

**Return of Private Foundation  
or Section 4947(a)(1) Trust Treated as Private Foundation**

**2016**

Department of the Treasury  
Internal Revenue Service

▶ **Do not enter social security numbers on this form as it may be made public.**  
▶ Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

**Open to Public Inspection**

**For calendar year 2016 or tax year beginning , 2016, and ending**

Name of foundation <b>Rockefeller Brothers Fund, Inc.</b>		<b>A</b> Employer identification number 13-1760106
Number and street (or P.O. box number if mail is not delivered to street address) <b>475 Riverside Drive</b>	Room/suite 900	<b>B</b> Telephone number (see instructions) (212) 812-4200
City or town, state or province, country, and ZIP or foreign postal code <b>New York NY 10115</b>		<b>C</b> If exemption application is pending, check here . ▶ <input type="checkbox"/>
<b>G</b> Check all that apply:		<b>D</b> 1 Foreign organizations, check here . . . . . ▶ <input type="checkbox"/>
<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity	2 Foreign organizations meeting the 85% test, check here and attach computation . . . . . ▶ <input type="checkbox"/>
<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return	<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here . . . . . ▶ <input type="checkbox"/>
<input type="checkbox"/> Address change	<input type="checkbox"/> Name change	<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here . . . . . ▶ <input type="checkbox"/>
<b>H</b> Check type of organization:		
<input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation		
<input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust		
<input type="checkbox"/> Other taxable private foundation		
<b>I</b> Fair market value of all assets at end of year (from Part II, column (c), line 16) ▶ \$ <b>862,354,555.</b>	<b>J</b> Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)				
<b>1</b> Contributions, gifts, grants, etc., received (attach schedule) . . . . .	9,389,388.			
<b>2</b> Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
<b>3</b> Interest on savings and temporary cash investments . . . . .	192,729.	192,729.		
<b>4</b> Dividends and interest from securities . <b>Schedule 1B</b> . . . . .	2,634,030.	10,690,387.		
<b>5a</b> Gross rents . . . . .				
<b>b</b> Net rental income or (loss) . . . . .				
<b>6a</b> Net gain or (loss) from sale of assets not on line 10 . . . . .	3,320,742.			
<b>b</b> Gross sales price for all assets on line 6a . . . . . <b>473,579,310.</b>				
<b>7</b> Capital gain net income (from Part IV, line 2) . . . . .		18,544,067.		
<b>8</b> Net short-term capital gain . . . . .				
<b>9</b> Income modifications . . . . .				
<b>10a</b> Gross sales less returns and allowances . . . . .				
<b>b</b> Less: Cost of goods sold . . . . .				
<b>c</b> Gross profit or (loss) (attach schedule) . . . . .				
<b>11</b> Other income (attach schedule) . . . . .				
See Line 11 Stmt <b>Schedule 2</b>	167,572.	-4,954,083.		
<b>12 Total.</b> Add lines 1 through 11 . . . . .	15,704,461.	24,473,100.		
<b>13</b> Compensation of officers, directors, trustees, etc. . . . .	1,762,668.	132,324.		1,630,344.
<b>14</b> Other employee salaries and wages . . . . .	4,137,042.	92,908.		4,041,049.
<b>15</b> Pension plans, employee benefits . . . . .	3,193,571.	117,719.		2,296,297.
<b>16a</b> Legal fees (attach schedule). <b>Schedule 3</b> . . . . .	736,243.	148,872.		612,244.
<b>b</b> Accounting fees (attach sch). <b>Schedule 3</b> . . . . .	197,015.	100,402.		8,203.
<b>c</b> Other professional fees (attach sch) <b>Schedule 3</b> . . . . .	6,478,193.	2,474,116.		3,711,865.
<b>17</b> Interest . . . . .	0.			0.
<b>18</b> Taxes (attach schedule)(see instrs) <b>See Line 18 Stmt (Sch 1A)</b> . . . . .	887,474.			0.
<b>19</b> Depreciation (attach schedule) and depletion <b>Schedule 4</b> . . . . .	762,319.	23,178.		
<b>20</b> Occupancy . . . . .	821,752.	21,813.		788,656.
<b>21</b> Travel, conferences, and meetings . . . . .	994,714.	8,284.		924,476.
<b>22</b> Printing and publications . . . . .	86,036.	0.		84,365.
<b>23</b> Other expenses (attach schedule)				
See Line 23 Stmt <b>(Schedule 1A)</b>	1,186,203.	29,626.		955,303.
<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23 . . . . .	21,243,230.	3,149,242.		15,052,802.
<b>25</b> Contributions, gifts, grants paid . . . . .	28,908,262.			30,119,838.
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25 . . . . .	50,151,492.	3,149,242.		45,172,640.
<b>27</b> Subtract line 26 from line 12:				
<b>a Excess of revenue over expenses and disbursements</b> . . . . .	-34,447,031.			
<b>b Net investment income</b> (if negative, enter -0-) . . . . .		21,323,858.		
<b>c Adjusted net income</b> (if negative, enter -0-) . . . . .				

# Application for Automatic Extension of Time To File an Exempt Organization Return

► **File a separate application for each return.**  
► **Information about Form 8868 and its instructions is at [www.irs.gov/form8868](http://www.irs.gov/form8868).**

**Electronic filing (e-file).** You can electronically file Form 8868 to request a 6-month automatic extension of time to file any of the forms listed below with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, for which an extension request must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile), click on Charities & Non-Profits, and click on e-file for *Charities and Non-Profits*.

**Automatic 6-Month Extension of Time.** Only submit original (no copies needed).

All corporations required to file an income tax return other than Form 990-T (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print	Enter filer's identifying number, see instructions	
	Name of exempt organization or other filer, see instructions.	Employer identification number (EIN) or
	ROCKEFELLER BROTHERS FUND, INC.	13-1760106
	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
475 RIVERSIDE DRIVE		
City, town or post office, state, and ZIP code. For a foreign address, see instructions.		
NEW YORK, NY 10115		

Enter the Return Code for the return that this application is for (file a separate application for each return) . . . . .

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

GERALDINE F. WATSON

- The books are in the care of ► 475 RIVERSIDE DRIVE, NEW YORK NY 10115

Telephone No. ► 212 812-4200 Fax No. ► \_\_\_\_\_

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ . If this is for the whole group, check this box  . If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 6-month extension of time until 11/15, 2017, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

- calendar year 2016 or
- tax year beginning \_\_\_\_\_, 20\_\_\_\_, and ending \_\_\_\_\_, 20\_\_\_\_.

2 If the tax year entered in line 1 is for less than 12 months, check reason:  Initial return  Final return  Change in accounting period

<b>3a</b> If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>3a</b>	\$ 1,830,367.
<b>b</b> If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	<b>3b</b>	\$ 1,830,367.
<b>c Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>3c</b>	\$ 0.

**Caution.** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
ASSETS	1	Cash — non-interest-bearing . . . . .		2,650,935.	1,931,432.	1,931,432.
	2	Savings and temporary cash investments . . . . .		78,522,029.	44,289,920.	44,289,920.
	3	Accounts receivable . . . . . ▶	1,977,381.			
		Less: allowance for doubtful accounts ▶	0.	2,584,813.	1,977,381.	1,977,381.
	4	Pledges receivable . . . . . ▶	19,643,705.			
		Less: allowance for doubtful accounts ▶	0.	16,124,644.	19,643,705.	19,643,705.
	5	Grants receivable . . . . .				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .				
	7	Other notes and loans receivable (attach sch) ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use . . . . .				
	9	Prepaid expenses and deferred charges . . . . .		37,776.	14,800.	14,800.
	10a	Investments — U.S. and state government obligations (attach schedule) . . . . .				
		b Investments — corporate stock (attach schedule) . . . . . Schedule 5		117,269,940.	83,120,471.	83,120,471.
		c Investments — corporate bonds (attach schedule) . . . . .				
	11	Investments — land, buildings, and equipment: basis . . . . . ▶	510,000.			
	Less: accumulated depreciation (attach schedule) . . . . . Schedule 6 ▶	0.	510,000.	510,000.	510,000.	
12	Investments — mortgage loans . . . . .					
13	Investments — other (attach schedule) . . . . . Schedule 7		635,713,031.	704,801,827.	704,801,827.	
14	Land, buildings, and equipment: basis ▶	36,303,450.				
	Less: accumulated depreciation (attach schedule) . . . . . Schedule 8 ▶	30,238,431.	6,053,088.	6,065,019.	6,065,019.	
15	Other assets (describe ▶ )					
16	<b>Total assets</b> (to be completed by all filers — see the instructions. Also, see page 1, item I). . . . .		859,466,256.	862,354,555.	862,354,555.	
LIABILITIES	17	Accounts payable and accrued expenses . . . . .		12,809,521.	13,212,844.	
	18	Grants payable . . . . .		8,016,513.	6,809,362.	
	19	Deferred revenue . . . . .				
	20	Loans from officers, directors, trustees, & other disqualified persons . . . . .				
	21	Mortgages and other notes payable (attach schedule) . . . . .				
	22	Other liabilities (describe ▶ <u>Taxes Payable</u> )		3,239,042.	3,971,826.	
	23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .		24,065,076.	23,994,032.	
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input checked="" type="checkbox"/>					
	24	Unrestricted . . . . .		808,297,057.	807,895,677.	
	25	Temporarily restricted . . . . .		17,389,355.	20,395,669.	
	26	Permanently restricted . . . . .		9,714,768.	10,069,177.	
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input type="checkbox"/>					
	27	Capital stock, trust principal, or current funds . . . . .				
	28	Paid-in or capital surplus, or land, bldg., and equipment fund . . . . .				
	29	Retained earnings, accumulated income, endowment, or other funds . . . . .				
	30	<b>Total net assets or fund balances</b> (see instructions) . . . . .		835,401,180.	838,360,523.	
	31	<b>Total liabilities and net assets/fund balances</b> (see instructions). . . . .		859,466,256.	862,354,555.	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	835,401,180.
2	Enter amount from Part I, line 27a . . . . .	2	-34,447,031.
3	Other increases not included in line 2 (itemize) . . . . . ▶ See Other Increases Stmt (Schedule 1A)	3	38,139,158.
4	Add lines 1, 2, and 3 . . . . .	4	839,093,307.
5	Decreases not included in line 2 (itemize) . . . . . ▶ See Other Decreases Stmt (Schedule 1A)	5	732,784.
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30 . . . . .	6	838,360,523.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1 a</b>	See Schedule 1			
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
<b>2</b>	Capital gain net income or (net capital loss). . . . .	<input type="checkbox"/> If gain, also enter in Part I, line 7 <input type="checkbox"/> If (loss), enter -0- in Part I, line 7	<b>2</b>	18,544,067.
<b>3</b>	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 . . . . .	<input type="checkbox"/>	<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2015	48,608,982.	836,902,908.	0.058082
2014	42,125,262.	839,582,744.	0.050174
2013	40,859,797.	794,382,459.	0.051436
2012	42,416,766.	739,358,492.	0.057370
2011	42,332,134.	742,833,551.	0.056987
<b>2</b>	<b>Total</b> of line 1, column (d) . . . . .		<b>2</b> 0.274049
<b>3</b>	<b>Average</b> distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . .		<b>3</b> 0.054810
<b>4</b>	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5. . . . .		<b>4</b> 806,038,207.
<b>5</b>	Multiply line 4 by line 3 . . . . .		<b>5</b> 44,178,954.
<b>6</b>	Enter 1% of net investment income (1% of Part I, line 27b) . . . . .		<b>6</b> 213,239.
<b>7</b>	Add lines 5 and 6. . . . .		<b>7</b> 44,392,193.
<b>8</b>	Enter qualifying distributions from Part XII, line 4 . . . . .		<b>8</b> 45,941,154.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)**

<b>1 a</b> Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1. Date of ruling or determination letter: _____ <b>(attach copy of letter if necessary – see instructions)</b>			
<b>b</b> Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	213,239.
<b>c</b> All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
<b>2</b> Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
<b>3</b> Add lines 1 and 2.		3	213,239.
<b>4</b> Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	
<b>5 Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-		5	213,239.
<b>6 Credits/Payments:</b>			
<b>a</b> 2016 estimated tax pmts and 2015 overpayment credited to 2016		6 a	1,830,367.
<b>b</b> Exempt foreign organizations – tax withheld at source		6 b	
<b>c</b> Tax paid with application for extension of time to file (Form 8868)		6 c	
<b>d</b> Backup withholding erroneously withheld		6 d	
<b>7</b> Total credits and payments. Add lines 6a through 6d		7	1,830,367.
<b>8</b> Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached		8	
<b>9 Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>		9	
<b>10 Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>		10	1,617,128.
<b>11</b> Enter the amount of line 10 to be: <b>Credited to 2017 estimated tax</b> 817,128. <b>Refunded</b>		11	800,000.

