

**ROCKEFELLER
BROTHERS
FUND
ANNUAL
REPORT
1973**

RBF

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Introduction

The Rockefeller Brothers Fund makes grants to local, national and international philanthropic organizations. During 1973 the Fund made 299 grant payments totalling \$11,784,169. Since its establishment by the five Rockefeller brothers in 1940, contributions have totaled \$133,011,453.

In 1973, the Fund continued to concentrate its grants in several fields which it is believed provide effective points of entry looking toward solutions of overall societal problems. These include: environmental concerns (population growth and distribution, conservation and constructive use of natural resources); equal opportunity; quality of life (religion, human values and cultural affairs), and education.

Purposes

As a general rule, in the Greater New York area, contributions are made to agencies whose activities are citywide in scope since the Fund cannot, because of the number involved, contribute directly to all of the individual social service organizations. The Fund supports efforts that promote balanced and integrated patterns of development in the city, particularly in the fields of housing (as it relates to community renewal and development), education, and in selected areas within the social services. It is also interested in the analysis of common problems and other efforts to increase the effectiveness of local agencies. In addition, the Fund has a traditional interest in cultural affairs. As an expression of its concern for the increasingly complex problems of its home community of New York City, and urban areas generally, RBF in recent years has made grants of local or even neighborhood character to help sustain strategic projects or agencies addressed to such problems.

Outside New York City, grants are directed to selected organizations with programs of general import. For the most part, these organizations are national or international in scope, but occasionally an institution whose primary activity is local in character may be the center of a program which the Fund assists because it relates to a field of particular Fund interest and seems to offer an especially promising response to a problem within that field.

In the case of grants to the budgetary or capital needs of established agencies, the Fund's contributions are purposely held to a relatively small portion of

the total need, as the objective of the Fund in this respect is to share with others in the support of such institutions. Additionally, in fields of special trustee interest, the Fund's program includes support for and, in some instances, direct operation of experimental or new undertakings. In many cases, the trustees take an active part in the formation and operation of these programs as well as in their support. The staff processes grants and also provides program-development and technical help, and other program-related consultation.

Applications

There is no set procedure for making an application to the RBF. A brief written statement describing a project, its objectives, sponsorship and the area in which assistance is desired usually is sufficient for initial consideration. Applications are processed throughout the year.

1973 grants

Of the contributions made during 1973, 157 totalling \$4,070,652, were made toward the general operating needs of various agencies; 142 totalling \$7,713,517 were made for designated programs or activities of recipient organizations. Brief summaries of all these contributions appear on pages 19 to 73 of this Report. Following these summaries, financial information is presented, starting on page 74.

More generally, beginning on page 5 is a description of the Fund's program in New York City. Local grants totaled approximately \$2.1 million in 1973, representing about one-fifth of the Fund's overall program. In addition to describing specific grants, the statement sets forth the rationale underlying the grants in this field, and tells how the program has evolved. It is hoped this will provide a means of understanding the Fund's objectives with respect to an aspect of its program as well as the relationships among what may appear in a random listing to be a series of disparate activities. In the 1972 report, the Fund's international program was set forth in some detail, and in succeeding years, other fields in which the Fund is involved will be similarly described.

1969—Fifth Avenue, Manhattan



1940—under the Third Avenue El



1968—stickball in Queens

New York City Program

The year 1973 was the thirty-third for the Rockefeller Brothers Fund. A third of a century provides a certain perspective, bringing into view various features in the foundation's work that were less obvious from shorter range. One of these features that stands out clearly is the evolution in program in response to change.

This is especially evident in local philanthropy because the processes of change and response are more personal, intimate and more clearly recognized. RBF has been located in New York City and has worked in local philanthropy from its beginning. This report on the thirty-third year seems an appropriate place, therefore, to talk about the evolution of the local program.

The 1973 report is an opportune time for the discussion because trends in the Fund's New York grant-giving in recent years reveal a shift in perception of the city's needs and in the Fund's response. The shift can be seen in the grants to New York City institutions in 1973 which amounted to about \$2.1 million. (All grants are listed on pages 19 to 73.)

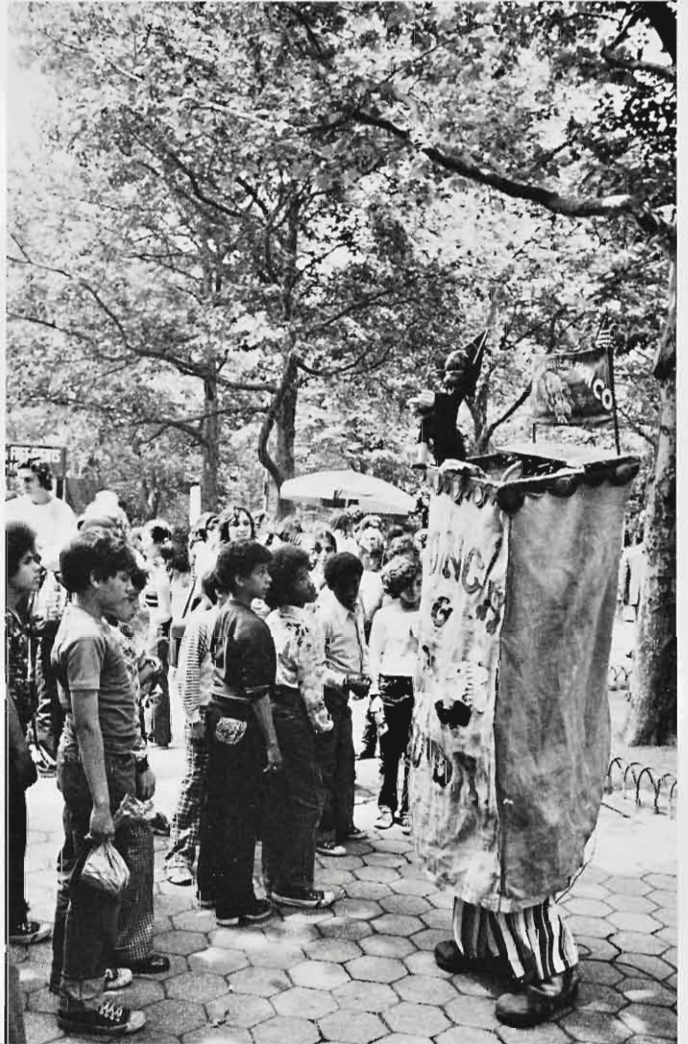
Many of the grants show continuance of a traditional kind of support for the Fund—the general support of institutions providing basic services to the entire city. Other grants reflect a different trend. They represent an effort to understand more clearly the relationships that exist among the various systems of bringing social services to the population. They also reflect the Fund's continuing interest in assessing the dynamics of public and private sectors in the life of the city. Finally, the Fund's grants indicate its desire to play more of an initiating role in developing strategies and encouraging consortia to address city problems.

To describe the evolution of this program requires a return to the beginning of the foundation in 1940. The story is told in terms of a continuing search for the most effective response to contemporary situations, situations where needs always out-matched resources in scale.

When the Fund was incorporated at the end of 1940, a public announcement described the motivation for the founding as efficiency. Each of the five Rockefeller brothers had developed an individual program of personal philanthropy, but all five gave to some of the same causes. The new corporation, the announcement said, is "designed to consolidate and thus more efficiently administer some of the gifts which they would otherwise make individually." The new foundation was also designed to act, essentially, as an individual, expressing the obligations of a citizen to his local, national and international communities.

Local giving formed a large part of the early annual programs. The programs were relatively modest in size in this first phase of the Fund's life. In this

Street happenings, the 1970's



period when the foundation was not endowed, support for programs came from annual contributions from the trustees.

Fields picked for support at first were education, health, religion, social welfare and cultural advancement. Guidelines were set at the start for selection of institutions within these fields.

First, the agencies had to provide "basic" services. Next, they had to be "key" agencies, meaning that they were critical to the provision of basic services. Thirdly, their services had to benefit the entire city. Internally, the institutions had to demonstrate sound leadership, good organizational structure and effective performance. Finally, they had to attract wide enough support so that the Fund would not be assuming major responsibility for their existence. It was expected that many of the local grants would recur from year to year.

RBF's first local grants went to such institutions as the major religious social service federations, the Community Council of Greater New York, the Legal Aid Society, the United Hospital Fund of New York, the Urban League, the Community Service Society, and regional councils of YMCA's, YWCA's, Boy Scouts and Girl Scouts. Contributions were seldom earmarked for special purposes, but were given for general budgetary support. Thus these first local grants represented the desire to express a citizen's obligation to the local community.

By the end of the 1940's, the trustees recognized that the postwar period had wrought fundamental social changes in the city. The Fund found opportunities in this situation to shape a more aggressive course in encouraging new private endeavors.

Periodically, the trustees and staff undertook a review of the state of the city as a basis for determining program directions. The gift of \$58 million in 1951 from the father of the five trustees, John D. Rockefeller, Jr., gave the Fund an endowment and an opportunity to act on the trustees' desire to accommodate their expanding philanthropic interests. This gift, and the subsequent extension of program which it made possible, marks the beginning of what can be regarded in retrospect as the middle period of the Fund's history. In addition to support for local institutions, the trustees made grants which included the support, and in some cases, direct operation of experimental or new undertakings. A number of projects in the fifties reflect the initiative and leadership of trustees.

During this middle period, the Fund made a series of grants to help raise professional standards in the social service field. Other grants went toward clarifying the relationship between welfare agencies and city courts, to assist child-guidance and foster-care agencies, to help the city's non-federated settlement houses, to employ youths from high-delinquency neighborhoods

and to study the determinants of mental illness in one New York neighborhood.

A special concern was to understand more about the metropolitan complex. This was the period of the "flight" of the middle-class from the city to the suburb. The city had become the nucleus of a giant region that stretched around it in three states. To examine the megalopolis, the Regional Plan Association engaged in three years of research, assisted by the Fund.

This milestone study produced nine volumes. Some of their titles suggest the study's scope: "Anatomy of a Metropolis" (an analysis of the 7,000-square-mile metropolitan region); "One-tenth of a Nation" (the region held about a tenth of the country's population, and a tenth of the jobs); and "1400 Governments" (besides being part of three states, the region includes twenty-two county governments and 1,467 separate political units).

The Fund also financed during the fifties the planning of a balanced development program by an important New York community, the Morningside-Manhattanville area, containing such institutions as Columbia University, the Interchurch Center and other colleges, hospitals and churches of distinction.

A sampling of other grant descriptions indicates the diverse concerns of that period: support for a study of staggered work hours to relieve the mass-transit system; a three-year study of the special problems of older people; a city-wide survey of neighborhood recreational needs; help to the city in revising its Sanitary Code; assistance in the establishment of New York's first educational television station.

The Fund's middle period closed with the fifties. On the death of Mr. Rockefeller in 1960, half of his estate was given to the RBF, making it a foundation of major size.

This second change in scale for the Fund came at a critical time in the city's history. The turbulent events of the mid-1960's increased the demand on local philanthropy for flexibility and quick response. RBF's local program was altered as a result. When the city went through a series of crises in 1968, the trustees waived the policy of limiting support to agencies that had a city-wide effect in order to work more directly with agencies involved with smaller, deprived sections within the city.

Another entry-point that enabled the Fund to work with smaller units of city life came through the arts. The period of the sixties was marked by a burst of creativity among minority groups in the city. The arts were a medium for a new wave of self-expression, opening opportunities for the Fund to assist this outpouring in street theaters, festivals, music, painting and dance.

The new nature of involvement led to a reappraisal and a decision to sharpen the definition of aims in the city program. The 1971 annual report stated:

"Over the years, the Fund, particularly in its home area of metropolitan New York, has given to a large number of social welfare and cultural organizations on an across-the-board basis as part of its effort to support private institutions. These grants to a greater degree than would appear from a brief summary listing have been related to the fields of interest of the Fund, but they have also included numerous responses to unrelated projects of special opportunity. While reaffirming their belief that the Fund should continue this type of broad-ranging activity, the trustees concluded that the Fund, as a general matter, should confine and relate its grants more directly than in the past to areas of program emphasis."

Staff recommended that the Fund move further toward concentration on a few, interrelated critical problems. Strategies to address them reflect greater initiative in project development on the part of the Fund and more efforts to effect cooperation between private and public groups. In 1972, the Fund concentrated its New York City program resources on the problems of housing and community development, education and selected social services. This is the policy that now governs the Fund's local program.

The program's flavor can be suggested by a sampling of grants that flowed from the new directive in 1972 and 1973:

In housing and neighborhood development:

This part of the program is concerned with the physical structure of the city. Shelter is a basic need. Beyond that, the condition of shelter and the kinds of structures are decisive in determining the quality of life in a city.

The maintenance of New York's housing stocks is crucial. Stabilizing and re-developing neighborhoods in transition and under pressure are also important because the city's population of almost eight million is composed of a collection of individual communities.

One important element in stabilizing neighborhoods is the pattern of ownership in lower-income areas. For some neighborhoods, hope lies in changing the existing pattern. In support of this need, RBF made a grant in 1973 to the Settlement Housing Fund, which is being administered under joint private agency-city auspices to aid tenants of low and moderate-income buildings to become cooperative owners. "Co-ops" have been a favored form of ownership in New York for high-income tenants. The effort supported by this grant will seek to extend the idea, where feasible, to groups with lower incomes.

1943—pushcarts on the Lower East Side



1946—recruiting drive in Columbus Circle



1964—construction in 57th Street

Which situations are suitable for co-operative ownership involves answering highly technical questions. RBF's grant is being used to provide technical assistance in analyzing situations, as well as professional help in moving toward a change in ownership. Training programs also are conducted to prepare tenants to become owners. This endeavor will concentrate on buildings that can benefit from moderate rehabilitation with costs remaining at levels inner-city residents can afford.

While this grant was directed toward existing housing stocks, another grant was aimed at new and re-developed housing. Administered by the Cooperative Extension Service of Cornell University, the second grant is of the nature of preventive therapy.

For some time, the city has been concerned about the rapid deterioration of new and rehabilitated publicly assisted housing developments. Some of this deterioration has been due to mishandling by tenants. RBF's grant supports the first stage of a training program to develop a group of program leaders who will be used by housing sponsors to conduct tenant organization programs within their developments. The goal is to help rebuild understanding among landlords and tenants of their respective role in maintaining housing stocks.

To help a neighborhood withstand the severe pressure of rapid rises in land values, a grant was made to the City Planning Department Fund. This has provided residents of the Clinton Community on the West Side of Manhattan with technical assistance in making plans for their neighborhood. Clinton faces pressure stemming from the projected development of a major convention center in the area. Its community representatives have worked with city officials to create an experimental design for a special zoning district for the area; the grant has provided them with the assistance of a planner and an outside consultant.

In education:

The New York City educational program was established in 1973. One of the beliefs underlying the design of this program was that, contrary to prevailing complaints about lack of money for the schools, funds are not always the greatest need. Lack of expertise is often a greater problem—a lack not only in supervisors and teachers, but also in parents who don't know how to work with the educational system, and among foundations and other private and public agencies which don't know how to help. A great challenge for agencies outside the schools lies in assisting the schools to make better use of their own considerable resources. Another tenet was the need for outside agencies interested in helping the schools, such as foundations and the business community, to work together in coalitions.

Five fields were selected for activity: parent information and education; school experiments; opportunities for retraining and "refreshment" of supervisors and teachers; action-oriented research, and litigation. In 1973, grants were made in several of these areas.

