

ROCKEFELLER BROTHERS FUND ANNUAL REPORT 1979



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RBF

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## Preface

In June 1980, after twenty-two years as president and, later, as chairman of the RBF, Laurance S. Rockefeller will be succeeded as chairman of the Fund by his brother, David Rockefeller. Although the trustees and staff of the Fund have collaborated from the beginning of the RBF in shaping its policy and program, inevitably each chairman—John D. Rockefeller 3rd, Nelson A. Rockefeller, and Laurance S. Rockefeller, in turn—has made a distinctive contribution to the Fund's work.

Laurance Rockefeller's interests have long centered on the natural environment. Inspired by the legacy of environmental concern left to his family by John D. Rockefeller, Jr., Laurance Rockefeller has carried on his father's pioneering work in the field of conservation. His efforts in behalf of America's parks, wilderness areas, and other natural resources are widely recognized, and these efforts are reflected in many of the RBF's grants to such organizations as the American Conservation Association, Jackson Hole Preserve, the New York Zoological Society, and the Conservation Foundation.

But there is another aspect of the RBF program growing from Laurance Rockefeller's interest in the environment that is not so well known. It is evident in grants the RBF has made, especially during the decade of the 1970s, to projects that explore what Laurance Rockefeller has called "a simpler lifestyle." Long before it became part of the popular wisdom, he saw the need to find productive and satisfying ways of living that place less stress on our nonrenewable resources. In the truths of ecology he found an imperative, an ethic, that requires us to treat the land, water, and air as links in a vital chain upon which life depends. He believes that an understanding of this balance of nature can help to move us beyond our preoccupation with personal survival and physical comfort to a larger appreciation of the wholeness of life. Under such an environmental ethic, we would employ our physical as well as mental and spiritual capacities to the fullest in the quest for a greater sense of harmony and purpose.

The RBF's efforts to support and encourage those people who have the imagination and commitment to experiment with new values and ways of living have their roots in the needs of our time, to be sure, and the Fund's response to these needs is what a foundation's role is all about. But the RBF's actions here are also a response to Laurance Rockefeller's

leadership, to his lifelong devotion to the world's natural bounty, and to his active interest in conserving and renewing it, rather than wasting and abusing it.

The Fund's staff and board regard Laurance Rockefeller as a catalyst, one who brings, as he himself said, "the ideas and imagination of others together to get the task done."

But Laurance Rockefeller as chairman of the RBF has been more than that. His restless mind and liberated view of the world have been a constant inspiration to the trustees and staff. He has been personally as well as institutionally generous, often adding his own contributions to RBF projects as evidence of his commitment and care. In the shaping of the Fund's programs, he has held steadily to the values of self-reliance, the need for a sense of participation and purpose, the freeing of human potential, the dignity of work, respect for service to others. His discussions of the Fund's activities, soft-spoken and often spiced with humor, are informed by vision, pragmatism, and philosophical insight that have been wrested from a lifetime of practical experience.

It is a combination from which the RBF, its programs, and its grantees have benefited in countless ways, as the projects described in this report can only begin to attest.

William M. Dietel  
President

# Toward a New Environmental Ethic

## Three lifestyle experiments

In the early seventies, only a handful of organizations had committed themselves to experimentation with or investigation of a new American lifestyle. As part of a deliberately short-term and, for the most part, now completed program to find examples of such experiments, the RBF began to support a few organizations that were working to break the American habit of over-consumption and to devise a new approach to the use of natural and human resources.

- One of the first such organizations was the Farallones Institute, an education and research center established in California in 1969 as a project of the Community for Environmental Changes by a small group of biologists, scientists, designers, and technicians. The institute has created projects to demonstrate and teach self-reliance. It emphasizes solar design, on-site sanitary waste management, and family-scale agriculture. Students, who range in age from 17 to 52, come to the institute for weekend how-to classes, long-term apprenticeships, or residential training programs. The RBF's grant to Farallones was primarily for additions to teaching staff as the institute was expanded during the mid-seventies.
- A similar but unrelated experiment on the East Coast, also supported by the RBF, is the New Alchemy Institute, begun in 1969 to create "environmentally appropriate ways of sustaining human communities." Since 1971, New Alchemy has been designing systems for producing foods on a small scale in a framework of what it calls ecologically sound technologies that require less capital and less fuel. Its Woods Hole Bioshelter I project has demonstrated many cost-effective solar-heating and biological components and has led to plans to develop Bioshelter II, an architectural "ecosystem" that will simultaneously produce heat, grow agricultural crops year round, facilitate tree propagation, culture fish, and purify sewage wastes in linked energy, nutrient, and biological cycles.
- A third attempt to deal with the need for a new environmental ethic is the Lindisfarne Association. Its goal is "the realization of a planetary

culture,” and its program is a combination of “spiritual practice, scholarship, and communal labor.” Perhaps Lindisfarne’s most notable contribution to the exploration of both lifestyle and educational alternatives is its studies, lectures, and annual conferences, which have attracted a long list of writers, artists, planners, and public figures to Lindisfarne’s various centers.

### **The influence of Zen Buddhism**

Well before any of these organizations began their work, however, Americans were being quietly introduced to the philosophy that has had a major influence on the idea of new, and more “harmonious,” lifestyles in this country. The philosophy is Buddhism. Arnold Toynbee once predicted that the movement of Buddhism from the Eastern world to the Western would be of greater significance than any sociocultural trend of its kind.

Although Buddhism has been an influence in Western thought at least since the early nineteenth century, it has usually been taught as an intellectual, rather than practical, discipline. In the 1950s, the study of Zen Buddhism began to demonstrate its potential for popular appeal in the United States. As more and more Zen centers have been established and become increasingly visible, their message for contemporary America has taken on growing attraction. The RBF has investigated and given support to several centers—the Zen Studies Society in New York City, the Zen Center in San Francisco, the American Institute of Buddhist Studies in Massachusetts, and the Zen Center in Rochester, New York.

The grants were made for a variety of purposes—to help these centers gain a measure of economic self-sufficiency, to allow them to expand their training centers to other locations, to make possible the translation of primary texts and commentaries, and to import Zen masters and scholars to teach Zen crafts and other art forms as well as Buddhist philosophy and meditation. But underlying all the grants has been the expectation that, once given a wider exposure, the Buddhist philosophy could have a growing effect on American attitudes and on the way Americans construct their lives.

— The New York City Zen Studies Society has concentrated almost entirely on education. The society, which has been in existence since 1956, has a nonresident center in midtown New York City and a resident retreat in the Catskill Mountains in upstate New York. At both locations, the society conducts training sessions, which have now reached over a thousand students. They learn, as the society’s leaders describe it, to strengthen their “inner moral purposes” and

to develop their “inherent capabilities and insights.” Students also learn the fundamental principles of Buddhist philosophy, calligraphy and other Zen art forms, and, as part of their practice of Zen, do the work of the centers—cleaning, gardening, cutting wood, and maintaining buildings, machinery, and grounds—to develop a sense of responsibility and a respect “for all beings and all material.”

- For the Zen Center in San Francisco, a major goal has been to demonstrate the ability of a small service community to become self-reliant through its experiments with social, agricultural, and economic alternatives. In fiscal 1980, for the first time in its 21-year history, the center’s earned income will cover all of its operating expenses, payments on its longterm debt, and capital improvements on its properties. The center has done this through the operation of four small businesses—a green grocer, a bread bakery, a stitchery, and a highly successful vegetarian gourmet restaurant on the San Francisco waterfront.

### **Small-scale alternatives in other RBF programs**

The emphasis on small-scale, self-help projects and alternative lifestyles that characterizes the Zen, New Alchemy, and Farallones experiments has been integral to other areas of the Fund’s program in recent years as well.

*In the RBF’s national program, both under economic development and the preservation of farmland, the Fund has tried to encourage intelligent use of land and other resources by small farmers and entrepreneurs of small businesses.*

In 1972, the Fund supported a Task Force on Land Use and Urban Growth, chaired by Laurance Rockefeller, whose report, *The Use of Land: A Citizens’ Policy Guide to Urban Growth*, was published in 1973. One of the report’s recommendations called specifically for the protection of agricultural lands around urban areas. The task force was troubled then about the loss of open space—parks, beaches, and lakeshores, as well as farmland—to the growing pressures of the market for housing and industrial development. The land-use report stressed the social and recreational needs for open space, and it pointed to a “new American mood” that gave priority to human values and “the preservation of natural and cultural characteristics that make for a humanly satisfying living environment.”

At the same time, it has become clear that the loss of farmland in this country—now more than 3 million acres a year—has economic and, in

the long run, nutritional as well as social and recreational consequences. Suburban sprawl has combined with soil erosion to threaten not only American world leadership in agriculture but a way of life for thousands of family farms in all parts of the country. It is for these reasons that the RBF has taken a special interest in the future of American agricultural lands.

On the economic development side, the Fund's grants support technical, managerial, and financial assistance for small companies and individual entrepreneurs because of their potential for innovation and their strong record in creating jobs: 75 percent of the work force in the social security system is employed by small companies, and 80 percent of all new jobs between 1969 and 1976 were generated by companies with fewer than 100 employees.

The Fund's grants for farmland retention projects and for the encouragement of small-scale businesses have taken several forms in the national program, but all are meant to strengthen the capacity of the small farm and the self-help enterprise to produce more efficiently and to enhance, rather than deplete, both natural and human resources. For example:

- The Federation of Southern Cooperatives, which the RBF supported throughout the decade, is an organization that has long been dedicated to helping small farmers. Its membership is made up of 137 low-income cooperatives, representing more than 30,000 families in the rural South.

The federation's programs cover almost every facet of small-farm and small-business operation. Working with other agencies, the federation has provided intensive training and extension services to small farmers. It has sent trainees out to help low-income families rehabilitate and insulate their homes, and conducted workshops at its demonstration farms in the construction and operation of solar greenhouses, the use of wood for heat, and agricultural energy conservation for small farmers. It has disseminated consumer information and offered counseling on family budgets; it has taught poor farmers how to plant, harvest, and market fall and winter crops; it has brought the resources of colleges of agriculture to the service of small farms; and it has provided consultation in the management of a variety of cooperative activities in handcraft production, commercial contract sewing, fishing, and other small business pursuits carried on through the federation's member cooperatives.

- Through two other organizations—the American Land Forum and the Emergency Land Fund—the RBF has supported efforts to protect American farmland and even to increase the amount of land in successful agricultural production. The Emergency Land Fund works specifically in the Southeast, training minority farmers to retain their land, much of which is threatened by speculation and resort development.

The American Land Forum was created in 1978 specifically to draw public attention to the accelerating loss of American farmland, most of which was owned by small- and modest-size farmers. The forum has produced publications that have been circulated to policy leaders through government agencies and associations and have become a primary resource for journalists as well as the directors of federal studies on this subject.

- Drawing on the same rationale and many of the same management techniques as it has used in development programs abroad, an organization called ACCION International has set out to demonstrate that the “micro-business” sector in the United States has the same kind of potential for economic self-reliance that small-scale entrepreneurs do in developing countries. The RBF grants to ACCION for its U.S. program have been directed specifically at technical assistance to small businesses in Maine. ACCION’s goal is to help these entrepreneurs establish credit, to give them assistance in marketing, management, and production technology, and to offer advocacy for micro-entrepreneurs in the face of increased government regulation and intervention.

*In New York City*, a large-scale metropolis where a myriad of small-scale enterprises define the neighborhoods and give the city much of its character, there is growing evidence that as New York’s fiscal woes force cutbacks in its services, the quality of life in each neighborhood will depend more and more on citizen initiative. The Fund’s grants in New York have tried to encourage such self-help, especially in housing and community development.

- One direct outgrowth of the city’s fiscal crisis was the formation in 1975 of the Citizens Committee for New York City, Inc. Its goals were to recruit volunteers who could make up for some of the reduction in city services and to promote neighborhood-based self-help programs in all five boroughs.

With grants from the RBF and several other New York foundations, the committee has conducted an annual Self-Help Neighborhood Awards Program. Begun in 1977, the program has given awards of between \$75 and \$1,000 for the purchase of supplies and equipment to more than 400 community organizations in all five New York boroughs, all of them administering self-help projects in such areas as recreation, housing, crime prevention, and sanitation. In 1979, the Fund contributed to the production of a handbook documenting 250 community youth programs for distribution to New York City neighborhoods whose volunteers are looking for models to replicate.

- In one of the city's most depressed areas, the South Bronx, a group of community leaders founded the Bronx Frontier Development Corporation in 1977 with the idea of providing examples of creative ways to apply new, alternative technologies to the rebuilding of the community.

The project to which the RBF has contributed is a demonstration of urban composting. With leaves from various sanitation programs and vegetable wastes from the Hunts Point Market, the world's largest produce center, the corporation is creating massive amounts of new topsoil. It is being distributed free to sites selected by community groups to convert rubble-strewn vacant land to green open spaces, gardens, and recreation areas. The corporation expects that with expanded production, it can market enough surplus topsoil to make the project self-sustaining.

The corporation's work has become an important component of a major federal program in the Bronx, the Open Space Greening Project, in which the government has invested \$1 million. The project's aim is to help rebuild the South Bronx by creating a more attractive environment that can regenerate both housing and industry in the area and provide jobs for the unemployed young people and adults who constitute 40 percent of the South Bronx population.

*In the Fund's international program*, self-help projects and the management of natural resources in certain parts of the developing world have been a priority throughout the last decade. In the early 1970s, the international program's development grants (for an outline of the international program, see the section on RBF programs in this report) were concentrated in Africa. For example, in Botswana the RBF supported the Kweneng Rural Development Association because its emphasis was on community participation and the generation of jobs. The association's projects have included a garage for repair of farm equipment and vehicles, as well as training of young mechanics, a bee-keeping venture, and a village demonstration park.

In recent years, building on Laurance Rockefeller's longtime interest in the Caribbean, the RBF's international program has moved into this area of the hemisphere. Concerned about growing unemployment, deteriorating natural resources of the region and the need to strengthen other sectors of the economy, especially agriculture and industry, Laurance Rockefeller helped form the Caribbean Conservation Association in 1967. Its purpose is to bring representatives of local governments, businesses, and conservation associations together to determine the needs of the Caribbean area in the conservation field, to coordinate conservation activities in the area, to obtain technical and financial assistance for the association's members, and to educate the people of the region to the value of their natural and cultural resources.

