine during the first month in the preceding quarter. These rates are published quarterly in an IRS News Release and in a revenue ruling in the Internal Revenue Bulletin. To obtain this information on the Internet, access the IRS website at [www.irs.gov](http://www.irs.gov). You can also call 1-800-829-4933 to get interest rate information.

Schedule A Adjusted Seasonal Installment Method and Annualized Income Installment Method

See instructions.

Form 1120-S filers: For lines 1, 2, 3, and 21, "taxable income" refers to excess net passive income or the amount on which tax is imposed under section 1374(a), whichever applies.

Part I Adjusted Seasonal Installment Method

Caution: Use this method only if the base period percentage for any 6 consecutive months is at least 70%. See instructions.

Table with 5 columns: (a) First 3 months, (b) First 5 months, (c) First 8 months, (d) First 11 months. Rows include taxable income for various periods (1-3), calculations (4-6), and tax amounts (7-19).

**Part II** <sup>\*\*</sup> Annualized Income Installment Method

|     |   | (a)                      | (b)                      | (c)                      | (d)                      |
|-----|---|--------------------------|--------------------------|--------------------------|--------------------------|
|     |   | First <u>2</u><br>months | First <u>3</u><br>months | First <u>6</u><br>months | First <u>9</u><br>months |
| 20  | Annualization periods (see instructions) .....  |                          |                          |                          |                          |
| 21  | Enter taxable income for each annualization period. See instructions for the treatment of extraordinary items .....                                 | 26,346,853.              | 39,520,280.              | 88,268,864.              | 124,999,016.             |
| 22  | Annualization amounts (see instructions) .....  | 6.000000                 | 4.000000                 | 2.000000                 | 1.333330                 |
| 23a | Annualized taxable income. Multiply line 21 by line 22 ...  | 158,081,118.             | 158,081,120.             | 176,537,728.             | 166,664,938.             |
| 23b | b Extraordinary items (see instructions) .....  |                          |                          |                          |                          |
| 23c | c Add lines 23a and 23b .....   | 158,081,118.             | 158,081,120.             | 176,537,728.             | 166,664,938.             |
| 24  | Figure the tax on the amount on line 23c using the instructions for Form 1120, Schedule J, line 2, or comparable line of corporation's return ..... | 2,197,328.               | 2,197,328.               | 2,453,874.               | 2,316,643.               |
| 25  | Enter any alternative minimum tax (trusts only) for each payment period (see instructions) .....  |                          |                          |                          |                          |
| 26  | Enter any other taxes for each payment period. See instr. ....  |                          |                          |                          |                          |
| 27  | Total tax. Add lines 24 through 26 .....  | 2,197,328.               | 2,197,328.               | 2,453,874.               | 2,316,643.               |
| 28  | For each period, enter the same type of credits as allowed on Form 2220, lines 1 and 2c. See instructions .....                                     |                          |                          |                          |                          |
| 29  | Total tax after credits. Subtract line 28 from line 27. If zero or less, enter -0- .....  | 2,197,328.               | 2,197,328.               | 2,453,874.               | 2,316,643.               |
| 30  | Applicable percentage .....   | 25%                      | 50%                      | 75%                      | 100%                     |
| 31  | Multiply line 29 by line 30 .....   | 549,332.                 | 1,098,664.               | 1,840,406.               | 2,316,643.               |

**Part III** Required Installments

|  |  | 1st         | 2nd         | 3rd         | 4th         |
|--|--|-------------|-------------|-------------|-------------|
|  |  | installment | installment | installment | installment |
| <b>Note:</b> Complete lines 32 through 38 of one column before completing the next column. |  |             |             |             |             |
| 32   | If only Part I or Part II is completed, enter the amount in each column from line 19 or line 31. If both parts are completed, enter the <b>smaller</b> of the amounts in each column from line 19 or line 31 ..... | 549,332.    | 1,098,664.  | 1,840,406.  | 2,316,643.  |
| 33   | Add the amounts in all preceding columns of line 38. See instructions .....  |             | 252,081.    | 985,511.    | 1,478,267.  |
| 34   | <b>Adjusted seasonal or annualized income installments.</b> Subtract line 33 from line 32. If zero or less, enter -0- ...  | 549,332.    | 846,583.    | 854,895.    | 838,376.    |
| 35   | Enter 25% (0.25) of line 5 on page 1 of Form 2220 in each column. <b>Note:</b> "Large corporations," see the instructions for line 10 for the amounts to enter .....   | 252,081.    | 733,430.    | 492,756.    | 492,755.    |
| 36   | Subtract line 38 of the preceding column from line 37 of the preceding column .....  |             |             |             |             |
| 37   | Add lines 35 and 36 .....  | 252,081.    | 733,430.    | 492,756.    | 492,755.    |
| 38   | <b>Required installments.</b> Enter the <b>smaller</b> of line 34 or line 37 here and on page 1 of Form 2220, line 10. See instructions .....  | 252,081.    | 733,430.    | 492,756.    | 492,755.    |

Form 2220 (2021)

\*\* ANNUALIZED INCOME INSTALLMENT METHOD USING STANDARD OPTION

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

| SOURCE                  | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVESTMENT<br>INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|-------------------------|-----------------------------|---------------------------------|-------------------------------|
| SHORT TERM CASH         | 1,313.                      | 1,313.                          |                               |
| TOTAL TO PART I, LINE 3 | 1,313.                      | 1,313.                          |                               |

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

| SOURCE            | GROSS<br>AMOUNT | CAPITAL<br>GAINS<br>DIVIDENDS | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|-------------------|-----------------|-------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| DIVIDENDS         | 3,975,799.      | 0.                            | 3,975,799.                  | 3,975,799.                        |                               |
| INTEREST          | 731,651.        | 0.                            | 731,651.                    | 731,651.                          |                               |
| THROUGH K-1S      | 0.              | 0.                            | 0.                          | 10,269,280.                       |                               |
| TO PART I, LINE 4 | 4,707,450.      | 0.                            | 4,707,450.                  | 14,976,730.                       |                               |

FORM 990-PF OTHER INCOME STATEMENT 3

| DESCRIPTION                           | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|---------------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| OTHER INVESTMENT INCOME               | 725,998.                    | 725,998.                          |                               |
| OTHER INCOME THROUGH K-1S             | 0.                          | 625,895.                          |                               |
| PUBLIC PROGRAMS                       | 441.                        | 0.                                |                               |
| UBI STATE TAX REFUNDS                 | 8,744.                      | 0.                                |                               |
| GRANT REFUNDS                         | 15,015.                     | 0.                                |                               |
| OTHER INCOME                          | 4,389.                      | 0.                                |                               |
| TOTAL TO FORM 990-PF, PART I, LINE 11 | 754,587.                    | 1,351,893.                        |                               |

FORM 990-PF

LEGAL FEES

STATEMENT 4

| DESCRIPTION                         | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|-------------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| LEGAL FEES - GENERAL<br>COUNSEL     | 299,704.                     | 136,027.                          |                               | 99,560.                       |
| LEGAL FEES RE: EMPLOYEE<br>BENEFITS | 32,531.                      | 0.                                |                               | 32,531.                       |
| LEGAL FEES RELATED TO<br>PROGRAMS   | 11,025.                      | 0.                                |                               | 11,025.                       |
| TO FM 990-PF, PG 1, LN 16A          | 343,260.                     | 136,027.                          |                               | 143,116.                      |

FORM 990-PF

ACCOUNTING FEES

STATEMENT 5

| DESCRIPTION                                  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|--|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| AUDIT & TAX SERVICES                         | 189,287.                     | 69,478.                           |                               | 45,620.                       |
| AUDIT SERVICES - 401K PLAN<br>AUDIT          | 17,066.                      | 0.                                |                               | 17,066.                       |
| GLOBAL TAX SERVICES                          | 14,822.                      | 0.                                |                               | 14,822.                       |
| OUTSOURCED ACCOUNTING FEES<br>- CHINA OFFICE | 35,728.                      | 0.                                |                               | 35,728.                       |
| TO FORM 990-PF, PG 1, LN 16B                 | 256,903.                     | 69,478.                           |                               | 113,236.                      |

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT 6

| DESCRIPTION                            | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|--|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| FACILITIES MAINTENANCE                 | 3,675,674.                   | 0.                                |                               | 3,986,533.                    |
| INVESTMENT MANAGEMENT FEES             | 10,007,349.                  | 8,696,916.                        |                               | 0.                            |
| CUSTODY FEES                           | 383,885.                     | 383,885.                          |                               | 0.                            |
| INFORMATION TECHNOLOGY<br>SERVICES     | 27,260.                      | 71.                               |                               | 25,599.                       |
| HONORARIUM                             | 1,000.                       | 0.                                |                               | 1,000.                        |
| CONSULTING FEES - PORGRAM<br>RELATED   | 907,030.                     | 0.                                |                               | 884,667.                      |
| CONSULTING FEES -GENERAL<br>MANAGEMENT | 427,778.                     | 7,500.                            |                               | 420,278.                      |
| CONSULTING FEES - HUMAN<br>RESOURCES   | 88,741.                      | 0.                                |                               | 63,454.                       |
| TO FORM 990-PF, PG 1, LN 16C           | 15,518,717.                  | 9,088,372.                        |                               | 5,381,531.                    |

FORM 990-PF

TAXES

STATEMENT 7

| DESCRIPTION                    | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|--------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| FEDERAL EXCISE TAX             | 2,205,625.                   | 0.                                |                               | 0.                            |
| PROPERTY TAXES                 | 95,827.                      | 0.                                |                               | 95,827.                       |
| FEDERAL AND STATE UBI<br>TAXES | 3,646,783.                   | 0.                                |                               | 0.                            |
| FOREIGN TAXES THROUGH K-1S     | 0.                           | 853,960.                          |                               | 0.                            |
| TO FORM 990-PF, PG 1, LN 18    | 5,948,235.                   | 853,960.                          |                               | 95,827.                       |

FORM 990-PF

OTHER EXPENSES

STATEMENT 8

| DESCRIPTION  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|--|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| BOOKS & SUBSCRIPTIONS                                      | 12,325.                      | 282.                              |                               | 12,043.                       |
| CAPITAL EXPENDITURES                                       | 73,251.                      | 1,133.                            |                               | 130,281.                      |
| DUES   | 81,779.                      | 6,474.                            |                               | 75,014.                       |
| EQUIPMENT LEASES & RENTALS                                 | 68,609.                      | 1,508.                            |                               | 70,419.                       |
| INSURANCE  | 505,048.                     | 6,826.                            |                               | 474,429.                      |
| INVESTMENT MANAGEMENT<br>EXPENSES - FOREIGN<br>INVESTMENTS | 0.                           | 625,811.                          |                               | 0.                            |
| INVESTMENT RELATED PORTFOLIO<br>DEDUCTIONS                 | 0.                           | 12,116,822.                       |                               | 0.                            |
| IT SECURITY MEASURES                                       | 97,382.                      | 2,532.                            |                               | 93,056.                       |
| MAINTENANCE & REPAIRS                                      | 250,939.                     | 2,809.                            |                               | 303,439.                      |
| MISCELLANEOUS EXPENSE                                      | 133,476.                     | 6,808.                            |                               | 273,220.                      |
| POCANTICO COLLECTIONS<br>MAINTENANCE                       | 97,787.                      | 0.                                |                               | 97,787.                       |
| POSTAGE & DELIVERY   | 6,614.                       | 136.                              |                               | 6,100.                        |
| PRINTING & STATIONARY                                      | 702.                         | 17.                               |                               | 643.                          |
| SUPPLIES   | 24,633.                      | 254.                              |                               | 18,861.                       |
| TELEPHONE & INTERNET                                       | 117,722.                     | 1,860.                            |                               | 123,339.                      |
| TEMPORARY STAFFING   | 102,881.                     | 1,641.                            |                               | 100,464.                      |
| OUTSOURCE SUPPORT SERVICES                                 | 33,679.                      | 166.                              |                               | 34,946.                       |
| STATE FILING FEE   | 1,725.                       | 0.                                |                               | 1,725.                        |
| <b>TO FORM 990-PF, PG 1, LN 23</b>                         | <b>1,608,552.</b>            | <b>12,775,079.</b>                |                               | <b>1,815,766.</b>             |

FORM 990-PF

OTHER INCREASES IN NET ASSETS OR FUND BALANCES

STATEMENT 9

| DESCRIPTION  | AMOUNT              |
|--|---------------------|
| AMOUNTS NOT YET RECOGNIZED - NET PERIODIC BENEFIT COST<br>ADJUSTMENT | 669,043.            |
| UNREALIZED GAIN ON INVESTMENTS                                       | 182,705,407.        |
| <b>TOTAL TO FORM 990-PF, PART III, LINE 3</b>                        | <b>183,374,450.</b> |

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 10

| DESCRIPTION                                      | U.S.<br>GOV'T | OTHER<br>GOV'T | BOOK VALUE  | FAIR MARKET<br>VALUE |
|--|---------------|----------------|-------------|----------------------|
| U S TREASURY NOTES                               | X             |                | 4,537,541.  | 4,537,541.           |
| US TREAS-CPI INFLAT                              | X             |                | 7,919,237.  | 7,919,237.           |
| FNMA GTD REMIC P/T 12-111 UC                     | X             |                | 248,450.    | 248,450.             |
| FNMA GTD REMIC P/T 13-4 CB                       | X             |                | 223,095.    | 223,095.             |
| FNMA GTD REMIC P/T 13-M7 A2                      | X             |                | 35,808.     | 35,808.              |
| TOTAL U.S. GOVERNMENT OBLIGATIONS                |               |                | 12,964,131. | 12,964,131.          |
| TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS |               |                |             |                      |
| TOTAL TO FORM 990-PF, PART II, LINE 10A          |               |                | 12,964,131. | 12,964,131.          |

FORM 990-PF CORPORATE STOCK STATEMENT 11

| DESCRIPTION                    | BOOK VALUE | FAIR MARKET<br>VALUE |
|--------------------------------|------------|----------------------|
| BANCO BRADESCO SA              | 10,264.    | 10,264.              |
| ITAU UNIBANCO HOLDING SA       | 23,320.    | 23,320.              |
| BANCOLOMBIA SA                 | 4,772.     | 4,772.               |
| HENKEL AG & CO KGAA            | 30,985.    | 30,985.              |
| SARTORIUS AG                   | 36,551.    | 36,551.              |
| SAMSUNG ELECTRONICS CO LTD     | 70,556.    | 70,556.              |
| VOLKSWAGEN AG                  | 41,375.    | 41,375.              |
| BAYERISCHE MOTOREN WERKE AG    | 13,004.    | 13,004.              |
| PORSCHE AUTOMOBIL HOLDING SE   | 27,233.    | 27,233.              |
| HYUNDAI MOTOR CO               | 8,241.     | 8,241.               |
| GERDAU SA                      | 13,214.    | 13,214.              |
| BRASKEM SA                     | 1,035.     | 1,035.               |
| CIA ENERGETICA DE MINAS GERAIS | 4,368.     | 4,368.               |
| SEGRO PLC                      | 33,290.    | 33,290.              |
| BRITISH LAND CO PLC/THE        | 9,112.     | 9,112.               |
| LAND SECURITIES GROUP PLC      | 17,130.    | 17,130.              |
| CRODA INTERNATIONAL PLC        | 57,295.    | 57,295.              |

|                                |            |            |
|--------------------------------|------------|------------|
| JOHNSON MATTHEY PLC            | 9,699.     | 9,699.     |
| RIO TINTO PLC                  | 119,532.   | 119,532.   |
| LARSEN & TOUBRO LTD            | 118,292.   | 118,292.   |
| ROLLS-ROYCE HOLDINGS PLC       | 21,973.    | 21,973.    |
| BAE SYSTEMS PLC                | 36,042.    | 36,042.    |
| MONDI PLC                      | 14,864.    | 14,864.    |
| BUNZL PLC                      | 23,797.    | 23,797.    |
| MELROSE INDUST PLC ORD         | 20,683.    | 20,683.    |
| HON HAI PRECISION INDUSTRY CO  | 63,901.    | 63,901.    |
| HALMA PLC                      | 39,095.    | 39,095.    |
| SPIRAX-SARCO ENGINEERING PLC   | 10,869.    | 10,869.    |
| ASHTED GROUP PLC               | 37,263.    | 37,263.    |
| INTERTEK GROUP PLC             | 21,733.    | 21,733.    |
| RENTOKIL INITIAL PLC           | 17,355.    | 17,355.    |
| EXPERIAN PLC                   | 72,069.    | 72,069.    |
| LONDON STOCK EXCHANGE GROUP PL | 43,928.    | 43,928.    |
| SAMSUNG ELECTRONICS CO LTD     | 4,765,610. | 4,765,610. |
| SIEMENS HEALTHINEERS AG        | 24,027.    | 24,027.    |
| ZALANDO SE                     | 21,924.    | 21,924.    |
| SCOUT24 SE                     | 6,216.     | 6,216.     |
| COVESTRO AG                    | 22,189.    | 22,189.    |
| DELIVERY HERO SE               | 39,898.    | 39,898.    |
| AENA SME SA                    | 25,728.    | 25,728.    |
| CELLNEX TELECOM SA             | 43,768.    | 43,768.    |
| WORLDLINE SA/FRANCE            | 17,055.    | 17,055.    |
| NEXI SPA                       | 17,930.    | 17,930.    |
| JUST EAT TAKEAWAY.COM NV       | 15,048.    | 15,048.    |
| ABN AMRO BANK NV               | 19,638.    | 19,638.    |
| ADYEN NV                       | 70,973.    | 70,973.    |
| VAT GROUP AG                   | 21,445.    | 21,445.    |
| LONGFOR GROUP HOLDINGS LTD     | 18,829.    | 18,829.    |
| POSTAL SAVINGS BANK OF CHINA C | 3,508.     | 3,508.     |
| PING AN HEALTHCARE AND TECHNOL | 3,273.     | 3,273.     |
| A-LIVING SMART CITY SERVICES C | 853.       | 853.       |
| CHINA TOWER CORP LTD           | 7,942.     | 7,942.     |
| XIAOMI CORP                    | 51,394.    | 51,394.    |
| WUXI APPTEC CO LTD             | 13,368.    | 13,368.    |
| WEIMOB INC                     | 1,012.     | 1,012.     |
| MEITUAN                        | 156,120.   | 156,120.   |
| HAIDILAO INTERNATIONAL HOLDING | 4,515.     | 4,515.     |
| INNOVENT BIOLOGICS INC         | 12,378.    | 12,378.    |
| ESR GROUP LTD                  | 5,408.     | 5,408.     |
| JINXIN FERTILITY GROUP LTD     | 2,234.     | 2,234.     |
| CANSINO BIOLOGICS INC          | 4,623.     | 4,623.     |
| TOPSPORTS INTERNATIONAL HOLDIN | 3,036.     | 3,036.     |
| HANSON PHARMACEUTICAL GROUP CO | 4,874.     | 4,874.     |
| CHINA FEIHE LTD                | 5,367.     | 5,367.     |
| PHARMARON BEIJING CO LTD       | 4,629.     | 4,629.     |
| BUDWEISER BREWING CO APAC LTD  | 3,672.     | 3,672.     |
| SMOORE INTERNATIONAL HOLDINGS  | 15,296.    | 15,296.    |
| WUXI BIOLOGICS CAYMAN INC      | 65,291.    | 65,291.    |
| WH GROUP LTD                   | 11,604.    | 11,604.    |
| NONGFU SPRING CO LTD           | 14,518.    | 14,518.    |
| JD HEALTH INTERNATIONAL INC    | 1,576.     | 1,576.     |
| CHINA RESOURCES MIXC LIFESTYLE | 1,865.     | 1,865.     |
| HANGZHOU TIGERMED CONSULTING C | 2,540.     | 2,540.     |
| HUA HONG SEMICONDUCTOR LTD     | 5,515.     | 5,515.     |
| FUYAO GLASS INDUSTRY GROUP CO  | 4,135.     | 4,135.     |

|                                |            |            |
|--------------------------------|------------|------------|
| 3SBIO INC                      | 834.       | 834.       |
| CHINA LITERATURE LTD           | 3,771.     | 3,771.     |
| DALI FOODS GROUP CO LTD        | 12,560.    | 12,560.    |
| BOC AVIATION LTD               | 10,986.    | 10,986.    |
| ZHONGAN ONLINE P&C INSURANCE C | 4,171.     | 4,171.     |
| YADEA GROUP HOLDINGS LTD       | 7,799.     | 7,799.     |
| GANFENG LITHIUM GROUP CO LTD   | 9,443.     | 9,443.     |
| SK IE TECHNOLOGY CO LTD        | 4,098.     | 4,098.     |
| SAMSUNG BIOLOGICS CO LTD       | 11,394.    | 11,394.    |
| MONDE NISSIN CORP              | 5,877.     | 5,877.     |
| ORSTED AS                      | 20,943.    | 20,943.    |
| EVOLUTION AB                   | 40,061.    | 40,061.    |
| SINCH AB                       | 7,373.     | 7,373.     |
| CITIGROUP INC                  | 1,296,634. | 1,296,634. |
| JPMORGAN CHASE & CO            | 1,678,510. | 1,678,510. |
| SHINHAN FINANCIAL GROUP CO LTD | 278,190.   | 278,190.   |
| WELLS FARGO & CO               | 1,494,337. | 1,494,337. |
| BNP PARIBAS SA                 | 2,101,702. | 2,101,702. |
| ING GROEP NV                   | 1,840,714. | 1,840,714. |
| SIAM COMMERCIAL BANK PCL/THE   | 589,283.   | 589,283.   |
| KASIKORNBANK PCL               | 488,849.   | 488,849.   |
| CHINA CONSTRUCTION BANK CORP   | 628,429.   | 628,429.   |
| INDUSTRIAL & COMMERCIAL BANK O | 200,916.   | 200,916.   |
| SUMITOMO MITSUI FINANCIAL GROU | 1,349,088. | 1,349,088. |
| DBS GROUP HOLDINGS LTD         | 348,851.   | 348,851.   |
| UNITED OVERSEAS BANK LTD       | 699,921.   | 699,921.   |
| HDFC BANK LTD                  | 90,577.    | 90,577.    |
| ICICI BANK LTD                 | 144,566.   | 144,566.   |
| BANK RAKYAT INDONESIA PERSERO  | 38,701.    | 38,701.    |
| BANK MANDIRI PERSERO TBK PT    | 22,703.    | 22,703.    |
| AUSTRALIA & NEW ZEALAND BANKIN | 75,604.    | 75,604.    |
| WESTPAC BANKING CORP           | 68,501.    | 68,501.    |
| COMMONWEALTH BANK OF AUSTRALIA | 180,055.   | 180,055.   |
| NATIONAL AUSTRALIA BANK LTD    | 94,965.    | 94,965.    |
| ERSTE GROUP BANK AG            | 8,229.     | 8,229.     |
| RAIFFEISEN BANK INTERNATIONAL  | 22,721.    | 22,721.    |
| KBC GROUP NV                   | 19,480.    | 19,480.    |
| DEUTSCHE BANK AG               | 38,679.    | 38,679.    |
| COMMERZBANK AG                 | 20,728.    | 20,728.    |
| BANCO BILBAO VIZCAYA ARGENTARI | 62,909.    | 62,909.    |
| BANCO SANTANDER SA             | 84,779.    | 84,779.    |
| CAIXABANK SA                   | 20,394.    | 20,394.    |
| SOCIETE GENERALE SA            | 59,561.    | 59,561.    |
| CREDIT AGRICOLE SA             | 13,901.    | 13,901.    |
| BNP PARIBAS SA                 | 116,239.   | 116,239.   |
| EUROBANK ERGASIAS SERVICES AND | 12,725.    | 12,725.    |
| ALPHA SERVICES AND HOLDINGS SA | 5,830.     | 5,830.     |
| INTESA SANPAOLO SPA            | 73,706.    | 73,706.    |
| MEDIOBANCA BANCA DI CREDITO FI | 16,165.    | 16,165.    |
| FINECOBANK BANCA FINECO SPA    | 9,092.     | 9,092.     |
| UNICREDIT SPA                  | 36,164.    | 36,164.    |
| OTP BANK NYRT                  | 16,697.    | 16,697.    |
| ING GROEP NV                   | 74,870.    | 74,870.    |
| CREDIT SUISSE GROUP AG         | 24,557.    | 24,557.    |
| UBS GROUP AG                   | 112,939.   | 112,939.   |
| TMBTHANACHART BANK PCL         | 6,763.     | 6,763.     |
| SIAM COMMERCIAL BANK PCL/THE   | 15,968.    | 15,968.    |
| HANG SENG BANK LTD             | 12,812.    | 12,812.    |

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| BOC HONG KONG HOLDINGS LTD     | 11,470.    | 11,470.    |
| BANK OF COMMUNICATIONS CO LTD  | 23,561.    | 23,561.    |
| CHINA CONSTRUCTION BANK CORP   | 99,047.    | 99,047.    |
| BANK OF CHINA LTD              | 55,866.    | 55,866.    |
| CHINA MERCHANTS BANK CO LTD    | 62,132.    | 62,132.    |
| INDUSTRIAL & COMMERCIAL BANK O | 40,635.    | 40,635.    |
| CHINA MINSHENG BANKING CORP LT | 14,716.    | 14,716.    |
| CHIBA BANK LTD/THE             | 6,295.     | 6,295.     |
| MITSUBISHI UFJ FINANCIAL GROUP | 91,167.    | 91,167.    |
| RESONA HOLDINGS INC            | 15,541.    | 15,541.    |
| SUMITOMO MITSUI TRUST HOLDINGS | 26,698.    | 26,698.    |
| SUMITOMO MITSUI FINANCIAL GROU | 54,785.    | 54,785.    |
| MIZUHO FINANCIAL GROUP INC     | 46,372.    | 46,372.    |
| SHIZUOKA BANK LTD/THE          | 9,993.     | 9,993.     |
| JAPAN POST BANK CO LTD         | 2,748.     | 2,748.     |
| SHINHAN FINANCIAL GROUP CO LTD | 23,032.    | 23,032.    |
| INDUSTRIAL BANK OF KOREA       | 8,006.     | 8,006.     |
| HANA FINANCIAL GROUP INC       | 21,436.    | 21,436.    |
| WOORI FINANCIAL GROUP INC      | 10,192.    | 10,192.    |
| KAKAObANK CORP                 | 5,013.     | 5,013.     |
| BANK NEGARA INDONESIA PERSERO  | 4,262.     | 4,262.     |
| BANK CENTRAL ASIA TBK PT       | 48,146.    | 48,146.    |
| DBS GROUP HOLDINGS LTD         | 77,523.    | 77,523.    |
| UNITED OVERSEAS BANK LTD       | 47,888.    | 47,888.    |
| OVERSEA-CHINESE BANKING CORP L | 65,111.    | 65,111.    |
| CIMB GROUP HOLDINGS BHD        | 15,437.    | 15,437.    |
| MALAYAN BANKING BHD            | 27,892.    | 27,892.    |
| PUBLIC BANK BHD                | 23,466.    | 23,466.    |
| BANK OF THE PHILIPPINE ISLANDS | 14,005.    | 14,005.    |
| METROPOLITAN BANK & TRUST CO   | 9,580.     | 9,580.     |
| BDO UNIBANK INC                | 13,894.    | 13,894.    |
| BANK HAPOALIM BM               | 22,937.    | 22,937.    |
| BANK LEUMI LE-ISRAEL BM        | 16,691.    | 16,691.    |
| ISRAEL DISCOUNT BANK LTD       | 15,542.    | 15,542.    |
| MIZRAHI TEFAHOT BANK LTD       | 12,261.    | 12,261.    |
| CREDICORP LTD                  | 11,353.    | 11,353.    |
| FIRSTRAND LTD                  | 19,021.    | 19,021.    |
| NEDBANK GROUP LTD              | 9,935.     | 9,935.     |
| STANDARD BANK GROUP LTD        | 12,922.    | 12,922.    |
| ABSA GROUP LTD                 | 13,764.    | 13,764.    |
| BANCO DO BRASIL SA             | 8,805.     | 8,805.     |
| BANCO BRADESCO SA              | 9,947.     | 9,947.     |
| BANCO SANTANDER BRASIL SA      | 5,382.     | 5,382.     |
| BANCO INTER SA                 | 2,565.     | 2,565.     |
| GRUPO FINANCIERO BANORTE SAB D | 31,849.    | 31,849.    |
| GRUPO FINANCIERO INBURSA SAB D | 8,267.     | 8,267.     |
| STATE BANK OF INDIA            | 43,236.    | 43,236.    |
| SBERBANK OF RUSSIA PJSC        | 14,421.    | 14,421.    |
| DANSKE BANK A/S                | 13,229.    | 13,229.    |
| DNB BANK ASA                   | 36,995.    | 36,995.    |
| SKANDINAVISKA ENSKILDA BANKEN  | 36,140.    | 36,140.    |
| SWEDBANK AB                    | 32,664.    | 32,664.    |
| SVENSKA HANDELSBANKEN AB       | 20,450.    | 20,450.    |
| NORDEA BANK ABP                | 74,754.    | 74,754.    |
| MASTERCARD INC                 | 521,014.   | 521,014.   |
| CHARLES SCHWAB CORP/THE        | 2,091,988. | 2,091,988. |
| VISA INC                       | 1,408,615. | 1,408,615. |
| FUTU HOLDINGS LTD              | 9,093.     | 9,093.     |

