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ROCKEFELLER  
BROTHERS  
FUND

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**ANNUAL REPORT 1989**

RBF

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BROTHERS  
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**ANNUAL REPORT 1989**

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## CHAIRMAN'S INTRODUCTION

1989 was the first full year of Colin Campbell's presidency at the Rockefeller Brothers Fund. His advent brought a breadth of vision and managerial skill which was not unexpected from a popular and effective university leader.

Colin has quickly acquainted himself with the broad array of players and ideas which constitute the Fund's global field of action: from far-flung grantees to a growing stack of "must read" material. On behalf of all my fellow trustees, I welcome him to our midst.

The year saw important changes in the composition of the Board and its committees. Two members of the Rockefeller family, Peggy Dulany and Sharon Percy Rockefeller, resigned to accept key assignments with not-for-profit entities engaged in work complementary to that of the RBE. Laurance (Larry) Rockefeller and George Putnam completed nine-year terms. All will be missed as diligent trustees, Peggy for her personal attention to the South Africa grants; Sharon for her reminders that important ideas should be presented on television; Larry, especially for his work on the Planning Committee; and George for his chairmanship of the Finance Committee which led to an impressive rebuilding of the Fund during the 1980s.

The Board of Trustees was fortunately blessed in successfully recruiting James D. Wolfensohn as the new chairman of the Finance Committee, and Richard Rockefeller, M.D., the Honorable William H. Luers, and T George Harris as new trustees. We welcome them warmly.

Programmatically, the Fund stepped back into the field of education for the first time since 1980. In anticipation of a full program area focused on pre-collegiate teachers, the Fund made several grants aimed at strengthening the profession of teachers, as well as the organization of schools. The trustees look forward to approving specific program guidelines for education in 1990. [These guidelines, which were subsequently approved, appear on page 14 of this report.]

It goes without saying that world events in 1989 changed dramatically the backdrop against which all international grantmakers will function. It is a time in which the magnitude of philanthropic opportunity is revealed more clearly than the specific avenues to success. Speaking personally, I deem it a privilege to be chairman of a foundation that is committed to the growth of philanthropy itself in the decade of the '90s.

**DAVID ROCKEFELLER, JR.**

## PRESIDENT'S REPORT

In 1983, the trustees of the Rockefeller Brothers Fund adopted a far-reaching Planning Committee Report establishing the framework that has guided the Fund's grantmaking since that time. The grants made in 1989, particularly those relating to world security and sustainable resource use, continued to reflect the "one world" philosophy articulated by the Planning Committee:

For the present and future, global needs and imperatives will increasingly shape and constrain our domestic arrangements, and we must generate new ideas and institutions to meet and translate these needs and imperatives. No period has offered such a compelling opportunity for Americans of independent mind and action to participate in the development of new global relationships. In these circumstances a primary objective of an independent philanthropic foundation must be to contribute ideas, develop leaders, and encourage institutions that will make the transition to global interdependence.

In a year marked by breathtaking global events, the Fund was able to build on existing activities, associations and networks to support and undergird the positive aspect of those events and to help shape a challenging new agenda. As a newcomer, I came quickly to admire, and be grateful for, the vision and sensitivity of those who designed and implemented the Fund's One World program. As a result of their foresight, it was not necessary in 1989 to lay the groundwork for effective action in response to the momentous changes taking place in East-Central Europe and South Africa; the Fund was already fully engaged. Nor was it necessary to seek out those institutions and individuals addressing increasingly worrisome global climate change and nuclear proliferation issues; they were already well known to my new colleagues. And when bloodshed and oppression abruptly terminated the decade of openness and reform in China, the close working relationships with many who had been centrally important to progress there throughout the '80s were particularly helpful in evaluating how the Fund should respond to dramatically different circumstances.

In 1989, the Fund significantly increased its activity in East-Central Europe both through grants and with technical assistance provided by staff and trustees. The objective was to help build the capacity of private organizations to assist in

finding solutions to political, economic, environmental and agricultural problems; to participate in training leaders who could assume responsibility in a wide array of public and private sector activities that had in the past been rigidly controlled by central authorities; and to support the development of civil societies primarily through assistance to the media and to burgeoning voluntary associations in countries that for decades had endured the denial of fundamental freedoms.

Some of the important initiatives the Fund has sponsored in the region include:

- identifying emerging leaders in East-Central Europe through the work of the New Visions Project of the American Center for International Leadership;

- contributing to the modernization of farming in Poland through the Foundation for the Development of Polish Agriculture;

- training junior managers in the fundamentals of a market economy and introducing them to the challenges of entrepreneurship through the International Management Center in Budapest;

- establishing a Green Library in Gdansk, the first of its kind in Poland devoted to providing environmental materials to the general public; and

- developing a program in transnational environmental conflict resolution under the auspices of the Hungarian Institute of International Affairs.

A conference focusing on an appropriate legal framework for foundations in the Soviet Union co-sponsored by the Fund and the Moscow-based Foundation for Social Innovations was an inspiring, exciting and constructive experience. The participating legal experts, scholars and foundation officials published a paper on the core concepts and general principles pertaining to foundations in a civil society which has been useful to those in the USSR and elsewhere who are concerned with encouraging the development of philanthropic organizations and protecting them from inappropriate interference.

For three decades, the Fund had been involved in an extensive range of activities in southern Africa including high-level exchanges to foster dialogue between leaders of the United States and the region; projects to strengthen multiracial cooperation in the development of Botswana; assistance to refugees, particularly students, following the Soweto uprising; and attempts to counteract the denial of legal rights for the oppressed in South Africa. Since 1986, the Fund has given special attention to institution building, educational reform, leadership training and support for the alternative media in that country.

Although for the first time in decades the prospects for significant change in South Africa brightened at year end, there was obviously an urgent need for continued efforts to help overcome the inhumane aspects of apartheid. A review of the Fund's past activities suggested, however, that focused grantmaking could result in more effective use of limited financial and staff resources. Giving special attention to basic education was deemed to be the most appropriate vehicle for the Fund as this would address immediate needs of the people in South Africa, would be vital to the process of transforming the society, and would help in preparing for the challenges of the post-apartheid era. In addition, a basic education emphasis would complement the Fund's new domestic program in education. The guidelines for grantmaking in support of basic education in South Africa are included in this Report.

Environmental concerns finally achieved a more appropriate level of attention on the international agenda in 1989, with officials of both industrialized and developing nations recognizing the importance of taking corrective action to stem, if not reverse, ongoing environmental destruction.

While the Fund has been involved for several years in a wide range of environmentally related projects, the issue that has received the greatest international attention in 1989, and in which the Fund has had continuing and significant involvement, relates to global climate change. The Fund has made a series of grants intended to promote discussion of key policy issues, to assist in developing alternative approaches to addressing those issues and to encourage international cooperation. Examples include:

the collaborative project of the International Institute for Environmental Technology and Management, the Environmental Defense Fund and Harvard's Kennedy School to assist policy makers develop realistic emission targets, evaluate the effects of energy efficiency in controlling greenhouse gasses, and assess the feasibility of different strategies for managing climate change; and

the efforts of the Environmental and Energy Study Institute to further public education, particularly of the U.S. Congress, on the subject of global warming.

When the Fund began making grants to address climate change issues in 1985 it was difficult to persuade citizens and policy makers of the significance of this threat to life on the planet. Today, the knowledge base as well as perceptions of the problems that could result from global warming are dramatically different from what they were just five years ago. It therefore seems timely for the Fund to assess the rapid evolution in this area of important program interest in order to determine the best opportunities for further progress. That process will be concluded early in 1991.

By the end of 1989 there was little question but that political upheavals in the Soviet Union and in East-Central Europe were transforming post-war security relationships. There appears to be a broad consensus that, as a result, the prospect of nuclear weapons use by the superpowers and European nations has greatly diminished. On the other hand, the sense of relief that East-West tensions have been substantially reduced is blunted by heightened concern about the developing nuclear capacity in South Asia, the Korean peninsula, Latin America, the Middle East and Africa. This threat of nuclear destruction through regional wars, nationalist uprisings, and terrorism has led the Fund to focus increasingly on several key issues: the extension of the Nuclear Non-proliferation Treaty in 1995; the need to tighten export controls of nuclear materials in Europe; and the importance of developing a strategy for dealing with the huge surplus of plutonium anticipated throughout the 1990s.

These objectives are reflected in grants to:

the University of California at Los Angeles in support of the Emerging Nuclear Suppliers Project that tracks the exports of nuclear supplier states;

the Carnegie Endowment for International Peace to enable continued annual publication of the institution's extensively used reports on nuclear proliferation worldwide;

the Massachusetts Institute of Technology project aimed at seeking ways to minimize the use of nuclear weapons in the Middle East; and

the Programme for Promoting Nuclear Non-proliferation at the University of Southampton in England, which has as its objective the strengthening of the entire international nonproliferation system.

The Fund is well positioned to help meet the challenge of raising these new arms control issues still higher on the international policy agenda and of informing the national and international debate at a critical juncture. It will also be important to encourage the participation of other funders whose support is essential to both the current and new programs being developed in this vital area.

The harsh government response to student demonstrations in China presented the Fund with a serious dilemma in 1989: whether to continue grantmaking under such circumstances or to register disapproval by withdrawing support from the range of programs in which the Fund was involved. Ultimately, it did not seem sensible to penalize the people of China currently benefiting from Fund initiatives for the actions of their government. The decision to remain engaged was based on the sure knowledge that there are individuals and institutions, particularly at regional and local levels, that wish to contribute to a process of modernization founded more on reason than on ideology.



Accordingly, the Fund has renewed its support of the agricultural development program at the Nanjing Institute of Environmental Science which stresses ecologically sound management of rural resources through its leadership and research on sustainable agriculture. Chinese participation in programs emphasizing sustainable agriculture issues at Chiang Mai University and Khon Kaen University, both in Thailand, is also being supported and encouraged. Should the Fund be unable to conduct free, open and regular dialogue with its grantees in China or determine that those grantees are unable to carry out Fund supported-activities unimpeded, it would be necessary to terminate the relationship.

In addition to these significant developments within the One World program, a review of all of the Fund's program guidelines continued in 1989 and will be completed by the end of 1990.

Throughout this review process and in connection with the development of the new education program, I have greatly appreciated the guidance of the Fund's trustees, the creative participation of the program staff and the wise counsel of grantees and others interested in the directions taken by the Fund. As we move ahead with a full and exciting agenda, I am reminded that the 1983 Planning Committee saw its mission as bringing a fresh perspective to enduring problems. That is the continuing challenge for the Rockefeller Brothers Fund and a rare opportunity for all of us associated with this endeavor.

**COLIN G. CAMPBELL**

## **THE ROCKEFELLER BROTHERS FUND AND ITS PROGRAM**

The Rockefeller Brothers Fund was founded in 1940 as a vehicle through which the five sons and daughter of John D. Rockefeller, Jr., could share a source of advice and research on charitable activities and combine some of their philanthropies to better effect. John D. Rockefeller, Jr., made a substantial gift to the Fund in 1951, and in 1960 the Fund received a major bequest from his estate. Together, these constitute the basic endowment of the Fund.

The assets of the RBF at the end of 1989 were \$297,600,935 and its 168 grant payments and its matching gifts during the year amounted to \$8,467,425. Since 1940, the RBF has disbursed a total of \$372,737,012 in grants.

In June 1983, the trustees of the Rockefeller Brothers Fund adopted program recommendations presented in a report from the Fund's planning committee. The report, the result of almost two years of work by a committee of the Fund's trustees, recommended that the principal part of the RBF's program be organized around the theme of global interdependence—One World—with two major components: resources and security. The report also recommended that the Fund continue its interests in New York City and in the well-being of the private, nonprofit sector.

### **PROGRAM GUIDELINES**

The Fund seeks to achieve its major objective of improving the well-being of all people through support of efforts in the United States and abroad that contribute ideas, develop leaders, and encourage institutions in the transition to global interdependence and that counter world trends of resource depletion, militarization, protectionism, and isolation which now threaten to move humankind everywhere further away from cooperation, trade and economic growth, arms restraint, and conservation.

The basic theme of interdependence presupposes a global outlook and, hence, internationally oriented activity. While United States problems and grantees will receive considerable attention, this will be in the context of global concerns and not simply national ones.

The Fund will make grants in five general areas. The first, "One World," is made up of two components, Sustainable Resource Use and World Security, which will receive the major portion of grant funds. The other four areas are Education, New York City, Nonprofit Sector, and Special Concerns.

## **ONE WORLD SUSTAINABLE RESOURCE USE**

### **GOAL**

To encourage more efficient and renewable use of natural, human, and man-made resources, in an approach that blends social, economic, and ecological concerns.

### **STRATEGIES**

Defining and advocating the philosophy of sustainable resource use on a global basis through action-research and other projects that employ comprehensive approaches to resources management;

Implementing the philosophy of sustainable resource use through support of clusters of organizations and projects in forestry, agriculture, fisheries, and bioenergy that are creating more renewable resource practices which are less destructive to land, forest, air, water, and human resources;

Focusing effective action on other compelling resource projects of special merit that have been initiated by others and are supportive of the Fund's primary sustainable resource objectives.

