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# **Rockefeller Brothers Fund Annual Report 1972**

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*This printed report includes the information submitted by the Rockefeller Brothers Fund to the Internal Revenue Service as required of private foundations under Section 6056 of the Internal Revenue Code.*



**Winthrop Rockefeller:** *May 1, 1912–February 22, 1973*

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Winthrop Rockefeller, a founder and trustee of the Rockefeller Brothers Fund, died of cancer in February after an illness of less than a year. His death removes from American philanthropy a highly individualistic force which found its chief outlet in personal service.

The personal qualities manifested in Winthrop Rockefeller's public service dominated the flood of affection that appeared in print in Arkansas, where he had lived for twenty years and whose governor he had been. "A giant of a man with a spirit to match"; "a man of courage, convictions and compassion"; "a major healer of the state's racial divisions"; "a warm and compassionate man"—these attributes of the individual were constantly repeated in the tributes. Assessments of Winthrop Rockefeller as governor were invariably balanced by recollections of him as a person: his appetite for life; his exuberant pride in the role of Squire of Winrock Farms (and his fun in describing himself as a simple, hilltop Arkansas farmer); his passion for talk; his qualities as a night-person who waxed during the small hours while others waned; his irresistible urge to listen to personal problems. . . .

Winthrop Rockefeller considered his political service as part and parcel of his concept of stewardship. His first official role in Arkansas came with appointment as chairman of the Arkansas Industrial Development Commission in 1955; he plunged into the job and began a national campaign to bring industry to Arkansas. (When he resigned nine years later, he could report the addition of 600 plants and 90,000 jobs.) The experience produced the desire to deal more directly with problems he felt encumbered the state: his election in 1966 as the state's first Republican governor since Reconstruction was not only a personal victory but, for the state, the restoration of a two-party system.

"Win's governorship was a breath of fresh air," a political observer has remarked. The state's first minimum-wage law was adopted. The first state guarantee of freedom of the press was adopted. An upheaval and overhaul was begun in what had been described as the country's worst prison system. Blacks were brought into the state government in significant numbers; more importantly, a new relationship developed within the state between the races. At Winthrop Rockefeller's memorial service, a black public servant whose distinguished career had begun in the Rockefeller administration said: "For while Governor Rockefeller helped free the black man in Arkansas from the oppression of Jim Crow, he also helped free the white man of our state from the prison of prejudice."

Unsuccessful in his attempts to translate into state law his own "unalterable" opposition to capital punishment, Winthrop Rockefeller commuted the death sentences of all prisoners in Death Row as his last official act. He almost certainly viewed this gift of life as the ultimate philanthropy.

At his death, an Arkansas editorial said: "No man, living or dead, has done so much for Arkansas."

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As private citizen, Winthrop Rockefeller made benefactions to Arkansas beyond recording. His gifts fall into a number of categories, however. He had an especial interest in education, supporting scholarship programs, a model school for the town of Morrilton near his farm and consolidation of operations of a group of private colleges. He also had a strong interest in health programs, among them creation of a clinic for Perry County. Appeals to him for help in establishing an art museum in Little Rock produced a larger conception of a statewide art center that would be both museum and teaching facility; the Arkansas Art Center is one of the most distinguished in the country. "To many people in Arkansas he meant a great deal," the Center's publication stated after his death, "but to art in Arkansas he meant almost everything."

Winrock Farms on Petit Jean Mountain, with its extensive cattle-breeding and research complex, automobile museum, conference center, park, lakes and recreational facilities, was itself designed as a contribution to the public welfare.

Winthrop, the fifth of the six children of Abby Aldrich Rockefeller and John D. Rockefeller, Jr., went first to the experimental Lincoln School in New York City, then the Loomis School in Connecticut and finally to Yale. But (as he later wrote in an autobiographical letter to his son, Winthrop Paul Rockefeller) he entered college "without enthusiasm or purpose."

"My strong point had never been the academic—I was always happier doing things with my hands . . . I wanted to get out in the world, to work, to prove my own worth." Vacation jobs at various oil facilities brought him to Texas. It was the beginning of a love affair with Texas and with oil; in his junior year he resigned from college and went to work as a laborer in the Texas oil fields.

The oil fields were his university. Three years experience there profoundly influenced his view of life and his attitudes toward philanthropy. His progress up the steps from roustabout to boll weevil to the elite group called roughnecks—"the toughest animal God ever made"—were the most treasured honors he carried through life.

"In the oil fields," he wrote his son, "a man's worth depended on the day's work he could turn out, and other things were incidental. I've never forgotten that lesson. It is—or should be—as true in every field of life as it is in oil."

Back in New York at twenty-five, an introduction to banking quickly decided him his interests lay elsewhere. ("The handling of money for itself has never interested me . . .



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Money is only important to me for the constructive things it can do . . .") He joined the foreign department of an oil company and toured the oil fields of the Near East. He also entered the formal world of philanthropy, directing the first fund-raising drive of the Greater New York Fund. His concern for racial equality, which had begun in boyhood, was expressed by joining the board of the Urban League. (He was to serve for twenty-four years.) World War II was approaching, however, and as an acquaintance wrote, "Winnie jumped into the Army with all four feet."

He joined the training program for businessmen at Plattsburgh, New York, and then—almost a full year before Pearl Harbor—volunteered for the Army. He became the first inductee of the First Division, a private in the infantry. At the end of the war he left the Army as a lieutenant colonel, wearing the Bronze Star with Oak Leaf Cluster, the Purple Heart and five campaign ribbons. The years in between comprised the second major formative period of his life. He took part in the landings at Guam, Leyte and Okinawa; when his ship was struck by a kamikaze plane off Okinawa and the senior officers killed, he took command although seriously burned himself.

In the postwar years, the development of his philanthropic interests continued, particularly in the fields of medicine and education. His work with the New York University-Bellevue Medical Center whetted his interest in medical subjects. In education, he was instrumental in the first attempt to establish an educational television channel in New York. He also served on the boards of Loomis, his old school, and various public education agencies. Finally, though, the move came to Arkansas. As it was with Texas, the relationship with Arkansas developed into an affair of the heart.

Those who were working with Winthrop Rockefeller just before the onset of his illness share the feeling he was approaching the time of his greatest contributions. He had thrown himself into the problems of rural America, and was deep in plans to build a model city that would test his theory that rural towns can be made viable. He wanted, he said, "to rekindle the excitement of the frontier."

In earlier years, Winthrop Rockefeller had written his son: "I have enjoyed the personal use of money. But I have gotten the greatest satisfaction from using it to advance my beliefs in human relations—human values . . ." His friends think he was reaching toward a new concept of philanthropy, embodying all his experiences. If he was seeking new goals just before his death, he already had achieved the individual quality he believed to be the essence of philanthropy. An Arkansas newspaper said it simply: ". . . it seems to grow on more and more people what a good man this was . . ."

## Introduction

The Rockefeller Brothers Fund makes grants to local, national and international philanthropic organizations. During 1972 the Fund made 294 grant payments totaling \$11,187,395. Since its establishment by the five Rockefeller brothers in 1940, contributions have totaled \$121,227,284.

In 1972, the Fund continued to concentrate its grants in several fields which it is believed provide effective points of entry looking toward solutions of overall societal problems. These include: population growth and distribution; conservation and constructive use of natural resources; equal opportunity; quality of life, including religion, values and the arts; and education.

As a general rule, in the Greater New York area, contributions are made to agencies whose activities are citywide in scope since the Fund cannot, because of the number involved, contribute directly to all of the individual churches, community centers, educational institutions and similar organizations. The same principle is followed in the State of New York where the Fund contributes to agencies whose services are statewide. However, as an expression of its concern for the increasingly complex problems of urban areas generally and particularly of its home community of New York City, the Fund in recent years has made grants of local or even neighborhood character to help sustain strategic projects or agencies addressed to such problems.

Outside New York State, grants are directed to selected organizations with programs of general import. For the most part, these organizations are national or international in scope, but occasionally an institution whose primary activity is local in character may be the center of a program which the Fund assists because it relates to a field of particular Fund interest and seems to offer an especially promising response to a problem within that field.

In the case of grants to the budgetary or capital needs of established agencies, the Fund's contributions are purposely held to a relatively small portion of the total need, as the objective of the Fund in this respect is to share with others in the support of such institutions. Additionally, in fields of special trustee interest, the Fund's program includes support for and in some instances direct operation of experimental or new undertakings. In many cases, the trustees take an active part in the formation and operation of these programs as well as in their support.

Of the contributions made during 1972, 155 totaling \$3,527,800 were made toward the general operating needs of various agencies; 139 totaling \$7,659,595 were made for

designated programs or activities of recipient organizations. Brief summaries of all these contributions appear on pages 23 to 79 of this Report. Following these summaries, financial information is presented, starting on page 80.

More generally, beginning on page 11 is a description of the Fund's grants in the international field. These grants totaled approximately \$1,600,000 in 1972, representing about one-seventh of the Fund's overall program. In addition to describing in some detail specific grants, the statement sets forth the rationale underlying the Fund's grants in this field. It is hoped that this will provide a means of understanding the Fund's objectives with respect to an aspect of its program as well as the relationships among what may sometime appear in a random listing to be simply a series of disparate activities. In succeeding years, the several other fields in which the Fund is involved will be similarly described.

### **Contributions**

Foundation managers who have made a number of contributions to the Rockefeller Brothers Fund, all prior to 1970, are Abby R. Mauzé, John D. Rockefeller 3rd, Laurance S. Rockefeller, Nelson A. Rockefeller, David Rockefeller and the late Winthrop Rockefeller. Only the last has contributed more than 2% of the total contributions received by the Fund.

In addition to a major gift which he made in 1951, the late John D. Rockefeller, Jr., bequeathed one-half of his estate to the Fund in 1960. In 1971, following the death of Martha B. Rockefeller, the Fund was the beneficiary of a trust in which she held a life interest and of a trust which she had created in which the Fund had a contingent beneficial interest.



*self reliance - Village development in Africa*



### **International Program**

One of the great truths forced upon this generation is that few things are simple—webs of relationships seem to cover all human affairs. In the course of its work, a private foundation is apt to arrive at the same conclusion reached by the naturalist John Muir after observing life in the High Sierras: "When we try to pick out anything by itself, we find it hitched to everything else in the universe." Few fields of interest prove this point so decisively as international affairs.

It is illuminating to consider the future from the point of view of international affairs because it illustrates how intricately the threads of life are woven, a lesson that can be used with profit in all of a foundation's concerns. Worldwide problems remind us that tomorrow's concerns are more apt to be universal than local.

International affairs is only one part of the Fund's work, of course. It accounts for about \$1.6 million a year (roughly a seventh of the total program) which is spent in three geographical areas—Asia, Africa and Latin America—and in a general international category that includes the Atlantic Basin.

### **Asia**

In one of his books, the Asian scholar Edwin O. Reischauer argued that the world's fate will be that of Asia because "in a rapidly shrinking world it seems unlikely that there will be more than one destiny for humankind." Indeed, Asia and the countries of the Pacific hold many of the future's hopes.

After a quarter of a century, China is emerging from isolation. That remarkable era when the largest single bloc of humankind locked itself away from the rest of the world has come to an end. China is resuming trade and intercourse with other nations, and her people and ways will become more familiar. Still, the need for greater understanding of this immense country remains critical. The Fund is convinced that more contacts with the Chinese people and deeper understanding of their situation are paramount needs of the future.

In the long years when no direct contact with the Chinese was possible, the Fund endeavored to promote greater knowledge of China among the American people. The Fund undertook early support of the National Committee on United States-China Relations. The Committee, composed of citizens whose interests and character raise them above partisan considerations, has worked to close some of the dangerous gaps that existed in most Americans' general knowledge of China.

The Fund has tried in other ways to increase understanding. It encouraged an effort being made by the Social Science Research Council to advance scholarly knowledge of China. The Council had found that social scientists, deprived of a chance to do field work in China, were meeting still another obstacle when they turned to libraries for research. Libraries in the Western world had a serious shortage of bibliographical material that could lead scholars to the whole body of literature on China. A Chinese Bibliographical Project, using advanced methods of computerization to make information more accessible, was organized. The Fund was able to assist part of this project which will produce this year the first volumes of a major scholarly creation, **Modern Chinese Society, 1644-1970, An Analytical Bibliography.**

Another book, **China's Developmental Experience**, is the fruit of a conference supported by the Fund and sponsored by the Academy of Political Science, the National Committee on United States-China Relations and Columbia University's School of International Affairs.

The Asia Society has been a particularly important instrument in increasing understanding. This Society, a major beneficiary of the Fund, has emphasized cultural affairs in its approach to relations between the peoples of America and Asia. Very often art and philosophy furnish roads on which differing people can travel together most easily.

## **Japan**

In the same way, the Japan Society has served as a medium for exchanges between Americans and Japanese. The Society, which the Fund has supported, has now widened its concerns to become a center for discussion and other exchanges on educational and public affairs, as well as on art and philosophy.

In considering Asia, Japan seems to present a much different aspect than China. During the years China was in isolation, Japan was vigorously increasing its global contacts, expanding its trade, sending representatives abroad and working at home so prodigiously that it has moved toward the status of superpower. America's need for greater understanding of Japan may not be as apparent as it is in China's case, but it is real. A simple but basic fact is that the Japanese speak a different language than ours. Their ways of thinking have been molded by historic forces and philosophical concepts that differ from those which influence Americans. The need for greater American understanding of the Japanese, and more personal contacts, remains pressing.

## **Indochina**

America's long and painful involvement in Indochina sets that region apart in any consideration of world affairs. As Indochina moves into a new phase, American philanthropy will be looking for ways to help.

It is important, in the Fund's view, to establish a clear perspective now of the role of private aid in postwar Indochina. If it is not established in this prefatory period, private funds may be drawn into emergencies of the moment where they will represent no more than a pittance, and where their special effectiveness will be lost.

The Fund thinks the proper area for private philanthropy in Indochina is reconstruction. Reconstruction leads toward the goal of lasting stability in the region. It is also an area in which private aid can function effectively. The enormous problems of relief and resettlement that may arise in Indochina are properly the sphere of government.

Thoughts about assistance to Indochina have been strongly influenced by the proposal called the Mekong River Development Project. This is the plan based on a great dam across the Mekong for development of the entire river basin. If implemented, this vast scheme would supersede all other programs for the region. The Project's future is uncertain, however, and its magnitude is such that it can only be carried by public financing. Whatever the fate of this development scheme, private philanthropy must find the special role it is best equipped to play.

Many signs point to the continuation of a long and precarious truce between the two Vietnams. Hostility and uncertainty make difficult working conditions, but the very difficulty of conditions may call for the kind of talents private philanthropies claim for themselves: flexibility, speed, freedom from rigid official positions, the ability to work at an individual level in a quiet and informal way.

Agriculture is a field of particular promise in the reconstruction of Indochina. Earlier experience impressed the Fund with the basic importance of agricultural development, as well as with the need for integrating other development programs with agriculture. Support of the Agricultural Development Council in bringing to Asia the agricultural extension system, for instance, helped strengthen the Fund's faith in the possibilities of agricultural improvement.

## **The Magsaysay Awards**

Ideals that underlie attempts in all parts of Asia to improve the quality of life deserve

support. In the first such undertaking for the Fund, it established an award program to bring the spotlight of public attention to individuals and institutions that improve the quality of Asian life.

The program was established after the tragic death of Ramon Magsaysay, president of the Republic of the Philippines. The Magsaysay Awards seek to maintain his ideals, being given for service "manifestly of the nature that exemplifies the greatness of spirit, integrity and devotion to freedom of Ramon Magsaysay." The program is run by a nonprofit Philippine corporation, the Ramon Magsaysay Award Foundation, whose trustees represent a wide spectrum of Philippine citizenship.

Awards have been given each year since 1958. Characteristically, the five annual awards of \$10,000 apiece are used to assist persons in the performance of worthy efforts, rather than to honor them solely for former accomplishments.

### **Latin America**

Environmental concern has marked the Fund's programs in Latin America. Insights that have come with the so-called environmental awakening have brought a greater degree of understanding of the real costs of technological advance in international development. Private philanthropy must be sensitive to the possibility of exporting new environmental problems along with technological solutions to old problems.