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1 a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?		X
<i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year?		X
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: <b>(1)</b> On the foundation \$ 0. <b>(2)</b> On foundation managers \$ 0.		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities.</i>		X
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
<b>4 a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? <b>Schedule 8A</b>	X	
<b>b</b> If 'Yes,' has it filed a tax return on <b>Form 990-T</b> for this year? <b>Schedule 8A</b>	X	
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T.</i>		X
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, col. (c), and Part XV</i>	X	
<b>8 a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> See States Registered In (Schedule 1A)		
<b>b</b> If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If 'No,' attach explanation</i>	X	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

**Part VII-A Statements Regarding Activities (continued)**

		Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule (see instructions) . . . . .		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions) . . . . .		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . . Website address . . . . . ▶ <u>www.rbf.org</u>	X	
14	The books are in care of ▶ <u>Geraldine F. Watson</u> Telephone no. ▶ <u>(212) 812-4200</u> Located at ▶ <u>475 Riverside Drive</u> <u>New York</u> <u>NY</u> ZIP + 4 ▶ <u>10115</u>		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> – Check here . . . . . ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶ <u>15</u>   N/A		
16	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	X	
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If 'Yes,' enter the name of the foreign country ▶ <u>BE, UK, FR, PO, GM, SN, CH, HK, KS, IT, SW, SZ, JA</u>		

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.**

		Yes	No
1 a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . . <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . . <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? ( <b>Exception.</b> Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is 'Yes' to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . . <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here . . . . . ▶ <input type="checkbox"/>	1 b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? . . . . .	1 c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If 'Yes,' list the years ▶ 20 __ , 20 __ , 20 __ , 20 __		
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer 'No' and attach statement – see instructions.) . . . . .	2 b	N/A
c	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here. ▶ 20 __ , 20 __ , 20 __ , 20 __		
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If 'Yes,' did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? ( <i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.</i> ) . . . . .	3 b	N/A
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .	4 a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016? . . . . .	4 b	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

**5 a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?. . . . .  Yes  No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? . . . . .  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes? . . . . .  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) . . . . .  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . .  Yes  No

**b** If any answer is 'Yes' to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? . . . . . **5 b**  Yes  No

Organizations relying on a current notice regarding disaster assistance check here . . . . .

**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the Schedule 9 tax because it maintained expenditure responsibility for the grant? . . . . .  Yes  No

If 'Yes,' attach the statement required by Regulations section 53.4945–5(d).

**6 a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .  Yes  No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . . **6 b**  Yes  No

If 'Yes' to 6b, file Form 8870.

**7 a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? . . . . .  Yes  No

**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . . **7 b**  Yes  No N/A

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Schedule 10		1,762,668.	467,476.	42,282.

**2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Michael F. Northrop 475 Riverside Drive, Suite 900 New York NY 10115	Program Director 35.00	227,540.	58,449.	7,177.
Ben Rodriguez-Cubenas 475 Riverside Drive, Suite 900 New York NY 10115	Program Director 35.00	206,025.	46,322.	4,742.
Shenyu Belsky 475 Riverside Drive, Suite 900 New York NY 10115	Program Director 35.00	198,156.	42,097.	6,716.
Haki Abazi 475 Riverside Drive, Suite 900 New York NY 10115	Program Director 35.00	197,443.	61,957.	6,197.
Ariadne Papagapitos 475 Riverside Drive, Suite 900 New York NY 10115	Program Director 35.00	186,474.	41,087.	4,828.
<b>Total</b> number of other employees paid over \$50,000 . . . . .				40

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)

<b>3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'</b>		
<b>(a) Name and address of each person paid more than \$50,000</b>	<b>(b) Type of service</b>	<b>(c) Compensation</b>
Greenrock Corporation 200 Lake Road Sleepy Hollow NY 10591	Facilities Maintenance	1,296,097.
Investure, LLC 126 Garrett Street, Suite J Charlottesville VA 22902	Investment Management	394,053.
Perella Weinberg Partners 767 Fifth Avenue New York NY 10153	Investment Management	1,586,662.
Patterson, Belknap, Webb & Tyler 1133 Avenue of the Americas New York NY 10036	Legal Services	744,353.
Ventucom, LLC 145 West 28th Street, 10th Floor New York NY 10001	Outsourced IT Support	391,041.
<b>Total number of others receiving over \$50,000 for professional services</b>		<b>23</b>

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 Pocantico Historic Area (See Schedule 11)	4,010,979.
2 Assistance to Charitable Organizations (See Schedule 11)	630,154.
3 Pocantico Conference Center (See Schedule 11)	337,817.
4	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 None	0.
2	
All other program-related investments. See instructions.	
3	
<b>Total. Add lines 1 through 3</b>	<b>None</b>

BAA

Form 990-PF (2016)

**Part X** **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities . . . . .	<b>1 a</b>	815,768,255.
<b>b</b>	Average of monthly cash balances . . . . .	<b>1 b</b>	2,544,646.
<b>c</b>	Fair market value of all other assets (see instructions) . . . . .	<b>1 c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c) . . . . .	<b>1 d</b>	818,312,901.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . .	<b>1 e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets . . . . .	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d . . . . .	<b>3</b>	818,312,901.
<b>4</b>	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions) . . . . .	<b>4</b>	12,274,694.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4. . . . .	<b>5</b>	806,038,207.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5 . . . . .	<b>6</b>	40,301,910.

**Part XI** **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6 . . . . .	<b>1</b>	40,301,910.
<b>2 a</b>	Tax on investment income for 2016 from Part VI, line 5 . . . . .	<b>2 a</b>	213,239.
<b>b</b>	Income tax for 2016. (This does not include the tax from Part VI.) . . . . .	<b>2 b</b>	
<b>c</b>	Add lines 2a and 2b . . . . .	<b>2 c</b>	213,239.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1 . . . . .	<b>3</b>	40,088,671.
<b>4</b>	Recoveries of amounts treated as qualifying distributions . . . . .	<b>4</b>	4,425.
<b>5</b>	Add lines 3 and 4. . . . .	<b>5</b>	40,093,096.
<b>6</b>	Deduction from distributable amount (see instructions) . . . . .	<b>6</b>	0.
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 . . . . .	<b>7</b>	40,093,096.

**Part XII** **Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26 . . . . .	<b>1 a</b>	45,172,640.
<b>b</b>	Program-related investments — total from Part IX-B. . . . .	<b>1 b</b>	0.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes . . . . .	<b>2</b>	768,514.
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required) . . . . .	<b>3 a</b>	
<b>b</b>	Cash distribution test (attach the required schedule) . . . . .	<b>3 b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 . . . . .	<b>4</b>	45,941,154.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) . . . . .	<b>5</b>	213,239.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4 . . . . .	<b>6</b>	45,727,915.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
<b>1</b> Distributable amount for 2016 from Part XI, line 7 . . . . .				40,093,096.
<b>2</b> Undistributed income, if any, as of the end of 2016:				
<b>a</b> Enter amount for 2015 only . . . . .			0.	
<b>b</b> Total for prior years: 20 __, 20 __, 20 __				
<b>3</b> Excess distributions carryover, if any, to 2016:				
<b>a</b> From 2011 . . . . .	4,898,336.			
<b>b</b> From 2012 . . . . .	4,562,360.			
<b>c</b> From 2013 . . . . .	2,054,984.			
<b>d</b> From 2014 . . . . .	0.			
<b>e</b> From 2015 . . . . .	5,242,122.			
<b>f</b> Total of lines 3a through e . . . . .	16,757,802.			
<b>4</b> Qualifying distributions for 2016 from Part XII, line 4: ▶ \$ 45,941,154.				
<b>a</b> Applied to 2015, but not more than line 2a . . . . .				
<b>b</b> Applied to undistributed income of prior years (Election required – see instructions) . . . . .				
<b>c</b> Treated as distributions out of corpus (Election required – see instructions) . . . . .				
<b>d</b> Applied to 2016 distributable amount . . . . .				40,093,096.
<b>e</b> Remaining amount distributed out of corpus . . . . .	5,848,058.			
<b>5</b> Excess distributions carryover applied to 2016 . . . . . <i>(If an amount appears in column (d), the same amount must be shown in column (a).)</i>	0.			0.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 . . . . .	22,605,860.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
<b>d</b> Subtract line 6c from line 6b. Taxable amount – see instructions . . . . .		0.		
<b>e</b> Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount – see instructions . . . . .			0.	
<b>f</b> Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017 . . . . .				0.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required – see instructions) . . . . .	3,320,000.			
<b>8</b> Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . . . .	4,898,336.			
<b>9</b> Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a . . . . .	14,387,524.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2012 . . . . .	4,562,360.			
<b>b</b> Excess from 2013 . . . . .	2,054,984.			
<b>c</b> Excess from 2014 . . . . .	0.			
<b>d</b> Excess from 2015 . . . . .	5,242,122.			
<b>e</b> Excess from 2016 . . . . .	2,528,058.			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

<b>1 a</b> If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. . . . . ▶					
<b>b</b> Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)	<input type="checkbox"/> 4942(j)(3) or				<input type="checkbox"/> 4942(j)(5)
<b>2 a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> 'Assets' alternative test — enter:					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
<b>b</b> 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
<b>c</b> 'Support' alternative test — enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					
<b>(3)</b> Largest amount of support from an exempt organization . . . . .					
<b>(4)</b> Gross investment income . . . . .					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year — see instructions.)**

- 1 Information Regarding Foundation Managers:**
- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
- None
- 
- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
- None
- 
- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
- Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.
- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:
- See Schedule 12
- 
- b** The form in which applications should be submitted and information and materials they should include:
- See Schedule 12
- 
- c** Any submission deadlines:
- See Schedule 12
- 
- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:
- See Schedule 12

**Part XV** **Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> <i>Paid during the year</i> See Schedule 13				30,119,838.
<b>Total</b> ..... ▶ <b>3 a</b>				30,119,838.
<b>b</b> <i>Approved for future payment</i> See Schedule 13				6,809,362.
<b>Total</b> ..... ▶ <b>3 b</b>				6,809,362.





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**Schedule B**  
**(Form 990, 990-EZ,**  
**or 990-PF)**

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**  
▶ **Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).**

OMB No. 1545-0047

**2016**

Name of the organization

Rockefeller Brothers Fund, Inc.