## **WORLD SECURITY**

### **GOAL**

To strengthen arms control, improve international relations, and encourage development, trade, and finance—recognizing that prospects for world peace are threatened not only by competing political philosophies or differing religions and cultural traditions but also by frustration and aggression bred by inequities in the sharing of food, energy, goods, and services produced by the world economy.

### **STRATEGIES**

#### **ARMS CONTROL**

Developing new paths to arms control through support of interdisciplinary work analyzing specific nuclear and conventional arms issues, with particular attention to collaborative efforts between U.S. and Soviet groups;

Determining the effects of nuclear weapons use on the world's life support system and the implications of the scientific findings for arms control and security;

Halting the spread of nuclear weapons capability to other countries and groups.

#### **INTERNATIONAL RELATIONS, DEVELOPMENT, TRADE, AND FINANCE**

Supporting interrelated activities of public information and education, exchanges, internships and joint work with the Soviet Union and, particularly, Eastern Europe on substantive fields of mutual interest;

Enhancing mutual American and East Asian understanding through public information, education, and exchange; and strengthening international rela-

tions and strategic studies institutes in East Asia and helping them construct links with one another and with similar American institutions;

Increasing understanding of common interests among the industrialized nations and helping them deal more effectively with the pressing concerns of the less developed countries.

In connection with the implementation of its "One World" theme, the Fund will support projects related to the not well understood or explored connections between global resource management and global security.

## **EDUCATION**

In June 1990, the Trustees of the Fund approved the following new Education guidelines:

### **GOAL**

To strengthen the numbers and quality of teachers in American public education through support of the identification, training, induction, and continuing development of individuals of the highest quality in the teaching profession. Particular emphasis will be given to projects that increase the numbers and excellence of minority teachers entering the profession and to those that instill teacher preparation and in-service training programs with a perspective that is worldwide, ecological, appreciates diversity of cultures, and values community.

### **STRATEGIES**

Encouraging outstanding minority college students in the arts and sciences to enter graduate teacher education programs by offering Rockefeller Brothers Fund Fellowships, which provide financial support and professional development activities for prospective teachers from the final college year through induction into teaching. Fellows are selected from colleges that, because of their particular support of minority students, have been invited by the Fund to participate in the program;

Promoting the development of (1) early childhood education training programs for teachers in publicly supported child care centers, Head Start programs, and the early grades of elementary school, and (2) programs to educate teachers of children and youth in non-school settings, such as museums and community-based organizations;

Assisting innovative undergraduate teacher education programs of the highest quality in four-year liberal arts institutions, with particular attention to preparing students to teach in culturally diverse classrooms and to instilling an awareness of global interdependence;

Supporting projects of particular merit that further the goal of strengthening the numbers and quality of teachers in American public education.

## **NEW YORK CITY**

### **GOAL**

To improve the quality of life in New York City.

### **STRATEGIES**

Encouraging collaborative action between the public sector and various private-sector groups, including business, labor, academic, and nonprofit organizations on projects having City-wide implications, particularly in relation to economic development policies and practices;

Strengthening vital institutions of special importance to the City;

Focusing effective action on public health and related issues involved with the AIDS crisis in New York City.

## **NONPROFIT SECTOR**

### **GOAL**

To promote the health and vitality of the nonprofit sector, both nationally and internationally.

### **STRATEGIES**

Assisting basic research and public education with respect to nonprofits;

Promoting increased individual and corporate giving, the development of new sources of income for and improved management of nonprofits, and greater international grantmaking.

## **SPECIAL CONCERNS**

### **GOAL**

To support emergency situations and compelling new opportunities.

In June 1990, the Trustees of the Fund approved the following new Special Concerns guidelines concerning South Africa:

## **SOUTH AFRICA**

### **GOAL**

To improve the quality and accessibility of basic education in South Africa by supporting projects which provide a range of fundamental learning skills for children and adults.

## **STRATEGIES**

Supporting the development of innovative and appropriate literacy, reading, and learning support materials;

Assisting efforts to improve teaching methodology and practice;

Encouraging curriculum development and reform.

Four operational “touchstones” will be a key consideration in the development of all grants. These relate to the Fund’s approach to its substantive concerns and are *not* specific areas of interest in and of themselves.

## **EDUCATION**

of key individuals, special target groups, and the general public.

## **LEADERSHIP**

the identification and encouragement of a new generation of leaders, national and international; assisting contact among leaders and the development of leadership networks around specific areas of Fund program interest.

## **LEVERAGE**

using combinations of trustees and staff as well as related organizations to work toward common goals in mutually supportive ways.

## **SYNERGY**

developing clusters of interrelated projects so as to have an impact beyond the sum of the parts.

## HOW TO APPLY FOR A GRANT

To qualify for a grant from the RBF, as from most other foundations, a prospective grantee must be either a tax-exempt organization or an organization seeking support for a project that would qualify as tax exempt. A grantee must also be engaged in work that fits generally within the Fund's guidelines (see pages 12-16, above). The guidelines should be read together with the descriptions of grants approved in the most recent year, beginning on page 20, which provide specific illustrations of the ways in which the Fund is pursuing its program.

A preliminary letter of inquiry is recommended for an initial approach to the Fund. Such a letter, which need not be more than two or three pages in length, should include a succinct description of the project or organization for which support is being sought and its relationship to the Fund's program, information about the principal staff members involved, a synopsis of the budget, and an indication of the amount requested from the Fund. Letters of inquiry should be addressed to Benjamin R. Shute, Jr., Secretary, at the offices of the Fund.

The review of inquiries is ongoing throughout the year.

Although the RBF has made substantial gifts to organizations and programs in which it has considerable interest, most grants run between \$50,000 and \$150,000; the average grant is approximately \$100,000.

It should be noted that the Fund does not make grants to individuals, nor does it, as a general rule, support research, graduate study, or the writing of books or dissertations by individuals.

### GRANT PROCEDURE

Each letter of inquiry to the RBF is reviewed by one or more members of the staff, who try to be prompt in notifying applicants if their plans do not fit the current program guidelines or budgetary restraints. If a project is taken up for grant consideration, staff members will ask for additional information, including a detailed proposal, and almost certainly for a meeting with the principal organizers of the project.

A detailed proposal, when requested, is expected to include a complete description of the purpose of the project or organization, the background and the research that have led to the development of the proposal, the methods by which the project is to be carried out, the qualifications and experience of the project's or organization's principal staff members, a detailed, carefully prepared, and realistic budget, and a list of those who serve as board members or advisers to the project.

Attached to each proposal must be a copy of the organization's tax exemption notice and classification from the Internal Revenue Service, dated after 1969, and a copy of its most recent financial statements, preferably audited. Proposals from former grantees of the Fund will be considered only after earlier grants have been evaluated and grantees have submitted necessary reports of expenditures of those grants.

Grants are awarded by the trustees, who meet regularly throughout the year.

Fund grantees are required to submit financial and narrative reports at specified intervals and at the end of each grant period. In addition, RBF staff members follow projects along throughout the life of the grant and evaluate the project at the end of the period. The evaluations become part of the Fund's permanent records.

### **GRANT INFORMATION**

In addition to publishing an annual report, the Rockefeller Brothers Fund submits grants information on a regular basis to the Foundation Center for inclusion in its publications, including *The Foundation Grants Index Bimonthly* and *The Foundation Grants Index Annual*. Foundation Center grants data also appear on line in the Foundation Grants Index data base on DIALOG and through a retrieval service available to members of the Telecommunications Cooperative Network through Dialcom. The Foundation Center maintains reference libraries in New York, New York; Washington, D.C.; Cleveland, Ohio; and San Francisco, California; and Cooperating Collections in more than 170 locations nationwide provide a core collection of Foundation Center publications. Information about the location of Cooperating Collections can be obtained from the Foundation Center by calling 1-800-424-9836 (toll free).





**ONE WORLD:  
SUSTAINABLE RESOURCE USE**

**GLOBAL**

**ASHOKA**

*Washington, D.C.*

*\$80,000 over two years*

To help establish a resource center that will assist current and former Ashoka fellows—third world public service entrepreneurs at the early stages of their careers. With a network now of 139 current and former fellows in seven developing countries, Ashoka intends to develop an international professional association to provide fellows with a range of services and to promote collaboration on third world development problems such as education, urban housing, and rural resource management.

**BIOMASS USERS NETWORK  
(FORMERLY BIOENERGY USERS NETWORK)**

*Washington, D.C.*

*\$75,000*

Continued support for general budgetary purposes. The network seeks to improve developing country capabilities to produce and utilize biomass resources. Biomass—the organic products of agriculture and forestry systems as well as the organic wastes captured by sewage and waste treatment facilities—can be used for food, fertilizer, animal feed, and energy. The network assists its nearly forty member governments in the formulation and evaluation of national biomass strategies, collaborates with community groups in the development of local programs, and facilitates exchange among members of the network.

**INTERNATIONAL INSTITUTE OF RURAL RECONSTRUCTION**

*New York, New York*

*\$165,000 over three years*

Continued support for its Low External-Input, Rice-Based Ecosystems Development project, which is intended to provide small-scale farmers in disadvantaged communities of the tropical developing world with information on how to improve the economics and the environmental health of their agricultural production systems. The project will field test the research it has been conducting on ecologically-sound farming practices, including those related to land preparation and planting, fertilizer usage, disease and insect control, and water management.

**NEW YORK UNIVERSITY  
URBAN RESEARCH CENTER**

*New York, New York*  
\$225,000 over three years

Continued support for the center's Mega-Cities Project, which seeks to identify and encourage innovative urban management practices for "mega-cities"—centers with populations of ten million or more. Local steering committees in current or anticipated mega-cities try to identify and share new ideas in key areas of urban living—such as transportation, education, employment, and health—that are economically viable, ecologically sustainable, and socially just.

**OVERSEAS DEVELOPMENT COUNCIL**

*Washington, D.C.*  
\$150,000 over three years

Toward a series of projects in Central America designed to produce new analyses of the relationship between environmental protection and poverty alleviation. The council intends to show how management policies which degrade the environment simultaneously aggravate the scope and degree of poverty. One project, for example, will analyze the economic and environmental consequences of a shift in agricultural exports away from traditional items.

**WORLD GAME INSTITUTE**

*Philadelphia, Pennsylvania*  
\$170,000 over two years

Support for its project to develop a series of advanced World Game Workshops for government and foundation leaders. The World Game Workshops are interactive simulations that illuminate the interdependence of global problems, issues, trends, needs, and options.

**WORLDWATCH INSTITUTE**

*Washington, D.C.*  
\$525,000 over three years

Continued support for publication of the *State of the World* report and the magazine *World Watch*. In 1983, the institute introduced *State of the World*, an annual report card on the world's progress toward achieving sustainable economic and social development, and, in early 1988, the bi-monthly magazine, *World Watch*. Over the next three years, *World Watch* will be converted to a monthly publication schedule and translated, as *State of the World* already is, into many of the world's major languages.

**DOMESTIC****AMERICAN FARMLAND TRUST***Washington, D.C.**\$450,000 over three years*

Support for a three-year project to identify a range of agricultural policy alternatives that meet conventional policy objectives but also help conserve farmland resources, improve water quality, and increase farm profitability. The project, which will include farmer surveys, regional workshops, and extensive economic analysis, is intended to inform the discussion now under way on comprehensive new federal farm legislation.

*\$300,000 over three years*

Further support for the American Farmland Trust's membership development project.

**CONSERVATION LAW FOUNDATION OF NEW ENGLAND***Boston, Massachusetts**\$225,000 over three years*

Toward a project to extend its work in energy conservation beyond New England. In recent years, the foundation has worked closely with many of New England's major utilities as they have committed themselves to energy conservation programs and it now plans to "export" its experience to other regions of the country. Established in 1966, the foundation is a nonprofit, public interest law organization working to protect New England's natural resources.

**INSTITUTE FOR ALTERNATIVE AGRICULTURE***Greenbelt, Maryland**up to \$75,000 over two years*

Continued general budgetary support on a challenge basis. Established in 1983, the institute works to facilitate the transition to sustainable systems of agricultural production in the United States. Its quarterly publication, the *American Journal of Alternative Agriculture*, serves as a forum for scientific inquiry into the performance of alternative farming systems.

**EAST-CENTRAL EUROPE****AMERICAN TRUST FOR AGRICULTURE IN POLAND***McLean, Virginia**\$600,000 over three years*

For general operating expenses of the trust and for operating expenses of the charitable activities of the Foundation for the Development of Polish Agriculture. The trust was established to help launch and then support the activities of

the foundation, which was the first independent, nonprofit foundation to be created in East-Central Europe. The foundation's activities, which range from importing much-needed feed concentrates to organizing seminars on improved farming methods, are intended to advance sustainable agriculture methods and strengthen private farming in Poland.

#### **BIOKULTURA EGYESULET**

*Budapest, Hungary*

*up to \$20,000*

For the acquisition of technical equipment to improve the association's education, communication, and publishing services. Formed in 1987 the Hungarian Biocultural Association is a membership organization which promotes sustainable agricultural practices. With the equipment, the association will modernize and expand its services on behalf of its 500 members; these include seminars, a newsletter, and training in alternative agricultural methods.