One of these grants went to the Institute of Educational Development to be used for a study of a communications program directed particularly to parents. Among the groups with direct interests in city schools, parents may be the most powerless and dispersed. They must participate as volunteers in a largely paid and professional activity. They are dependent on accurate information in order to cooperate responsibly, but information may not be available.

Another grant, which also touches on parents' needs for information, falls in the area of parents' rights. In the New York City system, parents are accorded many rights, such as the right to help pick supervisors and, in some cases, to help design curricula. Even if parents are aware of their rights, they have a difficult time enforcing them. A grant to the United Parents Association is to be used for legal consultation and such other legal services as fact-finding, research and conferences. A second grant in the legal area enabled the Public Education Association to conduct a pilot program in reform of the schools' personnel-selection process.

Pupils' knowledge about each other is the subject of another program supported by the Fund. The old view of New York as a melting pot has been superseded by an awareness of the value of groups' retaining their cultural identities. Holding on to cultural identities, however, increases the need for understanding among the city's peoples of their different backgrounds and attitudes. In support of an experimental project, RBF made a grant that will enable Public School 75 on Manhattan's West Side to work with the Multi-Culture Institute of San Francisco in a teacher-training program. These teachers will be specially equipped to help children understand their own and others' cultural traditions.

In social welfare:

This part of the foundation's city program deals with the social services that enrich all segments of New York's citizenry. Although deprived parts of the population have the greatest needs for these services, they are important to all social classes. Problems of old age, maladjustment, child neglect, anxiety, drug addiction cut across all boundaries. In a complex urban society, any family may have need for social services, whether or not public assistance is involved.

These services are delivered in New York by an almost bewildering variety of mechanisms; the number of public and private agencies involved is huge. Although efforts to improve delivery systems have been slow in developing, the Fund believes this area is a fruitful one in which to work.

The goal of more efficient systems led to a change in the Fund's approach. Support continued for some social-welfare agencies on a recurring basis but more attention was paid to achieving specific objectives. The new course, guided by such considerations as the need to increase coordination while decreasing duplication and fragmentation, is best indicated by describing a few of the most recent grants.

A three-year grant to United Neighborhood Houses, an association of independent settlement houses, is concerned with unified approaches to common problems. The grant helps a group of settlement houses in the lower East Side of Manhattan, the Bronx and Brooklyn to share planning services, increase coordination, produce joint programs and work together in collective approaches to government funding. Comprehensive efforts of this kind not only hold the promise of bringing better services to the communities, but also the promise of strengthening the appeal of these agencies to other funding sources. The grant was made, therefore, in the belief that this approach has a potential for greater benefits than small grants made to individual settlement houses.

Another grant involving coordination was directed toward the fragmentation existing among day-care, Head Start and other publicly funded child-development programs. These have had an almost explosive growth in numbers in the city—from ninety-three to more than 400 in three years. Because of the unevenness in central planning, parts of the city have not been supplied with early-childhood services. An RBF grant was made to help the child-care community appraise its strengths and weaknesses and plan its future. The study, in fact, is expected to assist the city's Human Resources Administration assess local child-development programs. Day Care Council of New York is administering the RBF grant.

Support has also gone to another central agency with a special mission. Because of the level of citizens' concern with social problems, research and demonstration projects costing about \$6.5 million are conducted annually by public and private agencies in the city. There is evidence of a gap, though, between acquiring data and putting the data to practical use. An RBF grant to the Community Council of Greater New York is helping establish a clearing-house

1940's—Central Park



1951—Wall Street



1946—the Bronx

on social welfare. The clearing-house will assess research for its relevance to program planners, put researchers and planners in touch with each other and also keep funding organizations informed on research developments.

An experiment in "saturating" a neighborhood with social services as it undergoes rehabilitation also has been assisted. The neighborhood covers seventeen blocks of a formerly depressed area in Harlem. Persons in the neighborhood began an effort to rehabilitate their community that has become a national model. An RBF grant to the philanthropic institution created by the community, the Upper Park Avenue Community Association Non-Profit Housing Foundation, will help provide services for residents fitted to their needs during the period of change.

In cultural affairs:

Contributing importantly to the objective of balanced and integrated development for the city are its cultural resources. Through the years, the Fund has been actively involved in this part of the city's life. Cultural resources, in addition to their direct bearing on the quality of life in the city, can also add to the social health of the city's communities, play a major role in urban planning, and enrich the educational system.

One emphasis in the Fund's program goes to efforts to bring the city's cultural resources to new audiences to encourage wider participation. Grants to the Theatre Development Fund, for example, support an institution that works to build new audiences for serious theater, music and dance events through low-cost ticket purchase.

In addition to supporting individual museums, the foundation has encouraged museums to work together to increase their outreach. A grant was made to a consortium of ten of the city's museums, called Museums Collaborative, to assist it in bringing the resources of the member museums to community and school groups in parts of the city where few, if any, such resources had ever been available.

Besides helping cultural institutions extend themselves into local communities, the Fund has worked to nurture the growth of artistic expression within communities. Among grants supporting indigenous enterprises are those to the Puerto Rican Traveling Theatre and Amigos del Museo del Barrio. The Traveling Theatre is a bilingual group that performs classical and contemporary plays on Spanish themes; the grant to Amigos del Museo del Barrio helped provide a mobile unit which brings exhibitions to East Harlem. Another grant went to the Arts Project of the Greater Jamaica Development Corporation

which is working to expand cultural and educational facilities in the commercial district of Jamaica, a large area of the city that has long been culturally under-served.

Grants also have been directed toward educational programs in the arts outside the school system. The Dance Theatre of Harlem and the Afro-American Studio for Acting and Speech were assisted in their efforts to build new institutions that provide professional instruction for young people. Support was also given the educational programs of the Studio Museum.

Urban design has been aided. A fresh look at the city, the Street Life Project directed by William H. Whyte, was supported. This is prototype research on the ways people use streets, plazas and open spaces, seeking to provide sounder bases for urban design. A workshop project experimenting with ways to enhance city spaces with sculpture and other design elements, Sculpture in the Environment, was assisted, as was the Landmarks Conservancy, which is developing ways of preserving and utilizing the city's distinguished architecture and historical landmarks.

Considered in connection with the New York City program are such institutions as Memorial Sloan-Kettering Cancer Center, the Metropolitan Museum of Art, the Museum of Modern Art and Rockefeller University. These are institutions with which the Fund has been long and closely associated. They are institutions supported because of their special contribution to the quality of life in New York City, and also because they have significance that transcends city boundaries.

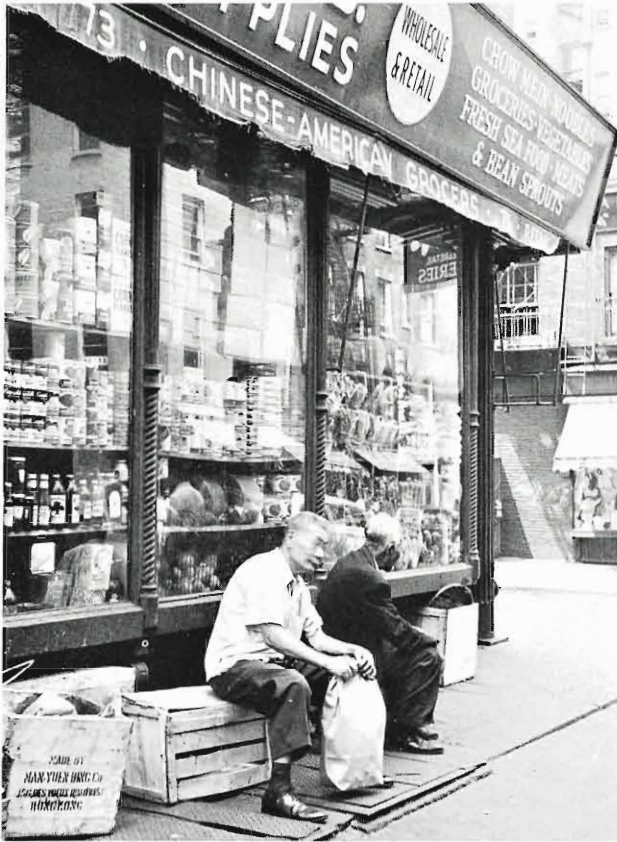
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Thus, the Fund's local program has evolved over thirty-three years by a process of continuing assessment of its role as a responsible citizen, as well as assessment of how the RBF can most effectively spend its limited assets to help resolve complex problems. No one individual or foundation can assist all of the worthy causes in the city. The relative size of public funds also has grown so that they overshadow private resources. Increasingly, foundations make their impact as much from their capacity to analyze problems, inform the citizenry and encourage coordinate activity among private groups as from monetary contributions.

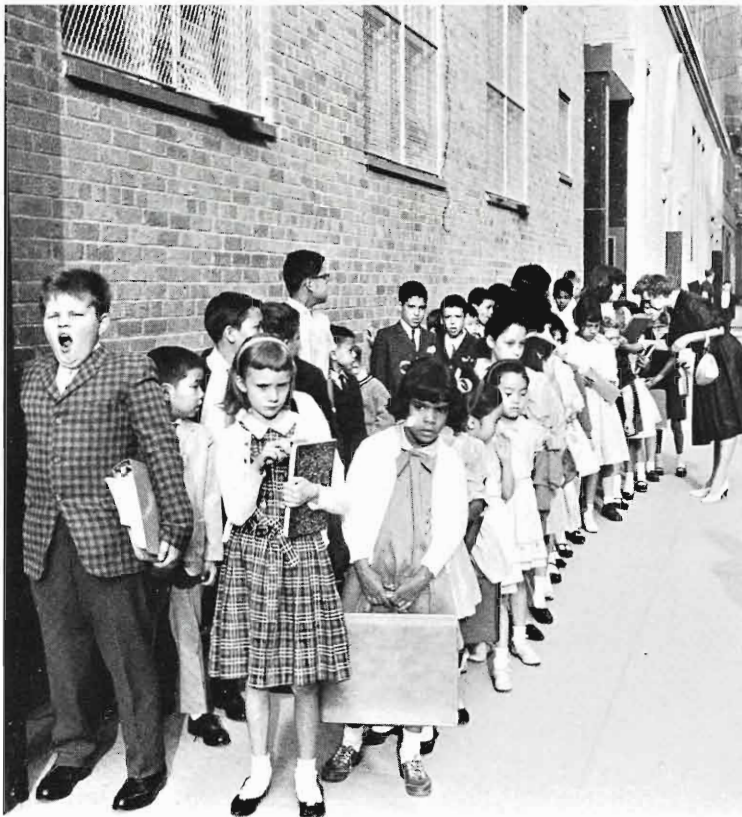
Another factor of the present time is that voluntary agencies find themselves in situations of increasing costs and decreasing revenues. No respite has come in the demands on those agencies, but their ability to raise funds has proven limited. Operating agencies need greater financial assistance than foundations can supply. If most local volunteer organizations are to survive the next decade in a vigorous state, the base of their support must be extensively broadened. Because of its concern for the survival of these agencies, the Fund has expanded its projects of technical assistance. The objective is to help agencies clarify their purposes, strengthen their managements, improve long-range planning and development capacities, and find effective ways to work together.

For the local program, the year 1973 was a way station, not a terminus. The program will continue to evolve, just as private philanthropy at large continues to search and experiment in order to find its best role. The goal of the Fund is to apply its resources in a way to make the greatest contribution to the community.

1953—Mott and Pell Streets, Chinatown



Early 1940's—the Chelsea neighborhood



1963—outside Public School 116



1951—fire in midtown Manhattan

**Grants and Contributions Paid or Approved
for Future Payment During the Year**

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
<p>Abbott House Irvington-on-Hudson, New York For general budgetary support of a residential child care program for dependent and neglected New York City children.</p>	\$ 5,000		\$ 5,000	
<p>Accion Comunitaria del Peru Lima, Peru Toward a program designed to organize and train persons living in the earthquake damaged area of Moche, Peru, to plan and execute a self-help low-cost housing project.</p>	38,000		25,000	13,000
<p>African-American Institute, The New York, New York For general budgetary support of this private agency for improving American contacts with Africans.</p>	60,000		60,000	
<p>African Wildlife Leadership Foundation, Inc. Washington, D. C. Toward a feasibility study of a wildlife planning project in Botswana.</p>	20,000		20,000	
<p>Afro-American Studio for Acting and Speech, Inc. New York, New York Toward purchase costs of the building occupied by the Studio which conducts an active program in theater performances and training.</p>	30,000		30,000	
<p>Afro-American Total Theatre Arts Foundation, Inc. New York, New York For general budgetary support of this music and theater group which performs widely throughout New York City.</p>	25,000		25,000	

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
Agricultural Development Council, Inc., The New York, New York For general budgetary support of teaching and research programs related to the economic and human problems of development in Asia.	400,000		200,000	200,000
American Academy of Arts and Sciences Boston, Massachusetts Toward a study of new directions in arms control, involving a new group of younger arms-control experts.	10,000		10,000	
American Civil Liberties Union Foundation, Inc. Atlanta, Georgia For support of litigation seeking the racial integration of Federal, state and local instrumentalities of justice in the South.	95,000*	70,000	25,000	
American Conservation Association, Inc. New York, New York For general budgetary support of this organization working to increase public understanding of the need for conservation and the wise use of natural resources.	500,000*	250,000	250,000	
For general budgetary support in 1974.	300,000		150,000	150,000
American Council on Education Washington, D. C. For the Council's Overseas Liaison Committee as a contribution toward a workshop/field trip in Ethiopia on rural development.	5,000		5,000	
American Council for Emigres in the Professions, Inc. New York, New York For general budgetary support of this organization's efforts to channel refugee professionals into creative work in the United States.	10,000		10,000	
For supplementary general budgetary support.	10,000		10,000	

* appropriation made prior to 1973.

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
American Council for Nationalities Service New York, New York For general budgetary support of this organization aiding refugees and immigrants.	4,000		4,000	
American Crafts Council New York, New York For general budgetary support of this organization devoted to stimulating interest in and appreciation of crafts in the United States.	25,000		25,000	
American Friends Service Committee, Inc. Philadelphia, Pennsylvania For general budgetary support of this organization providing humanitarian assistance in situations of special need.	17,500		17,500	
Toward the West African International Dialogues Program providing contacts between English-speaking and French-speaking Africans.	17,500		17,500	
American National Red Cross-Mount Desert Chapter Northeast Harbor, Maine For general budgetary support.	200		200	
American Place Theatre, Inc., The New York, New York To assist this theater devoted to the development and production of new theatrical works in becoming established in its new building, the prototype of new theaters under revised New York City zoning regulations.	125,000*	100,000	25,000	
American Red Cross in Greater New York, The New York, New York For general budgetary support.	5,000		5,000	
American Universities Field Staff, Inc. Hanover, New Hampshire For general budgetary support of this organization which operates a private foreign service of professional associates who observe, write and teach for a consortium of American universities.	75,000*	50,000	25,000	

* appropriation made prior to 1973.

