

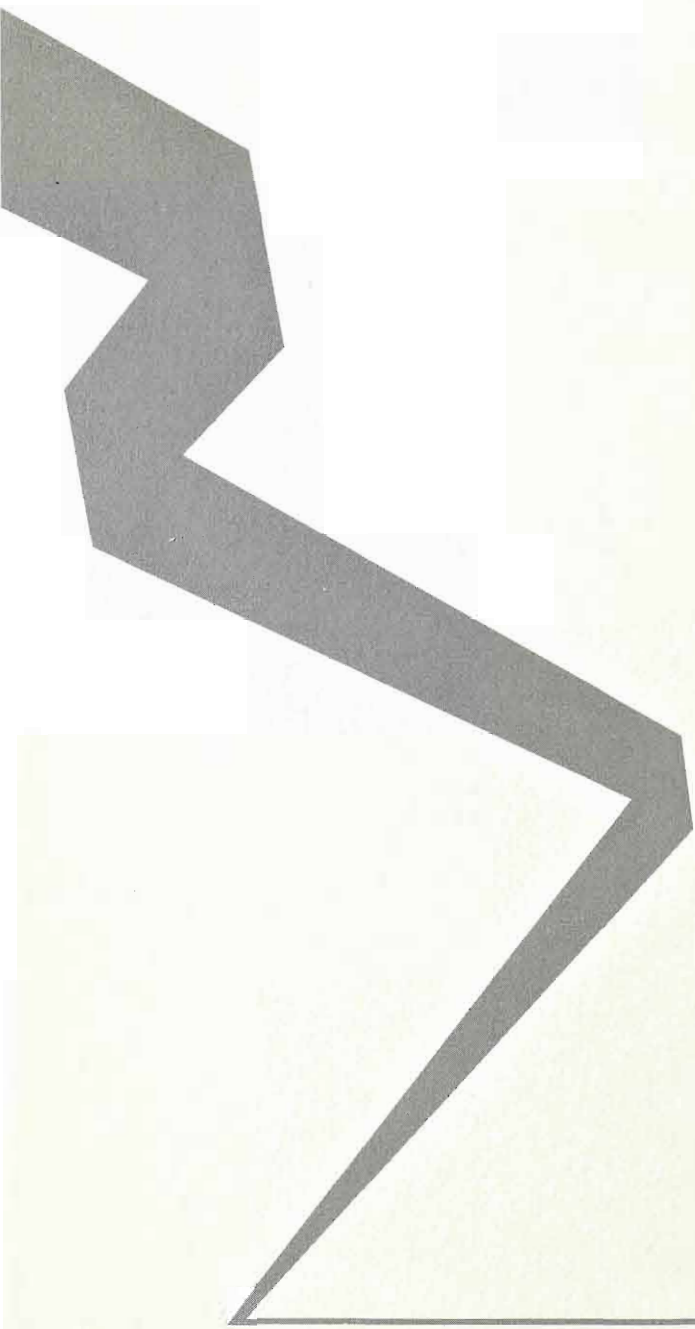
RBF

#1 of 2

RBF

1975 Annual Report

Rockefeller Brothers Fund



**Rockefeller Brothers Fund**  
**1975 Annual Report**

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Dana S. Creel

### **A Tribute to a Master Craftsman in American Philanthropy**

When the Rockefeller Brothers Fund was established in 1940, it may have seemed that another Rockefeller philanthropy was unnecessary. The General Education Board, founded by John D. Rockefeller, Sr., to combat the low standards of American education, had been in existence since 1902 and the Rockefeller Foundation since 1913. There were, in addition, at least four other Rockefeller philanthropies organized for various purposes. Among them they were dispensing several million dollars a year, and the figure was steadily rising. In all, by 1940, approximately \$719 million had been given away by various Rockefeller family members, including my grandfather, my father, my sister, my four brothers, and me. Of this amount, \$406 million went to grant-making institutions, such as the Rockefeller Foundation, the General Education Board, the Laura Spelman Rockefeller Memorial, and the International Education Board. In turn, by 1940, through growth of their endowments these institutions had been able to make grants totaling about \$710 million.

But as the family's efforts to aid the public welfare had grown and diversified, it became clear that the six of us were developing our own ideas about public need, and we decided it would be useful to create a vehicle through which we could share a source of advice and research and could also combine some of our gifts to better effect. Hence the creation of the Rockefeller Brothers Fund – a rather inaccurate name, I will admit, for a foundation whose principal members include my sister, the first-born in our family.

For the first ten years, the Fund's assets included only the contributions each of us made each year; there was no endowment. But the picture was to change: in 1951 my father made a major capital gift to the Fund, which helped to increase the Fund's annual contributions from \$257,000 in 1949 to \$1.2 million four years later, and the scale would steadily ascend. When he died in 1960, my father left half of his estate to the RBF, making it a major foundation. By the end of the 1960s the Fund's contributions totaled as much as \$10- and \$11-million a year.

As it developed, the Rockefeller family and the public causes it was attempting to serve were singularly fortunate that in 1950, at just the time the new foundation began to receive these larger resources, a young man named Dana Creel succeeded to the directorship of the Fund. Before he joined the Rockefeller family office in 1939, he had graduated from the Emory Law School in Georgia, where he was born, and then from the



Harvard Business School. One of our own generation, Dana had been schooled, like us, by my father in the family's philanthropic tradition. He was blessed with an imagination that was daring, yet balanced by a realistic judgment of what was possible; he preferred to remain quietly in the background; and he had the patience to work with trustees of disparate personalities and far-ranging interests.

Now Dana Creel has relinquished active direction of the Rockefeller Brothers Fund after 25 years, first as its executive director, then as its president. Except for his wartime service in the early 1940s, Dana has been on the staff of the Fund since it began; his association with the Rockefeller family has spanned the life of the foundation. For those of us who have known and worked with Dana over these years, there is no question that he has been one of American philanthropy's most influential and inventive leaders, one of the most respected by his associates, and one whose contributions to a broader perception of the nature and role of philanthropy are beyond measure.

During the 25 years Dana Creel was its director, the Rockefeller Brothers Fund disbursed over \$150 million. These grants have been made in diverse fields, all of them subjects of intense interest to the trustees of the Fund for many years. They have included medical research, resource conservation, population stabilization, women's education, agricultural development, race relations, urban problems, theology, the arts, human welfare and social services, historic preservation, economic development in Africa, Latin America, and Asia, and the cultural relations between these areas and the United States.

In building the Fund's program, Dana had the genius to combine the ideas and interests of the trustees with the kind of staff work that could give those ideas form and find the proper means to carry them out. In many ways, the participation of the trustees in the Fund's program may have made Dana's task more interesting – and certainly more challenging – than the job a foundation executive might normally confront, where the board's role is more remote and its members' various activities not taken into such close account.

In addition to his unusual administrative ability, it has been Dana's special contribution that he has seen important issues before they were widely recognized, he has helped to focus support in places where it might have greatest effect, and he has made sure each project was soundly conceived and carried out. In almost every case, Dana dealt with each grant decision personally, prodding the Fund's small staff to think through all aspects not only of the project or the grantee organization, but of the context in which the grant was to be made. He has constantly encouraged his colleagues to see in the germ of an idea or interest the larger possibility, to find points of leverage, to make connections between programs, and to carry the concept of venture philanthropy to a range of fields. And he has, at the same time, never lost sight of the needs of the individual grantee for counsel, moral support, and understanding.

Since he is a modest and somewhat skeptical man, Dana might take too little satisfaction from the results of the Fund's activity during these 25 years. But I think the evidence would dispute him.

In race relations, for example – a subject of particular concern to Dana as it has been to the Fund's trustees – RBF grants helped the Southern Regional Council develop practical solutions to school integration problems in the South. The Fund supported the establishment of a skills bank by the National Urban League to recruit and place qualified black people in business, industry, and government; it contributed to scholarships offered by the American Bar Association to minority students for the study of law; it helped build new facilities and strengthened programs for predominantly black colleges in the South. In more recent years, the RBF has become a major private supporter of minority economic development programs, especially in the South.

In historic preservation, the Fund has made several of its larger grants to the National Trust, and it has contributed not only money but considerable energy, much of it Dana's own, to completing the restoration of Colonial Williamsburg. In women's education, the RBF was an early grantor to the Radcliffe Institute for Independent Study, one of the first programs for mature women who wanted to return to school to renew or advance their academic interests. In medicine, the Fund has contributed nearly \$6-million to cancer research, specifically to the development of one of the most important cancer treatment, research, and teaching centers in the world, Memorial Sloan-Kettering in New York. The RBF was also instrumental in establishing the Community Blood Council of Greater New York, which coordinates the procurement and distribution of blood from volunteer donors, makes sure the supply is adequate to meet community needs, and carries on an extensive blood research program.



The Fund has been the major contributor to a trial-year theological fellowship program to encourage young people to enter the ministry; since 1954 more than 1,100 fellows have taken advantage of this program. Over the years the RBF has made major contributions to the acquisition of land for national and state parks. The Fund has also contributed consistently to a variety of projects and institutions, both national and local, trying to conserve our natural environment. Abroad, it has helped to develop agricultural and economic programs in poor countries. One of these projects, the Agricultural Development Council, is now an important factor in support of teaching and research in this field in Asia.

In several instances, it has been the Fund's practice, with the active participation of the trustees, to be the initiator and sometimes the vehicle for carrying out programs that have no other logical home. This has been especially the case with policy studies that are, in the words of an earlier RBF annual report, "designed to identify and analyze major concerns and to develop a variety of approaches to their resolution." During the 1950s and 1960s, for example, the Fund supported, housed, and helped to direct a series of special studies on the major problems in foreign policy, military security, labor, education, the performing arts, and economic and social policies that the United States confronted in those decades. (One example of the way such study projects are carried out under foundation auspices is described later in this report.)

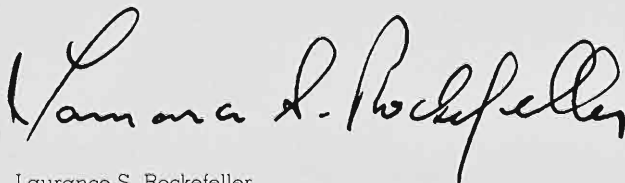
In all of this, Dana has managed to weave the genuine interests of individual trustees into a coherent program, which is faithful to those interests and at the same time allows for imaginative links between them. I have never been quite sure how he has done this, but I do know, as I look back over these 25 years, that his penetrating mind and quiet persistence have given many projects a new direction and that the result has often moved them far beyond their original concept.

Dana's professional status is most generally recognized in the relatively small world of foundation trustees, officers, and grantees, and especially among those who have worked closely with him, both inside and outside the Fund. The esteem in which he is held by his fellow foundation officers has grown partly from his forthright testimony at the Tax Reform Act hearings in 1969, a forum in which he defended eloquently the role of private foundations in American life.

To the staff of the RBF, Dana has been an unfailing source of encouragement; both by counsel and example he has instilled in those who have worked for and with him high standards of integrity and professionalism. As trustees, we treasure his leadership and his loyalty, and we are proud of the distinction he has brought to this foundation.

Dana has stepped aside from the presidency of the RBF, but he has by no means concluded his work here. He has been elected a vice chairman of the board, where he will continue to raise the larger questions. He has also taken on the task of reviewing the enterprises in which the Fund and the Rockefeller family have long had a strong leadership or supportive role to advise us about our further responsibilities there and to point out the new directions that our support might take.

As Dana now turns his mind toward this assignment, William M. Dietel, who came to the RBF in 1970 and has worked closely with Dana during these last five years as the Fund's executive vice president, has been named to succeed him as president. We look forward with confidence to the future of the Fund under Bill Dietel's leadership, recognizing that Dana has, with his usual foresight and care, prepared the way.



Laurance S. Rockefeller  
Chairman



## Introduction

The Rockefeller Brothers Fund makes grants to local, national, and international philanthropic organizations. During 1975, the Fund made 254 separate payments totaling \$9,879,832. Since its establishment in 1940, contributions have totaled \$160,078,967.

In 1975, the Fund continued to concentrate its grants in several fields that seem to hold some promise for solving overall societal problems. These include: environmental concerns (population growth and distribution, conservation and constructive use of natural resources); equal opportunity; quality of life (religion, human values, and cultural affairs); education; and international affairs (development assistance in Africa, Asia, and Latin America, and improvement of international relations).

### Purposes

As a general rule, in the Greater New York area, contributions are made to agencies whose activities are citywide in scope, since the Fund cannot, because of the number involved, contribute directly to all social service organizations. The Fund supports efforts that promote balanced and integrated patterns of development in the city, particularly in the fields of housing (as it relates to community renewal and development), education, and selected areas within the social services. It is also interested in the analysis of common problems and other attempts to increase the effectiveness of local agencies. In addition, the Fund has a traditional interest in cultural affairs. As an expression of its concern for the increasingly complex problems of its home community of New York City and of urban areas generally, RBF in recent years has made grants of local or even neighborhood character to help sustain strategic projects or agencies addressed to such problems.

Outside New York City, grants are directed to selected organizations with programs of general import. For the most part, these organizations are national or international in scope, but occasionally an institution whose primary activity is local in character may be the center of a program that the Fund assists because it relates to a particular Fund interest and seems to offer an especially promising response to a problem within that field.

Nationally, the Fund's interests are focused on four

thematic areas: equal rights, environmental integrity, economic opportunity and civic and cultural values. Internationally, grants are made to further the process of structuring interdependence with special emphasis on economic management, international cooperation and science and technology and on assistance to developing countries in Africa, Asia and Latin America, especially for demonstration projects and experimental ventures in rural development, education, training and environmental management.