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| NOAH HOLDINGS LTD              | 16,266.  | 16,266.  |
| 360 DIGITECH INC               | 10,319.  | 10,319.  |
| ASX LTD                        | 13,441.  | 13,441.  |
| MAGELLAN FINANCIAL GROUP LTD   | 5,884.   | 5,884.   |
| DEUTSCHE BOERSE AG             | 42,322.  | 42,322.  |
| JULIUS BAER GROUP LTD          | 29,477.  | 29,477.  |
| SRISAWAD CORP PCL              | 2,588.   | 2,588.   |
| HONG KONG EXCHANGES & CLEARING | 116,825. | 116,825. |
| FAR EAST HORIZON LTD           | 888.     | 888.     |
| CITIC SECURITIES CO LTD        | 19,577.  | 19,577.  |
| HAITONG SECURITIES CO LTD      | 16,308.  | 16,308.  |
| DAIWA SECURITIES GROUP INC     | 22,533.  | 22,533.  |
| SBI HOLDINGS INC/JAPAN         | 16,335.  | 16,335.  |
| NOMURA HOLDINGS INC            | 28,319.  | 28,319.  |
| ORIX CORP                      | 42,810.  | 42,810.  |
| JAPAN EXCHANGE GROUP INC       | 21,866.  | 21,866.  |
| KOREA INVESTMENT HOLDINGS CO L | 13,645.  | 13,645.  |
| KB FINANCIAL GROUP INC         | 25,123.  | 25,123.  |
| SINGAPORE EXCHANGE LTD         | 37,941.  | 37,941.  |
| CAPITEC BANK HOLDINGS LTD      | 11,247.  | 11,247.  |
| SANLAM LTD                     | 13,062.  | 13,062.  |
| B3 SA - BRASIL BOLSA BALCAO    | 19,200.  | 19,200.  |
| BANCO BTG PACTUAL SA           | 6,032.   | 6,032.   |
| SOFINA SA                      | 19,651.  | 19,651.  |
| GROUPE BRUXELLES LAMBERT NV    | 18,977.  | 18,977.  |
| WENDEL SE                      | 10,668.  | 10,668.  |
| EXOR NV                        | 31,697.  | 31,697.  |
| REMGRO LTD                     | 8,259.   | 8,259.   |
| REINET INVESTMENTS SCA         | 6,470.   | 6,470.   |
| INDUSTRIVARDEN AB              | 1,720.   | 1,720.   |
| INVESTOR AB                    | 14,573.  | 14,573.  |
| INVESTOR AB                    | 51,417.  | 51,417.  |
| KINNEVIK AB                    | 15,685.  | 15,685.  |
| EURAZEO SE                     | 9,258.   | 9,258.   |
| PARTNERS GROUP HOLDING AG      | 91,299.  | 91,299.  |
| CAPITALAND INVESTMENT LTD/SING | 20,741.  | 20,741.  |
| EQT AB                         | 31,038.  | 31,038.  |
| AON PLC                        | 623,662. | 623,662. |
| INSURANCE AUSTRALIA GROUP LTD  | 13,733.  | 13,733.  |
| SUNCORP GROUP LTD              | 22,544.  | 22,544.  |
| QBE INSURANCE GROUP LTD        | 23,956.  | 23,956.  |
| AGEAS SA/NV                    | 31,960.  | 31,960.  |
| HANNOVER RUECK SE              | 9,124.   | 9,124.   |
| ALLIANZ SE                     | 119,487. | 119,487. |
| MUENCHENER RUECKVERSICHERUNGS- | 53,620.  | 53,620.  |
| CNP ASSURANCES                 | 12,318.  | 12,318.  |
| AXA SA                         | 79,476.  | 79,476.  |
| ASSICURAZIONI GENERALI SPA     | 30,042.  | 30,042.  |
| AEGON NV                       | 11,920.  | 11,920.  |
| NN GROUP NV                    | 31,132.  | 31,132.  |
| ZURICH INSURANCE GROUP AG      | 88,328.  | 88,328.  |
| BALOISE HOLDING AG             | 11,127.  | 11,127.  |
| SWISS LIFE HOLDING AG          | 23,927.  | 23,927.  |
| SWISS RE AG                    | 39,724.  | 39,724.  |
| CHINA TAIPING INSURANCE HOLDIN | 5,490.   | 5,490.   |
| PICC PROPERTY & CASUALTY CO LT | 13,073.  | 13,073.  |
| CHINA LIFE INSURANCE CO LTD    | 14,915.  | 14,915.  |
| PING AN INSURANCE GROUP CO OF  | 50,415.  | 50,415.  |

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| CHINA PACIFIC INSURANCE GROUP  | 20,617.    | 20,617.    |
| AIA GROUP LTD                  | 159,291.   | 159,291.   |
| NEW CHINA LIFE INSURANCE CO LT | 4,012.     | 4,012.     |
| TOKIO MARINE HOLDINGS INC      | 5,551.     | 5,551.     |
| T&D HOLDINGS INC               | 12,783.    | 12,783.    |
| DAI-ICHI LIFE HOLDINGS INC     | 32,311.    | 32,311.    |
| SOMPO HOLDINGS INC             | 21,098.    | 21,098.    |
| JAPAN POST HOLDINGS CO LTD     | 36,602.    | 36,602.    |
| SAMSUNG FIRE & MARINE INSURANC | 7,477.     | 7,477.     |
| DB INSURANCE CO LTD            | 10,266.    | 10,266.    |
| SAMSUNG LIFE INSURANCE CO LTD  | 10,946.    | 10,946.    |
| DISCOVERY LTD                  | 7,610.     | 7,610.     |
| BB SEGURIDADE PARTICIPACOES SA | 6,333.     | 6,333.     |
| SAMPO OYJ                      | 45,445.    | 45,445.    |
| SIAM CEMENT PCL/THE            | 12,711.    | 12,711.    |
| SWIRE PACIFIC LTD              | 14,221.    | 14,221.    |
| ABOITIZ EQUITY VENTURES INC    | 7,154.     | 7,154.     |
| BOSTON SCIENTIFIC CORP         | 677,556.   | 677,556.   |
| MEDTRONIC PLC                  | 1,638,855. | 1,638,855. |
| COCHLEAR LTD                   | 22,155.    | 22,155.    |
| FISHER & PAYKEL HEALTHCARE COR | 22,070.    | 22,070.    |
| CARL ZEISS MEDITEC AG          | 10,511.    | 10,511.    |
| QIAGEN NV                      | 17,438.    | 17,438.    |
| ESSILORLUXOTTICA SA            | 97,309.    | 97,309.    |
| SARTORIUS STEDIM BIOTECH       | 22,492.    | 22,492.    |
| DIASORIN SPA                   | 7,046.     | 7,046.     |
| KONINKLIJKE PHILIPS NV         | 28,728.    | 28,728.    |
| SONOVA HOLDING AG              | 39,662.    | 39,662.    |
| STRAUMANN HOLDING AG           | 44,644.    | 44,644.    |
| ALCON INC                      | 40,053.    | 40,053.    |
| HENGAN INTERNATIONAL GROUP CO  | 5,150.     | 5,150.     |
| SHANDONG WEIGAO GROUP MEDICAL  | 9,984.     | 9,984.     |
| MICROPORT SCIENTIFIC CORP      | 3,643.     | 3,643.     |
| CHINA MEDICAL SYSTEM HOLDINGS  | 5,010.     | 5,010.     |
| OLYMPUS CORP                   | 50,618.    | 50,618.    |
| SYSMEX CORP                    | 40,511.    | 40,511.    |
| TERUMO CORP                    | 37,984.    | 37,984.    |
| ASAHI INTECC CO LTD            | 10,729.    | 10,729.    |
| HLB INC                        | 2,342.     | 2,342.     |
| INMODE LTD                     | 10,587.    | 10,587.    |
| COLOPLAST A/S                  | 34,318.    | 34,318.    |
| AMBU A/S                       | 6,639.     | 6,639.     |
| DEMANT A/S                     | 15,012.    | 15,012.    |
| GETINGE AB                     | 7,244.     | 7,244.     |
| BEIGENE LTD                    | 13,547.    | 13,547.    |
| HUTCHMED CHINA LTD             | 12,980.    | 12,980.    |
| I-MAB                          | 10,900.    | 10,900.    |
| ZAI LAB LTD                    | 13,827.    | 13,827.    |
| CSL LTD                        | 140,348.   | 140,348.   |
| BACHEM HOLDING AG              | 5,501.     | 5,501.     |
| SEEGENE INC                    | 2,463.     | 2,463.     |
| SK BIOSCIENCE CO LTD           | 6,435.     | 6,435.     |
| ARGENX SE                      | 29,043.    | 29,043.    |
| GENMAB A/S                     | 30,159.    | 30,159.    |
| NEUROCRINE BIOSCIENCES INC     | 493,986.   | 493,986.   |
| ZOETIS INC                     | 719,889.   | 719,889.   |
| CIGNA CORP                     | 1,872,633. | 1,872,633. |
| JOHNSON & JOHNSON              | 975,099.   | 975,099.   |

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| PFIZER INC                     | 943,619.   | 943,619.   |
| NOVARTIS AG                    | 1,224,707. | 1,224,707. |
| ROCHE HOLDING AG               | 2,983,621. | 2,983,621. |
| DR REDDY'S LABORATORIES LTD    | 83,332.    | 83,332.    |
| TEVA PHARMACEUTICAL INDUSTRIES | 17,053.    | 17,053.    |
| UCB SA                         | 14,721.    | 14,721.    |
| MERCK KGAA                     | 45,433.    | 45,433.    |
| BAYER AG                       | 77,286.    | 77,286.    |
| GRIFOLS SA                     | 7,983.     | 7,983.     |
| SANOFI                         | 143,545.   | 143,545.   |
| IPSEN SA                       | 8,697.     | 8,697.     |
| RECORDATI INDUSTRIA CHIMICA E  | 18,505.    | 18,505.    |
| RICHTER GEDEON NYRT            | 2,288.     | 2,288.     |
| NOVARTIS AG                    | 259,303.   | 259,303.   |
| ROCHE HOLDING AG               | 418,148.   | 418,148.   |
| VIFOR PHARMA AG                | 4,808.     | 4,808.     |
| CSPC PHARMACEUTICAL GROUP LTD  | 20,859.    | 20,859.    |
| SINO BIOPHARMACEUTICAL LTD     | 16,108.    | 16,108.    |
| SINOPHARM GROUP CO LTD         | 5,221.     | 5,221.     |
| SHANGHAI FOSUN PHARMACEUTICAL  | 8,812.     | 8,812.     |
| KOBAYASHI PHARMACEUTICAL CO LT | 7,850.     | 7,850.     |
| CHUGAI PHARMACEUTICAL CO LTD   | 38,921.    | 38,921.    |
| SUMITOMO PHARMA CO LTD         | 4,602.     | 4,602.     |
| EISAI CO LTD                   | 22,686.    | 22,686.    |
| KYOWA KIRIN CO LTD             | 21,779.    | 21,779.    |
| SANTEN PHARMACEUTICAL CO LTD   | 6,109.     | 6,109.     |
| SHIONOGI & CO LTD              | 28,223.    | 28,223.    |
| TAKEDA PHARMACEUTICAL CO LTD   | 16,345.    | 16,345.    |
| ASTELLAS PHARMA INC            | 43,857.    | 43,857.    |
| DAIICHI SANKYO CO LTD          | 48,261.    | 48,261.    |
| OTSUKA HOLDINGS CO LTD         | 21,722.    | 21,722.    |
| SHIN POONG PHARMACEUTICAL CO L | 1,102.     | 1,102.     |
| YUHAN CORP                     | 5,381.     | 5,381.     |
| CELLTRION INC                  | 29,981.    | 29,981.    |
| CELLTRION PHARM INC            | 1,779.     | 1,779.     |
| SK CHEMICALS CO LTD            | 2,381.     | 2,381.     |
| ALTEOGEN INC                   | 2,356.     | 2,356.     |
| CELLTRION HEALTHCARE CO LTD    | 10,525.    | 10,525.    |
| KALBE FARMA TBK PT             | 6,912.     | 6,912.     |
| ASPEN PHARMACARE HOLDINGS LTD  | 11,574.    | 11,574.    |
| NOVO NORDISK A/S               | 281,402.   | 281,402.   |
| ORION OYJ                      | 10,300.    | 10,300.    |
| UNITEDHEALTH GROUP INC         | 841,085.   | 841,085.   |
| ELEVANCE HEALTH INC            | 2,104,472. | 2,104,472. |
| HCA HEALTHCARE INC             | 2,530,662. | 2,530,662. |
| UNITEDHEALTH GROUP INC         | 4,313,383. | 4,313,383. |
| RAMSAY HEALTH CARE LTD         | 18,662.    | 18,662.    |
| SONIC HEALTHCARE LTD           | 7,967.     | 7,967.     |
| MEDIBANK PVT LTD               | 17,785.    | 17,785.    |
| RYMAN HEALTHCARE LTD           | 4,487.     | 4,487.     |
| FRESENIUS SE & CO KGAA         | 19,565.    | 19,565.    |
| FRESENIUS MEDICAL CARE AG & CO | 22,353.    | 22,353.    |
| BIOMERIEUX                     | 11,221.    | 11,221.    |
| EUROFINS SCIENTIFIC SE         | 18,930.    | 18,930.    |
| LONZA GROUP AG                 | 79,407.    | 79,407.    |
| BANGKOK DUSIT MEDICAL SERVICES | 17,833.    | 17,833.    |
| GENSCRIPT BIOTECH CORP         | 8,850.     | 8,850.     |
| NOTRE DAME INTERMEDICA PARTICI | 7,584.     | 7,584.     |

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| PROCTER & GAMBLE CO/THE        | 1,941,204. | 1,941,204. |
| BEIERSDORF AG                  | 14,800.    | 14,800.    |
| L'OREAL SA                     | 161,687.   | 161,687.   |
| VINDA INTERNATIONAL HOLDINGS L | 4,874.     | 4,874.     |
| KOSE CORP                      | 11,333.    | 11,333.    |
| KAO CORP                       | 36,588.    | 36,588.    |
| LION CORP                      | 8,008.     | 8,008.     |
| SHISEIDO CO LTD                | 38,989.    | 38,989.    |
| UNICHARM CORP                  | 26,047.    | 26,047.    |
| POLA ORBIS HOLDINGS INC        | 4,994.     | 4,994.     |
| LG H&H CO LTD                  | 3,691.     | 3,691.     |
| AMOREPACIFIC CORP              | 10,677.    | 10,677.    |
| ESSITY AB                      | 32,203.    | 32,203.    |
| YUM CHINA HOLDINGS INC         | 60,406.    | 60,406.    |
| REECE LTD                      | 5,935.     | 5,935.     |
| WESFARMERS LTD                 | 85,237.    | 85,237.    |
| DOMINO'S PIZZA ENTERPRISES LTD | 9,010.     | 9,010.     |
| INDUSTRIA DE DISENO TEXTIL SA  | 55,804.    | 55,804.    |
| JUMBO SA                       | 8,526.     | 8,526.     |
| MONCLER SPA                    | 21,695.    | 21,695.    |
| SWATCH GROUP AG/THE            | 18,372.    | 18,372.    |
| CIE FINANCIERE RICHEMONT SA    | 113,179.   | 113,179.   |
| HOME PRODUCT CENTER PCL        | 5,209.     | 5,209.     |
| CP ALL PCL                     | 18,015.    | 18,015.    |
| ROBINSON PCL                   | 3,413.     | 3,413.     |
| LI NING CO LTD                 | 43,790.    | 43,790.    |
| GOME RETAIL HOLDINGS LTD       | 593.       | 593.       |
| ANTA SPORTS PRODUCTS LTD       | 29,989.    | 29,989.    |
| BOSIDENG INTERNATIONAL HOLDING | 8,817.     | 8,817.     |
| SUN ART RETAIL GROUP LTD       | 3,413.     | 3,413.     |
| SHANGHAI PHARMACEUTICALS HOLDI | 7,014.     | 7,014.     |
| CHOW TAI FOOK JEWELLERY GROUP  | 4,676.     | 4,676.     |
| ZHONGSHENG GROUP HOLDINGS LTD  | 7,799.     | 7,799.     |
| ALIBABA HEALTH INFORMATION TEC | 6,762.     | 6,762.     |
| USS CO LTD                     | 9,358.     | 9,358.     |
| PAN PACIFIC INTERNATIONAL HOLD | 11,025.    | 11,025.    |
| FAST RETAILING CO LTD          | 56,715.    | 56,715.    |
| MCDONALD'S HOLDINGS CO JAPAN L | 4,420.     | 4,420.     |
| NITORI HOLDINGS CO LTD         | 29,916.    | 29,916.    |
| RYOHIN KEIKAKU CO LTD          | 9,139.     | 9,139.     |
| HOTEL SHILLA CO LTD            | 3,740.     | 3,740.     |
| LOTTE SHOPPING CO LTD          | 4,181.     | 4,181.     |
| BGF RETAIL CO LTD              | 1,836.     | 1,836.     |
| JOLLIBEE FOODS CORP            | 7,214.     | 7,214.     |
| CLICKS GROUP LTD               | 14,867.    | 14,867.    |
| MR PRICE GROUP LTD             | 4,538.     | 4,538.     |
| LOJAS RENNER SA                | 9,557.     | 9,557.     |
| MAGAZINE LUIZA SA              | 7,129.     | 7,129.     |
| RAIA DROGASIL SA               | 15,269.    | 15,269.    |
| NATURA & CO HOLDING SA         | 4,109.     | 4,109.     |
| VIA S/A                        | 1,697.     | 1,697.     |
| AMERICANAS SA                  | 4,626.     | 4,626.     |
| GRUPO CARSO SAB DE CV          | 5,175.     | 5,175.     |
| WAL-MART DE MEXICO SAB DE CV   | 31,975.    | 31,975.    |
| PANDORA A/S                    | 23,937.    | 23,937.    |
| H & M HENNES & MAURITZ AB      | 27,537.    | 27,537.    |
| YAMAHA CORP                    | 14,771.    | 14,771.    |
| SHIMANO INC                    | 26,625.    | 26,625.    |

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| YAMAHA MOTOR CO LTD            | 14,375.    | 14,375.    |
| NINTENDO CO LTD                | 93,179.    | 93,179.    |
| BANDAI NAMCO HOLDINGS INC      | 31,241.    | 31,241.    |
| AUTOMATIC DATA PROCESSING INC  | 795,221.   | 795,221.   |
| FLEETCOR TECHNOLOGIES INC      | 755,460.   | 755,460.   |
| GLOBAL PAYMENTS INC            | 699,557.   | 699,557.   |
| PAYPAL HOLDINGS INC            | 1,678,362. | 1,678,362. |
| NEW ORIENTAL EDUCATION & TECHN | 12,390.    | 12,390.    |
| TAL EDUCATION GROUP            | 3,313.     | 3,313.     |
| TRANSURBAN GROUP               | 35,871.    | 35,871.    |
| BRAMBLES LTD                   | 21,083.    | 21,083.    |
| IDP EDUCATION LTD              | 5,089.     | 5,089.     |
| AFTERPAY LTD                   | 19,735.    | 19,735.    |
| AMADEUS IT GROUP SA            | 48,900.    | 48,900.    |
| BUREAU VERITAS SA              | 5,674.     | 5,674.     |
| EDENRED                        | 23,299.    | 23,299.    |
| ATLANTIA SPA                   | 16,575.    | 16,575.    |
| RANDSTAD NV                    | 12,085.    | 12,085.    |
| SGS SA                         | 43,474.    | 43,474.    |
| BANGKOK EXPRESSWAY & METRO PCL | 9,031.     | 9,031.     |
| CHINA MERCHANTS PORT HOLDINGS  | 10,928.    | 10,928.    |
| COSCO SHIPPING PORTS LTD       | 3,473.     | 3,473.     |
| SHENZHEN INTERNATIONAL HOLDING | 4,156.     | 4,156.     |
| DAI NIPPON PRINTING CO LTD     | 10,049.    | 10,049.    |
| SECOM CO LTD                   | 13,870.    | 13,870.    |
| TOPPAN INC                     | 9,361.     | 9,361.     |
| GMO PAYMENT GATEWAY INC        | 12,461.    | 12,461.    |
| NIHON M&A CENTER HOLDINGS INC  | 7,349.     | 7,349.     |
| RECRUIT HOLDINGS CO LTD        | 127,143.   | 127,143.   |
| INTERNATIONAL CONTAINER TERMIN | 23,964.    | 23,964.    |
| CCR SA                         | 6,450.     | 6,450.     |
| LOCALIZA RENT A CAR SA         | 13,987.    | 13,987.    |
| SECURITAS AB                   | 4,695.     | 4,695.     |
| ADIDAS AG                      | 83,790.    | 83,790.    |
| PUMA SE                        | 24,450.    | 24,450.    |
| LVMH MOET HENNESSY LOUIS VUITT | 325,737.   | 325,737.   |
| HERMES INTERNATIONAL           | 85,590.    | 85,590.    |
| KERING SA                      | 98,074.    | 98,074.    |
| SHENZHOU INTERNATIONAL GROUP H | 17,304.    | 17,304.    |
| DR HORTON INC                  | 1,477,957. | 1,477,957. |
| LENNAR CORP                    | 495,887.   | 495,887.   |
| SEKISUI CHEMICAL CO LTD        | 11,683.    | 11,683.    |
| SEKISUI HOUSE LTD              | 19,297.    | 19,297.    |
| OPEN HOUSE GROUP CO LTD        | 5,228.     | 5,228.     |
| KEURIG DR PEPPER INC           | 615,193.   | 615,193.   |
| MONSTER BEVERAGE CORP          | 864,360.   | 864,360.   |
| PEPSICO INC                    | 1,513,014. | 1,513,014. |
| HEINEKEN NV                    | 1,295,457. | 1,295,457. |
| TREASURY WINE ESTATES LTD      | 15,247.    | 15,247.    |
| ENDEAVOUR GROUP LTD/AUSTRALIA  | 3,901.     | 3,901.     |
| ANHEUSER-BUSCH INBEV SA/NV     | 52,242.    | 52,242.    |
| PERNOD RICARD SA               | 79,130.    | 79,130.    |
| REMY COINTREAU SA              | 16,792.    | 16,792.    |
| DAVIDE CAMPARI-MILANO NV       | 7,996.     | 7,996.     |
| HEINEKEN NV                    | 42,496.    | 42,496.    |
| HEINEKEN HOLDING NV            | 13,381.    | 13,381.    |
| CHINA RESOURCES BEER HOLDINGS  | 16,380.    | 16,380.    |
| UNI-PRESIDENT CHINA HOLDINGS L | 2,909.     | 2,909.     |

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| ASAHI GROUP HOLDINGS LTD       | 34,967.    | 34,967.    |
| KIRIN HOLDINGS CO LTD          | 24,059.    | 24,059.    |
| SUNTORY BEVERAGE & FOOD LTD    | 21,675.    | 21,675.    |
| COCA-COLA EUROPACIFIC PARTNERS | 25,728.    | 25,728.    |
| AMBEV SA                       | 8,859.     | 8,859.     |
| FOMENTO ECONOMICO MEXICANO SAB | 20,241.    | 20,241.    |
| COCA-COLA FEMSA SAB DE CV      | 14,191.    | 14,191.    |
| CARLSBERG AS                   | 20,724.    | 20,724.    |
| RLX TECHNOLOGY INC             | 6,552.     | 6,552.     |
| JAPAN TOBACCO INC              | 46,387.    | 46,387.    |
| KT&G CORP                      | 15,351.    | 15,351.    |
| CHAROEN POKPHAND INDONESIA TBK | 2,922.     | 2,922.     |
| IOI CORP BHD                   | 7,163.     | 7,163.     |
| SWEDISH MATCH AB               | 29,830.    | 29,830.    |
| NESTLE SA                      | 1,902,194. | 1,902,194. |
| WOOLWORTHS GROUP LTD           | 56,265.    | 56,265.    |
| ETABLISSEMENTS FRANZ COLRUYT N | 5,890.     | 5,890.     |
| HELLOFRESH SE                  | 25,500.    | 25,500.    |
| CARREFOUR SA                   | 13,553.    | 13,553.    |
| DANONE SA                      | 50,533.    | 50,533.    |
| KONINKLIJKE AHOLD DELHAIZE NV  | 55,482.    | 55,482.    |
| BARRY CALLEBAUT AG             | 14,593.    | 14,593.    |
| CHOCOLADEFABRIKEN LINDT & SPRU | 55,446.    | 55,446.    |
| NESTLE SA                      | 558,630.   | 558,630.   |
| KERRY GROUP PLC                | 34,000.    | 34,000.    |
| CHAROEN POKPHAND FOODS PCL     | 12,137.    | 12,137.    |
| TINGYI CAYMAN ISLANDS HOLDING  | 4,110.     | 4,110.     |
| CHINA MENGNIU DAIRY CO LTD     | 34,016.    | 34,016.    |
| WANT WANT CHINA HOLDINGS LTD   | 19,286.    | 19,286.    |
| YIHAI INTERNATIONAL HOLDING LT | 4,624.     | 4,624.     |
| AJINOMOTO CO INC               | 27,331.    | 27,331.    |
| AEON CO LTD                    | 21,172.    | 21,172.    |
| KIKKOMAN CORP                  | 25,192.    | 25,192.    |
| NISSHIN SEIFUN GROUP INC       | 11,518.    | 11,518.    |
| NISSIN FOODS HOLDINGS CO LTD   | 7,286.     | 7,286.     |
| TOYO SUISAN KAISHA LTD         | 8,467.     | 8,467.     |
| YAKULT HONSHA CO LTD           | 15,631.    | 15,631.    |
| SEVEN & I HOLDINGS CO LTD      | 61,468.    | 61,468.    |
| KOBE BUSSAN CO LTD             | 7,737.     | 7,737.     |
| MEIJI HOLDINGS CO LTD          | 23,829.    | 23,829.    |
| CJ CORP                        | 4,841.     | 4,841.     |
| ORION CORP/REPUBLIC OF KOREA   | 2,699.     | 2,699.     |
| INDOFOOD SUKSES MAKMUR TBK PT  | 9,408.     | 9,408.     |
| INDOFOOD CBP SUKSES MAKMUR TBK | 7,386.     | 7,386.     |
| WILMAR INTERNATIONAL LTD       | 48,827.    | 48,827.    |
| HAP SENG CONSOLIDATED BHD      | 6,099.     | 6,099.     |
| NESTLE MALAYSIA BHD            | 19,328.    | 19,328.    |
| PPB GROUP BHD                  | 11,904.    | 11,904.    |
| JG SUMMIT HOLDINGS INC         | 8,429.     | 8,429.     |
| UNIVERSAL ROBINA CORP          | 4,970.     | 4,970.     |
| SM INVESTMENTS CORP            | 15,349.    | 15,349.    |
| SHOPRITE HOLDINGS LTD          | 9,973.     | 9,973.     |
| WOOLWORTHS HOLDINGS LTD/SOUTH  | 5,495.     | 5,495.     |
| TIGER BRANDS LTD               | 2,393.     | 2,393.     |
| BID CORP LTD                   | 5,911.     | 5,911.     |
| BRF SA                         | 6,065.     | 6,065.     |
| JBS SA                         | 10,901.    | 10,901.    |
| GRUPO BIMBO SAB DE CV          | 8,000.     | 8,000.     |