#### **HUNGARIAN ACADEMY OF SCIENCES**

*Budapest, Hungary*

*\$20,000*

For a handbook on environmental protection. In response to a crisis of environmental degradation in Hungary coupled with a dearth of information in Hungarian to address it, the Academy of Sciences will prepare a two-volume handbook to serve as a comprehensive guide to the many environmental issues facing the nation.

#### **HUNGARIAN INSTITUTE OF INTERNATIONAL AFFAIRS**

*Budapest, Hungary*

*\$60,000 over two years*

Toward a project to examine intercountry environmental conflicts in East-Central Europe and to introduce conflict management techniques with which to address them. Not only is each East-Central European nation afflicted with serious environmental problems, but winds and water currents increasingly transform these problems into transboundary disputes. Currently, no mechanisms exist for analyzing and mediating such situations. In response, the institute has developed a project which will examine actual or potential sources of regional conflict, elaborate responses, and hold conflict resolution seminars for government officials.

#### **INTERNATIONAL UNION FOR CONSERVATION OF NATURE AND NATURAL RESOURCES**

*Gland, Switzerland*

*\$56,000*

Continued support of its East European Program (EEP), which was created in 1986 to promote environmentally sound planning and management in the region, and increased East-West cooperation. Over the last three years, an environmen-

tal task force, made up of representatives from seven East European countries, studied the region's long-range conservation needs and identified priority projects. Over the next three years, the EEP will carry out a group of projects, based on the task force's recommendations and emphasizing collaboration between the public and private sectors, including formulation of national environmental strategies and adaptation of multiple-land use techniques.

**KARL MARX UNIVERSITY OF ECONOMICS**

*Budapest, Hungary*

\$30,000

For a "Managers and the Environment" conference to benefit managers of industry and agribusiness in East-Central Europe who, until now, have been encouraged to concentrate on production goals at the expense of the environment. In the spring of 1991, the leaders of three educational institutions in Hungary—the Karl Marx University of Economics, the Technical University of Budapest, and the International Management Center—will sponsor a three-day conference on natural resources management, at which international management experts will discuss a range of pollution control and abatement measures.

**MINNESOTA FOUNDATION, UNIVERSITY OF  
HUBERT H. HUMPHREY INSTITUTE OF PUBLIC AFFAIRS**

*Minneapolis, Minnesota*

\$150,000 over three years

Toward a project on institutional reform for improved environmental management in East-Central Europe. In 1988, the university's Hubert H. Humphrey Institute of Public Affairs undertook a comparison study of environmental protection strategies in several market and planned economies to see which might be most useful for application in Poland. Over the next three years, the project will determine how to transform the recommendations made in the study into functioning institutional structures in Poland, and, possibly, other East-Central European countries as well.

**NATIONAL ACADEMY OF SCIENCES**

*Washington, D.C.*

\$75,000 over three years

Continued support for its program of bilateral workshops on environmental topics between U.S. scientists and policy makers, and their counterparts in Poland, Hungary, Czechoslovakia, Romania, and Bulgaria. Current projects include a Czechoslovak-U.S. workshop on pest management and a Polish-U.S. workshop on energy generation and conservation.

**POLICY SCIENCES CENTER***Staten Island, New York*

\$40,000

For the planning of multilateral discussions leading to a sustainable development model in East-Central Europe. In response to the region's deteriorated environment and the lack of policy initiatives and experience with which to address it, the Policy Sciences Center plans to select one East European country, and within that country to build new economic models that combine market-oriented development with environmental safeguards and active resource management. The project is intended to have relevance for other East European countries as well.

**SOUTHEAST & EAST ASIA****CHIANG MAI UNIVERSITY***Chiang Mai, Thailand*

\$60,000 over three years

Continued support for the university's international master's degree program in agricultural systems. The two-year course is designed to equip young Asians with the skills needed to apply an interdisciplinary approach to agricultural development; its larger purpose is to encourage a more widespread appreciation and application of the concept of sustainable agricultural development in the region.

**KHON KAEN UNIVERSITY***Khon Kaen, Thailand*

\$25,000 over two years

Toward a program of collaboration between institutions in Laos and the Southeast Asian Universities Agroecosystem Network (SUAN), based at Khon Kaen University. SUAN, an informal association of academic programs concerned with agricultural resource management, will sponsor activities designed to strengthen rural resource management capacities in institutions in Laos, as well as lay the foundation for more regular scholarly exchange between SUAN and Laotian researchers.

**NANJING INSTITUTE OF ENVIRONMENTAL SCIENCE***Nanjing, People's Republic of China*

\$100,000 over three years

Continuing support for its agriculture development program, which stresses ecologically sound management of rural resources. The institute's current activities include sponsoring training visits for Chinese scientists in the U.S.; providing technical assistance to local agricultural projects; coordinating meetings of the national network of applied environmental research programs; and concluding a nationwide survey of current research on improved practices of resource use and management in agriculture.

**NATIONAL COUNCIL OF RETURNED PEACE CORPS VOLUNTEERS***Washington, D.C.*

\$20,000

Toward a meeting with the Japan Overseas Cooperative Association, the council's Japanese counterpart, held in March of 1989 to discuss the opportunities for collaborative programming in the Asia-Pacific region. The council, which represents the nearly 125,000 Americans who have served in the Peace Corps, sought to encourage communication with the association as well as to discuss development assistance policies and programs.

**THAILAND DEVELOPMENT RESEARCH INSTITUTE FOUNDATION***Bangkok, Thailand*

\$19,500

For a project to assess the feasibility of establishing a forum for discussion of sustainable development issues among policy research institutes in nations of the Asia-Pacific region. The foundation was established in Thailand in 1984 to conduct research on natural resource management and environmental concerns.

**GLOBAL CLIMATE CHANGE****ENVIRONMENTAL AND ENERGY STUDY INSTITUTE***Washington, D.C.*

\$60,000 over two years

Continued support for its climate change project. The institute was established by leaders of the Environmental and Energy Study Conference, the largest legislative service group in Congress, to meet the need for an independent, bipartisan organization capable of educating Congress on public policy issues relating to the environment. Since early 1987, the institute has helped to advance consideration of the issue of climate change through a series of meetings, workshops, and retreats for members and congressional staff.

**INTERNATIONAL INSTITUTE FOR ENVIRONMENTAL TECHNOLOGY AND MANAGEMENT***Stockholm, Sweden*

\$215,000 over two years

Continued support for its program of conferences on the subject of international climate change involving scientists and policy experts from developed and developing nations. Over the next two years, the institute, which collaborates on this project with the Environmental Defense Fund and Harvard's Kennedy School of Government, will sponsor three working groups focusing on: 1) formulation of specific environmental goals and emission targets to aid policy planners; 2) quantification of greenhouse gas emission reductions that could be expected from various limitation strategies, e.g. improved energy efficiency; and 3) assessment of the economic and political feasibility of different strategies for managing global climate change.



**NITROGEN FIXING TREE ASSOCIATION**

*Waimanalo, Hawaii*  
*up to \$80,000 over two years*

Continued general budgetary support on a challenge basis. Since its inception in 1981, the association has studied a special group of fast-growing trees, known as nitrogen-fixing trees, which have the capacity to convert or "fix" nitrogen gas from the atmosphere into soluble form for enrichment of the soil, making them prime candidates for reforestation efforts. The association is conducting research on approximately fifty tree varieties, and providing technical assistance on the introduction of successful tree varieties into community-based agricultural and forestry systems in Asia, Africa, and Latin America.

**UNIVERSITY CORPORATION FOR ATMOSPHERIC RESEARCH**

*Boulder, Colorado*  
*up to \$28,000*

Continued support for a teleconference on climate warming between U.S. and Soviet scientists. The U.S. and USSR together produce about half of the world's increase in greenhouse gases. The computer-based teleconference fosters collaboration on this issue by allowing Soviet and American scientists to communicate easily with one another through computer conferencing.

**PAYMENTS MADE IN 1989 AND GRANTS OUTSTANDING**

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1989	Unpaid Balance
<b>ONE WORLD: SUSTAINABLE RESOURCE USE (\$3,310,630 PAID)</b>				
<b>GLOBAL</b>				
Ashoka Washington, D. C. Resource center to assist international fellows	80,000		40,000	40,000
Biomass Users Network (formerly BioEnergy Users Network) Washington, D. C. General budgetary support	75,000		75,000	
Center for Resource Economics Washington, D. C. Sustainable Resources Program of the Island Press	100,000*	50,000	50,000	
Coolidge Center for Environmental Leadership Cambridge, Massachusetts General budgetary purposes	60,000*	20,000	20,000	20,000
Friends of WWB/USA New York, New York Establishment of international intercommunications system for WWB affiliates	125,000*	100,000	25,000	
Global Tomorrow Coalition Washington, D. C. General budgetary purposes	75,000*	40,000	35,000	
Institute for 21st Century Studies Arlington, Virginia General budgetary expenses	480,000*	160,000	160,000	160,000
International Fund for Agricultural Research Washington, D. C. General budgetary support	75,000*	50,000	25,000	
International Institute of Rural Reconstruction New York, New York Low External-Input Rice-Based Ecosystems Development project	165,000		55,000	110,000
International Network of Resource Information Centers Plainfield, New Hampshire General budgetary purposes	75,000*	30,000	25,000	20,000
National Audubon Society New York, New York Foreign assistance action project	75,000*	25,000	50,000	
New York University New York, New York Urban Research Center Mega-Cities project	225,000		75,000	150,000
Overseas Development Council Washington, D. C. Environmental protection and poverty alleviation projects in Central America	150,000		75,000	75,000

\* Appropriation made prior to 1989

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1989	Unpaid Balance
Quebec-Labrador Foundation Ipswich, Massachusetts				
Atlantic Center for the Environment Inter-Regional Exchange and Policy Program	75,000*	50,000	25,000	
Resources Development Foundation Washington, D.C.				
General budgetary support	450,000*	75,000	275,000	100,000
Sussex, University of Brighton, England				
Science Policy Research Unit Core support of the Developing Country Group	45,000*	30,000	15,000	
Synergos Institute New York, New York				
General budgetary expenses	300,000*	100,000	100,000	100,000
World Game Institute Philadelphia, Pennsylvania				
World Game Workshops	170,000		30,000	140,000
Worldwatch Institute Washington, D.C.				
<i>World Watch</i> magazine	225,000*	150,000		75,000 <sup>1</sup>
<i>State of the World</i> and <i>World Watch</i> magazine	525,000		300,000	225,000
<b>DOMESTIC</b>				
American Farmland Trust Washington, D.C.				
Agricultural policy project	450,000		150,000	300,000
Membership development project	300,000		100,000	200,000
Conservation Law Foundation of New England Boston, Massachusetts				
Energy conservation project	225,000		75,000	150,000
Institute for Alternative Agriculture Greenbelt, Maryland				
General budgetary support	75,000		35,000	40,000
Land Institute Salina, Kansas				
Conference to strengthen applied research on sustainable agricultural practices	38,000*	30,500		7,500 <sup>2</sup>
National Center for Policy Alternatives Washington, D.C.				
State Action for Sustainable Growth in Agriculture project	225,000*	75,000	75,000	75,000
Rodale Institute Emmaus, Pennsylvania				
General budgetary expenses	150,000*	75,000	75,000	
<b>EAST-CENTRAL EUROPE</b>				
American Trust for Agriculture in Poland McLean, Virginia				
Research and planning assistance for Polish agricultural revitalization	250,000*	225,000	25,000	
General operating expenses and operating expenses for the charitable activities of the Foundation for the Development of Polish Agriculture	600,000		250,000	350,000

\* Appropriation made prior to 1989

<sup>1</sup> Rescinded<sup>2</sup> Lapsed

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1989	Unpaid Balance
Biokultura Egyesulet Budapest, Hungary Technical equipment	20,000			20,000
Environmental Law Institute Washington, D. C. East European and Soviet Program	120,000*	40,000	80,000	
Green Library Berkeley, California Green Library-Poland	75,000*	25,000	25,000	25,000
Hungarian Academy of Sciences Budapest, Hungary Handbook on environmental protection	20,000			20,000
Hungarian Institute of International Affairs Budapest, Hungary Transnational environmental conflict resolution project	60,000			60,000
International Union for Conservation of Nature and Natural Resources Gland, Switzerland East European program	56,000		56,000	
Karl Marx University of Economics Budapest, Hungary Managers and the Environment conference	30,000			30,000
Minnesota Foundation, University of Minneapolis, Minnesota Hubert H. Humphrey Institute of Public Affairs Project on environmental protection in Poland and other East European countries Project on institutional reform for improved environmental management in East-Central Europe	30,000* 150,000	15,000	15,000 50,000	100,000
National Academy of Sciences Washington, D. C. East-Central European environmental workshops	75,000		25,000	50,000
Policy Sciences Center Staten Island, New York Planning for a sustainable development model in East-Central Europe	40,000		40,000	
<b>SOUTHEAST &amp; EAST ASIA</b>				
Asian Institute of Technology Bangkok, Thailand Interdisciplinary Natural Resources Development and Management Program	70,000*	45,000	25,000	
Center for Cultural and Technical Interchange Between East and West Honolulu, Hawaii Environment and Policy Institute Research and scientific exchange project on sustainable management of resources in Vietnam	55,500*	29,820	18,180	
Chiang Mai University Chiang Mai, Thailand Master's degree program in agricultural systems Master's degree program in agricultural systems	33,200* 60,000		16,600	16,600 60,000
Environmental Policy Institute Washington, D. C. Citizens ADB Monitoring Office in Manila	80,000*	40,000	40,000	

