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RBF

Rockefeller Brothers Fund

1957

30 ROCKEFELLER PLAZA
NEW YORK 20, NEW YORK

Rockefeller Brothers Fund

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*Effective May 26, 1958.

Rockefeller Brothers Fund

1957 Report

The year 1957 was marked by the exploration of a number of new interests and programs. Through these activities, and by continuing its commitments to other undertakings, the Fund has sought to express the mutual interest of its trustees in the field of philanthropy.

Grants during the year totaled \$3,870,981 and brought to \$15,111,168 the contributions made by the Fund since its establishment in 1940.

Ramon Magsaysay Award: A major new activity for the Fund was the establishment during the year of the Ramon Magsaysay Award to honor the late President of the Philippines. The awards, financed by a Fund grant of \$500,000, will be given to persons in the Philippines and from other countries in Asia who exemplify Magsaysay's greatness of spirit, integrity and devotion to freedom.

Each year awards will be given in five different fields of accomplishment. An award of \$10,000 will be made to a single individual in each of the fields of Government Service, Public Service, International Understanding, and Journalism and Literature. In the field of Community Leadership, awards may be given to as many as ten individuals in varying amounts, the total not to exceed \$10,000.

The program is being administered by the Ramon Magsaysay Award Foundation, a non-profit corporation organized under the laws of the Republic of the Philippines. Responsibility for the program will rest with a self-perpetuating Board of Trustees made up of distinguished Philippine citizens.

The first awards are expected to be presented at a ceremony to be held in Manila on August 31, 1958, the anniversary of the birth of Ramon Magsaysay. Similar presentations will be made each year on this date.

The financing supplied by the Fund is intended to be sufficient to support the program for a period of years. It is hoped this initial fund will be augmented by the contributions of others so that this living memorial to President Magsaysay and his ideals can be continued for many years.

Special Studies Project: Organized by the Fund in 1956, a series of studies, entitled *America at Mid-Century* continued exploration of the problems and opportunities facing this country during the coming 10 to 15 years. The series seeks to review our national purpose in terms of these problems and opportunities and to develop a framework of concepts and considerations within which policies and decisions can be made and priorities established to achieve our national objectives. Under the chairmanship of Nelson A. Rockefeller,* and served by a staff under the direction of Dr. Henry A. Kissinger, a panel of leaders from business, labor, science, education and other fields, together with specialists and writers, has deliberated upon reports and research undertaken by seven subpanels, each concerned with a particular aspect of the general subject. Members of the overall panel, all of whom also served on one or more subpanels, are:

ADOLF A. BERLE, Jr., senior partner, Berle, Berle & Brunner, and former Ambassador to Brazil.

CHESTER BOWLES, former Ambassador to India and former Governor of Connecticut.

ARTHUR F. BURNS, president, The National Bureau of Economic Research.

*Resigned effective May 21, 1958.

LUCIUS D. CLAY, chairman of the board, Continental Can Company, Inc., former Commander-in-Chief, U.S. Forces in Europe, and Military Governor, U.S. Zone, Germany.

JOHN COWLES, president, Minneapolis Star & Tribune, and chairman, The Des Moines Register & Tribune.

JUSTIN W. DART, president, Rexall Drug Company.

GORDON E. DEAN, senior vice president—Nuclear Energy, General Dynamics Corporation, and former chairman AEC.

JOHN S. DICKEY, president, Dartmouth College.

JOHN W. GARDNER, president, Carnegie Corporation of New York.

LESTER B. GRANGER, Executive Director, National Urban League, Inc.

CARYL P. HASKINS, president, Carnegie Institution of Washington.

THEODORE M. HESBURGH, president, University of Notre Dame.

MARGARET A. HICKEY, public affairs editor, Ladies' Home Journal.

OVETA CULP HOBBY, president and editor, The Houston Post, and former Secretary of Health, Education and Welfare and former Director of the WACS.

DEVEREUX C. JOSEPHS, chairman, New York Life Insurance Company.