The RBF began its own activities in the Caribbean basin with grants to a series of self-help projects in economic development and resource management, primarily in the English-speaking islands but in some of the surrounding Central American countries as well.

- In Nicaragua, for example, the RBF has supported FUNDE, the Nicaraguan Development Foundation, in its efforts to help women who operate small markets in cities and villages throughout the country to form cooperatives. FUNDE has made it possible for these women, many of whom had been dependent on loan sharks to maintain their businesses, to establish their own credit arrangements at lower interest rates, to begin savings program, and to learn more about child care, hygiene, and nutrition.
- In newly independent Dominica, a Windward island with a population of 80,000 people, the RBF helped a group of parents establish the first agriculturally oriented secondary school on the island. One of the poorest countries in the Caribbean, Dominica suffers from high levels of unemployment and out-migration, especially among young people. But its considerable forest resources and arable land offer unexplored possibilities for creating jobs. The agricultural school is trying to overcome old prejudices against working the land and through classroom and field activities to provide practical farming skills oriented toward agriculture in Dominica and the West Indies, rather than the United Kingdom as in the past.
- In resource management, the Fund has made several grants to organizations active in environmental protection, education, and management. In its most recent grants, the Fund has been working with the Caribbean Conservation Association in Barbados, the Tropical Agriculture Center for Research and Training in Costa Rica, and the University of Michigan School of Natural Resources

—whose staff members have considerable experience in Caribbean and Central American development programs.

The RBF's collaboration with these organizations—all of them aiming to improve living conditions through better use of wildland resources—has led, among other things, to the creation of the Eastern Caribbean Natural Area Management Program. This program provides assistance, particularly in the Windward and Leeward islands, for basic planning of land and marine areas, for in-service training of government staff, for environmental education in schools and the public media on the management of both natural and cultural resources, and for experiments that draw on local resources, such as the growing of conch and other seafood, to create jobs.

The next step in this collaboration with Caribbean, Central American, and other institutions operating in the region, is to bring more agencies into a cooperative program to plan and carry out environmentally sound economic development—a process known as eco-development. Where traditional efforts to develop natural resources have centered on production of commodities and on recreation, often with destructive consequences, eco-development tries to make sure that the natural ecological processes are supported, not threatened.

Eco-development is based on certain principles: the direct participation of local and regional peoples in the design and management of programs; the blending of social, economic, and ecological considerations; interdisciplinary planning and decision-making to foster cooperation and mediation rather than conflict and confrontation; sufficient financial and technical support to encourage reasonable levels of local and regional independence in the design and use of the environment. The eco-development approach encourages the use of appropriate rather than high technology, human and realistic scales of operation, diversified energy development, sustainable lifestyles, respect for local cultures and ways of life.

### **Seeds of the Future?**

The evidence of longer-range success of all these activities is not yet in, but even at the relatively modest level that many of these organizations have operated, the results are encouraging. Most encouraging, perhaps, are the signs that interest in simpler, less wasteful living—whether or not it has been forced on us by the high cost of more sophisticated patterns of consumption—has spread across this country. Unlike the situation in developing countries, where small-scale economic programs are often a matter of government policy, in the United States this has grown in almost every sense as a grassroots movement.

It is to be hoped that such spontaneity increases the likelihood that the change to a simpler way of life will be permanent.

## The Rockefeller Brothers Fund and Its Program

The RBF was founded in 1940 as a vehicle through which the five sons and daughter of John D. Rockefeller, Jr., could share a source of advice and research on charitable activities and combine some of their philanthropies to better effect. John D. Rockefeller, Jr., made a substantial gift to the Fund in 1951, and in 1960, the Fund received a major bequest from his estate. Together, these constitute the basic endowment of the Fund.

In the last three years, the Fund has made several gifts from capital (see the RBF Annual Report for 1978), which have so far reduced the principal endowment by over \$43 million. The assets of the RBF at the end of 1979 were \$162,547,537, and its 201 payments during the year amounted to \$32,641,681, including approximately \$25 million in gifts from principal. Since 1940, the RBF has disbursed a total of \$240,049,328 in grants.

The Fund makes grants in three program areas—national, New York City, and international—under policy guidelines established by the board of trustees. In late 1979, the Fund made substantial changes in the focus of its international program, and in 1980 expects similarly to revise its New York City program. Modifications of the national program were made in 1978 and described in the Fund's report for that year.

### The National Program

Total expenditures in 1979: \$15,984,935.03 (83 payments).

**Domestic Development Banking.** Emphasis: support of efforts to focus public and private investment on critical national needs. Special attention is given to forums, research, and other public information activities designed to insure broad public debate and the creation of a domestic development bank, which has been proposed as a vehicle for stimulating such investment.

**American Farmland Preservation.** Emphasis: efforts to reduce the loss of prime agricultural land and to preserve this vital national resource. The Fund will seek to inform and educate the public on such matters as farmers' estate and real property taxes, agricultural zoning districts, development rights, and related governmental and economic issues that

promote farming opportunity and the retention of land for agricultural use.

**Employment Policy and Job Development.** Emphasis: the strengthening of private-sector remedies to unemployment through the creation of new organizations, private-sector monitoring of government-agency economic development and employment programs, and stimulation of greater use of the public job-training funds in the growth areas of the economy.

**The Wellbeing of the Private, Nonprofit Sector.** Emphasis: projects that affirm for the public, government officials, and corporate leaders the unique, dynamic, and constructive qualities that the nonprofit sector brings to society. The Fund is especially interested in ways to encourage increased personal and corporate giving in the United States, to work with corporations on projects that are in the public interest, and to help business leaders extend their role in the solution of social and economic problems.

**Phase-out Grants.** In 1978, the trustees of the RBF decided to phase out, over two years, grant support in the prior national program categories of economic opportunity and development, environmental integrity, equal rights, and civic and cultural values. These phase-out grants continued in 1979 and are listed separately.

### **The New York City Program**

Total expenditures in 1979: \$14,021,436 (53 payments). The objective is to improve the quality of life in New York, the RBF's home community, by encouraging more comprehensive, efficient, and publicly accountable approaches to problems in the areas below.

**Arts and Culture.** Emphasis: broad-constituency service organizations, programs that link the resources of cultural organizations to the public school system, selected major institutions, community-based organizations that express the vitality of the city's cultural mix, and projects that address issues and policies affecting the wellbeing of the cultural scene as a whole.

**Economic Development.** Emphasis: cooperative efforts to strengthen the city's overall economic base through employment generation and increased investment in the metropolitan area.

**Housing and Community Development.** Emphasis: ways to stabilize existing housing in the city and to revitalize moderate- and middle-

income neighborhoods through preventive therapy, rehabilitation, new patterns of maintenance and ownership, and the encouragement of community development and self-help efforts.

**Human Services.** Emphasis: cooperative relations between public and private agencies, action-oriented studies that have broad implications for the human service field, selected major voluntary organizations, and techniques to improve the accountability and management of publicly funded programs.

**Public Education.** Emphasis: attempts to strengthen private agencies outside the public school system that work with the New York City Board of Education to effect change and efforts within the public system that try to improve the schools' performance.

### **The International Program**

Total expenditures in 1979: \$2,635,400 (65 payments). Grants here are directed at three objectives—improved international cooperation, conservation efforts through eco-development, and enhancement of human dignity, individual liberties, and the law.

**International Cooperation.** Emphasis: relations between the People's Republic of China and the United States and multilateral relations in the Pacific Community. The Fund tries to nurture creative efforts to increase understanding between the PRC and the United States and harmony within the Pacific Community through projects that facilitate analysis and discussion of issues of common concern, as well as through exchanges of people and translation of foreign-language material for broader distribution.

**Eco-Development.** Geographical focus: the wider Caribbean area, including the islands of the Greater and Lesser Antilles and the coastal countries of Central America, Mexico, and Colombia. The Fund's aim is to draw together conservation and the use and management of land and marine resources through projects in planning, training, education and regional cooperation and through experimental ventures. Particular attention is given to self-help programs in the Caribbean that demonstrate wise use of natural resources and generate employment.

**Human Dignity, Individual Liberties and the Law.** Emphasis: legal protection of human dignity and individual liberties. For example, Fund grants have encouraged the legal profession in the United States and other countries to become more actively involved in the protection of civil and political liberties and the promotion of economic and social rights.

## **How to apply for a grant**

To qualify for a grant from the RBF, as from most other foundations, a prospective grantee must be either a tax-exempt organization or an organization seeking support for a project that would qualify as tax-exempt. A grantee must also be engaged in work that fits generally within one of the three areas of program activity outlined here.

Proposals to the RBF should include a complete description of the purpose of the project or organization, the background and the research that have led to the development of the proposal, the methods by which the project is to be carried out, the qualifications and experience of the project's or organization's principal staff members, a list of those who serve as board members or advisers to the projects, and a carefully prepared, realistic budget. Attached to each proposal must be a copy of the organization's tax exemption notice and classification from the Internal Revenue Service, dated after 1969, and a copy of its most recent financial statement, preferably audited. Proposals from former grantees of the Fund will be considered only after earlier grants have been evaluated and grantees have submitted necessary reports of expenditures of those grants.

Although the RBF has made substantial gifts to organizations and programs in which it has considerable interest, most grants run between \$10,000 and \$75,000, the average between \$25,000 and \$35,000.

It should be noted that the Fund does not make grants to individuals, nor does it, as a general rule, support research, graduate study, or the writing of books or dissertations by individuals.

## **Grant procedure**

Each proposal to the RBF is reviewed by one or more members of the staff, who try to be prompt in notifying applicants if their proposals do not fit current program guidelines or budgetary restraints. If a project is taken up for grant consideration, staff members may ask for further information and almost certainly for a meeting with the principal organizers of the project. Grants are awarded by the trustees, who meet regularly.

Fund grantees are required to submit financial and narrative reports at specified intervals and at the end of each grant period. In addition, RBF staff members are expected to follow projects along throughout the life of the grant and to evaluate the project at the end of the period. These evaluations become part of the Fund's permanent records.

## Grants and Contributions Paid or Approved for Future Payments During the Year

### NATIONAL PROGRAM: Civic and Cultural Values (\$8,926,132—Paid)

<i>Recipient and Purpose</i>	<i>Total Appropriation</i>	<i>Paid in Previous Years</i>	<i>Payment in 1979</i>	<i>Unpaid Balance</i>
<b>American Institute of Buddhist Studies, Inc.</b> <i>Amherst, Massachusetts</i> Toward the development of university-accredited undergraduate programs in Asian studies, to be conducted at several college campuses during the summer.	\$ 25,000*	\$ 10,000	\$ 15,000	\$
<b>Aspen Institute for Humanistic Studies</b> <i>New York, New York</i> For general budgetary support of this organization, devoted to thought and action in areas of contemporary life that will be most affected by the changes transforming the modern world.	300,000		125,000	175,000
<b>Colonial Williamsburg Foundation, Inc., The</b> <i>Williamsburg, Virginia</i> Toward the general purposes of the foundation, which operates the Colonial Williamsburg restoration.	4,000,000*		1,150,000	2,850,000
To underwrite a contingent commitment incurred in the acquisition of Carter's Grove Plantation, Virginia, for exhibition as an historic property.	290,000*			290,000
<b>Elderhostel, Inc.</b> <i>Newton, Massachusetts</i> For general budgetary support of this program, which offers people over 60 years of age a series of weekly low-tuition, residential, academic experiences during the summer on the campuses of a national network of colleges.	50,000		30,000	20,000
<b>Family Service Association of Nassau County, Inc.</b> <i>Hempstead, New York</i> Toward the mother-child home component of the association's Verbal Interaction Project, which attempts to prevent educational disadvantage by showing mothers how to teach verbal abilities to their young children.	72,000*	46,000	26,000	
<b>Fund for Theological Education, Inc., The</b> <i>Princeton, New Jersey</i> Toward the expenses of the Hispanic Ministerial Fellowship Program and the Hispanic Doctoral Fellowship Program.	50,372*	43,000	7,372	
<b>Harvard University</b> <i>Cambridge, Massachusetts</i> Toward a reassessment of early American history through an archival study of the recruitment, development, and character of the American population from the beginning of settlement until the end of the 19th century.	212,522*	162,762	49,760	
<b>Michigan, University of</b> <i>Ann Arbor, Michigan</i> Toward the university's President Ford Library/Museum Fund.	50,000			50,000

<i>Recipient and Purpose</i>	<i>Total Appropriation</i>	<i>Paid in Previous Years</i>	<i>Payment in 1979</i>	<i>Unpaid Balance</i>
<b>Mimbres Foundation</b> <i>Santa Fe, New Mexico</i> Toward the establishment of an Archeological Conservancy to identify and protect important endangered archeological sites in the United States.	\$ 25,000	\$	\$ 25,000	\$
<b>National Academy of Sciences</b> <i>Washington, D. C.</i> Toward a memorial to Albert Einstein on the grounds of the academy.	10,000		10,000	
<b>National Association of Independent Schools, Inc.</b> <i>Boston, Massachusetts</i> For general budgetary support over three years, with special attention to the association's Commission on Educational Issues and to the establishment of a Washington office for governmental relations.	220,000*	165,000		55,000
<b>New York, Board of Regents of the University of the State of</b> <i>Albany, New York</i> Toward an investigation of the application of the Scandinavian study-circle idea to adult learning in the United States.	25,000		25,000	
<b>New York Institute of Technology</b> <i>Old Westbury, New York</i> Toward the development program of the institute's College of Osteopathic Medicine.	200,000		125,000	75,000
<b>Pennsylvania, Trustees of the University of</b> <i>Philadelphia, Pennsylvania</i> For general budgetary support of the university's School of Veterinary Medicine.	50,000		50,000	
<b>Public Resources, Inc.</b> <i>New York, New York</i> For general budgetary support of the center, which helps to develop the potential of business in meeting public needs and solving social problems.	55,000		35,000	20,000
<b>Quebec-Labrador Foundation, Inc., The</b> <i>Ipswich, Massachusetts</i> Toward the environmental education program of the foundation, which assists with the education of persons living in isolated communities of north-eastern Canada and northern Maine.	75,000*	50,000	25,000	
<b>Rockefeller University, The</b> <i>New York, New York</i> Toward the general purposes of this graduate research institution for the sciences.	15,000,000*	2,125,000	6,125,000	6,750,000
Toward the joint M.D./Ph.D. program sponsored by the Cornell University Medical College and The Rockefeller University.	150,000		150,000	
Toward a fund functioning as endowment for the university's Rockefeller Archive Center.	1,000,000*		250,000	750,000