\* Appropriation made prior to 1989

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1989	Unpaid Balance
Hong Kong, University of Hong Kong Advanced training and research in upland resource management for students from China	50,000*	25,000	25,000	
Khon Kaen University Khon Kaen, Thailand Collaborative research project of the Southeast Asian Universities Agroecosystem Network (SUAN) Program of collaboration between SUAN and institutions in Laos	58,500* 25,000	30,000	28,500 25,000	
Nanjing Institute of Environmental Science Nanjing, People's Republic of China Agroecosystem program	100,000		34,500	65,500
National Council of Returned Peace Corps Volunteers Washington, D. C. Meeting with the Japan Overseas Cooperative Association	20,000		20,000	
Obor Clinton, Connecticut <i>State of the World</i> translations	35,850*	25,000	10,850	
Thailand Development Research Institute Foundation Bangkok, Thailand Project on feasibility of creating Asia-Pacific forum on sustainable development issues	19,500		19,500	
Winrock International Institute for Agricultural Development Morrliton, Arkansas Agriculture project in China	135,000*	100,000	35,000	
<b>GLOBAL CLIMATE CHANGE</b>				
Environmental and Energy Study Institute Washington, D. C. Climate change project	60,000		30,000	30,000
International Institute for Energy Conservation Washington, D. C. General budgetary expenses	300,000*	100,000	100,000	100,000
International Institute for Environmental Technology and Management Stockholm, Sweden International climate change conferences	215,000		120,000	95,000
Nitrogen Fixing Tree Association Waimanalo, Hawaii General budgetary expenses	80,000		40,000	40,000
Renew America Washington, D. C. Program on climate warming trends	75,000*	25,000	50,000	
University Corporation for Atmospheric Research Boulder, Colorado Teleconference on global warming	28,000		16,500	11,500
Woods Hole Research Center Woods Hole, Massachusetts Model international protocol for restricting emissions of all greenhouse gases	100,000*	50,000	50,000	
World Resources Institute Washington, D. C. International climate program	150,000*	75,000	75,000	

\* Appropriation made prior to 1989



**ONE WORLD:  
WORLD SECURITY**

**ARMS CONTROL**

**CALIFORNIA, UNIVERSITY OF, LOS ANGELES  
CENTER FOR INTERNATIONAL AND STRATEGIC AFFAIRS**

*Los Angeles, California  
\$100,000 over two years*

Continued support for the Emerging Nuclear Suppliers Project (ENSP), which tracks the nuclear export practices of nuclear supplier states, including the "emerging" nuclear suppliers, most of whom are not party to the Non-Proliferation Treaty. ENSP is a major source of information for arms control analysts and policy-makers, and has contributed to government efforts to strengthen nonproliferation policies and practices. Prior support enabled the computer-based system to be developed and brought up to mid-1989; continued support will be used to process information on current transactions, to analyze and make projections from this data, to formulate containment strategies, and to make policy recommendations.

**CARNEGIE ENDOWMENT FOR INTERNATIONAL PEACE**

*Washington, D.C.  
\$150,000 over two years*

Toward the endowment's Non-Proliferation Project. For five years, the project has produced annual, book-length reports on the state of nuclear weapons proliferation worldwide, with the intention of building a broad international constituency concerned about proliferation and of encouraging policymakers to work toward strengthening nonproliferation measures. In view of the dramatic increase in the dangers of the spread of nuclear weapons in many regions around the globe, the endowment has extended the project for two years.

**FEDERATION OF AMERICAN SCIENTISTS FUND**

*Washington, D.C.  
\$90,000 over two years*

Continued support toward its Nuclear Non-Proliferation Project, created in 1987 to supply reliable scientific information in support of a broad spectrum of current efforts to stop the spread of nuclear weapons. Over the past two years, the project has developed the first comprehensive, unclassified assessment of current capabilities of the major "threshold" nations to produce nuclear explosive materials. The project's main focus now is working with scientific organizations from

Brazil and Argentina toward establishing better civilian oversight of nuclear programs in each country and developing a civilian bilateral inspection system of the two nations' enrichment and reprocessing programs.

**JOHNS HOPKINS UNIVERSITY  
FOREIGN POLICY INSTITUTE**

*Baltimore, Maryland*

\$120,000 over two years

Support for the Washington Council on Nonproliferation, which was recently formed to encourage renewed U.S. leadership in international nonproliferation efforts, and to explore ways in which improved U.S.-Soviet relations can advance cooperative nonproliferation measures around the globe. Composed largely of senior, bipartisan statesmen, the council will also act as a conduit of new ideas to the higher levels of U.S. policy-making.

**MASSACHUSETTS INSTITUTE OF TECHNOLOGY  
CENTER FOR INTERNATIONAL STUDIES**

*Cambridge, Massachusetts*

\$50,000

Support for its project, "Minimizing the Risk of the Use of Nuclear Weapons in the Middle East: Prospects and Problems." There has been a strong and long-held tendency in Israel, the Arab countries, and the U.S. to avoid serious discussion of the nuclear issue, even while proliferation of chemical weapons and of ballistic missile technology in the region has escalated the risk of nuclear weapons use. Leaders of the project will define and examine specific policy options, and work to promote dialogue within and among these nations.

**NATURAL RESOURCES DEFENSE COUNCIL**

*New York, New York*

\$65,000

Continued support for its Nuclear Verification Project. In the last several years, the council has conducted with the Soviet Academy of Sciences joint demonstrations of seismic techniques for verifying a ban on nuclear testing. The current grant will enable the council to complete transfer of the U.S. side of this project to a university consortium which will continue and expand the work. It will also help develop a new collaborative demonstration project with the Soviet Academy of Sciences to explore on-site missile monitoring as a means to reduce the risk of nuclear war.



**SOUTHAMPTON, UNIVERSITY OF  
CENTRE FOR INTERNATIONAL POLICY STUDIES**

*Southampton, England*  
\$120,000 over two years

Continued support for the Programme for Promoting Nuclear Non-Proliferation, the aim of which is to strengthen the international nuclear nonproliferation system and to carry out educational activities that will contribute to the success of both the 1990 conference to review the Nuclear Non-Proliferation Treaty and, eventually, the conference in 1995 to decide on the term of extension for the treaty. The current grant is intended to provide for an increased number of publications and meetings and an enlarged ability to inform diplomats and the media.

**HENRY L. STIMSON CENTER**

*Washington, D.C.*  
\$110,000 over two years

Toward its Multilateral Verification Project to identify and investigate effective multilateral verification measures and to work to build public understanding of and confidence in the solutions identified. Success in bringing about arms control agreements, including conventional arms reductions in Central Europe and a multilateral ban on chemical weapons, both of which are on the table, hinges on diplomatic as well as public confidence in verification procedures.

**SUSSEX, UNIVERSITY OF  
SCIENCE POLICY RESEARCH UNIT**

*Brighton, England*  
\$80,000 over two years

Toward its project "Civil Plutonium in Europe in the 1990s." In the early 1990s, a significant growth is anticipated in commerce among European countries and Japan in plutonium—a highly-toxic, nuclear bomb material. This increased activity, involving substantial international movements of plutonium, will carry with it an expanded threat of nuclear terrorism and environmental contamination. The Science Policy Research Unit will examine its implications for international relations and arms control, make policy recommendations, and encourage broader public and policy debates on the matter.

**WISCONSIN, UNIVERSITY OF**

*Madison, Wisconsin*  
\$165,000 over three years

Continued support for the Wisconsin Project on Nuclear Arms Control, which works to reduce the risk that nuclear exports will be used to make weapons by encouraging nuclear exporting countries to enforce the export controls contained in country-to-country agreements and to comply with the export restrictions of the Nuclear Non-Proliferation Treaty. The project also undertakes investigative research to uncover and publicize clandestine trade. Over the next three

years, it will also concentrate on drafting a model nuclear export law to be considered for adoption by the European Community when trade barriers between E.C. countries are eliminated in 1992.

## **INTERNATIONAL RELATIONS, DEVELOPMENT, TRADE, & FINANCE**

### **GLOBAL**

#### **AMERICAN ASSEMBLY**

*New York, New York*

\$105,000

Toward a conference, "Preserving the Global Environment: The Challenge of Shared Leadership." The conference will bring together environmentalists and international relations specialists, two groups which to date have failed to communicate effectively on environmental issues, with the purposes of forging links between the two communities and of engaging international relations experts more deeply in these issues.

#### **INTERNATIONAL PEACE ACADEMY**

*New York, New York*

\$135,000 over two years

Continued support for its project "Mitigating and Resolving Regional Crises: A Trilateral Approach," a series of workshops to examine Soviet and American perceptions of third world conflicts. Developed in collaboration with the Soviet Academy of Sciences' Institute of World Economy and International Relations, these workshops include third world representatives for the first time in such U.S.-Soviet discussions. The current grant supports the second and third workshops, which will focus on peacekeeping and conflict management activities and opportunities.

#### **MEDIATORS FOUNDATION**

*Lexington, Massachusetts*

\$150,000 over two years

Toward its PARTNERS project, a global team of men and women of diverse backgrounds, nationalities, and professions whose mission is to address a range of critical issues including nuclear proliferation, military spending, and environmental deterioration. This group is intended to be a catalyst for developing new patterns of transnational action and cooperation.

**EAST-CENTRAL EUROPE****AMERICAN CENTER FOR INTERNATIONAL LEADERSHIP***Columbus, Indiana*

\$20,000

Toward its New Visions project to identify emerging young leaders in East-Central Europe in the fields of environment, human rights/democratic reform, and security. A generation of young leaders now exists, most of whom are unknown to the West. The project's purpose is to interview them for articles to be placed in American publications, and to disseminate their names among international exchange and dialogue programs in the United States.

**AMERICAN COUNCIL OF LEARNED SOCIETIES***New York, New York*

\$52,000 over three years

Originally designated for U.S.-Romanian roundtable meetings on political and economic relations, the grant was modified to reflect the changes in Romania and now supports a high-level task force to assess the situation in post-revolutionary Romania. Established by the council's International Research and Exchanges Board, the task force's purpose is to identify the most urgent needs of Romanian academic, cultural, and research institutions and to report on its findings to U.S. foundations and universities as well as to interested agencies of the U.S. government.

**INTERNATIONAL EXECUTIVE SERVICE CORPS***Stamford, Connecticut*

\$75,000

Support to launch a program of senior managerial assistance to Polish industry. With the great need for immediate practical aid in restructuring the Polish economy, the corps has initiated a program, in cooperation with the Polish Chamber of Foreign Trade, to place retired American business executives in assignments in Polish industrial, financial, and agribusiness firms, government departments, and educational and training institutions.

**SOUTHEAST & EAST ASIA****ASIA SOCIETY***New York, New York*

\$120,000 over two years

Support toward the environmental protection component of a new series of public programs on social activism and social change in Southeast Asia. The series is designed to help Americans understand these rapidly changing societies — focus-

ing on the increased activism in the areas of environmental protection, women's rights, and the challenges of accelerated urbanization—and to foster relationships among Asian and American participants.

**CENTER FOR CULTURAL AND TECHNICAL INTERCHANGE  
BETWEEN EAST AND WEST**

*Honolulu, Hawaii*

*\$63,000 over two years*

Support for the Committee on U.S.-Republic of Korea Relations, which was established by the East-West Center and the Seoul Forum for International Affairs and is intended to serve as a private-sector body for contact and dialogue at a difficult time of transition in the relationship between the two nations. The committee will explore issues—such as the future of U.S. troops on Korean soil and international trading practices—and suggest mutually agreeable ways of dealing with them to policy leaders in both countries.

**COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK, TRUSTEES OF  
EAST ASIAN INSTITUTE**

*New York, New York*

*\$320,000 over four years*

Continued support for the Pacific Basin Studies Program, a research and training activity centered around the political, economic, and security concerns of the Pacific countries as a region. Its agenda is twofold: through multinational research and discussion to put forward sound policy options; and to increase the number and capacity of people in the region able to work thoughtfully on policy issues. This grant supports a greatly expanded series of research projects and exchanges over the next four years.

**INSTITUTE OF SOUTHEAST ASIAN STUDIES**

*Republic of Singapore*

*\$210,000 over three years*

Continued support of a training and scholarly exchange program which enables Asian researchers to spend time at the institute and allows Americans to travel to the region for periods of research and dialogue. Support for the institute is part of an effort to help develop a network of experienced foreign and strategic policy specialists in East Asia and to build up a body of policy recommendations which together advance the concept of the Pacific region as a way of approaching economic, security, and social issues.

**JAPAN SOCIETY***New York, New York*

\$25,000

Toward the planning of the Commission on U.S.-Japan Relations for the 21st Century. The commission, which is composed of senior public and private sector leaders and advised by an academic council, is considering a range of key issues including shared international responsibilities, economic frictions, and global policy and change. Its deliberations, and the specific policy recommendations to be issued, are intended to encourage a considered and long-term perspective for the U.S. vis-à-vis Japan.