MILTON KATZ, director, International Legal Studies, Harvard University Law School.

HENRY R. LUCE, Editor-in-Chief, Time, Inc.

THOMAS B. McCABE, president, Scott Paper Company.

JAMES McCORMACK, JR., vice president, Massachusetts Institute of Technology, and former director of research and development, U.S. Air Force.

RICHARD P. McKEON, Department of Philosophy, University of Chicago.

LEE W. MINTON, president, Glass Bottle Blowers' Association of the U.S. and Canada.

CHARLES H. PERCY, president, Bell & Howell Company.

JACOB S. POTOFISKY, general president, Amalgamated Clothing Workers of America.

ANNA M. ROSENBERG, public and industrial relations consultant and former Assistant Secretary of Defense for Manpower and Personnel.

DEAN RUSK, president, Rockefeller Foundation.

DAVID SARNOFF, chairman, Radio Corporation of America.

CHARLES M. SPOFFORD, partner, Davis Polk Wardwell Sunderland & Kiendl, and former Deputy Representative to NATO.

EDWARD TELLER, professor of Physics, University of California, and associate director, University of California Radiation Laboratories.

FRAZAR B. WILDE, president, Connecticut General Life Insurance Co.

ROBERT B. ANDERSON, resigned from the panel when he was appointed Secretary of the Treasury.

JAMES R. KILLIAN, JR., president of the Massachusetts Institute of Technology, resigned from the panel when he was appointed Special Assistant to the President.

The first report of the series was completed late in the year and was published by Doubleday & Company early in 1958. Called *International Security: The Military Aspect*, this report, of which two editions totalling 400,000 copies have been printed, presents findings and recommendations concerning the nation's defense and its strategic planning for the long-range future in light of the military necessities of an age marked by rapid developments in technology and a swiftly changing world political situation.

A second report, *The Challenge to America: Its Economic and Social Aspects*, published in April, 1958 dealt with projections of the economic growth of the country.

Reports dealing with other aspects of the national and international challenge are being prepared and are scheduled for publication during 1958.

Program Policies

General Program: The Rockefeller Brothers Fund makes grants under its General Program to local, national and international philanthropic organizations depending on the general public for funds. In the Greater New York area, the Fund contributes to such community needs as civic improvement, cultural advancement, education, health, religion and welfare. As a general rule contributions are made to agencies whose activities are city-wide in scope since the Fund cannot, because of the number involved, contribute directly to individual hospitals, churches, community centers and schools. The same principle is followed in the State of New York where it contributes to agencies whose services are state-wide.

Outside of New York State, grants are directed to selected organizations with programs of general import. For the most part these organizations are national or international in scope, but occasionally an institution whose primary activity is local in character may be the center of a program which the Fund assists because it relates to a field of particular interest to the Fund.

In the case of grants to the budgetary or capital needs of established agencies, the Fund's contributions are purposely held to a relatively small proportion of the total need since the objective of the Fund in this area is to share with others in support of such institutions.

Special Program: Activities under the Fund's Special Program include support and in some instances direct operation of experimental or new undertakings. These lie in the fields of international relations and understanding, strengthened national life, and conservation, population and resources. In many cases, the trustees take an active part in the formation and operation of these programs as well as in their support. Many projects that the Fund supports fall into both General and Special Program categories.

Funds

The Rockefeller brothers and their sister, Abby Rockefeller Mauzé, contribute annually to the Fund. Mr. John D. Rockefeller, Jr., made a major gift in 1951.

Activities

The majority of the Fund's grants are for the general operating requirements of organizations working in local, national or international fields. A list of these organizations and the amounts granted begins on page 14.

The following are the organizations which received contributions for special activities:

American Association of Theological Schools Fund — \$100,000. For operation of a fellowship program to encourage young people of outstanding ability to enter the ministry. Since the establishment of this program in 1954, a total of 187 fellowships have been awarded. The recipients came from 118 different colleges and were members of 21 different denominations. Of those who have attended seminaries under this program, approximately 40 per cent plan to enter the ordained parish ministry, with 47 per cent going into other forms of church work such as religious teaching, chaplaincies and the like. Grants since 1954 total \$363,068.