Employer identification number

13-1760106

**Organization type** (check one):

**Filers of:**

Form 990 or 990-EZ

**Section:**

501(c)( ) (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year . . . . . ▶ \$ \_\_\_\_\_

**Caution.** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer 'No' on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

**Schedule B (Form 990, 990-EZ, or 990-PF) (2016)**

Name of organization Rockefeller Brothers Fund, Inc.	Employer identification number 13-1760106
---	--

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Mr. & Mrs. George D. O'Neill 1 Rockefeller Plaza, Room 2500 New York NY 10020	\$ 5,010,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.)
2	The William and Flora Hewlett Foundation 2121 Sand Hill Road Menlo Park CA 94025	\$ 2,150,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
3	David Rockefeller, Sr. 1 Rockefeller Plaza, Room 2500 New York NY 10020	\$ 1,338,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
4	Climateworks Foundation 235 Montgomery Street, 13th Floor San Francisco CA 94104	\$ 1,000,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
5	Neva Goodwin 1 Rockefeller Plaza, Room 2500 New York NY 10020	\$ 81,282.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.)
6	Wendy O'Neill 1 Rockefeller Plaza, Room 2500 New York NY 10020	\$ 25,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization Rockefeller Brothers Fund, Inc.	Employer identification number 13-1760106
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**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	Rockefeller Philanthropy Advisors 6 West 48th Street New York NY 10020	\$ 32,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions.)</small>
8	Peggy Dulany 1 Rockefeller Plaza, Room 2500 New York NY 10020	\$ 9,900.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions.)</small>
9	Estate of Richard Rockefeller 1 Rockefeller Plaza, Room 2500 New York NY 10020	\$ 9,900.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions.)</small>
10	David Rockefeller, Jr. 1 Rockefeller Plaza, Room 2500 New York NY 10020	\$ 9,900.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions.)</small>
11	Eileen & Paul Growald 1 Rockefeller Plaza, Room 2500 New York NY 10020	\$ 5,500.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions.)</small>
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> <small>(Complete Part II for noncash contributions.)</small>

Name of organization Rockefeller Brothers Fund, Inc.	Employer identification number 13-1760106
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**Part II** **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	7,735 Shares of Home Depot, Inc.	\$ 1,009,360.	03/18/16
1	7,211 Shares of Anthem, Inc.	\$ 1,017,351.	03/18/16
5	897 Shares of Exxon Mobil Corp.	\$ 81,282.	12/19/16
---	-----	\$-----	-----
---	-----	\$-----	-----
---	-----	\$-----	-----

Form 990-PF, Page 1, Part I, Line 11

**Line 11 Stmt**

Other income:	Rev/Exp Book	Net Inv Inc	Adj Net Inc
Misc. Investment Income	162,030.	-4,954,083.	
Misc. Income from Public Programs (See Schedule 2)	5,542.	0.	
<b>Total</b>	<u>167,572.</u>	<u>-4,954,083.</u>	

Form 990-PF, Page 1, Part I, Line 18

**Line 18 Stmt**

Taxes	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
See Line 18 Stmt				
Property Tax	75,480.			0.
Tax on Investment Income	811,094.			0.
Withholding Tax	900.			0.
<b>Total</b>	<u>887,474.</u>			<u>0.</u>

Form 990-PF, Page 1, Part I, Line 23

**Line 23 Stmt**

Other expenses:	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
See Line 23 Stmt				
Postage	3,598.			4,819.
Messenger Services	323.			374.
Dues	56,424.			0.
Capital Expenditures	132,064.			250,161.
Office Supplies	36,576.			37,495.
Maintenance & Repairs	159,975.			46,176.
Telephone & Internet	114,583.	3,384.		117,277.
IT Security Measures	49,385.			39,761.
General Insurance	286,016.	5,605.		278,858.
Equipment Leases & Rentals	48,305.			45,612.
Temporary Staffing	97,063.			95,643.
Collections Maintenance	70,541.			70,541.
Printing & Stationary	8,428.			6,146.
Books & Subscriptions	14,895.			14,895.
Misc. Expenses	108,027.	20,637.		-52,455.
<b>Total</b>	<u>1,186,203.</u>	<u>29,626.</u>		<u>955,303.</u>

Form 990-PF, Page 2, Part III, Line 3

**Other Increases Stmt**

See Part III, line 3 Stmt, SCHEDULE 1A	
Unrealized Appreciation on Investments	<u>36,639,287.</u>
Amounts Not Recognized as a Component of Net Periodic Benefit Cost	<u>479,705.</u>
Adjustment to Discount Pledges Receivable	<u>1,020,166.</u>
<b>Total</b>	<u>38,139,158.</u>

Rockefeller Brothers Fund, Inc. 13-1760106

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Form 990-PF, Page 2, Part III, Line 5

**Other Decreases Stmt**

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See Part III, line 5 Stmt, SCHEDULE 1A	
Deferred Excise Tax Expense	<u>732,784.</u>
Total	<u><u>732,784.</u></u>

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Form 990-PF, Page 4, Part VII-A, Line 8a

**States Registered In**

---

NEW YORK

---

CALIFORNIA

---

MASSACHUSETTS

Schedule of Dividends & Interest from Securities

Part I, line 4

Dividends	2,634,030
Interest	0

<b>Total line 4, col. (a)</b>	<b>\$2,634,030</b>
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Add: Dividends & Interest per Limited Partnerships K-1s	8,056,357
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<b>Total line 4, col. (b)</b>	<b><u>\$10,690,387</u></b>
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Schedule of Capital Gains and Losses

Part I, line 6a & Part IV, line 2 \*

	<u>Gain or (Loss)</u>
Brandywine Global Investment Management Trust	\$ (22,041)
Brookfield Global Listed RE Fund	(758,567)
Cantillon Global Equity LP	267,506
Convexity Capital	4,026,247
DSM Capital Partners	1,145,436
Eagle Capital Management LLC	1,051,551
ECF Value Fund International	(209,512)
Eton Park Overseas	91,672
GMO Emerging Domestic Opportunities Fund	(285,490)
Goldman Sachs Overlay Equity Swaps	59,146
Gotham Absolute Return Fund	(1,741,559)
Gotham Neutral Fund	1,318,882
Graham Tactical Trend Energy	(5,806)
Hawkeye Capital Institutional LP	(789,513)
Lazard Global Listed Infrastructure Portfolio	138,387
OSS Overseas Fund	(10,519)
Cash Account	(411,471)
Sanders Capital LLC	(538,470)
Scout Unconstrained Bond Fund	(5,137)
<b>Net Realized Gain per Books (Total Part I, line 6a,col.(a))</b>	<b>\$ 3,320,742</b>
Add: Actual Net Capital Gain per limited partnerships (Schedule K-1 basis) (See attached schedule)	14,679,277
Less: Gain/(loss) from Partnerships previously reflected in books, <u>for which K-1 values are now reflected on Form 990PF:</u>	
Brandywine Global Investment Management Trust	22,041
Cantillon Global Equity LP	(267,506)
Hawkeye Capital Institutional LP	789,513
	<u>544,048</u>
<b>Total Part IV, line 2</b>	<b>\$ 18,544,067</b>

\* As applicable, detailed records to support acquisition and sales dates, sales price, and cost basis are maintained by the organization. Gains and losses passed through from limited partnership investments are reported directly from the Form 1065, Schedule K-1, as provided by the limited partnerships to the organization.

Schedule of Limited Partnerships' Gains and Losses per Schedules K-1

	Capital Gain	Capital Loss	Net Capital Gain/(Loss)
Ampersand 2001 Limited Partnership	203,658		203,658
Arrowpoint Income Opportunity	24,198	(244,280)	(220,082)
Brandywine Global Investment Mgmt, LLC	522,029		522,029
Cabot Industrial Value Fund II	158,037		158,037
Cantillon Global Equity LP	735,095	(2,384,911)	(1,649,816)
C/R Renewable Energy Infrastructure Fund I, L.P.		(84,234)	(84,234)
Carlyle Riverstone TE Partners III (Cayman), LP		(1,012,419)	(1,012,419)
Generation IM Global Equity Fund, LLC	5,318,462		5,318,462
Hawkeye Capital Institutional LP	78,504	(46,820)	31,684
HSREP IV Co-Investment, LP	2,900,239		2,900,239
Strategic Partners II, LP	32	(27,354)	(27,322)
Strategic Partners III, LP	43,234		43,234
Strategic Partners III VC, LP	39,515	(9,660)	29,855
Investure Evergreen Fund	594,140	(150,693)	443,447
J.H. Whitney IV	9,425		9,425
Kayne Anderson Energy Fund III		(164,668)	(164,668)
Kayne Anderson Energy Fund IV		(179,222)	(179,222)
Lehman Brothers NB Co-Investment Partners, LP - Cayman AIV I, LP	11,166		11,166
Lehman Brothers NB Co-Investment Partners	929,245	(2)	929,243
Lehman Brothers NB Secondary Opportunities Fund	80,957		80,957
Lexington Capital Partners II, LP		(423,107)	(423,107)
Lexington Capital Partners IV, LP		(280,137)	(280,137)
Mahout Worldwide Sustainability Fund	135,803		135,803
Morgan Stanley Real Estate Fund VI		(80,988)	(80,988)
Natural Gas Partners VIII	91,636		91,636
New Enterprise Associates 10	374	(44,963)	(44,589)
NGP Energy Technology Partners		(21,880)	(21,880)
Palamon European Equity 'B', LP	2,857,427		2,857,427
Private Advisors Small Company Buyout Fund II, LP	918,701		918,701
Prospect Venture Partners	110		110
Siguler Guff	877,091	(39,115)	837,976
TCV IV		(5,011)	(5,011)
Tensile Capital Partners LP	214,569		214,569
Three Arch Capital, LP	53,468		53,468
Three Arch Partners IV, LP	323,211		323,211
TIFF Partners II		(92,333)	(92,333)
TIFF Partners IV		(5,769)	(5,769)
Trinity Ventures VII		(20,735)	(20,735)
Trinity Ventures VIII		(16,168)	(16,168)
Weston Presidio Capital III	8,985		8,985
Weston Presidio Capital IV	2,294		2,294
Weston Presidio V, L.P.	2,882,141		2,882,141
	<u>20,013,746</u>	<u>(5,334,469)</u>	<u>14,679,277</u>

Schedule of Other Income/(Loss)

Part I, line 11

Miscellaneous Investment Income	162,030
Miscellaneous Income - Public Programs	5,542
<b>Total line 11, col. (a)</b>	<b>167,572</b>
Add: Other Income/(Loss) per Limited Partnerships K-1s (See attached schedule)	(5,116,113)
Less: Miscellaneous Income - Public Programs	<u>(5,542)</u>
<b>Total line 11, col. (b)</b>	<b><u>(4,954,083)</u></b>

Schedule of Other Income/(Loss)  
Part I, line 11, col. (b)