In the case of grants to the budgetary or capital needs of established agencies, the Fund's contributions are purposely held to a relatively small portion of the total need, as the objective of the Fund in this respect is to share with others in the support of such institutions. In addition, in fields of special trustee interest, the Fund's program includes support for and, in some instances, direct operation of experimental or new undertakings. In many cases, the trustees take an active part in the formation and operation of these programs as well as in their support. The staff processes grants and also provides program-development, technical help, and other program-related consultation.

### Applications

There is no set procedure for making an application to the RBF. A brief written statement describing a project, its objectives, sponsorship, and the area in which assistance is desired is usually sufficient for initial consideration. Applications are processed throughout the year.

### 1975 Grants

Brief summaries of all 1975 contributions appear on pages 10 to 40 of this report. Following these summaries, financial information is presented, starting on page 41.

The Fund's most recent annual reports have included statements setting forth the rationale for three of the Fund's programs and telling how these have evolved. Similar statements on other of the Fund's programs will appear in future reports. However, to help provide a broader understanding of the various ways in which the Fund conducts its philanthropic activities, this report includes a statement, beginning on page 8, describing one specific project – a study carried out with Fund support.



## The Story of a Foundation-Based Special Study

### The Council on Museums and Education in the Visual Arts

The last three annual reports from the Rockefeller Brothers Fund have described the way three of its programs – international (1972), New York City (1973), and environmental (1974) – are organized. The reports have outlined some of the Fund's policies in these fields and have given examples of projects the RBF has supported to implement them. As the reports have made clear, although the Fund continues to contribute to voluntary agencies and private institutions for a variety of purposes, it has found that both rising costs and the amount of public money now available to voluntary groups overshadow what private foundations can do to help. "Increasingly," the RBF's 1973 report points out, "foundations make their impact as much from their capacity to analyze problems, inform the citizenry and encourage coordinate activity among private groups as from monetary contributions."

Since the late 1950s, the Fund has carried out several studies of its own to analyze problems and inform the citizenry – and also to try to understand better itself the directions and needs of the areas under study. Most of the RBF's studies have dealt with foreign policy, economics, education, labor, and social change, although one, published in 1969, analyzed the performing arts, their place in our national life, and the impediments to their wider enjoyment. In almost every case, the pattern has been to find a group of people of experience and capacity in a given field and ask them to work with a small paid staff to undertake the study.

In 1971, the Fund recommended to two other foundations, the National Endowment for the Arts and the Edward John Noble Foundation, an examination of the educational role of American art museums. All three foundations were receiving a growing number of requests from museum staffs for help in launching and sustaining education programs, and it was not always clear how these requests should be considered and evaluated. Furthermore, it was evident that educational studies and programs of various kinds were looking more and more toward museums, along with other out-of-school settings, as important educational resources; that both teachers colleges and museums had begun to organize themselves to train people for museum education work; and that legislators were being asked increasingly to regard museums, like schools and libraries, as part of the public education

system in drawing up new funding legislation. It was time for some group to begin to address the policy issues raised by these developments. The RBF itself had long had an interest in art museums and given support to such institutions as the Museum of Modern Art, the Metropolitan Museum, Colonial Williamsburg, the Exploratorium, the American Museum of Natural History, and the American Crafts Council, and it was anxious to find ways to help them become more effective educationally.

The three foundations decided to cooperate in thinking through their policies toward museum education programs and the directions art museum education might take, especially in relation to other educational institutions. Their first step was the formation of a Council on Museum Education, whose members were art museum trustees, school and museum administrators, and foundation officers, most of them from the New York area. The Council met several times throughout the following year and issued two study reports. The first described collaborative efforts among museum educators, schools, and community groups in New York, and noted some of the trends the Council staff had found in a sampling of art museum programs elsewhere in the country.

The second report dealt with the field more broadly: it recommended that art museum education – a virtually formless field with a fitful history and little systematic study to give it shape – be given a body of literature that might encourage educators in museums, schools, and universities to build on the experience of others rather than simply repeat it. Except for a book that described a three-year museum project organized by the General Education Board in 1938 (Lydia Bond Powel, *THE ART MUSEUM COMES TO THE SCHOOL*, New York: Harper & Bros., 1944), no attempt had ever been made to document art museum education programs for the benefit of educational practitioners and policy-makers. The same issues, the Council report pointed out, were being approached by one generation of educators after another with no basic information to guide them.

The Council on Museum Education decided that a national case-study project, long advocated by people in the museum profession, should be its next step. In the fall of 1972, the Council disbanded and in spring 1973 a new group was formed, called the Council on Museums and Education in the Visual Arts (CMEVA), made up of a larger and more nationally representative membership. With grants from the National Endowment for the Arts, the RBF, the Noble Foundation, the Ford Foundation, and the National Endowment for the Humanities, the new Council hired a staff of twelve people, stationed in seven areas of the country. Their assignment was to survey programs in art museums, schools, universities, and community organizations that seemed to hold interesting lessons for visual arts education. From this survey, the Council members chose roughly 100 projects in 72 institutions that the staff followed over a 12-month period to see how they were designed, what their

objectives were, who took part in them, how they were funded, what ties they had to other educational institutions, and how successful both their authors and their participants felt them to be.

The product of this effort is a reference work consisting of program reports – several of them full-length case studies, others less extended descriptions of the projects under study – and essays that place these reports in historic as well as contemporary perspective, to be published in 1977 by the University of California Press. For the first time, the art museum world and the schools, universities, teachers colleges, and community organizations allied with it will have access to carefully collected information about both the potential and the limitation of art museums as educational resources. For the first time, too, policy-makers in museums, foundations, legislative bodies, and educational institutions will be able to base decisions on solid fact about the use of museums in education, rather than what sometimes seems to be wishful thinking. (In a recent survey commissioned by the National Endowment for the Arts, MUSEUMS USA, 94 per cent of the directors of art museums in this country listed education of the public as their institutions' primary purpose; yet the CMEVA study has found few major art museums that spend more than ten per cent of their operating budgets for educational programs.) And for the first time, an attempt has been made to give shape to educators' efforts to mine the rich art collections in this country for audiences of all ages.

Funds for the study were administered by the Cleveland Museum of Art, whose director, Sherman E. Lee, is the Council's chairman. Five other art institutions – the Metropolitan Museum in New York, the National Collection of Art in Washington, D.C., the Minneapolis Institute of Arts, the Kimbell Museum in Fort Worth, and the Neighborhood Arts Program in San Francisco – have acted as the project's sponsors, providing office space and logistical support for staff members as necessary. The RBF has served as staff headquarters, housing the project director, editors, and files, and giving the Council the needed administrative help throughout the three years it has been at work.

Once the report is published, the Council expects to go out of business. But museum professionals and art educators in the schools have already begun to hold

workshops and seminars on the implications of the case studies for their own programs. In addition, the Council has recommended that a national center for museum education be established that will continue to collect and disseminate information to anyone who is interested in this field. Although it is the sense of the CMEVA study that funding for museum education programs should be drawn primarily from the museums' own operating budgets and from local public sources, in the same way libraries are supported, it is possible that the study may lead to foundation help for some of the more promising experimental projects, especially those that show signs of bringing museums, universities, and schools closer together.

Such a follow-on to studies it has supported is one of the components of the foundation role, as Dana Creel, the RBF's president then, pointed out in the Fund's 1971 annual report: "The overall role of foundations," he said, "breaks into four connected components:

- "1. Support of policy studies designed to identify and analyze major concerns and to develop a variety of approaches to their resolution.
- "2. Support of pilot or experimental projects to test the feasibility and effectiveness of such alternative approaches to particular problems.
- "3. Support of objective appraisals of programs and policies of governmental agencies and private institutions.
- "4. Support of existing educational, cultural, and charitable organizations so they can adapt to meet changing needs and survive while doing so."

For the RBF, the next step will be to set guidelines for its own role in helping U. S. art museums become a more widely used educational resource and a more enterprising contributor to the nation's educational wealth.



**Grants and Contributions Paid or Approved for  
Future Payment During the Year**

**NATIONAL PROGRAM: Cultural**

Recipient and Purpose	Total Appropriation	Paid In Previous Years	Payment in 1975	Unpaid Balance
<b>American Crafts Council</b> New York, New York For general budgetary support of the Council, which preserves the skills and furthers the development of the artisan and his craft.	\$ 25,000	\$	\$ 25,000	\$
<b>Associated Councils of the Arts</b> New York, New York For general budgetary support of this national service organization providing information and guidance to state and local arts councils.	15,000		15,000	
<b>Association of American Dance Companies, Inc.</b> New York, New York For general budgetary support of this national service organization for dancers and dance companies.	5,000		5,000	
<b>Association of Independent Colleges of Music</b> New York, New York For general budgetary support of the Association which assists principal conservatories in mutual cooperation and in gaining public support.	15,000		15,000	
<b>Cleveland Museum of Art, The</b> Cleveland, Ohio Toward an examination, being conducted by the Council on Museums and Education in the Visual Arts, to determine whether, and how well, museum and related visual arts education programs are working.	50,000		50,000	
<b>First American Congress of Theatre, Inc.</b> New York, New York For general budgetary support of this new organization promoting cooperation in solving common problems within the professional theater field, both nonprofit and commercial.	15,000		15,000	
<b>Museum of New Mexico Foundation</b> Santa Fe, New Mexico Toward a feasibility study to evaluate the use of the Federal Post Office Building in Santa Fe for use as a Museum of the Southwest American Indian.	25,000		25,000	
<b>National Committee for Cultural Resources, Inc., The</b> New York, New York Toward the Committee's efforts to articulate a new national policy for support of the arts based on a partnership of foundation, city, state and federal assistance.	25,000		25,000	



Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1975	Unpaid Balance
<b>National Corporate Fund for Dance, Inc., The</b> New York, New York For general budgetary support of this organization which coordinates the raising of funds from corporate sources for small dance companies of high quality.	10,000		10,000	
<b>National Endowment for the Arts</b> Washington, D.C. Toward the Arts in Education Study of the American Council for the Arts in Education, which is to consider appropriate means for expanding the role of the arts in all levels of education.	75,000		41,000	34,000
<b>Opportunity Resources for the Arts, Inc.</b> New York, New York For general budgetary support of this organization attempting to improve the administrative competence of performing arts groups through a data bank designed to match specific managerial needs with available personnel.	10,000		10,000	
<b>Palace of Arts and Science Foundation</b> San Francisco, California Toward the education program of "The Exploratorium," a science museum whose 200 perceptual exhibitions offer students first-hand experience of natural phenomena.	30,000		30,000	
<b>NATIONAL PROGRAM: Education</b>				
<b>American Historical Association</b> Washington, D.C. Toward the XIV International Congress of Historical Sciences, the first meeting in the United States of the Congress which is convened every five years to consider ways of advancing the study of history.	25,000*		25,000	
<b>American Political Science Association, The</b> Washington, D. C. Toward the Association's Congressional Fellowship Program which, in part, provides, through an internship program, selected young political scientists and political journalists with exposure to the operation of the national legislative process.	45,000*	15,000	15,000	15,000

\*Appropriation made prior to 1975

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1975	Unpaid Balance
<b>Aspen Institute for Humanistic Studies</b> Aspen, Colorado For general budgetary support of this institution devoted to thought and action on human and social problems.	50,000		50,000	
Toward its Program on Communications and Society, a long-term project to identify major issues relating to the communications media and to formulate policies and actions for dealing with those issues.	70,000*	35,000	35,000	
Toward the Program on Communications and Society in 1976 and 1977.	100,000			100,000
<b>Bank Street College of Education</b> New York, New York Toward its Center for Research and Training in Open Education, which will offer to professional educators a program of residencies, internships and short-term institutes.	235,000		135,000	100,000
<b>Center for Policy Research, Inc.</b> New York, New York Toward a study, under the direction of Waldemar A. Nielsen, of the concepts and procedures employed in several advanced nations for the support of the nonprofit sector.	50,000		25,000	25,000
<b>Colonial Williamsburg Foundation</b> Williamsburg, Virginia To underwrite a contingent commitment incurred in the acquisition of Carter's Grove Plantation, Virginia, for exhibition as an historic property.	290,000*			290,000
<b>Commission on Independent Colleges and Universities</b> Albany, New York Toward the development of a plan for the organization and financing of public and private higher education in New York State.	10,000		10,000	
<b>Conference Board, Inc., The</b> New York, New York Toward a research project to develop and disseminate reliable data concerning the scope and character of education and training programs currently being provided by corporations for their employees in the United States.	30,000		15,000	15,000
<b>Education Development Center, Inc.</b> Cambridge, Massachusetts For support of the planning phase of a project to develop a secondary school curriculum to help students understand the implications of societal growth and the problem of global survival.	25,125		25,125	