American Council for Emigrés in the Professions — \$7,500. For assistance in the placement of professional emigrés who have come from Iron Curtain countries. One of the immediate and pressing jobs of the Council is to direct Hungarian refugees to work suitable to their professional attainments. While the bulk of Hungarian emigrés were originally put on bread and butter jobs, they have now learned English and are qualified candidates for work in such professional fields as engineering, languages, theatre, music, economics and chemistry.

American International Association for Economic and Social Development (AIA) — \$150,000. For expansion of activities involving agricultural technical assistance, rural home economics and rural credit programs in Brazil. AIA's pioneering project was in the state of Minas Gerais in cooperation with the state government. Last year some 60,000 farm families, fathers, mothers and children, were given instruction and assistance in improving farm, home and health practices. The success of the Minas Gerais program led to the formation of a national program in which AIA also participates and which works with 13 other states of the country where similar projects modeled on AIA have been developed. Grants since 1956 total \$225,000.

The Fund has also contributed \$175,000 to the IBEC Research Division of AIA for research in Brazil in coffee horticulture and processing, pasture management, and the use of agricultural chemicals, together with an information program to encourage application of findings. This work has

given encouraging indications that new techniques may materially increase coffee yields at lower cost, thereby substantially increasing farm income. Chemical brush control and experiments with the fertilization of pasture grasses have produced equally encouraging results. Since 1952, including earlier research in Venezuela, a total of \$1,059,709 has been contributed.

American Municipal Association — \$4,000. For an analysis of state statutory provisions relating to annexation and incorporation of newly developed areas adjoining urban centers.

American Public Welfare Association — \$25,000. For additional services to state and local public welfare agencies to help them implement the 1956 Social Security Amendments.

Arkansas Foundation of Associated Colleges — \$60,300. For continuation of a program, undertaken in 1956 with Fund support and administered in cooperation with the member colleges, to enrich their educational programs through faculty fellowships, coordinated library operations and jointly developed cultural activities. Grants to date total \$107,000.

Community Service Society of New York — \$75,000. For final research and testing of a demonstration and study project on the needs and opportunities for older people. This project, which will be completed and will publish its findings later in 1958, has been carried out on a team approach to determine what effect coordinated individual treatment can have on restoring capacity for usefulness and independence. Grants since 1954 have totaled \$300,000.

Council on Economic and Cultural Affairs — \$300,000. For support of a program of research and education in agricultural economics in the countries of Asia. Grants since 1956 total \$600,000.

Council on Social Work Education — \$41,000. For research in the training needs of public welfare personnel as part of a broad study of curriculum offerings in social work education. Grants since 1955 total \$74,000.

Cornell University Medical College — \$17,500. For the Yorkville Community Mental Health Research Project, a comprehensive exploration of the determinants of mental illness in a heterogeneous urban community, which will be completed in 1958. Contributions 1954 to date total \$57,500.

Educational Testing Service — \$11,000. For completion of the evaluation and testing of guidance materials designed to compensate, at least in part, for the acute shortage in secondary schools of trained guidance personnel. The cost of the project, commenced in 1955, has been financed by Fund grants totaling \$34,000.

Foreign Policy Association — \$50,000. For continued support of the World Affairs Center, which offers to interested organizations and individuals various program services and facilities related to increasing public understanding of international affairs.

Herald Tribune Fresh Air Fund — \$35,000. For development and expansion of the camping program for New York City children at the Sharpe Reservation.

Institute for the Crippled and Disabled — \$6,000. For research in establishing a system of follow-up of former patients. By evaluating patients' experiences after treatment, the Institute hopes to identify and improve those elements in the rehabilitation process which can produce the best results in preparing disabled persons for more satisfying and productive lives. Fund support of this research, begun in 1954, now totals \$30,000.

Institute for International Social Research — \$600,000. For a program of research and publication relating to the social and psychological factors which affect relations among nations and peoples. Grants since 1955 total \$750,000.