<i>Recipient and Purpose</i>	<i>Total Appropriation</i>	<i>Paid in Previous Years</i>	<i>Payment in 1979</i>	<i>Unpaid Balance</i>
<b>Sleepy Hollow Restorations, Inc.</b> <i>Tarrytown, New York</i> Toward the general purposes of this historical restoration complex in the lower Hudson River valley.	\$ 500,000	\$	\$ 500,000	\$
<b>Spelman College</b> <i>Atlanta, Georgia</i> Toward the college's Centennial Financial Development Program.	4,200,000*	2,100,000	100,000	2,000,000
<b>Union Church of Pocantico Hills</b> <i>Tarrytown, New York</i> For general budgetary support of this nondenominational church.	28,000		28,000	
<b>Union Theological Seminary</b> <i>New York, New York</i> Toward the seminary's capital fund campaign.	250,000		50,000	200,000
<b>United Negro College Fund, Inc.</b> <i>New York, New York</i> For general budgetary support of UNCF's campaign to raise unrestricted funds for its forty member institutions.	10,000		10,000	
Toward UNCF's special Capital Resources Development Program.	750,000			750,000
<b>U.S. Committee for United World College Schools, Inc.</b> <i>New York, New York</i> For general budgetary support of this international secondary school program, which is based primarily on outdoor survival skills and community-service projects.	30,000*	15,000	15,000	
<b>Zen Center, A Corporation Sole</b> <i>San Francisco, California</i> For general budgetary support of the center and its effort to translate Buddhist ideas and sense of community into direct involvement with its own neighborhood.	50,000*	20,000		30,000
<b>NATIONAL PROGRAM: Economic Opportunity and Development (\$520,831—Paid)</b>				
<b>ACCION International</b> <i>Cambridge, Massachusetts</i> Toward a project in Maine to provide technical assistance, including credit and management advice, to small entrepreneurs, who tend to be excluded from other government and private assistance programs.	25,000		25,000	
<b>Center for Community Change</b> <i>Washington, D.C.</i> Toward the core operating budget of the center and further support for its program of assistance to community and economic development organizations.	350,000*	175,000	175,000	

<i>Recipient and Purpose</i>	<i>Total Appropriation</i>	<i>Paid in Previous Years</i>	<i>Payment in 1979</i>	<i>Unpaid Balance</i>
<b>Emergency Land Fund</b> <i>Atlanta, Georgia</i> Toward this organization's program in land retention, acquisition, and development in the South.	\$ 25,000	\$	\$ 25,000	\$
<b>Federation of Southern Cooperatives</b> <i>Atlanta, Georgia</i> Toward the federation's training center and demonstration farm.	25,000		25,000	
<b>Harvard University</b> <i>Boston, Massachusetts</i> Toward a program, conducted under the auspices of the university's Graduate School of Business Administration, to facilitate the training of labor, management, and government personnel in techniques of consensus-building on difficult public/private conflicts.	150,000*	75,000	75,000	
<b>Lawyers' Committee for Civil Rights Under Law</b> <i>Washington, D. C.</i> Toward the committee's Government Employment Project, which is concerned with discriminatory hiring practices in public agencies.	75,000		75,000	
<b>National Black Child Development Institute, Inc.</b> <i>Washington, D. C.</i> For general budgetary support of this organization, which is dedicated to the development of positive standards and values among young people in black communities.	37,500*	25,000	12,500	
<b>National Center for Policy Alternatives</b> <i>Washington, D. C.</i> For general budgetary support of the center's agricultural policy clearinghouse and toward the clearinghouse's Family Farm Development Act monitoring project.	70,000		15,000	55,000
<b>National Council of La Raza</b> <i>Washington, D. C.</i> Toward a study of national policy issues by the council, which is dedicated to improving the social and economic conditions of Hispanics in the United States, especially in the Southwest.	25,000		25,000	
<b>National Rural Center, The</b> <i>Washington, D. C.</i> Toward the Project Development Fund of the center's general program, which focuses on rural policy matters relating to employment, agriculture, health, education, economic development, and law.	769,165	750,000	19,165	
<b>Rural Advancement Fund of the National Sharecroppers Fund, Inc.</b> <i>Charlotte, North Carolina</i> Toward the fund's program to provide job training and assistance to local cooperatives and community development programs in the South.	45,000		30,000	15,000

<i>Recipient and Purpose</i>	<i>Total Appropriation</i>	<i>Paid in Previous Years</i>	<i>Payment in 1979</i>	<i>Unpaid Balance</i>
<b>Southern Development Foundation</b>				
<i>Lafayette, Louisiana</i>				
For general budgetary support of the foundation's program of technical, managerial, and financial assistance to agricultural cooperatives in the South and toward its work in organizing the Southern Rural Policy Congress.	\$ 75,000*	\$ 25,000	\$	\$ 50,000
<b>Winrock International Livestock Research and Training Center, Inc.</b>				
<i>Morrilton, Arkansas</i>				
Toward the Project Development Fund of the center's general programs in the field of animal agriculture.	769,166*	750,000	19,166	
<b>NATIONAL PROGRAM: Environmental Integrity</b>				
<b>(\$4,135,000—Paid)</b>				
<b>Conservation Foundation, The</b>				
<i>Washington, D. C.</i>				
Toward the second phase of the foundation's project, "In Search of a Common Language: Economics and the Environment."	100,000*	50,000	50,000	
<b>Jackson Hole Preserve, Incorporated</b>				
<i>New York, New York</i>				
Toward the general purposes of this organization to restore, protect, and preserve natural resources and areas of scenic beauty.	3,000,000*	1,000,000	1,500,000	500,000
<b>New Alchemy Institute</b>				
<i>Woods Hole, Massachusetts</i>				
For general budgetary support and for support of a study of the economic viability of the institute's agricultural bioshelters.	120,000*	55,000		65,000
<b>Population Council, Inc., The</b>				
<i>New York, New York</i>				
For general budgetary support of the council over the three-year period, 1978-1980, and toward the policy-related aspects of the council's programs in research, training, technical assistance, and information exchange in demography, reproductive physiology and contraceptive development, family planning, and population policy.	3,750,000*	1,250,000	2,000,000	500,000
To assist the council in sustaining and furthering its objectives.	3,650,000*	1,240,000	10,000	2,400,000
A supplemental contribution to assist the council in sustaining and furthering its objectives.	2,600,000*		500,000	2,100,000
<b>Worldwatch Institute, Inc.</b>				
<i>Washington, D. C.</i>				
For general budgetary support of the institute, which seeks to identify emerging public problems and to create a climate in which these problems can be rationally discussed.	375,000*	225,000	75,000	75,000

<i>Recipient and Purpose</i>	<i>Total Appropriation</i>	<i>Paid in Previous Years</i>	<i>Payment in 1979</i>	<i>Unpaid Balance</i>
<b>NATIONAL PROGRAM: Equal Rights</b> (\$927,500—Paid)				
<b>American Civil Liberties Union Foundation, Inc.</b> <i>New York, New York</i> Toward the foundation's Voter Law Project, which monitors and seeks enforcement of the Voting Rights Act of 1965.				
	\$ 40,000	\$	\$ 25,000	\$ 15,000
<b>Catholic University of America, The</b> <i>Washington, D.C.</i> For general budgetary support of the university's Center for National Policy Review.				
	25,000		25,000	
<b>Center for Law and Social Policy</b> <i>Washington, D.C.</i> Toward the center's program to improve health services for minority groups and the poor.				
	60,000		40,000	20,000
<b>Children's Defense Fund</b> <i>Washington, D.C.</i> For general budgetary support of the fund, which is engaged in action research and advocacy in elementary and secondary education, social services, juvenile justice, child care, and other child-related concerns.				
	50,000		50,000	
<b>Columbia University in the City of New York, The Trustees of</b> <i>New York, New York</i> Toward a project to locate and prepare a guide to papers and documents that relate to Whitney M. Young, Jr., the late civil rights leader.				
	20,000		20,000	
<b>Lawyers' Committee for Civil Rights Under Law</b> <i>Washington, D.C.</i> Toward the committee's Voter Law Project, which is concerned with voter registration and education.				
	40,000		40,000	
<b>Martin Luther King, Jr., Center for Social Change</b> <i>Atlanta, Georgia</i> Toward construction of the auditorium of the King Center, which will be used for, among other projects, showing film segments on the life of Martin Luther King, Jr.				
	250,000*		225,000	25,000
<b>Mexican American Legal Defense and Educational Fund</b> <i>San Francisco, California</i> For general budgetary support of this national legal organization for Mexican American and other citizens.				
	50,000		50,000	
<b>NAACP Legal Defense and Educational Fund, Inc.</b> <i>New York, New York</i> For general budgetary support of this organization, which litigates civil rights cases involving minorities.				
	60,000		60,000	

<i>Recipient and Purpose</i>	<i>Total Appropriation</i>	<i>Paid in Previous Years</i>	<i>Payment in 1979</i>	<i>Unpaid Balance</i>
<b>NAACP Special Contribution Fund</b>				
<i>New York, New York</i>				
For general budgetary support of this national civil rights organization.	\$ 70,000	\$	\$ 40,000	\$ 30,000
<b>National Black United Fund, Inc.</b>				
<i>Los Angeles, California</i>				
Toward a training program to meet the manpower needs of new black United Fund organizations.	60,000*	35,000	25,000	
<b>National Urban League, Inc.</b>				
<i>New York, New York</i>				
For general budgetary support of the league, which plays a leading role in a wide variety of concerns relating to black citizens, including housing, health, employment, and education.	40,000		40,000	
Toward the league's Leadership Development Program.	500,000		162,500	337,500
<b>Puerto Rican Legal Defense and Education Fund, Inc.</b>				
<i>New York, New York</i>				
For general budgetary support of this organization, which protects and promotes the civil rights of Puerto Ricans and other Spanish-speaking citizens.	40,000		40,000	
<b>Southwest Voter Registration Education Project, Inc.</b>				
<i>San Antonio, Texas</i>				
For general budgetary support of this coordinating agency for voter registration and education in the Southwest.	45,000*	25,000	20,000	
For further general budgetary support in 1980 and 1981.	25,000		15,000	10,000
<b>Voter Education Project, Inc.</b>				
<i>Atlanta, Georgia</i>				
For general budgetary support of this program of nonpartisan voter registration, citizenship education, and technical assistance to elected officials from minority groups.	50,000		50,000	
<b>NATIONAL PROGRAM: Development Banking</b>				
<b>(\$300,000—Paid)</b>				
<b>Corporation for Public/Private Ventures, Inc.</b>				
<i>Philadelphia, Pennsylvania</i>				
Toward the initial planning of the corporation's development finance assistance project in cooperation with the Economic Development Administration of the U.S. Department of Commerce.	75,000		75,000	
<b>National Rural Center, The</b>				
<i>Washington, D. C.</i>				
Toward the center's domestic development bank project.	225,000		225,000	

<i>Recipient and Purpose</i>	<i>Total Appropriation</i>	<i>Paid in Previous Years</i>	<i>Payment in 1979</i>	<i>Unpaid Balance</i>
<b>NATIONAL PROGRAM: Farmland Preservation</b>				
<b>(\$382,500—Paid)</b>				
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<b>Center for Community Change</b>				
<i>Washington, D.C.</i>				
Toward the center's economic feasibility study of a private-sector-based, national land bank.	\$ 75,000	\$	\$ 75,000	\$
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<b>Environmentalists for Full Employment</b>				
<i>Washington, D.C.</i>				
Toward a research project in energy and employment policy to be undertaken by this public-interest group, which is promoting the concept that there need be no fundamental conflict between economic development and a cleaner, safer environment.	37,500		37,500	
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<b>Hampshire College, The Trustees of</b>				
<i>Amherst, Massachusetts</i>				
Toward the initial expenses of the college's New England Farm Center.	50,000		50,000	
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<b>National Association of Counties Research Foundation</b>				
<i>Washington, D.C.</i>				
Toward the foundation's Agricultural Land Information Project, a coalition of public- and private-sector individuals and organizations that seeks to preserve farmland nationwide.	185,000		185,000	
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<b>Natural Resources Defense Council, Inc.</b>				
<i>New York, New York</i>				
Toward the council's research and planning activities in farmland preservation.	35,000		35,000	
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<b>NATIONAL PROGRAM: Structural Unemployment</b>				
<b>(\$435,500—Paid)</b>				
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<b>Citizens Policy Center</b>				
<i>Santa Barbara, California</i>				
Toward the center's evaluation, in California, of the federally enacted 1977 Youth Employment and Demonstration Projects Act.	35,000		35,000	
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<b>Corporation for Public/Private Ventures, Inc.</b>				
<i>Philadelphia, Pennsylvania</i>				
Toward the corporation's development finance assistance and job-creation project, in cooperation with the Economic Development Administration of the U.S. Department of Commerce.	300,000		300,000	
Toward an assessment of the need for technical assistance, monitoring, and evaluation in connection with the private sector's role in reducing unemployment.	15,000		15,000	
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<i>Recipient and Purpose</i>	<i>Total Appropriation</i>	<i>Paid in Previous Years</i>	<i>Payment in 1979</i>	<i>Unpaid Balance</i>
<b>Manpower Demonstration Research Corporation</b>				
<i>New York, New York</i>				
Toward the corporation's evaluation of the private-sector component of the Youth Incentive Entitlement Project, a federal demonstration program to combat youth unemployment.	\$ 60,500	\$	\$ 60,500	\$
<b>Potomac Institute, Inc.</b>				
<i>Washington, D. C.</i>				
Toward a study of the options and feasibility of implementing a program of national service for youth.	25,000		25,000	
<b>NATIONAL PROGRAM: Private, Nonprofit Sector</b>				
<b>(\$70,570—Paid)</b>				
<b>Council on Foundations, Inc.</b>				
<i>Washington, D. C.</i>				
To cover the Fund's full membership contribution for 1980 to this national service agency for foundations.	18,000		17,070	930 <sup>(1)</sup>
<b>Foundation Center, The</b>				
<i>New York, New York</i>				
For general budgetary support of the center, which collects and disseminates information in the foundation field.	20,000*		20,000	
For general budgetary support in 1980.	20,000*			20,000
<b>National Committee for Responsive Philanthropy</b>				
<i>Washington, D. C.</i>				
For general budgetary support of the committee, which undertakes research and analysis of questions related to society's current needs and the extent to which they are, or are not, being met by private philanthropy.	50,000		30,000	20,000
<b>National Information Bureau, Inc.</b>				
<i>New York, New York</i>				
For general budgetary support of the bureau's review of philanthropic standards and its advisory services to contributors.	3,500		3,500	
<b>NATIONAL PROGRAM: Other Critical Issues</b>				
<b>(\$409,902—Paid)</b>				
<b>American Institute of Buddhist Studies, Inc.</b>				
<i>Amherst, Massachusetts</i>				
For general budgetary support of the institute, which works through liberal arts institutions to introduce a comprehensive study of Buddhist philosophy, science, and tradition without alienating students from their own Western culture.	25,000		15,000	10,000