Because of this new awareness, American private philanthropy has a chance to help less-developed countries escape pitfalls that trapped the older industrialized nations. Antipollution devices can be incorporated with technological assistance. Experience with environmental problems can be exchanged globally. Private efforts can help establish international norms of environmental behavior; private money can also train environmental specialists.

The environment comes under stress from many more things than technology, of course. The pressure of expanding populations alone can defeat all attempts at improving the quality of life.

The environmental awakening made the Fund more aware of interconnections, and the young science of ecology, which deals entirely with interrelationships, suggested new techniques. In Latin America, the Fund had an opportunity to approach a situation in an ecological way, that is, by using integrated planning on a regional basis.



The situation concerns the management of wildlands and wildlife. South America holds an almost incalculable treasury of both, and the opportunities for planning are great. The parallel interests of various national agencies, the Food and Agriculture Organization of the United Nations and the Fund came together in a program of regional cooperation in the creative use of wildlands resources. Care was taken to insure that the program was never viewed as an independent entity, but as a part of a larger concern for environmental protection and rural land management.

The Latin American environmental program has five components, two of them devoted to training professionals. First, the intellectual basis of land management was strengthened by a series of training sessions for university faculty. Forestry professors from nine universities in southern South America met for discussion and field training at two national parks in Chile and at Iguazu Falls National Park in Argentina. They also made field trips into Paraguay and Brazil.

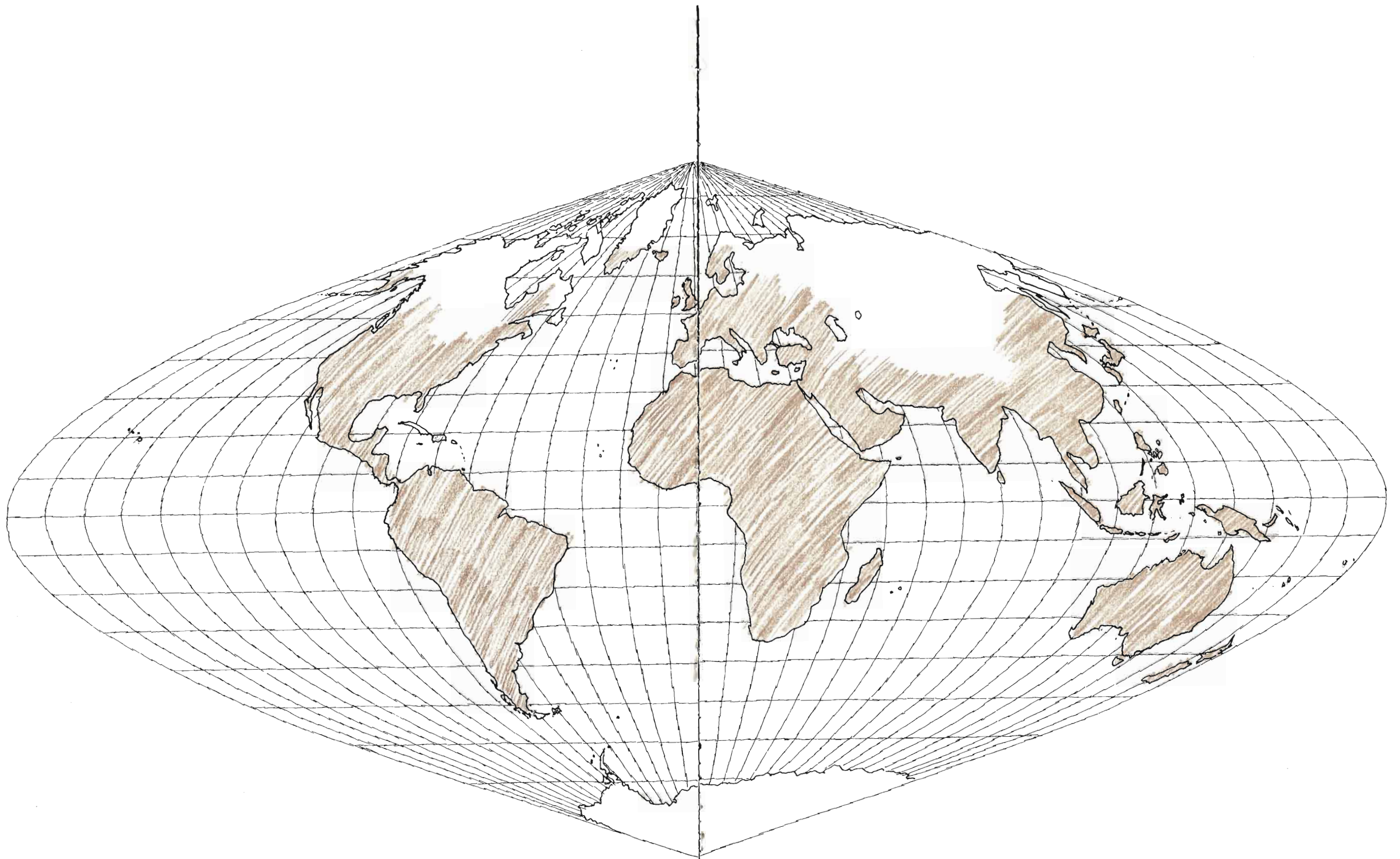
Another training component is concerned with the "middle level" of park professionals, such as rangers and guards. With additional help from the United Nations Development Program, the Argentine National Parks Service is expanding its existing training program into an international school, open to nations throughout South America.

A third component of the program has been underway in Costa Rica and Chile. This part is developing ways to interpret national parks to visitors, with an eye to producing a model that can be adopted by other Latin American park systems. The fourth component, also involving Costa Rica and Chile, has worked on the means of integrating parks into national systems. The fifth component has to do with integrated regional planning.

As the program has advanced, larger shares of support have been assumed by the FAO and national agencies. These agencies eventually will carry the program entirely.

The wildlands program has inspired new visions. Ideas for experimental resource management, and for the kind of planning that pulls together the related factors of a region, are being explored. These programs will continue an interest in Latin America by the Fund that stretches back almost a third of a century. There has been an evolution in philosophy during that time, of course, but many of the threads of concern have been unbroken.

Among important threads, employment and income-distribution remain as central



concerns. The Fund has concentrated on rural development and the training of young people. Support was given for fourteen years to the American International Association for Economic and Social Development (until 1970 when the Association, believing its mission to have been accomplished, turned its operations over to other agencies and phased itself out of existence). The AIA strove to advance both the practice and practitioners of agriculture. Its initial work in agriculture was done in Venezuela and Brazil, but later, it operated throughout Latin America, developing a rural information program and a young people's program similar to the 4-H clubs in this country. It also built model primary schools in rural Chile.

Concern for the *campesino*, the Latin American rural worker, led to support of other agencies. Among them have been the Pan American Development Foundation, which provides credit for rural groups, and Volunteers for International Technical Assistance. VITA, the last named, gives technical help by mail order and is now forming "skills banks" in the Dominican Republic and Central America.

As prospects for the good life declined in rural areas, *campesinos* migrated to cities, congregating in shantytowns, adding to unemployment and making the cities' problems more desperate. Working to offset some of these problems has been ACCION, an organization aided by the Fund which fosters community action and programs of self-help in the slums.

Poverty and social stagnation are acute in parts of Latin America. Population problems are still pressing. Efforts of the Population Council, the Population Reference Bureau and the United Planned Parenthood Campaign, all supported by the Fund, are significant to Latin America.

Meanwhile, the need for better cultural relations between the peoples of the Americas remains important, just as it does between the peoples of Asia and America. The Center for Inter-American Relations in New York has been supported by the Fund in its efforts at improving communications and increasing understanding in this hemisphere.

## **Africa**

In Africa, the transcendent issue in the last two decades has been the emergence of new nations, with their attendant troubles and hopes. Black Africa's troubles have proliferated, but few of its hopes have been realized. At the same time, the interest of the rest of the world in Africa has declined as Africa's needs for outside help increased. Africa presents an array of critical problems.



The Fund's first efforts in Africa were concentrated in English-speaking countries. These early programs, consisting generally of technical assistance to new industry and business, were important in demonstrating the need for equal attention to social and economic factors.

Both social and economic factors were involved in an opportunity that later developed in East Africa. This was an exciting and critical venture—a chance to help several East African countries preserve natural habitats of world significance. There was an immediate need to prevent irreparable loss, and there was an opportunity to help the peoples of these countries gain economic and social benefits.

The habitats in peril were the homes of the vast herds of wild beasts that have enthralled the world for generations. Within the new nation of Tanzania alone, on the Serengeti Plains, is the biggest single concentration of large wild animals on earth.

Perhaps most desperately, the new game preserves needed cadres of professionals and specialists. The preserves needed roads to sources of supply, and to bring visitors. In particular, management needed ways to interpret the value of parks to the people who comprise the parks' constituency.

Support for the wild preserves also promoted the general economy of the East African countries. The preserves attracted additional tourists, and tourists brought with them foreign currency the countries badly needed. The increase in tourists, furthermore, stimulated the growth of hotels, transport, shops and markets for handicrafts to the benefit of the general economy.

Among projects supported by the Fund in East Africa were the College of African Wildlife Management in Tanzania, a youth hostel at Kenya's Tsavo National Park and the training activities of the African Wildlife Leadership Foundation.

The nations engaged in establishing parks found they had similar problems, and this led to regional cooperation. The Fund was able on a number of occasions to emphasize the importance of regionalism. In general, the Fund's involvement in the growth process in East Africa helped it discover models for assistance to other areas.

An opportunity to use the East African experience in West Africa came at Garoua in the Federal Republic of Cameroon. A school was planned for wildlife specialists who operate the national parks and natural preserves of the French-speaking region of West and Central Africa. The Fund helped build the school and, after it opened in 1970, supplied money for scholarships so the training program could better serve the region.

In addition to its interest in wildlife conservation and national parks management in West Africa, the Fund continues its concern for rural problems. It is backing projects that give advisory assistance to small business in rural areas. It also is supporting practical training programs designed to produce jobs for rural school dropouts, or as they are called in Africa, school "leavers."

The Fund helped launch a number of devices to assist African entrepreneurs, including Technoserve, Partnership for Productivity and the Technology Consultancy Centre at the University of Science and Technology in Kumasi, Ghana. It also made possible a resident West African manager for the International Executive Service Corps, an organization providing consultancy services by American business executives.

Two unusual educational efforts that serve large rural areas of Botswana in Southern Africa have been supported. These are the Ngamiland Youth Training Centre at Maun and the Linchwe II Secondary School at Mochudi.

Another unusual opportunity came to the Fund in the chance to assist the first international gathering of African women leaders. This was held at the University of East Africa in Uganda, and produced the hope that women will find an institutional voice to help lead the continent's future.

A very small private institution supported by the Fund has played an unusually effective role in West Africa. The American Friends Service Committee, a Quaker group, has been able to provide a forum for free and informal discussion among national leaders of West Africa despite obstacles formed by language difficulties and national jealousies. The quiet but effective work of this group has succeeded where many others have failed.

One of the first steps the Fund took in establishing an African program was to help African students who had come to America to study after their countries gained independence. Some of these young people were in serious trouble with money and cultural problems. The Fund found they were most readily helped by the private African-American Institute, which later became a leading agent of educational exchange. The Fund has continued to support the Institute as it evolved into an important means of interchange and understanding between Africans and Americans.

### **General International Relations**

In its fourth category of international work, the Fund has centered most of its interests

on the Atlantic Basin countries. The aim of the program in this area is simply stated: to help groom young people in the conduct of international relations, and to develop new ideas.

The Fund has been concerned about the differing views brought to international relations by members of different generations. The generations are now most sharply divided, perhaps, between those whose ideas were influenced by the Second World War and those who came to maturity after the War. The Fund is convinced of the need for the older generation to remain active in international affairs—they bring to the endeavor wisdom, experience and continuity. But the future belongs to the young, and the complex world of the future will demand both highly trained and exceptional persons in foreign affairs.

As one way of finding promising young people, the Fund helped the Council on Foreign Relations design an International Fellowship Program. The program is now in its fifth year, and its Fellows already have made their mark. The real worth of the program, the Fund believes, is the mixture of young and old it achieves in discourse on foreign relations.

The Fund has supported other institutions in the field of continuing education in foreign relations: the International Institute of Strategic Studies in London; the Canadian Institute of International Affairs; the Young Leaders Program of the Atlantic Institute in Paris. By helping such key institutes, the Fund believes it can reach its objective of developing promising young people and new ideas.

### **Communications**

Communications are truly the web that binds the world together. The Fund most often considers this subject in the context of the Atlantic Basin, but its great promise is to the world's poor and deprived citizens in the less-developed countries.

The transistor radio has had an impact in these areas that approaches a revolution. An inexpensive radio, small enough to be carried to the most inaccessible places, can bridge thousands of years in cultural development. With such a radio at his ear, the most isolated person is in touch with the rest of the contemporary world.

A transistor, in fact, can become a schoolhouse in itself. Some years ago, the Fund supported the Maryknoll Fathers, a Catholic missionary order, which had developed just such radio schoolhouses in the State of Puno in Peru. The schools were designed to increase literacy and give vocational training to Quechua and Aymara-speaking

Indians, who number more than a million in Puno. Lessons were broadcast from a central station and were received at schools equipped with a kit the Maryknoll Fathers put together for less than \$50 apiece. The kit contained radio, blackboard, textbooks, an alarm clock and a flag and whistle to summon students.

The Fund also assisted efforts to advance education in the developing countries through television by supporting the Centre for Educational Television Overseas, a nonprofit international agency with headquarters in London. Support from the Fund enabled the Centre to expand its programs in Ethiopia and Ghana for training educational television staffs.

Space satellites make television broadcasts universally possible. Educational programs can be beamed to any spot, however remote. The costs of training and maintaining teachers which have defeated many efforts at educating the millions of Asia and Africa may now be overcome by radios and television sets.

Advances in communication are never unalloyed blessings; they bring problems along with benefits. When the telecasts of one country blanket the receiving sets of another, for instance, new sets of international problems can be expected to arise. The severity of these problems can be lessened, though, if anticipated. One international forum for discussing communications issues is the International Broadcast Institute, whose headquarters are in London. The Fund has supported the Institute in its role as a clearinghouse of information about developments in global communication.

### **Economic Relations**

The interwoven nature of life, which is such a pervasive factor throughout the Fund's program in world affairs, is conspicuously evident in the field of international commerce. The skein of commerce reaches around the globe and is so tightly strung that when one part is shaken, tremors are felt everywhere. Commerce, moreover, is the most elemental of forces, affecting the life of every individual, influencing his health and hopes and his chances of living at peace. Increasing attention needs to be paid to international economic relations as the world's trading areas draw more closely together. These areas should be assisted in developing concepts of themselves as partners working to improve the condition of humankind.

The future is not apt to let us forget Muir's warning that all parts of the world are "hitched together." The success of private philanthropy in the future may be measured, in fact, by how well it recognizes and uses these interrelationships to the world's advantage.



## Grants

Recipient and Purpose	Total Appropriation	Paid Previous Years	Payment in 1972	Unpaid Balance
<b>A Better Chance, Inc.</b> New York, New York For development of a program to encourage independent schools to provide scholarships for minority students. (This organization was previously known as A Better Chance—Independent Schools Talent Search, Inc.)	\$ 75,000*	\$ 55,000	\$ 20,000	
<b>Abbott House</b> Irvington-on-Hudson, New York For general budgetary support of a residential child care program for dependent and neglected New York City children.	5,000		5,000	
<b>Abnaki Girl Scout Council, Inc.</b> Brewer, Maine For general budgetary support.	200		200	
<b>ACCION International</b> New York, New York For general budgetary support of this organization providing technical and financial assistance to self-help community action programs in urban slums in Latin America.	10,000		10,000	
<b>African-American Institute, The</b> New York, New York For general budgetary support of this private agency for improving American contacts with Africans.	60,000		60,000	
For support of an American social worker to continue her assistance with the Ethiopian Women's Welfare Association which is training women for leadership roles.	10,000		10,000	

\* appropriation made prior to 1972.