Institute of International Education — \$29,281. The Hungarian crisis resulted in the arrival in the United States of over a thousand students whose studies had been interrupted. Most of them did not speak English. An emergency program was undertaken by the Institute of International

Education to give these students a quick orientation course with intensive English language training. The Fund participated in the support of the programs conducted at Bard College in New York State and at St. Michael's College, Vermont.

Jackson Hole Preserve — \$125,000. For the purchase of land on the Island of St. John in the Virgin Islands for a national park. Virgin Islands National Park became the 29th national park when more than 5,000 acres were formally turned over to the U.S. Government in December, 1956. Grants since 1955 total \$1,250,000.

Joint Commission on Mental Illness and Health — \$36,000. For a study of the relation of religion to mental health. The study will be based upon 2,500 depth interviews and 20 community surveys and will seek to provide information on the extent and quality of pastoral counseling, the preparing of ministers for pastoral counseling and the attitudes of people under emotional stress in seeking help from religious sources. The study will also seek to find out whether there is a direct correlation between firm religious faith and mental health. This is part of a nation-wide examination of resources for promoting better mental health and for treatment of the mentally ill.

Menninger Foundation — \$43,000. For establishment of a Division of Industrial Psychiatry to conduct research and provide training and demonstration services for industry. Begun in 1955, support through 1957 totals \$105,000.

Morningside Community Center — \$25,000. Toward capital needs of a new and larger building for this center serving New York City's Harlem area.

Museum of Modern Art — \$125,000. For the International Exhibitions Program of the Museum of Modern Art carried out in cooperation with the International Council of the Museum. During the year 1957, a total of 30 exhibitions of the work of American artists were shown in 34 countries. Since the inception of the program in 1952, the work of 350 artists — paintings, water colors, drawings, prints and sculpture — have been seen in countries all over the world. With this grant, support of the Program since 1952 totals \$687,500.

National Book Committee — \$3,600. The second Conference on American Books Abroad brought together at Arden House leading representatives of government agencies, library and educational organizations, book publishers and exporters, foundations and other voluntary groups interested in stimulating the flow of American books to other countries. It took steps to implement the few remaining recommendations made at the 1956 conference and examined measures to coordinate existing programs and stimulate new activities.

National Council of the Churches of Christ in the United States of America — \$33,500. For a project to improve the economic status of Protestant ministers.

National Foundation for Junior Museums — \$5,000. For the initial financing of plans to develop Junior Museums in the Eastern part of the country.

National Social Welfare Assembly — \$5,000. For assistance to the October, 1957 meeting in San Juan, Puerto Rico, of the Pan American Congress of Social Service.

New York University — \$6,500. For a comparative study of new developments in social welfare services in rural areas.

New York University-Bellevue Medical Center — \$950. Toward operations of the Regional Hospital Plan, a cooperative project among community hospitals seeking to advance professional education and medical care. Grants to the project since 1954 now total \$6,950.

Population Council — \$100,000. For development at the Rockefeller Institute for Medical Research of laboratory facilities for specialized research in the physiology of reproduction.

Ramon Magsaysay Award Foundation — \$500,000. For a program of awards to Asians to commemorate and perpetuate the ideals of the late President of the Philippines.

Regional Plan Association — \$75,000. Toward the cost of a comprehensive study of the economy of the New

York metropolitan area being conducted for the Association by Harvard University's Graduate School of Public Administration. The study, which will be completed in 1959, will project the economic trends of the New York-New Jersey-Connecticut area over the next 25 years.

Sam Rayburn Foundation — \$100,000. Toward establishment of the Sam Rayburn Library as a repository for Speaker Rayburn's library collection and personal papers, and as a regional research center in history and political science dealing especially with the Congress and the Speakership.

Society of American Military Engineers — \$4,100. For a wider dissemination of information developed and presented in connection with the third Annual Military-Industrial Conference held in March, 1957.

State Charities Aid Association — \$2,500. For the work of the Committee to Protect Our Children's Teeth. Support of this work over the past two years totals \$7,500.