\* Appropriation made prior to 1975

Recipient and Purpose	Total Appropriation	Paid In Previous Years	Payment in 1975	Unpaid Balance
<b>Educational Policy Center, Inc.</b> Boston, Massachusetts Toward the Center's national longitudinal study of black undergraduates in predominately white colleges.	75,000*	50,000	25,000	
<b>Educational Testing Service</b> Princeton, New Jersey For general budgetary support of the National Commission on Performance-Based Education organized to design and stimulate a national research and development effort with respect to teacher education based on classroom competency.	71,725		71,725	
Toward a comprehensive assessment program on reading, undertaken in collaboration with teachers from open classroom schools and advisories across the country.	42,500		42,500	
<b>Far West Laboratory for Educational Research and Development</b> San Francisco, California Toward support of a nationwide information and resources exchange for teacher centers.	23,500		23,500	
<b>Harvard University</b> Cambridge, Massachusetts Toward the core activities of the Program on Information Technologies and Public Policy.	5,000		5,000	
Toward a reassessment of early American history through an archival study of the recruitment, development and character of the American population from the beginning of settlement until the end of the 19th century.	212,522		24,181	188,341
<b>National Association of Independent Schools, Inc.</b> Cambridge, Massachusetts Toward the second year operating expenses of the Institute of Education, organized to encourage and assist in the development of alternative approaches to elementary and secondary education.	50,000		50,000	
<b>Outward Bound, Inc.</b> Greenwich, Connecticut Toward a national development office to enable Outward Bound to further expand its progress of experiential education.	65,000*	40,000	25,000	
<b>Phillips Academy, Trustees of</b> Andover, Massachusetts Toward its Complementary Schools Project, an attempt to make the resources and opportunities of the boarding school experience broadly accessible to young people whose main school base will remain their local public high schools.	45,000*	20,000	15,000	10,000

\*Appropriation made prior to 1975

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1975	Unpaid Balance
<b>Prospect School, Inc.</b> North Bennington, Vermont Toward this elementary school's Adjunct services Program which assists in the training of teachers, administrators, and non-professional people in the open classroom/integrated day techniques.	175,925*	70,430	60,501	44,994
<b>Rhode Island Historical Society, The</b> Providence, Rhode Island A special contribution to be used as an endowment for the maintenance, operation and programs of the Aldrich family residence in Providence as an historical site.	600,000		600,000	
<b>Rockefeller University, The</b> New York, New York Toward a capital program to increase the University's endowment and expand physical facilities.	5,000,000*	2,500,000	2,500,000 <sup>(1)</sup>	
<b>United Negro College Fund, Inc.</b> New York, New York For general support of the 1976 Premedical Summer Institute at Fisk University, designed to increase the pool of qualified minority applicants to medical school.	10,000		10,000	
<b>Willard, Emma School</b> Troy, New York Toward a study of women's secondary education in the United States.	12,000		350 <sup>(2)</sup> 11,650	
<b>NATIONAL PROGRAM: Environmental</b>				
<b>American Conservation Association, Inc.</b> New York, New York For general budgetary support of this organization working to increase public understanding of the need for conservation and the wise use of natural resources.	275,000*		275,000	
For general budgetary support in 1976.	250,000		100,000	150,000
<b>Association for the Study of Abortion, Inc.</b> New York, New York For general budgetary support of this organization providing abortion information and education services to professionals and lay public.	10,000		10,000	
<b>Conference Board, Inc., The</b> New York, New York Toward a colloquium entitled "Answers to Inflation and Recession: Economic Policies for a Modern Society."	13,000		13,000	
<b>Conservation Foundation, Inc., The</b> Washington, D. C. Toward an effort to define a standard of ethical behavior for man, using the laws of ecology, by recognizing that he is part of an interdependent natural system rather than its master.	30,000		30,000	

(1) Lapsed

(2) Lapsed

\*Appropriation made prior to 1975



Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1975	Unpaid Balance
<b>Dartmouth College, Trustees of</b> Hanover, New Hampshire Toward a comparative analysis, in non-technical language, of regional and national planning computer models.	16,000*	13,360	2,640	
<b>Federated Conservationists of Westchester County, Inc.</b> Dobbs Ferry, New York For general budgetary support of the FCWC, a small volunteer group organized to strengthen citizen participation in conservation efforts in Westchester.	10,000		10,000	
<b>Massachusetts Institute of Technology</b> Cambridge, Massachusetts Toward its National Modeling Project which is developing a series of models to explore the problems of national growth by examining the interaction of the major economic sectors of the country and the effect on these of alternative fiscal and monetary policies.	200,000		200,000	
<b>National Audubon Society</b> New York, New York Toward general support of the Society's educational activities, including outreach and intern programs and the work of its Nature Centers Planning Division.	75,000		75,000	
<b>National Organization for Non-Parents</b> Baltimore, Maryland Toward a multi-media public-education advertising campaign, in cooperation with the San Francisco-based Public Media Center, to stimulate thought and discussion concerning alternatives to parenthood.	22,000		22,000	
<b>Natural Resources Defense Council, Inc.</b> New York, New York Toward a program of assistance to foreign environmental groups through the provision of technical information.	30,000		30,000	
<b>New Alchemy Institute, The</b> Woods Hole, Massachusetts For general budgetary support of the Institute which is creating new energy and food growing strategies and bioshelters with appropriate technologies and high nutrition yield food production.	50,000*	30,000	20,000	
<b>Northern Rockies Action Group, Inc.</b> Helena, Montana For general budgetary support of NRAG which acts as a management consulting and training organization for population and environmental groups in Wyoming, Montana and Idaho.	50,000		50,000	

\*Appropriation made prior to 1975

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1975	Unpaid Balance
<b>Ohio State University Development Fund</b> Columbus, Ohio Toward a five-week seminar conducted by the Academy of Contemporary Problems to plan the development of regional strategies for future national development.	25,000		25,000	
<b>Planned Parenthood Federation of America, Inc.</b> New York, New York Toward the costs of extending a national survey of abortion services since the 1973 Supreme Court decision and the preparation of an updated public report.	15,000		15,000	
<b>Planned Parenthood of New York City, Inc.</b> New York, New York For United Planned Parenthood Campaign, the joint annual fund-raising effort on behalf of three planned parenthood organizations serving respectively at local, national, and international levels.	100,000		100,000	
<b>Planned Parenthood of Westchester, Inc.</b> White Plains, New York For general budgetary support of this agency providing family planning information and services throughout Westchester County, New York.	2,500		2,500	
<b>Population Council, Inc., The</b> New York, New York For general budgetary support over the three years 1975-1977 of the Council's program in research, training, technical assistance, and information exchange in the areas of demography, reproductive physiology and contraceptive development, family planning and population policy.	975,000*		400,000	575,000
<b>State Communities Aid Association</b> New York, New York Toward its New York State Coalition for Family Planning, which provides throughout the State a full range of fertility control services — contraception, fertility stimulation, voluntary sterilization, counseling and sex education.	20,000*	10,000	10,000	
<b>Union of Concerned Scientists Fund, Inc.</b> Cambridge, Massachusetts For general support of new projects and to strengthen management of this organization which conducts scientific analyses of major energy questions.	30,000		30,000	

\*Appropriation made prior to 1975



Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1975	Unpaid Balance
<b>Western Interstate Commission for Higher Education</b> Boulder, Colorado Toward the completion of production and the distribution of the study report, "Growth and Education," which considers the implications of growth policy for the curriculum of post-secondary education.	1,742		1,742	
<b>Worldwatch Institute</b> Washington D.C. Toward initial operating expenses of the Institute which will seek to identify emerging public problems and make a concerted effort to create a climate in which these problems can be rationally discussed and possible responses can be formulated.	500,000*	100,000	200,000	200,000
<b>NATIONAL PROGRAM: Equal Rights and Opportunities</b>				
<b>American Civil Liberties Union Foundation, Inc.</b> New York, New York For general support of the Foundation's Atlanta office, which conducts a voter law project seeking the racial integration of Federal, state and local instrumentalities of justice in the South.	25,000		25,000	
Toward expansion of the Foundation's voter law project from its predominantly Alabama and Georgia base to include Mississippi, Tennessee, and Arkansas, and to strengthen its voter law work in the Carolinas.	52,500		52,500	
Toward support of the Foundation's school desegregation litigation involving schools in 15 metropolitan areas.	15,000		15,000	
<b>American Indian Lawyer Training Project, Inc.</b> Oakland, California Toward support services for the organization's fellowship program for younger Indian attorneys who are establishing private practices on or near Indian reservations.	86,500		69,164	17,336
<b>Black Child Development Institute, Inc.</b> Washington, D. C. For its Southern Technical Assistance Program of stimulating new employment opportunities in the day-care field in the South.	32,500		32,500	
<b>California, The Regents of the University of (Berkeley)</b> Berkeley, California For general budgetary support of the National Housing and Economic Development Law Project which provides back-up services to neighborhood legal assistance offices on particular aspects of the law relating to the poor.	50,000		50,000	

\*Appropriation made prior to 1975

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1975	Unpaid Balance
<b>Center for Community Change</b> Washington, D. C. Toward the core operating budget of the Center, which works with a broad range of low-income community development organizations across the country.	20,000		10,000	10,000
Toward the Center's program of assistance to community and economic development organizations in the South.	70,000		70,000	
Toward the Center's program for 1976.	70,000			70,000
<b>Center for Law and Social Policy</b> Washington, D.C. Toward litigation activity of this public interest law firm relating to health services for minority groups and the poor.	65,000		65,000	
<b>Children's Foundation, The</b> Washington, D. C. For general budgetary support of this organization providing information and technical assistance to groups working to increase the availability and adequacy of federal food programs for children.	40,000		40,000	
<b>Cooperative League Fund, Inc.</b> Washington, D. C. Toward its program of assistance to crafts production and marketing groups.	40,000		20,000	20,000
<b>Council for Public Interest Law</b> Washington, D. C. For general budgetary support of the Council which is considering the nature and extent of the "pro bono publico" activities of the legal profession and the ways in which these can be extended and financed.	54,536		54,536	
<b>Delta Foundation, The</b> Greenville, Mississippi To assist the Foundation, operating to increase opportunities for low-income residents in the Delta region of Mississippi, with its agricultural land ownership and development activities.	35,000		35,000	
<b>Emergency Land Fund</b> Atlanta, Georgia Toward this organization's program of assistance to agricultural land acquisition and development programs in the South.	60,000		60,000	
<b>Federation of Southern Cooperatives</b> Epes, Alabama Toward the Federation's training center and demonstration farm for its membership of rural farm and nonfarm cooperatives in 14 southern states.	70,000		70,000	
Toward the Federation's 1976 program.	70,000			70,000

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1975	Unpaid Balance
<b>Food Research and Action Center, Inc.</b> New York, New York For general budgetary support of this organization's efforts to monitor the administration of Federal food programs and to initiate legislation to change those programs which discriminate against poor people.	35,000		35,000 <sup>(3)</sup>	
<b>For Responsive Media: Citizens Communications Center</b> Washington, D. C. Toward the minority group law student intern program of the Center which specializes in legal representation of citizens' groups in the field of broadcasting and cable communications.	38,500*	24,700	13,800	
<b>Interracial Council for Business Opportunity</b> New York, New York For general budgetary support of this national organization and its local councils which provide financial and managerial guidance for black-owned small businesses.	40,000		40,000	
<b>King, Martin Luther, Jr., Center for Social Change</b> Atlanta, Georgia Toward the Center's auditorium which will be used for, among other projects, a film showing the evolution and implementation of Martin Luther King, Jr.'s philosophy of nonviolent social change.	250,000*			250,000
<b>Law Students Civil Rights Research Council</b> New York, New York For general budgetary support of the national student entity that provides for students drawn from 90 law schools continuity of involvement in the problems of the poor and dispossessed.	7,500		7,500	
<b>Lawyers' Committee for Civil Rights Under Law</b> Washington, D. C. Toward the Committee's Public Employment Law Reform Project working to reduce discriminatory employment practices at all levels of public employment.	70,000		70,000	
To enable the Committee to sponsor a series of planning meetings on voter law in the South.	10,000		10,000	
<b>Mexican American Legal Defense and Educational Fund</b> San Francisco, California For general budgetary support of this national legal organization for low-income Spanish-speaking Americans.	45,000		45,000	

<sup>(3)</sup> Lapsed

\*Appropriation made prior to 1975

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1975	Unpaid Balance
<b>Mississippi Council on Human Relations, Inc.</b> Jackson, Mississippi Toward the Council's work of coordinating the program activities in the corrections field of the State Human Relations Councils and other citizen groups in the South.	35,000		35,000	
<b>NAACP Legal Defense and Educational Fund, Inc.</b> New York, New York Toward the general litigation program of this organization handling crucial civil rights cases.	45,000		45,000	
<b>NAACP Special Contribution Fund</b> New York, New York For general budgetary support of this national civil rights organization.	50,000		50,000	
<b>National Legal Aid and Defender Association</b> Washington, D. C. Toward special costs in connection with the creation and structuring of a new national federal legal services program.	40,000		40,000	
<b>National Urban League, Inc.</b> New York, New York For general budgetary support of the League which plays a leading role in a wide variety of concerns relating to black citizens.	50,000		50,000	
For support of the League's Research Department which communicates to the public important data and research findings relating to race relations.	25,000		25,000	
<b>Opportunity Funding Corporation</b> Washington, D. C. Toward a program to coordinate the efforts of foundations, corporations and economic development groups on behalf of minority economic development.	70,000		70,000	
<b>Penn Community Services, Inc.</b> Frogmore, South Carolina Toward this organization's economic development and job-creating activities in the Coastal Plains region of the Carolinas.	12,500		12,500	
<b>Puerto Rican Legal Defense and Education Fund, Inc.</b> New York, New York For general budgetary support of this organization's program to protect the civil rights of Puerto Rican citizens and upgrade their living standards through legal action in the courts and education efforts in the community.	35,000		35,000	