**LINGNAN COLLEGE  
CENTRE FOR ASIAN PACIFIC STUDIES***Hong Kong*

\$26,000

Toward a conference examining Asian perspectives on the changing face of East Asian security. As military configurations change in East Asia, due in large part to the shrinking military and economic presence of the Soviet Union and the U.S., leaders of East Asian nations must establish new patterns of regional stability. In the summer of 1990, the center will bring together military and strategic analysts from ten countries in East and Southeast Asia, including defense analysts from the People's Republic of China, to discuss common interests, sources of tension, and methods of conflict resolution in the region.

**PAYMENTS MADE IN 1989 AND GRANTS OUTSTANDING**

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1989	Unpaid Balance
<b>ONE WORLD: WORLD SECURITY (\$2,567,900 PAID)</b>				
<b>ARMS CONTROL</b>				
Atlantic Council of the U.S. Washington, D.C. Public education program relating to the International Atomic Energy Agency	180,000*	60,000	60,000	60,000
California, University of, Los Angeles Los Angeles, California Center for International and Strategic Affairs Emerging Nuclear Suppliers Project	100,000		50,000	50,000
Carnegie Endowment for International Peace Washington, D.C. Non-Proliferation Project	150,000		150,000	
Cornell University Ithaca, New York Global Environment Program	150,000*	75,000	75,000	
Federation of American Scientists Fund Washington, D.C. Nuclear Non-Proliferation Project	90,000		45,000	45,000
Harvard University Cambridge, Massachusetts John F. Kennedy School of Government Nuclear Proliferation: Developing an International Cadre for the 21st Century project	210,000*	70,000		140,000
International Institute for Strategic Studies London, England Strategic Arms Control in the Post-START Era project	150,000*	75,000		75,000
International Peace Academy New York, New York Mitigating and Resolving Regional Crises: A Trilateral Approach project	135,000		75,000	60,000
Johns Hopkins University Washington, D.C. Washington Council on Nonproliferation	120,000		60,000	60,000
Massachusetts Institute of Technology Cambridge, Massachusetts Center for International Studies Minimizing the Risk of the Use of Nuclear Weapons in the Middle East: Prospects and Problems	50,000		50,000	
Natural Resources Defense Council New York, New York Nuclear Verification Project	65,000		65,000	
Nuclear Control Institute Washington, D.C. Nuclear Oversight project	130,000*	100,000	30,000	

\* Appropriation made prior to 1989

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1989	Unpaid Balance
Peace Research Institute Frankfurt Frankfurt, Federal Republic of Germany Nonproliferation program	225,000*	70,000	75,000	80,000
Princeton University, Trustees of Princeton, New Jersey Program on Nuclear Policy Alternatives	150,000*	75,000	75,000	
Southampton, University of Southampton, England Programme for Promoting Nuclear Non-Proliferation	120,000		120,000	
Henry L. Stimson Center Washington, D. C. Multilateral Verification Project	110,000		55,000	55,000
Sussex, University of Brighton, England Science Policy Research Unit Core support of the Developing Country Group	80,000		80,000	
United Nations Association of the United States of America New York, New York Program on Proliferation Norms and the Asian Security Environment	150,000*	125,000	25,000	
Wisconsin, University of Madison, Wisconsin Wisconsin Project on Nuclear Arms Control	165,000		55,000	110,000

#### **INTERNATIONAL RELATIONS, DEVELOPMENT, TRADE, & FINANCE**

##### **GLOBAL**

American Assembly New York, New York Conference on "Preserving the Global Environment: The Challenge of Shared Leadership"	105,000		105,000	
Brookings Institution Washington, D. C. Forum on Africa	300,000*	100,000	100,000	100,000
Fund for Private Assistance in International Development Washington, D. C. General budgetary purposes	180,000*	60,000	120,000	
Mediators Foundation Lexington, Massachusetts PARTNERS project	150,000		75,000	75,000
Pacific Institute for Studies in Development, Environment, and Security Berkeley, California Sustainable Resource Management and Global Security project	80,000*	40,000	40,000	
Trilateral Commission (North America) New York, New York General budgetary support	240,000*	80,000	80,000	80,000

\* Appropriation made prior to 1989

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1989	Unpaid Balance
<b>EAST-CENTRAL EUROPE</b>				
Alerdinck Foundation The Hague, The Netherlands				
Soviet-American journalists exchange program	90,000*	30,000		60,000 <sup>3</sup>
American Center for International Leadership Columbus, Indiana				
General budgetary support	300,000*	100,000	100,000	100,000
New Visions project	20,000		10,000	10,000
American Council of Learned Societies New York, New York				
U.S. task force mission to Romania	52,000		26,000	26,000
Colorado Outward Bound School Denver, Colorado				
East-West wilderness youth exchanges	75,000*	50,000		25,000
Fondation pour une Entraide Intellectuelle Européenne Paris, France				
General budgetary purposes	75,000*		75,000	
Institute for East-West Security Studies New York, New York				
General budgetary purposes	300,000*	150,000		150,000
International Executive Service Corps Stamford, Connecticut				
Volunteer program in Poland	75,000		75,000	
International House New York, New York				
East-West leadership development program	75,000*	35,000	25,000	15,000
Pittsburgh, University of Pittsburgh, Pennsylvania				
Budapest, Hungary				
International Management Center	225,000*	75,000	125,000	25,000
Woodrow Wilson International Center for Scholars Washington, D.C.				
East European Program	90,000*	30,000	30,000	30,000
<b>SOUTHEAST &amp; EAST ASIA</b>				
Asia Society New York, New York				
Environmental protection component of program on social change in Southeast Asia	120,000		120,000	
Australian National University Canberra, Australia				
Research School of Pacific Studies				
Pacific Trade and Development Conferences	30,000*	10,000	10,000	10,000
California, University of, Berkeley Berkeley, California				
Institute of East Asian Studies				
Quadrilateral Project for the Asia-Pacific region	67,300*	40,000		27,300 <sup>4</sup>

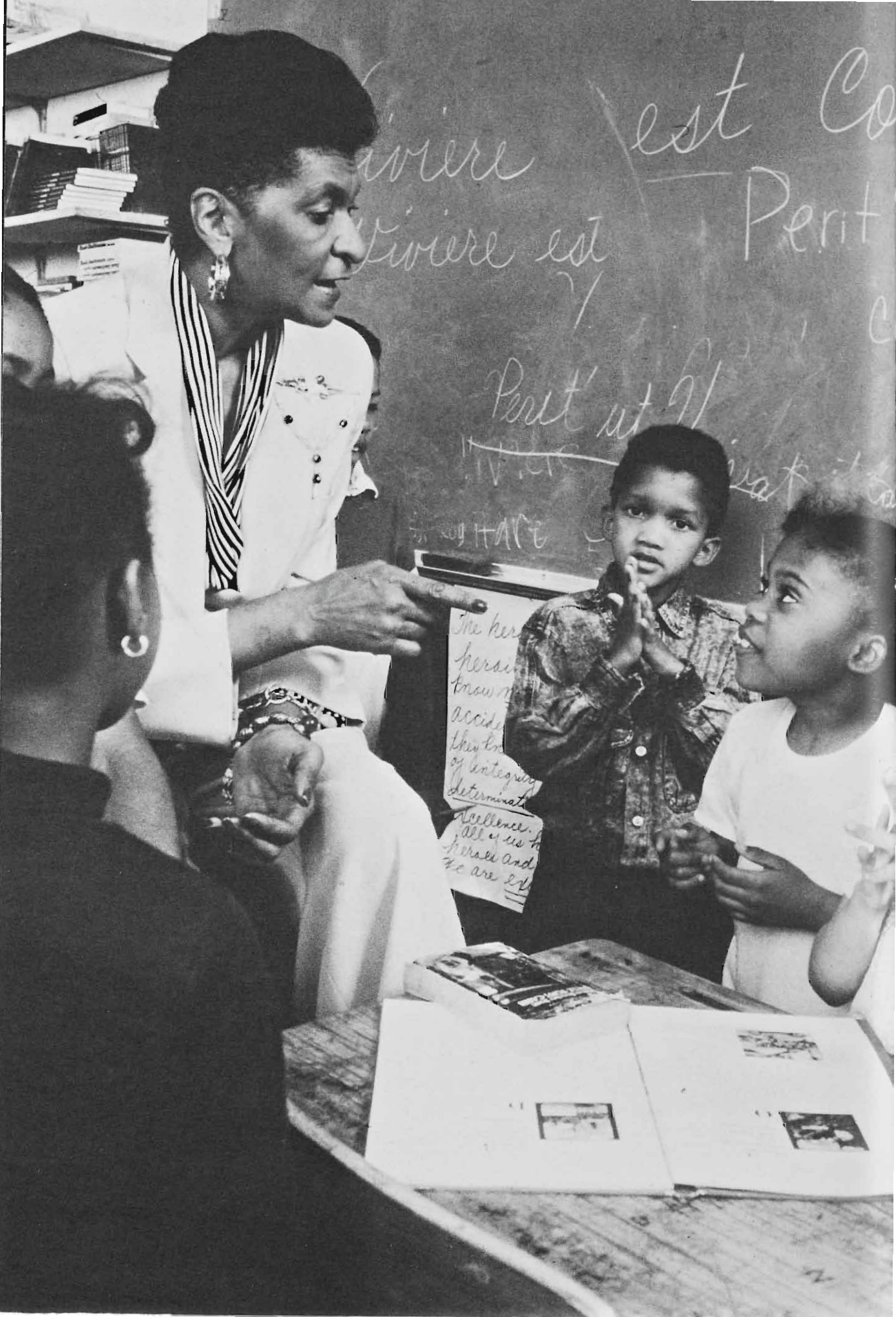
\* Appropriation made prior to 1989

<sup>3</sup> Lapsed<sup>4</sup> Lapsed



Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1989	Unpaid Balance
Center for Cultural and Technical Interchange Between East and West Environment and Policy Institute Honolulu, Hawaii Committee on U. S. -Republic of Korea Relations	63,000		63,000	
Columbia University in the City of New York, Trustees of New York, New York East Asian Institute Pacific Basin Studies Program	320,000		80,000	240,000
Institute of Southeast Asian Studies Republic of Singapore Scholarly exchange program	210,000		70,000	140,000
Japan Center for International Exchange New York, New York General budgetary expenses	105,000*	35,000	35,000	35,000
Japan Society New York, New York Planning of the Commission on U. S. -Japan Relations for the 21st Century	25,000		25,000	
Korea University Seoul, Korea Asiatic Research Center Pacific Workshop on Regional Affairs	75,000*	25,000		50,000
Lingnan College Hong Kong Conference on the changing face of East Asian security	26,000			26,000
National Committee on United States-China Relations New York, New York Young leaders exchange program	123,900*	90,000	33,900	

\* Appropriation made prior to 1989



## EDUCATION

### BROWN UNIVERSITY

*Providence, Rhode Island*

\$150,000 over two years

Continued support for the Coalition of Essential Schools for production costs of its journal, *Horace*. The coalition, under the leadership of TheodoreSizer, works with member schools and state governments to promote a flexible program of school reform designed to draw on the creativity of teachers and pupils. The journal, which appears five times a year, expands upon the coalition's principles and the ways in which they have been applied in schools.

### EDITORIAL PROJECTS IN EDUCATION

*Washington, D.C.*

\$100,000

Continued support for production costs of *Teacher* magazine. Launched in 1988, the publication is designed to address the professional needs and interests of teachers, broadening their view of their work and enhancing their sense of collegiality as a professional community.

### NATIONAL CENTER ON EDUCATION AND THE ECONOMY

*Rochester, New York*

\$200,000

Continued support for its school-based management project for Rochester's elementary and secondary schools. The project, which has received national attention, involves a restructuring of the management apparatus to give responsibility for key decisions to the immediate professional staff, working in conjunction with parents, paraprofessionals, and students. Also, support for the planning of a consortium of states and school districts involved in the school restructuring effort.

**RECRUITING NEW TEACHERS  
(FORMERLY RECRUITING YOUNG TEACHERS)**

*Cambridge, Massachusetts*  
\$100,000

Support for a survey of respondents to Recruiting New Teachers' public service campaign to stimulate interest in the teaching profession. Following the overwhelming success of its announcements in the print and broadcast medias, the organization plans to interview a cross section of respondents to gauge the longer-term impact of its activities beyond the initial telephone and mail contact. This survey will help the organization refine its message and target its future audience more precisely, thereby improving its recruitment and referral services.

**PAYMENTS MADE IN 1989 AND GRANTS OUTSTANDING**

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1989	Unpaid Balance
<b>EDUCATION</b>				
<b>(\$475,000 PAID)</b>				
Brown University Providence Rhode Island Coalition of Essential Schools Production costs of journal, <i>Horace</i>	150,000		75,000	75,000
Editorial Projects in Education Washington, D.C. Production costs of journal, <i>Career Teacher</i>	100,000		100,000	
National Center on Education and the Economy Rochester, New York School-based management program in Rochester public school system	200,000		200,000	
Recruiting New Teachers (formerly Recruiting Young Teachers) Cambridge, Massachusetts Survey of respondents to its public service announcements	100,000		100,000	



**NEW YORK CITY**

**STRENGTHENING VITAL INSTITUTIONS AND  
ENCOURAGING PUBLIC-PRIVATE COLLABORATION**

**BRYANT PARK RESTORATION CORPORATION**

*New York, New York*

*\$150,000 over two years*

Toward the cost of landscape design for the restoration of Bryant Park. In 1980, the corporation was formed to reclaim the park for use by the general public and to help reverse the deteriorating conditions in the 42nd Street area. While the initial activities of BPRC have improved the ambience and security of the park, much work has been devoted to securing funding for a major redesign and restoration. This larger effort is now nearing completion, with two capital projects under construction simultaneously: an underground book stack extension for the New York Public Library, and the new park itself.