	Other Income/(Loss)	Deductions Related to Portfolio Income	Net Other Income/(Loss)
<u>Net Income/(Loss) received from the following Partnerships:</u>			
Ampersand 2001 Limited Partnership	-	(549)	(549)
Arch Venture IX, L.P.	-	(150,067)	(150,067)
Arrowpoint Income Opportunity	31,730	(112,129)	(80,399)
Brandywine Global Investment Mgmt, LLC	(556,708)	(38,281)	(594,989)
Cabot Industrial Value Fund II	32,464	(717)	31,747
Cantillon Global Equity LP	1,071,172	(588,663)	482,509
C/R Renewable Energy Infrastructure Fund I, L.P.	-	(13,342)	(13,342)
Carlyle Riverstone TE Partners III, LP	-	(77,095)	(77,095)
Centeroak Equity Fund I LP	-	(166,056)	(166,056)
Clearvue Partners II, L.P.	-	(252,712)	(252,712)
Elevar Equity III LP	-	(371,114)	(371,114)
Farallon Capital F5 Investors I LP	-	(1,720)	(1,720)
Generation IM Global Equity Fund, LLC	(30,563)	(858,311)	(888,874)
Hawkeye Capital Institutional LP	26,320	(142,753)	(116,433)
HSREF IV Co-Investment, LP	-	(105,478)	(105,478)
Strategic Partners II, LP	364	(2,858)	(2,494)
Strategic Partners III, LP	12,009	(37,924)	(25,915)
Strategic Partners III VC, LP	18,083	(29,237)	(11,154)
Investure Evergreen Fund	444,369	(3,107,044)	(2,662,675)
J.H. Whitney IV	-	(945)	(945)
Kayne Anderson Energy Fund III	577	(3,168)	(2,591)
Kayne Anderson Energy Fund IV	179,903	(713)	179,190
Lehman Brothers NB Co-Investment Partners, LP - Cayman AIV I, LP	1,180	(8,309)	(7,129)
Lehman Brothers NB Co-Investment Partners	(1,511)	(25,860)	(27,371)
Lehman Brothers NB Secondary Opportunities Fund	(1,835)	(70,489)	(72,324)
Lexington Capital Partners II, LP	139	(4,798)	(4,659)
Lexington Capital Partners IV, LP	(29,824)	(12,968)	(42,792)
Mahout Worldwide Sustainability Fund	6,540	(17,851)	(11,311)
Morgan Stanley Real Estate Fund V International - TE, LP	75,382	(7,148)	68,234
Morgan Stanley Real Estate Fund VI	1,965	(81)	1,884
Natural Gas Partners VIII	137,162	32,188	169,350
New Energy Capital Infrastructure Credit Fund LP	259,753	(273,712)	(13,959)
New Enterprise Associates 10	-	(522)	(522)
NGP Energy Technology Partners	-	(3,391)	(3,391)
Palamon European Equity 'B', LP	1,401	(9,143)	(7,742)
Prime Finance Partners V, LP	-	(15,521)	(15,521)
Private Advisors Small Company Buyout Fund II, LP	15,482	(53,103)	(37,621)
Prospect Venture Partners	-	(544)	(544)
Siguler Guff	222,317	(129,242)	93,075
Sustainable Asset Fund, LP	214,256	(338,799)	(124,543)
TCV IV	-	(343)	(343)
Tensile Capital Partners LP	6,302	(151,916)	(145,614)
Three Arch Capital, LP	-	(1,500)	(1,500)
Three Arch Partners IV, LP	-	(12,588)	(12,588)
TIFF Partners II	-	(5,212)	(5,212)
TIFF Partners IV	52	(37,252)	(37,200)
Trinity Ventures VII	-	(3,191)	(3,191)
Trinity Ventures VIII	-	(1,009)	(1,009)
Turner Multifamily Impact Fund, L.P.	-	(707)	(707)
Weston Presidio Capital III	-	(1,476)	(1,476)
Weston Presidio Capital IV	-	(535)	(535)
Weston Presidio V AIV 1 Holdings, L.P.	-	(1,575)	(1,575)
Weston Presidio V, L.P.	-	(37,121)	(37,121)
	2,138,481	(7,254,594)	
			<u>(5,116,113)</u>
	Other Income/(Loss) from Limited Partnerships		<u>(5,116,113)</u>

SCHEDULE OF EXPENDITURES

Part I, line 16(a,b,c)

Legal Fees (Patterson, Belknap, Webb & Tyler)	\$ 691,149	
Legal Fees (Jochum, Shore & Trossevin, PC)	\$ 40,000	
Legal Fees (Norton Rose Fulbright LLP)	5,094	
	<u>Total line 16a</u>	<u>\$ 736,243</u>
Accounting Fees (KPMG LLP)	\$ 178,002	
Accounting Fees (Grant Thornton LLP)	19,013	
	<u>Total line 16b</u>	<u>\$ 197,015</u>
<u>Other Professional Fees:</u>		
Investment Management Fees	\$ 2,620,893	
Custody Fees	281,377	
Consultants' Fees	1,945,338	
Consultants' Fees - Benefits Services	54,121	
Facilities Maintenance	1,576,464	
	<u>Total line 16c</u>	<u>\$ 6,478,193</u>

**Note:** As applicable, detailed records to support expenses deductible against net investment income are maintained by the organization.

SCHEDULE OF DEPRECIATION

Part I, line 19

Description	ASSETS					ACCUMULATED DEPRECIATION		
	Beginning of Year	P/Y Adj.	2016 Acquisitions	2016 Dispositions	End of Year	Reserve, beginning of Year	2016 Depreciation (Part 1, line 19)	Reserve, end of Year
Office Equipment	2,436,267		200,805		2,637,072	2,217,564	66,460	2,284,024
Computer Equipment & Software	2,144,841		28,195		2,173,036	2,075,398	61,229	2,136,627
Fine Art	4,780		0		4,780	0	0	0
Leasehold Improvements-437 Madison Ave	3,049,452		0		3,049,452	1,819,658	0	1,819,658
Leasehold Improvements-Interchurch Center	7,159,516		0		7,159,516	3,794,945	508,417	4,303,362
Leasehold Improvements	20,631,230		544,153		21,175,383	19,512,521	103,955	19,616,476
Other	44,719		1,097		45,816	33,142	12,023	45,165
Automobile	58,395				58,395	22,884	10,235	33,119
	35,529,200	-	774,250	-	36,303,450	29,476,112	762,319	30,238,431

**Note:** As applicable, detailed records to support expenses deductible against net investment income are maintained by the organization.

Schedule of Investments

Part II, lines 10 (a,b,c), col. (b) & (c)

Manager	As Of Date	Security Description	Shares/Par	Price	line 10a	line 10b		line 10c
					U.S. & State Gov't Obligations	Corporate Stock	Corporate Bonds	
					Cost	Market Value		
DSM CAPITAL PARTNERS	12/31/2016	DREYFUS TREASURY SECURITIES CM	241,142.070	100.0000		241,142	241,142	
	12/31/2016	ALLERGAN PLC	3,800.000	210.0100		1,100,796	798,038	
	12/31/2016	INVESCO LTD	9,250.000	30.3400		378,225	280,645	
	12/31/2016	NXP SEMICONDUCTORS NV	7,400.000	98.0100		627,360	725,274	
	12/31/2016	ROYAL CARIBBEAN CRUISES LTD	11,355.000	82.0400		858,818	931,564	
	12/31/2016	ADOBE SYSTEMS INC	10,900.000	102.9500		904,042	1,122,155	
	12/31/2016	AFFILIATED MANAGERS GROUP INC	4,300.000	145.3000		855,055	624,790	
	12/31/2016	ALEXION PHARMACEUTICALS INC	5,200.000	122.3500		882,205	636,220	
	12/31/2016	ALIBABA GROUP HOLDING LTD	14,000.000	87.8100		1,206,974	1,229,340	
	12/31/2016	ALPHABET INC-CL A	2,086.000	792.4500		1,254,259	1,653,051	
	12/31/2016	AUTOMATIC DATA PROCESSING INC	7,450.000	102.7800		660,273	765,711	
	12/31/2016	CELGENE CORP	8,050.000	115.7500		571,791	931,788	
	12/31/2016	DOLLAR GENERAL CORP	5,300.000	74.0700		416,943	392,571	
	12/31/2016	DOLLAR TREE INC	6,450.000	77.1800		592,528	497,811	
	12/31/2016	ELECTRONIC ARTS INC	11,450.000	78.7600		893,581	901,802	
	12/31/2016	FACEBOOK INC	13,200.000	115.0500		1,117,254	1,518,660	
	12/31/2016	FLEETCOR TECHNOLOGIES INC	3,700.000	141.5200		576,641	523,624	
	12/31/2016	MONSTER BEVERAGE CORP	20,400.000	44.3400		920,954	904,536	
	12/31/2016	NEWELL BRANDS INC	12,500.000	44.6500		562,062	558,125	
	12/31/2016	PRICELINE GROUP INC/THE	725.000	1,466.0600		895,673	1,062,894	
	12/31/2016	REGENERON PHARMACEUTICALS INC	1,635.000	367.0900		562,042	600,192	
	12/31/2016	CHARLES SCHWAB CORP/THE	23,950.000	39.4700		738,127	945,307	
	12/31/2016	SHIRE PLC	4,600.000	170.3800		1,111,608	783,748	
	12/31/2016	SIRIUS XM HOLDINGS INC	177,550.000	4.4500		727,054	790,098	
12/31/2016	STARBUCKS CORP	14,650.000	55.5200		781,797	813,368		
12/31/2016	TENCENT HOLDINGS LTD	54,750.000	24.4680		794,283	1,339,623		
12/31/2016	VISA INC	14,850.000	78.0200		989,004	1,158,597		
12/31/2016	ZOETIS INC	21,200.000	53.5300		968,787	1,134,836		
12/31/2016	Investment Accruals					11,075		
					-	<b>22,189,280</b>	<b>23,876,583</b>	-
SANDERS CAPITAL LLC	12/31/2016	NON-BASE CURRENCY	-	1.0000		0	0	
	12/31/2016	PUBLICIS GROUPE SA	4,062.000	69.1389		326,500	280,842	
	12/31/2016	RENAULT SA	4,400.000	89.1369		329,364	392,202	
	12/31/2016	BNP PARIBAS SA	23,600.000	63.8651		1,416,079	1,507,217	
	12/31/2016	ENEL SPA	55,700.000	4.4173		315,911	246,043	
	12/31/2016	EDP - ENERGIAS DE PORTUGAL SA	62,300.000	3.0524		290,702	190,167	

12/31/2016	NON-BASE CURRENCY	-	1.0000	0	0
12/31/2016	CATHAY PACIFIC AIRWAYS LTD	107,600.000	1.3156	203,868	141,558
12/31/2016	CHINA RESOURCES POWER HOLDINGS	92,000.000	1.5890	223,503	146,191
12/31/2016	HONDA MOTOR CO LTD	22,500.000	29.2794	687,960	658,786
12/31/2016	SUMITOMO MITSUI FINANCIAL GROU	29,600.000	38.2390	1,236,197	1,131,873
12/31/2016	NON-BASE CURRENCY	-	1.0000	0	0
12/31/2016	WPP PLC	7,900.000	22.4394	175,049	177,271
12/31/2016	IMPERIAL BRANDS PLC	4,500.000	43.7729	183,331	196,978
12/31/2016	BP PLC	118,600.000	6.2969	956,221	746,809
12/31/2016	BARCLAYS PLC	430,100.000	2.7611	1,375,923	1,187,532
12/31/2016	UNITED OVERSEAS BANK LTD	25,100.000	14.1206	394,055	354,427
12/31/2016	NON-BASE CURRENCY	-	1.0000	7,348	7,348
12/31/2016	BNK FINANCIAL GROUP INC	8,822.000	7.1866	108,945	63,400
12/31/2016	DGB FINANCIAL GROUP INC	555.000	8.0891	8,006	4,489
12/31/2016	HYUNDAI MOTOR CO	1,495.000	120.8810	176,875	180,717
12/31/2016	ROCHE HOLDING AG	4,500.000	228.8582	1,219,246	1,029,862
12/31/2016	DREYFUS TREASURY SECURITIES CM	276,512.590	100.0000	276,513	276,513
12/31/2016	DELPHI AUTOMOTIVE PLC	11,800.000	67.3500	800,548	794,730
12/31/2016	MEDTRONIC PLC	8,600.000	71.2300	656,950	612,578
12/31/2016	SAMSUNG ELECTRONICS CO LTD	2,450.000	747.0000	1,562,788	1,830,150
12/31/2016	ALPHABET INC-CL C	1,790.000	771.8200	1,371,240	1,381,558
12/31/2016	ANTHEM INC	5,900.000	143.7700	691,859	848,243
12/31/2016	APPLE INC	13,050.000	115.8200	1,269,816	1,511,451
12/31/2016	BANK OF AMERICA CORP	73,400.000	22.1000	1,144,050	1,622,140
12/31/2016	BED BATH & BEYOND INC	3,600.000	40.6400	248,875	146,304
12/31/2016	CIGNA CORP	7,300.000	133.3900	838,641	973,747
12/31/2016	CABOT OIL & GAS CORP	32,500.000	23.3600	754,302	759,200
12/31/2016	CALATLANTIC GROUP INC	7,792.000	34.0100	284,526	265,006
12/31/2016	CITIGROUP INC	26,700.000	59.4300	1,211,026	1,586,781
12/31/2016	DR HORTON INC	36,300.000	27.3300	843,837	992,079
12/31/2016	EBAY INC	5,900.000	29.6900	176,013	175,171
12/31/2016	FIFTH THIRD BANCORP	15,700.000	26.9700	324,505	423,429
12/31/2016	GENERAL ELECTRIC CO	9,239.000	31.6000	240,156	291,952
12/31/2016	GOLDMAN SACHS GROUP INC/THE	1,100.000	239.4500	181,443	263,395
12/31/2016	INTEL CORP	26,400.000	36.2700	764,371	957,528
12/31/2016	JPMORGAN CHASE & CO	17,600.000	86.2900	1,003,336	1,518,704
12/31/2016	JOHNSON & JOHNSON	8,200.000	115.2100	843,937	944,722
12/31/2016	LENNAR CORP	14,300.000	42.9300	672,781	613,899
12/31/2016	MERCK & CO INC	18,600.000	58.8700	1,121,662	1,094,982
12/31/2016	MERITAGE HOMES CORP	6,000.000	34.8000	239,333	208,800
12/31/2016	MICROSOFT CORP	23,200.000	62.1400	959,807	1,441,648
12/31/2016	PFIZER INC	30,100.000	32.4800	941,426	977,648
12/31/2016	RANGE RESOURCES CORP	24,400.000	34.3600	753,370	838,384
12/31/2016	SHINHAN FINANCIAL GROUP CO LTD	5,500.000	37.6400	241,127	207,020
12/31/2016	SYNCHRONY FINANCIAL	6,028.000	36.2700	149,168	218,636
12/31/2016	TAIWAN SEMICONDUCTOR MANUFACTU	54,000.000	28.7500	1,210,868	1,552,500
12/31/2016	UNITEDHEALTH GROUP INC	5,300.000	160.0400	436,166	848,212
12/31/2016	Investment Accruals				114,402
				<b>31,849,521</b>	<b>34,935,224</b>