*new opportunities for cooperation - China*

Recipient and Purpose	Total Appropriation	Paid Previous Years	Payment in 1972	Unpaid Balance
<b>African Wildlife Leadership Foundation, Inc.</b> Washington, D. C. Toward the administrative and support costs for a United States National Park Service expert to act as a consultant to the Foundation in connection with its assistance to the national parks of Kenya.	\$ 20,000		\$ 20,000	
<b>AFRICARE</b> Washington, D. C. For program planning and administration of this organization designed to work with the problems of the physical environment in Central and West Africa.	5,000		5,000	
<b>Afro-American Total Theatre Arts Foundation, Inc.</b> New York, New York Toward rent and renovation costs incident to the Theatre's move to a new facility.	22,500		22,500	
<b>Agricultural Development Council, Inc., The</b> New York, New York For general budgetary support of teaching and research programs related to the economic and human problems of development in Asia.	900,000*	600,000	300,000	
<b>American Association of Museums, The</b> Washington, D. C. Toward a national museum accreditation program.	60,000*	50,000	10,000	
<b>American Civil Liberties Union Foundation, Inc.</b> New York, New York For support of litigation seeking the racial integration of Federal, state and local instrumentalities of justice in the South.	95,000*	40,000	30,000	25,000

\* appropriation made prior to 1972.

Recipient and Purpose	Total Appropriation	Paid Previous Years	Payment in 1972	Unpaid Balance
<b>American Conservation Association, Inc.</b> New York, New York For general budgetary support of this organization working to increase public understanding of the need for conservation and the wise use of natural resources.	\$ 500,000*		\$ 250,000	\$ 250,000
<b>American Council for Emigres in the Professions, Inc.</b> New York, New York For general budgetary support of this organization's efforts to channel refugee professionals into creative work in the United States.	12,500		12,500	
<b>American Council for Nationalities Service</b> New York, New York For general budgetary support of this organization aiding refugees and immigrants.	4,000		4,000	
<b>American Crafts Council</b> New York, New York For general budgetary support of this organization devoted to stimulating interest in and appreciation of crafts in the United States.	7,500		7,500	
<b>American Federation of the Arts, The</b> New York, New York Toward the production of an educational workbook for the Sesquicentennial Exhibition on Frederick Law Olmstead.	30,000		30,000	
<b>American Field Service, Inc.</b> New York, New York For general support of a student exchange program.	5,000		5,000	

\* appropriation made prior to 1972.

Recipient and Purpose	Total Appropriation	Paid Previous Years	Payment in 1972	Unpaid Balance
<b>American Friends Service Committee, Inc.</b> Philadelphia, Pennsylvania				
For general budgetary support of this organization providing humanitarian assistance in situations of special need.	\$ 17,500		\$ 17,500	
Toward the International Dialogues Program providing contacts between English-speaking and French-speaking Africans.	17,500		17,500	
<b>American Museum of Natural History, The</b> New York, New York				
For general budgetary support.	10,000		10,000	
Toward the Museum's Centennial Capital Campaign.	500,000*	300,000	200,000	
<b>American National Red Cross—Mount Desert Chapter</b> Northeast Harbor, Maine				
For general budgetary support.	200		200	
<b>American Place Theatre, Inc., The</b> New York, New York				
To assist this theatre devoted to the development and production of new theatrical works in becoming established in its new building, the prototype of new theatres under revised New York City zoning regulations.	125,000*	60,000	40,000	25,000
<b>American Red Cross in Greater New York</b> New York, New York				
For general budgetary support.	7,500		7,500	
Toward disaster relief services associated with tropical storm Agnes.	25,000		25,000	
<b>American Universities Field Staff, Inc.</b> Hanover, New Hampshire				
For general budgetary support of this organization which operates a private foreign service of professional associates who observe, write and teach for a consortium of American universities.	75,000		50,000	25,000

\* appropriation made prior to 1972.

Recipient and Purpose	Total Appropriation	Paid Previous Years	Payment in 1972	Unpaid Balance
<b>Arkansas Arts Center, The</b> Little Rock, Arkansas For this visual and performing arts center's black arts community program.	\$ 14,000		\$ 14,000	
<b>Arkansas Council on Human Relations, The</b> Little Rock, Arkansas For general budgetary support of this agency which provides program support to local councils in such areas as education, equal opportunity employment and improvement of housing.	15,000		15,000	
<b>Arts for Racial Identity, Inc.</b> New York, New York For a summer program in the Bedford-Stuyvesant area of performances by VOICES, a black musical theater group.	8,130		8,130	
<b>Asia Society, Inc., The</b> New York, New York For general budgetary support of this organization interested in America's cultural, economic and social relations with Asia.	250,000*	160,000	40,000	50,000
<b>Aspen Institute for Humanistic Studies</b> New York, New York Toward organizational work necessary to determine whether a viable Institute for National Alternatives can be established to define major alternative policy approaches for dissemination and public debate.	25,000		25,000	
<b>Aspira, Inc.</b> New York, New York For general budgetary support of this New York City agency's program to accelerate the development of leadership in the Puerto Rican community through higher education.	10,000		10,000	

\* appropriation made prior to 1972.

Recipient and Purpose	Total Appropriation	Paid Previous Years	Payment in 1972	Unpaid Balance
<b>Associated Councils of the Arts, Inc.</b> New York, New York For general budgetary support of this national service organization designed to provide information and guidance to state and local arts councils.	\$ 25,000		\$ 25,000	
<b>Association of American Dance Companies, Inc.</b> New York, New York For general budgetary support of this national service organization for dancers and dance companies.	27,500		15,000	12,500
<b>Association for Homemaker Service, Inc.</b> New York, New York For general budgetary support of a program providing temporary homemaker services to New York City families in order to avoid separation and breakdown in family living during crises.	5,000		5,000	
<b>Association for the Study of Abortion, Inc.</b> New York, New York For general budgetary support of this organization providing abortion information and education services to professionals and the lay public.	10,000		10,000	
<b>Association of Voluntary Agencies on Narcotics Treatment, Inc., The</b> New York, New York For general budgetary support of the Association which serves a co-ordinating and liaison role among professionally accepted and administered private narcotics service agencies.	20,000		20,000	



Recipient and Purpose	Total Appropriation	Paid Previous Years	Payment in 1972	Unpaid Balance
<b>Atlantic, College of the</b> Mount Desert Island, Maine Toward the College's initial capital campaign.	\$ 50,000		\$ 50,000 <sup>1</sup>	
<b>Atlantic Council of the United States, Inc.</b> Washington, D. C. For involvement of younger leaders in the program of the Atlantic Institute (Paris) which considers common interests of Atlantic basin countries and Japan.	30,000*	20,000	10,000	
Toward administrative and travel expenses for private members of the United States delegation to the Europe-American Conference in Amsterdam.	5,000		5,000	
<b>Bar Harbor Festival Corporation</b> Bar Harbor, Maine For general budgetary support of the Corporation which organizes summer arts events for Mt. Desert Island, Maine.	1,000		1,000	
<b>Bed-Stuy Theater, Inc.</b> Brooklyn, New York Toward costs of purchasing the facilities in which the Theater has been performing in the Bedford-Stuyvesant section of Brooklyn.	15,000*		15,000	
<b>Benedict J. Fernandez Photo-Film Workshop, Inc.</b> New York, New York For general budgetary support of a workshop in film and photography for New York inner-city youths.	10,000		10,000	

\* appropriation made prior to 1972.

<sup>1</sup> lapsed.

Recipient and Purpose	Total Appropriation	Paid Previous Years	Payment in 1972	Unpaid Balance
<b>Big Brothers, Inc.</b> New York, New York For general budgetary support of a program for potentially delinquent boys in New York City.	\$ 5,000		\$ 5,000	
<b>Big Sisters, Inc., The</b> New York, New York For general budgetary support of a New York City program of guidance and counseling to families and children in crises.	5,000		5,000	
<b>Black Child Development Institute, Inc.</b> Washington, D. C. Toward a program of technical assistance to southern-based local community-development projects in day care service.	65,000		65,000	
<b>Botswana Society, The</b> Gaborone, Botswana For general budgetary support of the Society which seeks to promote understanding about Botswana, with special emphasis on conservation, youth and rural development.	15,000		5,000	10,000
<b>Boy Scouts of America—Greater New York Councils</b> New York, New York For general budgetary support.	10,000		10,000	
<b>Boy Scouts of America—Katahdin Area Council</b> Seal Harbor, Maine For general budgetary support.	200		200	
<b>Broadcast Institute of North America</b> Evanston, Illinois For general budgetary support of the International Broadcast Institute, created to identify and study problems associated with accelerated technological developments in all media.	25,000		25,000	





*planning land use and management - Latin America*

Recipient and Purpose

Total Appropriation

Paid Previous Years

Payment in 1972

Unpaid Balance

**Bronx River Neighborhood Centers, Inc.**

Bronx, New York

For general budgetary support of this settlement house in New York City which is expanding its program services to reflect the community's changing needs.

\$ 45,000\*

\$ 35,000

\$ 10,000

**Brookings Institution, Inc., The**

Washington, D. C.

For the Brookings' project, "The Social and Economic Responsibilities of Business," an analysis of the responsibilities of business organizations to society.

193,000\*

20,000

133,000

40,000

**Brooklyn Bureau of Community Service**

Brooklyn, New York

For general budgetary support of this Brooklyn service agency which specializes in family counseling, foster care placements and training workshops for the blind and handicapped.

10,000

10,000

**Brooklyn Institute of Arts and Sciences**

Brooklyn, New York

For expansion of the Institute's community workshop programs in dance, music and the visual arts for children from economically deprived areas of Brooklyn.

60,000\*

50,000

10,000

**Brooklyn Institutional Council**

Brooklyn, New York

For general budgetary support of this consortium of nonprofit institutions in Brooklyn organized to coordinate common planning, development, administrative, academic and community activities.

86,000\*

43,000

29,000

14,000

**Business Committee for the Arts, Inc.**

New York, New York

Toward a national campaign for business support of the arts, being jointly undertaken by the Committee and the Advertising Council of America.

45,000

45,000

\* appropriation made prior to 1972.

Recipient and Purpose	Total Appropriation	Paid Previous Years	Payment in 1972	Unpaid Balance
<b>Canadian Institute of International Affairs</b> Toronto, Canada For general budgetary support of the principal privately supported organization in Canada for the study of foreign affairs.	\$ 5,000		\$ 5,000	
<b>Capital Formation, Inc.</b> New York, New York Toward the program of the National Council for Community Development, Inc. organized to encourage corporate and institutional purchasing from minority-owned enterprises.	50,000		50,000	
<b>Catholic Charities of the Archdiocese of New York</b> New York, New York For general budgetary support.	50,000		50,000	
<b>Catholic University of America, The</b> Washington, D. C. Toward expenses of two of the four task forces organized by the School of Law's Center for National Policy Review to urge Federal agencies to improve their implementation of civil rights laws.	39,560		39,560	
<b>Center for Community Change</b> Washington, D. C. Toward the Center's program of assistance to community development organizations in the South.	75,000		75,000	
<b>Center for Inter-American Relations, Inc.</b> New York, New York For general budgetary support of an organization informing North Americans about the cultural and public affairs of Latin America.	180,000*	120,000	60,000	

\* appropriation made prior to 1972.

Recipient and Purpose	Total Appropriation	Paid Previous Years	Payment in 1972	Unpaid Balance
<b>Center for Law and Social Policy</b> Washington, D. C. Toward the educational program of this Center which is developing new forms of clinical legal education for law students.	\$ 98,408		\$ 98,408	
<b>Chamber Music Society of Lincoln Center, Inc., The</b> New York, New York For general budgetary support of this performing group at Lincoln Center devoted to the literature of music for small ensemble.	10,000		10,000	
<b>Children's Aid Society, The</b> New York, New York For general budgetary support of the Society's social welfare, recreational and legal service programs for families and children in New York City.	3,500		3,500	
<b>Children's Museum</b> Boston, Massachusetts Toward the production of teacher manuals and a workbook in the field of children's art education.	9,900		9,900	
<b>Children's Village, Inc., The</b> Dobbs Ferry, New York For general budgetary support of services to emotionally disturbed children in New York City who are in need of placement away from their homes.	5,000		5,000	
<b>Church of the Heavenly Rest Day School, The</b> New York, New York Toward the Day School's program at P.S. 154 in Manhattan developing the use of the Gattegno curricula of basic learning skills for disadvantaged children.	15,000		15,000	

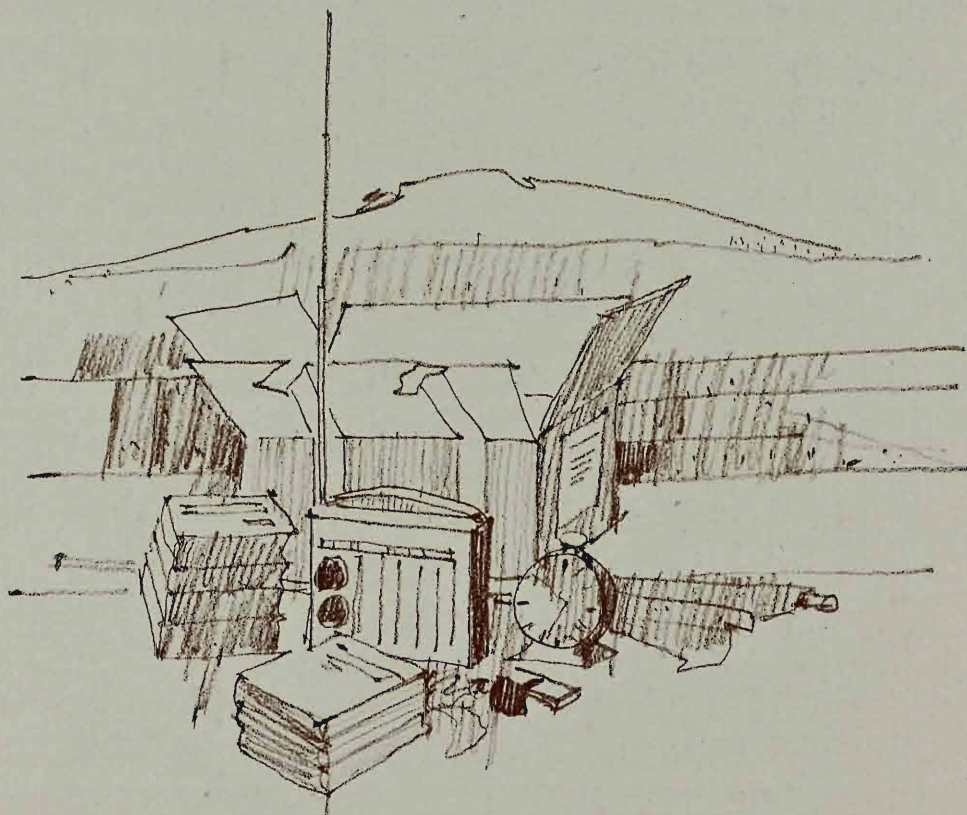
Recipient and Purpose	Total Appropriation	Paid Previous Years	Payment in 1972	Unpaid Balance
<b>Citizens' Committee for Children of New York, Inc.</b> New York, New York For general budgetary support of a program to improve health, education and welfare services for New York City children.	\$ 5,000		\$ 5,000	
<b>Citizens Committee on Population and the American Future</b> Washington, D. C. For general budgetary support of the Committee whose education and information programs are designed to stimulate public interest in population questions.	25,000		25,000	
<b>City Center of Music and Drama, Inc., The</b> New York, New York For general budgetary support of this center for performing arts.	10,000		10,000	
Toward cost of renovating space at City Center's building for use by its experimental Children's Theatre.	35,000*		35,000	
<b>Clark College</b> Atlanta, Georgia Toward the College's research activities relating to economic opportunities for minority groups in the South.	20,000		20,000	
<b>College Careers Fund of Westchester, Inc.</b> White Plains, New York For general budgetary support of this program in Westchester County (New York) which recruits and places disadvantaged youth in preparatory schools or colleges and provides continued counseling and financial aid.	10,000		10,000	

\* appropriation made prior to 1972.