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| GRUMA SAB DE CV                | 9,364.     | 9,364.     |
| KESKO OYJ                      | 25,725.    | 25,725.    |
| MOWI ASA                       | 23,974.    | 23,974.    |
| ORKLA ASA                      | 21,112.    | 21,112.    |
| SODEXO SA                      | 8,325.     | 8,325.     |
| H WORLD GROUP LTD              | 25,765.    | 25,765.    |
| MINOR INTERNATIONAL PCL        | 5,336.     | 5,336.     |
| GALAXY ENTERTAINMENT GROUP LTD | 31,092.    | 31,092.    |
| SANDS CHINA LTD                | 23,293.    | 23,293.    |
| KANGWON LAND INC               | 1,578.     | 1,578.     |
| CITY DEVELOPMENTS LTD          | 4,546.     | 4,546.     |
| MERCEDES-BENZ GROUP AG         | 3,748,625. | 3,748,625. |
| BAYERISCHE MOTOREN WERKE AG    | 2,650,213. | 2,650,213. |
| DAIMLER TRUCK HOLDING AG       | 1,031,140. | 1,031,140. |
| FORD OTOMOTIV SANAYI AS        | 10,847.    | 10,847.    |
| LI AUTO INC                    | 41,409.    | 41,409.    |
| NIO INC                        | 77,299.    | 77,299.    |
| TATA MOTORS LTD                | 41,845.    | 41,845.    |
| XPENG INC                      | 38,251.    | 38,251.    |
| VOLKSWAGEN AG                  | 14,693.    | 14,693.    |
| MERCEDES-BENZ GROUP AG         | 101,998.   | 101,998.   |
| BAYERISCHE MOTOREN WERKE AG    | 42,265.    | 42,265.    |
| RENAULT SA                     | 13,894.    | 13,894.    |
| STELLANTIS NV                  | 3,509.     | 3,509.     |
| FERRARI NV                     | 60,280.    | 60,280.    |
| STELLANTIS NV                  | 51,195.    | 51,195.    |
| BRILLIANCE CHINA AUTOMOTIVE HO | 3,745.     | 3,745.     |
| GEEELY AUTOMOBILE HOLDINGS LTD | 24,589.    | 24,589.    |
| BYD CO LTD                     | 51,294.    | 51,294.    |
| GREAT WALL MOTOR CO LTD        | 18,906.    | 18,906.    |
| DONGFENG MOTOR GROUP CO LTD    | 3,325.     | 3,325.     |
| SINOTRUK HONG KONG LTD         | 770.       | 770.       |
| GUANGZHOU AUTOMOBILE GROUP CO  | 11,836.    | 11,836.    |
| SUBARU CORP                    | 21,435.    | 21,435.    |
| HINO MOTORS LTD                | 4,116.     | 4,116.     |
| HONDA MOTOR CO LTD             | 78,538.    | 78,538.    |
| ISUZU MOTORS LTD               | 14,912.    | 14,912.    |
| NISSAN MOTOR CO LTD            | 15,445.    | 15,445.    |
| SUZUKI MOTOR CORP              | 23,077.    | 23,077.    |
| MAZDA MOTOR CORP               | 4,611.     | 4,611.     |
| HYUNDAI MOTOR CO               | 27,076.    | 27,076.    |
| KIA CORP                       | 23,925.    | 23,925.    |
| GT CAPITAL HOLDINGS INC        | 8,472.     | 8,472.     |
| MAHINDRA & MAHINDRA LTD        | 6,149.     | 6,149.     |
| VOLVO AB                       | 49,415.    | 49,415.    |
| CONTINENTAL AG                 | 20,118.    | 20,118.    |
| FAURECIA SE                    | 4,329.     | 4,329.     |
| CIE GENERALE DES ETABLISSEMENT | 33,933.    | 33,933.    |
| VALEO                          | 11,063.    | 11,063.    |
| FAURECIA SE                    | 2,102.     | 2,102.     |
| WEICHAI POWER CO LTD           | 3,915.     | 3,915.     |
| MINTH GROUP LTD                | 8,812.     | 8,812.     |
| AISIN CORP                     | 7,659.     | 7,659.     |
| BRIDGESTONE CORP               | 47,275.    | 47,275.    |
| KOITO MANUFACTURING CO LTD     | 15,866.    | 15,866.    |
| NGK INSULATORS LTD             | 10,129.    | 10,129.    |
| DENSO CORP                     | 66,199.    | 66,199.    |
| SUMITOMO ELECTRIC INDUSTRIES L | 22,137.    | 22,137.    |

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| TOYOTA INDUSTRIES CORP         | 15,961.  | 15,961.  |
| HYUNDAI MOBIS CO LTD           | 10,705.  | 10,705.  |
| APA GROUP                      | 21,920.  | 21,920.  |
| PETRONAS GAS BHD               | 4,321.   | 4,321.   |
| AUO CORP                       | 43,711.  | 43,711.  |
| DELTA ELECTRONICS THAILAND PCL | 6,167.   | 6,167.   |
| BYD ELECTRONIC INTERNATIONAL C | 5,493.   | 5,493.   |
| AAC TECHNOLOGIES HOLDINGS INC  | 7,901.   | 7,901.   |
| HOYA CORP                      | 89,149.  | 89,149.  |
| IBIDEN CO LTD                  | 11,880.  | 11,880.  |
| KYOCERA CORP                   | 37,447.  | 37,447.  |
| MURATA MANUFACTURING CO LTD    | 87,471.  | 87,471.  |
| NIDEC CORP                     | 93,926.  | 93,926.  |
| MINEBEA MITSUMI INC            | 22,682.  | 22,682.  |
| SHIMADZU CORP                  | 8,432.   | 8,432.   |
| TDK CORP                       | 23,395.  | 23,395.  |
| AZBIL CORP                     | 4,550.   | 4,550.   |
| YOKOGAWA ELECTRIC CORP         | 9,005.   | 9,005.   |
| SAMSUNG ELECTRO-MECHANICS CO L | 20,269.  | 20,269.  |
| LG DISPLAY CO LTD              | 19,990.  | 19,990.  |
| ILJIN MATERIALS CO LTD         | 4,202.   | 4,202.   |
| VENTURE CORP LTD               | 17,656.  | 17,656.  |
| HON HAI PRECISION INDUSTRY CO  | 9,270.   | 9,270.   |
| ASSA ABLOY AB                  | 36,791.  | 36,791.  |
| HENKEL AG & CO KGAA            | 1,016.   | 1,016.   |
| UNILEVER INDONESIA TBK PT      | 2,797.   | 2,797.   |
| KIMBERLY-CLARK DE MEXICO SAB D | 8,031.   | 8,031.   |
| RATIONAL AG                    | 6,144.   | 6,144.   |
| SEB SA                         | 11,521.  | 11,521.  |
| HAIER SMART HOME CO LTD        | 10,989.  | 10,989.  |
| PANASONIC HOLDINGS CORP        | 49,433.  | 49,433.  |
| SHARP CORP/JAPAN               | 3,441.   | 3,441.   |
| SONY GROUP CORP                | 188,550. | 188,550. |
| HOSHIZAKI CORP                 | 15,023.  | 15,023.  |
| COWAY CO LTD                   | 9,138.   | 9,138.   |
| LG ELECTRONICS INC             | 18,690.  | 18,690.  |
| ELECTROLUX AB                  | 9,698.   | 9,698.   |
| SIEMENS GAMESA RENEWABLE ENER  | 11,094.  | 11,094.  |
| ENERGY ABSOLUTE PCL            | 4,023.   | 4,023.   |
| CHINA EVERBRIGHT ENVIRONMENT G | 8,029.   | 8,029.   |
| XINYI SOLAR HOLDINGS LTD       | 10,174.  | 10,174.  |
| FLAT GLASS GROUP CO LTD        | 5,079.   | 5,079.   |
| VESTAS WIND SYSTEMS A/S        | 49,234.  | 49,234.  |
| AMPOL LTD                      | 6,405.   | 6,405.   |
| S-OIL CORP                     | 7,281.   | 7,281.   |
| HD HYUNDAI CO LTD              | 6,098.   | 6,098.   |
| ULTRAPAR PARTICIPACOES SA      | 2,349.   | 2,349.   |
| VIBRA ENERGIA SA               | 4,226.   | 4,226.   |
| NESTE OYJ                      | 27,810.  | 27,810.  |
| HALLIBURTON CO                 | 694,516. | 694,516. |
| VIVENDI SE                     | 10,979.  | 10,979.  |
| WOLTERS KLUWER NV              | 39,821.  | 39,821.  |
| GRUPO TELEVISA SAB             | 9,230.   | 9,230.   |
| ARISTOCRAT LEISURE LTD         | 24,550.  | 24,550.  |
| OPAP SA                        | 15,358.  | 15,358.  |
| UNIVERSAL MUSIC GROUP NV       | 19,106.  | 19,106.  |
| FLUTTER ENTERTAINMENT PLC      | 15,333.  | 15,333.  |
| ALIBABA PICTURES GROUP LTD     | 911.     | 911.     |

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| ORIENTAL LAND CO LTD/JAPAN     | 67,370.    | 67,370.    |
| HYBE CO LTD                    | 6,165.     | 6,165.     |
| GENTING SINGAPORE LTD          | 8,910.     | 8,910.     |
| MULTICHOICE GROUP              | 5,956.     | 5,956.     |
| PUBLICIS GROUPE SA             | 23,294.    | 23,294.    |
| DENTSU GROUP INC               | 14,242.    | 14,242.    |
| CHINDATA GROUP HOLDINGS LTD    | 7,776.     | 7,776.     |
| CHUNGHWA TELECOM CO LTD        | 247,984.   | 247,984.   |
| GDS HOLDINGS LTD               | 15,563.    | 15,563.    |
| MOBILE TELESYSTEMS PJSC        | 11,361.    | 11,361.    |
| TELKOM INDONESIA PERSERO TBK P | 46,007.    | 46,007.    |
| TELSTRA CORP LTD               | 18,666.    | 18,666.    |
| SPARK NEW ZEALAND LTD          | 9,033.     | 9,033.     |
| PROXIMUS SADP                  | 4,892.     | 4,892.     |
| DEUTSCHE TELEKOM AG            | 68,918.    | 68,918.    |
| TELEFONICA DEUTSCHLAND HOLDING | 11,467.    | 11,467.    |
| TELEFONICA SA                  | 33,055.    | 33,055.    |
| ORANGE SA                      | 27,757.    | 27,757.    |
| HELLENIC TELECOMMUNICATIONS OR | 9,058.     | 9,058.     |
| TELECOM ITALIA SPA/MILANO      | 10,272.    | 10,272.    |
| KONINKLIJKE KPN NV             | 9,618.     | 9,618.     |
| SWISSCOM AG                    | 29,933.    | 29,933.    |
| ADVANCED INFO SERVICE PCL      | 22,033.    | 22,033.    |
| INTOUCH HOLDINGS PCL           | 17,777.    | 17,777.    |
| ZTE CORP                       | 12,597.    | 12,597.    |
| HKT TRUST & HKT LTD            | 18,819.    | 18,819.    |
| KDDI CORP                      | 75,908.    | 75,908.    |
| NIPPON TELEGRAPH & TELEPHONE C | 60,180.    | 60,180.    |
| SOFTBANK GROUP CORP            | 89,658.    | 89,658.    |
| SOFTBANK CORP                  | 50,523.    | 50,523.    |
| SAMSUNG SDI CO LTD             | 48,488.    | 48,488.    |
| TOWER BERSAMA INFRASTRUCTURE T | 8,466.     | 8,466.     |
| SINGAPORE TELECOMMUNICATIONS L | 32,180.    | 32,180.    |
| AXIATA GROUP BHD               | 5,892.     | 5,892.     |
| MAXIS BHD                      | 7,567.     | 7,567.     |
| GLOBE TELECOM INC              | 7,492.     | 7,492.     |
| PLDT INC                       | 9,772.     | 9,772.     |
| NICE LTD                       | 36,693.    | 36,693.    |
| MTN GROUP LTD                  | 23,852.    | 23,852.    |
| TIM SA/BRAZIL                  | 4,722.     | 4,722.     |
| AMERICA MOVIL SAB DE CV        | 52,039.    | 52,039.    |
| GN STORE NORD AS               | 7,798.     | 7,798.     |
| ELISA OYJ                      | 21,849.    | 21,849.    |
| NOKIA OYJ                      | 55,122.    | 55,122.    |
| TELEFONAKTIEBOLAGET LM ERICSSO | 54,613.    | 54,613.    |
| TELIA CO AB                    | 13,214.    | 13,214.    |
| TELE2 AB                       | 22,515.    | 22,515.    |
| ALPHABET INC                   | 3,592,330. | 3,592,330. |
| AMAZON.COM INC                 | 2,727,490. | 2,727,490. |
| META PLATFORMS INC             | 2,623,530. | 2,623,530. |
| NETFLIX INC                    | 1,566,344. | 1,566,344. |
| ALPHABET INC                   | 5,642,501. | 5,642,501. |
| BOOKING HOLDINGS INC           | 455,854.   | 455,854.   |
| META PLATFORMS INC             | 4,152,241. | 4,152,241. |
| AUTOHOME INC                   | 6,987.     | 6,987.     |
| BAIDU INC                      | 74,395.    | 74,395.    |
| DADA NEXUS LTD                 | 4,606.     | 4,606.     |
| 51JOB INC                      | 14,679.    | 14,679.    |

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| HELLO GROUP INC                | 10,327.  | 10,327.  |
| IQIYI INC                      | 3,192.   | 3,192.   |
| JOYY INC                       | 8,177.   | 8,177.   |
| JD.COM INC                     | 86,677.  | 86,677.  |
| PINDUODUO INC                  | 46,057.  | 46,057.  |
| SEA LTD                        | 64,876.  | 64,876.  |
| TENCENT MUSIC ENTERTAINMENT GR | 11,645.  | 11,645.  |
| TRIP.COM GROUP LTD             | 25,900.  | 25,900.  |
| VNET GROUP INC                 | 3,973.   | 3,973.   |
| VIPSHOP HOLDINGS LTD           | 12,012.  | 12,012.  |
| WEIBO CORP                     | 10,533.  | 10,533.  |
| SEEK LTD                       | 8,818.   | 8,818.   |
| UNITED INTERNET AG             | 7,271.   | 7,271.   |
| DASSAULT SYST SHS              | 52,943.  | 52,943.  |
| PROSUS NV                      | 137,385. | 137,385. |
| TONGCHENG TRAVEL HOLDINGS LTD  | 2,963.   | 2,963.   |
| ALIBABA GROUP HOLDING LTD      | 330,455. | 330,455. |
| CHINA RUYI HOLDINGS LTD        | 1,514.   | 1,514.   |
| TENCENT HOLDINGS LTD           | 457,017. | 457,017. |
| Z HOLDINGS CORP                | 24,342.  | 24,342.  |
| TREND MICRO INC/JAPAN          | 22,196.  | 22,196.  |
| CYBERAGENT INC                 | 13,297.  | 13,297.  |
| RAKUTEN GROUP INC              | 14,030.  | 14,030.  |
| M3 INC                         | 5,031.   | 5,031.   |
| MONOTARO CO LTD                | 7,201.   | 7,201.   |
| ZOZO INC                       | 6,235.   | 6,235.   |
| MERCARI INC                    | 10,178.  | 10,178.  |
| KAKAO CORP                     | 35,205.  | 35,205.  |
| NCSOFT CORP                    | 13,523.  | 13,523.  |
| NAVER CORP                     | 49,352.  | 49,352.  |
| NASPERS LTD                    | 52,197.  | 52,197.  |
| FIVERR INTERNATIONAL LTD       | 4,548.   | 4,548.   |
| WIX.COM LTD                    | 15,306.  | 15,306.  |
| ADEVINTA ASA                   | 4,110.   | 4,110.   |
| UNITED PARCEL SERVICE INC      | 707,322. | 707,322. |
| DIDI GLOBAL INC                | 7,868.   | 7,868.   |
| ZTO EXPRESS CAYMAN INC         | 38,379.  | 38,379.  |
| DEUTSCHE POST AG               | 89,952.  | 89,952.  |
| INPOST SA                      | 7,014.   | 7,014.   |
| KUEHNE + NAGEL INTERNATIONAL A | 31,988.  | 31,988.  |
| BTS GROUP HOLDING              | 80.      | 80.      |
| BTS GROUP HOLDING              | 125.     | 125.     |
| COSCO SHIPPING HOLDINGS CO LTD | 14,545.  | 14,545.  |
| SITC INTERNATIONAL HOLDINGS CO | 18,086.  | 18,086.  |
| CENTRAL JAPAN RAILWAY CO       | 26,651.  | 26,651.  |
| EAST JAPAN RAILWAY CO          | 30,711.  | 30,711.  |
| HANKYU HANSHIN HOLDINGS INC    | 11,341.  | 11,341.  |
| KEIO CORP                      | 8,806.   | 8,806.   |
| KEISEI ELECTRIC RAILWAY CO LTD | 8,102.   | 8,102.   |
| KINTETSU GROUP HOLDINGS CO LTD | 8,376.   | 8,376.   |
| NIPPON EXPRESS CO LTD          | 6,001.   | 6,001.   |
| NIPPON YUSEN KK                | 30,429.  | 30,429.  |
| ODAKYU ELECTRIC RAILWAY CO LTD | 11,129.  | 11,129.  |
| TOKYU CORP                     | 11,942.  | 11,942.  |
| WEST JAPAN RAILWAY CO          | 12,531.  | 12,531.  |
| YAMATO HOLDINGS CO LTD         | 16,431.  | 16,431.  |
| SG HOLDINGS CO LTD             | 14,032.  | 14,032.  |
| HMM CO LTD                     | 9,165.   | 9,165.   |

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| HYUNDAI GLOVIS CO LTD          | 2,685.     | 2,685.     |
| MISC BHD                       | 9,307.     | 9,307.     |
| RUMO SA                        | 8,609.     | 8,609.     |
| AP MOLLER - MAERSK A/S         | 28,684.    | 28,684.    |
| AP MOLLER - MAERSK A/S         | 23,311.    | 23,311.    |
| DSV A/S                        | 67,030.    | 67,030.    |
| DEUTSCHE LUFTHANSA AG          | 1,223.     | 1,223.     |
| JAPAN AIRLINES CO LTD          | 1,907.     | 1,907.     |
| SINGAPORE AIRLINES LTD         | 14,435.    | 14,435.    |
| APPLE INC                      | 3,824,858. | 3,824,858. |
| WESTERN DIGITAL CORP           | 485,554.   | 485,554.   |
| SEAGATE TECHNOLOGY HOLDINGS PL | 384,471.   | 384,471.   |
| INFOSYS LTD                    | 215,920.   | 215,920.   |
| WIPRO LTD                      | 103,534.   | 103,534.   |
| COMPUTERSHARE LTD              | 34,026.    | 34,026.    |
| BECHTLE AG                     | 15,246.    | 15,246.    |
| CAPGEMINI SE                   | 62,492.    | 62,492.    |
| TELEPERFORMANCE                | 51,265.    | 51,265.    |
| LOGITECH INTERNATIONAL SA      | 22,276.    | 22,276.    |
| LENOVO GROUP LTD               | 16,090.    | 16,090.    |
| CHINASOFT INTERNATIONAL LTD    | 2,606.     | 2,606.     |
| NTT DATA CORP                  | 29,980.    | 29,980.    |
| OBIC CO LTD                    | 18,757.    | 18,757.    |
| FUJITSU LTD                    | 17,133.    | 17,133.    |
| NOMURA RESEARCH INSTITUTE LTD  | 17,142.    | 17,142.    |
| LG CORP                        | 13,543.    | 13,543.    |
| SAMSUNG SDS CO LTD             | 5,134.     | 5,134.     |
| CHECK POINT SOFTWARE TECHNOLOG | 29,257.    | 29,257.    |
| CYBERARK SOFTWARE LTD          | 12,130.    | 12,130.    |
| ADOBE INC                      | 1,587,768. | 1,587,768. |
| FISERV INC                     | 570,845.   | 570,845.   |
| INTUIT INC                     | 1,366,843. | 1,366,843. |
| MICROSOFT CORP                 | 4,262,856. | 4,262,856. |
| MICROSOFT CORP                 | 4,600,858. | 4,600,858. |
| AGORA INC                      | 5,187.     | 5,187.     |
| BILIBILI INC                   | 15,776.    | 15,776.    |
| KINGSOFT CLOUD HOLDINGS LTD    | 4,725.     | 4,725.     |
| NETEASE INC                    | 63,613.    | 63,613.    |
| XERO LTD                       | 16,556.    | 16,556.    |
| WISETECH GLOBAL LTD            | 18,697.    | 18,697.    |
| SAP SE                         | 190,897.   | 190,897.   |
| NEMETSCHEK SE                  | 7,440.     | 7,440.     |
| UBISOFT ENTERTAINMENT SA       | 6,857.     | 6,857.     |
| TEMENOS AG                     | 15,771.    | 15,771.    |
| KINGDEE INTERNATIONAL SOFTWARE | 15,392.    | 15,392.    |
| KINGSOFT CORP LTD              | 8,786.     | 8,786.     |
| CHINA YOUZAN LTD               | 1,385.     | 1,385.     |
| NEXON CO LTD                   | 15,450.    | 15,450.    |
| DOUZONE BIZON CO LTD           | 2,518.     | 2,518.     |
| PEARL ABYSS CORP               | 5,235.     | 5,235.     |
| CANON INC                      | 46,215.    | 46,215.    |
| FUJIFILM HOLDINGS CORP         | 59,231.    | 59,231.    |
| SEIKO EPSON CORP               | 16,186.    | 16,186.    |
| RICOH CO LTD                   | 14,881.    | 14,881.    |
| NVIDIA CORP                    | 1,308,790. | 1,308,790. |
| MICRON TECHNOLOGY INC          | 4,843,800. | 4,843,800. |
| TAIWAN SEMICONDUCTOR MANUFACTU | 6,802,327. | 6,802,327. |
| ASE TECHNOLOGY HOLDING CO LTD  | 91,728.    | 91,728.    |

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| TAIWAN SEMICONDUCTOR MANUFACTU | 850,953. | 850,953. |
| UNITED MICROELECTRONICS CORP   | 100,269. | 100,269. |
| INFINEON TECHNOLOGIES AG       | 81,904.  | 81,904.  |
| STMICROELECTRONICS NV          | 51,145.  | 51,145.  |
| ASM INTERNATIONAL NV           | 33,594.  | 33,594.  |
| ASML HOLDING NV                | 475,766. | 475,766. |
| HAMAMATSU PHOTONICS KK         | 31,870.  | 31,870.  |
| LASERTEC CORP                  | 61,291.  | 61,291.  |
| RENESAS ELECTRONICS CORP       | 25,950.  | 25,950.  |
| ROHM CO LTD                    | 18,184.  | 18,184.  |
| ADVANTEST CORP                 | 28,397.  | 28,397.  |
| TOKYO ELECTRON LTD             | 115,114. | 115,114. |
| SUMCO CORP                     | 6,120.   | 6,120.   |
| SK HYNIX INC                   | 89,372.  | 89,372.  |
| SAMSUNG ELECTRONICS CO LTD     | 434,660. | 434,660. |
| INARI AMERTRON BHD             | 3,937.   | 3,937.   |
| FORTESCUE METALS GROUP LTD     | 38,562.  | 38,562.  |
| BLUESCOPE STEEL LTD            | 21,790.  | 21,790.  |
| VOESTALPINE AG                 | 17,431.  | 17,431.  |
| HITACHI METALS LTD             | 24,057.  | 24,057.  |
| HYUNDAI STEEL CO               | 10,692.  | 10,692.  |
| KUMBA IRON ORE LTD             | 5,189.   | 5,189.   |
| CIA SIDERURGICA NACIONAL SA    | 8,973.   | 8,973.   |
| SUMITOMO METAL MINING CO LTD   | 627,068. | 627,068. |
| CIA DE MINAS BUENAVENTURA SAA  | 5,344.   | 5,344.   |
| RIO TINTO LTD                  | 37,120.  | 37,120.  |
| NEWCREST MINING LTD            | 24,650.  | 24,650.  |
| NORTHERN STAR RESOURCES LTD    | 10,830.  | 10,830.  |
| EVOLUTION MINING LTD           | 12,300.  | 12,300.  |
| JIANGXI COPPER CO LTD          | 12,806.  | 12,806.  |
| MMG LTD                        | 1,283.   | 1,283.   |
| CMOC GROUP LTD                 | 1,582.   | 1,582.   |
| CHINA HONGQIAO GROUP LTD       | 7,389.   | 7,389.   |
| SUMITOMO METAL MINING CO LTD   | 15,110.  | 15,110.  |
| KOREA ZINC CO LTD              | 9,027.   | 9,027.   |
| MERDEKA COPPER GOLD TBK PT     | 17,004.  | 17,004.  |
| PRESS METAL ALUMINIUM HOLDINGS | 15,539.  | 15,539.  |
| GOLD FIELDS LTD                | 19,400.  | 19,400.  |
| HARMONY GOLD MINING CO LTD     | 3,789.   | 3,789.   |
| ANGLOGOLD ASHANTI LTD          | 18,412.  | 18,412.  |
| ANGLO AMERICAN PLATINUM LTD    | 11,952.  | 11,952.  |
| IMPALA PLATINUM HOLDINGS LTD   | 24,643.  | 24,643.  |
| SIBANYE STILLWATER LTD         | 12,060.  | 12,060.  |
| NORTHAM PLATINUM HOLDINGS LTD  | 6,485.   | 6,485.   |
| INDUSTRIAS PENOLES SAB DE CV   | 1,151.   | 1,151.   |
| NORSK HYDRO ASA                | 17,856.  | 17,856.  |
| BOLIDEN AB                     | 26,751.  | 26,751.  |
| SMURFIT KAPPA GROUP PLC        | 28,039.  | 28,039.  |
| NINE DRAGONS PAPER HOLDINGS LT | 4,294.   | 4,294.   |
| OJI HOLDINGS CORP              | 7,739.   | 7,739.   |
| INDAH KIAT PULP & PAPER TBK PT | 2,471.   | 2,471.   |
| SUZANO SA                      | 10,792.  | 10,792.  |
| UPM-KYMMENE OYJ                | 22,602.  | 22,602.  |
| STORA ENSO OYJ                 | 6,699.   | 6,699.   |
| SVENSKA CELLULOSA AB SCA       | 19,069.  | 19,069.  |
| KOREA SHIPBUILDING & OFFSHORE  | 7,003.   | 7,003.   |
| JAMES HARDIE INDUSTRIES PLC    | 39,522.  | 39,522.  |
| HEIDELBERGCEMENT AG            | 19,832.  | 19,832.  |