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1975	Unpaid Balance
<b>Rural Advancement Fund of the National Sharecroppers Fund, Inc.</b> Charlotte, North Carolina				
Toward a program providing technical planning, monitoring and financial assistance to cooperatives and other local community development groups in the South.	70,000		70,000	
Toward the Fund's program in 1976.	70,000			70,000
<b>Scholarship, Education and Defense Fund for Racial Equality, Inc.</b> New York, New York				
For a program of support to the Greene County Economic Development Commission organized to provide assistance and advice in connection with the economic development of Greene County, Alabama.	15,000		15,000	
<b>Southern Development Foundation</b> Lafayette, Louisiana				
Toward its program of technical, managerial and financial assistance to agricultural cooperatives in the South.	50,000		50,000	
<b>Southern Regional Council, Inc.</b> Atlanta, Georgia				
Toward the Council's general program of research, information, and monitoring of federal programs in the broad field of race relations and social policy development, and toward the Council's special program of providing technical and managerial assistance to local community development organizations in the South.	70,000		70,000	
Toward the Council's Task Force on Southern Rural Development organized to identify rural development objectives and to consider the most effective means of mobilizing resources to obtain these objectives.	56,000		56,000	
<b>Urban League of Westchester County, Inc.</b> White Plains, New York				
Toward the League's proposed Community Education and Information Center in Mount Vernon, New York.	75,000		25,000	50,000
<b>Virginia Community Development Organization, Inc.</b> Petersburg, Virginia				
Toward a program organized to facilitate, through local community organizations, the participation of residents of an 18-county southeastern Virginia area in government decisions which bear directly on their lives.	7,500		7,500	

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1975	Unpaid Balance
<b>Voter Education Project, Inc.</b> Atlanta, Georgia For general budgetary support of this program of voter registration drives, election analyses, and citizenship education in the South.	30,000		30,000	
<b>Washington Research Project, Inc.</b> Washington, D.C. To complete its project designed to train new black lawyers in the South in the intricacies of Title VII (equal employment opportunity provisions) of the 1964 Civil Rights Act.	20,000		20,000	
Toward its Children's Defense Fund aimed at mobilizing broad support for effective public policies which benefit children.	30,000		30,000	
<b>NATIONAL PROGRAM: Human Services</b>				
<b>Council on Foundations, Inc.</b> New York, New York To cover the Fund's full membership contribution for 1976 in this national service agency for foundations.	9,775		9,775	
Toward a study concerning the responsibilities and performance of foundation trustees.	15,000		15,000	
<b>Cummins Prison Chapel Fund, Inc.</b> Little Rock, Arkansas Toward the capital fund drive for this prison chapel.	20,000		20,000	
<b>Foundation Center, The</b> New York, New York For general budgetary support of the Center which collects and disseminates factual information on the foundation field through programs of library service, publications, and research for the benefit and use of the public, government agencies and individual foundations.	15,000*		15,000	
For general budgetary support in 1976.	15,000*			15,000
For general budgetary support in 1977.	20,000			20,000
<b>National Commission for Manpower Policy</b> Washington, D. C. Toward the non-federally funded activities of the Commission organized to identify critical issues relating to national manpower needs and to develop recommendations for action in response to these needs.	25,000		12,500	12,500
<b>National Information Bureau, Inc.</b> New York, New York For general budgetary support of the Bureau's review of standards of sound philanthropy and maintenance of advisory services for contributors.	2,500		2,500	

\*Appropriation made prior to 1975



Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1975	Unpaid Balance
<b>United Way of Westchester, Inc.</b> White Plains, New York For general budgetary support.	20,000		20,000	
<b>Work in America Institute, Inc.</b> New York, New York For general budgetary support of the Institute, jointly established by management, labor, government and nonprofit sources, to provide practical expertise and guidance on ways of improving productivity in the United States through better utilization of human resources.	225,000		50,000	175,000
<b>Young Women's Christian Association of Mount Desert Island</b> Bar Harbor, Maine For general budgetary support and program development.	12,000*	10,000	2,000	
<b>NATIONAL PROGRAM: Values</b>				
<b>Commission on Private Philanthropy and Public Needs</b> Washington, D. C. For general budgetary support of the Commission which is engaged in a broad-range in-depth study of philanthropy, its relationship to government, and its role in American society.	100,000	50,000	50,000	
<b>Ebenezer Baptist Church</b> Atlanta, Georgia A special contribution toward reducing the Church's mortgage on the occasion of the retirement of The Reverend Martin Luther King, Sr. as pastor.	25,000		25,000	
<b>Fund for Theological Education, Inc., The</b> Princeton, New Jersey For the expenses in the year ending June 30, 1976, of the Rockefeller Brothers Theological Fellowship Program.	150,000*		150,000	
<b>Graduate Theological Union</b> Berkeley, California For general budgetary support of GTU's Center for Ethics and Social Policy which promotes the study of the ethical considerations involved when major policy decisions are made by corporations, governmental bodies and public service agencies.	35,000*	17,500	17,500	
To promote closer coordination of the non- academic activities of the member seminars of the Graduate Theological Union.	35,000*	13,195	6,959	14,846
<b>Group for the Advancement of Psychiatry, Inc.</b> Boston, Massachusetts Toward the Group's reports to the public concerning important current ethical and social problems.	9,000*	6,500	2,500	

\*Appropriation made prior to 1975

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1975	Unpaid Balance
<b>Harvard University</b> Cambridge, Massachusetts Toward a research project measuring and assessing corporate social responsibility to be undertaken by the Harvard Graduate School of Business Administration.	25,000		25,000	
<b>Institute of Society, Ethics and the Life Sciences, Inc.</b> Hastings-on-Hudson, New York Toward the Education Program of the Institute which is evaluating on cross-disciplinary bases the ethical questions arising from research developments in the life sciences.	75,000*	30,000	25,000	20,000
<b>Lindisfarne Association, Inc., The</b> Southampton, New York For general budgetary support of this learning community offering a university-level program to individuals seeking a new sense of meaning for human existence.	25,000		25,000	
<b>National Council of the Churches of Christ in the United States of America</b> New York, New York For general budgetary support.	7,500		7,500	
<b>New York State Council of Churches, Inc., The</b> Syracuse, New York For general budgetary support.	1,000		1,000	
<b>Union Church of Pocantico Hills</b> Tarrytown, New York For general budgetary support of this non-denominational church located near Tarrytown, New York.	21,800		21,800	
<b>United States Conference for the World Council of Churches, Inc., The</b> New York, New York For general budgetary support.	2,500		2,500	
<b>NEW YORK CITY PROGRAM: Cultural</b>				
<b>Afro-American Studio for Acting and Speech, Inc.</b> New York, New York For general budgetary support of the Studio which conducts an active program in theater performances and training.	10,000		10,000	
<b>Afro-American Total Theatre Arts Foundation, Inc.</b> New York, New York For general budgetary support of this music and theater group which performs widely throughout New York City.	10,000		10,000	
<b>Amigos del Museo del Barrio, Inc.</b> New York, New York For general budgetary support of this East Harlem visual arts facility which transmits the artifacts, literature and music of Puerto Rico through community education programs.	146,960*	70,950	45,760	30,250

\*Appropriation made prior to 1975

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1975	Unpaid Balance
<b>Children's Art Carnival, The</b> New York, New York Toward renovation costs of the new facilities of this community visual arts program located in Harlem.	15,000		15,000	
<b>City Center of Music and Drama, Inc.</b> New York, New York For general budgetary support of this center for performing arts.	10,000		10,000	
<b>Cultural Council Foundation</b> New York, New York For general budgetary support of the Black Theatre Alliance organized to provide development services and other assistance to black theater groups in New York City.	10,000		10,000	
Toward the Foundation's interim services to the Cultural Affairs Commission in connection with efforts to set up a new Cultural Affairs Agency for New York City.	4,750		4,750	
Toward the preparation and dissemination of a study under the auspices of the Landmarks Preservation Commission to identify economic, legal and institutional mechanisms to provide owners with equitable choices for maintaining real property, the preservation of which has been deemed in the public interest.	25,000		25,000	
For general budgetary support of Seven Leaves, a coalition of seven arts organizations on the Lower East Side of Manhattan which have joined together for shared management and development services.	20,000		12,000	8,000
<b>Harlem School of the Arts, Inc., The</b> New York, New York Toward the building campaign of this School providing arts instruction, outside the public school system, to talented students from Harlem and other parts of New York City.	100,000*		100,000	
<b>Metropolitan Museum of Art, The</b> New York, New York Toward the costs of integrating the Museum of Primitive Art into the Metropolitan Museum of Art.	275,000		175,000	100,000
Toward the expansion of the Museum's department and collection of primitive art.	1,000,000*		150,000	850,000
<b>Metropolitan Opera Association, Inc.</b> New York, New York For general budgetary support.	10,000		10,000	

\*Appropriation made prior to 1975

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1975	Unpaid Balance
<b>Museum of Modern Art, The</b> New York, New York				
Toward the Museum's capital campaign.	1,500,000*	1,000,000	500,000	
To complete financing of the final stage of a feasibility study for commercial development of the air rights appurtenant to the Museum's real estate.	35,000		35,000	
<b>Museums Collaborative, Inc.</b> New York, New York				
For an experimental cultural voucher program to involve New York City museum education departments and local community arts organizations in developing community-oriented arts education projects.	10,000		10,000	
<b>New York Botanical Garden, The</b> Bronx, New York				
Toward its capital campaign to improve facilities and strengthen endowment.	150,000			150,000
<b>New York Shakespeare Festival</b> New York, New York				
For general budgetary support of the Festival's complex of performing arts activities in New York City.	35,000		35,000	
<b>Off Off Broadway Alliance, Inc.</b> New York, New York				
For general budgetary support of the Alliance which provides legal services and other development assistance to the numerous "off-off" Broadway theater groups in New York City.	10,000		10,000	
<b>Philharmonic-Symphony Society of New York, Inc., The</b> New York, New York				
For general budgetary support.	10,000		10,000	
<b>Studio Museum in Harlem, Inc., The</b> New York, New York				
For general budgetary support of this museum serving the Harlem community with a program of educational services.	20,000		20,000	
<b>Theatre Development Fund, Inc.</b> New York, New York				
For general budgetary support of this organization designed to stimulate creativity and experimentation in the commercial theater and to build audiences for serious theater.	40,000		40,000	
<b>Volunteer Urban Consulting Group, Inc.</b> New York, New York				
To extend the Group's business consulting services to nonprofit cultural organizations in New York City, thus making available skills in systems and financial analysis, accounting, and personnel and production management.	20,000		20,000	

\*Appropriation made prior to 1975



**NEW YORK CITY PROGRAM: Education**

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1975	Unpaid Balance
<p><b>Academy for Educational Development, Inc.</b> New York, New York</p> <p>Toward start-up costs for a parent information program to make use of public service radio time to educate parents about issues that affect them and their children in the New York City public schools.</p>	50,000		50,000	
<p><b>Alternative Solutions for Exceptional Children, Inc.</b> Long Island City, New York</p> <p>Toward its Central Service and Advocacy Unit which provides organizational skills and basic management assistance to community complexes, including public schools in New York City, that deliver educational and counseling services to emotionally handicapped children.</p>	20,000		20,000	
<p><b>East Harlem Block Schools</b> New York, New York</p> <p>Toward assistance with preparation of the Schools' integration into Community School District 4 of the New York City public schools.</p>	7,500		7,500	
<p><b>Economic Development Council of New York City, Inc.</b> New York, New York</p> <p>Toward administrative and consultative costs for EDC's School Self-Renewal Program for New York City high schools.</p>	70,000		70,000	
<p><b>Educational Broadcasting Corporation</b> New York, New York</p> <p>Toward the Major Gifts Campaign of WNET Channel 13, the public television station serving the New York City metropolitan region.</p>	500,000*	150,000	125,000	225,000
<p><b>G.A.M.E. (Growth Through Art and Museum Experience, Inc.)</b> New York, New York</p> <p>For general budgetary support of this community-based art education program, organized in collaboration with four of New York City's public schools.</p>	25,000		25,000	
<p><b>Hamilton-Madison House, Inc.</b> New York, New York</p> <p>Toward the development of a comprehensive school and community center program on the Lower East Side of New York City.</p>	58,000		29,000	29,000
<p><b>Judson Memorial Church</b> New York, New York</p> <p>Toward its High School Journalism Workshop considering the rights and responsibilities of student editors and writers on newspapers in New York City public high schools.</p>	1,600		1,600	

\*Appropriation made prior to 1975

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1975	Unpaid Balance
<b>New York City University of, Research Foundation</b> New York, New York Toward the general program and evaluation of the Workshop Center for Open Education, a resource center for teachers, administrators, paraprofessionals, and parents who are involved in open classroom/integrated day education in the New York City area.	45,000		45,000	
<b>Public Education Association</b> New York, New York Toward a program to improve the system of selecting supervisors and teachers in the New York City public schools.	50,000		50,000	
<b>Puerto Rican Legal Defense and Education Fund, Inc.</b> New York, New York Toward the Fund's Education Rights Project on behalf of Hispanic students in New York City schools.	15,000		15,000	
<b>Teachers College, Columbia University</b> New York, New York To its Center for the Study of Education in New York City for the organization of the New York City Board of Education records and their integration into the Teachers College Library.	12,500		12,500	
<b>United Parents Associations of New York City, Inc.</b> New York, New York Toward "Project Plea," designed to provide parents with the knowledge and legal resources to safeguard parent rights within the New York City public education system.	50,000*	35,000	15,000	
To help Public School 101 in Forest Hills (Community School District 28) institute a POINT program for children with learning disabilities.	21,314		21,314	
<b>NEW YORK CITY PROGRAM: Housing and Community Development</b>				
<b>Columbia University in the City of New York, The Trustees of</b> New York, New York Toward a study by the University's Conservation of Human Resources Center of New York City's long-term economic outlook.	25,000		2,476 <sup>(4)</sup> 22,524	
<b>Institute of Public Administration</b> New York, New York For a six-month experimental effort to synthesize existing research on a set of public problems affecting New York City, and to communicate such findings to those who influence the making of public opinion and policies.	59,670		59,670	