Recipient and Purpose	Total Appropriation	Paid Previous Years	Payment in 1972	Unpaid Balance
<b>Colonial Williamsburg Foundation, The</b> Williamsburg, Virginia To underwrite a contingent commitment incurred in the acquisition of Carter's Grove Plantation, Virginia, for exhibition as a historic property.	\$ 290,000*			\$ 290,000
<b>Columbia University in the City of New York, The Trustees of</b> New York, New York Toward the Leyden-Amsterdam-Columbia Summer Program in American Law organized to increase contact of European law students with the American approach to law so as to facilitate the negotiating process in transactions between America and Western Europe.	15,000		5,000	10,000
<b>Committee for Economic Development</b> New York, New York Toward the research and study activities undertaken by a special Committee on Improving the Quality of the Environment.	100,000*	25,000	50,000	25,000
<b>Community Council of Greater New York, Inc.</b> New York, New York For general budgetary support of the agency which coordinates health and welfare services in New York City.	15,000		15,000	
<b>Community Foundation of Oyster Bay, The</b> Oyster Bay, New York To assist this small community foundation with the development of social services in the Oyster Bay area.	10,000		5,000	5,000
<b>Community Opportunity Center of the Tarrytowns, Inc.</b> Tarrytown, New York Toward a new facility which will house most of the major social service agencies in the Tarrytowns.	85,000		85,000	

\* appropriation made prior to 1972.





*media revolution in the emerging world*

Recipient and Purpose	Total Appropriation	Paid Previous Years	Payment in 1972	Unpaid Balance
<b>Community Participation Educational Program, Inc.</b> New York, New York For general budgetary support of a community-based organization in Harlem working to improve the quality of public education.	\$ 50,000*	\$ 25,000	\$ 15,000	\$ 10,000
<b>Conservation Foundation, Inc., The</b> Washington, D. C. For general budgetary support of this foundation engaged in conservation research, information and education.	90,000*	35,000	30,000	25,000
<b>Contact Teleministry, Inc.</b> Harrisburg, Pennsylvania For general budgetary support of this organization sponsoring a national telephone referral service for individuals seeking information and advice on urgent personal problems.	22,500		10,000	12,500
<b>Cooperative Assistance Fund</b> New York, New York For participation as a contributing member in a foundation consortium to improve economic and housing opportunities of poverty and minority groups through high-risk, low-return investment.	250,000*	100,000	150,000	
<b>Council of Churches of the City of New York, Inc., The</b> New York, New York For general budgetary support of this religious organization which coordinates the activities of 1,700 local churches in the New York area.	12,500		12,500	
<b>Council on Foreign Relations, Inc.</b> New York, New York For the support during 1972-74 of a fellowship program identifying outstanding young students of foreign policy and providing them with a year for independent study in association with senior scholars.	375,000*		125,000	250,000

\* appropriation made prior to 1972.



Recipient and Purpose

Total Appropriation

Paid Previous Years

Payment in 1972

Unpaid Balance

### **Council on Foundations, Inc.**

New York, New York

Toward the Council's Public Affairs and Education Program designed to increase public understanding of foundations and their role in society.

\$ 100,000

\$ 100,000

### **Council of Voluntary Child Care Agencies**

New York, New York

For the development of a computerized management information review and operation system to provide data enabling the matching of children in need of care with a voluntary agency in New York City offering care suitable to the child's needs.

180,000\*

60,000

60,000

60,000

### **Cultural Council Foundation**

New York, New York

For general budgetary support of the Council which provides program and management advice to small cultural and arts groups as well as solicits and administers privately donated funds on their behalf.

10,000

10,000

Toward the initial operating expenses of the Off-Off Broadway Alliance providing legal services and other development assistance to the numerous "off-off Broadway" theater groups in New York City.

15,000

15,000

Toward the initial operating expenses of the Black Theatre Alliance organized to provide development services and other assistance to black theatre groups in New York City.

15,000

15,000

Toward a summer program in New York City of street theatre performances by the Puerto Rican Traveling Theatre Company, a bilingual group of actors and technicians.

5,000

5,000

Toward the Museums Collaborative's Cultural Resource Center, a program for more effective community utilization of art resources through a coalition of museums, public schools, and community arts groups.

55,000

55,000

\* appropriation made prior to 1972.

Recipient and Purpose	Total Appropriation	Paid Previous Years	Payment in 1972	Unpaid Balance
<b>Dartmouth College, Trustees of</b> Hanover, New Hampshire Toward the development of a dynamic institutional model to facilitate management decisions and long-range planning for the College.	\$ 100,000		\$ 100,000	
<b>Davidson Community Center, Inc.</b> Bronx, New York For general budgetary support of this storefront neighborhood Center's programs which include services for teenagers, referral services for narcotic addicts and manpower training.	10,000		10,000	
<b>Day Care and Child Development Council of America, Inc.</b> Washington, D. C. For general budgetary support of this national voluntary membership organization providing technical assistance and policy development guidance to public and private agencies involved in day care and child development programs.	50,000		25,000	25,000
<b>Day Care Council of New York, Inc.</b> New York, New York For general budgetary support of this program enabling community groups to organize and qualify to sponsor new day care centers.	45,000*	30,000	10,000	5,000
<b>Delta Foundation, The</b> Greenville, Mississippi To assist the foundation with business start-up costs and managerial and consultant assistance for its economic development, job-creating activities in the Delta region of Mississippi.	50,000		50,000	

\* appropriation made prior to 1972.



*practical training that leads to jobs - Africa*

Recipient and Purpose	Total Appropriation	Paid Previous Years	Payment in 1972	Unpaid Balance
<b>Dynamy, Inc.</b> Worcester, Massachusetts For general budgetary support of an experimental internship program (formerly known as The Leadership School Foundation) for students between high school and college.	\$ 40,000*	\$ 35,000	\$ 5,000	
<b>Educational Broadcasting Corporation</b> New York, New York For general budgetary support of Channel 13, the educational television station serving the New York metropolitan region.	50,000		50,000	
<b>Educational Facilities Laboratories, Inc.</b> New York, New York Toward a comprehensive study and report on facilities for special education.	20,000*	10,000	10,000	
<b>Educational Testing Service</b> Princeton, New Jersey Toward the planning of a multi-state consortium to undertake the development of criteria for measuring the classroom effectiveness of teachers.	69,975		69,975	
<b>Eugene O'Neill Memorial Theater Center, Inc.</b> New York, New York Toward summer performances in Brooklyn's West Indian community by The Barn Theatre of Kingston, Jamaica. Toward SHOWBOAT, a floating children's theater, exhibition gallery and training facility which will dock at 14 waterfront sites in New York City throughout the year.	9,300 50,000		9,300	50,000

\* appropriation made prior to 1972.

Recipient and Purpose	Total Appropriation	Paid Previous Years	Payment in 1972	Unpaid Balance
<b>Family Service Association of Nassau County, Inc.</b> Mineola, New York Toward the Association's Mother-Child Home Program of the Verbal Interaction Project.	\$ 50,000		\$ 50,000	
<b>Federated Conservationists of Westchester County, N. Y., Inc.</b> Tarrytown, New York Toward the cost of establishing an office of executive director for this organization encouraging cooperation and facilitating activities of various conservation groups in Westchester County (New York).	25,000		15,000	10,000
<b>Federation of Jewish Philanthropies of New York</b> New York, New York For general budgetary support.	50,000		50,000	
<b>Federation of Protestant Welfare Agencies, Inc.</b> New York, New York For general budgetary support.	20,000		20,000	
<b>Federation of Southern Cooperatives</b> Atlanta, Georgia Toward the Federation's training center and demonstration farm in Atlanta for its membership of 110 rural farm and non-farm cooperatives in 14 southern states.	75,000		75,000	
<b>Film Society of Lincoln Center, Inc.</b> New York, New York For general budgetary support of this organization producing the annual Film Festival at Lincoln Center and carrying out film programs in schools, parks and local communities.	75,000*	60,000	15,000	
Toward initiating a new membership program which will enable the Society to build a broad base of annual contributions.	20,000		20,000	

\* appropriation made prior to 1972.



Recipient and Purpose

Total Appropriation

Paid Previous Years

Payment in 1972

Unpaid Balance

**Foreign Policy Association**

New York, New York

For general budgetary support of this organization sponsoring adult education programs covering the spectrum of foreign affairs.

20,000

20,000

**Fortune Society, Inc., The**

New York, New York

Toward strengthening the base of financial and community support of this organization working in the area of correctional reform and ex-offender rehabilitation.

30,000

30,000

**Foundation for American Dance, Inc.**

New York, New York

Toward initial administrative expenses of the National Corporate Fund for Dance organized to generate support from major corporations for eight leading New York-based American dance organizations.

\$ 15,000

\$ 15,000

**Foundation Center, The**

New York, New York

For general budgetary support of the Center which collects and disseminates factual information on the foundation field through programs of library service, publications and research for the benefit and use of the public, government agencies and individual foundations.

45,000

15,000

30,000

**Fountain House Foundation, Inc.**

New York, New York

For general budgetary support of this New York City program of services to former mental patients.

10,000

10,000

Recipient and Purpose	Total Appropriation	Paid Previous Years	Payment in 1972	Unpaid Balance
<b>Free Southern Theater, Inc.</b> New Orleans, Louisiana For general budgetary support of this professional theater which performs in colleges throughout the South and conducts workshop programs in New Orleans.	\$ 15,000		\$ 15,000	
<b>Fresh Air Fund, The</b> New York, New York For general budgetary support of this camping program for disadvantaged New York City children.	7,500		7,500	
<b>Fund for Theological Education, Inc., The</b> Princeton, New Jersey For the expenses in the year ending June 30, 1973 of the Rockefeller Brothers Theological Fellowship Program.	185,000*		185,000	
For the expenses in the year ending June 30, 1974 of the Rockefeller Brothers Theological Fellowship Program.	185,000*			185,000
Supplementary grant for the expenses in the year ending June 30, 1974 of the Rockefeller Brothers Theological Fellowship Program.	15,000			15,000
For the expenses in the year ending June 30, 1975 of the Rockefeller Brothers Theological Fellowship Program.	200,000			200,000
<b>Girls' Club of Manhattan, The</b> New York, New York Toward strengthening a neighborhood-based counseling and group work-recreation program for girls in the East Village area of New York City.	40,000*	30,000	10,000	
<b>Girl Scout Council of Greater New York, Inc.</b> New York, New York For general budgetary support.	10,000		10,000	

\* appropriation made prior to 1972.

Recipient and Purpose	Total Appropriation	Paid Previous Years	Payment in 1972	Unpaid Balance
<b>Greater New York Fund, Inc., The</b> New York, New York Toward an action-oriented study designed to improve the management capability of voluntary health and welfare agency boards in New York City.	\$ 25,000		\$ 25,000	
<b>Group for the Advancement of Psychiatry, Inc., The</b> Los Angeles, California Toward the Group's reports to the public concerning important current ethical and social problems.	9,000			9,000
<b>Hamilton-Madison House, Inc.</b> New York, New York Toward expanding the services of this settlement house concerned with the social and cultural problems attending current Chinese immigration to Manhattan's Chinatown.	27,000	22,000	5,000	
<b>Hampshire College, The Trustees of</b> South Amherst, Massachusetts Toward a program for improving the College faculty's teaching methods and for training selected undergraduates to be tutorial assistants.	50,175*		50,175	
<b>Harvard University</b> Cambridge, Massachusetts Toward the joint Harvard-M.I.T. International Technology Seminar on the use and development of energy resources.	7,500		7,500	
<b>Housing Development Corporation of the Council of Churches of the City of New York, The</b> New York, New York For general budgetary support of this agency providing technical assistance to churches and church groups developing and planning housing in New York City.	50,000*		25,000	25,000

\* appropriation made prior to 1972.

Recipient and Purpose	Total Appropriation	Paid Previous Years	Payment in 1972	Unpaid Balance
<b>Howard University, The</b> Washington, D. C. Toward expenses of a drafting committee to develop concrete plans for establishing a National Commission on Higher Education for Black Americans.	\$ 5,000		\$ 5,000	
<b>Hudson Institute, Inc.</b> Croton-on-Hudson, New York Toward the Institute's study "Exploration of Prospective Changes in the Social Meaning of Progress."	30,000		30,000	
<b>Institute of International Education, Inc.</b> New York, New York For general budgetary support of this organization administering student exchange programs.	15,000		15,000	
Toward the fifth edition of its "Handbook on U. S. Study for Foreign Nationals."	28,500		28,500	
<b>Institute of Politics</b> New Orleans, Louisiana Toward expenses of initiating in Arkansas the Institute's program in practical politics designed to stimulate effective participation in public affairs and communications between emerging black and white leadership in the South.	60,000		22,500	37,500
<b>Institute of Religion and Human Development, The</b> Houston, Texas Toward the Institute's "Clinical Residencies Program," which offers fellowships for cross-disciplinary studies in the field of human values.	30,000		15,000	15,000
<b>Institute of Society, Ethics and the Life Sciences, Inc.</b> Hastings-on-Hudson, New York Towards establishing the Institute which is evaluating on cross-disciplinary bases the ethical questions arising from research developments in the life sciences.	120,000*	90,000	30,000	

\* appropriation made prior to 1972.

Recipient and Purpose	Total Appropriation	Paid Previous Years	Payment in 1972	Unpaid Balance
<b>International Association for Cultural Freedom</b> Paris, France For general budgetary support of this organization attempting to build bridges between the international intellectual community and men engaged in social and political action.	\$ 30,000*	\$ 10,000	\$ 10,000	\$ 10,000
<b>International Center for Theatre Creation, Ltd.</b> New York, New York Toward the Center's programs with New York City theatre groups, directors, actors and playwrights, an aspect of the overall efforts of this multinational theatre company's exploration and development of new forms of theatre.	25,000		25,000	
<b>International Council of Museums</b> Paris, France For general budgetary support of this organization of professionals dedicated to the development and improvement of museums throughout the world.	45,000*	20,000	15,000	10,000
<b>International Fund for Concerned Photography, Inc.</b> New York, New York Toward the costs of the Fund's acquiring a permanent center for continuing its efforts on behalf of photography as an art form.	30,000		15,000	15,000
<b>International House—New York</b> New York, New York For general budgetary support of this residence and program center for foreign and American graduate students.	12,500		12,500	
<b>International Institute for Strategic Studies, The</b> London, England For general budgetary support of this private institute of international relations (formerly known as The Institute for Strategic Studies) whose membership is drawn from the West, Japan and India.	30,000*	20,000	10,000	

\* appropriation made prior to 1972.



Recipient and Purpose	Total Appropriation	Paid Previous Years	Payment in 1972	Unpaid Balance
<b>International Rescue Committee, Inc.</b> New York, New York For support of the Committee's program of assisting with the relief and resettlement expenses for stateless Asians admitted to the United States as refugees from Uganda.	\$ 5,000		\$ 5,000	
<b>International Social Service, American Branch, Inc.</b> New York, New York For general budgetary support of this program of international social welfare casework and counseling.	7,500		7,500	
<b>International Theatre Institute of the United States, Inc.</b> New York, New York For general budgetary support of this organization encouraging constructive communications within the international theatre community through meetings, publications and other information services.	45,000*	35,000	10,000	
<b>International Union for Conservation of Nature and Natural Resources</b> Washington, D. C. For a consultancy on conservation with national parks and reserves in East and Central Africa, an area of program interest to the Union.	20,000*		20,000	
<b>Intercultural Educational Fund, Inc.</b> New York, New York For general budgetary support of the Vocational Guidance and Workshop Center which provides counseling and career guidance for students unable to obtain such help in their public schools.	30,000*	15,000	10,000	5,000
<b>Interracial Council for Business Opportunity</b> New York, New York For general budgetary support of this national organization and its local councils which provide financial and managerial guidance for black-owned small businesses.	50,000		50,000	

\* appropriation made prior to 1972.