**EAGLE CAPITAL MGMT**

12/31/2016	DREYFUS TREASURY SECURITIES CM	362,052.460	100.0000	362,052	362,052
12/31/2016	AON PLC	11,600.000	111.5300	1,043,397	1,293,748
12/31/2016	LIBERTY GLOBAL PLC	53,600.000	29.7000	1,897,723	1,591,920
12/31/2016	LIBERTY GLOBAL PLC LILAC	7,966.000	21.1700	330,952	168,640
12/31/2016	ALPHABET INC-CL C	2,019.000	771.8200	1,078,256	1,558,305
12/31/2016	AMAZON.COM INC	1,440.000	749.8700	419,273	1,079,813
12/31/2016	ANADARKO PETROLEUM CORP	4,500.000	69.7300	441,066	313,785
12/31/2016	BANK OF AMERICA CORP	32,625.000	22.1000	555,546	721,013
12/31/2016	WR BERKLEY CORP	5,525.000	66.5100	247,382	367,468
12/31/2016	BERKSHIRE HATHAWAY INC	12,350.000	162.9800	1,579,640	2,012,803
12/31/2016	CITIGROUP INC	21,400.000	59.4300	1,025,854	1,271,802
12/31/2016	DISH NETWORK CORP	18,000.000	57.9300	1,248,992	1,042,740
12/31/2016	ECOLAB INC	10,500.000	117.2200	1,144,505	1,230,810
12/31/2016	FIDELITY NATIONAL INFORMATION	4,625.000	75.6400	271,709	349,835
12/31/2016	GOLDMAN SACHS GROUP INC/THE	3,300.000	239.4500	550,825	790,185
12/31/2016	ILG INC	4,632.000	18.1700	52,078	84,163
12/31/2016	JPMORGAN CHASE & CO	13,300.000	86.2900	745,512	1,147,657
12/31/2016	MARRIOTT INTERNATIONAL INC/MD	9,350.000	82.6800	640,414	773,058
12/31/2016	MICROSOFT CORP	25,300.000	62.1400	1,034,105	1,572,142
12/31/2016	MONDELEZ INTERNATIONAL INC	18,000.000	44.3300	697,221	797,940
12/31/2016	MORGAN STANLEY	8,589.000	42.2500	264,612	362,885
12/31/2016	NOBLE ENERGY INC	12,600.000	38.0600	668,967	479,556
12/31/2016	ORACLE CORP	47,600.000	38.4500	1,997,391	1,830,220
12/31/2016	PEPSICO INC	8,000.000	104.6300	703,959	837,040
12/31/2016	THERMO FISHER SCIENTIFIC INC	4,500.000	141.1000	524,134	634,950
12/31/2016	TWENTY-FIRST CENTURY FOX INC	26,700.000	27.2500	895,629	727,575
12/31/2016	UNITEDHEALTH GROUP INC	5,575.000	160.0400	444,126	892,223
12/31/2016	Investment Accruals		3.2264	1,461,668	14,336

-	<b>22,326,989</b>	<b>24,308,664</b>	-
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<b>Total line 10</b>		<b>83,120,471</b>
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Investments-Land, Buildings, Equipment

Part II, line 11

<u>Description</u>	<u>Cost or Other Basis</u>	<u>Accumulated Depreciation</u>	<u>Book Value</u>	<u>Fair Market Value</u>
Real Estate	510,000	0	510,000	510,000
<b>Total Part II, line 11</b>	<b>510,000</b>	<b>0</b>	<b>510,000</b>	<b>510,000</b>

Schedule of Investments- Other

Part II, line 13, col. (b) & (c)

Description:	End Of Year	
	col. (b) Book Value	col. (c) Fair Market Value
<b>Mutual Funds</b>		
DFA International Sustainability Core 1 Portfolio	23,490,852	23,490,852
GMO Emerging Domestic Opportunities II	8,104,181	8,104,181
Lazard Global Listed Infrastructure Portfolio Institutional	14,127,613	14,127,613
Scout Unconstrained Bond Fund	14,245,631	14,245,631
	<u>59,968,277</u>	<u>59,968,277</u>
<b>Hedge Funds</b>		
Aeolus Property Catastrophe Keystone PF Fund	11,223,653	11,223,653
Agility - Global Equity Impact	52,300,945	52,300,945
Arrowpoint Income Opportunity Fund QP, LP	17,444,412	17,444,412
Beach Point Dynamic Income Offshore Fund Ltd.	20,565,868	20,565,868
Brandywine Global Investment Management Trust	18,156,527	18,156,527
Cantillon Global Equity L.P.	39,466,796	39,466,796
Farallon Capital F5 Investors I, L.P.	22,389,025	22,389,025
CONVEXITY CAPITAL OFFSHORE	13,286,600	13,286,600
ETON PARK OVERSEAS FUND	1,217,630	1,217,630
Generation IM Global Equity Fund LLC	81,070,918	81,070,918
Good Hill Overseas Fund Ltd.	21,186,376	21,186,376
Kylin Offshore Fund Ltd	16,868,946	16,868,946
Mahout Worldwide Sustainability	18,542,786	18,542,786
Moon Capital Global Equity Partners Offshore Fund Ltd.	20,994,605	20,994,605
OZ Credit Opportunities Overseas Fund, L.P.	20,728,307	20,728,307
Prince Street Institutional Offshore Ltd.	18,533,206	18,533,206
Tensile Capital Partners	16,657,791	16,657,791
	<u>410,634,391</u>	<u>410,634,391</u>
<b>Futures</b>		
Overlay Equity Total Return Swaps	(150,469)	(150,469)
<b>Private Equity Funds</b>		
Elevar Equity III, L.P.	5,611,162	5,611,162
Arrowpoint Global Opportunity Fund, Ltd.	12,118,009	12,118,009
Ampersand 2001	308,952	308,952
ARCH Venture Fund IX, L.P.	873,193	873,193
CenterOak Equity Fund I, L.P.	1,118,886	1,118,886
ClearVue Partners II Ltd.	1,998,455	1,998,455
CSFB Strategic Partners II	29,153	29,153
CSFB Strategic Partners III	2,324,509	2,324,509
CSFB Strategic Partners III VC	1,395,748	1,395,748
Generation IM Climate Solutions Fund II	3,129,854	3,129,854
IEF - 2008 Term Tranche	38,019,252	38,019,252
IEF - 2010 Special Term Tranche	27,128,390	27,128,390
IEF - 2011 Special Term Tranche	27,618,281	27,618,281
IEF - 2012 Term Tranche	21,092,068	21,092,068
KA Energy Fund III	47,407	47,407
KA Energy Fund IV	419,125	419,125
Lexington Partners II	27,824	27,824
Lexington Partners IV	25,740	25,740
NB Co-Investment Partners LP	977,058	977,058
NB Secondary Opportunities Fund LP	1,656,140	1,656,140
NEA 10	1,698,127	1,698,127
NGP ETP	296,496	296,496
NGP VIII	238,692	238,692
P2 Brasil Private Infrastructure Fund III Feeder	2,929,798	2,929,798
Palamon European Equity	255,385	255,385
Private Advisors Small Company Buyout Fund II, LP	1,934,061	1,934,061
Prospect II	35,197	35,197

Schedule of Investments- Other

Part II, line 13, col. (b) & (c)

	End Of Year	
	col. (b) Book Value	col. (c) Fair Market Value
Riverstone/Carlyle Global Energy III	2,972,784	2,972,784
Siguler Guff Small Buyout Opportunities Fund LP	7,987,260	7,987,260
TCV IV	11,290	11,290
Three Arch Capital Limited Partnership	351,476	351,476
Three Arch Partners IV Limited Partnership	1,074,701	1,074,701
TIFF Partners IV, LLC	493,628	493,628
Weston Presidio III	1,246,163	1,246,163
Weston Presidio IV	161,688	161,688
Weston Presidio V	894,722	894,722
	<u>168,500,674</u>	<u>168,500,674</u>
<b>Real Estate</b>		-
Turner Multifamily Impact Fund LP	5,394,770	5,394,770
Cabot Industrial Value Fund II	11,307,497	11,307,497
Europa II	589,532	589,532
Europa Fund IV, L.P.	8,465,541	8,465,541
HSREP IV Co-Investment LP	10,460,713	10,460,713
Mainstream Renewable Power Limited	2,446,479	2,446,479
Morgan Stanley RE Fund V	648,466	648,466
Morgan Stanley RE Fund VI	1,147,994	1,147,994
New Energy Capital Infrastructure Credit Fund, L.P.	8,207,893	8,207,893
Orion European Real Estate II	156,310	156,310
Prime Finance Partners V, L.P.	3,717,043	3,717,043
Vision Ridge Sustainable Asset Fund	13,306,716	13,306,716
	<u>65,848,954</u>	<u>65,848,954</u>
<b>Total line 13, col. (b) &amp; (c)</b>	<b><u>704,801,827</u></b>	<b><u>704,801,827</u></b>

SCHEDULE OF LAND, BUILDINGS & EQUIPMENT

Part II, line 14, col. (b) & (c)

<u>Description</u>	<u>Cost</u>	<u>Accumulated Depreciation</u>	<u>Net Basis</u>
Office Equipment	2,637,072	2,284,024	\$ 353,048
Computer Equipment & Software	2,173,036	2,136,627	36,408
Fine Art	4,780	-	4,780
Leasehold Improvements	31,384,351	25,739,496	5,644,855
Other	45,816	45,165	652
Automobile	58,395	33,119	25,276
	<u>\$ 36,303,450</u>	<u>\$ 30,238,431</u>	
		<b>Total line 14</b>	<b><u>\$ 6,065,019</u></b>

**ROCKEFELLER BROTHERS FUND, INC.**  
**13-1760106**  
**475 Riverside Drive, Suite 900**  
**New York, New York 10115**

**SCHEDULE 8A**  
**FORM 990-PF**  
**YEAR 2016**

STATEMENT REGARDING ACTIVITIES

Part VII-A, lines 4 (a) & (b)

**2016 Tax Return on Form 990-T**

Certain investments of the Rockefeller Brothers Fund generated unrelated business gross income in aggregate in excess of \$1,000 in 2016.