Temple University School of Medicine and Hospital — \$50,000. Toward the establishment and support of the Institute for Direct Analysis to evaluate a specialized approach to the treatment of schizophrenia and to train professionals in the use of this method. Support of the undertaking since 1956 totals \$110,000.

United Neighborhood Houses of New York — \$50,000. For a special fund to help the needs of New York City's non-federated settlement houses.

World Rehabilitation Fund — \$10,000. For a training program in the United States for physicians and other rehabilitation personnel brought from abroad to the Department of Physical Medicine and Rehabilitation of the New York University-Bellevue Medical Center and other institutions.

Young Women's Christian Association, National Board — \$50,000. For assistance to the Seoul branch of the Korean YWCA for construction of new facilities to replace its building damaged during the Korean conflict.

Total Contributions for Special Projects: \$3,036,731

*1957 Contributions to General Operations**

Adoption Service of Westchester	\$ 2,000
Allergy Foundation of America	5,000
American Association for the United Nations	7,500
*American Council for Emigrés in the Professions	5,000
American Council to Improve Our Neighborhoods	50,000
American Craftsmen's Council	30,000
American Diabetes Association	2,500
American Friends Service Committee	15,000
American Museum of Natural History	5,000
American Planning and Civic Association	500
*American Public Welfare Association	5,000
American Red Cross	7,500
American Social Hygiene Association	7,500
Asia Society	25,000
Association on American Indian Affairs	1,000
Big Brothers	9,000
Big Sisters	5,000
Boy Scouts of America, Greater New York Councils	5,000
Brooklyn Bureau of Social Service and Children's Aid Society	5,000
Brooklyn Institute of Arts and Sciences	5,000
Carrie Chapman Catt Memorial Fund	7,500
Catholic Charities of the Archdiocese of New York	5,000
Children's Aid Society	2,500
Children's Village	2,500
City Center of Music and Drama	2,500
Common Cold Foundation	5,000
Community Council of Greater New York	7,500
*Community Service Society of New York	25,000
Conservation Foundation	25,000
Family Service Association of America	10,000
Family Service of Westchester	2,000
Federation of Jewish Philanthropies of New York	5,000
Federation of Protestant Welfare Agencies	5,000
*Foreign Policy Association	5,000
Girl Scout Council of Greater New York	7,500
*Institute of International Education	10,000
International House (New York)	15,000
International Social Service, American Branch	3,000
Legal Aid Society	3,000
Metropolitan Educational Television Association	50,000
Metropolitan Museum of Art	2,500
Metropolitan Opera Association	5,000
Mount Desert Larger Parish	750

National Association for Mental Health	\$ 57,500
National Conference of Christians and Jews	2,500
*National Council of the Churches of Christ in the United States of America	10,000
National Council on Alcoholism	7,500
National Information Bureau	1,000
National Urban League	25,000
New York Botanical Garden	5,000
New York City Cancer Committee of the American Cancer Society	5,000
New York Heart Association	2,000
New York Public Library	5,000
New York State Citizens Committee for the Public Schools	1,000
New York Zoological Society	5,000
Occupational Health Institute	5,000
Philharmonic-Symphony Society of New York	5,000
Planned Parenthood Federation of America	12,500
Police Athletic League	2,000
*Population Council	120,000
Protestant Council of the City of New York	7,500
*Regional Plan Association	2,500
Riverdale Children's Association	3,500
Riverside Church	25,000
Riverside Fund to Help Build a Christian World	2,000
Stadium Concerts	1,000
*State Charities Aid Association	5,000
Travelers Aid Society of New York	2,500
Union Church of Pocantico Hills	4,000
United Hospital Fund of New York	25,000
United Negro College Fund	12,500
*United Neighborhood Houses of New York	5,000
United States Committee for the United Nations	5,000
Urban League Fund	10,000
Urban League of Westchester County	2,000
USO Fund of New York	20,000
Visiting Nurse Service of New York	2,500
Westchester County Council of Social Agencies	1,000
Wiltwick School for Boys	2,500
Young Men's Christian Association of Greater New York	5,000
Young Men's Christian Association, National Board	6,000
Young Women's Christian Association of the City of New York	5,000
*Young Women's Christian Association, National Board	5,000
Total General Budgetary Grants	<u>\$834,250</u>

*Indicates those agencies which also received grants in 1957 for special projects. See list beginning on page 8.