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| CIE DE SAINT-GOBAIN            | 62,197.  | 62,197.  |
| HOLCIM AG                      | 28,381.  | 28,381.  |
| GEBERIT AG                     | 65,429.  | 65,429.  |
| SIKA AG                        | 93,052.  | 93,052.  |
| CRH PLC                        | 68,773.  | 68,773.  |
| KINGSPAN GROUP PLC             | 45,971.  | 45,971.  |
| ANHUI CONCH CEMENT CO LTD      | 12,490.  | 12,490.  |
| XINYI GLASS HOLDINGS LTD       | 5,002.   | 5,002.   |
| CHINA NATIONAL BUILDING MATERI | 7,357.   | 7,357.   |
| CHINA RESOURCES CEMENT HOLDING | 1,511.   | 1,511.   |
| CHINA LESSO GROUP HOLDINGS LTD | 1,437.   | 1,437.   |
| AGC INC                        | 14,302.  | 14,302.  |
| DAIKIN INDUSTRIES LTD          | 45,313.  | 45,313.  |
| TOTO LTD                       | 18,375.  | 18,375.  |
| LIXIL CORP                     | 7,985.   | 7,985.   |
| CEMEX SAB DE CV                | 21,055.  | 21,055.  |
| ROCKWOOL A/S                   | 2,624.   | 2,624.   |
| NIBE INDUSTRIER AB             | 21,251.  | 21,251.  |
| LENDLEASE CORP LTD             | 6,816.   | 6,816.   |
| SYDNEY AIRPORT                 | 8,658.   | 8,658.   |
| AUCKLAND INTERNATIONAL AIRPORT | 11,171.  | 11,171.  |
| FERROVIAL SA                   | 23,757.  | 23,757.  |
| EIFFAGE SA                     | 13,065.  | 13,065.  |
| AEROPORTS DE PARIS             | 3,350.   | 3,350.   |
| VINCI SA                       | 57,266.  | 57,266.  |
| AIRPORTS OF THAILAND PCL       | 17,530.  | 17,530.  |
| BEIJING CAPITAL INTERNATIONAL  | 1,224.   | 1,224.   |
| KAJIMA CORP                    | 17,207.  | 17,207.  |
| OBAYASHI CORP                  | 1,546.   | 1,546.   |
| SHIMIZU CORP                   | 2,477.   | 2,477.   |
| TAISEI CORP                    | 15,175.  | 15,175.  |
| HYUNDAI ENGINEERING & CONSTRUC | 7,441.   | 7,441.   |
| SAMSUNG C&T CORP               | 12,313.  | 12,313.  |
| SARANA MENARA NUSANTARA TBK PT | 6,441.   | 6,441.   |
| GRUPO AEROPORTUARIO DEL SUREST | 13,324.  | 13,324.  |
| GRUPO AEROPORTUARIO DEL PACIFI | 17,924.  | 17,924.  |
| LARSEN & TOUBRO LTD            | 8,161.   | 8,161.   |
| SKANSKA AB                     | 10,787.  | 10,787.  |
| SCHNEIDER ELECTRIC SE          | 153,563. | 153,563. |
| LEGRAND SA                     | 56,403.  | 56,403.  |
| PRYSMIAN SPA                   | 22,516.  | 22,516.  |
| ABB LTD                        | 79,824.  | 79,824.  |
| XINJIANG GOLDWIND SCIENCE & TE | 2,733.   | 2,733.   |
| BROTHER INDUSTRIES LTD         | 21,120.  | 21,120.  |
| L&F CO LTD                     | 8,419.   | 8,419.   |
| ECOPRO BM CO LTD               | 11,375.  | 11,375.  |
| CHINA CONCH VENTURE HOLDINGS L | 21,991.  | 21,991.  |
| SCHINDLER HOLDING AG           | 21,555.  | 21,555.  |
| SCHINDLER HOLDING AG           | 10,461.  | 10,461.  |
| TECHTRONIC INDUSTRIES CO LTD   | 29,860.  | 29,860.  |
| FUJI ELECTRIC CO LTD           | 5,454.   | 5,454.   |
| MAKITA CORP                    | 21,202.  | 21,202.  |
| SIEMENS ENERGY AG              | 14,578.  | 14,578.  |
| SANY HEAVY EQUIPMENT INTERNATI | 1,934.   | 1,934.   |
| HITACHI LTD                    | 97,382.  | 97,382.  |
| HITACHI CONSTRUCTION MACHINERY | 2,887.   | 2,887.   |
| KOMATSU LTD                    | 37,424.  | 37,424.  |
| mitsubishi electric corp       | 34,197.  | 34,197.  |

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| MITSUBISHI HEAVY INDUSTRIES LT | 13,854.    | 13,854.    |
| DOOSAN ENERBILITY CO LTD       | 4,067.     | 4,067.     |
| DOOSAN HEAVY INDUSTRIES & CONS | 111.       | 111.       |
| SANDVIK AB                     | 49,383.    | 49,383.    |
| EPIROC AB                      | 36,986.    | 36,986.    |
| GEA GROUP AG                   | 20,016.    | 20,016.    |
| KION GROUP AG                  | 19,420.    | 19,420.    |
| CNH INDUSTRIAL NV              | 34,650.    | 34,650.    |
| HAITIAN INTERNATIONAL HOLDINGS | 5,554.     | 5,554.     |
| DAIFUKU CO LTD                 | 16,326.    | 16,326.    |
| FANUC CORP                     | 63,514.    | 63,514.    |
| KEYENCE CORP                   | 188,303.   | 188,303.   |
| KUBOTA CORP                    | 33,262.    | 33,262.    |
| OMRON CORP                     | 19,904.    | 19,904.    |
| SMC CORP                       | 67,379.    | 67,379.    |
| YASKAWA ELECTRIC CORP          | 14,693.    | 14,693.    |
| WEG SA                         | 14,210.    | 14,210.    |
| KORNIT DIGITAL LTD             | 12,180.    | 12,180.    |
| WARTSILA OYJ ABP               | 18,483.    | 18,483.    |
| KONE OYJ                       | 48,892.    | 48,892.    |
| HUSQVARNA AB                   | 17,887.    | 17,887.    |
| ATLAS COPCO AB                 | 99,602.    | 99,602.    |
| HEXAGON AB                     | 29,416.    | 29,416.    |
| TENARIS SA                     | 20,120.    | 20,120.    |
| SCG PACKAGING PCL              | 6,426.     | 6,426.     |
| LEE & MAN PAPER MANUFACTURING  | 4,171.     | 4,171.     |
| KLABIN SA                      | 8,753.     | 8,753.     |
| ORICA LTD                      | 13,596.    | 13,596.    |
| SIEMENS AG                     | 196,199.   | 196,199.   |
| KNORR-BREMSE AG                | 12,847.    | 12,847.    |
| ALSTOM SA                      | 15,089.    | 15,089.    |
| ZHUZHOU CRRC TIMES ELECTRIC CO | 9,845.     | 9,845.     |
| SUNNY OPTICAL TECHNOLOGY GROUP | 41,120.    | 41,120.    |
| JSR CORP                       | 7,598.     | 7,598.     |
| TOSHIBA CORP                   | 20,538.    | 20,538.    |
| SKC CO LTD                     | 5,578.     | 5,578.     |
| POSCO CHEMICAL CO LTD          | 2,665.     | 2,665.     |
| TOP GLOVE CORP BHD             | 5,844.     | 5,844.     |
| HARTALEGA HOLDINGS BHD         | 4,676.     | 4,676.     |
| ALFA LAVAL AB                  | 15,093.    | 15,093.    |
| NORTHROP GRUMMAN CORP          | 1,700,786. | 1,700,786. |
| MTU AERO ENGINES AG            | 18,157.    | 18,157.    |
| AIRBUS SE                      | 98,771.    | 98,771.    |
| THALES SA                      | 7,230.     | 7,230.     |
| SAFRAN SA                      | 56,563.    | 56,563.    |
| SINGAPORE TECHNOLOGIES ENGINEE | 16,734.    | 16,734.    |
| AUSNET SERVICES LTD            | 15,292.    | 15,292.    |
| MERCURY NZ LTD                 | 6,729.     | 6,729.     |
| MERIDIAN ENERGY LTD            | 8,056.     | 8,056.     |
| VERBUND AG                     | 11,697.    | 11,697.    |
| ELIA GROUP SA/NV               | 5,921.     | 5,921.     |
| E.ON SE                        | 54,488.    | 54,488.    |
| ENDESA SA                      | 5,192.     | 5,192.     |
| IBERDROLA SA                   | 79,068.    | 79,068.    |
| RED ELECTRICA CORP SA          | 23,604.    | 23,604.    |
| TERNA - RETE ELETTRICA NAZIONA | 9,975.     | 9,975.     |
| EDP - ENERGIAS DE PORTUGAL SA  | 12,072.    | 12,072.    |
| RATCH GROUP PCL                | 4,311.     | 4,311.     |

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| GULF ENERGY DEVELOPMENT PCL    | 14,380.  | 14,380.  |
| POWER ASSETS HOLDINGS LTD      | 24,935.  | 24,935.  |
| CHINA LONGYUAN POWER GROUP COR | 14,007.  | 14,007.  |
| HK ELECTRIC INVESTMENTS & HK E | 9,812.   | 9,812.   |
| KANSAI ELECTRIC POWER CO INC/T | 18,653.  | 18,653.  |
| TOKYO ELECTRIC POWER CO HOLDIN | 9,285.   | 9,285.   |
| MANILA ELECTRIC CO             | 232.     | 232.     |
| ACEN CORP                      | 7,593.   | 7,593.   |
| CENTRAIS ELETRICAS BRASILEIRAS | 2,399.   | 2,399.   |
| EQUATORIAL ENERGIA SA          | 6,495.   | 6,495.   |
| ENERGISA SA                    | 3,186.   | 3,186.   |
| INTERCONEXION ELECTRICA SA ESP | 1,860.   | 1,860.   |
| FORTUM OYJ                     | 39,226.  | 39,226.  |
| ENAGAS SA                      | 23,640.  | 23,640.  |
| SNAM SPA                       | 28,400.  | 28,400.  |
| ENN ENERGY HOLDINGS LTD        | 30,127.  | 30,127.  |
| CHINA RESOURCES GAS GROUP LTD  | 11,300.  | 11,300.  |
| VEOLIA ENVIRONNEMENT SA        | 27,918.  | 27,918.  |
| SUEZ SA                        | 15,454.  | 15,454.  |
| GUANGDONG INVESTMENT LTD       | 15,253.  | 15,253.  |
| BEIJING ENTERPRISES WATER GROU | 13,991.  | 13,991.  |
| METRO PACIFIC INVESTMENTS CORP | 3,901.   | 3,901.   |
| CIA DE SANEAMENTO BASICO DO ES | 6,492.   | 6,492.   |
| LINDE PLC                      | 788,128. | 788,128. |
| DAQO NEW ENERGY CORP           | 6,854.   | 6,854.   |
| SOLVAY SA                      | 16,736.  | 16,736.  |
| UMICORE SA                     | 20,043.  | 20,043.  |
| LANXESS AG                     | 9,111.   | 9,111.   |
| SYMRISE AG                     | 57,196.  | 57,196.  |
| BRENNTAG SE                    | 25,702.  | 25,702.  |
| EVONIK INDUSTRIES AG           | 24,800.  | 24,800.  |
| FUCHS PETROLUB SE PRF NPV (REG | 9,760.   | 9,760.   |
| ARKEMA SA                      | 19,014.  | 19,014.  |
| AIR LIQUIDE SA                 | 115,772. | 115,772. |
| KONINKLIJKE DSM NV             | 55,841.  | 55,841.  |
| AKZO NOBEL NV                  | 9,218.   | 9,218.   |
| GIVAUDAN SA                    | 68,371.  | 68,371.  |
| CLARIANT AG                    | 17,662.  | 17,662.  |
| EMS-CHEMIE HOLDING AG          | 25,773.  | 25,773.  |
| INDORAMA VENTURES PCL          | 7,509.   | 7,509.   |
| PTT GLOBAL CHEMICAL PCL        | 14,070.  | 14,070.  |
| KINGBOARD HOLDINGS LTD         | 4,868.   | 4,868.   |
| HUABAO INTERNATIONAL HOLDINGS  | 1,847.   | 1,847.   |
| KINGBOARD LAMINATES HOLDINGS L | 3,402.   | 3,402.   |
| DONGYUE GROUP LTD              | 4,679.   | 4,679.   |
| ASAHI KASEI CORP               | 21,591.  | 21,591.  |
| KANSAI PAINT CO LTD            | 10,855.  | 10,855.  |
| MITSUI CHEMICALS INC           | 16,100.  | 16,100.  |
| NIPPON PAINT HOLDINGS CO LTD   | 16,335.  | 16,335.  |
| NIPPON SANSEI HOLDINGS CORP    | 6,547.   | 6,547.   |
| NISSAN CHEMICAL CORP           | 23,204.  | 23,204.  |
| NITTO DENKO CORP               | 15,440.  | 15,440.  |
| SHIN-ETSU CHEMICAL CO LTD      | 103,791. | 103,791. |
| SUMITOMO CHEMICAL CO LTD       | 16,473.  | 16,473.  |
| TORAY INDUSTRIES INC           | 13,618.  | 13,618.  |
| TOSOH CORP                     | 19,259.  | 19,259.  |
| MITSUBISHI CHEMICAL GROUP CORP | 15,537.  | 15,537.  |
| LG CHEM LTD                    | 44,492.  | 44,492.  |

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| HANWHA SOLUTIONS CORP            | 8,272.  | 8,272.  |
| LOTTE CHEMICAL CORP              | 7,849.  | 7,849.  |
| KUMHO PETROCHEMICAL CO LTD       | 4,050.  | 4,050.  |
| BARITO PACIFIC TBK PT            | 2,544.  | 2,544.  |
| PETRONAS CHEMICALS GROUP BHD     | 24,623. | 24,623. |
| ICL GROUP LTD                    | 23,970. | 23,970. |
| ORBIA ADVANCE CORP SAB DE CV     | 8,939.  | 8,939.  |
| CHR HANSEN HOLDING A/S           | 25,217. | 25,217. |
| NOVOZYMES A/S                    | 24,395. | 24,395. |
| YARA INTERNATIONAL ASA           | 12,263. | 12,263. |
| IMCD NV                          | 15,499. | 15,499. |
| MIRVAC GROUP                     | 14,448. | 14,448. |
| GPT GROUP/THE                    | 12,220. | 12,220. |
| STOCKLAND                        | 11,733. | 11,733. |
| DEXUS                            | 12,661. | 12,661. |
| GOODMAN GROUP                    | 43,601. | 43,601. |
| SCENTRE GROUP                    | 10,134. | 10,134. |
| VICINITY LTD                     | 13,340. | 13,340. |
| KLEPIERRE SA                     | 8,773.  | 8,773.  |
| GECINA SA                        | 14,256. | 14,256. |
| COVIVIO                          | 10,674. | 10,674. |
| UNIBAIL-RODAMCO-WESTFIELD        | 19,200. | 19,200. |
| LINK REIT                        | 21,133. | 21,133. |
| NIPPON BUILDING FUND INC         | 17,455. | 17,455. |
| JAPAN REAL ESTATE INVESTMENT C   | 17,012. | 17,012. |
| JAPAN METROPOLITAN FUND INVEST   | 10,327. | 10,327. |
| GLP J-REIT                       | 6,909.  | 6,909.  |
| NOMURA REAL ESTATE MASTER FUND   | 8,430.  | 8,430.  |
| CAPITALAND INTEGRATED COMMERCIAL | 16,524. | 16,524. |
| CAPITALAND ASCENDAS REIT         | 19,037. | 19,037. |
| MAPLETREE LOGISTICS TRUST        | 10,993. | 10,993. |
| MAPLETREE PAN ASIA COMMERCIAL    | 8,011.  | 8,011.  |
| GROWTHPOINT PROPERTIES LTD       | 6,273.  | 6,273.  |
| FIBRA UNO ADMINISTRACION SA DE   | 9,940.  | 9,940.  |
| KE HOLDINGS INC                  | 13,682. | 13,682. |
| REA GROUP LTD                    | 6,704.  | 6,704.  |
| LEG IMMOBILIE SE                 | 4,047.  | 4,047.  |
| VONOVIA SE                       | 42,800. | 42,800. |
| AROUNDTOWN SA                    | 13,025. | 13,025. |
| SWISS PRIME SITE AG              | 15,546. | 15,546. |
| CENTRAL PATTANA PCL              | 11,840. | 11,840. |
| HANG LUNG PROPERTIES LTD         | 10,287. | 10,287. |
| CHINA OVERSEAS LAND & INVESTMENT | 14,207. | 14,207. |
| CHINA RESOURCES LAND LTD         | 16,829. | 16,829. |
| HENDERSON LAND DEVELOPMENT CO    | 28,106. | 28,106. |
| WHARF HOLDINGS LTD/THE           | 6,144.  | 6,144.  |
| SINO LAND CO LTD                 | 19,927. | 19,927. |
| SUN HUNG KAI PROPERTIES LTD      | 18,201. | 18,201. |
| COUNTRY GARDEN HOLDINGS CO LTD   | 15,977. | 15,977. |
| CHINA JINMAO HOLDINGS GROUP LTD  | 5,564.  | 5,564.  |
| SUNAC CHINA HOLDINGS LTD         | 7,555.  | 7,555.  |
| SWIRE PROPERTIES LTD             | 5,013.  | 5,013.  |
| GREENTOWN SERVICE GROUP CO LTD   | 5,541.  | 5,541.  |
| CHINA EVERGRANDE GROUP           | 1,020.  | 1,020.  |
| COUNTRY GARDEN SERVICES HOLDINGS | 11,980. | 11,980. |
| WHARF REAL ESTATE INVESTMENT C   | 20,317. | 20,317. |
| NEW WORLD DEVELOPMENT CO LTD     | 12,860. | 12,860. |
| YUEXIU PROPERTY CO LTD           | 9,869.  | 9,869.  |

|                                |          |          |
|--------------------------------|----------|----------|
| CK ASSET HOLDINGS LTD          | 28,369.  | 28,369.  |
| MITSUBISHI ESTATE CO LTD       | 24,924.  | 24,924.  |
| mitsui FUDOSAN CO LTD          | 35,615.  | 35,615.  |
| HULIC CO LTD                   | 8,535.   | 8,535.   |
| SUMITOMO REALTY & DEVELOPMENT  | 17,627.  | 17,627.  |
| NOMURA REAL ESTATE HOLDINGS IN | 9,195.   | 9,195.   |
| HONGKONG LAND HOLDINGS LTD     | 11,440.  | 11,440.  |
| UOL GROUP LTD                  | 8,414.   | 8,414.   |
| AYALA LAND INC                 | 8,709.   | 8,709.   |
| SM PRIME HOLDINGS INC          | 8,044.   | 8,044.   |
| AYALA CORP                     | 3,748.   | 3,748.   |
| NEPI ROCKCASTLE S.A.           | 13,343.  | 13,343.  |
| SAGAX AB                       | 8,422.   | 8,422.   |
| ENBRIDGE INC                   | 107,179. | 107,179. |
| TC ENERGY CORP                 | 54,026.  | 54,026.  |
| AGNICO EAGLE MINES LIMITED     | 27,660.  | 27,660.  |
| BARRICK GOLD CORP              | 23,457.  | 23,457.  |
| CCL INDUSTRIES INC             | 17,184.  | 17,184.  |
| CAMECO CORP                    | 18,122.  | 18,122.  |
| FIRST QUANTUM MINERALS LTD     | 33,070.  | 33,070.  |
| PAN AMERICAN SILVER CORP       | 12,493.  | 12,493.  |
| LUNDIN MINING CORP             | 13,923.  | 13,923.  |
| WEST FRASER TIMBER CO LTD      | 11,465.  | 11,465.  |
| KINROSS GOLD CORP              | 15,224.  | 15,224.  |
| PEMBINA PIPELINE CORP          | 30,984.  | 30,984.  |
| KIRKLAND LAKE GOLD LTD         | 24,760.  | 24,760.  |
| IVANHOE MINES LTD              | 8,579.   | 8,579.   |
| NUTRIEN LTD                    | 53,217.  | 53,217.  |
| WHEATON PRECIOUS METALS CORP   | 35,667.  | 35,667.  |
| BALLARD POWER SYSTEMS INC      | 3,648.   | 3,648.   |
| CAE INC                        | 6,063.   | 6,063.   |
| WSP GLOBAL INC                 | 44,485.  | 44,485.  |
| RITCHIE BROS AUCTIONEERS INC   | 6,128.   | 6,128.   |
| GFL ENVIRONMENTAL INC          | 4,544.   | 4,544.   |
| NUVEI CORPORATION              | 5,193.   | 5,193.   |
| CANADIAN NATIONAL RAILWAY CO   | 135,557. | 135,557. |
| CANADIAN PACIFIC RAILWAY LTD   | 93,634.  | 93,634.  |
| TFI INTERNATIONAL INC          | 15,724.  | 15,724.  |
| MAGNA INTL INC                 | 34,518.  | 34,518.  |
| GILDAN ACTIVEWEAR INC          | 22,502.  | 22,502.  |
| RESTAURANT BRANDS INTL INC     | 17,002.  | 17,002.  |
| QUEBECOR INC                   | 2,260.   | 2,260.   |
| SHAW COMMUNICATIONS INC        | 8,479.   | 8,479.   |
| THOMSON REUTERS CORP           | 11,976.  | 11,976.  |
| CANADIAN TIRE CORP LTD         | 8,618.   | 8,618.   |
| DOLLARAMA INC                  | 31,576.  | 31,576.  |
| EMPIRE CO LTD                  | 6,102.   | 6,102.   |
| LOBLAW COMPANIES LTD           | 17,723.  | 17,723.  |
| ALIMENTATION COUCHE-TARD INC   | 58,658.  | 58,658.  |
| METRO INC                      | 26,275.  | 26,275.  |
| WESTON (GEORGE) LTD            | 1,161.   | 1,161.   |
| SAPUTO INC                     | 6,769.   | 6,769.   |
| BAUSCH HEALTH COS INC          | 16,873.  | 16,873.  |
| CANOPY GROWTH CORP             | 3,321.   | 3,321.   |
| BANK OF MONTREAL               | 91,645.  | 91,645.  |
| BANK OF NOVA SCOTIA            | 115,274. | 115,274. |
| NATIONAL BANK OF CANADA        | 34,510.  | 34,510.  |
| CANADIAN IMPERIAL BK OF COMM   | 84,047.  | 84,047.  |

|                                |            |            |
|--------------------------------|------------|------------|
| ROYAL BANK OF CANADA           | 198,322.   | 198,322.   |
| TORONTO DOMINION BANK          | 212,824.   | 212,824.   |
| IGM FINANCIAL INC              | 2,528.     | 2,528.     |
| ONEX CORP                      | 34,504.    | 34,504.    |
| POWER CORP CANADA              | 50,664.    | 50,664.    |
| BROOKFIELD RENEWABLE CORP      | 5,528.     | 5,528.     |
| GREAT WEST LIFECO INC          | 16,228.    | 16,228.    |
| SUN LIFE FINANCIAL INC         | 57,414.    | 57,414.    |
| FAIRFAX FINANCIAL HOLDINGS LTD | 24,630.    | 24,630.    |
| INTACT FINANCIAL CORP          | 35,405.    | 35,405.    |
| IA FINANCIAL CORP INC          | 12,033.    | 12,033.    |
| FIRSTSERVICE CORP              | 1,968.     | 1,968.     |
| RIOCAN REIT                    | 8,536.     | 8,536.     |
| OPEN TEXT CORPORATION          | 28,567.    | 28,567.    |
| CONSTELLATION SOFTWARE INC     | 37,160.    | 37,160.    |
| LIGHTSPEED COMMERCE INC        | 5,661.     | 5,661.     |
| SHOPIFY INC                    | 234,404.   | 234,404.   |
| BLACKBERRY LIMITED             | 4,866.     | 4,866.     |
| CGI INC                        | 40,555.    | 40,555.    |
| ROGERS COMMUNICATIONS INC      | 36,620.    | 36,620.    |
| BCE INC                        | 5,210.     | 5,210.     |
| CANADIAN UTILITIES LTD         | 23,818.    | 23,818.    |
| FORTIS INC                     | 22,225.    | 22,225.    |
| EMERA INC                      | 30,030.    | 30,030.    |
| ALGONQUIN POWER & UTIL CORP    | 8,678.     | 8,678.     |
| NORTHLAND POWER INC            | 9,013.     | 9,013.     |
| HYDRO ONE LIMITED              | 16,883.    | 16,883.    |
| DCC PLC                        | 13,357.    | 13,357.    |
| FERGUSON PLC                   | 67,805.    | 67,805.    |
| MAHINDRA & MAHINDRA LTD        | 66,363.    | 66,363.    |
| DIAGEO PLC                     | 209,041.   | 209,041.   |
| COCA-COLA HBC AG               | 10,832.    | 10,832.    |
| ASSOCIATED BRITISH FOODS PLC   | 12,375.    | 12,375.    |
| RECKITT BENCKISER GROUP PLC    | 104,969.   | 104,969.   |
| BARRATT DEVELOPMENTS PLC       | 11,479.    | 11,479.    |
| PERSIMMON PLC                  | 11,914.    | 11,914.    |
| TAYLOR WIMPEY PLC              | 19,675.    | 19,675.    |
| BERKELEY GROUP HOLDINGS PLC    | 18,691.    | 18,691.    |
| BURBERRY GROUP PLC             | 14,106.    | 14,106.    |
| UNILEVER PLC                   | 1,873,066. | 1,873,066. |
| UNILEVER PLC                   | 173,519.   | 173,519.   |
| BRITISH AMERICAN TOBACCO PLC   | 118,884.   | 118,884.   |
| IMPERIAL BRANDS PLC            | 21,501.    | 21,501.    |
| GSK PLC                        | 141,204.   | 141,204.   |
| ASTRAZENECA PLC                | 242,013.   | 242,013.   |
| HIKMA PHARMACEUTICALS PLC      | 44,482.    | 44,482.    |
| PHOSAGRO PJSC                  | 16,142.    | 16,142.    |
| J SAINSBURY PLC                | 12,794.    | 12,794.    |
| MAGNIT PJSC                    | 13,860.    | 13,860.    |
| OCADO GROUP PLC                | 18,319.    | 18,319.    |
| TESCO PLC                      | 43,180.    | 43,180.    |
| JD SPORTS FASHION PLC          | 17,626.    | 17,626.    |
| NEXT PLC                       | 30,577.    | 30,577.    |
| KINGFISHER PLC                 | 17,669.    | 17,669.    |
| WPP PLC                        | 8,143.     | 8,143.     |
| INFORMA PLC                    | 1,518.     | 1,518.     |
| PEARSON PLC                    | 3,945.     | 3,945.     |
| RELX PLC                       | 97,146.    | 97,146.    |

|  |                     |                     |
|--|---------------------|---------------------|
| ENTAIN PLC                                     | 20,356.             | 20,356.             |
| FLUTTER ENTERTAINMENT PLC                      | 5,893.              | 5,893.              |
| WHITBREAD PLC                                  | 7,951.              | 7,951.              |
| COMPASS GROUP PLC                              | 38,910.             | 38,910.             |
| BT GROUP PLC                                   | 21,619.             | 21,619.             |
| VODAFONE GROUP PLC                             | 57,110.             | 57,110.             |
| NATIONAL GRID PLC                              | 88,323.             | 88,323.             |
| SEVERN TRENT PLC                               | 20,557.             | 20,557.             |
| UNITED UTILITIES GROUP PLC                     | 12,596.             | 12,596.             |
| NATWEST GROUP PLC                              | 495,160.            | 495,160.            |
| STANDARD CHARTERED PLC                         | 17,649.             | 17,649.             |
| HSBC HOLDINGS PLC                              | 184,532.            | 184,532.            |
| LLOYDS BANKING GROUP PLC                       | 56,513.             | 56,513.             |
| BARCLAYS PLC                                   | 48,088.             | 48,088.             |
| STATE BANK OF INDIA                            | 51,088.             | 51,088.             |
| SBERBANK OF RUSSIA PJSC                        | 65,805.             | 65,805.             |
| NATWEST GROUP PLC                              | 35,819.             | 35,819.             |
| ADMIRAL GROUP PLC                              | 3,421.              | 3,421.              |
| AVIVA PLC                                      | 4,842.              | 4,842.              |
| LEGAL & GENERAL GROUP PLC                      | 21,691.             | 21,691.             |
| PRUDENTIAL PLC                                 | 69,412.             | 69,412.             |
| ST JAMES'S PLACE PLC                           | 12,313.             | 12,313.             |
| HARGREAVES LANSDOWN PLC                        | 19,711.             | 19,711.             |
| 3I GROUP PLC                                   | 54,011.             | 54,011.             |
| ABRDN PLC                                      | 19,868.             | 19,868.             |
| M&G PLC  | 14,105.             | 14,105.             |
| AUTO TRADER GROUP PLC                          | 11,556.             | 11,556.             |
| SAGE GROUP PLC/THE                             | 20,082.             | 20,082.             |
| ANTOFAGASTA PLC                                | 16,751.             | 16,751.             |
| <b>TOTAL TO FORM 990-PF, PART II, LINE 10B</b> | <b>158,057,288.</b> | <b>158,057,288.</b> |