The Rockefeller Brothers Fund will file a Form 990-T for 2016 to report pass through income from the Fund's partnership investments.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2016

1. Name and Address of Recipient:  
ALLIANCE PUBLISHING TRUST  
15 Prescott Place  
London SW4 6BS  
United Kingdom
2. Date and Amount of Grant:  
7/20/2016     \$5,000
3. Purpose of Grant:  
For dissemination of the June 2016 issue of *Alliance* magazine.
4. Amount Expended by Grantee:  
**The entire amount.** Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:  
No.
6. Date of Reports received from Grantee:  
On 3/2/2017, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):  
Not required.

**ROCKEFELLER BROTHERS FUND, INC.**

**GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2016**

1. Name and Address of Recipient:  
ARAB HUMAN RIGHTS FUND STICHTING  
Sodeco Street  
Beirut  
Lebanon
2. Date and Amount of Grant:  
10/19/2016    \$30,000
3. Purpose of Grant:  
For general support.
4. Amount Expended by Grantee:  
**The entire amount.** Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:  
No.
6. Date of Reports received from Grantee:  
On 3/12/2017, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):  
Not required.

**ROCKEFELLER BROTHERS FUND, INC.**

**GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2016**

1. Name and Address of Recipient:  
BALKAN GREEN FOUNDATION  
2 Korriku 2/3  
Pristina 10000  
Kosovo
2. Date and Amount of Grant:  
5/18/2016     \$154,000
3. Purpose of Grant:  
For general support.
4. Amount Expended by Grantee:  
**\$109,615.72.** Grantor received from Grantee narrative and financial reports certifying that \$109,615.72 of the grant was expended for the purpose for which it was made. A report on the balance of \$44,384.28 will be due in 2018.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:  
No.
6. Date of Reports received from Grantee:  
On 2/28/2017, Grantor received from Grantee narrative and financial reports certifying that \$109,615.72 of the grant was expended for the purpose for which it was made. A report on the balance of \$44,384.28 will be due in 2018.
7. Dates and Results of the Verification of Grantee's Report(s):  
Not required.

**ROCKEFELLER BROTHERS FUND, INC.**

**GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2016**

1. Name and Address of Recipient:  
BEIJING CHAOYANG DISTRICT YONGXU GLOBAL ENVIRONMENTAL  
INSTITUTE  
Tayuan Diplomatic Residence Office Building  
No. 14 Liangmahe South Road  
Unit 1, Suite 32  
Chaoyang District  
Beijing 100062  
China
2. Date and Amount of Grant:  
3/23/2016      \$200,000
3. Purpose of Grant:  
To support China-U.S. climate leadership.
4. Amount Expended by Grantee:  
**The entire amount.** Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:  
No.
6. Date of Reports received from Grantee:  
On 3/31/2017, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):  
Not required.

**ROCKEFELLER BROTHERS FUND, INC.**

**GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2016**

1. Name and Address of Recipient:  
BEIJING CHAOYANG DISTRICT YONGXU GLOBAL ENVIRONMENTAL  
INSTITUTE  
Tayuan Diplomatic Residence Office Building  
No. 14 Liangmahe South Road  
Unit 1, Suite 32  
Chaoyang District  
Beijing 100062  
China
2. Date and Amount of Grant:  
10/19/2016    \$250,000
3. Purpose of Grant:  
For its project, U.S.-China Climate Leadership in the Least Developed Countries.
4. Amount Expended by Grantee:  
**\$44,145.** Grantor received from Grantee narrative and financial reports certifying that \$44,145 of the grant was expended for the purpose for which it was made. A report on the balance of \$205,855 will be due in 2018.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:  
No.
6. Date of Reports received from Grantee:  
On 4/1/2017, Grantor received from Grantee narrative and financial reports certifying that \$44,145 of the grant was expended for the purpose for which it was made. A report on the balance of \$205,855 will be due in 2018.
7. Dates and Results of the Verification of Grantee's Report(s):  
Not required.

**ROCKEFELLER BROTHERS FUND, INC.**

**GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2016**

1. Name and Address of Recipient:  
DIREKT36 UJSAGIRO KOZPONT NONPROFIT KFT.  
Pozsonyi ut 10  
Budapest 1137  
Hungary
2. Date and Amount of Grant:  
12/5/2016     \$30,000
3. Purpose of Grant:  
For general support.
4. Amount Expended by Grantee:  
\$0. Grantor received from Grantee narrative and financial reports certifying that \$0 of the grant was expended for the purpose for which it was made. A report on the balance of \$30,000 will be due in 2018.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:  
No.
6. Date of Reports received from Grantee:  
On 2/28/2017, Grantor received from Grantee narrative and financial reports certifying that \$0 of the grant was expended for the purpose for which it was made. A report on the balance of \$30,000 will be due in 2018.
7. Dates and Results of the Verification of Grantee's Report(s):  
Not required.

**ROCKEFELLER BROTHERS FUND, INC.**

**GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2016**

1. Name and Address of Recipient:  
EUROPEAN MIDDLE EAST PROJECT  
Huidevettersstraat 165  
Brussels B-1000  
Belgium
2. Date and Amount of Grant:  
9/16/2015      \$70,000
3. Purpose of Grant:  
For general support.
4. Amount Expended by Grantee:  
**The entire amount.** Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:  
No.
6. Date of Reports received from Grantee:  
On 2/28/2016 and 8/4/2016, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):  
Not required.

**ROCKEFELLER BROTHERS FUND, INC.**

**GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2016**

1. Name and Address of Recipient:  
EUROPEAN MIDDLE EAST PROJECT  
Huidevettersstraat 165  
Brussels B-1000  
Belgium
2. Date and Amount of Grant:  
10/27/2016    \$50,000
3. Purpose of Grant:  
For general support.
4. Amount Expended by Grantee:  
**\$5,536.** Grantor received from Grantee narrative and financial reports certifying that \$5,536 of the grant was expended for the purpose for which it was made. A report on the balance of \$44,464 will be due in 2018.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:  
No.
6. Date of Reports received from Grantee:  
On 3/1/2017, Grantor received from Grantee narrative and financial reports certifying that \$5,536 of the grant was expended for the purpose for which it was made. A report on the balance of \$44,464 will be due in 2018.
7. Dates and Results of the Verification of Grantee's Report(s):  
Not required.

**ROCKEFELLER BROTHERS FUND, INC.**

**GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2016**

1. Name and Address of Recipient:  
FOUNDATION FOR MIDDLE EAST PEACE  
1761 N Street, NW  
Washington, D.C. 20036
2. Date and Amount of Grant:  
11/9/2016     \$8,000
3. Purpose of Grant:  
For its Israel/Palestine funders' coalition.
4. Amount Expended by Grantee:  
Reports with respect to expenditure of the grant are not yet due.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:  
No.
6. Date of Reports received from Grantee:  
See No. 4 above.
7. Dates and Results of the Verification of Grantee's Report(s):  
Not required.

**ROCKEFELLER BROTHERS FUND, INC.**

**GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2016**

1. Name and Address of Recipient:  
VACLAV HAVEL LIBRARY  
Ostroni 129/13  
Prague 11000  
Czech Republic
2. Date and Amount of Grant:  
6/29/2016     \$35,000
3. Purpose of Grant:  
For its e-learning project.
4. Amount Expended by Grantee:  
**\$14,556.06.** Grantor received from Grantee narrative and financial reports certifying that \$14,556.06 of the grant was expended for the purpose for which it was made. A report on the balance of \$20,443.94 will be due in 2018.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:  
No.
6. Date of Reports received from Grantee:  
On 2/28/2017, Grantor received from Grantee narrative and financial reports certifying that \$14,556.06 of the grant was expended for the purpose for which it was made. A report on the balance of \$20,443.94 will be due in 2018.
7. Dates and Results of the Verification of Grantee's Report(s):  
Not required.

**ROCKEFELLER BROTHERS FUND, INC.**

**GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2016**

1. Name and Address of Recipient:  
INVESTOR WATCH  
286 Sandycombe Road  
Kew Gardens  
Surrey TW9 3NG  
United Kingdom
2. Date and Amount of Grant:  
10/27/2016    \$50,000
3. Purpose of Grant:  
For its NDC Interconnect project.
4. Amount Expended by Grantee:  
**The entire amount.** Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:  
No.
6. Date of Reports received from Grantee:  
On 5/22/2017, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):  
Not required.

**ROCKEFELLER BROTHERS FUND, INC.**

**GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2016**

1. Name and Address of Recipient:  
KOSOVO FOUNDATION FOR TALENT AND EDUCATION  
Rruga Sadik Zeneli  
SU4/6 LF-4 No. 23, Dardania  
Pristina 10000  
Kosovo
2. Date and Amount of Grant:  
11/24/2015    \$30,000  
12/17/2015    \$27,217  
11/15/2016    \$2,783
3. Purpose of Grant:  
For general support.
4. Amount Expended by Grantee:  
**The entire amount.** Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:  
No.
6. Date of Reports received from Grantee:  
On 2/23/2016 and 9/6/2017, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):  
Not required.

**ROCKEFELLER BROTHERS FUND, INC.**

**GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2016**

1. Name and Address of Recipient:  
RAMON MAGSAYSAY AWARD FOUNDATION  
1680 Roxas Boulevard  
Manila 1004  
Philippines
2. Date and Amount of Grant:  
8/19/2010     \$150,000
3. Purpose of Grant:  
To support the 2010 Ramon Magsaysay Awards.
4. Amount Expended by Grantee:  
**\$135,000.** Grantor received from Grantee narrative and financial reports certifying that \$135,000 of the grant was expended for the purpose for which it was made. A report on the balance of \$15,000 will be due in 2018.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:  
No.
6. Date of Reports received from Grantee:  
On 10/11/2010, 9/15/2011, 11/3/2013, 9/24/2014, 10/23/2015, and 10/14/2017, Grantor received from Grantee narrative and financial reports certifying that \$135,000 of the grant was expended for the purpose for which it was made. A report on the balance of \$15,000 will be due in 2018.
7. Dates and Results of the Verification of Grantee's Report(s):  
Not required.

**ROCKEFELLER BROTHERS FUND, INC.**

**GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2016**

1. Name and Address of Recipient:  
MITVIM - THE ISRAELI INSTITUTE FOR REGIONAL FOREIGN POLICIES  
c/o Eyal Bressler & Co., 11 Tuval Street  
Ramat Gan 52522  
Israel
2. Date and Amount of Grant:  
12/9/2015      \$20,000
3. Purpose of Grant:  
For general support.
4. Amount Expended by Grantee:  
**The entire amount.** Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:  
No.
6. Date of Reports received from Grantee:  
On 2/26/2016 and 2/27/2017, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):  
Not required.

**ROCKEFELLER BROTHERS FUND, INC.**

**GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2016**

1. Name and Address of Recipient:  
OTTONOMY  
Rrugae Elbanasit, pall. ABAU  
Sh. 1, kati 5, nr. 10  
Tirana 0001  
Albania
2. Date and Amount of Grant:  
6/8/2016      \$30,000
3. Purpose of Grant:  
For general support.
4. Amount Expended by Grantee:  
**\$21,283.** Grantor received from Grantee narrative and financial reports certifying that \$21,283 of the grant was expended for the purpose for which it was made. A report on the balance of \$8,717 will be due in 2018.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:  
No.
6. Date of Reports received from Grantee:  
On 3/1/2017, Grantor received from Grantee narrative and financial reports certifying that \$21,283 of the grant was expended for the purpose for which it was made. A report on the balance of \$8,717 will be due in 2018.
7. Dates and Results of the Verification of Grantee's Report(s):  
Not required.