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
Amigos del Museo del Barrio, Inc. New York, New York Toward this East Harlem visual arts facility designed to transmit the artifacts, literature and music of Puerto Rican culture through community educational programs.	18,700		18,700	
Appalachian Research and Defense Fund, Inc. Charleston, West Virginia For general budgetary support of this legal defense and law reform center for Appalachia.	40,000		40,000	
Arkansas Arts Center, The Little Rock, Arkansas For this visual and performing arts center's black arts community program.	9,000		9,000	
Art and Architecture Center Washington, D. C. Toward the planning of an advisory and managerial service for minority artists and arts organizations.	3,325		3,325	
Art Resources for Teachers and Students, Inc. New York, New York Toward the curriculum development services of this local coalition of artists, students and adults working to integrate the visual and performing arts into the programs of seven neighborhood schools in the Chinese and Spanish-speaking area of lower Manhattan.	10,000		10,000	
Arts for Racial Identity, Inc. New York, New York Toward the development program of Voices, Inc., a black theater musical group in New York City.	12,000		12,000	
Asia Society, Inc., The New York, New York For general budgetary support of this organization interested in America's cultural, economic and social relations with Asia.	250,000*	200,000	50,000	

* appropriation made prior to 1973.

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
Aspen Institute for Humanistic Studies New York, New York Toward organizational work necessary to determine whether a viable Institute for Analyses of Public Choices can be established to define major alternative policy approaches for dissemination and public debate. (The proposed institute was earlier tentatively titled the Institute for National Alternatives.)	30,000		30,000	
ASPIRA, Inc. New York, New York For general budgetary support of this New York City agency's program to accelerate the development of leadership in the Puerto Rican community through higher education.	10,000		10,000	
Associated Councils of the Arts, Inc. New York, New York For general budgetary support of this national service organization providing information and guidance to state and local arts councils.	25,000		25,000	
Association of American Dance Companies, Inc. New York, New York For general budgetary support of this national service organization for dancers and dance companies.	27,500*	15,000	12,500	
Association for the Study of Abortion, Inc. New York, New York For general budgetary support of this organization providing abortion information and education services to professionals and the lay public.	10,000		10,000	
Atlantic Council of the United States, Inc. Washington, D. C. For involvement of younger leaders in the program of the Atlantic Institute for International Affairs, in Paris, which considers common interests of Atlantic basin countries and Japan.	10,000		10,000	

* appropriation made prior to 1973.

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
Bar Harbor Festival Corporation Bar Harbor, Maine For general budgetary support of the Corporation which organizes summer arts events for Mount Desert Island, Maine.	500		500	
Big Brothers, Inc. New York, New York For general budgetary support of a program for potentially delinquent boys in New York City.	5,000		5,000	
Big Sisters, Inc., The New York, New York For general budgetary support of a New York City program of guidance and counseling to families and children in crises.	5,000		5,000	
Black Child Development Institute, Inc. Washington, D. C. Toward the Institute's technical assistance program to stimulate new employment opportunities in the day-care field in the South.	35,000		35,000	
Bloomingdale House of Music, Inc., The New York, New York Toward renovation of the headquarters building for this community music school on the Upper West Side of Manhattan.	15,000		15,000	
Botswana Society, The Gaborone, Botswana For general budgetary support of the Society which seeks to promote understanding about Botswana, with special emphasis on conservation, youth and rural development.	15,000*	5,000	5,000	5,000
Boy Scouts of America—Greater New York Councils New York, New York. For general budgetary support.	10,000		10,000	
Boy Scouts of America—Katahdin Area Council Seal Harbor, Maine For general budgetary support.	200		200	

* appropriation made prior to 1973.

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
Broadcast Institute of North America New York, New York For general budgetary support of the International Broadcast Institute, in London, created to identify and study problems associated with accelerated technological developments in all media.	30,000		30,000	
Brookings Institution, Inc., The Washington, D. C. For the Brookings' project, "The Social and Economic Responsibilities of Business," an analysis of the responsibilities of business organizations to society.	193,000*	153,000	40,000	
Brooklyn Bureau of Community Service Brooklyn, New York For general budgetary support of this Brooklyn service agency which specializes in family-counseling, foster-care placements and training workshops for the blind and handicapped.	10,000		10,000	
Brooklyn Institute of Arts and Sciences Brooklyn, New York Toward implementation of the exhibition design of the new Brooklyn Children's Museum.	25,000		25,000	
Brooklyn Institutional Council Brooklyn, New York For general budgetary support of this consortium of nonprofit institutions in Brooklyn organized to coordinate common planning, development, administrative, academic and community activities.	86,000*	72,000	14,000	

* appropriation made prior to 1973.

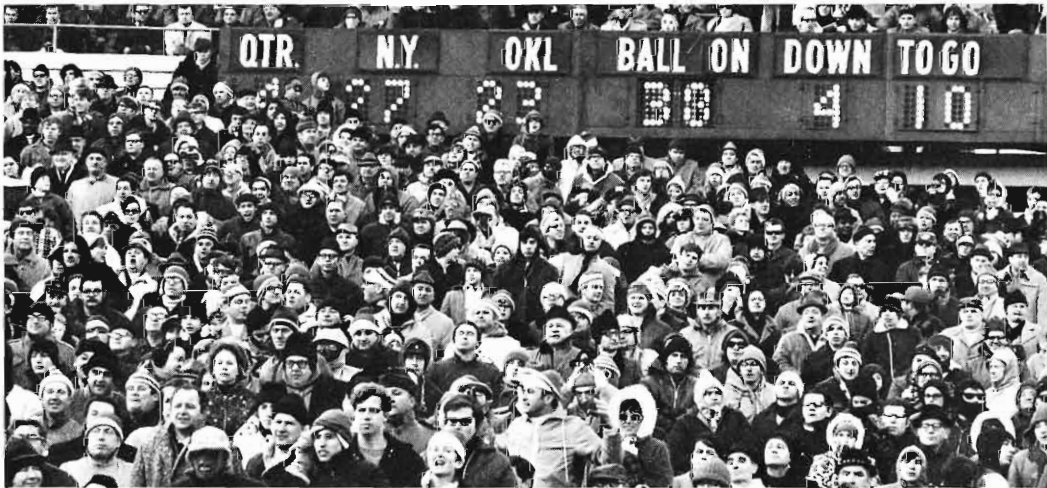
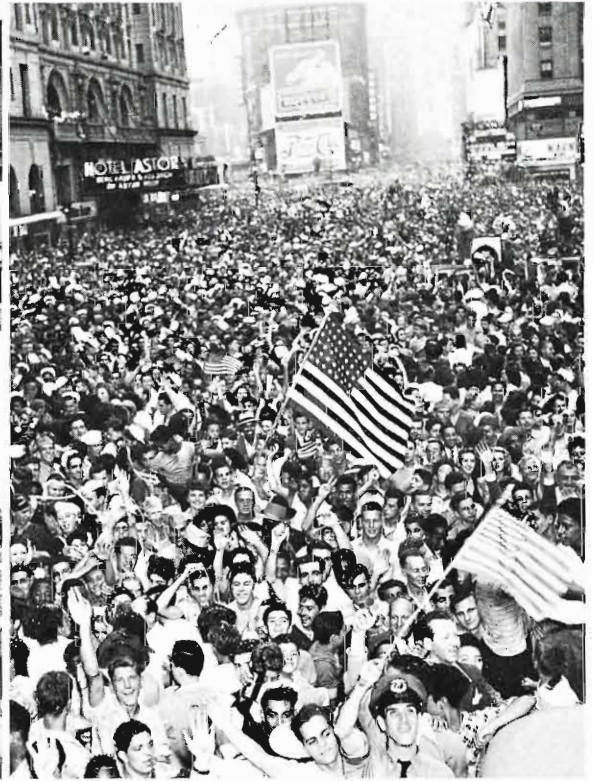
Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
Burk, Frederic, Foundation for Education Daly City, California Toward the general program and evaluation of the Teachers Active Learning Center providing curriculum development assistance and in-service training relating to open classroom/integrated day education for teachers and administrators in the San Francisco-Oakland area.	20,000		20,000	
California, Regents of the University of Los Angeles, California Toward support of the Upper Volta Sound Archives Project which will provide an audio-visual record of the cultural patterns of 60 ethnic groups in Upper Volta.	12,500		11,461	1,039
Canadian Institute of International Affairs Toronto, Ontario, Canada For general budgetary support of the principal privately supported organization in Canada for the study of foreign affairs.	5,000		5,000	
Catholic Charities of the Archdiocese of New York New York, New York For general budgetary support.	50,000		50,000	
Catholic University of America, The Washington, D. C. Toward a program conducted by the School of Law's Center for National Policy Review to monitor the impact of general revenue sharing on minorities and the poor.	185,450		103,500	81,950
Center for Community Change Washington, D. C. Toward the Center's program of assistance to community development organizations in the South.	75,000		75,000	
For the Center's coordinating efforts in southern agricultural land retention, acquisition and development.	65,000		65,000	

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
Center for Inter-American Relations, Inc. New York, New York For general budgetary support of this organization informing North Americans about the cultural and public affairs of Latin America.	180,000		60,000	120,000
Center for Law and Social Policy Washington, D. C. Toward the efforts of this public interest law firm relating to minority groups and the poor.	75,000		75,000	
Chelsea Theater Center, Inc. Brooklyn, New York Toward expenses of new performance and workshop space in Manhattan for this professional theater company.	7,500		7,500	
Children's Art Carnival, The New York, New York Toward costs of a permanent facility for this community visual arts program located in Harlem.	25,500		25,500	
Children's Community Workshop School New York, New York Toward an art and media community workshop program, in concert with Public Schools 84 and 75 on Manhattan's Upper West Side, aimed at making available on a sustained basis visual arts experiences to teachers, parents, and children of the community.	30,000		30,000	
Children's Foundation, The Washington, D. C. For general budgetary support of this organization providing information and technical assistance to groups working to increase the availability and adequacy of Federal food programs for children.	45,000		45,000	

1944—small crowd on Christy Street



1945—VJ Day, Times Square



1968—Title Game, Shea Stadium

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
<p>Children's Village, Inc., The Dobbs Ferry, New York For general budgetary support of services to emotionally disturbed children in New York City who are in need of placement away from their homes.</p>	5,000		5,000	
<p>Church Financial Service, Inc., The Boston, Massachusetts Toward the initial operating expenses of this organization which is to develop and operate a centralized financial and data management system for 187 local parishes in The Protestant Episcopal Diocese of Massachusetts.</p>	25,000		25,000	
<p>Church of St. Mary Magdalene Tarrytown, New York Toward the building repair expenses of this church serving the Tarrytown area of Westchester County, New York.</p>	10,000		10,000	
<p>City Center of Music and Drama, Inc. New York, New York For general budgetary support of this center for performing arts.</p>	10,000		10,000	
<p>City Planning Department Fund New York, New York Toward the provision of technical assistance to the Clinton community representatives participating jointly with New York City officials in the experimental development of the design and methods of implementation of a special zoning district for the community which is located on the West Side of Manhattan.</p>	57,350		57,350	
<p>Clark College Atlanta, Georgia Toward the College's research activities relating to economic opportunities for minority groups in the South.</p>	10,000		10,000	

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
Cleveland Heights-University Heights City School District, The Cleveland, Ohio Toward the general program and evaluation of the Greater Cleveland Teachers Center offering in-service workshops and training for teachers in the greater Cleveland area who are interested in open classroom/ integrated day education.	30,000		30,000	
Cleveland Museum of Art, The Cleveland, Ohio Toward an examination, being conducted by the Council on Museums and Education in the Visual Arts, to determine whether, and how well, museum and related visual arts education programs are working.	25,000		25,000	
College Careers Fund of Westchester, Inc. White Plains, New York For general budgetary support of this program in Westchester County, New York, which recruits and places disadvantaged youth in preparatory schools and colleges and provides continued counseling and financial aid.	7,500		7,500	
Colonial Williamsburg Foundation Williamsburg, Virginia To underwrite a contingent commitment incurred in the acquisition of Carter's Grove Plantation, Virginia, for exhibition as an historic property.	290,000*			290,000
Columbia University in the City of New York, The Trustees of New York, New York Toward the Leyden-Amsterdam-Columbia Summer Program in American Law organized to increase contact of European law students with the American approach to law so as to facilitate the negotiating process in transactions between America and Western Europe.	15,000*	5,000	5,000	5,000

* appropriation made prior to 1973.

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
Committee for Economic Development New York, New York Toward the research and study activities undertaken by a special Committee on Improving the Quality of the Environment.	100,000*	75,000	25,000	
Community Blood Council of Greater New York, Inc. New York, New York Toward the long-range expansion program of this blood collection, processing and research agency which assures the blood needs of more than 6,000,000 persons.	300,000		300,000	
Community Council of Greater New York, Inc. New York, New York Toward establishing a Research Utilization Unit to improve policy and program development in New York City's public and private health and welfare agencies.	175,000		50,000	125,000
Community Foundation of Oyster Bay, The Oyster Bay, New York To assist this small community foundation with the development of social services in the Oyster Bay area.	10,000*	5,000	3,000	2,000
Community Participation Educational Program, Inc. New York, New York For general budgetary support of a community-based organization in Harlem working to improve the quality of public education.	50,000*	40,000	10,000	
Connecticut, The University of Storrs, Connecticut Toward the general program and evaluation of the Center for Open Education which provides workshops and in-service training for teachers in central Connecticut who are interested in open classroom/integrated day education.	25,000		25,000	

* appropriation made prior to 1973.

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
Conservation Foundation, Inc., The Washington, D. C. For general budgetary support of this foundation engaged in conservation research, information and education.	90,000*	65,000	25,000	
Toward three regional conferences of state legislators and other state leaders to discuss recommendations contained in "The Use of Land: A Citizens' Policy Guide to Urban Growth," a task force report of the Citizens' Advisory Committee on Environmental Quality.	132,000		132,000	
Contact Teleministry, Inc. Harrisburg, Pennsylvania For general budgetary support of this organization sponsoring a national telephone referral service for individuals seeking information and advice on urgent personal problems.	22,500*	10,000	7,500	5,000
Convent of the Sacred Heart Greenwich, Connecticut Toward the general program and evaluation of the Teachers' Center at Greenwich, offering workshops and short residential courses for teachers interested in open classroom/ integrated day education in Connecticut.	25,000		25,000	
Cooperative Assistance Fund Washington, D. C. For participation as a contributing member in a foundation consortium to improve economic and housing opportunities of poverty and minority groups through high-risk, low-return investment.	100,000		100,000	
Toward the Fund's special program of assistance to New Communities, Inc., a demonstration of agriculture-based community development in the South.	50,000		50,000	

* appropriation made prior to 1973.

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
Cornell University Ithaca, New York To its Cooperative Extension Service for the training of leaders to conduct tenant orientation programs in new and rehabilitated publicly assisted housing developments in New York City.	70,540		70,540	
Council of Churches of the City of New York, Inc., The New York, New York For general budgetary support of this religious organization which coordinates the activities of 1,700 local churches in the New York City area.	10,000		10,000	
Council on Foreign Relations, Inc. New York, New York For support of a fellowship program identifying outstanding young students of foreign policy and providing them with a year for independent study in association with senior scholars.	375,000*	125,000	125,000	125,000
Council on Foundations, Inc. New York, New York Toward a study, organized by the Council, of salary and benefit arrangements for the foundation field.	1,000		1,000	
Council of Voluntary Child Care Agencies New York, New York For the development of a computerized management information review and operation system to provide data enabling the matching of children in need of care with a voluntary agency in New York City offering care suitable to the child's needs.	180,000*	120,000	60,000	
Cultural Council Foundation New York, New York For general budgetary support of the Black Theatre Alliance organized to provide development services and other assistance to black theater groups in New York City.	15,000		15,000	

* appropriation made prior to 1973.