FORM 990-PF

CORPORATE BONDS

STATEMENT 12

| DESCRIPTION                    | BOOK VALUE | FAIR MARKET VALUE |
|--------------------------------|------------|-------------------|
| CARMAX AUTO OWNER TRUST 3 A2A  | 274,585.   | 274,585.          |
| GM FINANCIAL CONSUMER AUT 3 A4 | 163,334.   | 163,334.          |
| UNITED AIRLINES 2014-1 CLASS A | 117,694.   | 117,694.          |
| AERCAP IRELAND CAPITAL DAC / A | 95,842.    | 95,842.           |
| AIR LEASE CORP                 | 141,742.   | 141,742.          |
| AMERICAN HONDA FINANCE CORP    | 108,714.   | 108,714.          |
| AMERIPRISE FINANCIAL INC       | 313,296.   | 313,296.          |
| BANK OF AMERICA CORP           | 99,351.    | 99,351.           |
| BANK OF AMERICA CORP           | 114,228.   | 114,228.          |
| BANK OF MONTREAL               | 154,716.   | 154,716.          |
| BANK OF NOVA SCOTIA/THE        | 79,307.    | 79,307.           |
| BARCLAYS PLC                   | 109,210.   | 109,210.          |
| BARCLAYS BANK PLC              | 190,644.   | 190,644.          |
| CNH INDUSTRIAL CAPITAL LLC     | 126,638.   | 126,638.          |
| CNH INDUSTRIAL CAPITAL LLC     | 93,016.    | 93,016.           |
| CAPITAL ONE FINANCIAL CORP     | 327,782.   | 327,782.          |
| CITIGROUP INC                  | 220,279.   | 220,279.          |
| CITIGROUP INC                  | 298,897.   | 298,897.          |

|                                |          |          |
|--------------------------------|----------|----------|
| CITIGROUP INC                  | 79,384.  | 79,384.  |
| CITIGROUP INC                  | 113,030. | 113,030. |
| FORD MOTOR CREDIT CO LLC       | 170,176. | 170,176. |
| FORD MOTOR CREDIT CO LLC       | 50,900.  | 50,900.  |
| FORD MOTOR CREDIT CO LLC       | 112,618. | 112,618. |
| GENERAL MOTORS FINANCIAL CO IN | 107,240. | 107,240. |
| GENERAL MOTORS FINANCIAL CO IN | 76,089.  | 76,089.  |
| GENERAL MOTORS FINANCIAL CO IN | 137,408. | 137,408. |
| GOLDMAN SACHS GROUP INC/THE    | 185,110. | 185,110. |
| GOLDMAN SACHS GROUP INC/THE    | 97,495.  | 97,495.  |
| HSBC HOLDINGS PLC              | 125,443. | 125,443. |
| JPMORGAN CHASE & CO            | 131,583. | 131,583. |
| JPMORGAN CHASE & CO            | 87,152.  | 87,152.  |
| JPMORGAN CHASE & CO            | 153,256. | 153,256. |
| JPMORGAN CHASE & CO            | 93,908.  | 93,908.  |
| MITSUBISHI UFJ FINANCIAL GROUP | 129,370. | 129,370. |
| MITSUBISHI UFJ FINANCIAL GROUP | 103,190. | 103,190. |
| MIZUHO FINANCIAL GROUP INC     | 118,123. | 118,123. |
| MORGAN STANLEY                 | 229,511. | 229,511. |
| MORGAN STANLEY                 | 215,332. | 215,332. |
| WELLS FARGO & CO               | 119,929. | 119,929. |
| CITIZENS BANK NA/PROVIDENCE RI | 220,102. | 220,102. |
| SUMITOMO MITSUI FINANCIAL GROU | 73,248.  | 73,248.  |
| WELLS FARGO & CO               | 41,777.  | 41,777.  |
| WESTERN UNION CO/THE           | 112,755. | 112,755. |
| ZIONS BANCORP NA               | 120,277. | 120,277. |
| CVS HEALTH CORP                | 162,866. | 162,866. |
| CVS HEALTH CORP                | 97,011.  | 97,011.  |
| HCA INC                        | 102,169. | 102,169. |
| UPMC                           | 356,065. | 356,065. |
| AGREE LP                       | 122,555. | 122,555. |
| FEDERAL REALTY INVESTMENT TRUS | 93,096.  | 93,096.  |
| SERVICE PROPERTIES TRUST       | 146,322. | 146,322. |
| SIMON PROPERTY GROUP LP        | 112,452. | 112,452. |
| VENTAS REALTY LP               | 237,027. | 237,027. |
| MPLX LP                        | 158,474. | 158,474. |
| MARATHON PETROLEUM CORP        | 103,679. | 103,679. |
| PHILLIPS 66                    | 166,391. | 166,391. |
| TRANSCANADA PIPELINES LTD      | 118,968. | 118,968. |
| CELANESE US HOLDINGS LLC       | 126,915. | 126,915. |
| BOEING CO/THE                  | 257,273. | 257,273. |
| BOEING CO/THE                  | 50,002.  | 50,002.  |
| L3HARRIS TECHNOLOGIES INC      | 311,628. | 311,628. |
| BAT CAPITAL CORP               | 159,603. | 159,603. |
| BAT INTERNATIONAL FINANCE PLC  | 171,880. | 171,880. |
| CANADIAN PACIFIC RAILWAY CO    | 110,560. | 110,560. |
| DELTA AIR LINES 2019-1 CLASS A | 144,756. | 144,756. |
| WESTINGHOUSE AIR BRAKE TECHNOL | 78,089.  | 78,089.  |
| AMEREN CORP                    | 80,279.  | 80,279.  |
| CENTERPOINT ENERGY INC         | 100,026. | 100,026. |
| CONSOLIDATED EDISON INC        | 123,991. | 123,991. |
| CONSTELLATION ENERGY GENERATIO | 146,742. | 146,742. |
| FLORIDA POWER & LIGHT CO       | 208,928. | 208,928. |
| PUBLIC SERVICE ELECTRIC AND GA | 113,842. | 113,842. |
| SOUTHERN CALIFORNIA EDISON CO  | 14,654.  | 14,654.  |
| WEC ENERGY GROUP INC           | 99,929.  | 99,929.  |
| NISOURCE INC                   | 126,597. | 126,597. |
| T-MOBILE USA INC               | 291,473. | 291,473. |

|                                |          |          |
|--------------------------------|----------|----------|
| BROADCOM INC                   | 204,251. | 204,251. |
| ORACLE CORP                    | 235,483. | 235,483. |
| SKYWORKS SOLUTIONS INC         | 89,121.  | 89,121.  |
| TSMC ARIZONA CORP              | 70,074.  | 70,074.  |
| VMWARE INC                     | 148,583. | 148,583. |
| ALASKA AIRLINES 2020-1 CL 144A | 35,248.  | 35,248.  |
| ATHENE GLOBAL FUNDING 144A     | 155,310. | 155,310. |
| BAXTER INTERNATIONAL INC 144A  | 125,536. | 125,536. |
| EQUITABLE FINANCIAL LIFE 144A  | 99,126.  | 99,126.  |
| GA GLOBAL FUNDING TRUST 144A   | 54,574.  | 54,574.  |
| GA GLOBAL FUNDING TRUST 144A   | 152,035. | 152,035. |
| HARLEY-DAVIDSON FINANCIAL 144A | 245,030. | 245,030. |
| HIGHMARK INC 144A              | 107,603. | 107,603. |
| HYUNDAI CAPITAL AMERICA 144A   | 72,891.  | 72,891.  |
| JACKSON NATIONAL LIFE GLO 144A | 168,150. | 168,150. |
| LIBERTY MUTUAL GROUP INC 144A  | 222,495. | 222,495. |
| MET TOWER GLOBAL FUNDING 144A  | 146,840. | 146,840. |
| NORTHROP GRUMMAN CORP 144A     | 123,477. | 123,477. |
| NORTHWESTERN MUTUAL GLOBA 144A | 102,024. | 102,024. |
| PACIFIC LIFE GLOBAL FUNDI 144A | 148,199. | 148,199. |
| PRINCIPAL LIFE GLOBAL FUN 144A | 84,025.  | 84,025.  |
| PRINCIPAL LIFE GLOBAL FUN 144A | 141,591. | 141,591. |
| PROTECTIVE LIFE GLOBAL FU 144A | 145,659. | 145,659. |
| SEALED AIR CORP 144A           | 72,763.  | 72,763.  |
| TSMC GLOBAL LTD 144A           | 96,656.  | 96,656.  |
| TSMC GLOBAL LTD 144A           | 147,017. | 147,017. |
| NATWEST MARKETS PLC 144A       | 98,421.  | 98,421.  |
| SUMITOMO MITSUI TRUST BAN 144A | 148,850. | 148,850. |
| UBS GROUP AG 144A              | 78,088.  | 78,088.  |
| ARBOR MULTIFAMILY MF3 A2 144A  | 294,495. | 294,495. |
| BX TRUST 2021-ARIA ARIA A 144A | 64,878.  | 64,878.  |
| CHT 2017-COSMO MOR CSMO A 144A | 129,921. | 129,921. |
| CIM RETAIL PORTFOL RETL A 144A | 104,735. | 104,735. |
| COMM 2012-CCRE2 MO CR2 A3 144A | 642,547. | 642,547. |
| CITIGROUP MORTGAG EXP2 A3 144A | 67,310.  | 67,310.  |
| GREAT WOLF TRUST 2 WOLF A 144A | 114,860. | 114,860. |
| JP MORGAN MORTGAGE T 6 A6 144A | 142,504. | 142,504. |
| LSTAR COMMERCIAL MOR 4 A3 144A | 106,979. | 106,979. |
| TOWD POINT MORTGAGE 6 A1A 144A | 137,339. | 137,339. |
| TOWD POINT MORTGAG SJ1 A1 144A | 188,988. | 188,988. |
| TOWD POINT MORTGA SJ2 A1A 144A | 100,367. | 100,367. |
| WELLS FARGO MORTGAGE 4 A1 144A | 59,645.  | 59,645.  |
| AVIS BUDGET RENTAL C 1A A 144A | 348,866. | 348,866. |
| HERTZ VEHICLE FINANC 1A A 144A | 94,079.  | 94,079.  |
| UNIFY AUTO RECEIVAB 1A A3 144A | 114,645. | 114,645. |
| BANK OF AMERICA CORP           | 24,350.  | 24,350.  |
| BANK OF AMERICA CORP           | 10,025.  | 10,025.  |
| BANK OF AMERICA CORP           | 206,753. | 206,753. |
| BANK OF AMERICA CORP           | 89,271.  | 89,271.  |
| GOLDMAN SACHS GROUP INC/THE    | 52,320.  | 52,320.  |
| JPMORGAN CHASE & CO            | 473,670. | 473,670. |
| MORGAN STANLEY                 | 16,140.  | 16,140.  |
| MORGAN STANLEY                 | 13,607.  | 13,607.  |
| MORGAN STANLEY                 | 31,857.  | 31,857.  |
| MORGAN STANLEY                 | 135,458. | 135,458. |
| MORGAN STANLEY                 | 84,595.  | 84,595.  |
| MORGAN STANLEY                 | 52,646.  | 52,646.  |

TOTAL TO FORM 990-PF, PART II, LINE 10C

18,549,893.

18,549,893.

FORM 990-PF

MORTGAGE LOANS

STATEMENT 13

| DESCRIPTION                            | BOOK VALUE | FAIR MARKET VALUE |
|--|------------|-------------------|
| BECMS MORTGAGE TRUST 20 C11 A1         | 109,656.   | 109,656.          |
| BANK 2020-BNK26 BN26 A1                | 32,573.    | 32,573.           |
| BENCHMARK 2020-B17 MORT B17 A1         | 44,745.    | 44,745.           |
| BENCHMARK 2021-B23 MORT B23 A2         | 133,956.   | 133,956.          |
| BENCHMARK 2021-B24 MORT B24 A2         | 75,441.    | 75,441.           |
| BENCHMARK 2021-B25 MORT B25 A2         | 104,864.   | 104,864.          |
| BENCHMARK 2021-B28 MORT B28 A1         | 139,666.   | 139,666.          |
| BENCHMARK 2021-B29 MORT B29 A2         | 101,114.   | 101,114.          |
| COMM 2014-UBS4 MORTGAG UBS4 A5         | 236,243.   | 236,243.          |
| CSAIL 2020-C19 COMMERCI C19 A1         | 64,542.    | 64,542.           |
| CITIGROUP COMMERCIAL M GC46 A1         | 41,573.    | 41,573.           |
| GS MORTGAGE SECURITIES GCJ7 A4         | 21,325.    | 21,325.           |
| GS MORTGAGE SECURITIES GC12 A3         | 284,021.   | 284,021.          |
| JPMBB COMMERCIAL MORTGA C32 A5         | 163,466.   | 163,466.          |
| MORGAN STANLEY CAPITAL I L5 A2         | 157,723.   | 157,723.          |
| UBS-BARCLAYS COMMERCIAL C4 A5          | 191,391.   | 191,391.          |
| WFRBS COMMERCIAL MORTGA C14 A5         | 154,152.   | 154,152.          |
| WELLS FARGO COMMERCIAL C40 A1          | 13,342.    | 13,342.           |
| WELLS FARGO COMMERCIAL C41 A1          | 107,472.   | 107,472.          |
| TOTAL TO FORM 990-PF, PART II, LINE 12 | 2,177,265. | 2,177,265.        |

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 14

| DESCRIPTION                       | VALUATION METHOD | BOOK VALUE | FAIR MARKET VALUE |
|-----------------------------------|------------------|------------|-------------------|
| ABERDEEN STANDARD PHYSICAL P      | FMV              | 52,142.    | 52,142.           |
| ABERDEEN STANDARD PHYSICAL P      | FMV              | 51,089.    | 51,089.           |
| INVESCO DB AGRICULTURE FUND       | FMV              | 595,463.   | 595,463.          |
| INVESCO DB BASE METALS FUND       | FMV              | 387,324.   | 387,324.          |
| UNITED STATES COPPER INDEX        | FMV              | 146,934.   | 146,934.          |
| IPATH SERIES B BLOOMBERG LIV      | FMV              | 137,514.   | 137,514.          |
| VANGUARD FTSE ALL-WORLD EX-U      | FMV              | 2,478,163. | 2,478,163.        |
| GOVERNMENT OF CANADA              | FMV              | 163,662.   | 163,662.          |
| REPUBLIC OF SOUTH AFRICA GOVER    | FMV              | 86,673.    | 86,673.           |
| MEXICAN BONOS                     | FMV              | 97,985.    | 97,985.           |
| NEW ZEALAND GOVERNMENT IN REGS    | FMV              | 85,181.    | 85,181.           |
| SPAIN GOVERNMENT INFLATIO 144A    | FMV              | 374,741.   | 374,741.          |
| SPAIN GOVERNMENT INFLATIO 144A    | FMV              | 180,614.   | 180,614.          |
| FRENCH REPUBLIC GOVERNMENTEN 144A | FMV              | 220,842.   | 220,842.          |
| FRENCH REPUBLIC GOVERNMENTEN REGS | FMV              | 204,313.   | 204,313.          |
| FRENCH REPUBLIC GOVERNMENTEN 144A | FMV              | 67,745.    | 67,745.           |
| FRENCH REPUBLIC GOVERNMENTEN 144A | FMV              | 473,926.   | 473,926.          |

ROCKEFELLER BROTHERS FUND, INC.

13-1760106

|                                     |     |              |              |
|-------------------------------------|-----|--------------|--------------|
| ITALY BUONI POLIENNALI DE REGS      | FMV | 427,280.     | 427,280.     |
| ITALY BUONI POLIENNALI DE 144A      | FMV | 834,408.     | 834,408.     |
| UNITED KINGDOM INFLATION- REGS      | FMV | 590,922.     | 590,922.     |
| UNITED KINGDOM INFLATION- REGS      | FMV | 65,701.      | 65,701.      |
| UNITED KINGDOM INFLATION- REGS      | FMV | 151,555.     | 151,555.     |
| UNITED KINGDOM INFLATION- REGS      | FMV | 104,887.     | 104,887.     |
| UNITED KINGDOM INFLATION- REGS      | FMV | 208,507.     | 208,507.     |
| ISHARES SILVER TRUST                | FMV | 43,020.      | 43,020.      |
| SPDR GOLD SHARES                    | FMV | 136,768.     | 136,768.     |
| AEOLUS PROPERTY CATASTROPHE         | FMV |              |              |
| KEYSTONE PF FUND                    |     | 7,717,168.   | 7,717,168.   |
| AGILITY - GLOBAL EQUITY IMPACT      | FMV | 100,811,626. | 100,811,626. |
| ARROWPOINT INCOME OPPORTUNITY FUND  | FMV |              |              |
| QP, LP                              |     | 25,825,466.  | 25,825,466.  |
| ARROWPOINT GLOBAL OPPORTUNITY FUND, | FMV |              |              |
| LTD.                                |     | 675,186.     | 675,186.     |
| BEACH POINT DYNAMIC INCOME OFFSHORE | FMV |              |              |
| FUND LTD.                           |     | 6,069,227.   | 6,069,227.   |
| BAKER BROTHERS HCIF OFFSHORE LP     | FMV | 7,799,751.   | 7,799,751.   |
| BRANDYWINE GLOBAL OPPORTUNISTIC SRI | FMV |              |              |
| FUND                                |     | 26,245,128.  | 26,245,128.  |
| CANTILLON GLOBAL EQUITY L.P.        | FMV | 100,103,574. | 100,103,574. |
| CERBERUS GLOBAL RESIDENTIAL LOAN    | FMV |              |              |
| STRATEGY FUND LP                    |     | 3,419,762.   | 3,419,762.   |
| DCIG CAPITAL LTD                    | FMV | 29,408,385.  | 29,408,385.  |
| ECHO STREET GOODCO SELECT II LP     | FMV | 41,149,900.  | 41,149,900.  |
| ELEVAR EQUITY III, L.P.             | FMV | 45,548,227.  | 45,548,227.  |
| FARALLON CAPITAL F5 INVESTORS I,    | FMV |              |              |
| L.P.                                |     | 34,086,446.  | 34,086,446.  |
| GENERATION IM GLOBAL EQUITY FUND    | FMV |              |              |
| LLC                                 |     | 141,231,930. | 141,231,930. |
| HUDSON BAY INTL FUND LTD            | FMV | 43,865,742.  | 43,865,742.  |
| STEWART INVESTORS WORLDWIDE         | FMV |              |              |
| SUSTAINABILITY                      |     | 37,149,284.  | 37,149,284.  |
| OWNERSHIP CAPITAL GLOBAL EQUITY     | FMV |              |              |
| (USD) FUND LP                       |     | 70,833,568.  | 70,833,568.  |
| SCULPTOR CREDIT OPPORTUNITIES       | FMV |              |              |
| OVERSEAS FUND, LP                   |     | 237,223.     | 237,223.     |
| PFM HEALTHCARE OFFSHORE FUND LTD    | FMV | 27,873,204.  | 27,873,204.  |
| SHERIDAN SQUARE FUND, L.P.          | FMV | 24,045,402.  | 24,045,402.  |
| TENSILE CAPITAL PARTNERS            | FMV | 37,505,231.  | 37,505,231.  |
| TURNER MULTIFAMILY IMPACT FUND LP   | FMV | 37,655,808.  | 37,655,808.  |
| TYBOURNE EQUITY OFFSHORE            | FMV | 24,360,521.  | 24,360,521.  |
| TWO CREEKS CAPITAL OFFSHORE FUND    | FMV |              |              |
| LTD                                 |     | 27,707,850.  | 27,707,850.  |
| COLCHESTER GLOBAL BOND FUND         | FMV | 19,351,015.  | 19,351,015.  |
| ISHANA CAPITAL OFFSHORE FUND        | FMV | 3,668,195.   | 3,668,195.   |
| HAZELVIEW GLOBAL REAL ESTATE CORE   | FMV |              |              |
| FUND, L.P.                          |     | 11,084,688.  | 11,084,688.  |
| VANECK AGRIBUSINESS ETF             | FMV | 10,051,352.  | 10,051,352.  |
| VANGUARD GLOBAL EX-U.S. REAL ESTATE | FMV |              |              |
| ETF                                 |     | 2,116,189.   | 2,116,189.   |
| SPDR GOLD SHARES ETF                | FMV | 3,477,155.   | 3,477,155.   |
| VANGUARD REAL ESTATE ETF            | FMV | 3,591,786.   | 3,591,786.   |
| AMPERSAND 2001                      | FMV | 6,793.       | 6,793.       |
| ARCH VENTURE FUND IX, L.P.          | FMV | 39,718,359.  | 39,718,359.  |
| ARCH VENTURE FUND IX OVERAGE        | FMV | 13,952,361.  | 13,952,361.  |
| CENTEROAK EQUITY FUND I, L.P.       | FMV | 9,133,825.   | 9,133,825.   |

ROCKEFELLER BROTHERS FUND, INC.

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|  |     |             |             |
|--|-----|-------------|-------------|
| CLEARVUE PARTNERS II LTD.                                | FMV | 11,366,832. | 11,366,832. |
| CSFB STRATEGIC PARTNERS III                              | FMV | 11,934.     | 11,934.     |
| CSFB STRATEGIC PARTNERS III VC                           | FMV | 54,414.     | 54,414.     |
| EUROPA FUND IV, L.P.                                     | FMV | 2,516,660.  | 2,516,660.  |
| GENERATION IM CLIMATE SOLUTIONS<br>FUND II               | FMV | 18,758,722. | 18,758,722. |
| IEF - 2008 TERM TRANCHE                                  | FMV | 16,166,057. | 16,166,057. |
| IEF - 2010 SPECIAL TERM TRANCHE                          | FMV | 8,620,191.  | 8,620,191.  |
| IEF - 2011 SPECIAL TERM TRANCHE                          | FMV | 20,380,572. | 20,380,572. |
| IEF - 2012 TERM TRANCHE                                  | FMV | 27,553,068. | 27,553,068. |
| HSREP IV CO-INVESTMENT LP                                | FMV | 2,330,749.  | 2,330,749.  |
| KA ENERGY FUND IV  | FMV | 211,368.    | 211,368.    |
| MAINSTREAM RENEWABLE POWER LIMITED                       | FMV | 11,938,180. | 11,938,180. |
| MORGAN STANLEY RE FUND V                                 | FMV | 446,951.    | 446,951.    |
| NB CO-INVESTMENT PARTNERS LP                             | FMV | 398,053.    | 398,053.    |
| NEA 10   | FMV | 388,850.    | 388,850.    |
| NEW ENERGY CAPITAL INFRASTRUCTURE<br>CREDIT FUND, L.P.   | FMV | 6,932,914.  | 6,932,914.  |
| OSP VALUE FUND II  | FMV | 7,144,230.  | 7,144,230.  |
| P2 BRASIL PRIVATE INFRASTRUCTURE<br>FUND III FEEDER      | FMV | 12,427,273. | 12,427,273. |
| PRIME FINANCE PARTNERS V, L.P.                           | FMV | 923,128.    | 923,128.    |
| PROSPECT II  | FMV | 33,157.     | 33,157.     |
| RIVERSTONE/CARLYLE GLOBAL ENERGY<br>III                  | FMV | 569,831.    | 569,831.    |
| SIGULER GUFF SMALL BUYOUT<br>OPPORTUNITIES FUND LP       | FMV | 1,367,328.  | 1,367,328.  |
| TIFF PARTNERS IV, LLC                                    | FMV | 25,864.     | 25,864.     |
| VISION RIDGE SUSTAINABLE ASSET FUND<br>AMBIENTA III SCSP | FMV | 13,387,331. | 13,387,331. |
| STIRLING SQ CAP PTRS                                     | FMV | 10,943,110. | 10,943,110. |
| RALLY VENTURE FD III                                     | FMV | 10,944,126. | 10,944,126. |
| RALLY TEC PTR FD III                                     | FMV | 8,619,535.  | 8,619,535.  |
| ARCH VENTURE X LP  | FMV | 1,930,707.  | 1,930,707.  |
| ARCH VEN X OVER LP                                       | FMV | 14,652,036. | 14,652,036. |
| CENTEROAK EQUITY FUND II                                 | FMV | 15,844,010. | 15,844,010. |
| RRG SUSTAINABLE WATER IMPACT FUND<br>LP                  | FMV | 5,807,672.  | 5,807,672.  |
| WARBURG PINCUS CHINA-SOUTHEAST ASIA<br>II LP             | FMV | 6,223,614.  | 6,223,614.  |
| VISION RIDGE SUSTAINABLE ASSET FUND<br>II                | FMV | 4,502,907.  | 4,502,907.  |
| CENTRE LANE PARTNERS V, L.P.                             | FMV | 7,584,071.  | 7,584,071.  |
| NEW ENERGY CAPITAL INFRASTRUCTURE<br>CREDIT FUND II LP   | FMV | 3,559,388.  | 3,559,388.  |
| 137 VENTURES FUND V                                      | FMV | 8,217,839.  | 8,217,839.  |
| GRAIN COMMUNICATIONS OPPORTUNITY<br>FUND III             | FMV | 7,613,190.  | 7,613,190.  |
| KKR DISLOCATION OPPORTUNITIES (TE)<br>FEEDER LP          | FMV | 4,098,783.  | 4,098,783.  |
| RETHINK IMPACT II, LP                                    | FMV | 9,225,901.  | 9,225,901.  |
| SCULPTOR REAL ESTATE FUND IV A, LP                       | FMV | 2,807,828.  | 2,807,828.  |
| STELLEX CAPITAL FUND II                                  | FMV | 2,629,358.  | 2,629,358.  |
| VALAR VELOCITY FUND 2                                    | FMV | 2,302,880.  | 2,302,880.  |
| 137 OPPORTUNITY FUND                                     | FMV | 18,101,095. | 18,101,095. |
| ARCH VENTURE FUND XI L.P.                                | FMV | 2,880,538.  | 2,880,538.  |
| BLUE BEAR CAPITAL PARTNERS II                            | FMV | 5,704,427.  | 5,704,427.  |
| BLUE BEAR IMPACT EXTENSION VEHICLE                       | FMV | 4,099,934.  | 4,099,934.  |
|  | FMV | 163,352.    | 163,352.    |

ROCKEFELLER BROTHERS FUND, INC.