**CENTRAL PARK CONSERVANCY**

*New York, New York*

*\$150,000 over three years*

Toward the operating costs of the conservancy's current \$50 million capital campaign, which includes a \$10 million endowment to ensure adequate maintenance of the park in perpetuity. The conservancy, which was formed in 1980 to mobilize private resources and voluntary efforts in behalf of Central Park, then in a state of prolonged neglect, works in partnership with and supplements the efforts of the New York City Department of Parks and Recreation.

**CORO FOUNDATION**

*New York, New York*

*\$75,000 over two years*

Toward planning and development of new leadership training programs. The foundation, which provides training for leadership in public affairs, will develop its programs for organizations and agencies which serve the City's minority communities, including uniformed personnel, social service providers, and institutional administrators. These programs will help Coro diversify its sources of support while at the same time expanding its capacity to serve the City's minority communities.

**FUND FOR THE CITY OF NEW YORK***New York, New York*

\$50,000

A special contribution toward the capital and operating costs of its Incubator Program, which benefits new institutions and programs needing start-up help. By providing space, funding, fiscal auspices, administrative support, and temporary management for new nonprofits, the program provides a mechanism by which new programs can be quickly created, organized, and moved into action.

**NEW YORK INTERFACE DEVELOPMENT PROJECT***New York, New York*

\$50,000

Toward School Watch, a project to use monitoring and analysis of New York City's education budget, and the development of budget options and alternatives, as tools for educational reform. Interface, a nonprofit research organization that works to improve public services in New York City, created School Watch in response to the current plight of City schools, faced on the one hand with the call for educational reform, and on the other with the threat of both city and state budget cuts.

**NEW YORK INTERFACE DEVELOPMENT PROJECT***New York, New York*

\$40,000

Support of transition activities for the new chancellor of schools for New York City. In September 1989, Dr. Joseph A. Fernandez was selected as chancellor of the City's school system. A formal transition period began shortly thereafter, with the purposes of familiarizing the new chancellor with the system; assembling and installing the chancellor's core team; and preparing an agenda that can be launched upon his taking office in early 1990.

**PHELPS-STOKES FUND, THE TRUSTEES OF THE***New York, New York*

\$50,000

Toward completion of the work of the New York State Judicial Commission on Minorities, which is being administered by the Phelps-Stokes Fund. The commission was appointed in 1988 to examine the status and treatment of minorities in the state's legal system. The primary goals of the commission are to make recommendations for eliminating racial bias in the court system; for expanding minority use of the civil courts; and for increasing the number of minority employees at all levels of the judicial system. The commission expects to issue its final report, including a strategy for reform, in early 1990.

**WNYC FOUNDATION***New York, New York*

\$100,000

Toward planning and implementation of expanded children's programming on WNYC-AM. In 1990, WNYC, New York City's public radio and television station, will begin broadcasting on a new AM frequency, which will allow the station both to increase its power and to broadcast twenty-four hours a day. With these technical improvements will come new news, political and current affairs, spoken-word, and children's programming.

**AIDS****FUND FOR THE CITY OF NEW YORK***New York, New York*

\$90,000 over two years

For the AIDS and Adolescents Network of New York, a coalition of professionals and organizations which was formed in 1987 in response to the spread of AIDS infection among adolescents to foster the development of AIDS prevention/education programs and age-sensitive care services. Since its inception, the network has functioned on a voluntary basis; this grant will help support a coordinating staff and general budgetary expenses.

**FUND FOR THE CITY OF NEW YORK***New York, New York*

\$120,000 over two years

Continued support for the Citizens Commission on AIDS for New York City and Northern New Jersey, created in 1987 by a consortium of foundations and corporate sponsors to increase private sector leadership in responding to the AIDS epidemic in the region. Since its inception, the commission has issued a series of reports and recommendations, and worked to secure the involvement of regional companies and institutions. One such report, "Ten Principles for the Workplace," has been endorsed by more than 520 corporations, unions, and nonprofit agencies.

**NEW YORK CITY MISSION SOCIETY***New York, New York*

\$75,000 over two years

Toward the Black Leadership Commission on AIDS which was formed in 1987 to involve New York City black leaders in developing and implementing strategies to combat the spread of AIDS in City minority communities. The commission is made up of prominent figures in such areas as politics, health, criminal justice, religion, and community action. The grant is for support of the central coordinating office, currently under the auspices of the New York City Mission Society.



**PAYMENTS MADE IN 1989 AND GRANTS OUTSTANDING**

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1989	Unpaid Balance
<b>NEW YORK CITY</b>				
<b>(\$840,000 PAID)</b>				
<b>STRENGTHENING VITAL INSTITUTIONS AND ENCOURAGING PUBLIC-PRIVATE COLLABORATION</b>				
Bryant Park Restoration Corporation New York, New York Landscape design costs in park restoration	150,000		150,000	
Central Park Conservancy New York, New York Capital campaign	150,000		50,000	100,000
Coro Foundation New York, New York New leadership training programs	75,000		40,000	35,000
Cultural Council Foundation New York, New York Administration of the Manhattan Decentralization Program of the New York State Council on the Arts	37,500*	15,000	12,500	10,000
Fund for the City of New York New York, New York Capital and operating costs of Incubator Program	50,000		50,000	
New York Interface Development Project New York, New York School Watch project	50,000		50,000	
Transition team for the new chancellor of schools	40,000		40,000	
Nonprofit Coordinating Committee of New York New York, New York General support	75,000*	25,000	25,000	25,000
Phelps-Stokes Fund, The Trustees of the New York, New York New York State Judicial Commission on Minorities	50,000		50,000	
WNYC Foundation New York, New York Children's programming on WNYC-AM	100,000		100,000	
<b>AIDS</b>				
Beth Israel Medical Center New York, New York Helping Hand Program for home care services to methadone patients with AIDS	70,000*	35,000	35,000	
Fund for the City of New York New York, New York General budgetary expenses of the Citizens Commission on AIDS for New York City and Northern New Jersey	120,000		120,000	
AIDS and Adolescents Network of New York	90,000		45,000	45,000
Montefiore Hospital and Medical Center Bronx, New York AIDS prevention and risk reduction intervention project for adolescents	70,000*	35,000	35,000	
New York City Mission Society New York, New York Black Leadership Commission on AIDS	75,000		37,500	37,500

\* Appropriation made prior to 1989



① ACCESS

Our Networks  
make possible  
greater participation,  
innovation, collaboration,  
and diversity  
in  
public and community service.

...the ...

...the ...

...the ...

## **NONPROFIT SECTOR**

### **DOMESTIC**

#### **ACCESS: NETWORKING IN THE PUBLIC INTEREST**

*Cambridge, Massachusetts*

\$50,000

For general support. ACCESS, founded in 1987, works to encourage careers in the nonprofit and public sectors and participation in public service. The ACCESS public service job referral system currently includes registers of opportunities in nonprofit organizations, state governments, and public interest law that are distributed to college and university placement offices, private career centers, and public libraries, and a computerized job-matching service.

#### **DEVELOPMENT TRAINING INSTITUTE**

*Baltimore, Maryland*

\$125,000 over two years

Toward a national fund-raising campaign for the institute's Internship in Community Economic Development, which provides training in business and real estate development for senior staff of community-based organizations. The campaign will address the institute's need to secure ongoing funding, especially in order to support participants from areas where local support is limited.

#### **FOUNDATION CENTER**

*New York, New York*

\$25,000

Continued general support for 1990. The Foundation Center is an independent national service organization established by foundations to provide an authoritative source of information on private philanthropic giving. It disseminates information through public service programs, publications, and through a national network of library reference collections for public use.

**INDEPENDENT SECTOR***Washington, D.C.*

\$20,000

Support for two special projects: one to encourage broader support for research on the nonprofit sector by identifying research priorities as well as options for donor collaboration; and one to address concerns dealing with values and ethics in the nonprofit sector.

**UNION INSTITUTE  
(FORMERLY THE UNION FOR EXPERIMENTING COLLEGES  
AND UNIVERSITIES)  
INSTITUTE FOR PUBLIC POLICY AND ADMINISTRATION**

*Cincinnati, Ohio*

\$100,000 over two years

Toward a project to foster the establishment of statewide associations of nonprofit organizations. Such associations can be of significant benefit to their members by providing technical assistance and group buying services and by focusing on policy considerations that affect nonprofits. Following up on its report, "Exploratory Project on Financing the Nonprofit Sector," the institute will undertake a two-year demonstration project to organize statewide nonprofit associations in five selected target states.

**UNITED NEGRO COLLEGE FUND***New York, New York*

\$150,000 over four years

For a Pilot Planned Giving Program to benefit ten of the College Fund's member institutions. This four-year project is designed to strengthen the development efforts of the institutions and to increase individual contributions to those institutions.

**YALE UNIVERSITY  
INSTITUTION FOR SOCIAL AND POLICY STUDIES**

*New Haven, Connecticut*

\$150,000 over three years

Continued general support for the Program on Non-Profit Organizations. Established in 1977, the program was the first academic center devoted to the study of philanthropy and the nonprofit sector. Over the next few years, the program will focus on international studies, management and governance, and motivations for giving and volunteering.

**YALE UNIVERSITY  
SCHOOL OF ORGANIZATION AND MANAGEMENT**

*New Haven, Connecticut*

\$15,000

Continued support on a challenge basis for the Student Internship Fund, which provides summer internships in nonprofit organizations in an effort to encourage management school graduates to consider careers with nonprofits.

**INTERNATIONAL**

**COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK, TRUSTEES OF  
EAST ASIAN INSTITUTE**

*New York, New York*

\$35,500

Toward a study of organized private philanthropy in East Asia. In recent years, traditional approaches to philanthropy in East Asia have been increasingly complemented—and confused—by the introduction of more Western forms. To better understand these changes, the institute will undertake a year-long study to examine the role of private philanthropy; to encourage its growth and professionalization by suggesting possible collaborative activities between East Asian and American foundations; and to explore the connections between private philanthropy and official development assistance.

**INTERNATIONAL FOUNDATION FOR DEVELOPMENT ALTERNATIVES**

*Nyon, Switzerland*

*up to \$17,500*

To plan the establishment of a Hungarian foundation whose purpose will be to provide small loans, grants, and technical assistance to the voluntary groups which are reviving in Hungary, but which are hampered by a serious shortage of funds, especially in rural areas and small towns. The International Foundation for Development Alternatives, which promotes democratic and ecologically sustainable development, is sponsoring the planning phase for the foundation.

**INTERPHIL USA**

*Alexandria, Virginia*

\$20,000

For a meeting to discuss the voluntary sector in Central and Eastern Europe. Coordinated by INTERPHIL, the International Standing Conference on Philanthropy, this conference brought together Central and East Europeans active in establishing or strengthening private voluntary organizations in their respective countries and representatives from the private, nonprofit sector in the West.

**INTERPHIL USA** *continued*

\$15,000

Further support for a project to publish and distribute a completed study of the fiscal treatment of charities and charitable giving in fifteen member countries of the Organization for Economic Cooperation and Development.

**JAPANESE NGO CENTER FOR INTERNATIONAL COOPERATION***Tokyo, Japan*\$105,000 *over three years*

For general budgetary purposes. The center was established in 1987 to provide organizational, technical, and professional training assistance to a fledgling group of Japanese non-governmental organizations, many of whose philanthropic programs focus on sustainable development issues in Asia and Africa. The center increasingly works to assist its members in preparing to administer government grants as Japan, the world's largest development assistance donor, begins to fund its own private-sector organizations to carry out development projects overseas.

**ROCKEFELLER UNIVERSITY***New York, New York*

\$50,000

Support for an international conference on philanthropy held in October of 1989 at Pocantico Hills, New York.

**SALZBURG SEMINAR IN AMERICAN STUDIES***Cambridge, Massachusetts*

\$50,000

Toward an international seminar, "The Role of Non-Profit Organizations: Comparisons of Functions, Operations and Trends." This session, which met in May of 1989 and was attended by selected Fellows, examined the nonprofit sector from a global perspective, with special attention to nongovernmental activities in developing countries and to the recent emergence of voluntary associations and philanthropy in other regions.

**MEMBERSHIPS****COUNCIL ON FOUNDATIONS***Washington, D.C.**up to \$30,000*

A membership grant for 1990. The council has over 1,100 members, representing independent, community, operating, and public foundations, corporate grant makers, and trust companies. Special projects have been initiated to encourage the formation of new foundations, provide more services aimed at foundation trustees, and strengthen community foundations. The council also works with more than 21 affinity groups that are coalitions of grantmakers with a common interest, and with 23 regional associations of grantmakers.

**INDEPENDENT SECTOR***Washington, D.C.**\$7400*

A membership grant for 1990. Independent Sector operates on many fronts to bring about a better understanding and appreciation by policymakers and the general public of the private nonprofit sector and the role it plays in American life.