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
For general budgetary support of the Off-Off Broadway Alliance providing legal services and other development assistance to the numerous "off-off" Broadway theater groups in New York City.	15,000		15,000	
For general budgetary support of the Times Square Theatre Center, a public service offering, on the day of the event, half-price theater, music and dance tickets for all Broadway theaters and major nonprofit arts institutions throughout New York City.	10,000		10,000	
For general budgetary support of Seven Loaves, a coalition of seven arts organizations on the Lower East Side of Manhattan which have joined together for shared management and development services.	10,000		10,000	
Toward the acquisition of a theater for the Puerto Rican Traveling Theatre Company, a bilingual group in New York City which performs classical and contemporary plays on Spanish themes.	30,000		30,000	
Toward publication of a revised edition of a "Guide to New York City Landmarks" by the Landmarks Preservation Commission.	12,500		12,500	
Cultural Society of America, Inc. New York, New York				
Toward the initial expenses of the Society organized to engender an understanding of America's cultural heritage.	25,000		25,000 ⁽¹⁾	
Dance Theatre of Harlem, Inc. New York, New York				
Toward costs of new community workshop space for this dance company and school for minority youths in New York City.	25,000		25,000	

* appropriation made prior to 1973.
(1) Lapsed.

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
Day Care and Child Development Council of America, Inc. Washington, D. C. For general budgetary support of this national voluntary membership organization providing technical assistance and policy development guidance to public and private agencies involved in day-care and child-development programs.	50,000	25,000	25,000	
Day Care Council of New York, Inc. New York, New York For general budgetary support of this program enabling community groups to organize and qualify to sponsor new day-care centers.	45,000*	40,000	5,000	
Toward an evaluation of the structure and services of publicly supported early childhood development programs in New York City.	50,000		50,000	
Delta Foundation, The Greenville, Mississippi To assist the Foundation, operating to increase opportunities for low-income residents in the Delta region of Mississippi, with its agricultural land ownership and development activities.	65,000		65,000	
Denver, University of—Colorado Seminary Denver, Colorado Toward a comprehensive study of the scale, nature and role of external investment in South Africa and Namibia.	10,000		10,000	
Dynamy, Inc. Worcester, Massachusetts For the development and evaluation of outreach activities designed to more completely involve the Worcester community in this internship program for students between high school and college.	34,350		34,350	

* appropriation made prior to 1973.

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
Economic Development Council of New York City, Inc. New York, New York For expansion to two New York City public junior high schools of the Council's School Partnership Program which is designed to improve school management with the assistance of experts from the business community.	30,000		30,000	
Educational Broadcasting Corporation New York, New York For general budgetary support of Channel 13, the educational television station serving the New York City metropolitan region.	50,000		50,000	
Educational Policy Center, Inc. New York, New York Toward the Center's national longitudinal study of black undergraduates in predominantly white colleges.	75,000		25,000	50,000
Educational Testing Service Princeton, New Jersey Toward planning and implementation costs of a national commission providing leadership for designing and stimulating a national research and development effort with respect to teacher education based on classroom competency.	75,000		75,000	
Toward a comprehensive research and assessment program on reading in open classroom/integrated day schools.	33,000		33,000	

* appropriation made prior to 1973.

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
Emergency Land Fund				
New York, New York				
Toward this organization's agricultural land ownership and development program for the benefit of low-income blacks and whites in the South.	10,000		10,000	
Toward this organization's program of assistance to New Communities, a demonstration of agriculture-based community development in the South.	50,000		50,000	
Experiment in International Living, Inc., The				
Tarrytown, New York				
For expenses of a program of international student exchange in the Tarrytowns, New York.	350		350	
Family Service Association of Nassau County, Inc.				
Mineola, New York				
Toward the Association's Mother-Child Home Program of the Verbal Interaction Project, designed to stimulate the intellectual capacities of pre-school age children.	60,000		60,000	
Federated Conservationists of Westchester County, N. Y., Inc.				
Tarrytown, New York				
Toward the cost of establishing an office of executive director for this organization encouraging cooperation and facilitating activities of various conservation groups in Westchester County, New York.	25,000*	15,000	10,000	
Federation of Jewish Philanthropies of New York				
New York, New York				
For general budgetary support.	50,000		50,000	

* appropriation made prior to 1973.

1959—last days of a brownstone



1962—Broadway greets John Glenn, astronaut



1946—Bay Ridge, Brooklyn

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
Federation of Protestant Welfare Agencies, Inc.				
New York, New York				
For general budgetary support.	20,000		20,000	
For general budgetary support of the Morris Heights Neighborhood Improvement Association, a local community service organization in New York City.	10,000		10,000	
Federation of Southern Cooperatives				
Atlanta, Georgia				
Toward the Federation's training center and demonstration farm for its membership of 135 rural farm and non-farm cooperatives in 14 southern states.	75,000		75,000	
Food Research and Action Center, Inc.				
New York, New York				
For general budgetary support of this organization's efforts to monitor the administration of Federal food programs and to initiate legislation to change the policies of those programs which discriminate against poor people.	40,000		40,000	
Foreign Policy Association				
New York, New York				
For general budgetary support of this organization sponsoring adult education programs covering the spectrum of foreign affairs.	20,000		20,000	
Foundation Center, The				
New York, New York				
For general budgetary support of the Center which collects and disseminates factual information on the foundation field through programs of library service, publications and research for the benefit and use of the public, government agencies and individual foundations.	45,000*	15,000	15,000	15,000
For general budgetary support during 1975.	15,000			15,000

* appropriation made prior to 1973.

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
Fountain House Foundation, Inc. New York, New York For general budgetary support of this New York City program of services to former mental patients.	10,000		10,000	
Free Southern Theater, Inc. New Orleans, Louisiana For general budgetary support of this professional theater which performs in colleges throughout the South and conducts workshop programs in New Orleans.	15,000		15,000	
Fresh Air Fund, The New York, New York For general budgetary support of this camping program for disadvantaged New York City children.	10,000		10,000	
Fund for the City of New York, Inc. New York, New York Toward two projects related to the New York City public school system, the first to examine the feasibility of establishing a parent-oriented communications program and the second to explore the value and acceptability of a privately supported technical assistance agency for the schools.	25,500			25,500
Fund for Theological Education, Inc., The Princeton, New Jersey For the expenses in the year ending June 30, 1974, of the Rockefeller Brothers Theological Fellowship Program.	185,000*		185,000	
Supplementary grant for the expenses in the year ending June 30, 1974, of the Rockefeller Brothers Theological Fellowship Program.	15,000*		15,000	
For the expenses in the year ending June 30, 1975, of the Rockefeller Brothers Theological Fellowship Program.	200,000*			200,000
For the expenses in the year ending June 30, 1976, of the Rockefeller Brothers Theological Fellowship Program.	150,000			150,000

* appropriation made prior to 1973.

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
Fundacion Nicaraguense de Desarrollo Managua, Nicaragua Toward a project assisting small sellers, most of whom are market women, of basic food supplies and other necessities to secure credit at reasonable rates.	40,000		40,000	
Georgia Council on Human Relations Atlanta, Georgia Toward the Council's work of coordinating the program activities of the Councils on Human Relations of several southern states.	25,000		25,000	
Girl Scout Council, Inc.—Abnaki Council Brewer Maine For general budgetary support.	200		200	
Girl Scout Council of Greater New York, Inc. New York, New York For general budgetary support.	10,000		10,000	
Greater Jamaica Development Corporation New York, New York Toward the Corporation's Arts Project which is assisting in the development and expansion of the cultural and educational facilities in Jamaica.	19,600		19,600	
Group for the Advancement of Psychiatry, Inc. Chicago, Illinois Toward the Group's reports to the public concerning important current ethical and social problems.	9,000		3,500	5,500
Hampshire College, The Trustees of Amherst, Massachusetts Toward a program of improving the teaching of the College's faculty and for training selected undergraduates to teach themselves and other students in specially developed courses.	50,000		50,000	

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
Harlem School of the Arts, Inc., The New York, New York Toward the building campaign of this School providing arts instruction, outside the public school system, to talented students from Harlem and other parts of New York City.	100,000			100,000
Harvard University Cambridge, Massachusetts Toward a research project in the field of corporate social responsibility to be undertaken by the Harvard Graduate School of Business Administration.	47,634		47,634	
Housing Development Corporation of the Council of Churches of the City of New York, The New York, New York For general budgetary support of this agency providing technical assistance to churches and church groups developing and planning housing in New York City.	50,000*	25,000	15,000	10,000
Institute for Educational Development New York, New York Toward two projects related to New York City public schools—a feasibility study for a parent communications program and an exploration of the value and acceptability of an assistance agency for public schools.	25,500		25,500	
Institute of International Education, Inc. New York, New York For general budgetary support of this organization administering student exchange programs.	15,000		15,000	
Institute of Politics New Orleans, Louisiana Toward expenses of initiating in Arkansas the Institute's program in practical politics designed to stimulate effective participation in public affairs and communications between emerging black and white leadership in the South.	60,000*	22,500	20,000	17,500

* appropriation made prior to 1973.

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
Institute of Religion and Human Development, The Houston, Texas Toward the Institute's "Clinical Residencies Program" which offers fellowships for cross-disciplinary studies in the field of human values.	30,000*	15,000	15,000	
Intercultural Education Fund, Inc. New York, New York For general budgetary support of the Vocational Guidance and Workshop Center which provides counseling and career guidance for students unable to obtain such help in their public schools.	30,000*	25,000	5,000	
International Association for Cultural Freedom Paris, France For general budgetary support of this organization attempting to build bridges between the international intellectual community and men engaged in social and political action.	30,000*	20,000	10,000	
International Council of Museums Paris, France For general budgetary support of this organization of professionals dedicated to the development and improvement of museums throughout the world.	45,000*	35,000	10,000	
International Fund for Concerned Photography, Inc. New York, New York Toward the costs of the Fund's acquiring a permanent center for the continuation of its efforts on behalf of photography as an art form.	30,000*	15,000	15,000	
International House of Japan, Inc., The Tokyo, Japan Toward editing and publishing costs of "A Bibliography of English Translations: Japanese Social Science Works."	3,500		3,500	

* appropriation made prior to 1973.

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
International House, New York New York, New York For general budgetary support of this residence and program center for foreign and American graduate students.	12,500		12,500	
Toward the Golden Jubilee Celebration.	750,000		250,000	500,000
International Institute for Strategic Studies, The London, England For general budgetary support of this private institute of international relations whose membership is drawn from the West, Japan and India.	5,000		5,000	
International Theatre Institute of the United States, Inc. New York, New York For general budgetary support of this organization encouraging constructive communications within the international theater community through meetings, publications and other information services.	20,000		20,000	
Interracial Council for Business Opportunity New York, New York For general budgetary support of this national organization and its local councils which provide financial and managerial guidance for black-owned small businesses.	50,000		50,000	
Island Foundation, The Northeast Harbor, Maine A special contribution toward general corporate purposes of the Foundation which owns and operates for the public the Asticou Azalea Garden on Mount Desert Island, Maine.	43,560		43,560	

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
Japan Center for International Exchange Tokyo, Japan Toward costs of wider distribution in the United States of "The Japan Interpreter," a quarterly journal of social and political ideas published by the Center in an effort to make current Japanese thinking available in the Western world.	16,000		16,000	
Japan Society, Inc. New York, New York For general budgetary support of this organization providing a bi-national focal point for studies and exchanges relating to cultural, educational and public affairs interests of Japan and the United States.	90,000*	30,000	30,000	30,000
Juilliard School, The New York, New York Toward the capital campaign of the conservatory.	500,000*	375,000	125,000	
Knickerbocker Hospital New York, New York For the land write-down costs of the medical facilities to be located in the central plaza of Manhattanville Health Plaza, a proposed development for West Harlem.	2,000,000*			2,000,000
Kweneng Rural Development Association Molepolole, Botswana Toward a small business development program to outfit a garage/workshop and train mechanics and technicians in this district of Botswana at the edge of the Kalahari Desert.	49,000		24,500	24,500
La Mama Experimental Theater Club, Inc. New York, New York Toward expenses of the Native American Theatre Ensemble, a multi-tribal organization performing widely on Indian reservations and in schools and colleges in the Midwest and Southwest.	25,000		25,000	

* appropriation made prior to 1973.

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
Law Students Civil Rights Research Council New York, New York For general budgetary support of the national student entity that provides continuity for involvement in the problems of the poor and dispossessed of students drawn from 90 law schools.	10,000		10,000	
Lawyers' Committee for Civil Rights Under Law Washington, D. C. Toward the Committee's Public Employment Law Reform Project working to reduce discriminatory employment practices at all levels of public employment.	224,200*	112,100	112,100	
Legal Aid Society, The New York, New York For general budgetary support of a program providing legal services to persons in the New York City area who are unable to obtain such assistance elsewhere.	10,000		10,000	
Linchwe II Secondary School Mochudi Village, Botswana Toward capital costs of this School providing educational opportunities for primary school leavers in a large rural area of Botswana.	15,000*	7,500	7,500	
Lindisfarne Association, Inc., The Southampton, New York Toward initial expenses of this learning community offering a university level program to individuals seeking a new sense of meaning for human existence.	25,000		25,000	
Lower East Side Action Project, Inc. New York, New York Toward an almanac of information and analysis designed to stimulate thought and action about children in the society and the institutions which affect them.	20,000		5,000	15,000

* appropriation made prior to 1973.

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
<p>Magsaysay, Ramon, Award Foundation Manila, Philippines Toward costs of additional air conditioning equipment for the Ramon Magsaysay Center, an office building which houses the foundation and provides income to meet its operating needs, including the annual Ramon Magsaysay Awards.</p>	66,500		66,500	
<p>Manna House Workshops, Inc. New York, New York For general budgetary support of this neighborhood arts center in East Harlem.</p>	7,500		7,500	
<p>Manpower Institute, Inc., The Washington, D. C. Toward initial expenses of a collaborative effort between industry and education to promote the development, within the context of a free society, of an effective nationwide manpower policy.</p>	50,000		50,000	
<p>Toward the completion of a comprehensive overview study delineating linkages and paths to coordination between education and manpower policies within the United States.</p>	150,000		150,000	
<p>Maryland, Regents of the University of College Park, Maryland Toward an "Institute on Moral Problems in Medicine," organized by the Council for Philosophical Studies as one means of focusing college level philosophy teachers on essentially human, as opposed to technical, problems.</p>	92,400		92,400	



The '70's—bicycles in Central Park



Fifth Avenue at 42nd Street in 1956



1954—Chatham Square, lower Manhattan

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
Massachusetts Institute of Technology Cambridge, Massachusetts For a project to develop a series of models to explore the problems of national growth by examining the interaction of the major economic sectors of the country and the effect on these of alternate fiscal and monetary policies.	600,000*	200,000	200,000	200,000
Toward a three-year workshop examination on energy options in the 1980's for Japan, Europe and North America.	75,000		25,000	50,000
Memorial Sloan-Kettering Cancer Center New York, New York Toward the building program of this complex of medical research, educational and patient-care services.	2,500,000			2,500,000
Metropolitan Museum of Art, The New York, New York Toward constructing a new wing to house the Temple of Dendur as part of the Museum's Egyptian galleries.	250,000*		250,000	
For the Council on Museum Education organized to develop a framework within which museums can execute more effective education programs.	45,000*	25,000	20,000	
Toward the expansion of the Museum's department and collection of primitive art.	1,000,000*			1,000,000
Metropolitan Opera Association, Inc. New York, New York For general budgetary support.	10,000		10,000	
Mexican-Americans Legal Defense and Educational Fund San Francisco, California Toward the Fund's Washington office which facilitates its representation of the concerns of Mexican-Americans before Federal administrative agencies.	50,000		50,000	

* appropriation made prior to 1973.