Recipient and Purpose	Total Appropriation	Paid Previous Years	Payment in 1972	Unpaid Balance
<b>James Weldon Johnson Community Center, Inc.</b> New York, New York For general budgetary support of a program for social welfare services to families and youths in East Harlem.	\$ 30,000*	\$ 25,000	\$ 5,000	
<b>Japan Society, Inc.</b> New York, New York For general budgetary support of this organization providing a bi-national focal point for studies and exchanges relating to cultural, educational and public affairs interests of Japan and the United States.	90,000		30,000	60,000
<b>Joslin Diabetes Foundation, Inc.</b> Boston, Massachusetts Toward the capital campaign of the foundation operating a program of education, treatment, training, research and clinical documentation relating to diabetes.	50,000		50,000	
<b>Juilliard School, The</b> New York, New York Toward the capital campaign of this conservatory.	500,000		375,000	125,000
<b>Knickerbocker Hospital</b> New York, New York For the land write-down costs of the medical facilities to be located in the central plaza of Manhattanville Health Plaza, a proposed development for West Harlem.	2,000,000*			2,000,000
<b>Law Students Civil Rights Research Council</b> New York, New York For general budgetary support of the national student entity that provides continuity for involvement in the problems of the poor and dispossessed of students drawn from 90 law schools.	20,000	10,000	10,000	

\* appropriation made prior to 1972.



*improving communications between Japanese  
and Americans - language and learning*

Recipient and Purpose	Total Appropriation	Paid Previous Years	Payment in 1972	Unpaid Balance
<b>Lawyers' Committee for Civil Rights Under Law</b> Washington, D. C. Toward the Committee's Public Employment Law Reform Project working to reduce discriminatory employment practices at all levels of public employment.	\$ 224,200		\$ 112,100	\$ 112,100
<b>Legal Aid Society, The</b> New York, New York For general budgetary support of a program providing legal services to persons in the New York City area who are unable to obtain such assistance elsewhere.	10,000		10,000	
For general budgetary support of the Community Law Office in East Harlem.	10,000		10,000	
<b>Linchwe II Secondary School</b> Mochudi Village, Botswana Toward capital costs of this School providing educational opportunities for primary school leavers in a large rural area of Botswana.	15,000		7,500	7,500
<b>Lincoln Center for the Performing Arts, Inc.</b> New York, New York For general budgetary support of the organization providing over-all management and direction of associated music, theater, dance and film groups in New York City.	187,500*	137,500	50,000	
<b>Manhattanville Community Centers, Inc.</b> New York, New York For general budgetary support of this neighborhood center's special outreach programs for the low-income community in the Morningside Heights area of New York City.	20,000		20,000	
<b>Manna House Workshops, Inc.</b> New York, New York For the summer program of this neighborhood art center in East Harlem.	5,000		5,000	

\* appropriation made prior to 1972.

Recipient and Purpose	Total Appropriation	Paid Previous Years	Payment in 1972	Unpaid Balance
<b>Massachusetts Institute of Technology</b> Cambridge, Massachusetts				
For a project to develop a series of models to explore the problems of national growth by examining the interaction of the major economic sectors of the country and the effect on those of alternative fiscal and monetary policies.	\$ 600,000*		\$ 200,000	\$ 400,000
<b>Memorial Sloan-Kettering Cancer Center</b> New York, New York				
Toward the building program of this complex of medical research, educational and patient-care services.	750,000*	562,500	187,500	
Toward the building program.	750,000*	500,000	250,000	
<b>Metropolitan Museum of Art, The</b> New York, New York				
Toward general budgetary support.	10,000		10,000	
Toward constructing a new wing to house the Temple of Dendur as part of the Museum's Egyptian galleries.	250,000*			250,000
Toward the expansion of the Museum's department and collection of primitive art.	1,000,000*			1,000,000
For the Council on Museum Education organized to develop a framework within which museums can execute more effective education programs.	45,000		25,000	20,000
<b>Metropolitan Opera Association, Inc.</b> New York, New York				
For general budgetary support.	10,000		10,000	
<b>Mexican-Americans Legal Defense and Educational Fund</b> San Francisco, California				
To enable the Fund to open an office in Washington, D. C. to facilitate its representation of Mexican-American concerns before Federal administrative agencies.	50,000		50,000	

\* appropriation made prior to 1972.



Recipient and Purpose	Total Appropriation	Paid Previous Years	Payment in 1972	Unpaid Balance
<b>Misseduc Foundation, Inc.</b> Washington, D. C. Toward the Foundation's general program of research and educational and technical assistance to organizations working for welfare system reform.	\$ 25,000		\$ 25,000	
<b>Morningside Community Center, Inc.</b> New York, New York For general budgetary support of this settlement house in Harlem.	40,000*	30,000	10,000	
<b>Mount Desert Island Family Counseling Service</b> Bar Harbor, Maine For general budgetary support of this family service agency which deals with marital problems and with the development difficulties of children.	350		350	
<b>Mount Desert Island Highway Safety Council</b> Bar Harbor, Maine For a public safety program to reduce traffic hazards and improve student driver-education.	200		200	
<b>Mount Desert Island Hospital</b> Bar Harbor, Maine For general budgetary support.	2,250		2,250	
<b>Mount Desert Public Health Nursing Association</b> Northeast Harbor, Maine For general budgetary support of this agency which provides limited free nursing services to the Mount Desert community.	500		500	
<b>NAACP Legal Defense and Educational Fund, Inc.</b> New York, New York Toward the Fund's Earl Warren Training Program, organized to increase the number and effectiveness of black lawyers in the South.	360,000*	120,000	160,000	80,000

\* appropriation made prior to 1972.

Recipient and Purpose	Total Appropriation	Paid Previous Years	Payment in 1972	Unpaid Balance
<b>NAACP Special Contribution Fund</b> New York, New York Toward the core program budget, with exception of expenses relating to its voter education department, of this national civil rights organization.	\$ 150,000*	\$ 50,000	\$ 50,000	\$ 50,000
<b>Ngamiland Youth Training Centre</b> Maun, Botswana For general budgetary support of this Centre preparing young people in Botswana for useful employment in a rural or village environment.	15,000		7,500	7,500
<b>National Association of Independent Schools, Inc.</b> Cambridge, Massachusetts Toward the Greater Boston Teachers Center for the support and evaluation of the Integrated Day approach to education.	50,000		50,000	
<b>National Association for Mental Health, Inc., The</b> New York, New York For general budgetary support of this program to provide better care for the mentally ill and prevent mental disorders.	25,000		25,000	
<b>National Audubon Society</b> New York, New York For general support of its Nature Centers Planning Division, expansion of that Division's training and service functions, and for development of the Society's membership and educational activities.	400,000*	245,000	75,000	80,000
<b>National Committee on United States-China Relations, Inc.</b> New York, New York For the general activities, other than those associated with special projects, of the Committee which provides objective information about contemporary mainland China.	100,000	50,000	50,000	

\* appropriation made prior to 1972.

Recipient and Purpose	Total Appropriation	Paid Previous Years	Payment in 1972	Unpaid Balance
<b>National Council on Alcoholism, Inc., The</b> New York, New York For general budgetary support of this organization's programs of prevention, treatment, rehabilitation and research on alcoholism.	\$ 10,000		\$ 10,000	
<b>National Council of the Arts in Education</b> New York, New York Toward editing, printing and distributing written and filmed materials resulting from the Arts/Worth conference "Community Arts and Community Survival."	12,500		12,500	
<b>National Council of the Churches of Christ in the United States of America</b> New York, New York For general budgetary support.	20,000		20,000	
To assist Church World Service with the relief and resettlement expenses for stateless Asians admitted to the United States as refugees from Uganda.	5,000		5,000	
<b>National Council on Crime and Delinquency</b> New York, New York For general budgetary support of this national program of crime prevention, treatment of delinquents and improvement of criminal justice procedures.	25,000		25,000	
<b>National Council of Negro Women, The</b> Washington, D. C. For a leadership development and training program to be conducted in association with the Council's direct membership drive.	300,000*	80,000	140,000	80,000
<b>National 4-H Club Foundation of America, Inc.</b> Washington, D. C. For this Foundation's Inter-American Rural Youth Program which provides out-of-school training for rural youngsters in Latin American and Caribbean countries.	150,000*	125,000	25,000	

\* appropriation made prior to 1972.

Recipient and Purpose	Total Appropriation	Paid Previous Years	Payment in 1972	Unpaid Balance
<b>National Fund for Graduate Nursing Education, The</b> New York, New York For general budgetary support of the Fund which provides financial aid to accredited graduate nursing schools to expand the supply of supervisory and teaching nurses.	\$ 3,750		\$ 3,750	
<b>National Information Bureau, Inc.</b> New York, New York For general budgetary support of the Bureau's review of standards of sound philanthropy and maintenance of advisory services for contributors.	2,500		2,500	
<b>National Urban Coalition, The</b> Washington, D. C. For general budgetary support of this organization, formerly known as The Urban Coalition, bringing together a variety of persons to seek solutions to the urban crisis.	150,000*	100,000	50,000	
<b>National Urban League, Inc.</b> New York, New York For general budgetary support.	50,000		50,000	
<b>Native American Legal Defense and Education Fund</b> Washington, D. C. Toward operating costs of this national organization which, in addition to initiating court actions, brings to the attention of local and national groups information about current government legal and regulatory activity relating to Indians.	25,000		25,000	
<b>Nature Conservancy, The</b> Arlington, Virginia For general budgetary support of the Conservancy which assists public and private agencies in acquiring important natural areas.	45,000*		20,000	25,000
For the Conservancy's Project Revolving Fund from which interest-free loans are made to local chapters and committees for land purchases.	25,000*		25,000	

\* appropriation made prior to 1972.

Recipient and Purpose	Total Appropriation	Paid Previous Years	Payment in 1972	Unpaid Balance
<b>New York Botanical Garden, The</b> Bronx, New York For general budgetary support.	\$ 10,000		\$ 10,000	
<b>New York, City of, Board of Education</b> Brooklyn, New York Toward the salary of a project director, other staff costs and general support for the Learning Cooperative—a plan for providing a new type of teacher pre- and in-service education through match-ups between public schools and outside groups or institutions.	50,000		50,000	
Toward the Learning Cooperative's program encouraging direct links between public schools and the resources of non- school institutions, particularly, in this instance, El Museo del Barrio and Art Resources for Teachers and Students.	25,000		25,000	
<b>New York City Housing Authority</b> New York, New York Toward the summer program of the Riis Plaza Amphitheatre for outdoor arts activities and performances.	6,000		6,000	
<b>New York City Mission Society</b> New York, New York For general budgetary support of programs including community organization and camping for the inner-city residents of New York City.	10,000		10,000	
Toward general budgetary support of the East Harlem College and Career Counseling Program, a service for students who are not able to obtain counseling help in their schools.	25,000*	12,500	7,500	5,000
Toward The Community Parent Group for Children with Retarded Learning, a summer service program in East Harlem for children with emotional problems.	5,000		5,000	

\* appropriation made prior to 1972.





*new opportunities in rural life - 4H in Latin America*

Recipient and Purpose	Total Appropriation	Paid Previous Years	Payment in 1972	Unpaid Balance
<b>New York City, University of—Hunter College</b> New York, New York Toward a program to broaden the place of the arts in the undergraduate curriculum through off-campus work-study projects in arts institutions and use of professionals in the arts to work with students on campus.	\$ 25,000		\$ 25,000	
<b>New York Public Library, The</b> New York, New York For general budgetary support of this central research library.	25,000		25,000	
<b>New York Shakespeare Festival</b> New York, New York Toward the Festival's 1972 Mobile Theatre Tour which presents plays during the summer in parks throughout New York City.	20,000		20,000	
<b>New York State Council of Churches, Inc., The</b> Syracuse, New York For general budgetary support.	2,000		2,000	
<b>New York State Historical Association</b> Cooperstown, New York Toward a graduate fellowship program in arts conservation at Cooperstown under the auspices of the Association and the State University College at Oneonta.	110,000*	85,000	25,000	
<b>New York, State University of—The Research Foundation of</b> Albany, New York For development of a plan to promote regional cooperation for the improvement of public education and the preparation of education personnel.	75,000		75,000	

\* appropriation made prior to 1972.

Recipient and Purpose	Total Appropriation	Paid Previous Years	Payment in 1972	Unpaid Balance
<b>New York University—Graduate School of Social Work</b> New York, New York Toward the establishment of the University's Center for Studies in Income Maintenance Policy, to provide a coordinated analysis and review of basic issues relating to income maintenance.	\$ 187,500*	\$ 62,500	\$ 62,500	\$ 62,500
<b>New York Urban Coalition, Inc.</b> New York, New York For general budgetary support.	25,000		25,000	
<b>New York Zoological Society</b> New York, New York For general budgetary support.	10,000		10,000	
<b>Newberry Library, The</b> Chicago, Illinois Toward the development of a feasibility model for a communications and information network called Access to History.	22,500		22,500	
<b>Northeast Harbor Library</b> Northeast Harbor, Maine For general budgetary support of the Scholarship Committee, which makes annual college scholarship awards.	350		350	
<b>Northside Center for Child Development, Inc.</b> New York, New York For general budgetary support of this voluntary out-patient psychiatric clinic in Harlem.	5,000		5,000	
<b>Nursing Education Opportunities, Inc.</b> Boston, Massachusetts For the initial expenses and operating budget of this organization which is designed to increase opportunities in nursing for disadvantaged students.	114,575*	58,675	55,900	
To provide initial operating expenses for Operation Success in Nursing Education, a New York City program designed to assist disadvantaged students in undertaking nursing careers.	30,000		30,000	

\* appropriation made prior to 1972.

Recipient and Purpose

Total Appropriation

Paid Previous Years

Payment in 1972

Unpaid Balance

**Olatunji Center of African Culture, Inc.**

New York, New York

Toward a summer program in teacher training at this Center devoted to bringing about wider understanding of and pride in African music and art.

\$ 25,000

\$ 25,000

**Operation Crossroads Africa, Inc.**

New York, New York

For general budgetary support of this summer program enabling North American and African students to work together in African communities.

3,000

3,000

**Opportunities Industrialization Center of America, Inc.**

Philadelphia, Pennsylvania

To strengthen the central administration of this organization, with local centers in various cities, assisting underprivileged minorities in acquiring training necessary to enter the mainstream work forces.

25,000

25,000

**Opportunity Resources for the Performing Arts, Inc.**

New York, New York

Toward initial expenses of this new effort to improve the administrative competence of performing arts groups through a data bank designed to match specific managerial needs with available personnel.

15,000

15,000

**Organization of American States**

Washington, D. C.

Toward enabling Latin Americans to participate in the Second World Conference on National Parks and to study United States national parks and related land-use programs.

5,000

5,000

Recipient and Purpose	Total Appropriation	Paid Previous Years	Payment in 1972	Unpaid Balance
<b>Outward Bound, Inc.</b> Andover, Massachusetts Toward establishing a national development office to enable Outward Bound to further expand its programs of experiential education.	\$ 60,000*		\$ 60,000	
<b>Overseas Development Council</b> Washington, D. C. For general budgetary support of this program concentrating on relations of the United States with the developing countries, with increasing emphasis on non-military issues.	40,000*		40,000	
For general budgetary support in 1973.	40,000			40,000
<b>Overseas Education Fund of the League of Women Voters</b> Washington, D. C. For general budgetary support of this effort in citizenship education which consists primarily of leadership training and field services for Latin American women.	5,000		5,000	
Toward a special program of assistance in the development of local agencies in emerging Asian countries of better techniques for effective citizen participation in community affairs and government.	30,000		15,000	15,000
<b>Pan American Development Foundation, Inc.</b> Washington, D. C. Toward enabling the Foundation to continue, during an interim period, its financial advisory assistance to 15 National Development Foundations in Latin America.	15,000		15,000	
<b>Partnership for Productivity Foundation/ USA, Inc.</b> Annandale, Virginia For a pilot project in Western Kenya to provide business advisory services and start-up capital to small indigenous enterprises in rural areas.	22,500*	12,500	10,000	

\* appropriation made prior to 1972.