**ROCKEFELLER BROTHERS FUND, INC.**

**GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2016**

1. Name and Address of Recipient:  
RES FOUNDATION  
3A Zmaja od Nocaia St.  
Belgrade 11000  
Serbia
2. Date and Amount of Grant:  
8/31/2016     \$60,000
3. Purpose of Grant:  
For general support.
4. Amount Expended by Grantee:  
**\$21,364.35.** Grantor received from Grantee narrative and financial reports certifying that \$21,364.35 of the grant was expended for the purpose for which it was made. A report on the balance of \$38,635.65 will be due in 2018.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:  
No.
6. Date of Reports received from Grantee:  
On 2/28/2017, Grantor received from Grantee narrative and financial reports certifying that \$21,364.35 of the grant was expended for the purpose for which it was made. A report on the balance of \$38,635.65 will be due in 2018.
7. Dates and Results of the Verification of Grantee's Report(s):  
Not required.

**ROCKEFELLER BROTHERS FUND, INC.**

**GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2016**

1. Name and Address of Recipient:  
JEROME ROBBINS FOUNDATION  
156 West 56th Street, Suite 900  
New York, NY 10019
2. Date and Amount of Grant:  
4/8/2016      \$15,000
3. Purpose of Grant:  
For its 2016 Floria V. Lasky Symposium.
4. Amount Expended by Grantee:  
**The entire amount.** Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:  
No.
6. Date of Reports received from Grantee:  
On 2/23/2017, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):  
Not required.

**ROCKEFELLER BROTHERS FUND, INC.**

**GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2016**

1. Name and Address of Recipient:  
TOMORROW FOUNDATION FOR A SUSTAINABLE FUTURE  
103-10535 78 Avenue NW  
Edmonton, Alberta T6E1P3  
Canada
2. Date and Amount of Grant:  
11/30/2016    \$12,000
3. Purpose of Grant:  
For its Broadbent Institute project.
4. Amount Expended by Grantee:  
**The entire amount.** Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:  
No.
6. Date of Reports received from Grantee:  
On 8/24/2017, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):  
Not required.

**ROCKEFELLER BROTHERS FUND, INC.**

**GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2016**

1. Name and Address of Recipient:  
TROJA GROUP  
Rr. 24 Maji, Arberi p.n.  
Pristina 10000  
Kosovo
2. Date and Amount of Grant:  
4/8/2016      \$30,000
3. Purpose of Grant:  
For its project, Speak Up!
4. Amount Expended by Grantee:  
**The entire amount.** Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:  
No.
6. Date of Reports received from Grantee:  
On 5/26/2017, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):  
Not required.

**ROCKEFELLER BROTHERS FUND, INC.**

**GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2016**

1. Name and Address of Recipient:  
WHO PROFITS RESEARCH CENTER  
16 Ben Avigdor Street  
Tel Aviv 6101001  
Israel
2. Date and Amount of Grant:  
3/16/2016     \$25,000
3. Purpose of Grant:  
For general support.
4. Amount Expended by Grantee:  
**The entire amount.** Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:  
No.
6. Date of Reports received from Grantee:  
On 2/28/2017 and 8/7/2017, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):  
Not required.

**ROCKEFELLER BROTHERS FUND, INC.**

**GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2016**

1. Name and Address of Recipient:  
WOMEN AND MEMORY FORUM  
12 Sulaiman Abaza Street  
Dokki  
Giza  
Egypt
2. Date and Amount of Grant:  
11/22/2016 \$71,500
3. Purpose of Grant:  
For the production of promotional materials about the history of women's activism in Egypt.
4. Amount Expended by Grantee:  
**\$6,607.70.** Grantor received from Grantee narrative and financial reports certifying that \$6,607.70 of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:  
No.
6. Date of Reports received from Grantee:  
On 6/1/2017, Grantor received from Grantee narrative and financial reports certifying that \$6,607.70 of the grant was expended for the purpose for which it was made. During the period of the grant, Grantee was determined to be the equivalent of a public charity. Therefore, Expenditure Responsibility is no longer required, and this schedule will not be included in subsequent Forms 990-PF.
7. Dates and Results of the Verification of Grantee's Report(s):  
Not required.

**ROCKEFELLER BROTHERS FUND, INC.**

**GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2016**

1. Name and Address of Recipient:  
ZHONGSHAN UNIVERSITY EDUCATION DEVELOPMENT FOUNDATION  
Second Floor, No. 333, Northeast District of Sun Yat-sen University  
No. 135 Xingang West Road  
Guangzhou, Guangdong  
China
2. Date and Amount of Grant:  
10/21/2016    \$180,000
3. Purpose of Grant:  
For its School of Philanthropy.
4. Amount Expended by Grantee:  
**The entire amount.** Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:  
No.
6. Date of Reports received from Grantee:  
On 8/14/2017, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):  
Not required.

**ROCKEFELLER BROTHERS FUND, INC.**

**GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2016**

1. Name and Address of Recipient:  
ZHONGSHAN UNIVERSITY EDUCATION DEVELOPMENT FOUNDATION  
Second Floor, No. 333, Northeast District of Sun Yat-sen University  
No. 135 Xingang West Road  
Guangzhou, Guangdong  
China
2. Date and Amount of Grant:  
10/21/2016    \$150,000
3. Purpose of Grant:  
For its School of Philanthropy.
4. Amount Expended by Grantee:  
**\$65,068.60.** Grantor received from Grantee narrative and financial reports certifying that \$65,068.60 of the grant was expended for the purpose for which it was made. A report on the balance of \$84,931.40 will be due in 2018.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:  
No.
6. Date of Reports received from Grantee:  
On 8/14/2017, Grantor received from Grantee narrative and financial reports certifying that \$65,068.60 of the grant was expended for the purpose for which it was made. A report on the balance of \$84,931.40 will be due in 2018.
7. Dates and Results of the Verification of Grantee's Report(s):  
Not required.

Compensation of Officers, Directors, and Trustees

Part VIII, (1)

<u>Name and Address</u>	<u>Title</u>	<u>Average Hours per Week Devoted to Position</u>	<u>Compensation</u>	<u>Contributions to Employee Benefit Plans</u>	<u>Expense Account and Other Allowances</u>
<b>TRUSTEES:</b>					
Steven C. Rockefeller c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	(2) 1-3 hrs.	- 0 -	None	None
David Rockefeller c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Advisory Trustee	1-3 hrs.	- 0 -	None	None
Anne Bartley c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	1-3 hrs.	- 0 -	None	None
Vali Nasr c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	(2) 1-3 hrs.	- 0 -	None	829
R. Nicholas Burns c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	(4) 1-3 hrs.	- 0 -	None	829
Valerie Rockefeller c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee, Chair	(1) (6) 10 hrs.	- 0 -	None	None
Joseph A. Pierson c/o Rockefeller Brother Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee Vice Chair	(2) 1-3 hrs.	- 0 -	None	829
Miranda M. Kaiser c/o Rockefeller Brother Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	(3) 1-3 hrs.	- 0 -	None	None
Wendy Gordon Rockefeller c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee Vice Chair	(6) 1-3 hrs.	- 0 -	None	None

Compensation of Officers, Directors, and Trustees

Part VIII, (1)

<u>Name and Address</u>	<u>Title</u>	<u>Average Hours per Week Devoted to Position</u>	<u>Compensation</u>	<u>Contributions to Employee Benefit Plans</u>	<u>Expense Account and Other Allowances</u>
Justin Rockefeller c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	1-3 hrs.	- 0 -	None	None
Arlene Shuler c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	1-3 hrs.	- 0 -	None	829
Marsha Simms c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	1-3 hrs.	- 0 -	None	829
Kavita Ramdas c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	1-3 hrs.	- 0 -	None	829
Daniel Levy c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	(1) 1-3 hrs.	- 0 -	None	829
Peter O'Neill c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	1-3 hrs.	- 0 -	None	None
Hugh Lawson c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	1-3 hrs.	- 0 -	None	None
Marnie S. Pillsbury c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	1-3 hrs.	- 0 -	None	None
Jennifer Nolan c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	(5) 1-3 hrs.	- 0 -	None	None

Compensation of Officers, Directors, and Trustees

Part VIII, (1)

Name and Address	Title	Average Hours per Week Devoted to Position	Compensation	Contributions to Employee Benefit Plans	Expense Account and Other Allowances
Ryan Crocker c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	(3) 1-3 hrs.	- 0 -	None	None
Heather McGhee c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	(3) 1-3 hrs.	- 0 -	None	None
Wyatt Rockefeller c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	(3) 1-3 hrs.	- 0 -	None	None
<b>OFFICERS:</b>					
Stephen B. Heintz 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee, President	(6) 40-50 hrs.	618,000	178,307	6,737
Elizabeth C. Campbell 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Vice President For Programs	(6) 40-50 hrs.	350,563	74,108	4,574
Geraldine F. Watson 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Vice President, Finance and Operations	(6) 40-50 hrs.	345,344	73,288	15,922
Nancy L. Muirhead 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Corporate Secretary	(6) 40-50 hrs.	240,527	76,440	4,885
Judy A. Clark 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Executive Director of the Pocantico Center	(6) 40-50 hrs.	208,234	65,333	4,361
			<b>\$ 1,762,668</b>	<b>\$ 467,476</b>	<b>\$ 42,282</b>

(1) Re-elected to Board effective June 22, 2016.

(2) Until June 22, 2016.

(3) Elected to the Board effective June 22, 2016.

(4) Resigned from the Board in January, 2016.

(5) Elected to the Board effective March 9, 2016.

(6) Elected as officers effective June 22, 2016.

Summary of Direct Charitable Activities

PART IX-A

Line 1 Pocantico Historic Area

The Pocantico Center is managed by the Rockefeller Brothers Fund as part of its agreement with the National Trust for Historic Preservation, entered into in 1991, under which the Fund is responsible for the renovation, maintenance, and operation of this historic site. It also serves as a community resource, and offers public access through a visitation program, lectures, and cultural events. In 2016, 35,723 people visited the Pocantico Historic area, and 55 public programs were held.

Amount: \$4,010,979

Line 2 Assistance to Charitable Organizations:

During 2016, 14 members of the staff of Rockefeller Brothers Fund provided technical assistance to charitable organizations, governmental bodies and committees, and 15 members of the staff served on 62 charitable organizations' boards, committees, public commissions, or task forces.

Amount: \$630,154

Line 3 Pocantico Conference Center

The Pocantico Conference Center of the Rockefeller Brothers Fund, located within the Pocantico Historic Area (see Line 1, above), began operations in April of 1994. The Pocantico Center is a venue for conferences and meetings on critical issues related to the Rockefeller Brothers Fund's mission. The Pocantico Center also hosts artist residencies, and provides performance space for artists selected by RBF grantees and New York City arts organizations. In 2016, The Pocantico Center held 62 conferences and meetings. Of the 62 conferences held, 26 were related to the Fund's programs. There were 13 Pocantico artist residencies in 2016.

Amount: \$337,817

PART XV, Line 2a

Grant Inquiries are accepted throughout the year at <http://www.rbf.org/content/apply-grant>. The online Grant Inquiry is the starting point for applying for a grant from the Rockefeller Brothers Fund.

PART XV, Line 2b

Founded in 1940, the Rockefeller Brothers Fund advances social change that contributes to a more just, sustainable, and peaceful world. The Fund's grantmaking is organized in three thematic programs that support work in the United States and at the global level: Democratic Practice, Sustainable Development, and Peacebuilding, and in two pivotal place programs that address these themes in specific contexts: Southern China and the Western Balkans. The Charles E. Culpeper Arts & Culture program, focused on New York, nurtures a vibrant and inclusive arts community in the Fund's home city.

Rockefeller Brothers Fund staff are highly engaged in the fields in which they provides support. Staff members actively identify organizations that are well-positioned to meet programmatic goals. While the Fund remains open to unsolicited requests, applicants should be aware that the likelihood of an unsolicited request becoming a grant is low. Applications for funding are considered throughout the year. The first step for prospective applicants is to submit an online grant inquiry. The review process may take up to three months from the time the inquiry is received.