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
Mount Desert Island Family Counseling Service Bar Harbor, Maine For general budgetary support of this family service agency which deals with marital problems and with the development difficulties of children.	500		500	
Mount Desert Island Highway Safety Council Bar Harbor, Maine For a public safety program to reduce traffic hazards and improve student driver-education.	200		200	
Mount Desert Island Hospital Bar Harbor, Maine For general budgetary support.	2,250		2,250	
Mount Desert Larger Parish Seal Harbor, Maine For general budgetary support of the constituent churches of this Parish located on Mount Desert Island.	2,500		2,500	
Mount Desert Public Health Nursing Association Northeast Harbor, Maine For general budgetary support of this agency which provides limited free nursing service to the Mount Desert community.	500		500	
Multi-Culture Institute San Francisco, California Toward development of a pilot program in multi-culture studies at the ethnically diversified Public School 75 on the West Side of New York City.	45,000		45,000	
Museum of Modern Art, The New York, New York Toward the Museum's capital campaign.	1,500,000		500,000	1,000,000

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
NAACP Legal Defense and Educational Fund, Inc. New York, New York Toward the Fund's Earl Warren Training Program, organized to increase the number and effectiveness of black lawyers in the South.	360,000*	280,000	60,000	20,000
NAACP Special Contribution Fund New York, New York Toward the core program budget, with exception of expenses relating to its voter education department, of this national civil rights organization.	150,000*	100,000	50,000	
Toward special litigation expenses incurred largely in connection with key school desegregation cases.	50,000		50,000	
National Association of Independent Schools, Inc. Cambridge, Massachusetts Toward the general program and evaluation of the Greater Boston Teachers Center which, through in-service workshops and advisory services, promotes basic changes in teaching at the primary and elementary school levels in metropolitan Boston.	55,000		55,000	
Toward a planning grant for the Association's proposed Institute for Alternatives in Education to encourage and assist in the development of alternative approaches to elementary and secondary education.	6,000			6,000
National Audubon Society New York, New York For general support of its Nature Center Planning Division, expansion of that Division's training and service functions, and for development of the Society's membership and educational activities.	400,000*	320,000	45,000	35,000

* appropriation made prior to 1973.

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
National Committee on United States-China Relations, Inc. New York, New York For the general activities, other than those associated with special projects, of the Committee which provides objective information about contemporary mainland China.	50,000		50,000	
National Council of the Churches of Christ in the United States of America New York, New York For general budgetary support.	15,000		15,000	
National Council for Community Development, Inc. New York, New York Toward a program for strengthening minority businesses and institutionalizing the concept of corporate purchasing from minority vendors.	50,000		50,000	
National Council of Negro Women, The Washington, D. C. For a leadership development and training program to be conducted in association with the Council's direct membership drive.	300,000*	220,000	80,000	
National Endowment for the Arts Washington, D. C. For general budgetary support of the Museums Collaborative organized to explore new ways of extending the resources of New York City's major museums to local communities and schools.	59,400		59,400	
National Information Bureau, Inc. New York, New York For general budgetary support of the Bureau's review of standards of sound philanthropy and maintenance of advisory services for contributors.	2,500		2,500	

* appropriation made prior to 1973.

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
National Legal Aid and Defender Association Chicago, Illinois Toward special staff costs in connection with the creation and structuring of a new national Federal legal services program.	58,447		58,447	
National Recreation and Park Association, Inc. Arlington, Virginia Toward the activities of the Citizens' Advisory Committee on Environmental Quality in disseminating the recommendations contained in "The Use of Land: A Citizens' Policy Guide to Urban Growth," a report prepared by the Committee's Task Force on Land Use and Urban Growth.	32,500		32,500	
Toward the "Street Life Project," a study, being directed by William H. Whyte, of how people use the streets and open spaces of New York City.	37,500		37,500	
National Urban Coalition, The Washington, D. C. For general budgetary support of this organization bringing together a variety of persons to seek solutions to the urban crises.	50,000		50,000	
National Urban League, Inc. New York, New York For general budgetary support.	50,000		50,000	
Native American Legal Defense and Education Fund Albuquerque, New Mexico Toward operating costs of this national organization which, in addition to initiating court actions, brings to the attention of local and national groups information about current government legal and regulatory activity relating to Indians.	40,000		40,000	

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
Nature Conservancy, The Arlington, Virginia For general budgetary support of the Conservancy which assists public and private agencies in acquiring important natural areas.	45,000*	20,000	15,000	10,000
New York Botanical Garden, The Bronx, New York For general budgetary support.	10,000		10,000	
New York City Mission Society New York, New York For general budgetary support of programs, including community organization and camping, for inner-city residents of New York City.	20,000		20,000	
Toward general budgetary support of the East Harlem College and Career Counseling Program, a service for students who are not able to obtain counseling help in their schools.	25,000*	20,000	5,000	
New York, City University of— Hunter College New York, New York Toward a program to broaden the place of the arts in the undergraduate curriculum through off-campus work-study projects in arts institutions and use of professionals in the arts to work with students on campus.	12,500		12,500	
New York Foundation for the Arts, Inc., The New York, New York Toward the Foundation's revolving loan fund which advances money to small arts organizations in critical situations arising from time lags between grant decisions by government aid organizations and actual payment.	25,000		25,000	

* appropriation made prior to 1973.

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
New York Landmarks Conservancy, Inc. New York, New York Toward part-time legal assistance for the Conservancy which seeks to save landmark buildings and make them available for cultural and community uses.	10,000		10,000	
New York Public Library, The New York, New York For general budgetary support of the Central Research Library.	25,000		25,000	
New York Shakespeare Festival New York, New York For general budgetary support of the Festival's complex of performing arts activities in New York City.	75,000		75,000	
New York State Council of Churches, Inc., The Syracuse, New York For general budgetary support.	1,500		1,500	
New York University New York, New York Toward an effort by the University's Real Estate Institute to develop housing maintenance approaches which can be utilized in selected transitional neighborhoods of New York City.	50,000		50,000	
New York University—Graduate School of Social Work New York, New York Toward the establishment of the University's Center for Studies in Income Maintenance Policy to provide a coordinated analysis and review of basic issues relating to income maintenance.	187,500*	125,000	62,500	
New York Zoological Society Bronx, New York For general budgetary support.	10,000		10,000	

* appropriation made prior to 1973.

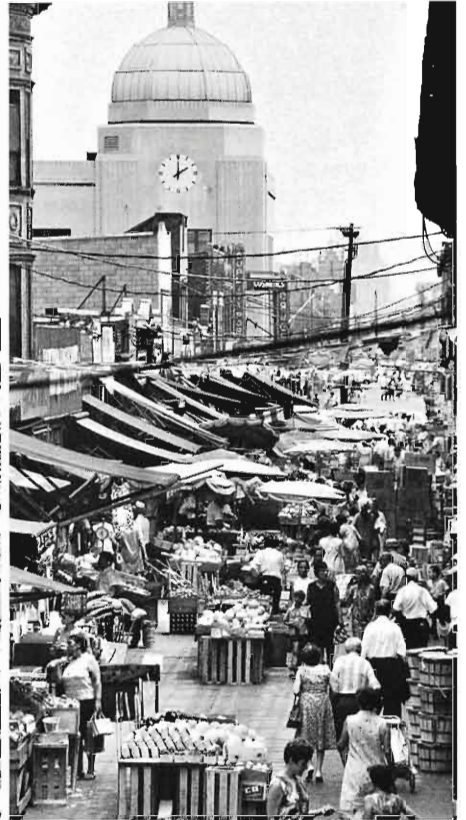
Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
Ngamiland Youth Training Centre Maun, Botswana For general budgetary support of this Centre preparing young people in Botswana for useful employment in a rural or village environment.	15,000*	7,500	7,500	
North Dakota, University of Grand Forks, North Dakota For its Center for Teaching and Learning to build a communications network among teacher centers across the country.	46,564		23,282	23,282
Northeast Harbor Library Northeast Harbor, Maine For general budgetary support of the Scholarship Committee which makes annual college scholarship awards.	350		350	
Northside Center for Child Development, Inc. New York, New York For general budgetary support of this voluntary out-patient psychiatric clinic in Harlem.	5,000		5,000	
Olatunji Center of African Culture, Inc. New York, New York Toward capital improvements and program activities of this Center devoted to bringing about wider understanding of and pride in African music and art.	25,000		25,000	
O'Neill, Eugene Memorial Theater Center, Inc. Waterford, Connecticut Toward "Showboat," a floating children's theater, exhibition gallery and training facility which will dock at 14 waterfront sites in New York City throughout the year.	50,000*		50,000	

* appropriation made prior to 1973.

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
Opportunity Resources for the Performing Arts, Inc. New York, New York For general budgetary support of this effort to improve the administrative competence of performing arts groups through a data bank designed to match specific managerial needs with available personnel.	15,000		15,000	
Overseas Development Council Washington, D. C. For general budgetary support of this organization providing a focal point for various groups analyzing and studying needs of the developing world.	40,000*		40,000	
Overseas Education Fund of the League of Women Voters Washington, D. C. For general budgetary support of this effort in citizenship education which consists primarily of leadership training and field services for Latin American women.	5,000		5,000	
Toward a special program of assistance in the development by local agencies in emerging Asian countries of better techniques for effective citizen participation in community affairs and government.	30,000*	15,000	15,000	
Palace of Arts and Science Foundation San Francisco, California Toward the education program of "The Exploratorium," a science museum whose 200 perceptual exhibits offer students first-hand experience of natural phenomena.	35,000		35,000	
Partnership for Productivity Foundation/ USA, Inc. Annandale, Virginia For a project in western Kenya to provide business advisory services and start-up capital to small indigenous enterprises in rural areas.	10,000		10,000	

* appropriation made prior to 1973.

1942—Henry Street, Lower East Side



The '60's—Brooklyn

1960—Mosholu street market, the Bronx

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
Peabody Institute of the City of Baltimore Baltimore, Maryland For general budgetary support of the Association of Independent Colleges of Music which assists principal conservatories in mutual cooperation and in gaining greater public support.	22,500		22,500	
Peacham School, Inc. Peacham, Vermont Toward initial expenses and evaluation of this independent high school which offers an open-classroom education and is supported by a scheme of financing based upon a modified voucher plan funded by the State of Vermont.	27,500		27,500	
Penn Community Services, Inc. Frogmore, South Carolina Toward this organization's economic development and job-creating activities in the Coastal Plains region of the Carolinas.	60,000		60,000	
Phelps Memorial Hospital Association North Tarrytown, New York For general budgetary support of this hospital serving the Tarrytown area.	5,000		5,000	
Philharmonic-Symphony Society of New York, Inc., The New York, New York For general budgetary support.	10,000		10,000	
Phillips Brooks House Association Cambridge, Massachusetts For the Harvard African Volunteer Project, a program channeling outstanding black and white students from the Cambridge/Boston community into important development assistance positions in East and southern Africa.	15,000		5,000	10,000

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
Planned Parenthood of New York City, Inc. New York, New York For United Planned Parenthood Campaign, the joint annual fund-raising effort on behalf of three planned parenthood organizations serving respectively at local, national and international levels.	100,000		100,000	
Planned Parenthood of Westchester, Inc. White Plains, New York For general budgetary support of this agency providing family planning information and services throughout Westchester County, New York.	5,000		5,000	
Police Athletic League, Inc. New York, New York For general budgetary support of the League's cultural and recreational programs for youngsters in deprived areas throughout New York City.	6,000		6,000	
Population Council, Inc., The New York, New York For general budgetary support in 1973 of the Council's program in research, training, technical assistance and information exchange in the areas of demography, reproductive physiology and contraceptive development, family planning and population policy.	500,000*		500,000	
For general budgetary support in 1974.	500,000*		100,000	400,000
Population Reference Bureau, Inc. Washington, D. C. For general budgetary support of this organization providing information on population matters.	10,000		10,000	

* appropriation made prior to 1973.

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
Pratt Institute Brooklyn, New York Toward the general budget of its Center for Community and Environmental Development which provides technical, educational, and informational services to a wide range of community-based endeavors in Brooklyn.	35,000		35,000	
Prospect School, Inc. North Bennington, Vermont Toward this elementary school's Adjunct Services Program which assists in the training of teachers, administrators, and non-professional people in the open classroom/integrated day techniques.	29,500		29,500	
Public Education Association New York, New York Toward a program to help reform the process of selecting and evaluating professional personnel in New York City public schools.	25,000		25,000	
Puerto Rican Legal Defense and Education Fund, Inc. New York, New York Toward this organization's programs to protect the civil rights of Puerto Rican citizens and upgrade their living standards through legal action in the courts and education efforts in the community.	35,000		35,000	
Regional Plan Association, Inc. New York, New York For general budgetary support of the Association's efforts in connection with the planning and development of the New York City metropolitan region.	10,000		10,000	

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
Research Foundation of the City University of New York New York, New York Toward the general program and evaluation of the Workshop Center for Open Education, a resource center for teachers, administrators, paraprofessionals and parents who are involved in open classroom/integrated day education in the New York City area.	30,000		30,000	
Retarded Infants Services, Inc. New York, New York For general budgetary support of this New York City program of services to the retarded child and his family, including counseling, referrals, home aid assistance, foster care placement and public education.	5,000		5,000	
Riverdale Children's Association New York, New York For general budgetary support of the Association's services to dependent and neglected New York City children.	5,000		5,000	
Riverside Church, The New York, New York For general budgetary support of this multidenominational church in New York City.	40,000		40,000	
Toward the Riverside Fund for Social Justice.	40,000*	15,000	12,500	12,500
Rockefeller University, The New York, New York Toward a capital program to increase the University's endowment and expand physical facilities.	5,000,000*	2,500,000		2,500,000
Transfer of real estate known as "Hillcrest" and furnishings to be used for the purpose of The Rockefeller Archive Center, a division of the University.	663,772			663,772
Toward capital improvements needed to adapt "Hillcrest" to the needs of the Archive Center and toward endowment for the operating costs of the Center.	4,500,000			4,500,000

* appropriation made prior to 1973.