Recipient and Purpose	Total Appropriation	Paid Previous Years	Payment in 1972	Unpaid Balance
<b>Peabody Institute of the City of Baltimore</b> Baltimore, Maryland For general budgetary support of the Association of Independent Colleges of Music (formerly known as the Council of Independent Professional Schools of Music) assisting principal conservatories in mutual cooperation and in gaining greater public support.	\$ 18,500		\$ 18,500	
<b>Penn Community Services, Inc.</b> Frogmore, South Carolina Toward managerial and consultant assistance for this organization's economic development activities in the Coastal Plains region of South Carolina.	60,000		60,000	
<b>Phelps Memorial Hospital Association</b> North Tarrytown, New York For general budgetary support of this hospital serving the Tarrytown area.	5,000		5,000	
<b>Philharmonic-Symphony Society of New York, Inc., The</b> New York, New York For general budgetary support.	10,000		10,000	
<b>Planned Parenthood of New York City, Inc.</b> New York, New York For United Planned Parenthood Campaign, the joint annual fund raising effort on behalf of three planned parenthood organizations serving respectively at local, national and international levels.	100,000		100,000	
Toward PPNYC's School Assistance Task Force to help the New York City school system improve and implement family life and sex education programs.	50,000		50,000	



increasing American awareness of  
other peoples - The Asia Society, New York

Recipient and Purpose	Total Appropriation	Paid Previous Years	Payment in 1972	Unpaid Balance
<b>Police Athletic League, Inc.</b> New York, New York For general budgetary support of the League's cultural and recreational programs for youngsters in deprived areas throughout New York City.	\$ 6,000		\$ 6,000	
<b>Population Council, Inc., The</b> New York, New York For general budgetary support in 1972 of the Council's program in research, training, technical assistance and information exchange in the areas of demography, reproductive physiology and contraceptive development, family planning and population policy.	500,000*		500,000	
For general budgetary support in 1973.	500,000*			500,000
For general budgetary support in 1974.	500,000			500,000
<b>Population Institute, The</b> Washington, D. C. Toward the Institute's Organization Liaison Division which seeks to motivate individuals to a greater concern for population questions by reaching them through the organizations to which they belong.	25,000		25,000	
<b>Population Reference Bureau, Inc.</b> Washington, D. C. For general budgetary support of this organization providing information on population matters.	10,000		10,000	
<b>Puerto Rican Legal Defense and Education Fund, Inc.</b> Bronx, New York Toward this organization's effort to upgrade bilingual and other educational programs in the New York City public schools designed to benefit Puerto Rican children.	35,000		35,000	

\* appropriation made prior to 1972.

Recipient and Purpose	Total Appropriation	Paid Previous Years	Payment in 1972	Unpaid Balance
<b>Regional Plan Association, Inc.</b> New York, New York				
For general budgetary support of the Association's efforts in connection with the planning and development of the New York City metropolitan region.	\$ 10,000		\$ 10,000	
Toward organizational costs of the Association's "Choices for '76: Town Meeting," an effort, through large-scale mobilization of the media, to inform citizens about complex urban problems and to involve them in an active expression of their choices among possible solutions.	50,000		50,000	
<b>Repertory Theater of Lincoln Center, Inc., The</b> New York, New York				
For general support of the resident company of the Vivian Beaumont Theater of the Lincoln Center performing arts complex.	10,000		10,000	
<b>Retarded Infants Services, Inc.</b> New York, New York				
For general budgetary support of a New York City program of services to the retarded child and his family, including counseling, referrals, home aide assistance, foster care placement and public education.	3,500		3,500	
<b>Riverdale Children's Association</b> New York, New York				
For general budgetary support of the Association's services to dependent and neglected New York City children.	5,000		5,000	
<b>Riverside Church, The</b> New York, New York				
For general budgetary support of this multi-denominational church in New York City.	40,000		40,000	
Toward the Riverside Fund for Social Justice.	40,000		15,000	25,000

Recipient and Purpose	Total Appropriation	Paid Previous Years	Payment in 1972	Unpaid Balance
<b>Rockefeller Family Fund, Inc.</b> New York, New York To assist the Rockefeller Family Fund with commitments to various Westchester County (New York) and Maine projects during the time of its program policy review.	\$ 96,450		\$ 96,450	
<b>Rockefeller University, The</b> New York, New York Toward a capital program to increase endowment and expand physical facilities.	5,000,000*	1,250,000	1,250,000	2,500,000
<b>Rural Advancement Fund of the National Sharecroppers Fund, Inc.</b> New York, New York Toward a program providing technical planning, monitoring and financial assistance to cooperatives and other local community development groups in the South.	75,000		75,000	
<b>Salem Community Service Council, Inc.</b> New York, New York For general budgetary support of this neighborhood center providing special out-reach services in Harlem.	15,000		15,000	
<b>Salzburg Seminar in American Studies, Inc.</b> Cambridge, Massachusetts For general budgetary support of this seminar in Austria drawing European men and women early in their careers for a month of study of selected professional or cultural subjects with a changing American faculty.	30,000*	20,000	10,000	
<b>Scholarship, Education and Defense Fund for Racial Equality, Inc.</b> New York, New York For general budgetary support of this interracial organization training minority group personnel for local leadership roles.	30,000		30,000	

\* appropriation made prior to 1972.



Recipient and Purpose

Total Appropriation

Paid Previous Years

Payment in 1972

Unpaid Balance

**School for The Training of Wildlife Specialists**

Garoua, Cameroon

Toward the scholarship needs of this school designed to train personnel in wildlife management for parks and reserves in French-speaking West and Central Africa.

\$ 20,000\*

\$ 10,000

\$ 10,000

**Science and Technology, University of**

Kumasi, Ghana

For the University's Technology Consultancy Centre organized to increase the systematic involvement of the academic community in the practical problems of development.

20,000

10,000

10,000

**Sculpture in the Environment, Inc.**

New York, New York

Toward support of its workshop project exploring new approaches to the design and use of unutilized urban public space.

26,000

14,000

12,000

**Seal Harbor Village Improvement Society, Inc.**

Seal Harbor, Maine

For general budgetary support of this civic improvement association on Mount Desert Island, Maine.

300

300

**Settlement Housing Fund, Inc.**

New York, New York

For general budgetary support of this housing development corporation providing technical assistance to member agencies of United Neighborhood Houses in New York City.

45,000\*

20,000

15,000

10,000

**Sex Information and Education Council of the United States, Inc.**

New York, New York

For general budgetary support of SIECUS which, through various community service education and research information programs, seeks the development of values which will guide individuals in determining attitudes of responsible sexuality.

25,000

25,000

\* appropriation made prior to 1972.

Recipient and Purpose	Total Appropriation	Paid Previous Years	Payment in 1972	Unpaid Balance
<b>Smithsonian Institution</b> Washington, D. C. Toward the selection process and the education program of the President's Commission on White House Fellows.	\$ 24,000*	\$ 18,000	\$ 6,000	
<b>South Street Seaport Museum</b> New York, New York Toward restoration of the sailing ship "Wavertree," a principal feature of the Museum's exhibits showing the seaport's contribution to the development of New York City.	25,000		25,000	
<b>Southern Regional Council, Inc.</b> Atlanta, Georgia Toward the Council's program of providing technical and managerial assistance to local community development organizations.	50,000		50,000	
<b>Stanford Research Institute</b> Menlo Park, California For a project to analyze concepts and systems relating to work opportunity, human resource development and welfare assistance.	100,000*		100,000	
<b>Stanley M. Isaacs Neighborhood Center, Inc.</b> New York, New York For general budgetary support of the Center which provides services primarily for elderly, low-income residents in the Yorkville area.	25,000*	20,000	5,000	
<b>State Communities Aid Association</b> New York, New York For general budgetary support of a program strengthening health and welfare services throughout New York State.	2,500		2,500	
<b>Studio Museum in Harlem, The</b> New York, New York For general budgetary support of this museum serving the Harlem community.	45,000*	35,000	10,000	

\* appropriation made prior to 1972.



*Living resources on the African Plains*

Recipient and Purpose	Total Appropriation	Paid Previous Years	Payment in 1972	Unpaid Balance
<b>Suburban Action Institute</b> White Plains, New York Toward the national technical assistance program of the Institute which through education and litigation activities points up the need for a range of housing opportunities in suburban areas.	\$ 35,000		\$ 35,000	
<b>Teachers, Inc., The</b> New York, New York For general budgetary support of this teacher-training organization committed to producing teachers who teach well and work with inner-city parents to improve public schools.	25,000		25,000	
<b>Technoserve, Inc.</b> Greenwich, Connecticut For general budgetary support of this organization stimulating indigenous small businesses in developing countries.	50,000		25,000	25,000
<b>Theatre Development Fund, Inc.</b> New York, New York For general budgetary support of this organization designed to stimulate creativity and experimentation in the commercial theatre and to build audiences for serious theatre.	50,000		50,000	
<b>Thor, Inc.</b> Washington, D. C. Toward Thor's program component, ORGANIZATION: RESPONSE, which is playing a coordinating role in the development of off-campus learning experiences.	20,000		20,000	
<b>Travelers Aid Society of New York, Inc.</b> New York, New York For general budgetary support.	7,500		7,500	
<b>UPACA Non-Profit Foundation, Inc.</b> New York, New York Toward the development of a comprehensive human services program for a redeveloped inner-city community in lower East Harlem.	150,000*		50,000	100,000

\* appropriation made prior to 1972.

Recipient and Purpose	Total Appropriation	Paid Previous Years	Payment in 1972	Unpaid Balance
<b>USO of New York City, Inc.</b> New York, New York For general budgetary support of the local program of this New York City agency (now known as the USO of Metropolitan New York) serving social welfare needs of men and women in the Armed Services.	\$ 3,000		\$ 3,000	
<b>United Fund of Westchester, Inc.</b> White Plains, New York For general budgetary support.	30,000		30,000	
<b>United Hospital Fund of New York</b> New York, New York For general budgetary support.	50,000		50,000	
<b>United Nations Association of the United States of America, Inc., The</b> New York, New York For general budgetary support of this information, education and research organization supporting development of the United Nations.	25,000		25,000	
For the Association's Development Fund, to be applied to its policy studies program.	100,000*	50,000	50,000	
<b>United Nations—Food and Agricultural Organization (FAO)</b> Rome, Italy For a Latin American wildland management program consisting of a series of experimental training and planning projects.	300,000*	100,000	10,953 <sup>2</sup> 189,047	
<b>United Negro College Fund, Inc.</b> New York, New York For general budgetary support of its campaign to raise unrestricted funds for 36 member institutions.	20,000		20,000	
Toward costs of this organization's new department of institutional services.	300,000*	150,000	100,000	50,000

\* appropriation made prior to 1972.

<sup>2</sup> lapsed.



Recipient and Purpose	Total Appropriation	Paid Previous Years	Payment in 1972	Unpaid Balance
<b>United Service Organizations, Inc. (USO)</b> New York, New York For the USO's overseas program serving the social welfare needs of men and women in the Armed Services.	\$ 22,000		\$ 22,000	
<b>United States Conference for the World Council of Churches, Inc.</b> New York, New York For general budgetary support.	3,500		3,500	
<b>United States-South Africa Leader Exchange Program, Inc.</b> Greenwich, Connecticut For general budgetary support of this organization supporting a continuing dialogue through exchange visits of leaders and potential leaders of all races from the United States and South Africa.	15,000		15,000	
<b>Urban Arts Corps, Inc.</b> New York, New York For general budgetary support of this multiracial performing and demonstration group which tours schools in New York City and upstate areas and appears at summer festivals.	35,000*	20,000	15,000	
<b>Urban Home Ownership Corporation</b> New York, New York For general budgetary support of this organization engaged in rehabilitating buildings in New York City for transfer to tenant cooperatives or community nonprofit sponsors.	178,000*	128,000	41,000	9,000
<b>Urban League of Greater New York, Inc.</b> New York, New York Toward general budgetary support of the League's Open Housing Center providing housing counseling services to low-income individuals and families in New York City.	25,000*	10,000	15,000	
Toward general budgetary support during 1973 and 1974 of the Open Housing Center.	30,000		30,000	

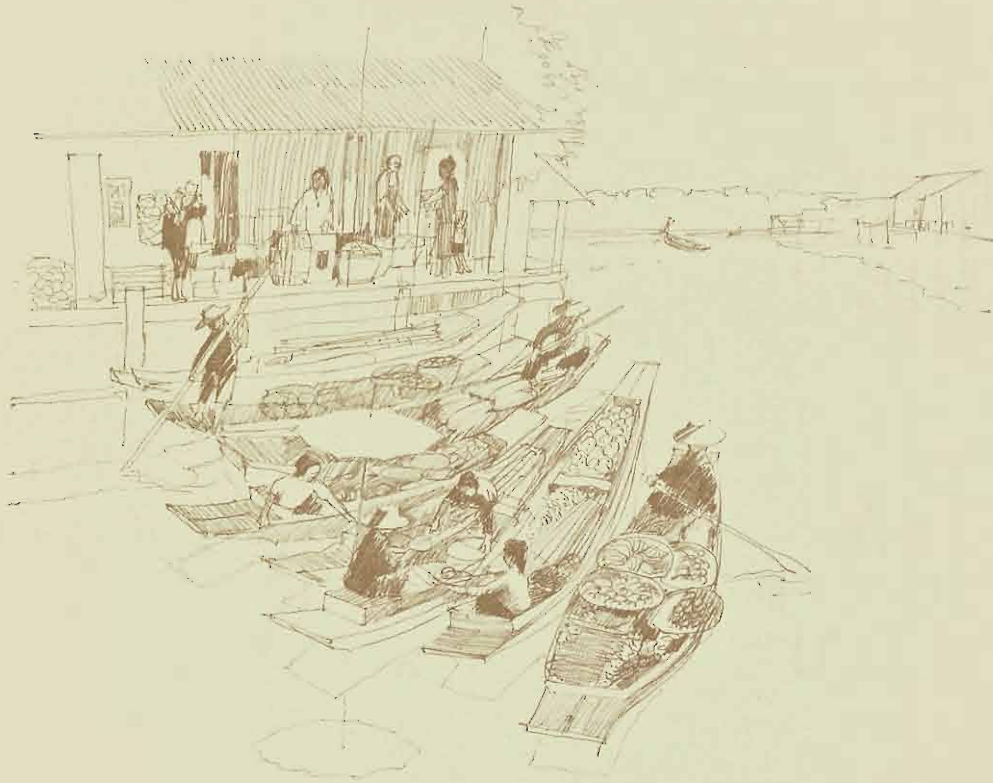
\* appropriation made prior to 1972.

Recipient and Purpose	Total Appropriation	Paid Previous Years	Payment in 1972	Unpaid Balance
<b>Urban League of Westchester County, Inc.</b> New Rochelle, New York For general budgetary support.	\$ 2,750		\$ 2,750	
<b>Volunteers for International Technical Assistance, Inc.</b> Schenectady, New York For a demonstration network of volunteer counterpart skills banks that will help develop indigenous technical talent in four Latin American countries.	130,000*	100,000	30,000	
<b>Voter Education Project, Inc.</b> Atlanta, Georgia For a general program of voter registration drives, election analyses and citizenship education in the South.	50,000		50,000	
<b>Washington Research Project, Inc.</b> Washington, D. C. Toward a program to train Southern lawyers in the provisions and technicalities of the equal-employment-opportunity section (Title VII) of the 1964 Civil Rights Act.	50,000		50,000	
<b>Westchester Community Opportunity Program, Inc.</b> Elmsford, New York For general budgetary support of this countywide federation of local community antipoverty agencies in Westchester County (New York).	50,000		25,000	25,000
<b>Westchester Council of Social Agencies, Inc.</b> White Plains, New York For general budgetary support of the Council which functions as a planning and coordinating center for agencies serving health, welfare and recreation needs in Westchester County (New York).	4,000		4,000	

\* appropriation made prior to 1972.