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|  |     |                |                |
|--|-----|----------------|----------------|
| GHO CAPITAL FUND III                               | FMV | 2,115,194.     | 2,115,194.     |
| OCEANSOUND FUND I                                  | FMV | 9,567,389.     | 9,567,389.     |
| OCEANSOUND PARTNERS CO-INVEST<br>SIDECAR, LP       | FMV | 5,220,166.     | 5,220,166.     |
| PEAKSPAN CAPITAL GROWTH PARTNERS<br>III LP         | FMV | 56,239.        | 56,239.        |
| SCULPTOR REAL ESTATE MWID<br>CO-INVESTMENT FUND LP | FMV | 4,786,982.     | 4,786,982.     |
| STIRLING SQUARE MEDISUP<br>CO-INVESTMENT           | FMV | 1,691,936.     | 1,691,936.     |
| VISION RIDGE SUSTAINABLE ASSET FUND<br>III         | FMV | 977,926.       | 977,926.       |
| TOTAL TO FORM 990-PF, PART II, LINE 13             |     | 1,372,670,476. | 1,372,670,476. |

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 15

| DESCRIPTION                         | COST OR<br>OTHER BASIS | ACCUMULATED<br>DEPRECIATION | BOOK VALUE |
|-------------------------------------|------------------------|-----------------------------|------------|
| OFFICE AND OTHER EQUIPMENT          | 2,845,082.             | 2,668,852.                  | 176,230.   |
| COMPUTER EQUIPMENT AND<br>SOFTWARE  | 2,671,917.             | 2,540,768.                  | 131,149.   |
| LEASEHOLD AND OTHER<br>IMPROVEMENTS | 37,815,905.            | 29,513,834.                 | 8,302,071. |
| AUTOMOBILES                         | 82,409.                | 59,719.                     | 22,690.    |
| TOTAL TO FM 990-PF, PART II, LN 14  | 43,415,313.            | 34,783,173.                 | 8,632,140. |

FORM 990-PF OTHER ASSETS STATEMENT 16

| DESCRIPTION                      | BEGINNING OF<br>YR BOOK VALUE | END OF YEAR<br>BOOK VALUE | FAIR MARKET<br>VALUE |
|----------------------------------|-------------------------------|---------------------------|----------------------|
| PROGRAM RELATED INVESTMENTS      | 510,000.                      | 510,000.                  | 510,000.             |
| CONSTRUCTION IN PROGRESS         | 7,170,527.                    | 18,527,915.               | 18,527,915.          |
| TAX REFUNDS RECEIVABLE           | 645,585.                      | 676,569.                  | 676,569.             |
| TO FORM 990-PF, PART II, LINE 15 | 8,326,112.                    | 19,714,484.               | 19,714,484.          |

| FORM 990-PF                                 | OTHER LIABILITIES | STATEMENT 17 |             |
|---|-------------------|--------------|-------------|
| DESCRIPTION                                 |                   | BOY AMOUNT   | EOY AMOUNT  |
| DEFERRED EXCISE TAX AND OTHER TAXES PAYABLE |                   | 7,870,792.   | 12,402,796. |
| TOTAL TO FORM 990-PF, PART II, LINE 22      |                   | 7,870,792.   | 12,402,796. |

| FORM 990-PF | NAME OF FOREIGN COUNTRY IN WHICH ORGANIZATION HAS FINANCIAL INTEREST | STATEMENT 18 |
|-------------|--|--------------|
|-------------|--|--------------|

NAME OF COUNTRY

- BRAZIL
- CHINA
- COLOMBIA
- DENMARK
- HUNGARY
- INDONESIA
- ISRAEL
- MALAYSIA
- NORWAY
- PORTUGAL
- KOREA (SOUTH)
- SWEDEN
- UNITED KINGDOM

FORM 990-PF

TRANSFERS FROM CONTROLLED ENTITIES  
PART VI-A, LINE 11

STATEMENT 19

NAME OF CONTROLLED ENTITY

EMPLOYER ID NO

BRANDYWINE GLOBAL OPPORTUNISTIC FIXED INCOME SRI FUND

82-3685022

ADDRESS

1735 MARKET STREET, SUITE 1800  
PHILADELPHIA, PA 19103

DESCRIPTION OF TRANSFER

DISTRIBUTION FROM PARTNERSHIP

AMOUNT  
OF TRANSFER

122,255.

TOTAL AMOUNT OF TRANSFERS FROM CONTROLLED ENTITIES

122,255.

FORM 990-PF

SCHEDULE OF CONTROLLED ENTITIES  
PART VI-A, LINE 11

STATEMENT 20

NAME OF CONTROLLED ENTITY

EMPLOYER ID NO

BRANDYWINE GLOBAL OPPORTUNISTIC FIXED INCOME SRI FUND

82-3685022

ADDRESS

1735 MARKET STREET, SUITE 1800  
PHILADELPHIA, PA 19103

EXCESS BUSINESS HOLDING [ ] YES [X] NO

FORM 990-PF

PART VII - LIST OF OFFICERS, DIRECTORS  
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 21

| NAME AND ADDRESS   | TITLE AND<br>AVRG HRS/WK                  | COMPEN-<br>SATION | EMPLOYEE<br>BEN PLAN CONTRIB | EXPENSE<br>ACCOUNT |
|--|---|-------------------|------------------------------|--------------------|
| DAVID ROCKEFELLER, JR.<br>475 RIVERSIDE DRIVE, SUITE 900<br>NEW YORK, NY 10115 | TRUSTEE<br>1.00                           | 0.                | 0.                           | 0.                 |
| VALERIE ROCKEFELLER<br>475 RIVERSIDE DRIVE, SUITE 900<br>NEW YORK, NY 10115    | TRUSTEE, CHAIR<br>5.00                    | 0.                | 0.                           | 0.                 |
| JUSTIN ROCKEFELLER<br>475 RIVERSIDE DRIVE, SUITE 900<br>NEW YORK, NY 10115     | TRUSTEE<br>1.00                           | 0.                | 0.                           | 0.                 |
| MIRANDA M. KAISER<br>475 RIVERSIDE DRIVE, SUITE 900<br>NEW YORK, NY 10115      | TRUSTEE<br>1.00                           | 0.                | 0.                           | 0.                 |
| AYAD AKHTAR<br>475 RIVERSIDE DRIVE, SUITE 900<br>NEW YORK, NY 10115            | TRUSTEE<br>1.00                           | 0.                | 0.                           | 0.                 |
| MARSHA SIMMS<br>475 RIVERSIDE DRIVE, SUITE 900<br>NEW YORK, NY 10115           | TRUSTEE (UNTIL JUNE 16, 2021)<br>1.00     | 0.                | 0.                           | 400.               |
| JOSEPH PIERSON<br>475 RIVERSIDE DRIVE, SUITE 900<br>NEW YORK, NY 10115         | TRUSTEE (EFFECTIVE JUNE 16, 2021)<br>1.00 | 0.                | 0.                           | 2,000.             |
| WILLIAM LEE<br>475 RIVERSIDE DRIVE, SUITE 900<br>NEW YORK, NY 10115            | TRUSTEE (EFFECTIVE JUNE 16, 2021)<br>1.00 | 0.                | 0.                           | 0.                 |
| KAVITA RAMDAS<br>475 RIVERSIDE DRIVE, SUITE 900<br>NEW YORK, NY 10115          | TRUSTEE (UNTIL JUNE 16, 2021)<br>1.00     | 0.                | 0.                           | 400.               |
| DANIEL LEVY<br>475 RIVERSIDE DRIVE, SUITE 900<br>NEW YORK, NY 10115            | TRUSTEE<br>1.50                           | 0.                | 0.                           | 400.               |

ROCKEFELLER BROTHERS FUND, INC.

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|   |  |          |         |        |
|---|--|----------|---------|--------|
| PETER O'NEILL<br>475 RIVERSIDE DRIVE, SUITE 900<br>NEW YORK, NY 10115         | TRUSTEE<br>1.00                                  | 0.       | 0.      | 0.     |
| HUGH LAWSON<br>475 RIVERSIDE DRIVE, SUITE 900<br>NEW YORK, NY 10115           | TRUSTEE<br>1.00                                  | 0.       | 0.      | 0.     |
| MARNIE S. PILLSBURY<br>475 RIVERSIDE DRIVE, SUITE 900<br>NEW YORK, NY 10115   | TRUSTEE<br>1.00                                  | 0.       | 0.      | 0.     |
| JENNIFER NOLAN<br>475 RIVERSIDE DRIVE, SUITE 900<br>NEW YORK, NY 10115        | TRUSTEE<br>1.00                                  | 0.       | 0.      | 0.     |
| RYAN CROCKER<br>475 RIVERSIDE DRIVE, SUITE 900<br>NEW YORK, NY 10115          | TRUSTEE<br>1.00                                  | 0.       | 0.      | 0.     |
| HEATHER MCGHEE<br>475 RIVERSIDE DRIVE, SUITE 900<br>NEW YORK, NY 10115        | TRUSTEE<br>1.00                                  | 0.       | 0.      | 0.     |
| WYATT ROCKEFELLER<br>475 RIVERSIDE DRIVE, SUITE 900<br>NEW YORK, NY 10115     | TRUSTEE<br>1.00                                  | 0.       | 0.      | 0.     |
| MICHAEL QUATTRONE<br>475 RIVERSIDE DRIVE, SUITE 900<br>NEW YORK, NY 10115     | TRUSTEE<br>1.00                                  | 0.       | 0.      | 0.     |
| FEDERICA MOGHERINI<br>476 RIVERSIDE DRIVE, SUITE 900<br>NEW YORK, NY 10115    | TRUSTEE (EFFECTIVE NOVEMBER 17, 2021)<br>1.00    | 0.       | 0.      | 0.     |
| STEPHEN B. HEINTZ<br>475 RIVERSIDE DRIVE, SUITE 900<br>NEW YORK, NY 10115     | TRUSTEE, PRESIDENT & CEO<br>45.00                | 726,900. | 87,479. | 5,468. |
| ELIZABETH C. CAMPBELL<br>475 RIVERSIDE DRIVE, SUITE 900<br>NEW YORK, NY 10115 | EXECUTIVE VP, PROGRAMS & COMMUNICATIONS<br>45.00 | 426,214. | 55,376. | 4,679. |
| GERALDINE F. WATSON<br>475 RIVERSIDE DRIVE, SUITE 900<br>NEW YORK, NY 10115   | EXECUTIVE VP, FINANCE & OPERATIONS<br>45.00      | 426,214. | 70,189. | 7,262. |

ROCKEFELLER BROTHERS FUND, INC.

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NANCY L. MUIRHEAD  
475 RIVERSIDE DRIVE, SUITE 900  
NEW YORK, NY 10115

COUNSEL & CORPORATE SECRETARY  
45.00 291,181. 83,020. 4,822.

JUDY A. CLARK  
475 RIVERSIDE DRIVE, SUITE 900  
NEW YORK, NY 10115

EXECUTIVE DIRECTOR - POCANTICO CENTER  
45.00 291,181. 59,787. 4,319.

MARK ADIEDO - VP, PEOPLE &  
475 RIVERSIDE DRIVE, SUITE 900  
NEW YORK, NY 10115

CULTURE/CHIEF DIVERSITY OFFICER  
45.00 305,086. 73,510. 4,258.

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VII

|            |          |         |
|------------|----------|---------|
| 2,466,776. | 429,361. | 34,008. |
|------------|----------|---------|

FORM 990-PF

EXPENDITURE RESPONSIBILITY STATEMENT  
PART VI-B, LINE 5D

STATEMENT 22

GRANTEE'S NAME

BEIJING HEYI GREEN FOUNDATION

GRANTEE'S ADDRESSROOM 909, UNIT 1, 16 HAIDIAN MIDDLE STREET, HAIDIAN DISTRICT  
BEIJING, CHINA, 100080GRANT AMOUNT

150,000.

DATE OF GRANT

12/18/20

AMOUNT EXPENDED

150,000.

PURPOSE OF GRANT

FOR DEVELOPING ITS FOODTHINK PLATFORM TO PROMOTE SUSTAINABLE FOOD SYSTEMS

DATES OF REPORTS BY GRANTEE

9/9/2021, 6/2/2022

ANY DIVERSION BY GRANTEE

NONE TO OUR KNOWLEDGE. THIS GRANTEE IS NOW A PUBLIC CHARITY EQUIVALENT.

GRANTEE'S NAME

CREATURA, PROMOTORA DEL PENSAMIENTO CRITICO, SOCIEDAD CIVIL

GRANTEE'S ADDRESS

POPOCATEPETL 18, HIPODROMO, CUAUHTEMOC  
MEXICO CITY, MEXICO, 06100

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> |
|---------------------|----------------------|------------------------|
| 240,000.            | 12/13/21             | 45,100.                |

PURPOSE OF GRANT

FOR ITS COLLABORATIVE FUNDING INITIATIVE TO STRENGTHEN CENTRAL AMERICAN CIVIL SOCIETY

DATES OF REPORTS BY GRANTEE

6/8/2022

ANY DIVERSION BY GRANTEE

NONE TO OUR KNOWLEDGE

GRANTEE'S NAME

GROWALD FAMILY FUND, INC.

GRANTEE'S ADDRESS

55 POND AVENUE, SUITE 202E  
BROOKLINE, MA 02445

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> |
|---------------------|----------------------|------------------------|
| 50,000.             | 12/09/20             | 50,000.                |

PURPOSE OF GRANT

TO SUPPORT MANAGEMENT OF FUNDER NETWORKS ON GLOBAL CHALLENGES

DATES OF REPORTS BY GRANTEE

2/25/2021, 2/17/2022

ANY DIVERSION BY GRANTEE

NONE TO OUR KNOWLEDGE

GRANTEE'S NAME

MATTIN GROUP

GRANTEE'S ADDRESS

SQUARE BARON ALFRED BOUVIER 6/4  
BRUSSELS, BELGIUM, 1060

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> |
|---------------------|----------------------|------------------------|
| 60,000.             | 09/01/21             | 51,350.                |

PURPOSE OF GRANT

FOR GENERAL SUPPORT

DATES OF REPORTS BY GRANTEE

6/15/2022

ANY DIVERSION BY GRANTEE

NONE TO OUR KNOWLEDGE

GRANTEE'S NAME

RAMON MAGSAYSAY AWARD FOUNDATION

GRANTEE'S ADDRESS

1680 ROXAS BOULEVARD  
MANILA, PHILIPPINES, 1004

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> |
|---------------------|----------------------|------------------------|
| 150,000.            | 08/19/10             | 135,000.               |

PURPOSE OF GRANT

TO SUPPORT THE 2010 RAMON MAGSAYSAY AWARDS

DATES OF REPORTS BY GRANTEE

PRIOR+ 9/24/14, 10/23/15, 10/14/17, 9/14/18, 9/20/19, 6/24/20, 5/18/21

ANY DIVERSION BY GRANTEE

NONE TO OUR KNOWLEDGE. \$15,000 WAS RETURNED IN 2021.

GRANTEE'S NAME

STICHTING BANKTRACK

GRANTEE'S ADDRESS

VISMARKT 15  
NIJMEGEN, NETHERLANDS, 6511 VJ

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> |
|---------------------|----------------------|------------------------|
| 35,000.             | 10/05/20             | 35,000.                |

PURPOSE OF GRANT

FOR GENERAL SUPPORT

DATES OF REPORTS BY GRANTEE

9/2/2021, 4/5/2022

ANY DIVERSION BY GRANTEE

NONE TO OUR KNOWLEDGE. THIS GRANTEE IS NOW A PUBLIC CHARITY EQUIVALENT.

FORM 990-PF

SUMMARY OF DIRECT CHARITABLE ACTIVITIES

STATEMENT 23

ACTIVITY ONE

THE POCANTICO CENTER IS MANAGED BY THE ROCKEFELLER BROTHERS FUND AS PART OF ITS AGREEMENT WITH THE NATIONAL TRUST FOR HISTORIC PRESERVATION, ENTERED INTO IN 1991, UNDER WHICH THE FUND IS RESPONSIBLE FOR THE RENOVATION, MAINTENANCE, AND OPERATION OF THIS HISTORIC SITE. IT ALSO SERVES AS A COMMUNITY RESOURCE, AND OFFERS PUBLIC ACCESS THROUGH A VISITATION PROGRAM, LECTURES AND CULTURAL EVENTS. IN 2021, DUE TO COVID-19, THE VISITATION PROGRAM CONTINUED TO BE CEASED. DURING 2021, 23 PUBLIC PROGRAMS WERE HELD, INCLUDING 2 FORUM/LECTURE/SYMPOSIUMS, 5 RESIDENCIES, 4 SUMMER PERFORMANCES, 3 SMALL PERFORMANCES, 1 COMMUNITY OUTREACH AND EDUCATION PROGRAM, AND 8 OTHER EDUCATIONAL PROGRAMS. THE PUBLIC PROGRAMS HELD IN 2021 INCLUDE BOTH IN-PERSON AND VIRTUAL PROGRAMS.

EXPENSES

TO FORM 990-PF, PART VIII-A, LINE 1

7,219,531.

FORM 990-PF

SUMMARY OF DIRECT CHARITABLE ACTIVITIES

STATEMENT 24

ACTIVITY TWO

A CONSTRUCTION PROJECT CENTERED AROUND THE REUSE OF THE ORANGERIE, BUILT BY JOHN D. ROCKEFELLER IN 1908 ON THE HISTORIC ROCKEFELLER FAMILY ESTATE AND BEQUEATHED TO THE NATIONAL TRUST FOR HISTORIC PRESERVATION IN 1979 BY NELSON ROCKEFELLER, CONTINUED THROUGHOUT 2021. THE PROJECT WILL TRANSFORM THE LONG-DORMANT BUILDING INTO WHAT WILL BE ESTABLISHED AS THE DAVID ROCKEFELLER CREATIVE ARTS CENTER, A VIBRANT PUBLIC VENUE THAT WILL NURTURE THE ARTS BOTH LOCALLY AND GLOBALLY, WITH WORLD CLASS PERFORMANCES AND EXHIBITS, RESIDENCIES FOR ARTISTS WORKING ACROSS DISCIPLINES, AND SPACE FOR SCHOOL AND COMMUNITY CULTURAL PROGRAMS. THE VENUE IS EXPECTED TO OPEN IN FALL 2022.

EXPENSES

TO FORM 990-PF, PART VIII-A, LINE 2

11,461,126.

FORM 990-PF

SUMMARY OF DIRECT CHARITABLE ACTIVITIES

STATEMENT 25

ACTIVITY THREE

DURING 2021, 11 MEMBERS OF THE STAFF OF ROCKEFELLER BROTHERS FUND PROVIDED TECHNICAL ASSISTANCE TO CHARITABLE ORGANIZATIONS, GOVERNMENTAL BODIES AND COMMITTEES, AND 17 MEMBERS OF THE STAFF SERVED ON 59 CHARITABLE ORGANIZATIONS' BOARDS, COMMITTEES, PUBLIC COMMISSIONS, OR TASK FORCES.

EXPENSES

TO FORM 990-PF, PART VIII-A, LINE 3

739,209.

FORM 990-PF

SUMMARY OF DIRECT CHARITABLE ACTIVITIES

STATEMENT 26

ACTIVITY FOUR

THE POCANTICO CENTER OF THE ROCKEFELLER BROTHERS FUND, LOCATED WITHIN THE POCANTICO HISTORIC AREA (SEE ACTIVITY 1, ABOVE), BEGAN OPERATIONS IN APRIL OF 1994. THE POCANTICO CENTER IS A VENUE FOR CONFERENCES AND MEETINGS ON CRITICAL ISSUES RELATED TO THE ROCKEFELLER BROTHERS FUND'S MISSION. THE POCANTICO CENTER ALSO HOSTS ARTIST RESIDENCIES AND PROVIDES PERFORMANCE SPACE FOR ARTISTS SELECTED BY RBF GRANTEES AND NEW YORK CITY ARTS ORGANIZATIONS. DUE TO THE COVID-19 PANDEMIC, THE POCANTICO CENTER REMAINED CLOSED FOR MOST OF 2021, AND RESUMED IN-PERSON CONVENINGS IN OCTOBER 2021. THE POCANTICO CENTER HELD 8 CONFERENCES AND MEETINGS IN 2021, INCLUDING SOME WHICH WERE HELD VIRTUALLY.

EXPENSES

TO FORM 990-PF, PART VIII-A, LINE 4

126,311.

GENERAL EXPLANATION

STATEMENT 27

FORM/LINE IDENTIFIER

FORM 990-PF, PART VI-A, LINE 11

EXPLANATION:

THE FOLLOWING CONTROLLED ENTITIES ARE NOT EXCESS BUSINESS HOLDINGS:

BRANDYWINE GLOBAL OPPORTUNISTIC FIXED INCOME SRI FUND  
 1735 MARKET STREET, SUITE 1800  
 PHILADELPHIA, PA 19103  
 EIN# 82-3685022

TRANSACTIONS:

TRANSFER TO CONTROLLED ORGANIZATION: NONE  
 TRANSFER FROM CONTROLLED ORGANIZATION: \$122,255 DISTRIBUTION FROM LIMITED PARTNERSHIP

GENERAL EXPLANATION

STATEMENT 28

FORM/LINE IDENTIFIER

FORM 990-PF, PART VII, LINE 1, COLUMN (C), COMPENSATION

EXPLANATION:

ALL REPORTED COMPENSATION AMOUNTS INCLUDE SALARY, FEES, BONUSES, AND SEVERANCE PAYMENTS RECEIVED BY EACH INDIVIDUAL LISTED, AS WELL AS CURRENT YEAR PAYMENTS OF AMOUNTS REPORTED OR REPORTABLE AS DEFERRED COMPENSATION IN CURRENT OR PRIOR YEARS. AS A RESULT, THE AMOUNT REPORTED IN TOTAL ON PART VIII, LINE 1 MAY DIFFER FROM THE AMOUNT REPORTED ON PART I, LINE 13, COLUMN (A) WHICH WOULD NOT INCLUDE DEFERRED COMPENSATION REFLECTED PER BOOKS IN PRIOR YEARS.

## GENERAL EXPLANATION

STATEMENT 29

## FORM/LINE IDENTIFIER AND DESCRIPTION/RETURN REFERENCE

PART XII, LINE 7 - DISTRIBUTIONS OUT OF CORPUS

## EXPLANATION:

THE FOUNDATION RECEIVED CONTRIBUTIONS FROM OTHER PRIVATE FOUNDATIONS WHICH IT EXPENSED OR REDISTRIBUTED. IN ACCORDANCE WITH IRS REG. 53-4942(A)-3(D) THE FOUNDATION IS ELECTING TO TREAT \$1,777,335 NOT AS A QUALIFYING DISTRIBUTION BUT AS A DISTRIBUTION OUT OF CORPUS.

PURSUANT TO REG. 53.4942(A)-3(C)(2)(IV), THE FOUNDATION HEREBY ELECTS TO TREAT, AS A CURRENT CORPUS DISTRIBUTION, THE FOLLOWING UNUSED PRIOR TAX YEARS' DISTRIBUTIONS THAT WERE TREATED AS CORPUS DISTRIBUTIONS UNDER REG. 53.4942(A)-3(D)(1)(III) IN SUCH PRIOR TAX YEARS:

| TAX YEAR | AMOUNT      |
|----------|-------------|
| 2016     | \$1,777,335 |

## GENERAL EXPLANATION

STATEMENT 30

## FORM/LINE IDENTIFIER

FORM 990-PF, PART XV, LINE 2

## EXPLANATION:

PART XIV, LINE 2A AND 2C

GRANT INQUIRIES ARE ACCEPTED THROUGHOUT THE YEAR AT [HTTPS://WWW.RBF.ORG/GRANTS/FOR-GRANT-SEEKERS](https://www.rbf.org/grants/for-grant-seekers) . THE ONLINE GRANT INQUIRY IS THE STARTING POINT FOR APPLYING FOR A GRANT FROM THE ROCKEFELLER BROTHERS FUND.

PART XIV, LINE 2B

FOUNDED IN 1940, THE ROCKEFELLER BROTHERS FUND ADVANCES SOCIAL CHANGE THAT CONTRIBUTES TO A MORE JUST, SUSTAINABLE, AND PEACEFUL WORLD. THE FUND'S GRANTMAKING IS ORGANIZED AROUND THREE THEMATIC PROGRAMS DEMOCRATIC PRACTICE, SUSTAINABLE DEVELOPMENT, AND PEACEBUILDING THAT SUPPORT WORK IN THE UNITED STATES AND AT THE GLOBAL LEVEL, AND TWO PIVOTAL PLACE PROGRAMS THAT ADDRESS THESE THEMES IN SPECIFIC CONTEXTS: CHINA AND THE WESTERN BALKANS. THE CHARLES E. CULPEPER ARTS & CULTURE PROGRAM NURTURES A VIBRANT AND INCLUSIVE ARTS COMMUNITY IN THE FUND'S HOME CITY OF NEW YORK.

ROCKEFELLER BROTHERS FUND STAFF ARE HIGHLY ENGAGED IN THE FIELDS IN WHICH THEY PROVIDE SUPPORT. STAFF MEMBERS ACTIVELY IDENTIFY ORGANIZATIONS THAT ARE WELL-POSITIONED TO MEET PROGRAMMATIC GOALS. WHILE THE FUND REMAINS OPEN TO UNSOLICITED REQUESTS, APPLICANTS SHOULD BE AWARE THAT THE LIKELIHOOD OF AN UNSOLICITED REQUEST BECOMING A GRANT IS LOW. APPLICATIONS FOR FUNDING ARE CONSIDERED THROUGHOUT THE YEAR. THE FIRST STEP FOR PROSPECTIVE APPLICANTS IS TO SUBMIT AN ONLINE GRANT INQUIRY. THE REVIEW PROCESS MAY TAKE UP TO THREE MONTHS FROM THE TIME THE INQUIRY IS RECEIVED.

STAFF REVIEW EACH INQUIRY FOR FIT WITHIN CURRENT PROGRAM GUIDELINES, FUNDING PRIORITIES, AND BUDGET CONSTRAINTS. IF THERE IS INTEREST IN CONSIDERING A GRANT, STAFF WILL CONTACT THE APPLICANT FOR ADDITIONAL INFORMATION, INCLUDING A DETAILED PROPOSAL. APPLICANTS SHOULD NOT SEND A FULL PROPOSAL UNLESS REQUESTED TO DO SO BY FUND STAFF. THE REVIEW PROCESS MAY TAKE UP TO THREE MONTHS FROM THE TIME THE INQUIRY IS RECEIVED.

ONCE A GRANT IS APPROVED, GRANTEEES WILL RECEIVE AN AGREEMENT LETTER FROM THE FUND OUTLINING THE TERMS OF THE GRANT, INCLUDING THE EXPECTED REPORTING REQUIREMENTS AND PAYMENT SCHEDULE. THE FIRST PAYMENT ON THE GRANT IS GENERALLY ISSUED UPON RETURN OF THE COUNTERSIGNED AWARD LETTER TO THE FUND.

RBF STAFF MEMBERS MONITOR GRANTS THROUGHOUT THEIR LIFE CYCLE. EACH GRANTEE IS REQUIRED TO SUBMIT NARRATIVE AND FINANCIAL REPORTS AT SPECIFIED INTERVALS DURING THE GRANT AS WELL AS AT ITS CONCLUSION. GRANT REPORTS BECOME PART OF THE FUND'S PERMANENT RECORDS.