<sup>(1)</sup> Lapsed

\*Appropriation made prior to 1979

<i>Recipient and Purpose</i>	<i>Total Appropriation</i>	<i>Paid in Previous Years</i>	<i>Payment in 1979</i>	<i>Unpaid Balance</i>
<b>Arts, Education and Americans, Inc., The</b> <i>New York, New York</i> For general budgetary support of this organization, which sponsors workshops, conferences, and public information programs on art education.	\$ 50,000	\$	\$ 30,000	\$ 20,000
For further general budgetary support.	250,000		62,902	187,098
<b>Bay Area Institute</b> <i>San Francisco, California</i> Toward the subscriber expansion program of the institute's Pacific News Service, which disseminates in-depth analyses and reports on major issues shaping the future of the United States to national news outlets.	60,000		60,000	
<b>National Commission on the International Year of the Child, 1979</b> <i>Washington, D. C.</i> Toward the expenses of the commission's Children's Advisory Panel.	50,000		50,000	
<b>Northeast-Midwest Institute, The</b> <i>Washington, D. C.</i> Toward the economic analysis unit, the infrastructure study, and the meetings on the national development bank of the institute, a bipartisan coalition of U.S. Senators and Congressmen that analyzes policy options open to the federal government.	67,000		67,000	
<b>Notre Dame du Lac, University of</b> <i>Notre Dame, Indiana</i> For general budgetary support of the Ecumenical Institute for Advanced Theological Studies in Jerusalem, which seeks to foster ecumenical scholarship and understanding, especially between Judaism and Christianity and Islam and Christianity.	50,000		50,000	
<b>Opportunity Funding Corporation</b> <i>Washington, D. C.</i> Toward the corporation's feasibility study of and proposal for a development-finance policy institute.	50,000		50,000	
<b>Zen Center</b> <i>Rochester, New York</i> Toward the center's development and long-term planning of a country training facility in Jefferson County, Colorado.	50,000		25,000	25,000
<b>NEW YORK CITY PROGRAM: Arts and Culture</b> <b>(\$6,226,000—Paid)</b>				
<b>Cooper Union for the Advancement of Science &amp; Art, The</b> <i>New York, New York</i> Toward first-year start-up funds to launch the Design Center, a service to be provided by the school to nonprofit organizations.	15,000		15,000	

<i>Recipient and Purpose</i>	<i>Total Appropriation</i>	<i>Paid in Previous Years</i>	<i>Payment in 1979</i>	<i>Unpaid Balance</i>
<b>Cultural Assistance Center, Inc.</b>				
<i>New York, New York</i>				
Toward the center's project to review the city's current cultural policies and to examine the feasibility of creating a centralized arts service organization.	\$ 25,000	\$	\$ 25,000	\$
<b>Cultural Council Foundation</b>				
<i>New York, New York</i>				
Toward the expenses of The Arts Connection, which develops new programs that bring the resources of arts organizations into underserved communities.	20,000		20,000	
For general budgetary support in 1979 of the Center for Arts Information, a clearinghouse serving nonprofit arts organizations.	15,000		15,000	
For further general budgetary support of the Center for Arts Information.	30,000		15,000	15,000
<b>Learning Through an Expanded Arts Program, Inc.</b>				
<i>New York, New York</i>				
Toward the production of a technical assistance manual and for general budgetary support of this program, which helps schools utilize existing resources in the community and in the schools themselves to institute and expand arts education in their curricula.	25,000		10,000	5,000 <sup>(2)</sup> 10,000
<b>Lincoln Center for the Performing Arts, Inc.</b>				
<i>New York, New York</i>				
Toward the long-range capital fund drive of the organization, which provides overall management and direction for associated music, theater, dance, and film groups in the Lincoln Center complex.	3,000,000*		1,150,000	1,850,000
<b>Museum of the American Indian (Heye Foundation)</b>				
<i>New York, New York</i>				
Toward the museum's fundraising program.	75,000		50,000	25,000
<b>Museum of Modern Art, The</b>				
<i>New York, New York</i>				
Toward the museum's 50th Anniversary Capital Funds campaign.	9,825,000*	1,000,000	4,500,000	4,325,000
<b>New York Public Library</b>				
<i>New York, New York</i>				
Toward the development of a financial equilibrium plan for New York City's Research Libraries.	50,000		50,000	
Toward the renovation and reopening of the gallery on the main floor of the central building on 42nd Street and an endowment fund for program and program staff.	1,000,000			1,000,000
<b>New York Zoological Society</b>				
<i>Bronx, New York</i>				
Toward the society's Animal Kingdom Fund for endowment and capital projects.	1,000,000*		250,000	750,000

(2) Lapsed

\*Appropriation made prior to 1979

<i>Recipient and Purpose</i>	<i>Total Appropriation</i>	<i>Paid in Previous Years</i>	<i>Payment in 1979</i>	<i>Unpaid Balance</i>
<b>South Street Seaport Museum</b> <i>New York, New York</i> Toward building up the fundraising capacity of the museum, which is devoted to preserving the history of New York City's seaport district.	\$ 172,500*	\$ 111,500	\$ 61,000	\$
<b>Studio Museum in Harlem, Inc., The</b> <i>New York, New York</i> Toward the museum's membership development program.	15,000		15,000	
<b>TAG Foundation, Ltd.</b> <i>New York, New York</i> For general budgetary support of this dance service organization, which provides technical, production, and management assistance to small and medium-sized dance companies.	45,000		25,000	20,000
<b>Women's Interart Center, Inc.</b> <i>New York, New York</i> Toward the second phase of the center's Media Studies Program, which helps women artists create and present their work in the performing and visual arts.	25,000		25,000	
<b>NEW YORK CITY PROGRAM: Economic Development (\$402,246—Paid)</b>				
<b>City Planning Department Fund</b> <i>New York, New York</i> Toward a two-year training and evaluation program to help establish an economic development division in the Law Department of the City of New York.	70,000*	35,000	35,000	
<b>Columbia University in the City of New York, The Trustees of</b> <i>New York, New York</i> Toward a clinical law program and related training efforts designed and administered jointly by the New York City Corporation Counsel and Columbia Law School.	50,000*	25,000	25,000	
<b>42nd Street Local Development Corporation</b> <i>New York, New York</i> Toward the corporation's marketing campaign to enlist corporate sponsors of individual exhibition spaces on 42nd Street between Seventh and Eighth Avenues.	100,000		100,000	
Toward the planning of a series of coordinated redevelopment projects between Ninth and Tenth Avenues, and for analysis of a transportation system across 42nd Street.	50,250		50,250	
<b>Natural Resources Defense Council, Inc.</b> <i>New York, New York</i> Toward the council's efforts to speed the improvement of the mass transit network serving the New York region.	50,000		50,000	

<i>Recipient and Purpose</i>	<i>Total Appropriation</i>	<i>Paid in Previous Years</i>	<i>Payment in 1979</i>	<i>Unpaid Balance</i>
<b>New York Chamber of Commerce Educational Foundation, Inc.</b> <i>New York, New York</i> Toward the design of a two-year pilot program to procure federal contracts for small New York City firms.	\$ 57,000	\$	\$ 57,000	\$
<b>New York Interface Development Project, Inc.</b> <i>New York, New York</i> Toward an outreach program designed to improve coordination of employment training programs, public education, and economic development activities in New York City.	25,000		24,996	4 <sup>(3)</sup>
Toward the agency's project to compile industry-wide data on labor market and employment training needs in Brooklyn, Queens, and the Bronx.	35,000		35,000	
<b>New York University: Salomon Brothers Center for the Study of Financial Institutions</b> <i>New York, New York</i> Toward the center's review panel, which plans to work with the Clearinghouse Banks and other financial institutions on a study of the demands for and availability of financing for small businesses in the New York metropolitan area.	35,000			35,000 <sup>(4)</sup>
<b>Opportunities Industrialization Center of New York, Inc.</b> <i>New York, New York</i> Toward the development in Nassau County of an OIC program to provide training, job placement, and continued counseling to help new employees retain their jobs.	25,000		25,000	
<b>NEW YORK CITY PROGRAM: Housing and Community Development (\$161,600—Paid)</b>				
<b>Citizens Committee for New York City, Inc.</b> <i>New York, New York</i> Toward the expansion of the Self-Help Neighborhood Awards Program, which encourages New Yorkers to increase their participation in neighborhood projects.	25,000		25,000	
<b>City Planning Department Fund</b> <i>New York, New York</i> Toward the agency's project to design and implement comprehensive zoning, tax-incentive, and service-delivery policies for the long-term development of Midtown Manhattan.	30,000		30,000	
<b>Grace United Methodist Church, Brooklyn</b> <i>Brooklyn, New York</i> Toward the planning stage of the Park Slope Clergy Association's project to establish an organization that will implement energy conservation and solar use in Park Slope.	37,000		37,000	

<sup>(3)</sup> Lapsed

<sup>(4)</sup> Lapsed

<i>Recipient and Purpose</i>	<i>Total Appropriation</i>	<i>Paid in Previous Years</i>	<i>Payment in 1979</i>	<i>Unpaid Balance</i>
<b>Pratt Institute</b> <i>Brooklyn, New York</i> Toward the program of the institute's Center for Community and Environmental Development, which provides technical assistance to community-led commercial and neighborhood revitalization projects in New York City.	100,000*	50,000	50,000	
<b>Settlement Housing Fund, Inc.</b> <i>New York, New York</i> Toward the fund's affirmative marketing program, which seeks to attract stable, moderate-income families as tenants for Noonan Plaza in the Highbridge area of the Bronx.	19,600		19,600	
<b>NEW YORK CITY PROGRAM: Human Services (\$6,801,000—Paid)</b>				
<b>American Foundation of Religion and Psychiatry: Harlem Interfaith Counseling Service, Inc.</b> <i>New York, New York</i> Toward the relocation and expansion of this family mental health agency.	125,000		25,000	100,000
<b>Association of Black Social Workers Child Adoption Counseling and Referral Service, Inc.</b> <i>New York, New York</i> For general budgetary support of this voluntary agency, which, through recruitment, referral, education, and advocacy, is seeking to expedite and increase the adoption of black children.	60,000		35,000	25,000
<b>Big Brothers, Inc.</b> <i>New York, New York</i> Toward the agency's project to design and implement more effective techniques for recruitment of minority volunteers.	30,000		30,000	
<b>Citizens Committee of New York City, Inc.</b> <i>New York, New York</i> Toward the committee's research and dissemination project on model youth programs already operating in the city.	15,000		15,000	
<b>Cornell University</b> <i>New York, New York</i> Toward the improvement of the basic science education and research programs of the university's Medical College and the encouragement, in these programs, of further cooperative activities with The Rockefeller University and Memorial Sloan-Kettering Cancer Center.	500,000		100,000	400,000
<b>Federation of Protestant Welfare Agencies, Inc.</b> <i>New York, New York</i> Toward the Mayor's Task Force on Foster Care Services, which is studying ways to restructure New York City's foster care program.	15,000		15,000	

<i>Recipient and Purpose</i>	<i>Total Appropriation</i>	<i>Paid in Previous Years</i>	<i>Payment in 1979</i>	<i>Unpaid Balance</i>
<b>14th Street-Union Square Area Project, Inc.</b> <i>New York, New York</i> Toward the designs for a renovated Union Square Park, which will integrate subsurface and street-level improvement programs with the rejuvenation of the park itself.	\$ 15,000*	\$	\$ 15,000	\$
<b>Memorial Sloan-Kettering Cancer Center</b> <i>New York, New York</i> Toward the general purposes of this complex of medical, research, education, and patient-care services.	15,000,000*	2,125,000	6,125,000	6,750,000
<b>New York Blood Center, Inc.</b> <i>New York, New York</i> Toward the expansion program of this blood collection, processing, and research center, which is seeking to respond to the soaring needs of the Greater New York area.	300,000		100,000	200,000
<b>New York City Mission Society</b> <i>New York, New York</i> Toward the endowment fund campaign of the society, one of the city's oldest voluntary social service agencies.	500,000		100,000	400,000
<b>New York City Youth Board</b> <i>New York, New York</i> Toward its program to assist in the development of new and exemplary youth organizations.	160,418*	60,418	100,000	
Toward the board's programs with the Fort Greene-Crown Heights Youth Service Coalition, which is creating the Fort Tilden park and recreation complex in Brooklyn to provide recreational facilities for the 30 youth service agencies in the coalition.	50,000*	25,000	25,000	
<b>New York Council on Adoptable Children, Inc.</b> <i>New York, New York</i> Toward the council's study of minorities interested in adoption.	25,000		25,000	
<b>Nova Institute, Incorporated, The</b> <i>New York, New York</i> Toward the institute's program to help New York City's community boards establish performance-monitoring systems for city social services.	16,000		16,000	
<b>Southern Queens Park Association, Inc.</b> <i>Jamaica, New York</i> Toward the association's long-range plans for developing a sports/recreation/medical complex in the St. Albans section of Queens.	75,000		75,000	
<b>Tom Skinner Associates, Inc.</b> <i>New York, New York</i> Toward the building campaign of this organization, which provides counseling, recreation, and leadership training programs for young people and their families in Brooklyn's Bedford-Stuyvesant section.	25,000*			25,000 <sup>(5)</sup>