(4) Lapsed  
\*Appropriation made prior to 1975



Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1975	Unpaid Balance
<b>New York Interface Development Project, Inc.</b> New York, New York For general budgetary support of this organization to recruit and manage university resources in the New York City region which can assist efforts in resolving City problems.	25,000		25,000	
<b>Pratt Institute</b> Brooklyn, New York For the Institute's Center for Community and Environmental Development's program of technical assistance to community-based housing rehabilitation and maintenance groups.	40,000		40,000	
<b>Regional Plan Association, Inc.</b> New York, New York For general budgetary support of the Association's efforts in connection with the planning and development of the New York City metropolitan region.	10,000		10,000	
<b>St. Mark's Church in-the-Bowery</b> New York, New York For the second phase of the Preservation Youth Project, which trains and utilizes local youth, guided by a variety of consultants, artisans, craftsmen, and neighborhood volunteers, for the renovation of this historic church structure as a community center and resource.	27,000		27,000	
<b>Settlement Housing Fund, Inc.</b> New York, New York For a project to match vacancies in the existing New York City housing supply with the needs of low- and moderate-income residents eligible under Section 8 of the Federal Housing and Community Development Act of 1974.	60,000		60,000	
<b>NEW YORK CITY PROGRAM: Human Services</b>				
<b>Abbott House</b> Irvington-on-Hudson, New York Toward a special group home project for severely disturbed adolescents from New York City.	10,000		10,000	
<b>Citizens' Committee for Children of New York, Inc.</b> New York, New York Toward the Program Development Fund of the Committee, a child advocacy organization whose activities touch on every aspect of the lives of children in New York City.	100,000*	50,000	30,000	20,000
For a joint planning effort to strengthen four major citywide independent civic agencies working on behalf of children (Citizens' Committee for Children of New York, Public Education Association, United Parents Associations of New York, and Day Care Council of New York).	38,000		38,000	

\*Appropriation made prior to 1975

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1975	Unpaid Balance
<b>Columbia-Presbyterian Medical Center Fund, Inc.</b> New York, New York Support of the capital campaign to modernize and expand one of New York City's major medical research and teaching centers as a key step in the continuation of the delivery of quality training and health care within the City.	500,000			500,000
<b>Community Council of Greater New York, Inc.</b> New York, New York Toward establishing a Research Utilization Unit to improve policy and program development in New York City's public and private health and welfare agencies.	175,000*	125,000	50,000	
<b>Community Participation Educational Program, Inc.</b> New York, New York Toward the program for mentally retarded and emotionally handicapped children of this community-based organization in Harlem working to improve the quality of public education.	45,000*	20,000	15,000	10,000
<b>Council of Churches of the City of New York, Inc., The</b> New York, New York For general budgetary support of this religious organization which coordinates the activities of 1,700 local churches in the New York City area.	5,000		5,000	
<b>Day Care Council of New York, Inc.</b> New York, New York For general budgetary support of this program which enables community groups to organize and qualify as sponsors of day-care centers and which provides on-going technical and managerial assistance to the new centers.	60,000		30,000	30,000
<b>Fresh Air Fund, The</b> New York, New York For general budgetary support of this camping program for disadvantaged New York City children.	5,000		5,000	
<b>Legal Aid Society</b> New York, New York For general budgetary support of a program providing legal services to persons in the New York City area who are unable to obtain such assistance elsewhere.	10,000		10,000	
<b>Lenox Hill Neighborhood Association, Inc.</b> New York, New York Toward a new program in community congregate living which will provide a combination of services to older citizens enabling them to maintain their independence without resorting to nursing home care.	37,500		20,000	17,500

\*Appropriation made prior to 1975

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1975	Unpaid Balance
<b>Lower East Side Family Union, Inc.</b> New York, New York Toward implementing an experimental cooperative family service program to assist children with serious psychiatric problems so as to make it unnecessary to separate them from their families.	50,000		50,000	
<b>Memorial Sloan-Kettering Cancer Center</b> New York, New York Toward the building program of this complex of medical research, educational and patient-care services.	2,500,000*	800,000	350,000	1,350,000
<b>New York City Mission Society</b> New York, New York Toward a special program to assist the society in developing an overall strategic agenda to reorganize its programs which provide significant social services in many communities of New York City.	200,000*	75,000	55,000	70,000
<b>New York Theological Seminary</b> New York, New York To enable the Seminary to collaborate with Empire State College in conducting a college degree program for black and Hispanic pastors in New York City.	10,450		10,450	
<b>Orphan Asylum Society in the City of New York, Inc., The (Graham Home for Children)</b> Hastings-on-Hudson, New York Toward its case management study program involving services to dependent and neglected children.	50,000		50,000	
<b>Puerto Rican Institute for Social Research, The</b> Flushing, New York For initial general budgetary expenses of the Institute which serves as a resource organization for identifying needs and setting priorities for action by public and private groups on behalf of Puerto Ricans living in New York City.	150,000*	50,000	50,000	50,000
<b>Riverside Church, The</b> New York, New York For general budgetary support of this multid denominational church in New York City.	40,000		40,000	
<b>United Hospital Fund of New York</b> New York, New York For general budgetary support.	10,000		10,000	
<b>United Neighborhood Houses of New York, Inc.</b> New York, New York Toward a cooperative program planning and development unit among settlement houses in selected geographic areas of New York City.	200,000*	150,000	50,000	
<b>Young Women's Christian Association of the City of New York</b> New York, New York Toward the joint YMCA/YWCA Camping Program.	10,000		10,000	

\*Appropriation made prior to 1975

## INTERNATIONAL PROGRAM: Africa

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1975	Unpaid Balance
<b>African-American Institute, The</b>				
New York, New York				
For general budgetary support of this private agency for improving American contacts with Africans.	60,000		60,000	
Toward its Twenty-fifth Anniversary Capital Fund Drive.	250,000		50,000	200,000
<b>African Wildlife Leadership Foundation, Inc.</b>				
Washington, D. C.				
Toward a feasibility study for a comprehensive national plan covering wildlife management, game control, tourist development, and ecological research in Botswana.	40,000*	20,000	20,000	
<b>American Friends Service Committee, Inc.</b>				
Philadelphia, Pennsylvania				
Toward the West African International Dialogues Program providing contacts between English-speaking and French-speaking Africans.	17,500		17,500	
<b>Botswana, Republic of, The</b>				
Gaborone, Botswana				
Toward a Wildlife Training Centre which will provide functional training for staff involved in wildlife conservation and the national parks in Botswana.	125,000			125,000
<b>Harvard University-Brooks, Phillips House Association</b>				
Cambridge, Massachusetts				
For the Harvard Africa Volunteer Project, a program channeling outstanding black and white students from the Cambridge/Boston community into important development assistance positions in East and southern Africa.	15,000*	10,000	5,000 <sup>(5)</sup>	
<b>Kweneng Rural Development Association</b>				
Molepolole, Botswana				
Toward a small business development program to outfit a garage/workshop and train mechanics and technicians in this district of Botswana at the edge of the Kalahari Desert.	49,000*	24,500	24,500	
A supplemental grant toward continuation of the small business development program in Botswana.	10,000		10,000	
<b>Maru a Pula Foundation</b>				
Gaborone, Botswana				
Toward scholarship support for students from majority-ruled countries in Africa to attend the Maru a Pula School, the first international multiracial coeducational private secondary school in Botswana.	30,000		10,000	20,000

(5) Lapsed

\*Appropriation made prior to 1975



Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1975	Unpaid Balance
<b>School for the Training of Wildlife Specialists</b> Garoua, Cameroon				
Toward the scholarship needs of this school designed to train personnel in wildlife management for parks and reserves in French-speaking West and Central Africa.	20,000		10,000	10,000
Toward a workshop on wildland management involving participants from West and Central Africa.	5,500		5,500	
<b>South African Institute of Race Relations</b> Johannesburg, South Africa				
Toward the Research and Library Trust and the National Education Trust of the Institute which engages in research and information dissemination on all aspects of race relations.	25,000		25,000	
<b>United States-South Africa Leader Exchange Program, Inc.</b> Old Greenwich, Connecticut				
For general budgetary assistance to this organization supporting a continuing dialogue, through exchange visits, of leaders and potential leaders of all races from the United States and South Africa.	15,000		15,000	
To help launch a Careers Development Project that will provide short-term individual grants for internships, post-graduate study, or special training to black South African men and women.	20,000		20,000	
<b>World Council of Churches</b> Geneva, Switzerland				
For the African Grain Storage Programme of its Commission on the Churches' Participation in Development.	20,000		20,000	
<b>INTERNATIONAL PROGRAM: Asia</b>				
<b>Agricultural Development Council, Inc.</b> New York, New York				
For general budgetary support in 1976 of the Council's teaching and research programs relating to the economic and human problems of development in Asia.	400,000*	300,000	100,000	
<b>Asia Foundation, The</b> San Francisco, California				
Toward projects at the Chinese University of Hong Kong involving machine and manual translations of Chinese and English.	31,900		31,900	

\* Appropriation made prior to 1975

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1975	Unpaid Balance
<b>Asia Society, Inc., The</b> New York, New York				
For general budgetary support of this organization interested in America's cultural, economic and social relations with Asia.	150,000*	50,000	50,000 <sup>(6)</sup> 50,000	
Toward the Capital and Program Fund of the Society.	1,000,000			1,000,000
<b>Columbia University in the City of New York, Trustees of the</b> New York, New York				
Toward "The U.S. and Japan in Multilateral Diplomacy" research project of Columbia's East Asian Institute.	40,000			40,000
<b>Japan Center for International Exchange</b> Tokyo, Japan				
Toward wider distribution in the United States of "The Japan Interpreter," a quarterly journal of social and political ideas published by the Center in an effort to make current Japanese thinking available in the Western world.	20,000		20,000	
<b>Japan Society, Inc.</b> New York, New York				
For general budgetary support of this organization providing a binational focal point for studies and exchanges relating to cultural, educational, and public affairs interests of Japan and the United States.	40,000		40,000	
For general budgetary support in 1976.	40,000			40,000
<b>Magsaysay, Ramon, Award Foundation</b> Manila, Philippines				
Toward costs of air-conditioning equipment for the Ramon Magsaysay Center, an office building which houses the foundation and provides income to meet its operating needs, including the annual Ramon Magsaysay Awards.	100,000		100,000	
<b>National Committee on United States-China Relations, Inc.</b> New York, New York				
For the general activities of the Committee which conducts educational programs about contemporary China and U.S.-China relations.	35,000		35,000	
<b>Overseas Education Fund of the League of Women Voters</b> Washington, D. C.				
Toward its Asian program to help volunteer women in Indonesia, Korea, Malaysia, and Thailand to acquire skills they need in order to participate more effectively in the economic and social development of their countries.	30,000*	15,000	15,000	
Toward its Asian Program in 1976 and 1977.	25,000			25,000

(6) Lapsed  
\*Appropriation made prior to 1975

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1975	Unpaid Balance
<b>Stanford University (Board of Trustees of the Leland Stanford Junior University)</b> Stanford, California Toward the Inter-University Center for Japanese Language Studies, an advanced language training facility in Tokyo.	52,000		52,000	
<b>Tufts College, Trustees of</b> Medford, Massachusetts Toward research being undertaken by a Japanese-American program on United States-Asian communications, jointly organized by the Edward R. Murrow Center of Public Diplomacy of the Fletcher School of Law and Diplomacy and Keio University in Tokyo.	20,000		20,000	
<b>INTERNATIONAL PROGRAM: Latin America</b>				
<b>Center for Inter-American Relations, Inc.</b> New York, New York For general budgetary support of this organization informing North Americans about the cultural and public affairs of Latin America.	180,000*	120,000	60,000	
<b>Food and Agriculture Organization of the United Nations</b> Rome, Italy Toward the first meeting of the Regional Committee for the Conservation of the Natural and Cultural Heritage of Central America, an integral part of a planned larger program in the development of an efficiently operated system of managed wildland areas within the Central American region.	10,000		1,700	8,300
<b>Fundacion Nicaraguense de Desarrollo</b> Managua, Nicaragua Toward the development in Nicaragua of a small farmer cooperative project which will provide technical and financial assistance for improving farming practices and marketing techniques.	81,950*	40,000	41,950	
<b>International Union for Conservation of Nature and Natural Resources</b> Morges, Switzerland Toward the development of an integrated resource-management and employment-generation program in Central America.	20,000		13,430	6,570
<b>Michigan, University of</b> Ann Arbor, Michigan Toward the Latin American wildland management project of the University's School of Natural Resources.	52,000*	30,000	17,000	5,000