Recipient and Purpose	Total Appropriation	Paid Previous Years	Payment in 1972	Unpaid Balance
<b>Westchester Residential Opportunities, Inc.</b> White Plains, New York For general budgetary support of this organization working to expand home-ownership opportunities for low and moderate-income families in Westchester County (New York).	\$ 5,000		\$ 5,000	
<b>Western Interstate Commission for Higher Education</b> Boulder, Colorado For the initiation of a program conducted by the Commission's National Center for Higher Education in Management Systems to train college and university administrators in planning and management systems.	16,700*		16,700	
<b>Wiltwyck School for Boys, Inc.</b> New York, New York For general budgetary support of the School's program of specialized therapeutic services for severely disturbed boys in New York City.	7,500		7,500	
<b>Women's Prison Association and Home, The</b> New York, New York To institute an Office of Services Coordination for this voluntary program which provides shelter and counseling for recently released female offenders.	35,000*	17,000	10,000	8,000
<b>Young Filmmakers Foundation, Inc., The</b> New York, New York For general budgetary support of this film workshop and training program for inner-city youth.	35,000*	20,000	15,000	

\* appropriation made prior to 1972.



*markets - the link between farmers and consumer - Asia*

Recipient and Purpose	Total Appropriation	Paid Previous Years	Payment in 1972	Unpaid Balance
<b>Young Men's Christian Association of Greater New York</b> New York, New York For general budgetary support.	\$ 10,000		\$ 10,000	
<b>Young Men's Christian Association, National Board of</b> New York, New York For general budgetary support.	10,000		10,000	
<b>Young Men's Christian Association of Tarrytown and North Tarrytown</b> Tarrytown, New York Toward equipping its gymnasium.	5,000		5,000	
<b>Young Women's Christian Association of Bar Harbor</b> Bar Harbor, Maine For general budgetary support.	200		200	
<b>Young Women's Christian Association of the City of New York, The</b> New York, New York For general budgetary support.	10,000		10,000	
<b>Young Women's Christian Association of the U.S.A., National Board</b> New York, New York For general budgetary support.	10,000		10,000	
Toward the Convocation on Racial Justice, for the purpose of mobilizing national organizations to eliminate institutional racism in national and community life.	10,000		10,000	
<b>Young Women's Christian Association of White Plains and Central Westchester</b> White Plains, New York For its Community Outreach Program.	2,500		2,500	
			<u>\$11,187,395<sup>3</sup></u>	<u>\$9,979,100</u>

<sup>3</sup> Total does not include lapsed items.



### Reconciliation of Appropriations Paid With Financial Statements

#### Unpaid Appropriations, December 31, 1971:

Principal Fund	\$14,562,775	
Fund for the Advancement of Contemporary Social and Cultural Studies	10,000	\$14,572,775
	<hr/>	

#### Appropriations Authorized in 1972

Principal Fund	6,571,673	
Fund for the Advancement of Contemporary Social and Cultural Studies	93,000	
	<hr/>	
	6,664,673	
Less—Appropriations lapsed Principal Fund	70,953	6,593,720
	<hr/>	<hr/>
		21,166,495

#### Appropriations Paid in 1972

Principal Fund	11,121,895	
Fund for the Advancement of Contemporary Social and Cultural Studies	65,500	11,187,395
	<hr/>	<hr/>

#### Unpaid Appropriations, December 31, 1972:

Principal Fund	9,941,600	
Fund for the Advancement of Contemporary Social and Cultural Studies	37,500	\$ 9,979,100
	<hr/>	<hr/>

## Summary Statement of Assets at Book and Market Values at December 31, 1972

### Principal Fund:

	Book Value	Market Value
Cash	\$ 669,484	\$ 669,484
Marketable securities as annexed:		
U. S. Government agency bonds	12,590,004	12,158,000
Foreign government and foreign bonds	2,956,225	2,428,338
Corporate bonds	23,038,283	21,288,461
Preferred stocks	2,829,705	2,793,750
Common stocks	109,102,474	210,584,734
Corporate notes and other investments	15,814,542	15,814,542*
Real estate and furnishings	663,772	663,772*
	<u>167,664,489</u>	<u>266,401,081</u>

### Fund for the Advancement of Contemporary, Social and Cultural Studies:

Cash	8,123	8,123
U. S. Government agency bonds	599,064	600,000
Time certificate of deposit	1,000,940	1,000,940*
	<u>1,608,127</u>	<u>1,609,063</u>
<b>Total</b>	<u><u>\$169,272,616</u></u>	<u><u>\$268,010,144</u></u>

\* Stated at book value in the absence of available market quotations.

## Financial Information

Following the certificate of Coopers & Lybrand, Independent Certified Public Accountants, are financial and supporting statements comprising:

### Financial Statements

Balance Sheets, December 31, 1972 and 1971

Statement of Changes in Fund Balances for the Year Ended December 31, 1972

Notes to Financial Statements

### Supporting Statements

Statement of Marketable Securities, Principal Fund, December 31, 1972

Statement of Corporate Notes and Other Investments, Principal Fund, December 31, 1972

Statement of Administrative and Other Expenses, Principal Fund, for the Year Ended December 31, 1972

The following additional information is required by Section 6056 of the Internal Revenue Code:

### Revenues

1. Amount of gifts, grants, bequests and contributions received for the year			\$ 664,022
2. Gross income for the year			
Dividends and Interest	\$ 8,634,850		
Net gain from sale and redemption of securities	3,830,583		
Other	1,477	12,466,910	
3. Total			<u>\$13,130,932</u>

### Disbursements and Expenses

4. Disbursements for the year (including administrative expenses)		\$12,806,131
5. Expenses attributable to gross income (Item 2 above) for the year		185,972
6. Total		<u>\$12,992,103</u>

COOPERS & LYBRAND

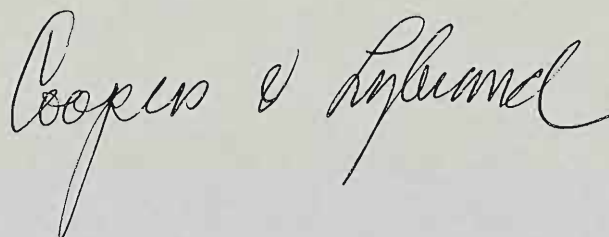
CERTIFIED PUBLIC ACCOUNTANTS

IN PRINCIPAL AREAS  
OF THE WORLD

To the Board of Trustees,  
Rockefeller Brothers Fund, Inc.:

We have examined the balance sheet of ROCKEFELLER BROTHERS FUND, INC. as of December 31, 1972 and the related statement of changes in fund balances for the year then ended, and the supporting statements (pages 84 to 96). Our examination was made in accordance with generally accepted auditing standards, and accordingly included such tests of the accounting records and such other auditing procedures as we considered necessary in the circumstances. Securities owned at December 31, 1972 were confirmed to us by the custodian. We previously examined, and reported upon the financial statements of the Fund for 1971.

In our opinion, the aforementioned financial statements (pages 84 through 88) present fairly the financial position of Rockefeller Brothers Fund, Inc. at December 31, 1972 and 1971, and the changes in fund balances for the year ended December 31, 1972 and the supporting statements (pages 89 through 96) present fairly the information included therein, all in conformity with generally accepted accounting principles applied on a consistent basis.

A large, stylized handwritten signature in cursive script that reads "Coopers & Lybrand". The signature is written in dark ink and is positioned in the lower right quadrant of the page, overlapping the bottom of the main text block.

New York, April 5, 1973.

**Rockefeller Brothers Fund, Inc.****Balance Sheets, December 31, 1972 and 1971**

<b>Assets:</b>	<b>1972</b>	<b>1971</b>
Principal Fund:		
Cash	\$ 669,484	\$ 1,727,386
Marketable securities, at cost, or at market at date of gift or bequest (approximate market: 1972, \$249,253,283; 1971, \$213,492,999):		
U. S. Government agency bonds	12,590,004	12,438,095
Foreign government and other foreign bonds	2,956,225	4,162,499
Corporate bonds	23,038,283	24,516,416
Preferred stocks	2,829,705	3,191,235
Common stocks	109,102,474	100,915,536
	<hr/> 150,516,691	<hr/> 145,223,781
Corporate notes and other investments (principal amount: 1972, \$17,286,604; 1971, \$22,050,213) (Note 2)	15,814,542	20,677,581
Real estate and furnishings (Note 3)	663,772	
	<hr/> 167,664,489	<hr/> 167,628,748
Fund for the Advancement of Contemporary Social and Cultural Studies:		
Cash	8,123	9,006
Time certificate of deposit	1,000,940	
Marketable securities, at cost (approximate market: 1972, \$600,000; 1971, \$1,650,000)—U. S. Government agency bonds	599,064	1,596,032
	<hr/> 1,608,127	<hr/> 1,605,038
	<hr/> \$169,272,616	<hr/> \$169,233,786

The accompanying notes are an integral part of these financial statements.



**Liabilities and Funds:**

## Principal Fund:

Federal excise tax

**1972**

\$ 365,000

**1971**

\$ 390,000

Fund balance, as annexed:

Unpaid appropriations

Unappropriated

9,941,600

157,357,889

167,299,489

167,664,489

14,562,775

152,675,973

167,238,748

167,628,748

## Fund for the Advancement of Contemporary Social and Cultural Studies:

Federal excise tax

2,900

2,900

Fund balance, as annexed:

Unpaid appropriations

Unappropriated

37,500

1,567,727

1,605,227

1,608,127

\$169,272,616

10,000

1,592,138

1,602,138

1,605,038

\$169,233,786

**Statement of Changes in Fund Balances**  
**for the year ended December 31, 1972**

		<b>Principal Fund</b>	
<b>Unpaid appropriations:</b>			
Balance, January 1, 1972		\$ 14,562,775	
Add, Appropriations authorized in 1972		6,571,673	
			21,134,448
Deduct, Appropriations paid or lapsed in 1972			11,192,848
Balance, December 31, 1972			<u>9,941,600</u>
<b>Unappropriated:</b>			
Balance, January 1, 1972			152,675,973
Additions:			
Bequest from the Estate of Martha Baird Rockefeller (Note 3)		\$ 664,022	
Income from securities:			
Dividends	\$5,000,516		
Interest	<u>3,562,845</u>	8,563,361	
Net gain from sale and redemption of securities		3,830,583	
Income from royalties		<u>1,477</u>	13,059,443
			<u>165,735,416</u>
Deductions:			
Appropriations authorized in 1972	6,571,673		
Less, Appropriations of 1972 and prior years lapsed in 1972	<u>70,953</u>	6,500,720	
Authorized payments for expenditures incurred by the Special Task Force of the Citizen's Advisory Committee on Environmental Quality		<u>159,624</u>	
		6,660,344	
Administrative and other expenses, as annexed		1,251,933	
Provision for loss on corporate note (Note 2)		100,000	
Federal excise tax		<u>365,250</u>	8,377,527
Balance, December 31, 1972			<u>157,357,889</u>
Total fund balance, December 31, 1972			<u><u>\$167,299,489</u></u>

The accompanying notes are an integral part of these financial statements.

**Fund for the  
Advancement of  
Contemporary  
Social and  
Cultural  
Studies**

\$	10,000
	93,000
	<hr/>
	103,000
	65,500
	<hr/>
	37,500
	<hr/>

1,592,138

71,489

<hr/>
1,663,627

\$93,000

<hr/>	2,900
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95,900

<hr/>	1,567,727
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<hr/>	\$1,605,227
<hr/>	

## **Notes to Financial Statements**

### **1. Summary of Significant Accounting Policies:**

In common with the practice of many nonprofit organizations, income and expenses are generally accounted for on the cash basis and office furniture and equipment are charged to expense when acquired. However, the accompanying financial statements are not materially different from statements which would result from use of the accrual basis of accounting.

Security transactions are recorded as of the settlement date (date cash payment is due for delivery of securities).

Realized gains and losses from sales of securities are determined on the specific identification basis.

### **2. Corporate Notes and Other Investments:**

At December 31, 1972 these investments are stated at cost, except for two notes stated at estimated realizable values of \$250,000 and \$25,000, respectively.

Investments in corporate notes are usually purchased under private placement agreements and as a result have limited marketability. Therefore, published market quotations are not available for most of these investments.

### **3. Real Estate and Furnishings:**

At December 31, 1972 this consists of land, residence and furnishings bequeathed to the Fund from the Estate of Martha Baird Rockefeller in 1972. The Fund recorded the property at its appraised value at the date of bequest and all costs relating to the property after March 1, 1972 are the obligation of the Fund.

### **4. Pension Expense:**

The Fund has a noncontributory retirement income plan covering substantially all of its employees. Total pension expense for the year under this plan was \$57,683. The Fund's policy is to fund pension cost accrued.

**Statement of Marketable Securities, Principal Fund**  
**December 31, 1972**

	Principal Amounts	Book Amounts (1)	Approximate Market (2)
<b>Bonds:</b>			
U. S. Government agencies:			
Federal Intermediate Credit Bank:			
4.8%, January 2, 1973	\$2,750,000	\$ 2,751,875	\$ 2,750,000
4.95%, February 1, 1973	1,500,000	1,499,760	1,500,000
4.8%, March 1, 1973	1,100,000	1,099,045	1,100,000
5.05%, May 1, 1973	1,000,000	1,000,630	1,000,000
5%, June 4, 1973	900,000	898,814	900,000
5.65%, August 1, 1973	500,000	500,000	500,000
Federal Land Bank,			
5.2%, April 23, 1973	1,000,000	1,006,880	1,000,000
Federal National Mortgage Association:			
6.45%, November 19, 1974	1,000,000	1,000,000	1,010,000
4¾%, October 1, 1996	2,200,000	2,833,000	2,398,000
<b>Total bonds, U. S.</b>			
<b>Government agencies</b>		<u>\$ 12,590,004</u>	<u>\$ 12,158,000</u>
Foreign government and other foreign:			
Bell Telephone Co. of Canada,			
4⅞%, May 1, 1988	200,000	\$ 199,280	\$ 146,500
City of Winnipeg, Canada,			
4¾%, November 1, 1989	500,000	500,000	345,625
Commonwealth of Australia,			
5½%, October 1, 1982	233,000	230,670	203,293
Japan Development Bank,			
6½%, November 15, 1980	300,000	293,250	279,000
New Brunswick Electric Power Commission,			
5¼%, January 2, 1986	449,000	449,000	350,220
Nippon Telephone and Telegraph Public Corporation,			
5¾%, April 15, 1980	250,000	243,125	230,000
Trans Canada Pipelines:			
5⅛%, May 1, 1985	180,000	180,900	134,100
6⅝%, August 1, 1987	860,000	860,000	739,600
<b>Total foreign government</b>			
<b>and other foreign</b>		<u>\$ 2,956,225</u>	<u>\$ 2,428,338</u>



	Principal Amounts	Book Amounts (1)	Approximate Market (2)
<b>Corporate:</b>			
Utilities:			
General Telephone and Electronics:			
4%, March 15, 1990	\$ 115,000	\$ 117,300	\$ 80,500
5%, December 15, 1992	500,000	500,000	390,000
Hilo Electric Light Company, Ltd.,			
5 $\frac{7}{8}$ %, March 1, 1989	396,000	396,000	290,070
Iowa Electric Light and Power Company,			
5 $\frac{1}{8}$ %, January 1, 1991	500,000	500,000	376,250
Michigan Gas Utilities,			
4.70%, February 1, 1990	265,000	265,000	179,869
Norfolk and Western Railroad:			
4 $\frac{5}{8}$ %, October 1, 1981	2,000,000	1,564,000	1,440,000
4 $\frac{5}{8}$ %, January 1, 1983	1,200,000	907,800	882,000
Northern Illinois Gas Company,			
6%, August 1, 1991	425,000	321,406	368,156
Pacific Gas and Electric Co.,			
5%, June 1, 1989	250,000	196,807	200,313
Pacific Power and Light Company,			
3 $\frac{1}{2}$ %, August 1, 1984	200,000	209,500	136,750
Pennsylvania Railroad, Conditional Sales Contracts,			
5 $\frac{3}{4}$ %, February 15, 1986	233,333	233,333	93,333
Southwestern Bell Telephone Company,			
8 $\frac{3}{4}$ %, August 1, 2007	1,000,000	1,005,000	1,140,000
Tennessee Gas Transmission Corporation,			
5 $\frac{1}{8}$ %, May 1, 1981	451,000	451,000	379,404
Western Maryland Railway Company,			
7 $\frac{7}{8}$ %, October 1, 1978	1,000,000	931,600	970,000
		<u>7,598,746</u>	<u>6,926,645</u>
<b>Financials:</b>			
American Express Credit,			
6 $\frac{1}{2}$ %, April 1, 1977	1,000,000	1,007,500	992,500