<sup>(5)</sup> Lapsed

\*Appropriation made prior to 1979

<i>Recipient and Purpose</i>	<i>Total Appropriation</i>	<i>Paid in Previous Years</i>	<i>Payment in 1979</i>	<i>Unpaid Balance</i>
<b>NEW YORK CITY PROGRAM: Public Education</b>				
<b>(\$257,500—Paid)</b>				
<hr/>				
<b>Academy for Educational Development, Inc.</b>				
<i>New York, New York</i>				
Toward the design of a collaborative program and fundraising effort among New York City private-citizen groups working to improve public education.	\$ 62,500	\$	\$ 62,500	\$
<hr/>				
<b>Advocates for Children of New York, Inc.</b>				
<i>Long Island City, New York</i>				
Toward a research and training program to help institutionalize and replicate innovative school-based models that provide positive alternatives to suspension of problem children.	60,000		30,000	30,000
<hr/>				
<b>New York, City of: Board of Education</b>				
<i>New York, New York</i>				
Toward the establishment of a long-range policy planning unit within the Office of the Chancellor.	50,000		50,000	
<hr/>				
<b>New York, City of: Board of Education, Community School District #2</b>				
<i>New York, New York</i>				
Toward the school district's project to explore ways for city schools to offer their communities opportunities to use school buildings for a variety of health, recreational, cultural, and other human services.	25,000		25,000	
<hr/>				
<b>New York Urban Coalition</b>				
<i>New York, New York</i>				
Toward the coalition's efforts to improve students' educational achievement through comprehensive programs designed to tailor instruction to individual school needs.	50,000		50,000	
<hr/>				
<b>United Parents Associations of New York City, Inc.</b>				
<i>New York, New York</i>				
Toward the work of the Educational Priorities Panel, which conducts research and policy analysis on the quality of educational services in the New York City public schools.	40,000		40,000	
<hr/>				
<b>INTERNATIONAL PROGRAM: Structuring Interdependence</b>				
<b>(\$662,500—Paid)</b>				
<hr/>				
<b>American Association for the International Commission of Jurists, Inc.</b>				
<i>New York, New York</i>				
Toward the commission's Geneva-based Centre for the Independence of Lawyers and Judges during the two-year period ending June 30, 1980.	50,000*	25,000	25,000	
Toward further support of the Centre for the Independence of Judges and Lawyers.	60,000			60,000
<hr/>				

<i>Recipient and Purpose</i>	<i>Total Appropriation</i>	<i>Paid in Previous Years</i>	<i>Payment in 1979</i>	<i>Unpaid Balance</i>
<b>American Council for Emigrés in the Professions, Inc.</b> <i>New York, New York</i> For general budgetary support of the organization's efforts to channel refugee professionals into creative work in the United States.	\$ 25,000	\$	\$ 25,000	\$
<b>American Friends Service Committee, Inc.</b> <i>Philadelphia, Pennsylvania</i> For general budgetary support of this organization's worldwide program of international cooperation and humanitarian assistance.	25,000		15,000	10,000
<b>Asia Foundation, The</b> <i>San Francisco, California</i> For general budgetary support of the foundation's Translation Service Center in Tokyo.	60,000		20,000	40,000
<b>Aspen Institute for Humanistic Studies</b> <i>New York, New York</i> Toward the institute's Mideast Project, which aims to increase Western understanding of the aspirations of Islamic Middle Eastern countries.	15,000		15,000	
<b>Atlantic Council of the U.S., The</b> <i>Washington, D. C.</i> Toward the working group on food and population, as part of the council's effort to analyze and formulate positions on important foreign policy questions having to do with relations among countries of Western Europe, North America, Japan, Australia, and New Zealand.	20,000*	10,000		10,000 <sup>(6)</sup>
<b>Center for Law and Social Policy</b> <i>Washington, D. C.</i> Toward the center's International Project, which concentrates on legal issues in the areas of trade, environment, and human rights.	60,000*	25,000	20,000	15,000
<b>Chicago Council on Foreign Relations, The</b> <i>Chicago, Illinois</i> Toward the council's efforts, through a series of seminars in the Midwest, to increase understanding of the political, economic, and security relationships between Japan and the United States in the broader context of North-east Asia.	28,500		17,500	11,000
<b>Columbia University in the City of New York, The Trustees of</b> <i>New York, New York</i> Toward the university's East Asian Institute Project on Japan and the United States in Multilateral Diplomacy.	20,000		20,000	
<b>International Federation of Institutes for Advanced Study</b> <i>Solna, Sweden</i> For general budgetary support of IFIAS, a transnational, interdisciplinary organization engaged in research on crucial global problems, such as climate modification, forestry management, and energy requirements.	60,000*	20,000	20,000	20,000

<sup>(6)</sup> Lapsed

\*Appropriation made prior to 1979

<i>Recipient and Purpose</i>	<i>Total Appropriation</i>	<i>Paid in Previous Years</i>	<i>Payment in 1979</i>	<i>Unpaid Balance</i>
<b>International House of Japan, Inc., The</b> <i>Tokyo, Japan</i> Toward publication of a Japanese-language edition of <i>Robert's Guide to Japanese Museums</i> and an English language guide for short-term residents in Japan.	\$ 15,000	\$	\$	\$ 15,000
<b>International House, New York</b> <i>New York, New York</i> Toward the half-century fund campaign of this residence and program center for foreign and American graduate students.	250,000*		250,000	
<b>International League for Human Rights, Inc., The</b> <i>New York, New York</i> For general budgetary support of the Lawyer's Committee for International Human Rights, the league's public interest law project in the international human rights field.	60,000		25,000	35,000
<b>Japan Center for International Exchange</b> <i>Tokyo, Japan</i> For general budgetary support of the center, which seeks to improve Japanese communication with other peoples and to encourage greater Japanese participation in international activities.	60,000*	30,000	30,000	
<b>Lawyers' Committee for Civil Rights Under Law</b> <i>Washington, D. C.</i> Toward the committee's Southern Africa Project, which promotes the protection of fundamental human rights and the use of the legal process as a creative and positive force for social change.	30,000*	15,000	15,000	
<b>Legal Resources Trust</b> <i>Johannesburg, South Africa</i> Toward the establishment of a Legal Resources Centre, designed to promote, through legal representation and training, the use of law as a peaceful and constructive means of redressing legal wrongs and to reduce unfairness in the administration of justice.	120,000*	100,000		20,000
<b>Lehrman Institute, The</b> <i>New York, New York</i> Toward the institute's Japan Studies Program, which brings together a group of Americans and American-based Japanese for a nine-month interdisciplinary study of public policy of the two countries.	15,000		15,000	
<b>Martha Stuart Communications, Inc.</b> <i>New York, New York</i> Toward the costs of producing and distributing a videotape on appropriate technology to solve problems caused by increasing energy costs and growing scarcity of raw materials.	35,000		35,000	

<i>Recipient and Purpose</i>	<i>Total Appropriation</i>	<i>Paid in Previous Years</i>	<i>Payment in 1979</i>	<i>Unpaid Balance</i>
<b>Procedural Aspects of International Law Institute, Inc., The</b> <i>Washington, D. C.</i>				
Toward start-up expenses of the institute's International Human Rights Law Group, which trains volunteer lawyers and law students in handling cases of infringements of individual liberties.	\$ 35,000*	\$ 25,000	\$ 10,000	\$
Toward further support of the institute's International Human Rights Law Group.	60,000		30,000	30,000
<b>Trilateral Commission (North America), The</b> <i>New York, New York</i>				
For general budgetary support of the commission, whose members—private persons in the United States, Europe, and Japan—propose policies that their countries might consider in their economic, political, and defense relations.	180,000		60,000	120,000
<b>World Young Women's Christian Association</b> <i>Geneva, Switzerland</i>				
For general budgetary support of this federation, which provides a broad range of program-planning and financial assistance to its constituency of national associations.	15,000		15,000	
<b>Youth for Understanding, Inc.</b> <i>Washington, D. C.</i>				
Toward the agency's Japan Project, a student-exchange program between Japan and the United States.	75,000			75,000
<b>INTERNATIONAL PROGRAM: Developing Countries—Africa</b> <b>(\$298,200—Paid)</b>				
<b>Africa News Service, Inc.</b> <i>Durham, North Carolina</i>				
For general budgetary support of the service, which, through publications and radio features, aims to improve American understanding of the crucial issues facing African countries.	75,000		25,000	50,000
<b>African-American Institute, The</b> <i>New York, New York</i>				
For general budgetary support of this private agency, whose purpose is to improve American contacts with Africans.	40,000		40,000	
Toward the institute's expanded Southern Africa program, in particular a biweekly newsletter on the state of civil and economic liberties inside South Africa.	75,000		30,000	45,000
Toward the establishment of a travel award program for the institute's staff members who do not have regular opportunities to visit African countries.	20,000		20,000	

<i>Recipient and Purpose</i>	<i>Total Appropriation</i>	<i>Paid in Previous Years</i>	<i>Payment in 1979</i>	<i>Unpaid Balance</i>
<b>African Wildlife Husbandry Development Association</b> <i>Vancouver, British Columbia, Canada</i> Toward the association's Nazinga Game Ranch Project in Upper Volta, which demonstrates that properly managed wildlife can lead both to sustained protein production and to environmentally sound use of marginal, arid land.	\$ 75,000	\$	\$ 50,000	\$ 25,000
<b>Africare</b> <i>Washington, D. C.</i> Toward efforts to broaden the base of support of this agency, which is working in several African countries on projects in agriculture, water resources, health, and refugee assistance.	5,000		5,000	
<b>International Voluntary Services, Inc.</b> <i>Washington, D. C.</i> Toward this organization's program in Botswana, which is designed to help strengthen the management, local participation, and projects of development agencies in Botswana.	52,500*	17,000	17,000	18,500
<b>Kanye Brigades Development Trust</b> <i>Kanye, Botswana</i> Toward a revolving loan fund to help launch small-scale, job-creating enterprises in the southern part of Botswana.	60,000*		44,000	16,000
<b>Kweneng Rural Development Association</b> <i>Molepolole, Botswana</i> Toward the association's self-help and job-creating projects.	75,000*		32,200	42,800
<b>Maru a Pula Foundation</b> <i>Gaborone, Botswana</i> Toward scholarships for students who attend the Maru a Pula School, the first international multiracial, coeducational, private pre-university-level school in Botswana.	30,000*	10,000	10,000	10,000
<b>Private Agencies Collaborating Together, Inc.</b> <i>New York, New York</i> Toward its Supportive Activities Grants Program, which assists member agencies in project planning and training to improve coordination and implementation of local development activities in Africa, Asia, and Latin America.	50,000*	25,000		25,000
<b>South African Institute of Race Relations</b> <i>Johannesburg, South Africa</i> Toward the Research and Library Trust and the National Education Trust of the institute, which engages in information gathering and dissemination on all aspects of race relations.	40,000		25,000	15,000

<i>Recipient and Purpose</i>	<i>Total Appropriation</i>	<i>Paid in Previous Years</i>	<i>Payment in 1979</i>	<i>Unpaid Balance</i>
<b>INTERNATIONAL PROGRAM: Developing Countries—Asia</b> (\$946,075—Paid)				
<b>American Council of Learned Societies</b> <i>New York, New York</i>				
Toward the council's workshop on machine processing of East Asian scripts.	\$ 2,675	\$	\$ 2,675	\$
<b>Asia Foundation, The</b> <i>San Francisco, California</i>				
Toward the organizational and administrative costs outside China of a computer science seminar in the People's Republic of China.	38,000		38,000	
<b>Asia Society, Inc., The</b> <i>New York, New York</i>				
Toward the Capital and Program Fund of the society, which is dedicated to deepening American understanding of Asia and promoting transpacific international exchange.	1,000,000*	500,000	500,000	
For general budgetary support in the five-year period ending 1983.	540,000*		160,000	380,000
Toward the education projects of the society's China Council, which seeks to enhance public understanding of Sino-American relations and of developments in China.	50,000		20,000	30,000
<b>Brookings Institution, The</b> <i>Washington, D. C.</i>				
Toward a study of China and its relation to such worldwide issues as food, energy, population, and science and technology.	40,000*	20,000	20,000	
<b>Columbia University in the City of New York, The Trustees of</b> <i>New York, New York</i>				
For general budgetary support of the Center for United States-People's Republic of China Arts Exchange of the Columbia University School of the Arts.	60,000*	25,000	35,000	
<b>International Institute of Rural Reconstruction</b> <i>Silang, Cavite, Philippines</i>				
Toward a demonstration project designed to further the role of rural women in village development projects.	60,000*	20,000	20,000	20,000
<b>Massachusetts Institute of Technology</b> <i>Cambridge, Massachusetts</i>				
Toward a study of the modernization of Asian societies to be conducted under the direction of Lucian W. Pye of the institute's Center for International Studies.	40,000		20,000	20,000
<b>National Committee on United States-China Relations, Inc.</b> <i>New York, New York</i>				
For general budgetary support of the committee, which conducts educational programs on contemporary China and U.S.-China relations.	75,000		40,000	35,000