Contributions by Years

1941 - 1951	\$ 2,355,282
1952	911,867
1953	1,177,430
1954	1,423,444
1955	1,762,820
1956	3,609,344
1957	3,870,981
	<hr/>
	<u><u>\$15,111,168</u></u>

Balance Sheet DECEMBER 31, 1957

ASSETS:

Unrestricted Principal Fund

Cash in bank and on hand	\$ 2,527,950.76	
Securities	51,744,076.58	
Accrued income receivable, etc.	<u>121,768.41</u>	\$54,393,795.75

Fund for the Advancement of Contemporary Social and Cultural Studies

Cash in bank	12,313.62	
Income receivable	76,220.28	
Securities	<u>349,117.14</u>	437,651.04

Fund for Training, Recruitment and Education of Scientific Talent

Cash in bank		<u>49,654.45</u>
		<u>\$54,881,101.24</u>

FUNDS AND LIABILITIES:

Unrestricted Principal Fund

Accounts payable and accrued expenses	\$ 29,284.82	
Unpaid appropriations	3,088,000.00	
Fund balance	<u>51,276,510.93</u>	\$54,393,795.75

Fund for the Advancement of Contemporary Social and Cultural Studies

Unpaid appropriations	58,500.00	
Fund balance	<u>379,151.04</u>	437,651.04

Fund for Training, Recruitment and Education of Scientific Talent

Fund balance		<u>49,654.45</u>
		<u>\$54,881,101.24</u>

Statement of Changes
FOR THE YEAR ENDING

in Funds
DECEMBER 31, 1957

UNRESTRICTED PRINCIPAL FUND

BALANCE, January 1, 1957			\$52,393,100.67
ADDITIONS:			
Gifts received		\$ 199,796.88	
Dividends	\$ 869,864.61		
Interest from investments	182,458.93		
	<u>\$1,052,323.54</u>		
Add: Net gain from sale of securities	410,601.77	1,462,925.31	1,662,722.19
			<u>\$54,055,822.86</u>
DEDUCTIONS:			
Total appropriations paid	\$3,761,181.00		
Less: Payments on appropriations authorized in prior years	<u>2,250,972.00</u>	\$1,510,209.00	
Appropriations to be paid in subsequent years, net of cancellations in 1957		728,906.16	
Special Studies Project		339,650.94	
Administrative Expenses		<u>200,545.83</u>	2,779,311.93
BALANCE, December 31, 1957			<u><u>\$51,276,510.93</u></u>

RESTRICTED FUNDS

Fund for the Advancement of Contemporary Social and Cultural Studies

BALANCE, January 1, 1957			\$131,454.31
ADDITIONS:			
Income from trust of which Fund is beneficiary	\$286,836.77		
Interest from investments	<u>4,859.96</u>		291,696.73
			<u>\$423,151.04</u>
DEDUCTIONS:			
Total appropriations paid	\$109,800.00		
Less: Payments on appropriations authorized in prior years	<u>88,300.00</u>	\$21,500.00	
Appropriations to be paid in subsequent years		<u>22,500.00</u>	44,000.00
BALANCE, December 31, 1957			<u><u>\$379,151.04</u></u>

Fund for Training, Recruitment and Education of Scientific Talent

BALANCE, January 1, 1957			None
(Fund created December 24, 1957)			
ADDITION:			
Gift received		\$50,462.50	
Less: Loss from sale of securities		<u>808.05</u>	\$ 49,654.45
DEDUCTIONS:			<u>None</u>
BALANCE, December 31, 1957			<u><u>\$ 49,654.45</u></u>