\*Appropriation made prior to 1975

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1975	Unpaid Balance
<b>Organization for Tropical Studies, Inc.</b> Seattle, Washington Toward its field oriented ecology/biology course in Costa Rica to assist Latin Americans in the development of a greater professional capacity for interpreting essential environmental facts.	2,400*	1,282	1,118	
<b>Overseas Education Fund of the League of Women Voters</b> Washington, D. C. For general budgetary support of this effort in citizenship education which consists primarily of leadership training and field services for Latin American women.	5,000		5,000	
<b>Technoserve, Inc.</b> Darien, Connecticut Toward program development in Honduras and expansion in other Central American countries of this organization stimulating indigenous small businesses in developing countries.	60,000*	30,000	30,000	
<b>INTERNATIONAL PROGRAM: International Cooperation</b>				
<b>American Association for the International Commission of Jurists, Inc.</b> New York, New York For general budgetary support of this program seeking to secure international protection of human rights.	10,000		10,000	
<b>American Council for Emigres in the Professions, Inc.</b> New York, New York For general budgetary support of this organization's efforts to channel refugee professionals into creative work in the United States.	10,000		10,000	
<b>American Council for Nationalities Service</b> New York, New York For general budgetary support of this organization aiding refugees and immigrants.	4,000		4,000	
<b>American Field Service, Inc.</b> New York, New York For general budgetary support of this international high school student exchange program.	5,000		5,000	
<b>American Friends Service Committee, Inc.</b> Philadelphia, Pennsylvania For general budgetary support of this organization providing humanitarian assistance in situations of special need.	17,500		17,500	

\*Appropriation made prior to 1975



Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1975	Unpaid Balance
<b>Atlantic Institute for International Affairs, The</b> Paris, France For the Institute's Young Leaders Program, which involves a variety of young professional persons in the consideration of common interests of Atlantic basin countries and Japan.	10,000		10,000	
<b>Brookings Institution, The</b> Washington, D. C. Toward its Foreign Policy Studies' project, "Trade and Employment Effects of Multilateral Trade Liberalization."	25,000		25,000	
<b>Canadian Arctic Resources Committee</b> Ottawa, Ontario Toward its Northern Resource and Land Use Policy Program which will encourage the organization of a national long range development plan for the Arctic as an integrated unit.	15,000		15,000	
<b>Canadian Institute of International Affairs</b> Toronto, Ontario For general budgetary support of the principal privately supported organization in Canada for the study of foreign affairs.	5,000		5,000	
<b>Carnegie Endowment for International Peace</b> New York, New York Toward the Endowment's International Fact-finding Center which attempts to anticipate and identify a selective number of emerging or pre-crisis international issues.	120,000*	60,000	60,000	
<b>Center for Law and Social Policy</b> Washington, D. C. For the Center's International Project, which represents the public in the formulation of U.S. foreign policy and before international agencies in the economic, social, and environmental areas.	75,000		25,000	50,000
<b>Columbia University in the City of New York, Trustees of</b> New York, New York Toward the Leyden-Amsterdam-Columbia Summer Program in American Law organized to increase contact of European law students with the American approach to law so as to facilitate the negotiating process in transactions between Americans and Western Europeans.	5,000		5,000	
<b>Council on Foreign Relations, Inc.</b> New York, New York Toward the capital campaign of the Council which through meetings, study groups and publications provides a private forum for the consideration of foreign policy issues.	1,000,000		200,000	800,000

\*Appropriation made prior to 1975

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1975	Unpaid Balance
<b>Foreign Policy Association</b> New York, New York For general budgetary support of this organization sponsoring adult education programs covering the spectrum of foreign affairs.	20,000		20,000	
<b>Institute of International Education, Inc.</b> New York, New York For general budgetary support of this organization administering student exchange programs.	15,000		15,000	
<b>International Association for Cultural Freedom</b> Paris, France For general budgetary support of this organization attempting to build bridges between the international intellectual community and persons engaged in social and political action.	5,000		5,000	
<b>International Broadcast Institute, Ltd.</b> London, England For general budgetary support of the Institute, created to identify and study problems associated with accelerated technological developments in all media.	30,000		30,000	
<b>International Federation of Institutes for Advanced Study, The</b> Stockholm, Sweden Toward a study, with international participation, of the social, economic, political and ethical consequences of drought on human life.	40,000		20,000	20,000
<b>International House, New York</b> New York, New York For general budgetary support of this residence and program center for foreign and American graduate students. Toward the Half Century Capital Campaign.	12,500 750,000*		12,500	500,000
<b>International Institute for Strategic Studies, The</b> London, England For general budgetary support of this private institute of international relations whose membership is drawn from the West, Japan and India.	7,500		7,500	
<b>International Theatre Institute of the United States, Inc.</b> New York, New York For general budgetary support of this organization encouraging constructive communications within the international theater community through meetings, publications, and other information services.	7,500		7,500	

\*Appropriation made prior to 1975

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1975	Unpaid Balance
<b>International Union for Conservation of Nature and Natural Resources</b> Morges, Switzerland For general budgetary support of this organization which is active through a variety of projects and programs in international environmental affairs.	40,000		20,000	20,000
<b>International Voluntary Services, Inc.</b> Washington, D.C. For general budgetary support of this organization which provides volunteer assistance for development programs in Africa, Latin America and Asia.	15,000		15,000	
<b>Massachusetts Institute of Technology</b> Cambridge, Massachusetts Toward a three-year workshop examination on energy options in the 1980's for Japan, Europe and North America.	75,000*	50,000	25,000	
<b>Overseas Development Council</b> Washington, D. C. For general budgetary support of this organization seeking to increase American understanding of the problems faced by the developing countries and the importance of these countries to the United States. For general budgetary support in 1976.	50,000*		50,000	50,000
<b>Salzburg Seminar in American Studies, Inc.</b> Cambridge, Massachusetts For general budgetary support of this seminar in Austria drawing European men and women early in their careers for a month of study of selected professional or cultural subjects with a changing American faculty.	7,500		7,500	
<b>Smithsonian Institution</b> Washington, D. C. Toward the production of teaching materials for government personnel assisting international agency officials and local inhabitants of developing countries in the techniques of land-use management.	60,000*	30,000	30,000	
<b>Travelers Aid-International Social Service of America</b> New York, New York Toward the World Conference of International Women's Year-IWY Tribune 1975, the forum organized for non-governmental groups and individuals to discuss a broad range of women's issues in conjunction with the Women's Conference in Mexico City.	10,000		10,000	

\*Appropriation made prior to 1975

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1975	Unpaid Balance
<b>Trilateral Commission, The</b> New York, New York For general budgetary support for the Commission which, with membership drawn from private persons in the United States, Europe and Japan, proposes policies that the members' countries can follow in their economic, political and defense relations.	150,000*	100,000	50,000	
<b>Trustees for Alaska</b> Sitka, New York For general budgetary support of this advocacy organization representing the public interest in administrative, judicial and other forums relating to land use and development in Alaska.	15,000		15,000 <sup>(7)</sup>	
<b>United Nations Association of the United States of America, Inc.</b> New York, New York Toward the joint U.S.-U.S.S.R. policy studies program which is focusing on "New Approaches to Collective Security."	25,000		25,000	
<b>Volunteers in Technical Assistance, Inc.</b> Mt. Rainier, Maryland Toward a joint VITA-Peace Corps project to produce a series of practical training and development manuals on farm-level grain storage, inland fisheries, forestry, and volunteer technology.	25,000		25,000	
<b>Yale University</b> New Haven, Connecticut Toward a research project on U.S. trade policy and the less developed countries in the next decade, to be conducted by Yale's Economic Growth Center.	25,068		25,068	
<b>Youth for Understanding, Inc.</b> Ann Arbor, Michigan For general budgetary support of this international high school student exchange program.	5,000		5,000	
			5,000	
			\$ 9,879,832 <sup>(8)</sup>	\$ 8,921,637

(7) Lapsed

(8) Total does not include lapsed items.

\*Appropriation made prior to 1975



## Reconciliation of Appropriations Paid With Financial Statements

### Unpaid Appropriations, December 31, 1974

Principal Fund	\$10,579,513	
Fund for the Advancement of Contemporary Social and Cultural Studies	—0—	
Special Fund	21,805	\$10,601,318

### Appropriations Authorized in 1975

Principal Fund	10,692,977	
Fund for the Advancement of Contemporary Social and Cultural Studies	115,000	
Special Fund	—0—	
Less—Appropriations lapsed		
Principal Fund	2,607,826	8,200,151

18,801,469

### Appropriations Paid in 1975

Principal Fund	9,757,873	
Fund for the Advancement of Contemporary Social and Cultural Studies	115,000	
Special Fund	6,959	9,879,832

### Unpaid Appropriations, December 31, 1975

Principal Fund	8,906,791	
Fund for the Advancement of Contemporary Social and Cultural Studies	—0—	
Special Fund	14,846	\$8,921,637

## Summary Statement of Assets at Cost and Market Values at December 31, 1975

	Cost	Market Value
<b>Principal Fund</b>		
Cash	\$ 685,070	\$ 685,070
Short-term investments	12,333,371	12,333,371
Investments:		
U.S. Government and Agency bonds	3,972,500	4,135,000
U.S. Treasury Notes	7,492,500	7,642,185
Foreign Governments & other foreign bonds	1,330,690	918,300
Corporate bonds	16,849,872	15,625,905
Corporate notes	21,352,267	16,015,544
First Mortgage Loan receivable	1,545,926	816,953
Cooperative Assistance Fund	1,000,000	1,000,000
Common Stock	86,329,250	122,220,445
	<u>152,891,446</u>	<u>181,392,773</u>
<b>Special Fund</b>		
Cash	21,515	21,515
Short-term investments	991,000	991,000
Bonds	898,360	639,202
Second Mortgage Leasehold Bonds, 5½%, due January 1, 1988	540,000	540,000
Real Estate	580,000	580,000
	<u>3,030,875</u>	<u>2,771,717</u>
<b>Fund for the Advancement of Contemporary Social and Cultural Studies</b>		
Cash	9,613	9,613
Short-term investments	1,581,000	1,581,000
	<u>1,590,613</u>	<u>1,590,613</u>
<b>Total</b>	<u>\$157,512,934</u>	<u>\$185,755,103</u>

## Financial Information

Following the certificate of Coopers & Lybrand, Independent Certified Public Accountants, are financial statements comprising:

### Financial Statements:

Balance Sheets, December 31, 1975 and 1974  
Statement of Changes in Principal Fund Balance for the year ended December 31, 1975  
Statement of Changes in Other Fund Balances for the year ended December 31, 1975  
Notes to Financial Statements

### Supporting Schedules:

Schedule of Expenses, Principal Fund for the year ended December 31, 1975  
Schedule of Investments, Principal Fund, December 31, 1975  
Schedule of Net Realized and Unrealized Gain on Investments, Principal Fund, for the year ended December 31, 1975  
Schedule of Investments, Other Funds, December 31, 1975

The following additional information is required by Section 6056 of the Internal Revenue Code:

### Revenues

1. Amount of gifts, grants, bequests and contributions received for the year		\$	1,000
2. Gross income for the year:			
Dividends and interest	\$ 9,503,810		
Gains on sale of securities	3,593,135		
Other	24,344		13,121,289
3. <b>Total</b>			<u>\$13,122,289</u>

### Disbursements and Expenses

4. Disbursements for the year (including administrative expense)		\$11,702,789
5. Expenses attributable to gross income (Item 2 above) for the year		183,248

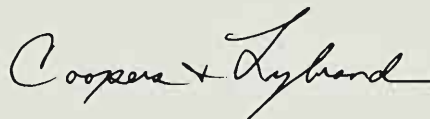
COOPERS & LYBRAND  
CERTIFIED PUBLIC ACCOUNTANTS

To the Board of Trustees,  
Rockefeller Brothers Fund, Inc.:

We have examined the balance sheet of ROCKEFELLER BROTHERS FUND, INC. as of December 31, 1975 and the related statements of changes in principal fund balance and other fund balances for the year then ended, and the supporting schedules. Our examination was made in accordance with generally accepted auditing standards and, accordingly, included such tests of the accounting records and such other auditing procedures as we considered necessary in the circumstances. Securities owned at December 31, 1975 were confirmed to us by the custodian. We previously examined and reported upon the balance sheet of the Fund as of December 31, 1974.

In our opinion, the aforementioned financial statements (pages 44 through 48) present fairly the financial position of Rockefeller Brothers Fund, Inc. at December 31, 1975 and 1974, and the changes in principal fund balance and other fund balances for the year ended December 31, 1975, and the supporting schedules (pages 49 through 57) present fairly the information included therein, all in conformity with generally accepted accounting principles applied on a consistent basis.

New York, April 14, 1976.

A handwritten signature in cursive script that reads "Coopers & Lybrand". The signature is written in dark ink and is positioned in the lower right quadrant of the page.

**Rockefeller Brothers Fund, Inc.**  
**Balance Sheets, December 31, 1975 and 1974**

	<u>1975</u>	<u>1974</u>
<b>Assets:</b>		
Principal Fund:		
Cash	\$ 685,070	\$ 644,839
Short-term investments, at cost, which approximates market value, as annexed	12,333,371	12,764,785
Investments, at value, as annexed (cost: 1975, \$139,873,005; 1974, \$138,354,001) (Note 1)	168,374,332	135,045,040
	<u>181,392,773</u>	<u>148,454,664</u>
Other Funds (Note 2)	4,362,330	4,156,354
	<u>\$185,755,103</u>	<u>\$152,611,018</u>
 <b>Liabilities and Funds:</b>		
Principal Fund:		
Federal excise tax payable	\$ 361,397	\$ 375,435
Fund balance, as annexed:		
Unpaid appropriations	8,906,791	10,579,513
Unappropriated	172,124,585	137,499,716
	<u>181,031,376</u>	<u>148,079,229</u>
	<u>181,392,773</u>	<u>148,454,664</u>
Other Funds:		
Federal excise tax payable	11,536	12,166
Fund balances, as annexed	4,350,794	4,144,188
	<u>4,362,330</u>	<u>4,156,354</u>
	<u>\$185,755,103</u>	<u>\$152,611,018</u>

See accompanying notes.