<i>Recipient and Purpose</i>	<i>Total Appropriation</i>	<i>Paid in Previous Years</i>	<i>Payment in 1979</i>	<i>Unpaid Balance</i>
<b>Ramon Magsaysay Award Foundation</b>				
<i>Manila, Philippines</i>				
Toward the capital fund of the foundation's Asian Library.	\$ 15,000	\$	\$ 15,000	\$
Toward the annual Ramon Magsaysay Awards.	50,000		50,000	
<b>Stanford University (Board of Trustees of the Leland Stanford Junior University)</b>				
<i>Stanford, California</i>				
Toward the university's United States-China Relations Program, which seeks to develop and maintain scholarly ties with Chinese counterparts.	29,500*	6,100	23,400	
Toward further support of the United States-China Relations Program.	24,300			24,300
<b>Washington, University of</b>				
<i>Seattle, Washington</i>				
Toward the English translation costs of Japanese articles for the <i>Journal of Japanese Studies</i> , published by the university's School of International Studies.	5,000		2,000	3,000
<b>INTERNATIONAL PROGRAM: Developing Countries—</b>				
<b>Latin America (\$728,625—Paid)</b>				
<b>Antigua-Caribbean Training Institute Limited</b>				
<i>St. John, Antigua</i>				
Toward the institute's efforts to expand agricultural and employment opportunities by offering practical courses to indigenous unemployed Antiguan.	63,000		25,000	38,000
<b>Association of Caribbean Universities and Research Institutes Foundation, Inc.</b>				
<i>Coral Gables, Florida</i>				
Toward the preparation and testing of new curricular materials that will assist in the development of small business activity in Caribbean countries.	75,000*			75,000
<b>CADEC Incorporated, Limited (Christian Action for Development in the Caribbean)</b>				
<i>Bridgetown, Barbados</i>				
Toward initial costs of a business advisory service to help individual entrepreneurs, cooperatives, and community groups with basic management practices, particularly in the Windward and Leeward Islands.	75,000*	25,000	50,000	
<b>Caribbean Conservation Association, The</b>				
<i>St. Michael, Barbados</i>				
For general budgetary support of the association, which seeks to develop a heightened awareness of conservation needs and opportunities in the Caribbean through public education, training projects, seminars, and other activities.	20,000*	10,000	10,000	
Toward the association's eastern Caribbean wildland management and utilization program.	210,000*	94,370	24,532	91,098
Toward the association's Eastern Caribbean Natural Area Management Program, which develops experimental initiatives in environmental management and economic development to improve life in the Caribbean.	75,000		18,893	56,107

<i>Recipient and Purpose</i>	<i>Total Appropriation</i>	<i>Paid in Previous Years</i>	<i>Payment in 1979</i>	<i>Unpaid Balance</i>
<b>Center for Inter-American Relations, Inc.</b> <i>New York, New York</i> Toward the center's capital fund drive.	\$ 1,000,000*	\$ 618,600	\$ 381,400	\$
<b>Dominica Community High School</b> <i>Roseau, Dominica</i> Toward repair of damage caused by Hurricane David to this innovative school, which is oriented toward agricultural and practical skills adapted to Caribbean needs and circumstances.	12,500		12,500	
<b>Food and Agriculture Organization of the United Nations</b> <i>Rome, Italy</i> Toward a program of resource management and rural development in the Central American region.	10,000*	1,700		8,300
<b>Foundation for P.R.I.D.E., Inc., The</b> <i>Lantana, Florida</i> Toward the foundation's projects that focus on conserving island habitats in the Caribbean and the diversity of life they support.	45,000*	10,000	10,000	25,000
<b>Good Hope School, Inc.</b> <i>St. Croix, Virgin Islands</i> Toward the school's collaborative learning disabilities resource center, which provides a full-service diagnostic and teaching resource for the private and public schools of St. Croix.	15,000*	5,000		10,000
<b>Island Resources Foundation, Inc.</b> <i>St. Thomas, Virgin Islands</i> For general budgetary support of the foundation, which is concerned with the development of small island economies and the management of island resources.	75,000*	30,000	25,000	20,000
<b>King's Hill Youth Group</b> <i>Roseau, Dominica</i> Toward the self-help employment generation program of this organization, which tries to increase the economic self-sufficiency of the island economy of Dominica.	45,000*	9,000	28,800	7,200
<b>Michigan, University of</b> <i>Ann Arbor, Michigan</i> Toward a project of the university's School of Natural Resources to develop and test criteria and guidelines for incorporating environmental monitoring and assessment into the management of protected wildland areas in the Caribbean and Central America.	125,000*	105,000	20,000	
Toward the Eastern Caribbean Natural Area Management Program of the university's School of Natural Resources.	75,000		40,000	35,000
<b>National Association of the Partners of the Alliance, Inc.</b> <i>Washington, D. C.</i> Toward the association's workshop on its Caribbean Partnerships Program, which links individual U.S. states with countries in Latin America and the Caribbean for technical and cultural exchange.	5,000		5,000	

<i>Recipient and Purpose</i>	<i>Total Appropriation</i>	<i>Paid in Previous Years</i>	<i>Payment in 1979</i>	<i>Unpaid Balance</i>
<b>Organization for Rural Development, The</b> <i>St. Vincent, West Indies</i> For general budgetary support of this community organization, set up to create jobs, improve agriculture, and raise nutrition standards in the rural areas of St. Vincent.	\$ 30,000	\$	\$ 5,000	\$ 25,000
<b>Partnership for Productivity Foundation/USA, Inc.</b> <i>Washington, D. C.</i> Toward the agency's business advisory services to small indigenous enterprises in the Caribbean.	35,000		4,500	30,500
<b>Sierra Club Foundation, The</b> <i>New York, New York</i> Toward the foundation's Caribbean mangrove management project, which is focused on the preservation of mangroves as a unique habitat for many kinds of plant and animal life that are now being destroyed.	20,000*			20,000
<b>Smithsonian Institution</b> <i>Washington, D. C.</i> Toward a policy-oriented program on Latin American and Inter-American affairs of the Woodrow Wilson International Center for Scholars.	60,000		10,000	50,000
<b>Survival International</b> <i>London, England</i> For general budgetary support of this program to protect the rights and promote the needs of threatened indigenous (usually considered primitive) peoples in various countries of the world.	25,000*	12,500	12,500	
<b>Theatre of Latin America, Inc.</b> <i>New York, New York</i> Toward the organization's Theatre in the Americas project, which, through performances and workshops, aims to provide an exchange of theater ideas and techniques for Western Hemisphere artists.	10,000		10,000	
<b>Tropical Agriculture Center for Research and Training (CATIE)</b> <i>Turrialba, Costa Rica</i> Toward a program for the management and development of natural and cultural resources in Central America.	182,750*	147,250	35,500	
<b>INTERNATIONAL PROGRAM: Developing Countries—General</b> <b>(\$50,000—Paid)</b>				
<b>Overseas Development Council</b> <i>Washington, D. C.</i> For general budgetary support of this organization, which seeks to increase American understanding of the problems faced by the developing countries and the importance of these countries in an interdependent world.	150,000*	50,000	50,000	50,000
			\$38,641,681	\$38,146,403 <sup>(7)</sup>

(7) Total does not include lapsed items

\*Appropriation made prior to 1979

## Reconciliation of Appropriations Paid with Financial Statements

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### Unpaid Appropriations, December 31, 1978

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Principal Fund	\$59,702,490	
Fund for the Advancement of Contemporary Social and Cultural Studies	36,313	
Special Fund	7,223	
Ramon Magsaysay Award Foundation		\$59,746,026

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### Appropriations Authorized in 1979

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Principal Fund	11,065,825	
Fund for the Advancement of Contemporary Social and Cultural Studies	2,018	
Special Fund	149	
Ramon Magsaysay Award Foundation	50,000	
Less—Appropriations lapsed Principal Fund	75,934	11,042,058
		70,788,084

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### Appropriations Paid in 1979

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Principal Fund	32,545,978	
Fund for the Advancement of Contemporary Social and Cultural Studies	38,331	
Special Fund	7,372	
Ramon Magsaysay Award Foundation	50,000	32,641,681

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### Unpaid Appropriations, December 31, 1979

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Principal Fund	38,146,403	
Fund for the Advancement of Contemporary Social and Cultural Studies		
Special Fund		
Ramon Magsaysay Award Foundation		\$38,146,403

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## Summary Statement of Assets at Cost and Market Values at December 31, 1979

	<i>Cost</i>	<i>Market Value</i>
<b>Principal Fund</b>		
Cash	\$ 214,229	\$ 214,229
Short-term investments	14,350,292	14,350,292
Investments:		
U.S. Government and Agency Bonds	9,256,761	8,584,374
U.S. Treasury Notes	21,916,637	21,284,458
Foreign Governments & other foreign bonds	1,050,410	727,834
Corporate bonds	11,051,569	9,243,605
Corporate notes	10,592,013	8,032,550
Common stocks	56,428,214	94,478,887
Program-related investments	2,202,386	1,637,746
	127,062,511	158,553,975
<b>Special Fund</b>		
Cash	730	730
Short-term investments	314,000	314,000
Long-term investments	338,940	245,004
Second Mortgage Leasehold Bonds, 5½ % due January 1, 1988	540,000	540,000
Real estate	510,000	510,000
	1,703,670	1,609,734
<b>Ramon Magsaysay Award Foundation</b>		
Cash	1,189	1,189
Short-term investments	56,000	56,000
Long-term investments	946,305	748,125
	1,003,494	805,314
<b>Abby R. Mauzé Fund</b>		
Cash	24,824	24,824
Short-term investments	129,000	129,000
Long-term investments	1,493,808	1,424,690
	1,647,632	1,578,514
<b>Total</b>	<b>\$131,417,307</b>	<b>\$162,547,537</b>

# Financial Information

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Following the certificate of Coopers & Lybrand, Independent Certified Public Accountants, are financial statements comprising:

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## Financial Statements

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Balance Sheets, December 31, 1979 and 1978  
Statements of Support, Revenue, Expenses, and Changes in Principal Fund Balance for the years ended December 31, 1979 and 1978  
Statements of Support, Revenue, Expenses, and Changes in Other Fund Balances for the years ended December 31, 1979 and 1978  
Notes to Financial Statements

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## Supporting Schedules

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Schedule of Functional Expenses, Principal Fund for the years ended December 31, 1979 and 1978  
Schedule of Investments, Principal Fund, December 31, 1979  
Schedules of Net Realized and Unrealized Gain (Loss) on Investments, Principal Fund for the years ended December 31, 1979 and 1978  
Schedule of Investments, Other Funds, December 31, 1979

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The following additional information is required by Section 6056 of the Internal Revenue Code

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## Revenues

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1. Amount of gifts, grants, bequests and contributions received for the year			\$	15,262
2. Gross income for the year				
Dividends and interest	\$11,455,791			
Gains on sale of securities	5,413,846			
Other	20,783	16,890,420		
3. Total			\$	16,905,682

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## Disbursements & Expenses

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4. Disbursements for the year (including administrative expense)			\$	34,608,310
5. Expenses attributable to gross income (Item 2 above) for the year			\$	196,304

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# Report of Independent Certified Public Accountants

To the Board of Trustees,  
Rockefeller Brothers Fund, Inc.:

We have examined the balance sheets of ROCKEFELLER BROTHERS FUND, INC. as of December 31, 1979 and 1978, and the related statements of support, revenue, expenses, and changes in principal fund balance and other fund balances for the years then ended, and the supporting schedules listed in the accompanying index. Our examinations were made in accordance with generally accepted auditing standards and, accordingly, included such tests of the accounting records and such other auditing procedures as we considered necessary in the circumstances.

In our opinion, the financial statements referred to above present fairly the financial position of Rockefeller Brothers Fund, Inc. at December 31, 1979 and 1978, and the results of its operations, and the changes in its principal fund balance and other fund balances for the years then ended, and the supporting schedules present fairly the information included therein, all in conformity with generally accepted accounting principles applied on a consistent basis.

COOPERS & LYBRAND

New York, New York  
April 21, 1980.

# Rockefeller Brothers Fund, Inc. Balance Sheets

December 31, 1979 and 1978

	1979	1978
<b>Assets</b>		
Principal fund:		
Cash	\$ 131,494	\$ 118,902
Short-term investments, at cost, which approximates market value, as annexed	14,350,292	15,632,589
Long-term investments, at market value, as annexed (cost: 1979, \$110,295,604; 1978, \$126,435,340) (Note 1)	142,351,708	151,139,429
Program-related investments, at fair value, as annexed (cost: 1979, \$2,202,386; 1978, \$2,288,271) (Note 1)	1,637,746	1,685,812
Other assets	82,735	
	158,553,975	168,576,732
Other funds (Note 3)	3,993,562	4,036,821
	<b>\$162,547,537</b>	<b>\$172,613,553</b>
<b>Liabilities and Funds</b>		
Principal fund:		
Federal excise tax payable	\$ 219,554	\$ 209,217
Fund balances, as annexed:		
Unpaid appropriations	38,146,403	59,702,490
Unappropriated	120,188,018	108,665,025
	158,334,421	168,367,515
	158,553,975	168,576,732
Other funds:		
Federal excise tax payable	5,654	5,450
Fund balances, as annexed	3,987,908	4,031,371
	3,993,562	4,036,821
	<b>\$162,547,537</b>	<b>\$172,613,553</b>

# Statements of Support, Revenue, Expenses, and Changes in Principal Fund Balance

for the years ended December 31, 1979 and 1978

	1979	1978
<b>Unappropriated</b>		
Support and revenue		
Contribution, securities in 1979, cash in 1978	\$ 15,262	\$ 15,000
Dividend income	5,813,051	6,184,542
Interest income	5,358,115	4,475,705
Other, net	20,783	31,096
	11,207,211	10,706,343
Expenses		
Program-related:		
Appropriations authorized (net of appropriations lapsed of \$75,934 in 1979, and \$174,026 in 1978) (Note 5)	10,989,891	60,458,219
Program support, as annexed	964,646	947,241
Advisory activities, as annexed	168,826	218,821
	12,123,363	61,624,281
Other:		
Investment services, as annexed	114,576	120,709
Administration, as annexed	605,289	767,809
Relocation expense, as annexed	180,575	235,287
Federal excise tax	219,554	209,217
	1,119,994	1,333,022
Less, Reimbursements, as annexed	85,646	188,360
	13,157,711	62,768,943
Deficiency of support and revenue over expenses before gain on investments	(1,950,500)	(52,062,600)
Net realized and unrealized gain on investments, as annexed	12,806,021	352,296
Excess (deficiency) of support and revenue over expenses after gain on investments	10,855,521	(51,710,304)
Beginning balance	108,665,025	160,375,329
Transfer from Special Fund of proceeds on sale of real estate	667,472	
Ending balance	120,188,018	108,665,025

Continued

**Unpaid appropriations**

Appropriations authorized (Note 5)	\$ 11,065,825	\$ 60,632,245
Appropriations paid or lapsed	32,621,912	18,384,578
Net increase (decrease) in unpaid appropriations	(21,556,087)	42,247,667
Beginning balance	59,702,490	17,454,823
Ending balance (Note 5)	38,146,403	59,702,490
Total principal fund balance, end of year	\$158,334,421	\$168,367,515