**NEW YORK REGIONAL ASSOCIATION OF GRANTMAKERS***New York, New York**\$7125*

A membership grant for 1990. The association has over 150 members in New York, New Jersey, and Connecticut to whom it offers a program of meetings, seminars, and workshops on a wide range of topics, from the legal and technical to existing and emerging areas of grantmaking interest.

**PAYMENTS MADE IN 1989 AND GRANTS OUTSTANDING**

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1989	Unpaid Balance
<b>NONPROFIT SECTOR</b>				
<b>(\$866,940 PAID)</b>				
<b>DOMESTIC</b>				
ACCESS: Networking in the Public Interest Cambridge, Massachusetts General budgetary support	50,000		50,000	
Boston Foundation Boston, Massachusetts Project to promote deferred giving among smaller nonprofit organizations	150,000*	50,000	50,000	50,000
Development Training Institute Baltimore, Maryland Fund-raising campaign for Internship in Community Economic Development	125,000		75,000	50,000
Foundation Center New York, New York General support	25,000		25,000	
Independent Sector Washington, D.C. Research project and ethics project	20,000		20,000	
Leland Stanford Junior University, Trustees of the Stanford, California Graduate School of Business Student internships in nonprofit organizations	15,000*		12,215	2,785 <sup>5</sup>
Union Institute (formerly the Union for Experimenting Colleges and Universities) Cincinnati, Ohio Institute for Public Policy and Administration Project to organize statewide nonprofit associations	100,000		100,000	
United Negro College Fund New York, New York Pilot Planned Giving Program	150,000		40,000	110,000
United Way of Tri-State New York, New York Retiree solicitation program	160,000*	80,000	50,000	30,000
Yale University New Haven, Connecticut Institution for Social and Policy Studies General budgetary support for the Program on Non-Profit Organizations School of Organization and Management Student internships in nonprofit organizations	150,000		100,000	50,000
	15,000		15,000	
<b>INTERNATIONAL</b>				
Columbia University in the City of New York, Trustees of New York, New York East Asian Institute Organized Private Philanthropy in East and Southeast Asia project	35,500		35,500	

\* Appropriation made prior to 1989

<sup>5</sup> Lapsed



Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1989	Unpaid Balance
Graduate School and University Center City University of New York Center for the Study of Philanthropy International fellows program	130,000*	60,000	35,000	35,000
International Foundation for Development Alternatives Nyon, Switzerland Project to establish a Hungarian foundation	17,500			17,500
INTERPHIL USA Alexandria, Virginia For a conference on philanthropy in Eastern Europe; and publication of a study on the fiscal treatment of charitable contributions and organizations	35,000		35,000	
Japanese NGO Center for International Cooperation Toyko, Japan General budgetary purposes	105,000		35,000	70,000
Johns Hopkins University Baltimore, Maryland Institute for Policy Studies International Fellows in Philanthropy program	170,000*	70,000	50,000	50,000
Rockefeller University New York, New York International philanthropy conference	50,000		50,000	
Salzburg Seminar in American Studies Cambridge, Massachusetts For its session, "The Role of Non-Profit Organizations: Comparisons of Functions, Operations and Trends"	50,000		50,000	
<b>MEMBERSHIPS</b>				
Council on Foundations Washington, D. C. Membership for 1989 and support for affiliated affinity groups	30,000*	25,000		5,000 <sup>6</sup>
Membership for 1990 and support for affiliated affinity groups	30,000		24,700	5,300
Independent Sector Washington, D. C. Membership for 1990	7,400		\$ 7,400	
New York Regional Association of Grantmakers New York, New York Membership for 1990	7,125		7,125	

\* Appropriation made prior to 1989

<sup>6</sup> Lapsed

## **SPECIAL CONCERNS**

### **SOUTHERN AFRICAN ADVANCED EDUCATION PROJECT**

*Oxford, England*

*\$240,000 over three years*

Continued support for a program of administrative and managerial training for black South Africans. The Southern African Advanced Education Project (SAAEP), which was established in 1980 to address the skills crisis in South Africa by providing individualized high-level training and work placements abroad, is now expanding its network to the Commonwealth countries. SAAEP endeavors to select and train individuals who have the potential to play a senior role in administration and enterprise; to encourage the business community to accelerate black advancement; and to strengthen the managerial capacity of black-led enterprises and institutions.

### **RAMON MAGSAYSAY AWARD**

#### **FOUNDATION FUND**

### **RAMON MAGSAYSAY AWARD FOUNDATION**

*Manila, Philippines*

*\$100,000*

For the Ramon Magsaysay Awards for 1989. Up to five awards are presented to those persons (or organizations) in Asia "who exemplify the greatness of spirit, integrity, and devotion to freedom of Ramon Magsaysay," former president of the Philippines. Awards are made in five categories: government service, public service, community leadership, international understanding, and journalism and literature, and are often regarded in the region as the Nobel Prizes of Asia.

**PAYMENTS MADE IN 1989 AND GRANTS OUTSTANDING**

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1989	Unpaid Balance
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**SPECIAL CONCERNS****(\$232,000 PAID)**

Africa News Service Durham, North Carolina Development plan	225,000*	150,000	75,000	
St. Barnabas College Fund New York, New York Support for the Southern African Association of Independent Schools	60,000*	23,000	37,000	
Southern African Advanced Education Project Oxford, England Administrative and managerial training for black South Africans	240,000		90,000	150,000
Southscan London, England Toward further development of the South Africa Crisis Information Service and its bulletin, <i>Southscan</i>	45,000*	15,000	30,000	

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1989	Unpaid Balance
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**RAMON MAGSAYSAY AWARD FOUNDATION FUND****(\$100,000 PAID)**

Ramon Magsaysay Award Foundation Manila, Philippines Awards	\$100,000		\$100,000	
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\* Appropriation made prior to 1989

**PROGRAM FOR  
ASIAN PROJECTS FUND**

The Program for Asian Projects was created in 1987 by the Rockefeller Brothers Fund to support projects in Asia that exemplify both the spirit of the Ramon Magsaysay Awards and the program concerns of the Fund. The program is administered by an Asian Board of Advisers with staff support from the Ramon Magsaysay Award Foundation in the Philippines. Grants are approved annually to Magsaysay awardees in amounts of up to \$10,000 for projects that they propose to undertake in order to extend their work. The program is supported by a separate endowment of \$2 million.

**CHANAWONGSE, KRASAE**

*Thailand*

\$9,450

To a project, Thai Elderly Health Education Leaders: A Community-based Strategy for Leadership Development in Primary Health Care and Maternal and Child Health in Thailand's Rural Environment.

**DEL MUNDO, FE**

*Philippines*

\$10,000

To a project, Mother and Child Health Care in Underserved Philippine Communities Through a "Tiangge."

**JAYEKODY, MARCELLINE**

*Sri Lanka*

\$10,000

To a project, Recording Sinhala Songs for Posterity.

**PARNCHAND, PHRA C.**

*Thailand*

**\$10,000**

To a project, Tham Krabok Vegetable Garden Project.

**PHILIPPINES, UNIVERSITY OF THE***Los Banos, Philippines*

\$9,375

To a project, Techno-Managerial Assistance for Out-of-School Youth in the Philippine Countryside.

**WATSON, HAROLD***Philippines*

\$10,000

To a project, Community Test for Goat Milk Production Among Subsistence-Level Hilly Land Farmers.

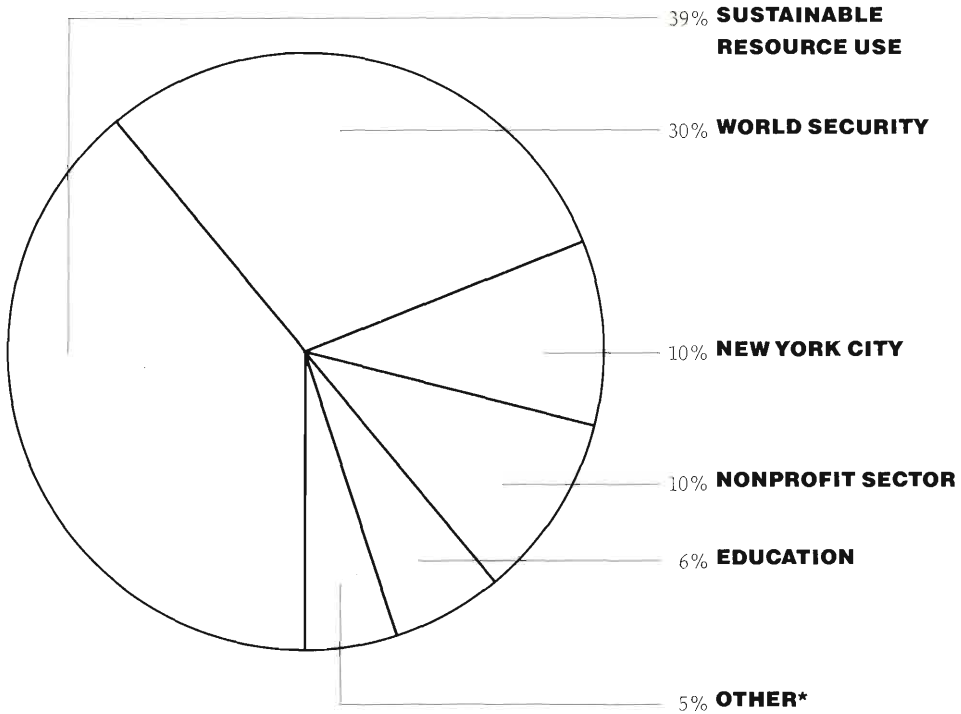
**PAYMENTS MADE IN 1989 AND GRANTS OUTSTANDING**

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1989	Unpaid Balance
<b>PROGRAM FOR ASIAN PROJECTS FUND</b>				
<b>(\$58,825 PAID)</b>				
Chanawongse, Krasae Thailand	9,450		9,450	
Del Mundo, Fe Philippines	10,000		10,000	
Jayekody, Marcelline Sri Lanka	10,000		10,000	
Parnchand, Phra C. Thailand	10,000		10,000	
Philippines, University of the Philippines	9,375		9,375	
Watson, Harold Philippines	10,000		10,000	

**SUMMARY OF PAYMENTS MADE IN 1989  
AND GRANTS OUTSTANDING**

<b>GRANTS</b>	Payments in 1989	Unpaid Balances
One World: Sustainable Resource Use	\$3,310,630	\$2,978,600
One World: World Security	2,567,900	1,872,000
Education	475,000	75,000
New York City	840,000	252,500
Nonprofit Sector	866,940	467,800
Special Concerns	232,000	150,000
Ramon Magsaysay Award Foundation Fund	100,000	
Program for Asian Projects Fund	58,825	
	<b>\$8,451,295</b>	<b>\$5,795,900 <sup>7</sup></b>
Payments matching employee contributions to charitable institutions	16,130	
	<b>\$8,467,425</b>	

**1989 GRANT PAYMENTS BY PROGRAM AREA**



\* includes Special Concerns, the Ramon Magsaysay Award Foundation Fund, and the Program for Asian Projects Fund.

<sup>7</sup> Total does not include lapsed items

## RECONCILIATION OF GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

Unpaid Appropriations, December 31, 1988:		
Principal Fund	\$5,103,930	
Ramon Magsaysay Award Foundation	-0-	
	\$5,103,930	
RBF Awards in Arts Education	150,994	\$5,254,924
Appropriations authorized in 1989:		
Principal Fund	9,162,025	
Ramon Magsaysay Award Foundation	100,000	
Asian Projects Fund	58,825	
RBF Awards in Arts Education	-0-	
Charitable Matching Gifts	16,130	
	9,336,980	
Less:		
Appropriations lapsed:		
Principal Fund	177,585	
		9,159,395
Appropriations paid in 1989:		
Principal Fund	8,292,470	
Ramon Magsaysay Award Foundation	100,000	
Asian Projects Fund	58,825	
	8,451,295	
RBF Awards in Arts Education	61,048	
Charitable Matching Gifts	16,130	
		8,528,473
Unpaid Appropriations, December 31, 1989:		
Principal Fund	5,795,900	
Ramon Magsaysay Award Foundation	-0-	
Asian Projects Fund	-0-	
	5,795,900	
RBF Awards in Arts Education	89,946	
		\$5,885,846





**REPORT OF INDEPENDENT PUBLIC ACCOUNTANTS****TO THE BOARD OF TRUSTEES,  
ROCKEFELLER BROTHERS FUND, INC.:**

We have audited the accompanying balance sheet of Rockefeller Brothers Fund, Inc. (a New York not-for-profit corporation) as of December 31, 1989 and the related statement of fund activity for the year then ended. These financial statements are the responsibility of the Fund's management. Our responsibility is to express an opinion on these financial statements based on our audit.

We conducted our audit in accordance with generally accepted auditing standards. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audit provides a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Rockefeller Brothers Fund, Inc. as of December 31, 1989 and the results of its operations for the year then ended in conformity with generally accepted accounting principles.

Our audit was made for the purpose of forming an opinion on the basic financial statements taken as a whole. The schedule of functional expenses (Exhibit I) is presented for purposes of additional analysis and is not a required part of the basic financial statements. This information has been subjected to the auditing procedures applied in our audit of the basic financial statements and, in our opinion, is fairly stated in all material respects in relation to the basic financial statements taken as a whole.