**Statement of Changes in Principal Fund Balance  
for the year ended December 31, 1975**

**Unpaid appropriations:**

Appropriations authorized in 1975		\$ 10,692,977
Appropriations paid or lapsed in 1975		<u>12,365,699</u>
Net decrease in unpaid appropriations		1,672,722
Beginning balance		<u>10,579,513</u>
Ending balance		<u>8,906,791</u>

**Unappropriated:**

Additions:		
Contribution, cash		1,000
Income from securities:		
Dividends	\$ 5,430,904	
Interest	<u>3,784,505</u>	9,215,409
Other, net		<u>24,344</u>
		9,240,753

Deductions:

Appropriations authorized in 1975	10,692,977	
Less, Appropriations lapsed in 1975	<u>2,607,826</u>	
	8,085,151	

Expenses, as annexed (Note 4):

Program support	\$ 817,348	
Representational activities	191,667	
Investment services	113,145	
Administration	<u>615,524</u>	
	1,737,684	

Less, Reimbursements, as annexed	<u>118,760</u>	1,618,924
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Federal excise tax	<u>359,992</u>	<u>10,064,067</u>
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Net decrease before security transactions		823,314
Net realized and unrealized gain on investments, as annexed		<u>35,448,183</u>

Net increase in unappropriated fund balance		34,624,869
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Beginning balance		<u>137,499,716</u>
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Ending balance		<u>172,124,585</u>
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Total principal fund balance, end of year		<u><u>\$181,031,376</u></u>
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See accompanying notes.

**Statement of Changes in Other Fund Balances  
for the year ended December 31, 1975**

	<u>Special Fund</u>	<u>Fund for the Advancement of Contemporary Social and Cultural Studies</u>
<b>Unpaid appropriations:</b>		
Appropriations authorized in 1975		\$ 115,000
Appropriations paid in 1975	\$ 6,959	115,000
Net decrease in unpaid appropriations	6,959	—
Beginning balances	21,805	
Ending balance	<u>14,846</u>	
<b>Unappropriated:</b>		
Additions:		
Income from securities:		
Interest	141,289	147,112
	<u>141,289</u>	<u>147,112</u>
Deductions:		
Appropriations authorized in 1975		115,000
Federal excise tax	5,652	5,884
	<u>5,652</u>	<u>120,884</u>
Net increase before securities transactions	135,637	26,228
Net realized and unrealized gain on investments	51,700	
Net increase in unappropriated fund balances	187,337	26,228
Beginning balances	2,563,882	1,558,501
Ending balances	<u>2,751,219</u>	<u>1,584,729</u>
Total fund balances, end of year	2,766,065	<u>\$1,584,729</u>
	<u>1,584,729</u>	
Total other fund balances, end of year	<u>\$4,350,794</u>	

See accompanying notes.

## Notes to Financial Statements

### 1. Summary of Significant Accounting Policies:

Income and expenses are generally accounted for on the cash basis and office furniture and equipment are charged to expense when acquired. However, the accompanying financial statements are not materially different from statements which would result from use of the accrual basis of accounting.

Investments in securities traded on a national securities exchange are valued based on the last reported sales price on the last business day of the year; securities traded in the over-the-counter market and listed securities for which no sale was reported on that date are valued based on the last bid price. Investments in corporate notes purchased through direct negotiation (aggregate value as of December 31, 1975 approximates \$16,000,000) are valued based on prices determined by an independent investment banking firm. Certain other investments (aggregate value as of December 31, 1975 approximates \$2,800,000) with limited or no marketability are valued at fair value as determined by the Fund.

No provision has been made for federal excise tax which would be allocable to the unrealized gains on investments carried at amounts in excess of tax bases, as such provision would be immaterial in these statements.

Security transactions are recorded as of the settlement date (date cash payment is due for delivery of securities).

The cost of donated securities is the market value at date of gift.

Realized gains and losses from sales of securities are determined on the specific identification basis.

Notes to Financial Statements, Continued

2. Other Funds:

Other Funds comprise the Special Fund and the Fund for the Advancement of Contemporary Social and Cultural Studies. The assets, liabilities and fund balances for these funds as of December 31, 1975 and 1974 are as follows:

Special Fund:

	December 31,	
	1975	1974
Cash	\$ 21,515	\$ 26,293
Short-term investments, at cost, which approximates market value, as annexed	991,000	727,000
Investments, at value as annexed (cost: 1975, \$898,360; 1974, \$1,075,460) (Note 1)	639,202	719,842
The Interchurch Center, \$540,000 second mortgage leasehold bonds, 5½%, due January 1, 1988, at cost	540,000	540,000
Real estate, at amounts based on cost to the donor or appraisal at date donated	580,000	580,000
Total assets	2,771,717	2,593,135
Less, Federal excise tax payable	5,652	7,448
Fund balance	\$2,766,065	\$2,585,687
Fund for the Advancement of Contemporary Social and Cultural Studies:		
Cash	\$ 9,613	\$ 6,620
Short-term investments, at cost, which approximates market value, as annexed	1,581,000	1,556,599
Total assets	1,590,613	1,563,219
Less, Federal excise tax payable	5,884	4,718
Fund balance	\$1,584,729	\$1,558,501
Total assets, Other Funds	\$4,362,330	\$4,156,354

3. Pension Expense:

The Fund has a noncontributory retirement income plan covering substantially all of its employees. Total pension expense for the year under this plan was \$110,402. The Fund's policy is to fund pension cost accrued.

The Pension Reform Act of 1974 will not have a significant effect on the plan.

4. Expenses:

The 1975 Statement of Changes in Fund Balance and the annexed Schedule of Expenses, Principal Fund, reflect the adoption of expanded expense classifications. "Program Support" covers expenses directly related to the processes of program management encompassing functions of planning and development as well as control and evaluation of grants. "Representational Activities" comprise amounts expended by the Fund in providing advisory or consultative services on subjects of mutual interest to charitable organizations outside the context of a grantee relationship.



**Schedule of Expenses, Principal Fund  
for the year ended December 31, 1975**

	Total	Program Support	Representational Activities	Investment Services	Administration
<b>Salaries and related expenses:</b>					
Salaries	\$ 891,351	\$415,548	\$116,054		\$359,749
Retirement plan contributions	110,402	51,470	14,374		44,558
Group life insurance	16,222	7,563	2,112		6,547
Thrift plan	41,818	19,495	5,445		16,878
Other employee benefits	53,691	25,032	6,990		21,669
Unemployment and disability insurance	13,552	6,318	1,764		5,470
Social security tax	30,880	14,396	4,021		12,463
	<u>1,157,916</u>	<u>539,822</u>	<u>150,760</u>		<u>467,334</u>
<b>Other expenses:</b>					
Consultants' fees	127,585	127,585			
Investment services	113,145			\$113,145	
Legal and audit fees	20,664				20,664
New York State transfer tax	12,191				12,191
Travel	55,448	43,361	12,087		
Rent and electricity	147,003	68,533	19,140		59,330
Telephone	28,269	13,179	3,681		11,409
Furniture and equipment	4,282	1,996	558		1,728
General office expenses	38,774	17,872	5,441		15,461
Publications	27,407				27,407
Authorized payments for expenditures incurred by the Special Task Force of the Citizens' Advisory Committee on Environmental Quality	5,000	5,000			
	<u>1,737,684</u>	<u>\$817,348</u>	<u>\$191,667</u>	<u>\$113,145</u>	<u>\$615,524</u>
Less, Reimbursement received for share of expenses: Rockefeller Family Fund, Inc. \$110,000					
Charitable Trust under the will of Winthrop Rockefeller	8,760				118,760
	<u>8,760</u>				<u>118,760</u>
		<u>\$1,618,924</u>			

See accompanying notes.

**Schedule of Investments, Principal Fund  
December 31, 1975**

**Recapitulation of Investments:**

	Cost	Value (Note 1)
<b>Short-term investments</b>	<u>\$ 12,333,371*</u>	
<b>Investments:</b>		
U.S. Government and Agency Bonds	\$ 3,972,500	\$ 4,135,000
U.S. Treasury Notes	7,492,500	7,642,185
Foreign Governments and Other Foreign Bonds	1,330,690	918,300
Corporate Bonds	16,849,872	15,625,905
Corporate Notes	21,352,267	16,015,544
Common Stocks	86,329,250	122,220,445
Other Investments	2,545,926	1,816,953
	<u>\$139,873,005</u>	<u>\$168,374,332</u>
	Principal Amount	Cost*
<b>Short-term investments:</b>		
Commercial paper:		
Brown Bros. Harriman & Co. 5.75%, January 9, 1976	\$ 2,521,000	\$ 2,521,000
Notes due on demand (at prevailing market interest rates):		
General Electric Co.	1,729,000	1,729,000
Sears Roebuck Acceptance Corp.	5,879,000	5,879,000
		<u>7,608,000</u>
U.S. Treasury Bill:		
Due January 29, 1976	2,220,000	2,204,371
Total short-term investments		<u>\$ 12,333,371</u>
	Principal Amount	Cost
<b>U.S. Government and agency bonds:</b>		
Federal Home Loan Banks:		
9.1%, November 27, 1978	\$ 1,000,000	\$ 1,042,500
9.45%, February 26, 1979	1,000,000	1,048,750
		<u>2,091,250</u>
Federal National Mortgage Association:		
8.5%, June 10, 1980	1,000,000	1,030,000
8.2%, July 10, 1984	1,000,000	1,013,750
		<u>1,972,500</u>
<b>Total U.S. Government     and agency bonds</b>	<u>\$ 3,972,500</u>	<u>\$ 4,135,000</u>
<b>U.S. Treasury notes:</b>		
8.25%, August 31, 1977	1,000,000	\$ 999,440
8.375%, September 30, 1977	1,000,000	998,650
7.25%, December 31, 1977	2,000,000	1,998,160
7.625%, August 15, 1978	1,000,000	997,030
8.5%, September 30, 1979	500,000	499,220
8%, May 15, 1982	2,000,000	2,000,000
<b>Total U.S. Treasury     notes</b>	<u>\$ 7,492,500</u>	<u>\$ 7,642,185</u>

\*Approximates market value.

	Principal Amount	Cost	Value (Note 1)
<b>Foreign governments and other foreign bonds:</b>			
City of Winnipeg, Canada, 4¾%, November 1, 1989	\$ 500,000	\$ 500,000	\$ 340,000
Trans-Canada Pipelines: 5½%, May 1, 1985	138,000	138,690	99,360
6½%, August 1, 1987	692,000	<u>692,000</u>	<u>478,940</u>
<b>Total foreign governments and other foreign bonds</b>		<u>\$ 1,330,690</u>	<u>\$ 918,300</u>
<b>Corporate bonds:</b>			
Utilities:			
American Telephone and Telegraph Co., 8.8%, May 15, 2005	1,000,000	\$ 1,000,000	\$ 1,028,750
General Telephone and Electronics: 4%, March 15, 1990	115,000	117,300	67,275
5%, December 15, 1992	500,000	500,000	323,750
Mountain States Telephone and Telegraph, 7¾%, June 1, 2013	750,000	750,000	673,125
Northern Illinois Gas Company, 6%, August 1, 1991	425,000	321,406	321,938
Pacific Gas and Electric Co., 5%, June 1, 1989	250,000	196,818	175,000
Pacific Power and Light Company, 3½%, August 1, 1984	200,000	209,500	135,250
Pacific Telephone and Telegraph, 6%, November 1, 2002	500,000	427,500	351,250
Southern Bell Telephone, 7½%, March 15, 2013	750,000	750,000	667,500
Tennessee Gas Transmission Corporation, 5½%, May 1, 1981	451,000	451,000	383,350
Western Maryland Railway Company, 7½%, October 1, 1978	1,000,000	<u>931,600</u>	<u>991,250</u>
		<u>5,655,124</u>	<u>5,118,438</u>
Financials:			
American Express Credit Corp., 6½%, April 1, 1977	1,000,000	1,007,500	971,250
Beneficial Finance Company, 4½%, June 1, 1981	830,000	750,092	673,338
Charter New York Corporation, 5¾%, August 1, 1991	500,000	458,775	326,875

See accompanying notes.