## Statements of Support, Revenue, Expenses, and Changes in Other Fund Balances (Note 3)

for the years ended December 31, 1979 and 1978

	1979				1978			
	<i>Special Fund</i>	<i>Fund for the Advancement of Contemporary Social and Cultural Studies</i>	<i>Fund for the Ramon Magsaysay Award Foundation</i>	<i>Abby R. Mauzé Fund</i>	<i>Special Fund</i>	<i>Fund for the Advancement of Contemporary Social and Cultural Studies</i>	<i>Fund for the Ramon Magsaysay Award Foundation</i>	<i>Abby R. Mauzé Fund</i>
<b>Unappropriated</b>								
Support and revenue								
Interest income	\$ 81,310	\$ 2,018	\$ 75,093	\$ 126,204	\$ 77,263	\$ 92,304	\$ 78,673	\$ 24,256
Bequest from Abby R. Mauzé								1,500,000
Gain on sale of real estate	597,472							
	678,782	2,018	75,093	126,204	77,263	92,304	78,673	1,524,256
Expenses								
Program related:								
Appropriations authorized (net of appropriation lapsed)	149	2,018	50,000		206,717	1,536,313	50,000	
Other:								
Federal excise tax	1,626		1,504	2,524	1,545	1,846	1,574	485
	1,775	2,018	51,504	2,524	208,262	1,538,159	51,574	485
Excess (deficiency) of revenue over expenses before loss on investments	677,007		23,589	123,680	(130,999)	(1,445,855)	27,099	1,523,771
Net realized and unrealized loss on investments	(28,256)		(65,605)	(62,870)	(25,145)		(84,617)	(8,591)
Excess (deficiency) of revenue over expenses after loss on investments	648,751		(42,016)	60,810	(156,144)	(1,445,855)	(57,518)	1,515,180
Beginning balances	1,626,829		845,826	1,515,180	1,782,973	1,445,855	903,344	
Transfer to Principal Fund of proceeds from sale of real estate	(667,472)							
Ending balances	1,608,108		803,810	1,575,990	1,626,829		845,826	1,515,180
<b>Unpaid appropriations</b>								
Appropriations authorized	149	2,018	50,000		206,717	1,536,313	50,000	
Appropriations paid	7,372	38,331	50,000		199,494	1,525,000	50,000	
Net increase (decrease) in unpaid appropriations	(7,223)	(36,313)			7,223	11,313		
Beginning balances	7,223	36,313				25,000		
Ending balances					7,223	36,313		
Total fund balances, end of year	1,608,108		\$803,810	\$1,575,990	1,634,052	\$ 36,313	\$ 845,826	\$1,515,180
					36,313			
	803,810				845,826			
					1,515,180			
Total other fund balances, end of year	\$3,987,908				\$4,031,371			

# Notes to Financial Statements

## 1. Summary of Significant Accounting Policies

Income and expenses are generally accounted for on the cash basis and office furniture and equipment are charged to expense when acquired. However, the accompanying financial statements are not materially different from statements which would result from use of the accrual basis of accounting.

Investments in securities traded on a national securities exchange are valued based on published market quotations on the last business day of the year; securities traded in the over-the-counter market and listed securities for which no sale was reported on that date are valued based on the last bid price. Investments in corporate notes and foreign securities purchased through direct negotiation (aggregate value as of December 31, 1979 approximates \$3,000,000) are valued based on prices determined by the Fund's investment advisor. Program-related investments (aggregate value as of December 31, 1979 approximates \$1,700,000) with limited or no marketability are valued at fair value as determined by the Fund.

No provision has been made for federal excise tax which would be allocable to the unrealized gains on investments carried at amounts in excess of tax bases, as such provision would be immaterial in these statements.

Security transactions are recorded as of the settlement date (date cash payment is due for delivery of securities).

Donated securities are recorded at the fair market value at date of gift.

Realized gains and losses from sales of securities are determined on the specific identification basis.

Certain donated services and facilities are provided by related parties. The value of such services and facilities is not material and is not reflected in the accompanying financial statements.

## 2. Organization and Purpose

Rockefeller Brothers Fund, Inc. is a nonprofit charitable corporation existing under the New York Not-for-Profit Corporation Law and is classified as a private foundation as defined in the Internal Revenue Code. The Fund's principal purpose is to make grants to local, national and international philanthropic organizations.

## 3. Other Funds

Other funds consist of the Special Fund, the Fund for the Advancement of Contemporary Social and Cultural Studies, the Fund for the Ramon Magsaysay Award Foundation, and the Abby R. Mauzé Fund. The assets, liabilities, and fund balances for these funds as of December 31, 1979 and 1978 are as follows:

	<i>December 31,</i>	
	1979	1978
<b>Special Fund</b>		
Cash	\$ 730	\$ 4,337
Short-term investments, at cost, which approximates market value, as annexed	314,000	238,000
Long-term investments, at market value, as annexed (cost: \$338,940 in 1979 and 1978) (Note 1)	245,004	273,260
The Interchurch Center, \$540,000 second mortgage leasehold bonds, 5½%, due January 1, 1988, at cost	540,000	540,000
Real estate, at amounts based on cost to the donor or appraisal at date donated	510,000	580,000
Total assets	1,609,734	1,635,597
Less, Federal excise tax payable	1,626	1,545
Fund balance	1,608,108	1,634,052

1979

1978

**Fund for the Advancement of Contemporary Social and Cultural Studies**

Cash		\$ 1,159
Short-term investments, at cost, which approximates market value, as annexed		37,000
Total assets		38,159
Less, Federal excise tax payable		1,846
Fund balance		36,313

**Fund for the Ramon Magsaysay Award Foundation**

Cash	\$ 1,189	668
Short-term investments, at cost, which approximates market value, as annexed	56,000	33,000
Long-term investments, at market value, as annexed (cost: \$946,305) (Note 1)	748,125	813,732
Total assets	805,314	847,400
Less, Federal excise tax payable	1,504	1,574
Fund balance	803,810	845,826

**Abby R. Mauzé Fund**

Cash	24,824	350
Short-term investments, at cost, which approximates market value, as annexed	129,000	1,025,000
Long-term investments, at market value, as annexed (cost: \$1,493,808 in 1979 and \$498,906 in 1978)(Note 1)	1,424,690	490,315
	1,578,514	1,515,665
Less, Federal excise tax payable	2,524	485
Fund balance	1,575,990	1,515,180
Total assets, other funds	\$3,987,908	\$4,031,371

**4. Pension Expense**

The Fund has a noncontributory retirement income plan covering substantially all of its employees. Total pension expense under this plan was \$102,852 and \$130,675 in 1979 and 1978, respectively. The Fund's policy is to fund pension cost accrued.

**5. Appropriations**

Appropriations include certain conditional grants which are generally contingent upon the grantee receiving a similar amount of contributions from other donors. Approximately \$4,000,000 and \$14,500,000 of such conditional grants are included in unpaid appropriations as of December 31, 1979 and 1978, respectively. In addition, \$27,000,000 of the unappropriated fund balance has been reserved for possible future appropriation.

**6. Expenses**

"Program Support" covers expenses directly related to the processes of program management encompassing functions of planning and development as well as control and evaluation of grants. "Advisory Ac-

tivities" comprise amounts expended by the Fund in providing advisory or consultative services on subjects of mutual interest to charitable organizations outside the context of a grantee relationship. "Relocation Expense" consists of expenditures related to the relocation of the Fund's offices during 1979 and 1978.

**7. Commitments**

The Fund has an operating lease commitment for office facilities. The future minimum rental payments required under this lease are as follows:

1980	\$ 162,792
1981	163,418
1982	170,306
1983	170,306
1984	170,515
1985-88	691,240
Total	<u>\$1,528,577</u>

## Schedule of Functional Expenses, Principal Fund

for the year ended December 31, 1979 with Comparative 1978 Totals

	<i>Program Support (Note 6)</i>	<i>Advisory Activities (Note 6)</i>	<i>Investment Services</i>	<i>Relocation Expense (Note 6)</i>	<i>Administration</i>	<i>1979 Total</i>	<i>1978 Total</i>
<b>Salaries and related expenses</b>							
	\$471,514	\$ 96,939			\$282,891	\$ 851,344	\$1,071,598
Salaries	56,965	11,712			34,175	102,852	130,675
Retirement plan contributions (Note 4)	22,419	4,609			13,451	40,479	47,783
Group life insurance	25,300	5,201			15,179	45,680	59,648
Thrift plan	30,463	6,263			18,276	55,002	77,711
Other employee benefits	9,940	2,044			5,964	17,948	14,753
Unemployment and disability insurance	21,075	4,333			12,644	38,052	37,279
Social security tax							
	637,676	131,101			382,580	1,151,357	1,439,447
<b>Other expenses</b>							
	143,456					143,456	78,741
Consultants' fees			\$114,576			114,576	120,709
Investment services					74,118	74,118	25,938
Legal and audit fees					11,979	11,979	6,088
New York State transfer tax						45,575	55,841
Travel	37,803	7,772			54,102	162,815	201,687
Rent and electricity	90,175	18,538			12,780	38,459	43,614
Telephone	21,300	4,379					264
Furniture and equipment					54,799	96,071	73,084
General office expenses	34,236	7,036			14,931	14,931	9,167
Publications				\$180,575		180,575	235,287
Relocation expense							
	\$964,646	\$168,826	\$114,576	\$180,575	\$605,289	2,033,912	2,289,867
Less, Reimbursement received for share of expenses:							
Rockefeller Family Fund, Inc. (including \$29,019 in 1979 and \$47,000 in 1978 as reimbursement for relocation expense)						84,376	182,000
Charitable Trust under the will of Winthrop Rockefeller						1,270	6,360
						85,646	188,360
						\$1,948,266	\$2,101,507

# Schedule of Investments, Principal Fund

December 31, 1979

## Summary of Investments

	<i>Cost</i>	<i>Value (Note 1)</i>
<b>Short-term investments</b>	<b>\$14,350,292*</b>	
<b>Long-term investments</b>		
U.S. Government and agency bonds	\$ 9,256,761	\$ 8,584,374
U.S. Treasury notes	21,916,637	21,284,458
Foreign governments and other foreign bonds	1,050,410	727,834
Corporate bonds	11,051,569	9,243,605
Corporate notes	10,592,013	8,032,550
Common stocks	56,428,214	94,478,887
	<b>\$110,295,604</b>	<b>\$142,351,708</b>
<b>Program-related investments</b>	<b>\$ 2,202,386</b>	<b>\$ 1,637,746</b>
	<i>Principal Amount</i>	<i>Cost*</i>
<b>Short-term investments</b>		
Commercial paper:		
Ford Motor Credit Corp., 13.874%, January 21, 1980	\$ 710,000	\$ 710,000
Morgan Guaranty Trust Co., 13.013%, January 30, 1980	2,000,000	1,958,917
		2,668,917
Notes due on demand (at prevailing market interest rates):		
Allstate Financial Corp.	111,000	111,000
American Express Credit Corp.	733,000	733,000
C.I.T. Financial Credit Corp.	145,000	145,000
General Electric Credit Corp.	1,023,000	1,023,000
General Motors Acceptance Corp.	467,000	467,000
Sears Roebuck Acceptance Corp.	2,108,000	2,108,000
United States Steel Corp.	4,349,000	4,349,000
		8,936,000
U.S. Treasury bills		
Due June 24, 1980	3,000,000	2,745,375
<b>Total short-term investments</b>		<b>\$14,350,292</b>

Continued

\*Approximates market value.  
See accompanying notes.

	<i>Principal Amount</i>	<i>Cost</i>	<i>Market Value (Note 1)</i>
<b>Long-term investments</b>			
U.S. Government and agency bonds:			
Federal Farm Credit Bank, 9.9%, October 20, 1980	\$1,000,000	\$ 1,000,000	\$ 979,380
Federal Home Loan Banks:			
9.3%, November 25, 1980	1,000,000	1,000,000	974,380
10.0%, February 25, 1981	1,000,000	1,000,000	980,630
9.15%, May 25, 1982	1,000,000	1,000,000	960,620
8.1%, November 25, 1985	1,000,000	1,021,250	891,250
		4,021,250	3,806,880
Federal National Mortgage Association:			
8.2%, July 10, 1984	1,000,000	980,000	902,500
7.9%, October 10, 1985	2,520,000	2,519,029	2,223,300
		3,499,029	3,125,800
Federal Land Bank, 7.25%, July 20, 1987	450,000	396,562	369,562
U.S. Treasury bond, 6.75%, February 15, 1993	400,000	339,920	302,752
<b>Total U.S. Government and agency bonds</b>		<b>\$9,256,761</b>	<b>\$ 8,584,374</b>
U.S. Treasury notes:			
7.50%, March 31, 1980	2,000,000	\$ 2,001,244	\$ 1,976,260
8.25%, June 30, 1980	2,000,000	1,996,240	1,961,260
8.50%, July 31, 1980	1,000,000	983,440	976,250
8.625%, September 30, 1980	2,000,000	1,998,440	1,946,260
8.875%, October 31, 1980	2,000,000	1,985,460	1,946,880
9.25%, November 30, 1980	1,000,000	998,040	978,750
9.75%, January 31, 1981	1,000,000	998,220	981,880
9.75%, February 28, 1981	500,000	499,110	491,405
9.625%, March 31, 1981	1,000,000	999,030	979,690
7.375%, May 15, 1981	800,000	765,496	760,000
9.75%, May 31, 1981	500,000	499,820	490,315
9.125%, June 30, 1981	1,000,000	998,300	970,310
9.375%, July 31, 1981	1,000,000	999,380	972,810
8.375%, August 15, 1981	200,000	200,250	191,438
12.625%, October 31, 1981	2,000,000	1,998,970	2,040,620
9.0%, August 15, 1982	1,000,000	998,450	960,940
7.25%, August 15, 1984	1,000,000	996,250	893,130
7.875%, May 15, 1986	2,000,000	2,000,497	1,766,260
<b>Total U.S. Treasury notes</b>		<b>\$21,916,637</b>	<b>\$21,284,458</b>