	Principal Amounts	Book Amounts (1)	Approximate Market (2)
Bank for Cooperatives:			
4.90%, February 1, 1973	\$ 750,000	\$ 749,535	\$ 750,000
5.40%, April 2, 1973	500,000	500,705	500,000
Beneficial Finance Company,			
4 $\frac{7}{8}$ %, June 1, 1981	830,000	750,092	717,950
Charter New York Corporation,			
5 $\frac{3}{4}$ %, August 1, 1991	500,000	458,775	411,250
Chemical New York Corporation,			
5 $\frac{1}{2}$ %, March 1, 1996	1,000,000	1,000,000	902,500
C.I.T. Financial Corp.,			
5 $\frac{1}{8}$ %, January 15, 1980	300,000	251,670	367,375
Citizens and Southern Realty,			
6 $\frac{3}{4}$ %, October 15, 1978	550,000	514,250	522,500
Continental Mortgage Investors,			
6 $\frac{1}{4}$ %, February 15, 1990	500,000	500,000	403,750
First Chicago Corp.,			
6 $\frac{1}{4}$ %, July 15, 1978	1,000,000	985,000	977,500
General Motors Acceptance Corporation,			
4 $\frac{5}{8}$ %, March 1, 1983-2071	900,000	821,903	742,500
Guardian Mortgage,			
7 $\frac{1}{2}$ %, December 15, 1979	500,000	498,750	498,750
Inter American Development Banks,			
6 $\frac{5}{8}$ %, November 1, 1993	410,000	329,025	377,856
International Bank for Reconstruction and Development,			
5%, February 15, 1985	100,000	100,000	83,160
John Deere Credit Company, Deb.:			
Series B, 4 $\frac{3}{4}$ %, April 1, 1981	500,000	493,750	420,625
Series A, 4 $\frac{7}{8}$ %, October 31, 1985	650,000	583,813	515,125
Macy Credit Corporation:			
4 $\frac{3}{4}$ %, November 1, 1981	700,000	650,392	571,375
4 $\frac{7}{8}$ %, June 1, 1985	250,000	247,625	192,500
World Bank,			
4 $\frac{1}{4}$ %, January 15, 1979	200,000	173,500	175,600
		<u>10,616,285</u>	<u>10,122,816</u>
Industrials:			
Amerada Hess Corp.,			
6 $\frac{3}{4}$ %, March 15, 1996	500,000	417,500	457,500
Amrep Corporation,			
6%, Conv., May 1, 1984	500,000	500,000	300,000

	Principal Amounts	Book Amounts (1)	Approximate Market (2)
Eastern Air Lines, 5%, November 1, 1992	\$ 1,000,000	\$ 1,000,000	\$ 685,000
Georgia Pacific Corp., Conv., 5¼ %, April 1, 1996	1,000,000	1,000,000	855,000
Global Marine, Inc., 5%, December 1, 1984	750,000	608,252	487,500
Ryan Homes Inc., Conv., 6%, July 15, 1991	300,000	300,000	324,000
Union Tank Car Equipment Trust, 9¾ %, July 1, 1990	1,000,000	997,500	1,130,000
		<u>4,823,252</u>	<u>4,239,000</u>
<b>Total corporate bonds</b>		<u>\$ 23,038,283</u>	<u>\$ 21,288,461</u>

**Preferred stocks:**

	Shares		
Champion International Corporation, \$1.20 Cum. Conv. Pfd.	25,000	\$ 829,705	\$ 593,750
Weyerhaeuser Company, \$2.75 Cum. Conv. Second Pfd.	40,000	2,000,000	2,200,000
<b>Total preferred stocks</b>		<u>\$ 2,829,705</u>	<u>\$ 2,793,750</u>

**Common stocks:**

Utilities:			
International Telephone and Telegraph Corporation	46,000	\$ 2,459,021	\$ 2,771,500
Financials:			
Beneficial Corporation	60,000	2,665,128	3,150,000
BT Mortgage Investors	60,000	1,155,000	1,980,000
Chase Manhattan Corporation	148,000	3,778,468	8,288,000
Corporate Property Investors	40,000	1,000,000	1,000,000
Guardian Mortgage Investors	25,000	1,065,207	1,137,500
Gulf Life Holding Company	50,000	2,505,846	2,818,750
Household Finance Corporation	66,000	1,680,244	2,318,250
Wells Fargo Company	41,700	1,125,900	1,183,237
		<u>14,975,793</u>	<u>21,875,737</u>
Foreign:			
Selected Risk Investments, S.A.	56,600	333,396	503,910

	Shares	Book Amounts (1)	Approximate Market (2)
<b>Insurance:</b>			
Colonial Penn Group, Inc.	140,000	\$ 1,197,500	\$ 8,925,000
Connecticut General Insurance Corporation	40,000	2,964,250	3,335,000
Government Employees Insurance Company	75,834	2,260,020	4,237,225
Government Employees Insurance Company (Warrants)	2,917	65,977	145,850
National Liberty Corp.	91,944	1,251,994	2,333,079
Variable Annuity Life Insurance Company	125,000	2,237,936	2,125,000
		<u>9,977,677</u>	<u>21,101,154</u>
<b>Industrials:</b>			
<b>Chemicals:</b>			
Dow Chemical Company	55,000	2,711,623	5,582,500
Eastman Kodak Company	30,000	177,963	4,451,250
Freeport Minerals Company	40,000	1,121,024	1,125,000
Squibb Corporation	30,000	2,287,903	3,180,000
		<u>6,298,513</u>	<u>14,338,750</u>
<b>Electrical and Electronics:</b>			
General Electric Company	36,000	430,276	2,623,500
Graingir (W.W.), Inc.	83,998	999,996	3,128,925
International Business Machines Corporation	24,772	1,158,135	9,958,344
		<u>2,588,407</u>	<u>15,710,769</u>
<b>Oils:</b>			
Exxon Corporation	365,000	14,097,760	31,937,500
Mobil Oil Corporation	292,500	5,502,510	21,645,000
Purolator, Inc.	45,000	3,146,025	2,700,000
Standard Oil Company of California	135,000	4,834,350	10,749,375
		<u>27,580,645</u>	<u>67,031,875</u>
<b>Others:</b>			
American Express Company	120,000	3,150,660	7,785,000
Caterpillar Tractor Co.	32,000	1,345,921	2,128,000
Centex Corporation	75,000	2,486,902	2,081,250
Champion International Corporation	50,000	1,625,593	1,112,500
Clark Equipment Company	50,000	2,286,617	2,712,500
Collins and Aikman Corporation	120,000	3,376,278	2,130,000
Echlin Manufacturing Company	90,000	1,531,598	3,577,500

	Shares	Book Amounts (1)	Approximate Market (2)
Evans Products Company	124,800	\$ 2,588,078	\$ 2,995,200
Federated Department Stores	61,100	2,171,768	3,391,050
Ideal Basic Industries, Inc.	150,000	2,539,315	2,700,000
Jim Walter Corporation	101,000	2,860,692	3,118,375
Lucky Stores, Inc.	145,979	2,369,572	2,335,664
Masco Corporation	32,000	799,750	1,812,000
Merck & Co., Inc.	37,000	1,743,677	3,297,625
Pamida, Inc.	100,000	2,174,004	2,350,000
Pfizer, Inc.	45,000	1,703,835	1,929,375
Proctor and Gamble Company	40,000	2,849,360	4,460,000
Rich's, Inc.	52,500	2,210,251	2,585,625
Rite-Aid Corporation	70,000	466,690	3,395,000
Ryan Homes, Inc.	50,700	793,320	1,457,625
Sears, Roebuck & Company	58,000	639,313	6,728,000
Smith International, Inc.	45,000	1,426,132	2,103,750
Vornado, Inc.	60,000	1,749,696	1,065,000
<b>Total industrials</b>		44,889,022	67,251,039
<b>Total common stocks</b>		\$109,102,474	\$210,584,734
<b>Total marketable securities</b>		\$150,516,691	\$249,253,283

*Notes:*

(1) Book amounts represent cost, if purchased, or market value at date of gift or bequest.

(2) Approximate market is based on closing sales prices on December 31, 1972 or in absence thereof, on closing bid prices.



# Statement of Corporate Notes and Other Investments, Principal Fund

December 31, 1972

## Corporate notes:

	Interest Rate	Maturity	Principal Amount	Cost†
Bank of Hawaii	4.7%	10/15/89	\$ 250,000	\$ 250,000
Central Trust Company, Rochester, N. Y.	4 <sup>7</sup> / <sub>8</sub>	6/ 1/89	199,000	199,000
Chemical Bank New York Trust Co.	5 <sup>7</sup> / <sub>8</sub>	1/ 1/92	400,000	398,700
Chrysler Financial Corporation	6 <sup>7</sup> / <sub>8</sub>	9/15/87	600,000	600,000
Chrysler Realty Corporation	6	1/ 1/95	952,932	952,932
City National Bank of Detroit	4 <sup>3</sup> / <sub>4</sub>	2/ 1/90	230,000	230,000
Commercial Credit Co.	4 <sup>3</sup> / <sub>4</sub>	11/ 1/80	400,000	395,563
Compagnie de Saint Gobain S.A.	6 <sup>1</sup> / <sub>2</sub>	5/ 1/76	140,000	140,000
Continental Mortgage Investors	(5)	4/ 1/89	500,000	500,000
	(5 <sup>1</sup> / <sub>2</sub> )	1/ 1/80	1,000,000	920,820
Copenhagen Telephone Co. Inc.	6 <sup>1</sup> / <sub>4</sub>	2/ 1/73	51,000	50,490
Eastern Air Lines	6	12/ 1/86	250,000	250,000
Ethyl Corporation	5 <sup>3</sup> / <sub>4</sub>	11/ 1/82	750,000	583,110
Family Finance Corporation	5 <sup>1</sup> / <sub>2</sub>	6/ 1/81	299,000	299,000
Fansteel Metallurgical, Convertible	5 <sup>1</sup> / <sub>2</sub>	1/31/88	333,000	333,000
Farrington Manufacturing Co.	6	10/ 1/83	500,000	25,000
First Jersey National Bank	4 <sup>3</sup> / <sub>4</sub>	6/ 1/90	225,000	225,000
Ford Motor Company	7 <sup>1</sup> / <sub>4</sub>	7/ 1/77	800,000	802,400
Ford Motor Credit Company	6 <sup>7</sup> / <sub>8</sub>	3/15/78	750,000	754,125
General Electric Credit Corp.	4 <sup>3</sup> / <sub>4</sub>	12/30/75	500,000	500,000
General Finance Corp.	5	4/ 1/76	200,000	200,000
Glens Falls National Bank & Trust Co.	4 <sup>7</sup> / <sub>8</sub>	9/ 1/89	223,000	223,000
Great Western Savings & Loan Association, Capital Notes, Convertible	5	12/15/83	500,000	500,000
Heritage Bancorporation	5 <sup>1</sup> / <sub>2</sub>	3/15/94	800,000	800,000
Hillman Coal & Coke Co.	5	10/ 1/79	229,539	229,539
IAC Limited	5 <sup>1</sup> / <sub>2</sub>	10/ 1/87	250,000	250,000
International Telephone & Telegraph Credit Corp.	5.10	12/ 1/84	375,000	375,000
Jutland Telephone Co. Ltd.	6 <sup>1</sup> / <sub>4</sub>	3/15/73	127,000	125,730
Leisure Group Inc., Convertible	7	9/ 1/89	1,000,000	250,000
Pennsylvania Life Company, Convertible	5	8/ 1/83	1,000,000	1,000,000
Security National Bank of Long Island	4 <sup>3</sup> / <sub>4</sub>	8/31/89	425,000	425,000
Virginia National Building Corp.	4.7	5/ 1/90	473,552	473,552
Westinghouse Credit Corp.	7.20	5/15/78	750,000	750,000
			<u>15,483,023</u>	<u>14,010,961</u>

## First mortgage loan receivable:

Ramon Magsaysay Award Foundation	6	12/31/71-93	1,803,581	1,803,581
			<u>\$17,286,604</u>	<u>\$15,814,542</u>

† Includes Farrington Manufacturing Co. at estimated realizable value of \$25,000 and Leisure Group Inc., Convertible at estimated realizable value of \$250,000.

**Statement of Administrative and Other Expenses, Principal Fund  
for the year ended December 31, 1972**

**Administrative expenses:**

Salaries	\$ 602,232
Retirement plan contributions	58,183
Group life insurance	5,468
Thrift plan	30,441
Other employee benefits	18,497
Unemployment and disability insurance	5,932
Social security tax	18,369
Consultants' fees	47,321
Investment services	75,000
Legal and audit fees	56,192
Security custodian fees	34,313
New York State transfer tax	26,136
Travel	33,983
Rent and electricity	115,799
Telephone	17,069
Furniture and equipment	39,395
General office expenses	56,329
	<hr/>
	1,240,659

Less, Reimbursement received for share of  
administrative expenses:

Rockefeller Family Fund, Inc.	\$55,000	
Sealantic Fund, Inc.	30,000	85,000
	<hr/>	<hr/>
		1,155,659

**Other expenses (relating to property bequest from  
the Estate of Martha Baird Rockefeller):**

Real estate taxes	50,418
Maintenance	33,041
Utilities	5,710
Insurance	4,322
Miscellaneous	2,783
	<hr/>
	96,274
	<hr/>
	\$1,251,933
	<hr/>

## Trustees

### **Detlev W. Bronk**

The Rockefeller University  
York Avenue & 66th Street  
New York, New York 10021

### **Dana S. Creel**

Room 5450, 30 Rockefeller Plaza  
New York, New York 10020

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### **Neva R. Kaiser** <sup>(2)</sup>

Room 5600, 30 Rockefeller Plaza  
New York, New York 10020

### **William McChesney Martin, Jr.**

800 17th Street, N.W. (Room 401)  
Washington, D. C. 20006

(1) Effective January 2, 1973

(2) Effective January 15, 1973

(3) Deceased February 22, 1973

(4) Effective May 29, 1973

### **Abby R. Mauzé**

Room 5600, 30 Rockefeller Plaza  
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### **Abby M. O'Neill**

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### **Winthrop Rockefeller** <sup>(3)</sup>

1720 Tower Building  
Little Rock, Arkansas 72201

### **Winthrop P. Rockefeller** <sup>(4)</sup>

Winrock Farms, Route 3  
Morrilton, Arkansas 72110

Trustees and officers as listed are "foundation managers" within the meaning of Sec.4946(b) of the Internal Revenue Code with respect to all activities of the Fund.

## Officers

### **Laurance S. Rockefeller, Chairman**

Room 5600, 30 Rockefeller Plaza  
New York, New York 10020

### **David Rockefeller, Vice Chairman**

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### **Dana S. Creel, President**

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### **William M. Dietel, Executive Vice President**

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### **Gene W. Setzer, Vice President <sup>(1)</sup>**

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### **Robert C. Bates, Vice President & Secretary <sup>(2)</sup>**

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### **Russell A. Phillips, Jr., Secretary <sup>(3)</sup>**

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### **David G. Fernald, Treasurer**

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### **Domenica Giacalone, Assistant Treasurer**

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### **Carl E. Siegesmund, Assistant Treasurer <sup>(1)</sup>**

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### **Edward H. Burdick, Assistant Treasurer <sup>(3)</sup>**

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New York, New York 10020

### **John E. Lockwood, Counsel**

Room 5600, 30 Rockefeller Plaza  
New York, New York 10020

(1) Until May 23, 1973

(2) Mr. Bates relinquished his secretarial responsibilities on May 23, 1973.

(3) Effective May 23, 1973

**Staff Associates**

**Yorke Allen, Jr.**

**Stephen Benedict**

**W. Gerald Davenport**

**James N. Hyde**

**Lindsley F. Kimball**

**Marilyn W. Levy**

**William S. Moody**

**Barbara Y. Newsom**

**Robert W. Scrivner**

**Gene W. Setzer**

**Portia A. Smith**

**Harold R. Snedcof**

**Thomas W. Wahman**

**Michaela Walsh**





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