Schedule of Investments, Principal Fund, Continued

	Principal Amount	Cost	Value (Note 1)
C.I.T. Financial Corp., 5½%, January 15, 1980	\$ 300,000	\$ 251,670	\$ 267,000
Commonwealth Edison Co., 9%, June 15, 1979	1,000,000	1,007,500	1,031,250
First Chicago Corp., 6¼%, July 15, 1978	1,000,000	985,000	948,750
General Motors Acceptance Corporation, 4%, March 1, 1983-2071	900,000	821,903	733,500
Inter American Development Banks, 6½%, November 1, 1993	410,000	329,025	337,225
International Bank for Reconstruction and Development: 5%, February 15, 1985	100,000	100,000	79,000
4¼%, January 15, 1979	200,000	173,500	180,500
John Deere Credit Company, Deb.: Series B, 4¾%, April 1, 1981	500,000	493,750	416,250
Series A, 4¾%, October 31, 1985	650,000	583,813	467,187
Macy Credit Corporation: 4¾%, November 1, 1981	700,000	650,392	539,000
4¾%, June 1, 1985	250,000	247,625	157,813
		<u>7,860,545</u>	<u>7,128,938</u>
Industrials:			
Amerada Hess Corp., 6¾%, March 15, 1996	500,000	417,500	370,000
Standard Oil Co. (Indiana) 9.2%, July 15, 2004	1,000,000	1,000,000	1,052,500
Union Tank Car Equipment Trust, 9¾%, July 1, 1990	919,000	916,703	938,529
Union Oil Co. of California, 8¾%, December 1, 1982	1,000,000	1,000,000	1,017,500
		<u>3,334,203</u>	<u>3,378,529</u>
<b>Total corporate bonds</b>		<u>\$ 16,849,872</u>	<u>\$ 15,625,905</u>



	Principal Amount	Cost	Value (Note 1)
<b>Corporate notes:</b>			
ARCO Pipeline Company, 8%, January 15, 1982	\$ 1,000,000	\$ 995,000	\$ 1,006,250
Bank of Hawaii, 4.7%, October 15, 1989	233,333	233,333	138,833
Bell Telephone Co. of Canada, 4 $\frac{7}{8}$ %, May 1, 1988	200,000	199,280	136,500
Central Trust Company, Rochester, N.Y., 4 $\frac{7}{8}$ %, June 1, 1989	180,000	180,000	99,425
Chemical Bank, New York Trust Co., 5 $\frac{7}{8}$ %, January 1, 1982	400,000	398,700	281,000
Chrysler Financial Corporation, 6 $\frac{7}{8}$ %, September 15, 1987	600,000	600,000	335,082
Chrysler Realty Corporation, 6%, January 1, 1995	886,452	886,452	627,165
City National Bank of Detroit, 4 $\frac{3}{4}$ %, February 1, 1990	200,000	200,000	106,916
Commercial Credit Co., 4 $\frac{3}{4}$ %, November 1, 1980	400,000	395,563	334,500
Compagnie de Saint Gobain S.A., 6 $\frac{1}{2}$ %, May 1, 1976	20,000	20,000	19,735
Continental Mortgage Investors: 5%, April 1, 1989	500,000	500,000	50,865
5 $\frac{1}{2}$ %, January 1, 1980	900,000	828,738	233,379
Eastern Air Lines: 5%, November 1, 1992	1,000,000	1,000,000	360,000
6%, December 1, 1986	230,000	230,000	137,466
Emerald Coal & Coke Co., 5%, April 1, 1979	132,764	132,764	132,764
Ethyl Corporation, 5 $\frac{3}{4}$ %, November 1, 1982	750,000	583,110	549,660
Family Finance Corporation, 5 $\frac{1}{2}$ %, June 1, 1981	199,000	199,000	130,540
Fansteel Metallurgical, 5 $\frac{1}{2}$ %, Convertible, January 31, 1988	333,000	333,000	188,844
Farrington Manufacturing Co., 6%, October 1, 1983	500,000	25,000	1
First Jersey National Bank, 4 $\frac{3}{4}$ %, June 1, 1990	187,500	187,500	103,594
Ford Motor Company, 7 $\frac{1}{4}$ %, July 1, 1977	800,000	802,400	798,000
Ford Motor Credit Company, 6 $\frac{7}{8}$ %, March 15, 1978	750,000	754,125	727,500

See accompanying notes.

Schedule of Investments, Principal Fund, Continued

	Principal Amount	Cost	Value (Note 1)
General Finance Corp., 5%, April 1, 1976	\$ 50,000	\$ 50,000	\$ 49,509
General Motors Corp., 8.05%, April 1, 1985	1,000,000	1,000,000	1,015,000
Glens Falls National Bank & Trust Co., 4%, September 1, 1989	196,000	196,000	107,569
Global Marine, Inc., 5%, December 1, 1984	750,000	608,252	495,000
Great Western Savings & Loan Association, Capital Notes, Convertible, 5%, December 15, 1983	500,000	500,000	312,055
Heritage Bancorporation, 5½%, March 15, 1994	800,000	800,000	437,352
Hilo Electric Light Company, Ltd., 5½%, March 1, 1989	396,000	396,000	246,712
Industrial Acceptance Corporation, Ltd., 5½%, October 1, 1987	250,000	250,000	154,623
International Telephone & Telegraph Credit Corp., 5.10%, December 1, 1984	281,000	281,000	182,318
Iowa Electric Light and Power Company, 5½%, January 1, 1991	500,000	500,000	318,125
Michigan Gas Utilities, 4.70%, February 1, 1990	247,000	247,000	157,154
Norfolk and Western Railroad, Convertible: 4%, October 1, 1981	2,000,000	1,564,000	1,502,140
4%, January 1, 1983	1,200,000	907,800	856,524
Pennsylvania Life Company, Convertible, 5%, August 1, 1983	738,318	738,318	407,256
Pennsylvania Railroad, Conditional Sales Contracts, 5¼%, February 15, 1986	183,333	183,333	113,485
Ryan Homes, Inc., Convertible, 6%, July 15, 1991	300,000	300,000	227,250
Sears Roebuck and Company: 7.75%, March 1, 1985	1,000,000	969,625	992,500
8.375%, December 31, 1986	1,000,000	998,000	1,008,750
Virginia National Building Corp., 4.70%, May 1, 1990	428,974	428,974	226,391
Westinghouse Credit Corp., 7.20%, May 15, 1978	750,000	750,000	707,812
<b>Total corporate notes</b>		<u>\$ 21,352,267</u>	<u>\$ 16,015,544</u>

	Shares	Cost	Value (Note 1)
<b>Common stocks:</b>			
Financials:			
Beneficial Corporation	70,000	\$ 3,114,658	\$ 1,233,750
Chase Manhattan Corporation	120,000	3,032,100	3,300,000
Corporate Property Investors	40,000	1,000,000	1,000,000
Corporate Realty Investors	4,000	6,200	6,200
Household Finance Corporation	20,500	474,985	328,000
Wells Fargo Company	100,000	2,554,008	1,550,000
		<u>10,181,951</u>	<u>7,417,950</u>
Foreign:			
Selected Risk Investments, S.A.	56,600	333,396	242,248
Insurance:			
Colonial Penn Group, Inc.	40,000	340,000	1,145,000
Connecticut General Insurance Corporation	57,000	2,815,256	2,550,750
Continental Corporation	75,000	2,941,371	3,281,250
		<u>6,096,627</u>	<u>6,977,000</u>
Industrials:			
Building Products and Homebuilding:			
Ideal Basic Industries, Inc.	150,000	2,539,315	1,987,500
Masco Corporation	74,000	1,022,245	1,739,000
Weyerhaeuser Company	68,280	1,999,989	2,534,895
		<u>5,561,549</u>	<u>6,261,395</u>
Chemicals:			
Dow Chemical Company	85,000	1,919,933	7,788,125
E. I. du Pont de Nemours & Company	21,000	3,332,205	2,656,500
		<u>5,252,138</u>	<u>10,444,625</u>
Computer and Office Equipment:			
International Business Machines Corporation	33,465	1,905,009	7,504,526
Xerox Corp.	18,000	1,834,209	915,750
		<u>3,739,218</u>	<u>8,420,276</u>
Consumer Products and Services:			
American Express Company	100,000	2,489,740	3,675,000
Colgate Palmolive Company	50,000	1,432,703	1,437,500
Donaldson Lufkin and Jenrette, Inc.	2,745	5,833	6,176
Eastman Kodak Company	30,000	177,963	3,183,750
Proctor and Gamble and Company	55,000	4,306,291	4,895,000
		<u>8,412,530</u>	<u>13,197,426</u>
Drugs:			
Merck & Co., Inc.	37,000	1,743,677	2,562,250
Pfizer, Inc.	45,000	1,703,835	1,243,125
Squibb Corporation	60,000	2,287,904	1,995,000
		<u>5,735,416</u>	<u>5,800,375</u>

See accompanying notes.

Schedule of Investments, Principal Fund, Continued

	Shares	Cost	Value (Note 1)
Manufacturing:			
Auto and Machine Parts:			
Purolator, Inc.	60,000	\$ 3,827,370	\$ 1,965,000
Earthmoving Equipment and Machinery:			
Caterpillar Tractor Co.	32,000	<u>1,345,921</u>	<u>2,232,000</u>
Electrical Equipment:			
General Electric Company	45,000	941,155	2,075,625
Grainger (W. W.), Inc.	85,000	<u>1,247,860</u>	<u>2,125,000</u>
		<u>2,189,015</u>	<u>4,200,625</u>
Oils and Oil Services:			
Exxon Corporation	240,000	9,269,760	21,300,000
Halliburton Co.	15,000	2,534,512	2,193,750
Mobil Oil Corporation	220,000	4,138,640	10,395,000
Smith International, Inc.	100,000	1,677,700	2,887,500
Standard Oil Company of California	200,000	<u>3,581,000</u>	<u>5,875,000</u>
		<u>21,201,612</u>	<u>42,651,250</u>
Retail:			
Federated Department Stores	61,100	2,171,768	3,223,025
Rich's, Inc.	48,000	2,015,999	1,020,000
Sears, Roebuck & Company	40,000	<u>383,424</u>	<u>2,580,000</u>
		<u>4,571,191</u>	<u>6,823,025</u>
Others:			
Aluminum Co. of America	32,000	1,466,973	1,236,000
Collins and Aikman Corporation	120,000	3,376,278	1,440,000
Freeport Minerals Company	40,000	1,121,024	865,000
Southern Railway Company	20,000	930,375	1,002,500
Texas Utilities Company	50,000	<u>986,666</u>	<u>1,043,750</u>
		<u>7,881,316</u>	<u>5,587,250</u>
		<u>69,717,276</u>	<u>107,583,247</u>
<b>Total industrials</b>		<u>\$ 86,329,250</u>	<u>\$122,220,445</u>

	Cost	Value (Note 1)
<b>Other investments:</b>		
Cooperative Assistance Fund	\$ 1,000,000	\$ 1,000,000
Ramon Magsaysay Award Foundation, first mortgage loan receivable, 6% payable annually through December 31, 1993	<u>1,545,926</u>	<u>816,953</u>
<b>Total other investments</b>	<u>\$ 2,545,926</u>	<u>\$ 1,816,953</u>

**Schedule of Net Realized and Unrealized  
Gain on Investments, Principal Fund  
for the year ended December 31, 1975**

Realized gain from security transactions (excluding short-term investments):		
Proceeds from sales and redemptions	\$ 25,879,103	
Identified cost of securities sold and redeemed	<u>22,241,208</u>	
Net realized gain		\$ 3,637,895
Unrealized appreciation (depreciation) on investments:		
Beginning of year	(3,308,961)	
End of year	<u>28,501,327</u>	
Change from depreciation to appreciation		<u>31,810,288</u>
Net realized and unrealized gain on investments		<u>\$ 35,448,183</u>

See accompanying notes.

**Schedule of Investments, Other Funds  
December 31, 1975**

	Principal Amount	Cost	Value (Note 1)
<b>Special fund:</b>			
Bonds:			
Bell Telephone Co. of Canada:			
4 $\frac{7}{8}$ %, May 1988	\$ 50,000	\$ 49,820	\$ 34,125
4.85%, September 1, 1995	100,000	100,000	60,500
Celanese Corporation of America, Capital Note,			
4 $\frac{3}{4}$ %, April 1, 1990	151,600	151,600	80,701
Eurofima Dollar Notes,			
5 $\frac{1}{2}$ %, May 15, 1978	60,000	60,000	58,500
Hilo Electric Light Company, Ltd.,			
5 $\frac{1}{8}$ %, March 1, 1989	198,000	198,000	123,356
Transcontinental Gas Pipe Line Corporation,			
5%, November 1, 1981	44,000	44,565	33,770
U.S. Postal Service,			
6 $\frac{1}{2}$ %, February 1, 1997	300,000	294,375	248,250
		<u>\$ 898,360</u>	<u>\$ 639,202</u>
		Cost*	
Short-term investments:			
Notes due on demand (at prevailing market interest rates):			
Allstate Financial Corp.	12,000	\$ 12,000	
American Express Credit Corp.	55,000	55,000	
C.I.T. Financial Corp.	263,000	263,000	
General Electric Credit Corp.	175,000	175,000	
Sears Roebuck Acceptance Corp.	486,000	486,000	
		<u>\$ 991,000</u>	
<b>Fund for the Advancement of Contemporary Social and Cultural Studies</b>			
Short-term investments:			
Notes due on demand (at prevailing market interest rates):			
Allstate Financial Corp.	636,000	\$ 636,000	
Sears Roebuck Acceptance Corp.	945,000	945,000	
		<u>\$ 1,581,000</u>	

\* Approximates market value.  
See accompanying notes.



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(1) Resigned June 19, 1975

(2) Effective October 23, 1975

(3) Effective December 11, 1975

(4) Resigned July 22, 1975

(5) Effective October 15, 1975

(6) Resigned March 20, 1975

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(1) Until December 31, 1975

(2) Effective March 22, 1976

(3) Until August 1, 1975

(4) Until December 19, 1975

(5) Effective March 15, 1976

(6) Until June 30, 1975

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### Contributions

Foundation managers who have made a number of contributions to the Rockefeller Brothers Fund are Abby R. Mauzé, John D. Rockefeller 3rd, Laurance S. Rockefeller, Nelson A. Rockefeller, David Rockefeller and the late Winthrop Rockefeller. Only the last has contributed more than two per cent of the total contributions received by the Fund.

In addition to a major gift which he made in 1951, the late John D. Rockefeller, Jr., bequeathed one-half of his estate to the Fund in 1960. In 1971, following the death of Martha B. Rockefeller, the Fund was the beneficiary of a trust in which she held a life interest and of a trust which she had created in which the Fund had a contingent beneficial interest.

### Foundation Managers

Trustees and officers as listed are "foundation managers" within the meaning of Sec. 4946(b) of the Internal Revenue Code with respect to all activities of the Fund.

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