PART XIV, LINE 2D

THE CHARLES E. CULPEPER ARTS & CULTURE PROGRAM HONORS THE LEGACY OF CHARLES E. CULPEPER BY SUPPORTING THE ARTISTS AND CULTURAL ORGANIZATIONS THAT MAKE NEW YORK CITY ONE OF THE WORLD'S MOST DYNAMIC CREATIVE CAPITALS. THESE GRANTS SUPPORT THE CREATIVE PROCESS, ELEVATE ARTS ADVOCACY EFFORTS, AND PROMOTE DIVERSITY IN THE CITY'S CREATIVE LIFE.

#### ELIGIBILITY

ORGANIZATIONS BASED WITHIN THE FIVE BOROUGHES OF NEW YORK CITY

ORGANIZATIONS WITH 501(C)(3) TAX STATUS OR PROJECTS OF SPONSORING ORGANIZATIONS WITH 501(C)(3) TAX STATUS

GENERALLY, NO CAPITAL CAMPAIGNS, FESTIVALS, MEETINGS, CASH RESERVE FUNDS, OR ENDOWMENTS

SUPPORT FOR ARTS EDUCATION HAS BEEN PHASED OUT

THE ROCKEFELLER BROTHERS FUND AWARDS GRANTS THROUGHOUT THE YEAR TO SUPPORT A VARIETY OF CHARITABLE PROJECTS IN THE UNITED STATES AND ABROAD THAT SEEK TO EXPAND KNOWLEDGE, CLARIFY VALUES AND CRITICAL CHOICES, NURTURE CREATIVE EXPRESSION, AND SHAPE PUBLIC POLICY.

TO BE ELIGIBLE FOR A GRANT, ORGANIZATIONS SHOULD BE CONSIDERED TAX-EXEMPT OR SEEKING SUPPORT FOR A PROJECT THAT WOULD QUALIFY AS EDUCATIONAL OR CHARITABLE. THE FUND'S GRANTMAKING IS ORGANIZED AROUND THREE THEMATIC PROGRAMS AND THREE PLACE-BASED PROGRAMS. THE RBF AWARDS

A LIMITED NUMBER OF PHILANTHROPIC STEWARDSHIP AND SPECIAL OPPORTUNITIES GRANTS THAT SUPPORT NONPROFIT INFRASTRUCTURE ORGANIZATIONS AND HELP TO FURTHER THE FUND'S MISSION. PLEASE SEE WHAT WE DO NOT FUND AT [HTTPS://WWW.RBF.ORG/GRANTS/FOR-GRANT-SEEKERS](https://www.rbf.org/grants/for-grant-seekers).

#### RBF PROGRAM STATEMENT

THE ROCKEFELLER BROTHERS FUND ADVANCES SOCIAL CHANGE THAT CONTRIBUTES TO A MORE JUST, SUSTAINABLE, AND PEACEFUL WORLD. THROUGH ITS GRANTMAKING, THE FUND SUPPORTS EFFORTS TO EXPAND KNOWLEDGE, CLARIFY VALUES AND CRITICAL CHOICES, NURTURE CREATIVE EXPRESSION, AND SHAPE PUBLIC POLICY. THE FUND'S PROGRAMS ARE INTENDED TO DEVELOP LEADERS, STRENGTHEN INSTITUTIONS, ENGAGE CITIZENS, BUILD COMMUNITY, AND FOSTER PARTNERSHIPS THAT INCLUDE GOVERNMENT, BUSINESS, AND CIVIL SOCIETY. RESPECT FOR CULTURAL DIVERSITY AND ECOLOGICAL INTEGRITY PERVADES THE FUND'S ACTIVITIES. AS AN INSTITUTIONAL CITIZEN OF AN INTERDEPENDENT WORLD, THE FUND IS ACTIVE GLOBALLY, NATIONALLY, AND LOCALLY IN ITS HOME CITY OF NEW YORK. GRANT PROGRAMS ARE ORGANIZED AROUND THREE THEMES: DEMOCRATIC PRACTICE; PEACEBUILDING; AND SUSTAINABLE DEVELOPMENT. THE FUND RECOGNIZES THAT ACHIEVEMENT OF PROGRESS IN EACH OF THESE PROGRAM AREAS IS OFTEN INTERCONNECTED WITH DEVELOPMENTS IN THE OTHERS. AS A PRIVATE FOUNDATION, THE FUND STRIVES TO PROMOTE PHILANTHROPIC EXCELLENCE AND TO ENHANCE THE EFFECTIVENESS OF THE NONPROFIT SECTOR.

AS SPECIFIED IN THE GUIDELINES FOR EACH GRANT PROGRAM, THE FUND SUPPORTS ACTIVITIES IN A VARIETY OF GEOGRAPHIC CONTEXTS. IT ALSO HAS IDENTIFIED SEVERAL SPECIFIC LOCATIONS ON WHICH TO CONCENTRATE CROSS-PROGRAMMATIC ATTENTION. THE FUND REFERS TO THESE AS "RBF PIVOTAL PLACES": SUB-NATIONAL AREAS, NATION-STATES, OR CROSS-BORDER REGIONS WHICH HAVE SPECIAL IMPORTANCE WITH REGARD TO THE FUND'S SUBSTANTIVE CONCERNS AND WHOSE FUTURE WILL HAVE DISPROPORTIONATE SIGNIFICANCE FOR THE FUTURE OF A SURROUNDING REGION, AN ECOSYSTEM, OR THE WORLD. THE FUND CURRENTLY WORKS IN THREE PIVOTAL PLACES: CHINA, THE WESTERN BALKANS, AND CENTRAL AMERICA. THE CHARLES E. CULPEPER ARTS & CULTURE PROGRAM, FOCUSED ON NEW YORK, NURTURES A VIBRANT AND INCLUSIVE ARTS COMMUNITY IN THE FUND'S HOME CITY.

THE POCANTICO CENTER OF THE ROCKEFELLER BROTHERS FUND IS LOCATED AT THE HEART OF THE ROCKEFELLER ESTATE OUTSIDE NEW YORK CITY AND WAS CREATED WHEN THE FUND LEASED THE AREA FROM THE NATIONAL TRUST FOR HISTORIC PRESERVATION IN 1991. THE CONFERENCE CENTER PROVIDES A UNIQUE SETTING WHERE THE RBF AND OTHER NONPROFIT ORGANIZATIONS AND PUBLIC SECTOR INSTITUTIONS CAN BRING TOGETHER PEOPLE OF DIVERSE BACKGROUNDS AND PERSPECTIVES TO ENGAGE CRITICAL ISSUES, REACH NEW LEVELS OF UNDERSTANDING, AND DEVELOP CREATIVE SOLUTIONS TO PRESSING PROBLEMS.

IN THE YEARS SINCE ITS FOUNDING IN 1940, THE FUND HAS DEVELOPED A DISTINCTIVE STYLE OF GRANTMAKING THAT IS REFLECTED IN THE FOLLOWING CHARACTERISTICS:

-LONG VIEW. GRANTMAKING IS PRIMARILY CONCERNED WITH FUNDAMENTAL PROBLEMS AND IS DESIGNED TO CONTRIBUTE TO THE ACHIEVEMENT OF LONG-TERM GOALS AND TO MAKE A LASTING IMPACT.

-COMMITMENT. EXTENDED COMMITMENTS ARE FREQUENTLY MADE TO SPECIFIC ISSUES AND GEOGRAPHIC REGIONS AND EVEN TO PARTICULAR GRANTEES.

-SYNERGY. RATHER THAN CONSIDERING OPPORTUNITIES ON A STAND-ALONE BASIS, THE FUND LOOKS FOR CONNECTIONS AMONG THE ACTIVITIES IT SUPPORTS AND THE THEMES IT PURSUES, BOTH WITHIN AND ACROSS PROGRAM AREAS AND IN SPECIFIC

## GEOGRAPHIC LOCATIONS.

-INITIATIVE. THE FUND INITIATES OR PARTICIPATES IN THE DEVELOPMENT OF MANY OF THE PROJECTS THAT IT SUPPORTS.

-ENGAGEMENT. IN ADDITION TO PROVIDING FINANCIAL SUPPORT, THE FUND OFTEN WORKS CLOSELY WITH GRANTEE ORGANIZATIONS TO HELP STRENGTHEN THEIR CAPACITY AND ADVANCE THEIR WORK.

-COLLABORATION. THE FUND ACTIVELY SEEKS OPPORTUNITIES TO COLLABORATE WITH OTHER FUNDERS.

-CONVENING. THE FUND DEVOTES TIME AND RESOURCES, INCLUDING THE USE OF ITS POCANTICO CONFERENCE CENTER, TO CONVENING GROUPS OF DIVERSE STAKEHOLDERS AND ENCOURAGING COLLABORATION AMONG GOVERNMENT AGENCIES, CORPORATIONS, AND NONGOVERNMENTAL ORGANIZATIONS.

THE GOALS AND STRATEGIES IN EACH OF OUR PROGRAMS ARE IMPLEMENTED THROUGH A VARIETY OF APPROACHES TO GRANTMAKING. IN SOME PROGRAMS, AS IS NOTED IN THE GUIDELINES, THE FUND PROACTIVELY IDENTIFIES GRANTEE PARTNERS AND THUS HAS LIMITED ABILITY TO RESPOND TO UNSOLICITED PROPOSALS. GRANTSEEKERS ARE ENCOURAGED TO STUDY THE GUIDELINES CLOSELY. PROSPECTIVE GRANTEES ARE ALSO URGED TO CONSULT THE SECTION "HOW TO APPLY" AT WWW.RBF.ORG FOR SPECIFIC GUIDANCE ON THE APPLICATION PROCESS.

## RBF PROGRAMS

## DEMOCRATIC PRACTICE PROGRAM GUIDELINES

FOR DEMOCRACY TO FLOURISH AND DELIVER ON ITS PROMISES INCLUDING POLITICAL PARTICIPATION, HUMAN RIGHTS, ACCESS TO JUSTICE, A GOOD EDUCATION, AN IMPROVED QUALITY OF LIFE, A HEALTHY ENVIRONMENT, AND PERSONAL SECURITY ITS CITIZENS MUST BE INFORMED, ENGAGED, EMPOWERED, AND ASSERTIVE. SIMILARLY, INSTITUTIONS OF GOVERNANCE MUST BE INCLUSIVE, TRANSPARENT, ACCOUNTABLE, AND RESPONSIVE.

THE FREQUENT FAILURE OF BOTH NEW AND ESTABLISHED DEMOCRACIES TO DELIVER ON THEIR PROMISES UNDERMINES THE COMMITMENT TO DEMOCRATIC PRACTICES. WEALTHY AND POWERFUL ACTORS EXERCISE UNDUE INFLUENCE, AND VOICES THAT HISTORICALLY HAVE BEEN EXCLUDED REMAIN UNHEARD IN DECISION-MAKING PROCESSES.

THE UNITED STATES CONTINUES TO FACE A NUMBER OF DEMOCRATIC DEFICITS: A DECLINE IN MANY TRADITIONAL FORMS OF CIVIC ENGAGEMENT; REDUCED PARTICIPATION IN THE FORMAL INSTITUTIONS OF DEMOCRACY, INCLUDING BUT NOT LIMITED TO VOTING; AND DECLINING TRUST IN ALL INSTITUTIONS, ESPECIALLY INSTITUTIONS OF GOVERNMENT. THESE DEFICITS ARE BEING EXACERBATED BY DEEPLY ROOTED ECONOMIC INEQUALITY, AND AMERICAN SOCIETY IS BECOMING INCREASINGLY POLARIZED, SOCIALLY, ECONOMICALLY, AND POLITICALLY.

AT THE SAME TIME, THE PROCESS OF GLOBALIZATION HAS SIMILARLY PRODUCED DEMOCRATIC DEFICITS IN GLOBAL GOVERNANCE. GLOBAL POWER AND WEALTH INEQUITIES HAVE DEEPEDED, WHILE THE SIGNIFICANCE OF DECISIONS MADE BY TRANSNATIONAL INSTITUTIONS SUCH AS MULTILATERAL ORGANIZATIONS, MULTINATIONAL CORPORATIONS, AND INTERNATIONAL FINANCIAL INSTITUTIONS HAS INCREASED. IN THIS PATCHWORK OF INSTITUTIONS AND PRACTICES, GLOBAL GOVERNANCE DECISIONS ARE MADE WITH INADEQUATE INCLUSIVENESS, ACCOUNTABILITY, AND TRANSPARENCY, OFTEN PRE-EMPTING OR DISTORTING LEGITIMATE NATIONAL AND LOCAL DECISION-MAKING PROCESSES.

THE FUND'S DEMOCRATIC PRACTICE PROGRAM HAS TWO PARTS: ADVANCING A VIBRANT AND INCLUSIVE DEMOCRACY IN THE UNITED STATES AND STRENGTHENING DEMOCRATIC PRACTICE IN GLOBAL GOVERNANCE. BASED ON A CAREFUL ASSESSMENT OF LOCAL NEEDS AND PRIORITIES, THE FUND ALSO PURSUES ONE OR MORE OF THE DEMOCRATIC PRINCIPLES UNDERLYING THE PROGRAM IN ITS "PIVOTAL PLACES." RECOGNIZING THAT THERE IS NO SINGLE MODEL OF EFFECTIVE DEMOCRATIC PRACTICE, THE FUND EMPHASIZES FLEXIBILITY AND ADAPTABILITY TO DIFFERENT CONTEXTS IN THESE PIVOTAL PLACES.

#### DEMOCRACY IN THE UNITED STATES

DEMOCRACY IN THE UNITED STATES IS FACING MYRIAD CHALLENGES AS PERSISTENT AND DEEP DIVISIONS CONTINUE TO UNDERMINE THE NATION'S SOCIAL, ECONOMIC, AND POLITICAL VITALITY. THE CURRENT U.S. POLITICAL SYSTEM SUFFERS FROM OUTSIZED INFLUENCE OF MONEY IN POLITICS, EXTREME PARTISANSHIP, RETRENCHMENT OF VOTING RIGHTS, ISSUES WITH OUTDATED AND INEFFICIENT ELECTION ADMINISTRATION, AND CONCENTRATIONS OF POWER IN NARROW SEGMENTS OF SOCIETY NOT REFLECTIVE OF THE LARGER POPULATION. ALTERNATIVELY, NEW OPPORTUNITIES FOR SYSTEMIC REFORM ARE DEVELOPING AND GAINING TRACTION. THE NATION IS SEEING A RESURGENCE OF GRASSROOTS POLITICAL ACTIVISM, PROTEST, AND A DEMOCRATIZATION OF BOTH TRADITIONAL AND SOCIAL MEDIA. DIGITAL RESOURCES ARE FUELING DIFFERENT KINDS OF ENGAGEMENT AND ACTIVISM THAT ARE REACHING PEOPLE IN ENTIRELY NEW WAYS. FURTHER, THE ABILITY TO LEVERAGE CREATIVE INVESTIGATIVE AND SOLUTION-BASED JOURNALISM AND BROADLY AVAILABLE GOVERNMENT AND ELECTION DATA TO IMPROVE BOTH DEMOCRATIC SYSTEMS AND GRASSROOTS CIVIC ENGAGEMENT PROVIDES EXCITING OPPORTUNITIES TO BUILD A VITAL AND INCLUSIVE 21ST-CENTURY DEMOCRACY.

THE FUND RECOGNIZES THAT THE GAPS BETWEEN RICH AND POOR, AND WHITE AND NON-WHITE, ARE WIDENING, WHILE THE DIVERSITY OF ELECTED OFFICIALS REMAINS MISALIGNED WITH THE ELECTORATE, FUNDAMENTALLY UNDERMINING THE QUALITY OF REPRESENTATIVE DEMOCRACY. EXORBITANT AMOUNTS OF PRIVATE MONEY SPENT ON POLITICAL CAMPAIGNS AND LOBBYING BY A VERY SMALL PERCENTAGE OF THE ELECTORATE PROFOUNDLY DISTORT THE POLITICAL SYSTEM. OTHERS WITHOUT THE FINANCIAL RESOURCES TO INFLUENCE PUBLIC POLICY ARE FURTHER MARGINALIZED, UNDERMINING THE ABILITY OF VOTERS AND CONSTITUENTS TO HOLD ELECTED OFFICIALS ACCOUNTABLE AND FOSTERING PUBLIC CYNICISM AND DISTRUST OF ELECTED OFFICIALS AND PUBLIC INSTITUTIONS.

THE QUALITY OF OUR POLITICAL CULTURE CONTINUES TO DETERIORATE. CONSEQUENTLY, THERE ARE FEWER AND FEWER EXAMPLES OF TRUE BIPARTISANSHIP AND CONSTRUCTIVE COMPROMISE IN STATE AND FEDERAL LEGISLATURES. ADDITIONALLY, PARTISAN ACTORS, WITH A GOAL OF ACHIEVING PARTISAN SUPREMACY RATHER THAN ENSURING DEMOCRATIC FAIRNESS, EXERT DISPROPORTIONATE CONTROL OVER VOTING RIGHTS, POLL ACCESS, AND REDISTRICTING. PARTICIPATION IN NATIONAL ELECTIONS REMAINS BELOW THAT OF MOST ADVANCED DEMOCRACIES, AND TURNOUT FOR LOCAL ELECTIONS IS PERSISTENTLY LOW. MOREOVER, FAIR, EFFICIENT, AND EFFECTIVE ELECTION ADMINISTRATION IS UNDERMINED BY INACCURATE VOTER ROLLS AND OUTDATED PROCESSES AND TECHNOLOGY. IN ADDITION, ELIGIBLE VOTERS HAVE BEEN KEPT FROM THE POLLS BY RESTRICTIVE VOTING LAWS, OR WORSE, BY OVERT VOTER-SUPPRESSION EFFORTS.

MEANINGFUL AND INFORMED PUBLIC PARTICIPATION IN ALL PHASES OF DEMOCRACY IN THE UNITED STATES PROVIDES THE FOUNDATION FOR A TRULY VIBRANT DEMOCRACY. THE FUND BELIEVES THAT INNOVATION IN TRADITIONAL GRASSROOTS ORGANIZING STRATEGIES, DEVELOPMENT OF OPPORTUNITIES FOR UNDERREPRESENTED POPULATIONS IN CIVIC LEADERSHIP, AND EFFECTIVE

INTEGRATION OF DIGITAL MEDIA AND COMMUNICATIONS INTO CIVIC LIFE ARE PROMISING WAYS TO IMPROVE PUBLIC PARTICIPATION IN GOVERNANCE. AUTHENTIC PUBLIC PARTICIPATION IN DEMOCRACY LAYS THE GROUNDWORK FOR SUBSTANTIVE POLICY REFORMS THAT ARE A TRUE REFLECTION OF OUR REPRESENTATIVE DEMOCRACY.

GOAL: ADVANCE A VITAL AND INCLUSIVE DEMOCRACY IN THE UNITED STATES

IN THE UNITED STATES, THE FUND SUPPORTS INNOVATIVE STRATEGIES TO STRENGTHEN AND BROADEN PARTICIPATION IN THE PRACTICES AND INSTITUTIONS OF DEMOCRATIC GOVERNANCE, FOSTER GREATER TRANSPARENCY, ACCOUNTABILITY, AND RESPONSIVENESS OF GOVERNMENT INSTITUTIONS, AND PROMOTE SOCIAL, ECONOMIC, AND RACIAL JUSTICE IN OUR DEMOCRATIC SYSTEMS.

STRATEGIES:

-SUPPORTING INNOVATIONS IN SYSTEMS AND PRACTICES TO STRENGTHEN EQUALITY OF REPRESENTATION AND DISRUPT CORRUPTIVE INFLUENCES, INCLUDING MONEY AND PRIVILEGED RELATIONSHIPS.

-INCREASING OPPORTUNITIES FOR MEANINGFUL CITIZEN PARTICIPATION IN DEMOCRATIC SYSTEMS THROUGH ELECTION AND VOTING REFORMS, INCLUDING IMPROVEMENTS IN VOTING RIGHTS, ELECTION LAWS, REDISTRICTING PROCESSES, AND ELECTION ADMINISTRATION.

-SUPPORTING MOVEMENT-BUILDING STRATEGIES FOR SYSTEMIC REFORM OF DEMOCRATIC INSTITUTIONS TO ADVANCE ECONOMIC AND RACIAL JUSTICE.

THE RBF DEMOCRATIC PRACTICE-U.S. PROGRAM PRIORITIZES EFFORTS TO DISMANTLE STRUCTURAL SEXISM, RACISM, AND ABLEISM IN U.S. DEMOCRATIC SYSTEMS IN CONSIDERING ALL GRANT REQUESTS.

GLOBAL CHALLENGES

THE DRAMATIC INCREASE IN CROSS-BORDER FLOWS OF CAPITAL, GOODS, AND PEOPLE AND THEIR VALUES AND IDEAS "GLOBALIZATION" IS PRODUCING DEEP INTERDEPENDENCIES AND CHANGES IN POWER RELATIONS. IT IS A DEFINING PROCESS OF THE 21ST CENTURY, OFFERING BOTH CHALLENGES AND OPPORTUNITIES.

PUBLIC ENGAGEMENT IN DECISION MAKING ACROSS ALL LEVELS OF GOVERNANCE MUST CONTEND NOWADAYS WITH POWERFUL GLOBAL ACTORS, FORCES, AND INSTITUTIONS, PRESENTING PROFOUND CHALLENGES TO DEMOCRACY. ECONOMIC INTERESTS HAVE LARGELY OVERSHADOWED DEMOCRATIC PRACTICES, SOCIAL EQUITY, AND ENVIRONMENTAL CONCERNS IN THE EVOLUTION OF GLOBAL INSTITUTIONS. POWERFUL INTERNATIONAL TRADE AND FINANCIAL INSTITUTIONS REMAIN OPAQUE AND EXCLUSIVE, AND THE POWER AND REACH OF MULTINATIONAL CORPORATIONS OFTEN ESCAPE PUBLIC SCRUTINY OR EFFECTIVE REGULATION. THUS, ALTHOUGH THE IMPACT OF GLOBAL FORCES ON PEOPLES' LIVES IS GROWING, THEY FACE ENORMOUS IMPEDIMENTS TO BOTH DEFEND THEIR EXISTING RIGHTS AND ENGAGE TO MEET NEW GLOBAL CHALLENGES.

AT THE SAME TIME, GLOBALIZATION HAS OPENED UP NEW OPPORTUNITIES FOR BUILDING CROSS-BORDER, CITIZEN-BASED COALITIONS, WHICH ARE FINDING INNOVATIVE WAYS TO FRAME, ADDRESS, AND RESOLVE GLOBAL PROBLEMS. EVOLVING UNDERSTANDINGS OF PLANETARY LIMITS AND THE DRIVERS OF CLIMATE CHANGE HAVE GIVEN RISE TO CITIZEN GROUPS PRESSING FOR ECONOMIC AND ENVIRONMENTAL RIGHTS. COMMON EXPERIENCES OF INEQUALITY AND THE EROSION OF DEMOCRACY HAVE LED TO DEMANDS FOR CHANGES IN HOW RULES OF THE GLOBAL ECONOMY ARE WRITTEN AND IN WHOSE INTEREST. NEW TECHNOLOGIES AND WAYS OF

ORGANIZING UNDERGIRD CITIZEN NETWORKS WORKING ACROSS LANGUAGES, GEOGRAPHIES, AND CULTURES. THESE NOVEL COMBINATIONS OF GRASSROOTS, PROFESSIONAL, PUBLIC, PRIVATE, INTERGOVERNMENTAL, AND NONGOVERNMENTAL ORGANIZATIONS ARE ANALYZING GLOBAL PROCESSES, ARTICULATING ALTERNATIVES, AND ADVANCING IN DEMOCRATIC PRACTICE AND ACCOUNTABILITY TO ADDRESS GLOBAL CHALLENGES.

GOAL: ADVANCE DEMOCRATIC PRACTICES TO ADDRESS GLOBAL CHALLENGES

IN ITS WORK TO STRENGTHEN DEMOCRACY IN GLOBAL GOVERNANCE, THE FUND FOCUSES ON THE AREAS OF CLIMATE CHANGE, DEVELOPMENT FINANCE, AND TRADE. THE FUND IS PARTICULARLY INTERESTED IN CIVIL SOCIETY ORGANIZATIONS THAT REPRESENT AND ADVANCE DEVELOPING COUNTRY CONCERNS AS THEY SEEK TO INCREASE EQUITY AND FOSTER SUSTAINABILITY.

STRATEGIES:

-SUPPORTING EVIDENCE-BASED ANALYSIS AND COMMUNICATION OF THE WORKINGS AND IMPACT OF GLOBAL PROCESSES AND INSTITUTIONS.

-SUPPORTING CITIZEN ORGANIZING AND OTHER INITIATIVES TO ALIGN THE RULES OF GLOBAL COMMERCE AND ENERGY AND INFRASTRUCTURE FINANCE, WITH SOCIAL EQUITY AND ENVIRONMENTAL SUSTAINABILITY.

-ADVANCING SELECT INNOVATIVE IDEAS TO FRAME AND ADDRESS GLOBAL CHALLENGES AND OPPORTUNITIES.

SUSTAINABLE DEVELOPMENT PROGRAM GUIDELINES

HUMAN ACTIVITY IS CAUSING CLIMATE CHANGE, RAPID LOSS OF BIODIVERSITY, AND ACCELERATING DEGRADATION OF EARTH'S LIFE-SUPPORT SYSTEMS. THESE DEVELOPMENTS THREATEN THE LIVELIHOODS, HEALTH, AND SECURITY OF PEOPLE IN ALL NATIONS AND CULTURES AS WELL AS THE WELL-BEING OF THE GREATER COMMUNITY OF LIFE. THE RBF'S SUSTAINABLE DEVELOPMENT GRANTMAKING ENDEAVORS TO ADDRESS THESE CHALLENGES BY SUPPORTING DEVELOPMENT THAT MEETS THE NEEDS OF THE PRESENT WITHOUT COMPROMISING THE ABILITY OF FUTURE GENERATIONS TO MEET THEIR OWN NEEDS. THE PROGRAM SUPPORTS GLOBAL STEWARDSHIP THAT IS ECOLOGICALLY BASED, ECONOMICALLY SOUND, SOCIALLY JUST, CULTURALLY APPROPRIATE, AND CONSISTENT WITH INTERGENERATIONAL EQUITY. THE FUND ENCOURAGES GOVERNMENT, BUSINESS, AND CIVIL SOCIETY TO WORK COLLABORATIVELY ON CLIMATE CHANGE, TO ACKNOWLEDGE THE MORAL AND ETHICAL CONSEQUENCES OF INACTION, AND TO MAKE IT AN INTEGRAL PART OF ALL DEVELOPMENT PLANNING AND ACTIVITY. RECOGNIZING THE GLOBAL NATURE OF MANY ENVIRONMENTAL PROBLEMS, THE FUND ALSO PROMOTES INTERNATIONAL COOPERATION IN ADDRESSING THESE CHALLENGES.