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
Rural Advancement Fund of the National Sharecroppers Fund, Inc. New York, New York Toward a program providing technical planning, monitoring and financial assistance to cooperatives and other local community development groups in the South.	75,000		75,000	
St. Phillips's Community Service Council, Inc. New York, New York For general budgetary support of this local community service organization in the Harlem area.	20,000		20,000	
Salem Community Service Council, Inc. New York, New York For general budgetary support of this local community service organization in the Harlem area.	20,000		20,000	
Salzburg Seminar in American Studies, Inc. Cambridge, Massachusetts For general budgetary support of this seminar in Austria drawing European men and women early in their careers for a month of study of selected professional or cultural subjects with a changing American faculty.	5,000		5,000	
Scholarship, Education and Defense Fund for Racial Equality, Inc. New York, New York For general budgetary support of this interracial organization training minority group personnel for local leadership roles.	30,000		30,000	
For a program of support to the Greene County Economic Development Commission organized to provide assistance and advice in connection with the economic development of Greene County, Alabama.	40,000		20,000	20,000

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
School for the Training of Wildlife Specialists				
Garoua, Cameroon				
Toward the scholarship needs of this school designed to train personnel in wildlife management for parks and reserves in French-speaking West and Central Africa.	20,000		10,000	10,000
Toward a taxidermy/collection facility.	30,000		30,000	
Science and Technology, University of Kumasi, Ghana				
Kumasi, Ghana				
For the University's Technology Consultancy Centre organized to increase the systematic involvement of the academic community in the practical problems of development.	20,000*	10,000		10,000
Sculpture in the Environment, Inc.				
New York, New York				
Toward support of its workshop project exploring new approaches to the design and use of unutilized urban public space.	26,000*	14,000	12,000	
Seal Harbor Village Improvement Society, Inc.				
Seal Harbor, Maine				
For general budgetary support of this civic improvement association on Mount Desert Island, Maine.	300		300	
A special contribution toward general corporate purposes.	50,292		50,292	
Settlement Housing Fund, Inc.				
New York, New York				
For general budgetary support of this housing development corporation providing technical assistance to member agencies of United Neighborhood Houses in New York City.	45,000*	35,000	10,000	
Toward a central training and technical information center to expedite cooperative ownership and rehabilitation by resident low- and moderate-income tenants in New York City.	75,000		75,000	

* appropriation made prior to 1973.

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
<p>Sex Information and Education Council of the United States, Inc. New York, New York</p> <p>For general budgetary support of SIECUS which, through various community service education and research information programs, seeks the development of values which will guide individuals in determining attitudes of responsible sexuality.</p>	25,000		25,000	
<p>Sleepy Hollow High School Parent-Teacher Association North Tarrytown, New York</p> <p>Toward the Student Aid Fund, to provide college scholarships for deserving graduates of this public school.</p>	200		200	
<p>Society of the Third Street Music School Settlement, Inc., The New York, New York</p> <p>Toward expenses relating to new headquarters for this neighborhood music school providing professional and free or low-cost instruction to residents of the Lower East Side of Manhattan.</p>	25,000		25,000	
<p>Southern Regional Council Atlanta, Georgia</p> <p>Toward the Council's general program of research, information, and monitoring of Federal programs in the broad field of race relations and social policy development and toward the Council's special program of providing technical and managerial assistance to local community development organizations in the South.</p>	50,000		50,000	
<p>Toward the Council's Task Force on Southern Rural Development organized to identify rural development objectives and to consider the most effective means of mobilizing resources to obtain these objectives.</p>	88,062		88,062	

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
Spelman College Atlanta, Georgia Toward the College's Capital Campaign.	1,000,000		1,000,000	
Studio Museum in Harlem, Inc., The New York, New York Toward the Educational Services Program of this museum serving the Harlem community.	20,000		20,000	
Teachers, Inc., The New York, New York For general budgetary support of this teacher-training organization committed to producing teachers who teach well and work with inner-city parents to improve public schools.	50,000			50,000
Technoserve, Inc. Greenwich, Connecticut For general budgetary support of this organization stimulating indigenous small businesses in developing countries.	50,000*	25,000	25,000	
Theatre Development Fund, Inc. New York, New York For general budgetary support of this organization designed to stimulate creativity and experimentation in the commercial theater and to build audiences for serious theater.	50,000		50,000	
Thor, Inc. Washington, D. C. Toward costs of publishing a revised and expanded computerized edition of the "National Register of Internships and Experimental Education," a directory of off-campus learning opportunities.	10,000		10,000	
Tougaloo College Tougaloo, Mississippi Toward a study designed to develop and evaluate alternative land-use patterns for College-owned land not needed for the College's educational purposes.	20,000		20,000	

* appropriation made prior to 1973.

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
Travelers Aid Society of New York, Inc. New York, New York For general budgetary support.	7,500		7,500	
Trilateral Commission (North America), The New York, New York For general budgetary support of the Commission which, with membership drawn from private persons in the United States, Europe and Japan, will propose policies that the members' countries could follow in their economic, political and defense relations.	150,000		50,000	100,000
Union Church of Pocantico Hills Tarrytown, New York For general budgetary support for this non-denominational church located near Tarrytown, New York.	18,000		18,000	
United Hospital Fund of New York New York, New York For general budgetary support.	25,000		25,000	
United Nations Association of the United States of America, Inc. New York, New York For general budgetary support of this information, education and research organization supporting development of the United Nations.	25,000		25,000	
United Nations—Food and Agricultural Organization (FAO) Rome, Italy For a Latin American wildland management program consisting of a series of experimental training and planning projects.	153,826		153,826	
For supplemental support of the wildland management program.	17,590		17,590	

1973—the pool, Lincoln Center



1973—lunchtime, the Plaza



1953—springtime, Greenwich Village

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
United Negro College Fund, Inc. New York, New York For general budgetary support of this campaign to raise unrestricted funds for 40 member institutions.	10,000		10,000	
Toward costs of this organization's new department of institutional services.	300,000*	250,000	50,000	
United Neighborhood Houses of New York, Inc. New York, New York Toward a cooperative program planning and development unit among settlement houses in selected geographic areas of New York City.	200,000		80,000	120,000
United Parents Associations of New York City, Inc. New York, New York Toward the establishment of Project Plea, designed to provide parents with the knowledge and legal resources to safeguard parent rights within the New York City public education system.	35,000		35,000	
United States Catholic Conference—National Catholic Welfare Conference New York, New York Toward a water conservation and utilization project in Upper Volta.	25,000		25,000	
United States Conference for the World Council of Churches, Inc., The New York, New York For general budgetary support.	3,000		3,000	
United States-South Africa Leader Exchange Program, Inc. Greenwich, Connecticut For general budgetary support of this organization supporting a continuing dialogue, through exchange visits, of leaders and potential leaders of all races from the United States and South Africa.	15,000		15,000	

* appropriation made prior to 1973.

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
United Way of Westchester, Inc. White Plains, New York For general budgetary support.	30,000		30,000	
UPACA Non-Profit Housing Foundation, Inc. New York, New York Toward the development of a comprehensive human services program for a redeveloped inner-city community in Lower East Harlem.	150,000*	50,000	50,000	50,000
Urban Home Ownership Corporation New York, New York For general budgetary support of this organization engaged in rehabilitating buildings in New York City for transfer to tenant cooperatives or community non-profit sponsors.	178,000*	169,000	9,000	
Urban League of Westchester County, Inc. New Rochelle, New York For general budgetary support.	3,000		3,000	
Varick, James L., Community Center, Inc. New York, New York For general budgetary support of this local community service organization in the Harlem area.	25,000		25,000	
Virginia Community Development Organization, Inc. Petersburg, Virginia Toward a program organized to facilitate, through local community organizations, the participation of residents of an 18-county southeastern Virginia area in government decisions which bear directly on their lives.	30,000		15,000	15,000
Volunteer Lawyers for the Arts New York, New York For general budgetary support of this lawyers' volunteer service organization for artists and arts groups.	15,000		15,000	

* appropriation made prior to 1973.

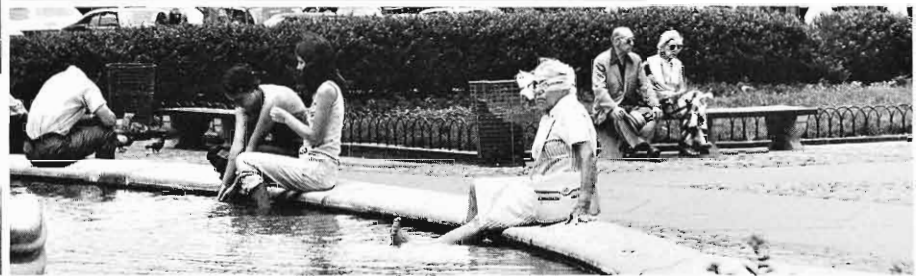
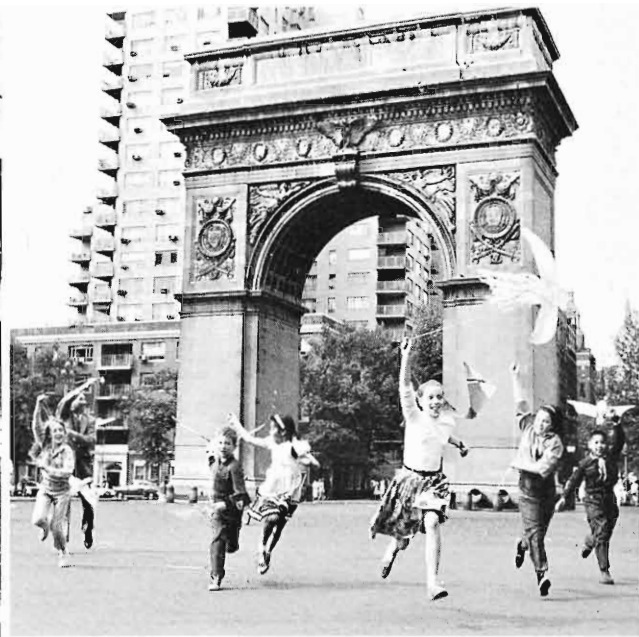
Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
Volunteers in Technical Assistance, Inc. Mt. Rainier, Maryland For a demonstration network of volunteer counterpart skills banks that will help develop indigenous technical talent in four Latin American countries. (This organization was previously known as Volunteers for International Technical Assistance, Inc.)	12,500		12,500	
Voter Education Project, Inc. Atlanta, Georgia For a general program of voter registration drives, election analyses and citizenship education in the South.	25,000		25,000	
Washington Research Project, Inc. Cambridge, Massachusetts Toward its Children's Defense Fund organized to mobilize broad support for effective public policies which benefit children.	45,000		45,000	
Westchester Community Opportunity Program, Inc. Elmsford, New York For general budgetary support of this county-wide federation of local community anti-poverty agencies in Westchester County, New York.	50,000*	25,000	25,000	
Westchester Community Service Council, Inc. White Plains, New York For general budgetary support of the Council which functions as a planning and coordinating center for agencies serving health, welfare and recreation needs in Westchester County, New York.	4,000		4,000	
Westchester Residential Opportunities, Inc. White Plains, New York For general budgetary support of this organization working to expand home ownership opportunities for low- and moderate-income families in Westchester County, New York.	2,500		2,500	

* appropriation made prior to 1973.

Fifth Avenue in 1973



1960—Washington Square Park



The '70's—Pulitzer Fountain

Recipient and Purpose	Total Appropriation	Paid in Previous Years	Payment in 1973	Unpaid Balance
Wiltwyck School for Boys, Inc. New York, New York For general budgetary support of the School's program of specialized therapeutic services for severely disturbed boys in New York City.	7,500		7,500	
Women's Prison Association and Home, The New York, New York To institute an Office of Services Coordination for this voluntary program which provides shelter and counseling for recently released female offenders.	35,000*	27,000	8,000	
Young Filmmakers Foundation, Inc., The New York, New York Toward the Media Equipment Resource Center of this film workshop and training program for inner-city youth.	15,000		15,000	
Young Men's Christian Association of Greater New York New York, New York For general budgetary support.	10,000		10,000	
Young Men's Christian Associations, National Board of New York, New York For general budgetary support.	10,000		10,000	
Young Women's Christian Association of the City of New York, The New York, New York For general budgetary support.	10,000		10,000	
Young Women's Christian Association of the U.S.A., National Board of the New York, New York For general budgetary support.	10,000		10,000	
Young Women's Christian Association of Mount Desert Island Bar Harbor, Maine For general budgetary support and program development.	12,000		6,000	6,000
			<u>\$11,784,169</u> ⁽²⁾	<u>\$17,592,543</u>

(2) Total does not include Lapsed item.

* appropriation made prior to 1973.

Reconciliation of Appropriations Paid With Financial Statements

Unpaid Appropriations, December 31, 1972		
Principal Fund	\$ 9,941,600	
Fund for the Advancement of Contemporary Social and Cultural Studies	<u>37,500</u>	\$ 9,979,100
Appropriations Authorized in 1973		
Principal Fund	19,536,550	
Fund for the Advancement of Contemporary Social and Cultural Studies	<u>126,062</u>	
	19,662,612	
Less—Appropriations lapsed Principal Fund	<u>265,000</u>	<u>19,397,612</u>
		29,376,712
Appropriations Paid in 1973		
Principal Fund	11,638,107	
Fund for the Advancement of Contemporary Social and Cultural Studies	<u>146,062</u>	<u>11,784,169</u>
Unpaid Appropriations, December 31, 1973		
Principal Fund	17,575,043	
Fund for the Advancement of Contemporary Social and Cultural Studies	<u>17,500</u>	17,592,543
Special Fund (Obligation acquired on merger with Sealantic Fund, Inc. December 12, 1973)		<u>116,000</u>
		<u>\$17,708,543</u>

Summary Statement of Assets at Book and Market Values at December 31, 1973

	Book Value	Market Value
Principal Fund:		
Cash	\$ 711,272	\$ 711,272
Time certificate of deposit	5,150,000	5,150,000
Marketable securities as annexed:		
U.S. Government agency bonds	8,223,230	7,784,155
Foreign government and foreign bonds	2,213,520	1,778,787
Corporate bonds	21,941,431	19,601,835
Short-term commercial paper	3,550,000	3,550,000
Preferred stocks	991,250	937,500
Common stocks	112,039,830	169,244,444
Corporate notes and other investments	14,768,224	15,488,504
Real estate and furnishings	663,772	663,772
	<u>170,252,529</u>	<u>224,910,269</u>
Fund for the Advancement of Contemporary, Social and Cultural Studies:		
Cash	47,574	47,574
U.S. Government agency bonds	1,537,255	1,530,200
	<u>1,584,829</u>	<u>1,577,774</u>
Special Fund:		
Cash	68,934	68,934
Marketable securities, as annexed:		
Bonds	1,582,176	1,218,730
Common stocks	232,732	335,363
Short-term commercial paper	745,000	745,000
Second Mortgage Leasehold Bonds, 5½%, due January 1, 1988	540,000	540,000
Real estate	580,000	580,000
	<u>3,748,842</u>	<u>3,488,027</u>
Total	<u><u>\$175,586,200</u></u>	<u><u>\$229,976,070</u></u>

Financial Information

Following the certificate of Coopers & Lybrand, Independent Certified Public Accountants, are financial statements comprising:

Financial Statements:

- Balance Sheets, December 31, 1973 and 1972
- Statement of Changes in Fund Balances for the year ended December 31, 1973
- Notes to Financial Statements

Supporting Statements:

- Statement of Administrative and Other Expenses, Principal Fund, for the year ended December 31, 1973
- Statement of Marketable Securities, Principal Fund, December 31, 1973
- Statement of Corporate Notes and Other Investments, Principal Fund, December 31, 1973
- Statement of Marketable Securities, Special Fund, December 31, 1973
- Statement of Marketable Securities, Fund for the Advancement of Contemporary Social and Cultural Studies, December 31, 1973

The following additional information is required by Section 6056 of the Internal Revenue Code:

Revenues

1. Amount of gifts, grants, bequests and contributions received for the year			\$ 4,781,759
2. Gross income for the year:			
Dividends and interest	\$ 8,913,412		
Gains on sale of securities	2,650,240		
Other	18,839	11,582,491	
3. Total			<u>\$ 16,364,250</u>

Disbursements and Expenses

4. Disbursements for the year (including administrative expense)	\$ 13,629,129
5. Expenses attributable to gross income (Item 2 above) for the year	170,379

COOPERS & LYBRAND

CERTIFIED PUBLIC ACCOUNTANTS

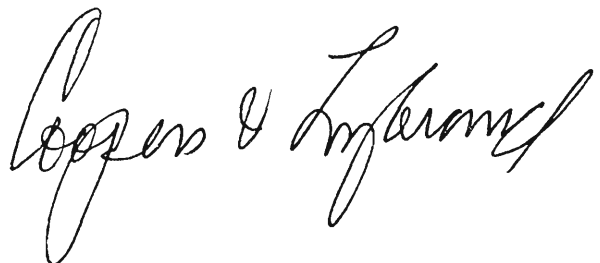
IN PRINCIPAL AREAS
OF THE WORLD

To the Board of Trustees,
Rockefeller Brothers Fund, Inc.:

We have examined the balance sheet of ROCKEFELLER BROTHERS FUND, INC. as of December 31, 1973 and the related statement of changes in fund balances for the year then ended, and the supporting statements (pages 78 to 91). Our examination was made in accordance with generally accepted auditing standards, and accordingly included such tests of the accounting records and such other auditing procedures as we considered necessary in the circumstances. Securities owned at December 31, 1973 were confirmed to us by the custodian. We previously examined, and reported upon the financial statements of the Fund for 1972.