Continued

Long-term investments, continued	<i>Principal Amount</i>	<i>Cost</i>	<i>Market Value (Note 1)</i>
<b>Foreign government and other foreign bonds</b>			
City of Winnipeg, Canada, 4.75%, November 1, 1989	\$ 500,000	\$ 500,000	\$ 312,500
TransCanada Pipeline Ltd.: 5.125%, May 1, 1985	82,000	82,410	60,782
6.625%, August 1, 1987	468,000	468,000	354,552
<b>Total foreign government and other foreign bonds</b>		<b>\$ 1,050,410</b>	<b>\$ 727,834</b>
<b>Corporate bonds</b>			
<b>Utilities</b>			
General Telephone and Electronics Corp., convertible subordinated debentures:			
4%, March 15, 1990	115,000	\$ 117,300	\$ 69,575
5%, December 15, 1992	500,000	500,000	322,500
Mountain States Telephone and Telegraph Co., 7.75%, June 1, 2013	750,000	750,000	529,687
Northern Illinois Gas Company, 6%, August 1, 1991	425,000	321,406	287,406
Pacific Gas and Electric Co., 5% June 1, 1989	250,000	196,818	152,500
Pacific Power and Light Company, 3.50%, August 1, 1984	200,000	209,500	143,000
Pacific Telephone and Telegraph Co., 6%, November 1, 2002	500,000	427,500	278,125
Southern Bell Telephone Co., 7.625%, March 15, 2013	750,000	750,000	537,187
		3,272,524	2,319,980
<b>Financials</b>			
Charter New York Corp., 5.75%, August 1, 1991	500,000	458,775	342,500
C.I.T. Financial Corp., 5.125%, January 15, 1980	300,000	251,670	300,000
General Motors Acceptance Corp., 4.625%, March 1, 1983-2079	900,000	821,903	747,000
International Bank for Reconstruction and Development:			
8.15%, January 15, 1985	1,000,000	990,000	887,500
5%, February 15, 1985	100,000	100,000	77,750
John Deere Credit Co., Deb., Series B, 4.75%, April 1, 1981	500,000	493,750	458,750
Macy Credit Corp., Deb.; 4.75%, November 1, 1981	700,000	650,392	602,875
4.875%, June 1, 1985	250,000	247,625	182,500
		4,014,115	3,598,875
<b>Industrials</b>			
Standard Oil Co. (Indiana), 9.2%, July 15, 2004	2,000,000	2,038,750	1,745,000
Union Tank Car Co. Equipment Trust, 9.875%, July 1, 1990	728,000	726,180	659,750
Union Oil Co. of California, 8.375%, December 1, 1982	1,000,000	1,000,000	920,000
		3,764,930	3,324,750
<b>Total corporate bonds</b>		<b>\$11,051,569</b>	<b>\$ 9,243,605</b>

Long-term investments, continued	<i>Principal Amount</i>	<i>Cost</i>	<i>Market Value (Note 1)</i>
Corporate notes			
ARCO Pipelines Co., 8%, January 15, 1982	\$1,000,000	\$ 995,000	\$ 920,000
Bank of Hawaii, 4.7%, October 15, 1989	200,000	200,000	125,500
Bell Telephone Co. of Canada, 4.875%, May 1, 1988	200,000	199,280	128,000
Central Trust Co. (Rochester, N.Y.), 4.875%, June 1, 1989	154,000	154,000	96,228
Chemical Bank, New York Trust Co., 5.875%, January 1, 1992	400,000	398,700	273,000
City National Bank (Detroit, Mich.), 4.75%, February 1, 1990	160,000	160,000	96,500
Commercial Credit Co. (Baltimore, Md.), 4.75%, November 1, 1980	400,000	395,563	377,500
Continental Mortgage Investors: 5.50%, January 1, 1980	900,000	828,738	1
5%, April 1, 1989	500,000	500,000	1
Ethyl Corp., 5.75%, November 1, 1982	630,000	489,812	544,465
First Jersey National Bank (Jersey City, N.J.), 4.75%, June 1, 1990	137,500	137,500	81,297
Glens Falls National Bank & Trust Co. (Glens Falls, N.Y.), 4.875%, September 1, 1989	160,000	160,000	99,091
Hilo Electric Light Co., Ltd., 5.875%, March 1, 1989	396,000	396,000	271,779
Industrial Acceptance Corp., Ltd., 5.50%, October 15, 1987	250,000	250,000	174,398
International Telephone & Telegraph Credit Corp., 5.10%, December 1, 1984	156,000	156,000	156,000
Iowa Electric Light and Power Co., 5.125%, January 1, 1991	500,000	500,000	303,750
Michigan Gas Utilities Co., 4.70%, February 1, 1990	211,000	211,000	126,600
Norfolk and Western Railway Co., Convertible: 4.625%, October 1, 1981	2,000,000	1,564,000	1,759,060
4.625%, January 1, 1983	1,200,000	907,800	995,520
Pennsylvania Life Co., Convertible, 5%, August 1, 1983	214,954	214,954	132,616
Pennsylvania Railroad Co., Conditional Sales Contracts, 5.75%, February 15, 1986	116,667	116,667	83,797
Ryan Homes Inc., Convertible, 6%, July 15, 1991	300,000	300,000	235,125
Sears, Roebuck and Co., 8.375%, December 31, 1986	1,000,000	998,000	838,750
Virginia National Building Corp., 4.70%, May 1, 1990	358,999	358,999	213,572
Total corporate notes		\$10,592,013	\$ 8,032,550

Continued

Long-term investments, continued	<i>Shares</i>	<i>Cost</i>	<i>Market Value (Note 1)</i>
<b>Common stocks</b>			
<b>Automotive Equipment, Machinery, and Machine Parts</b>			
Caterpillar Tractor Co.	50,000	\$ 1,449,083	\$ 2,700,000
Echlin Mfg. Co.	60,000	1,009,660	930,000
General Motors Corp.	20,000	1,371,905	1,000,000
Purolator Inc.	60,000	3,827,370	1,702,500
		7,658,018	6,332,500
<b>Building Products and Homebuilding</b>			
Masco Corp.	74,000	1,022,249	1,887,000
Weyerhaeuser Co.	60,000	1,757,460	1,905,000
		2,779,709	3,792,000
<b>Chemicals</b>			
Eastman Kodak Co.	30,000	177,963	1,443,750
<b>Computer and Office Equipment</b>			
International Business Machines Corp.*	93,233	691,582	6,001,874
Xerox Corp.	18,000	1,834,209	1,118,250
		2,525,791	7,120,124
<b>Consumer Products and Services</b>			
American Express Co.	65,000	1,605,605	1,941,875
PepsiCo, Inc.	20,000	652,000	497,500
Procter & Gamble and Co.	40,000	2,849,360	2,970,000
		5,106,965	5,409,375
<b>Electrical Equipment</b>			
General Electric Co.	50,000	1,159,666	2,531,250
Grainger (W.W.), Inc.	70,000	833,350	2,616,250
		1,993,016	5,147,500
<b>Financials</b>			
Chase Manhattan Corp.	100,000	2,498,980	3,862,500
Wells Fargo & Company	100,000	2,554,008	2,687,500
		5,052,988	6,550,000
<b>Insurance</b>			
Connecticut General Insurance Co.	70,000	2,289,320	2,415,000
Continental Corp.	60,000	1,126,906	1,597,500
		3,416,226	4,012,500

Continued

\*(233 shs.) Donated Security.  
See accompanying notes.

Long-term investments, continued			<i>Market</i>
Common stocks, continued	<i>Shares</i>	<i>Cost</i>	<i>Value</i>
			<i>(Note 1)</i>
<b>Metals and Minerals</b>			
Aluminum Co. of America	30,000	\$ 1,335,844	\$ 1,646,250
Freeport Minerals Co.	45,000	693,524	2,947,500
		2,029,368	4,593,750
<b>Oils and Oil Services</b>			
Conoco Inc.	40,000	1,271,488	1,890,000
Exxon Corporation	130,000	2,510,560	7,166,250
Halliburton Co.	28,000	1,541,251	2,380,000
Mobil Corp.	220,000	2,069,320	12,100,000
Smith International, Inc.	43,000	624,188	2,999,250
Standard Oil Company of California	100,000	1,790,500	5,637,500
		9,807,307	32,173,000
<b>Pharmaceutical and Health</b>			
Lilly (Eli) & Co.	35,000	1,263,575	2,091,250
Merck & Co., Inc.	30,000	1,395,140	2,167,500
Pfizer Inc.	50,000	1,829,435	1,962,500
		4,488,150	6,221,250
<b>Railroads</b>			
Southern Railway Co.	30,000	1,530,875	1,620,000
<b>Retail</b>			
Federated Department Stores	78,100	3,005,108	2,157,513
Sears, Roebuck & Co.	60,000	286,326	1,080,000
		3,291,434	3,237,513
<b>Utilities</b>			
American Telephone & Telegraph Co.	45,000	2,487,380	2,345,625
Duke Power Co.	50,000	915,550	862,500
Houston Natural Gas Corp.	50,000	1,351,013	2,012,500
Northern States Power Co.	40,000	1,036,455	895,000
Texas Utilities Co.	40,000	780,006	710,000
		6,570,404	6,825,625
Total common stocks		\$56,428,214	\$94,478,887
<b>Program-related investments</b>			
Cooperative Assistance Fund, investment units, valued at cost		\$1,000,000	\$1,000,000
Ramon Magsaysay Award Foundation, first mortgage loan receivable, 6% payable annually through December 31, 1993		1,202,386	637,746
Total program-related investments		\$2,202,386	\$1,637,746

## Schedule of Net Realized and Unrealized Gain (Loss) on Investments, Principal Fund

for the years ended December 31, 1979 and 1978

	1979	1978
Realized gain from security transactions (excluding short-term investments):		
Proceeds from sales and redemptions	\$37,756,569	\$23,911,009
Identified cost of securities sold and redeemed	32,340,382	19,681,825
Net realized gain	5,416,187	4,229,184
Unrealized gain on investments:		
End of year	31,491,464	24,101,630
Less, Beginning of year	24,101,630	27,978,518
Net unrealized gain (loss)	7,389,834	(3,876,888)
Net realized and unrealized gain on investments	\$12,806,021	\$ 352,296

# Schedule of Investments, Other Funds

December 31, 1979

	<i>Principal Amount</i>	<i>Cost*</i>	
<b>Special fund</b>			
Short-term investments			
Notes due on demand (at prevailing market interest rates)			
Allstate Financial Corp.	\$ 15,000	\$ 15,000	
C.I.T. Financial Corp.	268,000	268,000	
General Electric Credit Corp.	10,000	10,000	
United States Steel Corp.	21,000	21,000	
		<u>\$ 314,000</u>	
		<i>Cost</i>	<i>Market Value (Note 1)</i>
Long-term investments			
Bonds			
Transcontinental Gas Pipe Line Corporation, 5%, November 1, 1981	44,000	\$ 44,565	\$ 38,940
U.S. Postal Service, 6.875%, February 1, 1997	300,000	294,375	206,064
		<u>\$ 338,940</u>	<u>\$245,004</u>
		<i>Cost*</i>	
<b>Fund for the Ramon Magsaysay Award Foundation</b>			
Short-term investments			
Notes due on demand (at prevailing market interest rates)			
American Express Credit Corp.	33,000	\$ 33,000	
Allstate Financial Corp.	23,000	23,000	
		<u>\$ 56,000</u>	
		<i>Cost</i>	
Long-term investments			
Bonds			
U.S. Treasury bond, 7.25%, August 15, 1992	950,000	\$ 946,305	\$748,125

Continued

\*Approximates market value.  
See accompanying notes.

	<i>Principal Amount</i>	<i>Cost*</i>	
<b>Abby R. Mauzé Fund</b>			
Short-term investments			
Notes due on demand (at prevailing market interest rates):			
Allstate Financial Corp.	\$ 48,000	\$ 48,000	
C.I.T. Financial Corp.	40,000	40,000	
General Electric Credit Corp.	3,000	3,000	
Sears Roebuck Acceptance Corp.	14,000	14,000	
United States Steel Corp.	24,000	24,000	
		\$ 129,000	
		<i>Cost</i>	<i>Market Value (Note 1)</i>
Long-term investments			
Bonds and notes			
Federal Home Loan Bank, 9.05%, February 27, 1984	50,000	\$ 495,313	\$ 473,125
U.S. Treasury notes:			
9.375%, December 31, 1982	500,000	498,775	484,845
9.0%, February 15, 1987	500,000	499,720	466,720
		\$1,493,808	\$1,424,690

\*Approximates market value.  
See accompanying notes.

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<sup>(2)</sup> Effective March 15, 1979  
<sup>(3)</sup> Effective June 21, 1979  
<sup>(4)</sup> Effective March 21, 1979

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*(1) Until June 21, 1979*

*(2) Effective June 21, 1979*

*(3) Until June 21, 1979*

*(4) Effective June 21, 1979*

*(5) Resigned September 24, 1979*

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Marjorie S. Ravenholt  
Thomas W. Wahman<sup>(1)</sup>

## **Contributions**

Foundation managers who have made a number of contributions to the Rockefeller Brothers Fund are Laurance S. Rockefeller, David Rockefeller, and the late Abby R. Mauzé, John D. Rockefeller 3rd, Nelson A. Rockefeller, and Winthrop Rockefeller. Only Winthrop Rockefeller has contributed more than two percent of the total contributions received by the Fund.

In addition to a major gift which he made in 1951, the late John D. Rockefeller, Jr. bequeathed one-half of his estate to the Fund in 1960. In 1971, following the death of Martha B. Rockefeller, the Fund was the beneficiary of a trust in which she held a life interest and a trust she had created in which the Fund had a contingent beneficial interest.

<sup>(1)</sup> Part-time  
<sup>(2)</sup> Until 3/31/79; Consultant effective 4/1/79

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## Foundation Managers

Trustees and officers are "foundation managers" within the meaning of Section 4946(b) of the Internal Revenue Code with respect to all activities of the Fund.

This printed report includes the information submitted by the Rockefeller Brothers Fund to the Internal Revenue Service as required of private foundations under Section 6056 of the Internal Revenue Code.

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