THE SUSTAINABLE DEVELOPMENT PROGRAM MAINTAINS A SIGNIFICANT FOCUS ON THE UNITED STATES IN LIGHT OF ITS DISPROPORTIONATE IMPACT ON THE GLOBAL ECONOMY, POLITICS, AND THE ENVIRONMENT. THE PROGRAM'S WORK IS ALSO ADVANCED IN COLLABORATION WITH THE FUND'S "PIVOTAL PLACE" PROGRAMS CENTRAL AMERICA, CHINA, AND THE WESTERN BALKANS AND WITH THE DEMOCRATIC PRACTICE PROGRAM'S GLOBAL CHALLENGES PORTFOLIO. PIVOTAL PLACE PROGRAMS SUPPORT WORK IN SPECIFIC COUNTRIES OR REGIONS TO BUILD THE KNOWLEDGE, POLICIES, ORGANIZATIONAL CAPACITY, AND LEADERSHIP NEEDED TO ADVANCE SUSTAINABLE DEVELOPMENT IN LOCALLY APPROPRIATE WAYS. THE FUND'S DEMOCRATIC PRACTICE GLOBAL CHALLENGES PORTFOLIO SUPPORTS BROAD PARTICIPATION IN FORGING THE INTERNATIONAL AGREEMENTS AND INSTITUTIONAL ARRANGEMENTS NEEDED TO ENCOURAGE INVESTMENT IN SUSTAINABLE DEVELOPMENT. FUND STAFF WORK TO ENSURE THAT GLOBAL DEVELOPMENTS INFORM WORK IN SPECIFIC PLACES AND THAT LOCALLY GROUNDED EFFORTS GENERATE LESSONS AND

## INNOVATIONS NEEDED FOR GLOBAL IMPACT.

WITH THE RECOGNITION THAT THE IMPACT OF UNCHECKED CLIMATE CHANGE THREATENS ALL OTHER CONSERVATION EFFORTS, THE SUSTAINABLE DEVELOPMENT PROGRAM FOCUSES ITS U.S. GRANTMAKING ON BUILDING A GREEN ECONOMY AT THE FEDERAL, STATE, AND LOCAL LEVELS.

GOAL: ADVANCE SOLUTIONS TO CLIMATE CHANGE

## STRATEGIES:

-BUILDING PUBLIC AND POLICYMAKER UNDERSTANDING AND SUPPORT FOR A RANGE OF ACTIONS TO ADDRESS THE THREAT OF CLIMATE CHANGE.

-SUPPORTING IMPLEMENTATION EFFORTS TO BUILD A CLEAN ENERGY ECONOMY AT THE FEDERAL, STATE, AND LOCAL LEVELS.

-SUPPORTING EFFORTS TO REDUCE RELIANCE ON CARBON-INTENSIVE ENERGY SOURCES.

-SUPPORTING TARGETED EFFORTS TO ADVANCE INTERNATIONAL PROGRESS ON CLIMATE CHANGE.

## PEACEBUILDING PROGRAM GUIDELINES

COMPLEX FACTORS DRIVE THREATS TO GLOBAL PEACE AND SECURITY. FROM GROWING ECONOMIC INEQUALITY TO BREAKDOWNS IN TRUST BETWEEN STATES AND CITIZENS, FROM ENVIRONMENTAL DESTRUCTION TO PANDEMICS, THE MAGNITUDE OF TODAY'S CHALLENGES REFLECTS GROWING GLOBAL INTERDEPENDENCIES. CONFLICTS ARE BECOMING INCREASINGLY ENTRENCHED, FED BY GEOPOLITICAL RIVALRIES, WEAPONS FLOWS, GROWING AUTHORITARIANISM, AND XENOPHOBIC NATIONALISM. THE IMPACT ON COMMUNITIES IS DEVASTATING, CONTRIBUTING TO THE DISPLACEMENT OF PEOPLE ON A SCALE NOT SEEN SINCE WORLD WAR II. THESE CHALLENGES REQUIRE SYSTEMIC AND MULTIFACETED RESPONSES.

CREATING THE CONDITIONS FOR SUSTAINABLE PEACE REQUIRES NONVIOLENT CONFRONTATION AS WELL AS COURAGEOUS COMPROMISE. IT REQUIRES CHALLENGING ENTRENCHED POLITICAL AND ECONOMIC STRUCTURES. IT REQUIRES A NEW GENERATION OF LEADERS, DYNAMIC SOCIAL MOVEMENTS, AND CIVIL SOCIETY NETWORKS TO RESHAPE EXISTING FRAMEWORKS FOR CONFLICT TRANSFORMATION BY CONNECTING ADVOCACY, LEGAL APPROACHES, HUMAN RIGHTS PROTECTIONS, NEGOTIATIONS, AND POLICY.

THE PEACEBUILDING PROGRAM ASPIRES TO ADVANCE PEACEBUILDING THROUGH SUPPORT FOR POLICY ANALYSIS, COLLABORATIVE APPROACHES AND NETWORKS, AND CONSTITUENCY BUILDING, AS WELL AS BY DEFENDING CIVIL SOCIETY ACTORS WHO ARE WORKING TO END CONFLICT. BY ENGAGING A DIVERSE ARRAY OF ACTORS ESPECIALLY COMMUNITIES AFFECTED BY CONFLICT IN SOLUTIONS THAT ADDRESS DRIVERS OF VIOLENCE AND INSTABILITY, THE PROGRAM SEEKS TO ADVANCE POLICY CHANGE THAT IMPROVES SECURITY FOR ALL. THE PROGRAM PRIORITIZES EFFORTS THAT ADVANCE THE VALUES OF HUMAN SECURITY AND DIGNITY, PLURALISM AND ENGAGEMENT, JUSTICE AND ACCOUNTABILITY, EQUITY AND INCLUSIVITY, AND ENVIRONMENTAL STEWARDSHIP.

THE FUND SUPPORTS THE ROLE OF WOMEN, YOUTH, LOCAL COMMUNITIES, AND MARGINALIZED GROUPS IN PEACEBUILDING PROCESSES. IT FOCUSES ON CONFLICTS THAT HAVE A DISPROPORTIONATE INFLUENCE ON GLOBAL INSECURITY AND VIOLENCE AND IN WHICH THE UNITED STATES HAS A SIGNIFICANT INVOLVEMENT. IN THIS WAY, IT SEEKS TO ENCOURAGE BROADER SHIFTS IN THE TRAJECTORY OF U.S. FOREIGN POLICY.

GOAL: ADVANCE JUST AND DURABLE PEACE

THE FUND PURSUES THE FOLLOWING INTERRELATED STRATEGIES WITH RESPECT TO CONFLICTS IN THE MIDDLE EAST AND SOUTH ASIA, FOCUSING ON CONFLICTS IN AFGHANISTAN AND ISRAEL/PALESTINE, AS WELL AS ON REDUCING GEOPOLITICAL TENSIONS, INCLUDING BETWEEN THE UNITED STATES AND IRAN. GRANTMAKING ALSO SEEKS TO ADDRESS THE DYNAMICS THAT DRIVE AND RESULT FROM VIOLENCE, INCLUDING FORCED MIGRATION.

STRATEGIES:

- DEVELOPING ANALYSIS AND POLICIES TO ADVANCE PEACEBUILDING
- SUPPORTING COLLABORATIVE APPROACHES AND NETWORKS FOR SHARED SECURITY
- STRENGTHENING CONSTITUENCIES FOR CONFLICT TRANSFORMATION
- DEFENDING CIVIL SOCIETY AND HUMAN RIGHTS TO FOSTER SUSTAINABLE PEACE

CULPEPER ARTS & CULTURE PROGRAM GUIDELINES

THE CHARLES E. CULPEPER ARTS & CULTURE GRANTS HONOR THE LEGACY OF CHARLES E. CULPEPER BY SUPPORTING THE ARTISTS AND CULTURAL ORGANIZATIONS THAT MAKE NEW YORK CITY ONE OF THE WORLD'S MOST DYNAMIC CREATIVE CAPITALS. THE FUND IS INSPIRED BY THE CONVICTION THAT ARTS AND CULTURE PROMOTE FREE EXPRESSION, FOSTER A DEEPER UNDERSTANDING OF HUMAN EXPERIENCE, AND PROVIDE A FRESH LENS ON PERSISTENT PROBLEMS AND EMERGING CHALLENGES IN DIVERSE COMMUNITIES. THE FUND AIMS TO STRENGTHEN THE CULTURAL ENVIRONMENT OF THE CITY BY SUPPORTING ITS RICH ARTISTIC COMMUNITY AND THE DIVERSITY OF THE CITY'S POPULATION.

INCREASINGLY, THE ARTS AND ARTISTS HAVE AN IMPORTANT ROLE TO PLAY IN CIVIC DISCOURSE AND SOCIAL MOVEMENTS SHEDDING LIGHT ON IMPORTANT SOCIAL, ECONOMIC, AND POLITICAL ISSUES; BREAKING DOWN BARRIERS; HELPING SOCIETY HEAL AFTER CRISIS AND HARDSHIP; AND OFFERING HOPE FOR A BETTER FUTURE. ARTISTS ARE TRUE INNOVATORS THAT SPARK CREATIVITY, INSPIRATION, AND IMAGINATION.

THE MOVEMENT TOWARD A MORE EQUITABLE ECOSYSTEM OF SUPPORT AND INCREASED REPRESENTATION FOR ARTISTS WHO IDENTIFY AS BLACK, INDIGENOUS, AND PEOPLE OF COLOR IS UNDERWAY. THROUGHOUT THE CITY'S COMMUNITIES, PROMISING ARTISTS ARE DEMONSTRATING A TRAJECTORY OF ARTISTIC ACHIEVEMENT AND SHOWING PROMISE FOR FURTHER ARTISTIC GROWTH AND IMPACT IN SOCIETY. LEADERS AT MANY OF THE CITY'S ICONIC MUSEUMS AND CULTURAL INSTITUTIONS HAVE INITIATED NEW HIRES AND ARE IMPLEMENTING DIVERSITY, EQUITY, INCLUSION, AND ACCESSIBILITY PLANS FOR THEIR ORGANIZATIONS TO BREAK DOWN BARRIERS AND INCREASE ARTIST AND AUDIENCE DIVERSITY.

TO SUPPORT THESE EMERGING TRENDS, THE PROGRAM'S GRANTMAKING INCLUDES A FOCUS ON COMMUNITY BUILDING AND SOCIAL IMPACT, PRIORITIZES ARTIST-CENTERED AND MULTIDISCIPLINARY WORK, ADVANCES THE FUND'S ANTI-RACIST AND ANTI-SEXIST COMMITMENTS, ENSURES ATTENTION TO ACCESSIBILITY, AND CELEBRATES INNOVATION. THE PROGRAM SUPPORTS THE CREATIVE PROCESS, ELEVATING ARTISTS AND CULTURAL LEADERS ACROSS ALL DISCIPLINES. THE POCANTICO CENTER, LOCATED IN TARRYTOWN, NEW YORK, IS AN IMPORTANT COMPLEMENT TO THE FUND'S GRANTMAKING EFFORTS, PROVIDING TIME AND SPACE FOR AESTHETIC EXPLORATION, ARTISTIC COLLABORATIONS, AND NURTURING ARTISTIC IMAGINATION.

- SUPPORTING THE ROLE OF ARTISTS IN THE CREATIVE PROCESS AND IN THE

PRESENTATION OF THEIR WORK, WITH AN EMPHASIS ON ARTISTS WHO IDENTIFY AS BLACK, INDIGENOUS, PEOPLE OF COLOR, LGBTQ+, WOMEN, NONBINARY, AND DISABLED.

-PROVIDING TIME, SPACE, AND FINANCIAL RESOURCES THROUGH RESIDENCIES IN NEW YORK CITY AND AT THE DAVID ROCKEFELLER CREATIVE ARTS CENTER AT THE POCANTICO CENTER, IN PARTNERSHIP WITH ARTIST-LED ORGANIZATIONS, ARTIST COLLECTIVES, AND CULTURAL LEADERS.

-ELEVATING ARTS ADVOCACY EFFORTS, FIELD RESEARCH, CULTURAL-CIVIC MOVEMENTS, AND CULTURAL LEADERSHIP DEVELOPMENT OPPORTUNITIES TO STRENGTHEN THE ARTS AND CULTURE FIELD AND THE CREATIVE COMMUNITY.

ORGANIZATIONS THAT NURTURE INNOVATIVE, SOCIALLY ENGAGED, MULTIDISCIPLINARY ARTISTS WORKING IN NEW GENRES AND WITH NEW TECHNOLOGY ARE ENCOURAGED TO APPLY. THE FUND ALSO SEEKS TO ENCOURAGE LARGER, ESTABLISHED INSTITUTIONS TO INCORPORATE SOCIALLY ENGAGED ART AND ARTISTS INTO THEIR PROGRAMMING AND DECISION-MAKING PROCESSES AND TO FIND INNOVATIVE WAYS TO ENGAGE DIVERSE COMMUNITIES.

#### PIVOTAL PLACE: CHINA PROGRAM GUIDELINES

THE ROCKEFELLER BROTHERS FUND ESTABLISHED ITS CHINA-FOCUSED GRANTMAKING PROGRAM IN 2005 WITH AN EMPHASIS ON SOUTHERN CHINA, ONE OF THE FASTEST GROWING AND MOST DYNAMIC REGIONS OF THE WORLD. THIS FOCUS BUILDS ON THE FUND'S HISTORY OF PHILANTHROPY IN EAST AND SOUTHEAST ASIA AND CONTINUES MORE THAN A CENTURY OF ROCKEFELLER FAMILY PHILANTHROPY IN CHINA, WHICH INCLUDES THE FOUNDING OF THE PEKING UNION MEDICAL COLLEGE IN 1917.

AT THE CORE OF THE RBF'S WORK IN CHINA IS A FUNDAMENTAL BELIEF IN THE WISDOM, CREATIVITY, AND RESOURCEFULNESS OF THE CHINESE PEOPLE. WITH INNOVATIVE GOVERNMENT POLICY, A VIBRANT MARKETPLACE, AND GROWING PUBLIC PARTICIPATION, CHINA HAS THE POTENTIAL TO LEAD THE WORLD IN SUSTAINABLE APPROACHES TO DEVELOPMENT.

CHINA HAS REGISTERED EXTRAORDINARY PROGRESS SINCE IT LAUNCHED AN ERA OF REFORM AND OPENING 40 YEARS AGO, WHICH INCLUDES, AMONG MANY ACHIEVEMENTS, THE ELEVATION OF HUNDREDS OF MILLIONS OF PEOPLE OUT OF EXTREME POVERTY AND THE GROWTH OF CHINA'S ECONOMY INTO THE SECOND LARGEST IN THE WORLD. THIS RAPID DEVELOPMENT, HOWEVER, HAS BEEN ACCOMPANIED BY PROFOUND CHALLENGES, INCLUDING SEVERE ENVIRONMENTAL DEGRADATION WITH ADVERSE HUMAN HEALTH AND ECONOMIC IMPACTS AND SIGNIFICANT THREATS ASSOCIATED WITH CLIMATE CHANGE.

MORE RECENTLY, GOVERNMENT AND CITIZEN CONCERNS OVER THE HEALTH IMPACTS OF ENVIRONMENTAL POLLUTION HAVE BECOME A POWERFUL DRIVER FOR CHANGE IN CHINA, PROMPTING A SHIFT IN EXPECTATIONS ABOUT THE RELATIONSHIP BETWEEN DEVELOPMENT AND THE ENVIRONMENT. THE 19TH PARTY CONGRESS DECLARED A NEW ERA OF DEVELOPMENT FOR CHINA, ONE THAT STRIVES FOR AN "ECOLOGICAL CIVILIZATION" AND HARMONY BETWEEN PEOPLE AND NATURE. FURTHERMORE, CHINA HAS EMERGED AS A DETERMINED LEADER IN GLOBAL ENVIRONMENTAL AND CLIMATE GOVERNANCE. STILL LARGELY UNTAPPED IS ITS VAST POTENTIAL TO SHAPE ENVIRONMENTAL POLICIES AS WELL AS CLIMATE ACTION IN THE ASIAN REGION AND BEYOND THROUGH ITS INVESTMENT PATTERNS, SHARING OF LESSONS LEARNED, AND SUPPORT FOR SUSTAINABLE FINANCE FOR DEVELOPMENT.

THESE DEVELOPMENTS POINT TO A TRANSFORMATIVE MOMENT IN CHINA'S ENVIRONMENTAL AND CLIMATE POLICYMAKING AND GOVERNANCE. AS THE CHINESE GOVERNMENT AND PEOPLE TAKE SIGNIFICANT STEPS TO ADDRESS ENVIRONMENTAL POLLUTION, CLIMATE CHANGE, AND THEIR IMPACT ON PUBLIC HEALTH IN AN

INCREASINGLY INTEGRATED WAY, BOTH DOMESTICALLY AND INTERNATIONALLY, THE FUND WILL ASSIST THESE EFFORTS BY ENGAGING WITH AND FOSTERING COLLABORATIONS AMONG THE GOVERNMENT, BUSINESS, CIVIL SOCIETY, AND ACADEMIA. THE FUND WILL ENCOURAGE SOLUTIONS THAT TAKE INTO ACCOUNT SOCIAL, ECONOMIC, AND CULTURAL CONSIDERATIONS AND WILL EMPHASIZE CAPACITY BUILDING AT ALL LEVELS.

GOAL: ADVANCE HEALTHY AND LOW-CARBON DEVELOPMENT

STRATEGIES:

- SUPPORTING EVIDENCE-BASED POLICIES THAT MITIGATE ENVIRONMENTAL POLLUTION, CLIMATE CHANGE, AND RISKS TO HUMAN HEALTH.
- STRENGTHENING ENVIRONMENTAL GOVERNANCE BY PROMOTING ACCOUNTABILITY, TRANSPARENCY, ACCESS TO INFORMATION, AND LEGAL PROTECTION.
- ENGAGING THE PRIVATE SECTOR AND CATALYZING MARKET FORCES TO ADVANCE SUSTAINABLE PRACTICES.
- ENCOURAGING THE DEVELOPMENT OF INDIGENOUS PHILANTHROPY IN SUPPORT OF A HEALTHY AND LOW-CARBON FUTURE.

PIVOTAL PLACE: WESTERN BALKANS GUIDELINES

THE ROCKEFELLER BROTHERS FUND (RBF) HAS BEEN ENGAGED IN GRANTMAKING IN THE WESTERN BALKANS SINCE 2001, FOLLOWING 20 YEARS OF EXPERIENCE SUPPORTING TRANSITION PROCESSES AND EU ACCESSION IN CENTRAL AND EASTERN EUROPE. THE FUND'S WORK HAS BEEN PREDICATED ON THE BELIEF THAT LONG-TERM PEACE AND PROSPERITY IN EUROPE CAN ONLY BE ACHIEVED WITH SUCCESSFUL POST-COMMUNIST AND POST-CONFLICT TRANSITIONS OF THE COUNTRIES OF THE BALKANS. RBF GRANTMAKING IN THE WESTERN BALKANS HAS AIMED TO NURTURE HEALTHY DEMOCRATIC PROCESSES, SUPPORT REGIONAL INITIATIVES TO DEBUNK PERSISTENT MYTHS AND PREJUDICES, AND ENCOURAGE DEVELOPMENT OF INDIGENOUS PHILANTHROPY AND A VIBRANT CIVIL SOCIETY.

THE COUNTRIES OF THE WESTERN BALKANS ARE STILL IN A PROCESS OF TRANSFORMATION TO TRULY DEMOCRATIC SOCIETIES WHERE INSTITUTIONS OF GOVERNANCE ARE TRANSPARENT, ACCOUNTABLE, AND RESPONSIVE, AND CITIZENS ARE INFORMED AND ASSERTIVE. ALTHOUGH SOME REFORMS HAVE BEEN ACHIEVED, MANY CHALLENGES REMAIN. SOME OF THE OBSTACLES REFLECT THE LEGACY OF CONFLICT AND UNRESOLVED TENSIONS AMONG COUNTRIES AND COMMUNITIES, AND THESE MUST BE ADDRESSED TO REALIZE JUSTICE, EQUALITY, AND PEACE FOR ALL PEOPLE IN THE REGION.

THE FUND SUPPORTS EFFORTS OF CIVIL SOCIETY GROUPS, GOVERNMENTAL INSTITUTIONS, AND OTHER PARTNERS TO STRENGTHEN DEMOCRATIC PRACTICE, ADVANCE RECONCILIATION AND DURABLE PEACE, ENABLE SUSTAINABLE DEVELOPMENT, AND PROMOTE A EUROPEAN FUTURE FOR THE REGION. THE FUND VALUES AND HIGHLIGHTS THE RICHNESS OF THE REGION'S ETHNIC, GENDER, AGE, CULTURAL, AND RACIAL DIVERSITY AND PROMOTES PLURALISTIC AND INCLUSIVE SOCIETIES. EFFORTS AT THE REGIONAL, NATIONAL, AND LOCAL LEVELS, INCLUDING GRASSROOTS ENGAGEMENT AND MOVEMENT BUILDING, ARE SUPPORTED.

THE FUND FOCUSES ON SERBIA, MONTENEGRO, KOSOVO, AND BOSNIA AND HERZEGOVINA, WITH ALBANIA AND NORTH MACEDONIA ALSO INCLUDED IN REGIONAL INITIATIVES. THE INTERDEPENDENCE AMONG THE COUNTRIES, THE COMMON CHALLENGES THEY FACE, AND THE POTENTIAL THEY SHARE AS A SUBREGION WITHIN EUROPE PRESENT A UNIQUE OPPORTUNITY FOR SUCCESSFUL TRANSFORMATION, INTEGRATION, AND LONG-TERM STABILITY.

## GENERAL EXPLANATION

STATEMENT 31

## FORM/LINE IDENTIFIER

FORM 990-PF, PART XIV, LINE 2D, CONTINUED

## EXPLANATION:

THE RBF IS PURSUING THE FOLLOWING GOAL AND STRATEGIES ACROSS THE WESTERN BALKANS REGION:

GOAL: ADVANCE A PEACEFUL, DEMOCRATIC, AND EUROPEAN WESTERN BALKANS

## STRATEGIES:

-SUPPORTING EFFORTS TO IMPROVE TRANSPARENCY AND ACCOUNTABILITY IN GOVERNANCE.

-STRENGTHENING CONSTITUENCIES FOR RECONCILIATION AND ENDURING PEACE.

-ADVANCING SUSTAINABLE DEVELOPMENT, FOCUSING ON RENEWABLE ENERGY AND HEALTHY ENVIRONMENTS.

-SUPPORTING THE DEVELOPMENT OF INDIGENOUS PHILANTHROPY TO ADVANCE SOCIAL TRANSFORMATION.

THE FUND PRIORITIZES SUPPORT FOR ORGANIZATIONS IN THE WESTERN BALKANS.

## PIVOTAL PLACE: CENTRAL AMERICA PROGRAM GUIDELINES

THE PEOPLE AND NATIONS THAT CALL THE ISTHMUS LINKING NORTH AND SOUTH AMERICA HOME HAVE LONG ENDURED POLITICAL, ENVIRONMENTAL, AND SOCIAL INSTABILITY, INCLUDING DEVASTATING PERIODS OF VIOLENT CONFLICT. THE PERSISTENT AND GROWING IMPACTS OF CORRUPTION, IMPUNITY, AND CLIMATE CHANGE UNDERMINE ITS CITIZENS' EFFORTS TO SECURE JUSTICE, PEACE, AND SUSTAINABLE FUTURES. THE ROCKEFELLER BROTHERS FUND BELIEVES THE REGION'S DIVERSE CULTURAL TRADITIONS AND ECOSYSTEMS, ITS PROVEN RESILIENCE, AND ITS YOUNG AND CREATIVE POPULATION ARE A STRONG BASIS FOR CONSTRUCTING A FUTURE OF PEACE AND SHARED PROSPERITY.

RECOGNIZING THE UNITED STATES' ROLE IN CENTRAL AMERICAN HISTORY AND ITS RESPONSIBILITY AS A POWERFUL NEIGHBOR, THE RBF ALLOCATED A PORTION OF DAVID ROCKEFELLER'S 2019 BEQUEST TO DEVELOP A GRANTMAKING PROGRAM FOCUSED ON CENTRAL AMERICA. THIS PROGRAM RECOGNIZES MR. ROCKEFELLER'S LONGSTANDING INTEREST IN LATIN AMERICA AND IN BUILDING POSITIVE RELATIONS THROUGHOUT THE WESTERN HEMISPHERE.

THE COUNTRIES OF NORTHERN CENTRAL AMERICA (GUATEMALA, EL SALVADOR, AND HONDURAS) ARE YOUNG DEMOCRACIES. STILL IN TRANSITION FROM DECADES-LONG CIVIL WARS AND AUTHORITARIAN REGIMES, THEY ARE STRUGGLING TO BUILD STRONG AND ACCOUNTABLE PUBLIC INSTITUTIONS THAT PROVIDE EFFECTIVE GOVERNANCE AND SUPPORT THE ASPIRATION OF PROSPERITY FOR ALL CITIZENS. THESE SOCIETIES HAVE COME A LONG WAY, BUT POLITICAL EXCLUSION, RACISM, CORRUPTION, VIOLENCE, IMPUNITY, AND EXTREME INEQUALITY LIMIT PROGRESS AND CONFINE LARGE PORTIONS OF SOCIETY TO THE PRECARIOUS MARGINS, DRIVING IRREGULAR MIGRATION. THESE CONDITIONS HAVE WORSENERD IN RECENT

YEARS DUE TO CLIMATE CHANGE EFFECTS AND THE DETERIORATION OF FRAGILE LOCAL ECOSYSTEMS. THESE CHALLENGES NEED TO BE ADDRESSED IN COORDINATION WITH THE UNITED STATES AND MEXICO FOR THE COUNTRIES OF NORTHERN CENTRAL AMERICA TO BECOME JUST, PEACEFUL, AND SUSTAINABLE SOCIETIES.

THE FUND FOCUSES ON GUATEMALA, EL SALVADOR, AND HONDURAS, A SUBREGION THAT SHARES AN INTERDEPENDENT HISTORY AND ECOSYSTEM AND THAT WILL BENEFIT BY TAKING A REGIONAL APPROACH TO ITS MOST PRESSING ISSUES AND IMPORTANT INTERNATIONAL ENGAGEMENTS. THE FUND ENDORSES A DEEPER, CROSS-SECTORAL, BILATERAL RELATIONSHIP BETWEEN CENTRAL AMERICA AND THE UNITED STATES. THE REGION'S RICH ETHNIC, GENDER, AGE, CULTURAL, AND RACIAL DIVERSITY IS THE BASIS OF THE DYNAMISM NEEDED TO ADDRESS CONTEMPORARY CHALLENGES AND FIND INNOVATIVE SOLUTIONS, INCLUDING SOCIAL JUSTICE INITIATIVES ROOTED IN THE REGION'S ARTISTIC COMMUNITY. THE FUND SUPPORTS THE EFFORTS OF INCLUSIVE CIVIL SOCIETY GROUPS, INDEPENDENT MEDIA, SOCIAL MOVEMENTS, MULTILATERAL INSTITUTIONS, AND OTHER PARTNERS TO STRENGTHEN DEMOCRATIC PRACTICE, ADVANCE RECONCILIATION AND PEACE, AND PROMOTE SUSTAINABLE AND JUST RESOURCE USE.

GOAL: ADVANCE A DEMOCRATIC, INCLUSIVE, AND SUSTAINABLE CENTRAL AMERICA.

STRATEGIES:

-FOSTERING TRANSPARENCY AND ANTI-IMPUNITY EFFORTS TO BOLSTER THE RULE OF LAW AND DEMOCRATIC GOVERNANCE.

-STRENGTHENING CONSTITUENCIES TO ADVANCE DEMOCRATIC CULTURE AND EQUITABLE CIVIC PARTICIPATION.

-PROMOTING TRANSITIONAL JUSTICE, RECONCILIATION, AND INNOVATIVE APPROACHES TO CONFLICT TRANSFORMATION.

-SUPPORTING SUSTAINABLE DEVELOPMENT AND CLIMATE CHANGE INITIATIVES, ESPECIALLY REGARDING SOIL RESILIENCE AND WATER SECURITY.

THE FUND PRIORITIZES SUPPORT FOR ORGANIZATIONS IN CENTRAL AMERICA.