In our opinion, the aforementioned financial statements (pages 78 through 82) present fairly the financial position of Rockefeller Brothers Fund, Inc. at December 31, 1973 and 1972, and the changes in fund balances for the year ended December 31, 1973 and the supporting statements (pages 83 through 91) present fairly the information included therein, all in conformity with generally accepted accounting principles applied on a consistent basis.

New York, April 30, 1974.

A large, stylized handwritten signature in black ink, which appears to read "Coopers & Lybrand". The signature is written in a cursive, flowing style with large loops and flourishes.

Rockefeller Brothers Fund, Inc.
Balance Sheets, December 31, 1973 and 1972

Assets:	1973	1972
Principal Fund:		
Cash	\$ 709,522	\$ 669,484
Time certificates of deposit	5,150,000	
Marketable securities, at cost, or at market at date of gift or bequest (approximate market: 1973, \$202,896,721; 1972, \$249,253,283):		
Short-term commercial paper	3,550,000	
U. S. Government and agency bonds	8,223,230	12,590,004
Foreign government and other foreign bonds	2,213,520	2,956,225
Corporate bonds	21,941,431	23,038,283
Preferred stocks (Note 2)	991,250	2,829,705
Common stocks	112,039,830	109,102,474
	<u>148,959,261</u>	<u>150,516,691</u>
Corporate notes and other investments (principal amount: 1973, \$15,488,506; 1972, \$17,286,604) (Note 2)	14,768,224	15,814,542
Real estate and furnishings (Note 3)	663,772	663,772
	<u>170,250,779</u>	<u>167,664,489</u>
Special Fund (Note 5):		
Cash	70,684	
Time certificate of deposit	745,000	
Marketable securities, at cost (approximate market \$1,554,093)	1,814,908	
The Interchurch Center, \$540,000 second mortgage leasehold bonds, 5½%, due January 1, 1988, at cost	540,000	
Real estate, at amounts based on cost to the donor or appraisal at date donated	580,000	
	<u>3,750,592</u>	
Fund for the Advancement of Contemporary Social and Cultural Studies:		
Cash	47,574	8,123
Time certificate of deposit		1,000,940
Marketable securities, at cost (approximate market: 1973, \$1,530,200; 1972, \$600,000)	1,537,255	599,064
	<u>1,584,829</u>	<u>1,608,127</u>
	<u>\$175,586,200</u>	<u>\$169,272,616</u>

The accompanying notes are an integral part of these financial statements.

Liabilities and Funds:	1973	1972
Principal Fund:		
Federal excise tax	\$ 358,702	\$ 365,000
Fund balance, as annexed:		
Unpaid appropriations	17,575,043	9,941,600
Unappropriated	<u>152,317,034</u>	<u>157,357,889</u>
	<u>169,892,077</u>	<u>167,299,489</u>
	<u>170,250,779</u>	<u>167,664,489</u>
Special Fund (Note 5):		
Federal excise tax	<u>11,594</u>	
Fund balance, as annexed:		
Unpaid appropriations	116,000	
Unappropriated	<u>3,622,998</u>	
	<u>3,738,998</u>	
	<u>3,750,592</u>	
Fund for the Advancement of Contemporary Social and Cultural Studies:		
Federal excise tax	<u>5,027</u>	<u>2,900</u>
Fund balance, as annexed:		
Unpaid appropriations	17,500	37,500
Unappropriated	<u>1,562,302</u>	<u>1,567,727</u>
	<u>1,579,802</u>	<u>1,605,227</u>
	<u>\$175,586,200</u>	<u>\$169,272,616</u>

**Statement of Changes in Fund Balances
for the year ended December 31, 1973**

	Principal Fund
Unpaid appropriations:	
Balance, January 1, 1973	\$ 9,941,600
Additions:	
Transfer of fund balance from Sealantic Fund, Inc., December 12, 1973 (Note 5)	
Appropriations authorized in 1973	19,536,550
	<u>29,478,150</u>
Deduct, Appropriations paid or lapsed in 1973	11,903,107
	<u>17,575,043</u>
Balance, December 31, 1973	
Unappropriated:	
Balance, January 1, 1973	157,357,889
Additions:	
Transfer of fund balance from Sealantic Fund, Inc., December 12, 1973 (Note 5)	
Bequest from the Estate of Martha Baird Rockefeller:	
Cash	\$ 3,946,657
Marketable securities (recorded at market at date received)	835,102
	<u>4,781,759</u>
Income from securities:	
Dividends	5,391,037
Interest	3,396,127
	<u>8,787,164</u>
Net gain from sale and redemption of securities	2,649,074
	<u>1,341</u>
Income from royalties	16,219,338
	<u>173,577,227</u>
Deductions:	
Appropriations authorized in 1973	19,536,550
Less, Appropriations of 1973 and prior years lapsed in 1973	282,498
	<u>19,254,052</u>
Authorized payments for expenditures incurred by the Special Task Force of the Citizen's Advisory Committee on Environmental Quality	254,020
	<u>19,508,072</u>
Administrative and other expenses, as annexed	1,387,484
Federal excise tax	364,637
	<u>21,260,193</u>
Balance, December 31, 1973	152,317,034
Total fund balance, December 31, 1973	<u>\$169,892,077</u>

The accompanying notes are an integral part of these financial statements.

Special Fund (Note 5)	Fund for the Advancement of Contemporary Social and Cultural Studies
	\$ 37,500
\$ 116,000	
116,000	126,062
116,000	163,562
116,000	146,062
116,000	17,500
	1,567,727
3,621,318	
	124,498
1,750	
	1,166
3,623,068	1,693,391
	\$ 126,062
70	131,089
3,622,998	1,562,302
\$ 3,738,998	\$ 1,579,802
	\$ 1,579,802

1. Summary of Significant Accounting Policies:

In common with the practice of many nonprofit organizations, income and expenses are generally accounted for on the cash basis and office furniture and equipment are charged to expense when acquired. However, the accompanying financial statements are not materially different from statements which would result from use of the accrual basis of accounting.

Security transactions are recorded as of the settlement date (date cash payment is due for delivery of securities).

Realized gains and losses from sales of securities are determined on the specific identification basis.

2. Preferred Stocks, Corporate Notes and Other Investments:

At December 31, 1973 these investments are stated at cost, except for one issue of preferred stock and one note which are stated at estimated realizable value of \$250,000 and \$25,000, respectively.

Investments in corporate notes are usually purchased under private placement agreements and as a result have limited marketability. Therefore, published market quotations are not available for most of these investments.

3. Real Estate and Furnishings:

At December 31, 1973 this consists of land, residence and furnishings bequeathed to the Fund from the Estate of Martha Baird Rockefeller in 1972. On November 16, 1973 the Board of Trustees approved in principle the transfer of this property to The Rockefeller University to be used as an archive center with final action to be taken after certain specified conditions have been met.

4. Pension Expense:

The Fund has a noncontributory retirement income plan covering substantially all of its employees. Total pension expense for the year under this plan was \$56,702. The Fund's policy is to fund pension cost accrued.

5. Special Fund:

In accordance with a plan of merger the assets and liabilities of Sealantic Fund, Inc. (a tax-exempt private foundation) were transferred to the Rockefeller Brothers Fund, Inc. as of December 12, 1973. The merger was effected under Section 904 of the Not-for-Profit Corporation Law of New York State. Pursuant to tax rulings received from the Internal Revenue Service the merger does not affect the Rockefeller Brothers Fund, Inc.'s tax status as a tax-exempt private foundation and Sealantic Fund, Inc. will not be liable for any termination tax.

The assets, liabilities and fund balances of Sealantic Fund, Inc. were recorded in the accompanying financial statements at their book values as of the date of transfer.

Sealantic Fund, Inc. was comprised of six funds which are now grouped in the Special Fund in the accompanying financial statements and at December 31, 1973 are as follows:

Theological Education Fund (includes \$116,000 of unpaid appropriations)	\$1,573,157
Interchurch Center Fund	2,046,524
General Fund	16,648
International Missionary Council Fund	26
Nursing Projects Fund	32,643
Rehearsal Club Fund	70,000
	<u>\$3,738,998</u>

**Statement of Administrative and Other Expenses, Principal Fund
for the year ended December 31, 1973**

Administrative expenses:

Salaries	\$ 695,726
Retirement plan contributions	58,854
Group life insurance	11,891
Thrift plan	32,898
Other employee benefits	29,124
Unemployment and disability insurance	7,229
Social security tax	27,934
Consultants' fees	72,119
Investment services	80,000
Legal and audit fees	24,766
Security custodian fees	32,203
New York State transfer tax	16,094
Travel	51,225
Rent and electricity	122,452
Telephone	24,426
Furniture and equipment	3,464
General office expenses	47,933
Publications	28,415
	<u>1,366,753</u>

Less, Reimbursement received for share of
administrative expenses:

Rockefeller Family Fund, Inc.	\$ 75,000	
Sealantic Fund, Inc.	15,000	90,000
		<u>1,276,753</u>

**Other expenses (relating to property bequest from
the Estate of Martha Baird Rockefeller):**

Real estate taxes	51,119
Maintenance	43,747
Utilities	9,768
Insurance	4,497
Miscellaneous	1,600
	<u>110,731</u>
	<u>\$ 1,387,484</u>

**Statement of Marketable Securities, Principal Fund
December 31, 1973**

	Principal Amounts	Book Amounts (1)	Approximate Market (2)
Short-term commercial paper:			
Allstate, 9 $\frac{7}{8}$ %, January 15, 1974	\$ 1,950,000	\$ 1,950,000	\$ 1,950,000
General Motors Acceptance Corporation, 8.5%, July 19, 1974	500,000	500,000	500,000
SRAC Corporation, 9 $\frac{1}{8}$ %, January 3, 1974	1,100,000	<u>1,100,000</u>	<u>1,100,000</u>
Total short-term commercial paper		<u>\$ 3,550,000</u>	<u>\$ 3,550,000</u>
Bonds:			
U. S. Government and agency bonds:			
Federal Home Loan Banks, 8.4%, February 25, 1974	1,000,000	997,660	1,000,000
Federal Intermediate Credit Bank: 7%, January 2, 1974	750,000	750,705	750,000
7%, January 2, 1974	500,000	499,375	500,000
7%, March 4, 1974	500,000	498,985	500,000
9 $\frac{3}{4}$ %, July 1, 1974	600,000	606,000	600,000
		<u>2,355,065</u>	<u>2,350,000</u>
Federal Land Bank: 5.55%, January 21, 1974	500,000	499,065	500,000
7.3%, April 22, 1974	750,000	749,535	750,000
		<u>1,248,600</u>	<u>1,250,000</u>
Federal National Mortgage Association: 7.9%, June 10, 1974	600,000	597,750	600,000
6.45%, November 19, 1974	1,000,000	1,000,000	1,000,000
4.375%, October 1, 1996	1,200,000	1,538,000	1,098,000
		<u>3,135,750</u>	<u>2,698,000</u>
U. S. Treasury Bill, due September 24, 1974	525,000	486,155	486,155
Total U. S. Government and agency bonds		<u>\$ 8,223,230</u>	<u>\$ 7,784,155</u>

Foreign government and other foreign:

Bell Telephone Co. of Canada, 4 ⁷ / ₈ %, May 1, 1988	\$ 200,000	\$ 199,280	\$ 140,250
City of Winnipeg, Canada, 4 ³ / ₄ %, November 1, 1989	500,000	500,000	343,750
Japan Development Bank, 6 ¹ / ₂ %, November 15, 1980	300,000	293,250	276,000
Nippon Telephone and Telegraph Public Corporation, 5 ³ / ₄ %, April 15, 1980	250,000	243,125	220,000
Trans Canada Pipelines: 5 ¹ / ₈ %, May 1, 1985	173,000	173,865	128,452
6 ⁵ / ₈ %, August 1, 1987	804,000	804,000	670,335
		<u> </u>	<u> </u>
Total foreign government and other foreign		<u>\$ 2,213,520</u>	<u>\$ 1,778,787</u>

Corporate:

Utilities:

General Telephone and Electronics: 4 %, March 15, 1990	115,000	\$ 117,300	\$ 71,875
5 %, December 15, 1992	500,000	500,000	346,250
Hilo Electric Light Company, Ltd., 5 ⁷ / ₈ %, March 1, 1989	396,000	396,000	263,640
Iowa Electric Light and Power Company, 5 ¹ / ₈ %, January 1, 1991	500,000	500,000	360,330
Michigan Gas Utilities, 4.70 %, February 1, 1990	265,000	265,000	179,349
Mountain States Telephone and Telegraph, 7 ³ / ₄ %, June 1, 2013	750,000	750,000	732,187
Norfolk and Western Railroad, Conv.: 4 ⁵ / ₈ %, October 1, 1981	2,000,000	1,564,000	1,600,000
4 ⁵ / ₈ %, January 1, 1983	1,200,000	907,800	912,000
Northern Illinois Gas Company, 6 %, August 1, 1991	425,000	321,406	347,225

Pacific Gas and Electric Co., 5%, June 1, 1989	\$ 250,000	\$ 196,818	\$ 183,125
Pacific Power and Light Company, 3½%, August 1, 1984	200,000	209,500	135,436
Pacific Telephone and Telegraph, 6%, November 1, 1992	500,000	427,500	390,315
Pennsylvania Railroad, Conditional Sales Contracts, 5¾%, February 15, 1986	216,667	216,667	167,338
Southern Bell Telephone, 7⅝%, March 15, 2013	750,000	750,000	726,562
Southwestern Bell Telephone Company, 8¾%, August 1, 2007	1,000,000	1,005,000	1,067,500
Tennessee Gas Transmission Corporation, 5½%, May 1, 1981	451,000	451,000	378,993
Western Maryland Railway Company 7⅞%, October 1, 1978	1,000,000	931,600	951,760
		<u>9,509,591</u>	<u>8,813,885</u>

Financials:

American Express Credit, 6½%, April 1, 1977	1,000,000	1,007,500	963,300
Bank for Cooperatives, 9.85%, April 1, 1974	500,000	503,515	500,000
Beneficial Finance Company, 4⅞%, June 1, 1981	830,000	750,092	693,141
Charter New York Corporation, 5¾%, August 1, 1991	500,000	458,775	390,850
C.I.T. Financial Corp., 5⅞%, January 15, 1980	300,000	251,670	260,622
Citizens and Southern Realty, 6¾%, October 15, 1978	550,000	514,250	500,500
First Chicago Corp., 6¼%, July 15, 1978	1,000,000	985,000	941,690
General Motors Acceptance Corporation, 4⅝%, March 1, 1983-2071	900,000	821,903	718,875
Guardian Mortgage, 7½%, December 15, 1979	500,000	498,750	470,000
Industrial Acceptance Corporation, Ltd., 5½%, October 1, 1987	250,000	250,000	175,625
Inter American Development Banks, 6⅝%, November 1, 1993	410,000	329,025	360,800
International Bank for Reconstruction and Development, 5%, February 15, 1985	100,000	100,000	80,625

John Deere Credit Company, Deb.:			
Series B, 4¾%, April 1, 1981	\$ 500,000	\$ 493,750	\$ 403,560
Series A, 4⅞%, October 31, 1985	650,000	583,813	506,928
Macy Credit Corporation:			
4¾%, November 1, 1981	700,000	650,392	550,361
4⅞%, June 1, 1985	250,000	247,625	177,357
World Bank,			
4¼%, January 15, 1979	200,000	173,500	174,250
		<u>8,619,560</u>	<u>7,868,484</u>
Industrials:			
Amerada Hess Corp.,			
6¾%, March 15, 1996	500,000	417,500	426,875
Amrep Corporation,			
6%, Conv., May 1, 1984	500,000	500,000	282,500
Eastern Air Lines,			
5%, November 1, 1992	1,000,000	1,000,000	382,500
Global Marine, Inc.,			
5%, December 1, 1984	750,000	608,252	540,000
Ryan Homes, Inc., Conv.,			
6%, July 15, 1991	300,000	300,000	216,000
Union Tank Car Equipment Trust,			
9⅞%, July 1, 1990	989,000	986,528	1,071,591
		<u>3,812,280</u>	<u>2,919,466</u>
	Total corporate bonds	<u>\$ 21,941,431</u>	<u>\$ 19,601,835</u>
Preferred stocks:			
	Shares		
Allis Chalmers Manufacturing Co. Pfd.	12,500	\$ 741,250	\$ 687,500
Leisure Group Pfd.	10,000	250,000*	250,000
		<u>\$ 991,250</u>	<u>\$ 937,500</u>
	Total preferred stocks	<u>\$ 991,250</u>	<u>\$ 937,500</u>
Common stocks:			
Financials:			
Beneficial Corporation	70,000	\$ 3,114,658	\$ 1,811,250
BT Mortgage Investors	60,000	1,155,000	1,087,500
Chase Manhattan Corporation	138,000	3,511,908	7,797,000
Corporate Property Investors	40,000	1,000,000	1,000,000
Guardian Mortgage Investors	30,000	1,204,582	750,000
Household Finance Corporation	66,000	1,680,244	1,295,250
Wells Fargo Company	100,000	2,554,008	2,275,000
		<u>14,220,400</u>	<u>16,016,000</u>

* Stated at estimated realizable value.

Foreign:			
Selected Risk Investments, S.A.	56,600	\$ 333,396	\$ 356,580
Insurance:			
Colonial Penn Group, Inc.	130,000	1,110,000	7,458,750
Connecticut General Insurance Corporation	57,000	2,815,256	3,113,625
Continental Corporation	75,000	2,941,371	3,075,000
Gulf Life Holding Company	50,000	2,505,846	1,500,000
Government Employees Insurance Company	78,867	2,259,984	3,371,564
National Liberty Corp.	151,944	1,806,994	674,327
Variable Annuity Life Insurance Company	125,000	2,237,936	1,578,125
		<u>15,677,387</u>	<u>20,771,391</u>
Industrials:			
Building Products and Homebuilding:			
Centex Corporation	75,000	2,486,902	871,875
Evans Products Company	129,792	2,588,089	1,281,696
Ideal Basic Industries, Inc.	150,000	2,539,315	2,718,750
Jim Walter Corporation	101,000	2,860,692	1,388,750
Masco Corporation	37,000	1,022,245	1,655,750
Weyerhaeuser Company	68,280	1,999,989	2,671,455
		<u>13,497,232</u>	<u>10,588,276</u>
Chemicals:			
Dow Chemical Company	100,000	2,371,320	5,750,000
Computer and Office Equipment:			
International Business Machines Corporation	33,465	1,905,009	8,257,489
Consumer Products and Services:			
American Express Company	97,000	2,478,163	4,389,250
Eastman Kodak Company	30,000	177,963	3,480,000
Procter and Gamble Company	40,000	2,849,360	3,680,000
		<u>5,505,486</u>	<u>11,549,250</u>
Drugs:			
Merck & Co., Inc.	37,000	1,743,677	2,987,750
Pfizer, Inc.	45,000	1,703,835	1,935,000
Squibb Corporation	30,000	2,287,903	2,422,500
		<u>5,735,415</u>	<u>7,345,250</u>
Manufacturing:			
Auto and Machine Parts:			
Echlin Manufacturing Company	100,000	1,857,873	3,225,000
Purolator, Inc.	60,000	3,827,370	1,815,000
		<u>5,685,243</u>	<u>5,040,000</u>

	Shares	Book Amounts (1)	Approximate Market (2)
Earthmoving Equipment and Machinery:			
Caterpillar Tractor Co.	32,000	\$ 1,345,921	\$ 2,144,000
Electrical Equipment:			
General Electric Company	45,000	941,155	2,835,000
Grainger (W.W.), Inc.	83,998	999,996	2,918,930
		<u>1,941,151</u>	<u>5,753,930</u>
Semiconductors:			
Motorola, Inc.	50,000	3,246,496	2,462,500
National Semiconductor	70,000	2,346,754	4,445,000
		<u>5,593,250</u>	<u>6,907,500</u>
Oils and Oil Services:			
Exxon Corporation	320,000	12,359,680	30,120,000
Mobil Oil Corporation	257,500	4,844,090	13,647,500
Smith International, Inc.	90,000	1,426,135	2,553,750
Standard Oil Company of California	260,000	4,655,300	9,100,000
		<u>23,285,205</u>	<u>55,421,250</u>
Retail:			
Federated Department Stores	61,100	2,171,768	1,718,437
Lucky Stores, Inc.	150,358	2,369,548	1,823,091
Pamida, Inc.	80,000	1,778,904	400,000
Rich's, Inc.	52,500	2,210,251	1,207,500
Rite-Aid Corporation	100,000	1,276,329	1,625,000
Sears, Roebuck & Company	58,000	639,313	4,654,500
		<u>10,446,113</u>	<u>11,428,528</u>
Others:			
Collins and Aikman Corporation	120,000	3,376,278	900,000
Freeport Minerals Company	40,000	1,121,024	1,015,000
		<u>4,497,302</u>	<u>1,915,000</u>
Total industrials		<u>81,808,647</u>	<u>132,100,473</u>
Total common stocks		<u>112,039,830</u>	<u>169,244,444</u>
Total common and preferred stocks		<u>\$113,031,080</u>	<u>\$170,181,944</u>

Notes:

(1) Book amounts represent cost, if purchased, or market value at date of gift or bequest.

(2) Approximate market is based on closing sales prices on December 31, 1973, or in absence thereof, on closing bid prices.

**Statement of Corporate Notes and Other Investments, Principal Fund
December 31, 1973**

	Interest Rate	Maturity	Principal Amount	Cost
Corporate notes:				
Bank of Hawaii	4.7%	10/15/89	\$ 250,000	\$ 250,000
Central Trust Company, Rochester, N. Y.	4 $\frac{7}{8}$	6/ 1/89	192,000	192,000
Chemical Bank New York Trust Co.	5 $\frac{7}{8}$	1/ 1/92	400,000	398,700
Chrysler Financial Corporation	6 $\frac{7}{8}$	8/15/87	600,000	600,000
Chrysler Realty Corporation	6	1/ 1/95	932,069	932,069
City National Bank of Detroit	4 $\frac{3}{4}$	2/ 1/90	220,000	220,000
Commercial Credit Co.	4 $\frac{3}{4}$	11/ 1/80	400,000	395,563
Compagnie de Saint Gobain S.A.	6 $\frac{1}{2}$	5/ 1/76	100,000	100,000
Continental Mortgage Investors	(5	4/ 1/89	500,000	500,000
	(5 $\frac{1}{2}$	1/ 1/80	1,000,000	920,820
Eastern Air Lines	6	12/ 1/86	250,000	250,000
Ethyl Corporation	5 $\frac{3}{4}$	11/ 1/82	750,000	583,110
Family Finance Corporation	5 $\frac{1}{2}$	6/ 1/81	266,000	266,000
Fansteel Metallurgical, Convertible	5 $\frac{1}{2}$	1/31/88	333,000	333,000
Farrington Manufacturing Co.	6	10/ 1/83	500,000	25,000*
First Jersey National Bank	4 $\frac{3}{4}$	6/ 1/90	212,500	212,500
Ford Motor Company	7 $\frac{1}{4}$	7/ 1/77	800,000	802,400
Ford Motor Credit Company	6 $\frac{7}{8}$	3/15/78	750,000	754,125
General Electric Credit Corp.	4 $\frac{3}{4}$	12/30/75	500,000	500,000
General Finance Corp.	5	4/ 1/76	150,000	150,000
Glens Falls National Bank & Trust Co.	4 $\frac{7}{8}$	9/ 1/89	214,000	214,000
Great Western Savings & Loan Association, Capital Notes, Convertible	5	12/15/83	500,000	500,000
Heritage Bancorporation	5 $\frac{1}{2}$	3/15/94	800,000	800,000
Hillman Coal & Coke Co.	5	4/ 1/79	198,863	198,863
International Telephone & Telegraph Credit Corp.	5.10	12/ 1/84	343,000	343,000
Pennsylvania Life Company, Convertible	5	8/ 1/83	1,000,000	1,000,000
Security National Bank of Long Island	4 $\frac{3}{4}$	8/31/89	400,000	400,000
Virginia National Building Corp.	4.7	5/ 1/90	459,378	459,378
Westinghouse Credit Corp.	7.20	5/15/78	750,000	750,000
			<u>13,770,810</u>	<u>13,050,528</u>
First mortgage loan receivable:				
Ramon Magsaysay Award Foundation	6	12/31/71-93	1,717,696	1,717,696
			<u>\$ 15,488,506</u>	<u>\$ 14,768,224</u>

* Stated at estimated realizable value.

**Statement of Marketable Securities, Special Fund
December 31, 1973**

	Principal Amounts	Cost	Approximate Market*
Bonds:			
Bell Telephone Co. of Canada:			
4 $\frac{7}{8}$ %, May 1, 1988	\$ 50,000	\$ 49,820	\$ 35,062
4.85%, September 1, 1995	100,000	100,000	64,375
Celanese Corporation of America, Capital Note,			
4 $\frac{3}{4}$ %, April 1, 1990	167,800	167,800	125,771
Eurofima Dollar Notes,			
5 $\frac{5}{8}$ %, May 15, 1978	98,000	98,000	74,480
Hilo Electric Light Company, Ltd.,			
5 $\frac{7}{8}$ %, March 1, 1989	198,000	198,000	131,670
Japan Development Bank Debentures,			
6%, February 1, 1978	142,000	137,030	134,900
Security National Bank of Long Island, Capital Note,			
4 $\frac{3}{4}$ %, August 31, 1989	160,000	160,000	93,600
Transcontinental Gas Pipe Line Corporation:			
5%, November 1, 1981	181,000	183,185	142,217
4 $\frac{7}{8}$ %, November 1, 1982	193,000	193,965	146,655
U.S. Postal Service,			
6 $\frac{7}{8}$ %, February 1, 1997	300,000	294,376	270,000
		<u>1,582,176</u>	<u>1,218,730</u>
		Shares	
Common stocks:			
American Telephone and Telegraph Company	1,050	61,250	52,631
Bankamerica Corporation	4,320	108,000	202,232
Southern Pacific Company	2,000	63,482	80,500
		<u>232,732</u>	<u>335,363</u>
	<u>\$ 1,814,908</u>	<u>\$ 1,554,093</u>	

* Approximate market is based on closing sales prices on December 31, 1973, or in absence thereof, on closing bid prices.

**Statement of Marketable Securities, Fund for the Advancement of
Contemporary Social and Cultural Studies
December 31, 1973**

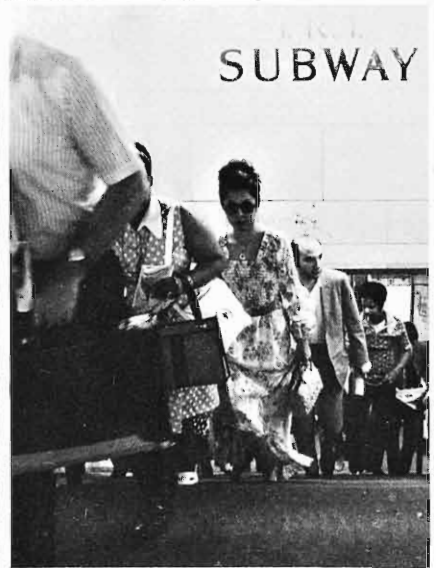
	Principal Amounts	Cost	Approximate Market*
Federal Home Loan Bank,			
9%%, August 26, 1974	\$ 400,000	\$ 403,000	\$ 400,000
Bank for Cooperatives,			
9.9%, March 4, 1974	650,000	654,055	650,000
U.S. Treasury Bill, due June 6, 1974	500,000	480,200	480,200
		<u>\$ 1,537,255</u>	<u>\$ 1,530,200</u>

* Approximate market is based on closing sales prices on December 31, 1973, or in absence thereof, on closing bid prices.

On the Brooklyn Bridge, the 1960's



1942—Broadway marquee



1973—42nd Street subway entrance

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Henry H. Fowler

Jean Mauzé*

Laurance S. Rockefeller**

Felix G. Rohatyn

Eli Shapiro

* Deceased 1/7/74
** Resigned 3/21/74

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Gene W. Setzer

Portia A. Smith

Harold R. Snedcof

Thomas W. Wahman

Michaela Walsh

William G. Wing

Foundation Managers

Trustees and officers as listed are "foundation managers" within the meaning of Sec.4946(b) of the Internal Revenue Code with respect to all activities of the Fund.

Contributions

Foundation managers who have made a number of contributions to the Rockefeller Brothers Fund, all prior to 1970, are Abby R. Mauzé, John D. Rockefeller 3rd, Laurance S. Rockefeller, Nelson A. Rockefeller, David Rockefeller and the late Winthrop Rockefeller. Only the last has contributed more than 2% of the total contributions received by the Fund.

In addition to a major gift which he made in 1951, the late John D. Rockefeller, Jr., bequeathed one-half of his estate to the Fund in 1960. In 1971, following the death of Martha B. Rockefeller, the Fund was the beneficiary of a trust in which she held a life interest and of a trust which she had created in which the Fund had a contingent beneficial interest.

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