**ARTHUR ANDERSEN & CO.**

New York, New York  
April 6, 1990

**BALANCE SHEET**  
**DECEMBER 31, 1989**  
**WITH COMPARATIVE 1988 TOTALS**

<b>ASSETS</b>	Principal Fund	Pocantico Fund	Ramon Magsaysay Award Foundation Fund	Asian Projects Fund	Total All Funds	Total 1988 (Note 8)
CASH	\$ 3,623,522	\$ -	\$ -	\$ 13,468	\$ 3,636,990	\$ 203,697
ACCOUNTS RECEIVABLE	124,689	-	-	-	124,689	81,131
INTEREST and DIVIDENDS RECEIVABLE	2,117,803	371,750	26,252	56,345	2,572,150	-
DUE FROM BROKERS and DEALERS	1,780,262	-	-	-	1,780,262	-
INVESTMENTS, at market value (Note 3)	247,755,103	35,516,596	1,718,412	2,228,357	287,218,468	239,584,809
PROGRAM RELATED INVESTMENTS:						
Program mortgage loans	601,543	-	-	-	601,543	619,398
Real estate (Note 4)	510,000	-	-	-	510,000	510,000
Other	862,064	-	-	-	862,064	934,285
PREPAID FEDERAL EXCISE TAX	-	-	-	-	-	187,405
FIXED ASSETS (net of accumulated depreciation of \$35,862)	294,769	-	-	-	294,769	-
<b>Total Assets</b>	<b>\$257,669,755</b>	<b>\$35,888,346</b>	<b>\$1,744,664</b>	<b>\$ 2,298,170</b>	<b>\$297,600,935</b>	<b>\$242,120,725</b>
<b>LIABILITIES AND FUND BALANCES</b>						
LIABILITIES:						
Grants payable (Note 2)	\$ 5,885,846	\$ -	\$ -	\$ -	\$ 5,885,846	\$ 5,254,924
Due to brokers and dealers	1,232,961	-	-	-	1,232,961	-
Federal excise tax payable	78,268	6,422	301	426	85,417	-
Total liabilities	7,197,075	6,422	301	426	7,204,224	5,254,924
FUND BALANCES	250,472,680	35,881,924	1,744,363	2,297,744	290,396,711	236,865,801
Total liabilities and fund balances	\$257,669,755	\$35,888,346	\$1,744,664	\$ 2,298,170	\$297,600,935	\$242,120,725

The accompanying notes are an integral part of this statement.

**STATEMENT OF FUND ACTIVITY**  
**FOR THE YEAR ENDED DECEMBER 31, 1989**  
**WITH COMPARATIVE 1988 TOTALS**

	Principal Fund	Pocantico Fund	Ramon Magsaysay Award Foundation Fund	Asian Projects Fund	Total All Funds	Total 1988 (Note 8)
<b>REVENUES:</b>						
Contributions	\$ 37,599	\$ —	\$ —	\$ —	\$ 37,599	\$ 188,267
Dividend income	6,500,191	663,132	—	—	7,163,323	4,396,442
Interest income	5,264,897	847,391	214,511	224,596	6,551,395	5,056,288
	11,802,687	1,510,523	214,511	224,596	13,752,317	9,640,997
<b>GRANTS AND EXPENSES:</b>						
Grants awarded	9,000,570	—	100,000	58,825	9,159,395	9,644,951
Provision for federal excise tax (Note 2)	1,074,664	88,176	4,133	5,848	1,172,821	382,886
Functional expenses (Exhibit I) —						
Direct charitable activities	276,699	122,958	38,236	—	437,893	334,172
Program and grant management	1,263,453	—	—	—	1,263,453	1,066,988
Investment management	1,340,377	165,437	8,369	2,308	1,516,491	1,433,733
General management	855,709	86,218	—	27,000	968,927	738,595
	13,811,472	462,789	150,738	93,981	14,518,980	13,601,325
Excess (deficiency) of revenues over grants and expenses	(2,008,785)	1,047,734	63,773	130,615	(766,663)	(3,960,328)
<b>GAIN ON INVESTMENTS:</b>						
Net realized gain from securities sales	43,308,876	3,063,705	—	70,109	46,442,690	11,101,179
Net change in unrealized gain on investments	2,646,041	5,051,310	51,812	105,720	7,854,883	13,995,269
	45,954,917	8,115,015	51,812	175,829	54,297,573	25,096,448
Excess of income over grants and expenses	43,946,132	9,162,749	115,585	306,444	53,530,910	21,136,120
<b>FUND BALANCES, beginning of year</b>	206,526,548	26,719,175	1,628,778	1,991,300	236,865,801	215,729,681
<b>FUND BALANCES, end of year</b>	\$ 250,472,680	\$ 35,881,924	\$ 1,744,363	\$ 2,297,744	\$ 290,396,711	\$ 236,865,801

The accompanying notes are an integral part of this statement.

## NOTES TO FINANCIAL STATEMENTS DECEMBER 31, 1989

### 1 ORGANIZATION AND PURPOSE:

The Rockefeller Brothers Fund, Inc. (the "Fund") is a nonprofit, charitable corporation existing under the New York not-for-profit corporation law and is classified as a private foundation as defined in the Internal Revenue Code. The Fund's principal purpose is to make grants to local, national and international philanthropic organizations.

The Board of Trustees has designated the allocation from the Principal Fund of the following special purpose funds:

#### **Pocantico Fund**

For the planning, development and operation of the Kykuit Historic Park area at Pocantico Hills, New York as an historic park benefiting the public.

#### **Ramon Magsaysay Award Foundation Fund**

To increase the amount of the Ramon Magsaysay Awards and other support for the activities of the Ramon Magsaysay Award Foundation, Inc.

#### **Asian Projects Fund**

Income to be used for a period of twenty years for special projects which exemplify the spirit of the Ramon Magsaysay Awards and Asian program concerns of the Fund.

### 2 SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES:

#### **Basis of presentation**

The Fund maintains its books on a modified cash basis. However, the accompanying financial statements are not materially different from statements which would result from the use of the accrual basis of accounting.

#### **Investments**

Investments in securities are carried at quoted market prices. Unrealized gains or losses are determined using quoted market prices at the respective balance sheet dates. Realized gains or losses from sales of securities are determined on a specific identification basis.

Other program related investments have limited or no marketability and are stated at the lower of cost or estimated fair value.

Investments in limited partnerships are valued on the basis of the Fund's equity in the net assets of such partnerships.

#### **Grants payable**

Grants are recorded at the time of approval by the trustees and notification to the recipient. The Fund estimates that the grants payable balance as of December 31, 1989 will be paid as follows:

	Amount
1990	\$3,920,846
1991	1,700,000
1992	265,000
	\$5,885,846

The balance of grants payable at December 31, 1989 includes \$416,800 due upon receipt of notification from grant recipients that matching contributions have been received from other donors.

#### **Tax status**

The Fund is exempt from Federal income taxes under Section 501(c)(3) of the Internal Revenue Code and has been classified as a "private foundation." Provision has been made for the Federal excise tax on net investment income.

#### **Fixed assets**

In 1989, the Fund began capitalizing fixed assets, which include leasehold improvements, furniture and fixtures and office equipment. Depreciation and amortization of the fixed assets are provided over the following estimated service lives:

Leasehold improvements	Life of lease
Furniture & fixtures	7 years
Office equipment	5 years

Fixed asset expenditures in 1988 were expensed as incurred as the amount was deemed immaterial to the Fund.

**3 INVESTMENTS:**

Investments as of December 31, 1989 are as follows:

	Cost	Unrealized Appreciation	Quoted Market or Equity Value
<b>Principal Fund:</b>			
Short-term investments	\$ 67,213,293	\$ 8,946	\$ 67,222,239
Stocks	84,123,698	25,469,651	109,593,349
Bonds	40,783,121	507,498	41,290,619
Limited partnerships	22,046,646	7,602,250	29,648,896
	214,166,758	33,588,345	247,755,103
<b>Pocantico Fund:</b>			
Short-term investments	930,024	—	930,024
Stocks	17,242,994	7,537,130	24,780,124
Bonds	9,714,073	92,375	9,806,448
	27,887,091	7,629,505	35,516,596
<b>Ramon Magsaysay Award Foundation Fund:</b>			
Short-term investments	37,168	—	37,168
Bonds	1,384,468	296,776	1,681,244
	1,421,636	296,776	1,718,412
<b>Asian Projects Fund:</b>			
Short-term investments	362,843	—	362,843
Bonds	1,743,756	121,758	1,865,514
	2,106,599	121,758	2,228,357
<b>Total Investments</b>	<b>\$245,582,084</b>	<b>\$41,636,384</b>	<b>\$287,218,468</b>

**4 REAL ESTATE:**

The Fund's real estate, which is carried at the cost to the donor, has been leased to a nonprofit organization under the terms of an agreement which expires in 2056.

Actuarial present value of benefit obligations:

Accumulated benefit obligation, including vested benefits of \$2,759,931	\$2,819,654
---	-------------

Projected benefit obligation for service rendered to date	\$3,528,239
Plan assets at fair value	5,099,037

**5 PENSION PLAN:**

The Fund participates in the Retirement Income Plan for Employees of Rockefeller Brothers Fund, Inc., et al., a noncontributory plan covering substantially all its employees. The Fund's policy is to make contributions to maintain the plan on a sound financial basis.

Effective January 1, 1989, Financial Accounting Standards Board Statement No. 87, Employer's Accounting for Pensions was adopted for the plan.

The following table sets forth the plan's funded status and amounts recognized in the Fund's balance sheet at December 31, 1989:

Plan assets in excess of projected benefit obligation	1,570,798
Unrecognized net gain from past experience different from that assumed and effects of changes in assumptions	391,273
Unamortized transitional net asset	1,150,832
Prepaid pension cost included in assets	\$ 28,693
Net pension cost for 1989 included the following components:	
Service cost— benefits earned during period	\$ 147,897
Interest cost on projected benefit obligation	289,327
Actual return on plan assets	(790,485)
Net amortization and deferral	324,568
<b>Net periodic pension cost (benefit)</b>	<b>\$ (28,693)</b>

The weighted-average discount rate and rate of increase in future compensation levels used in determining the actuarial present value of the projected benefit obligation were 9 percent and 6 percent, respectively. The expected long-term rate of return on assets was 9 percent.

## 6 RELATED PARTY TRANSACTIONS:

The Fund paid Rockefeller and Co., Inc. fees of \$256,767 as one of its investment advisors and paid 5600, Inc., fees of \$12,670 for accounting, legal, and other services for the year ended December 31, 1989. The Fund was reimbursed \$85,661 for certain common expenses by Rockefeller Family Fund, Inc. In all instances, financial arrangements are determined on a fair value basis.

## 7 COMMITMENTS:

The Fund occupies office facilities which provide for minimum aggregate annual rental payments as follows:

Fiscal Year:

1990	\$ 437,371
1991	437,371
1992	437,371
1993	437,371
1994	493,806
1995-1998	1,975,225

The lease expires on December 31, 1998. Rent expense aggregated approximately \$435,000 in 1989.

## 8 PRIOR YEAR'S FINANCIAL STATEMENTS:

The amounts shown for 1988 in the accompanying financial statements are presented to provide a basis for comparison with 1989 and present totals only. Certain reclassifications of the 1988 financial information have been made to conform to the 1989 presentation.

## EXHIBIT I

### SCHEDULE OF FUNCTIONAL EXPENSES FOR THE YEAR ENDED DECEMBER 31, 1989 WITH COMPARATIVE 1988 TOTALS

	Direct Charitable Activities	Program and Grant Management	Investment Management	General Management	Total 1989	(Note 8) 1988
<b>SALARIES AND EMPLOYEE BENEFITS:</b>						
Salaries	\$129,066	\$ 622,835	\$ 30,728	\$342,571	\$1,125,200	\$ 883,757
Employee benefits	23,930	115,443	5,674	59,219	204,266	170,159
	152,996	738,278	36,402	401,790	1,329,466	1,053,916
<b>OTHER EXPENSES:</b>						
Consultants fees	57,912	40,647	2,038	99,417	200,014	311,737
Investment services	-	-	1,427,198	-	1,427,198	1,283,301
Legal and audit fees	125,717	-	33,013	133,535	292,265	189,262
Travel	25,954	138,290	-	-	164,244	129,382
Rent and electricity	49,932	240,959	11,889	131,747	434,527	243,045
Telephone	3,925	18,942	936	11,796	35,599	33,840
General office expenses	17,512	67,258	4,047	150,184	239,001	297,060
Publications	-	-	-	28,588	28,588	31,945
Depreciation and amortization	3,945	19,079	968	11,870	35,862	-
	\$437,893	\$1,263,453	\$1,516,491	\$ 968,927	\$4,186,764	\$3,573,488



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<sup>1</sup> Until April 25, 1989

<sup>2</sup> Effective June 27, 1989

<sup>3</sup> Until June 27, 1989

<sup>4</sup> Advisory Trustee

<sup>5</sup> Until November 3, 1989



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<sup>3</sup> Effective June 12, 1989

<sup>4</sup> Effective March 27, 1989

<sup>5</sup> Effective November 6, 1989

<sup>6</sup> Until January 3, 1989

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