Staff review each inquiry for fit within current program guidelines, funding priorities, and budget constraints. If there is interest in considering a grant, staff will contact the applicant for additional information, including a detailed proposal. Applicants should not send a full proposal unless requested to do so by Fund staff. The review process may take up to three months from the time the inquiry is received.

Once a grant is approved, grantees will receive an agreement letter from the Fund outlining the terms of the grant, including the expected reporting requirements and payment schedule. The first payment on the grant is generally issued upon return of the countersigned award letter to the Fund.

RBF staff members monitor grants throughout their life cycle. Each grantee is required to submit narrative and financial reports at specified intervals during the grant as well as at its conclusion. Grant reports become part of the Fund's permanent records.

PART XV, Line 2c

The Charles E. Culpeper Arts & Culture program honors the legacy of Charles E. Culpeper by supporting the artists and arts and cultural organizations that make New York City one of the world's most dynamic creative capitals. These grants support the creative process, build the capacity of small and mid-size arts and cultural institutions, and promote the pursuit of the creative life.

ELIGIBILITY

- Organizations based within the five boroughs of New York City
- Organizations with 501(c)(3) tax status or projects of sponsoring organizations with 501(c)(3) tax status
- For capacity-building grants, organizations with annual operating budgets between \$200,000 and

\$4 million

- Generally, no capital campaigns, festivals, meetings, cash reserve funds, or endowments
- Support for arts education has been phased out

#### PART XV, Line 2d

The Rockefeller Brothers Fund awards grants throughout the year to support a variety of charitable projects in the United States and abroad that seek to expand knowledge, clarify values and critical choices, nurture creative expression, and shape public policy.

To be eligible for a grant, organizations should be considered tax-exempt or seeking support for a project that would qualify as educational or charitable. The Fund's grantmaking is organized around three thematic programs and three place-based programs. The RBF awards a limited number of Philanthropic Stewardship and Special Opportunities grants that support nonprofit infrastructure organizations and help to further the Fund's mission. The Fund does not accept unsolicited applications in these areas.

Please also see What We Do Not Fund at [www.rbf.org/content/what-we-do-not-fund](http://www.rbf.org/content/what-we-do-not-fund).

#### **RBF PROGRAM STATEMENT**

The Rockefeller Brothers Fund advances social change that contributes to a more just, sustainable, and peaceful world. Through its grantmaking, the Fund supports efforts to expand knowledge, clarify values and critical choices, nurture creative expression, and shape public policy. The Fund's programs are intended to develop leaders, strengthen institutions, engage citizens, build community, and foster partnerships that include government, business, and civil society. Respect for cultural diversity and ecological integrity pervades the Fund's activities.

As an institutional citizen of an interdependent world, the Fund is active globally, nationally, and locally in its home city of New York. Grant programs are organized around three themes: Democratic Practice; Peacebuilding; and Sustainable Development. The Fund recognizes that achievement of progress in each of these program areas is often interconnected with developments in the others. As a private foundation, the Fund strives to promote philanthropic excellence and to enhance the effectiveness of the nonprofit sector.

As specified in the guidelines for each grant program, the Fund supports activities in a variety of geographic contexts. It also has identified several specific locations on which to concentrate cross-programmatic attention. The Fund refers to these as "RBF pivotal places": sub-national areas, nation-states, or cross-border regions which have special importance with regard to the Fund's substantive concerns and whose future will have disproportionate significance for the future of a surrounding region, an ecosystem, or the world. The Fund currently works in two pivotal places: Southern China and the Western Balkans. The Charles E. Culpeper Arts & Culture program, focused on New York, nurtures a vibrant and inclusive arts community in the Fund's home city. The Pocantico Center of the Rockefeller Brothers Fund is located at the heart of the Rockefeller estate outside New York City and was created when the Fund leased the area from the National Trust for Historic Preservation in 1991. The conference center provides a unique setting where the RBF and other nonprofit organizations and public sector institutions can bring together people of diverse backgrounds and perspectives to engage critical issues,

reach new levels of understanding, and develop creative solutions to pressing problems.

In the years since its founding in 1940, the Fund has developed a distinctive style of grantmaking that is reflected in the following characteristics:

**Long View.** Grantmaking is primarily concerned with fundamental problems and is designed to contribute to the achievement of long-term goals and to make a lasting impact.

**Commitment.** Extended commitments are frequently made to specific issues and geographic regions and even to particular grantees.

**Synergy.** Rather than considering opportunities on a stand-alone basis, the Fund looks for connections among the activities it supports and the themes it pursues, both within and across program areas and in specific geographic locations.

**Initiative.** The Fund initiates or participates in the development of many of the projects that it supports.

**Engagement.** In addition to providing financial support, the Fund often works closely with grantee organizations to help strengthen their capacity and advance their work

**Collaboration.** The Fund actively seeks opportunities to collaborate with other funders.

**Convening.** The Fund devotes time and resources, including the use of its Pocantico Conference Center, to convening groups of diverse stakeholders and encouraging collaboration among government agencies, corporations, and nongovernmental organizations.

The goals and strategies in each of our programs are implemented through a variety of approaches to grantmaking. In some programs, as is noted in the guidelines, the Fund proactively identifies grantee partners and thus has limited ability to respond to unsolicited proposals. Grantseekers are encouraged to study the guidelines closely. Prospective grantees are also urged to consult the section [“How to Apply”](#) at [www.rbf.org](http://www.rbf.org) for specific guidance on the application process.

## **RBF PROGRAMS**

### **DEMOCRATIC PRACTICE PROGRAM GUIDELINES**

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For democracy to flourish and deliver on its promises—including political participation, human rights, access to justice, a good education, an improved quality of life, a healthy environment, and personal security—its citizens must be informed, engaged, empowered, and assertive. Similarly, institutions of governance must be inclusive, transparent, accountable, and responsive.

The frequent failure of both new and established democracies to deliver on democracy's promises undermines the commitment to democratic practices. Wealthy and powerful actors exercise undue influence, and voices that historically have been excluded remain unheard in decision-making processes.

The United States continues to face a number of democratic deficits: a decline in many traditional forms of civic engagement; reduced participation in the formal institutions of democracy, including but not limited to voting; and declining trust in all institutions, especially institutions of government. These

deficits are being exacerbated by deeply rooted economic challenges, and American society is becoming increasingly polarized, socially, economically, and politically.

At the same time, the process of globalization has similarly produced democratic deficits in global governance. Global power and wealth inequities have deepened, while the significance of decisions made by transnational institutions such as multilateral organizations, multinational corporations, and international financial institutions has increased. In this patchwork of institutions and practices, global governance decisions are made with inadequate inclusiveness, accountability, and transparency, often pre-empting or distorting legitimate national and local decision-making processes.

The Fund's Democratic Practice program has two parts: strengthening democracy in the United States and strengthening democratic practice in global governance. Based on a careful assessment of local needs and priorities, the Fund also pursues one or more of the democratic principles underlying the program in its "pivotal places." Recognizing that there is no single model of effective democratic practice, the Fund emphasizes flexibility and adaptability to different contexts in these pivotal places.

## **DEMOCRACY IN THE UNITED STATES**

Persistent and deep divisions undermine the nation's social, economic, and political vitality. The gap between rich and poor, both economic and social, continues to widen, with the top one percent of American wage earners receiving nearly a quarter of the nation's total income. Exorbitant amounts of private money spent on political campaigns and lobbying profoundly distort the political system. Those without the financial resources to influence public policy are further marginalized, and private interests are frequently prioritized over the public good, fostering public cynicism and a distrust of elected officials. According to the Center for Responsive Politics, an estimated \$7 billion was spent on the 2012 federal elections. In 2012, successful candidates for the House of Representatives spent an average of \$1.6 million on their campaigns; successful candidates for the Senate spent an average of \$11.4 million, up from \$1.4 million and \$9.8 million, respectively, in the 2010 cycle. Moreover, in 2012, there were 12,374 registered lobbyists working to influence Congress and federal agencies—23 for every member of Congress—with a total of \$3.27 billion spent on lobbying.

The quality of our political culture is deteriorating. There are few examples of bipartisanship and constructive compromise in political debate. While participation in national elections has increased, it remains below that of most advanced democracies and turnout for local elections is persistently low. Furthermore, millions of eligible voters have been kept from voting by registration or identification problems and many others have been discouraged by poor administration of polling places, or worse, by overt efforts at voter suppression. For years, government has been derided as a source of the nation's ills, rather than a positive force for national progress. The capacities of government institutions have been weakened, and confidence in them has declined.

In the media, confrontation often passes for dialogue, crowding out nuanced views and a middle ground. Traditional media organizations face financial challenges that are reducing the number of public voices and limiting journalism's ability to hold government and business accountable. Resources for investigative journalism are shrinking. New media offer opportunities to broaden and redefine journalism but may foster a cacophony of voices without editorial mediation, leaving the public with no way to judge the accuracy or reliability of the information.

## **Goal: Strengthening the Vitality of Democracy in the U.S.**

In the United States, the Fund seeks to strengthen and to broaden participation in the practices and institutions of democratic governance through the following strategies.

### **Strategies:**

- Combating the corrupting influence of money in politics by supporting the adoption of public financing of electoral campaigns and selected other reforms that increase access to and participation in elections and enhance the integrity of representative democracy.
- Fostering greater understanding of and appreciation for the role of the public sector in society. Support also will be provided on a limited basis to organizations that promote the improved performance of key public sector institutions.
- Promoting the transparency, accountability, and responsiveness of government institutions and the transparency and accountability of corporate political spending, with special attention given to the importance of investigative journalism and to the potential of new technologies to enhance transparency and strengthen accountability.

## **GLOBAL GOVERNANCE**

Globalization—the dramatic increase in cross-border flows of capital, goods, and people and their values and ideas—is producing deeper interdependencies and changes in power relations. It is a defining process of the 21st century, offering both challenges and opportunities.

Global governance is an incomplete patchwork of institutions, rules, and processes that transcend the authority of individual nations. The elements of global governance are many, including formal agreements among nations; the architecture and practices of international and intergovernmental institutions and international courts; the policies, behaviors, and actions of states and multinational corporations; and the work and influence of civil society organizations.

Economic interests have largely overshadowed democratic practices, social equity, and environmental concerns in the evolution of global governance institutions and processes. Powerful international trade and financial institutions remain opaque and exclusive, while the power and reach of multinational corporations often escape oversight or effective regulation. Thus, while the impact of global governance on peoples' lives is growing, democracy in global governance faces acute deficits. Transparency and accountability in global decision making are often critically deficient. Representation in global governance processes remains limited; underrepresented populations and weaker states have restricted voice and standing, curtailing their access and participation. Injustices persist, as rights are unevenly recognized and ad hoc coordination often substitutes for meaningful democratic processes. Together, these deficits challenge the ability of nations and groups to protect their commonwealth, ensure necessary social and environmental protections, and promote ethical and effective stewardship of common resources.

At the same time, globalization has opened up new opportunities for building cross-border coalitions that

are finding innovative ways to address and resolve global problems. Novel combinations of public, private, intergovernmental, and nongovernmental organizations are asserting their concerns, forging new democratic practices, and advancing systemic changes in global processes, rules, and institutions.

### **Goal: Strengthening the Vitality of Democracy in Global Governance**

In its work to strengthen democracy in global governance, the Fund focuses on the areas of climate change, development finance, and trade. The Fund is particularly interested in civil society organizations that represent and advance developing country concerns as they seek to increase equity and foster sustainability.

#### **Strategies:**

- Enhancing transparency and accountability of global governance institutions and decision-making processes.
- Expanding access to and participation in global governance institutions and decision-making processes. Priority is given to initiatives led by or in support of constituencies underrepresented in global governance.
- Advancing new ideas, advocacy approaches, and institutional arrangements aimed at more effective and democratic decision making in global governance and more just and sustainable outcomes.

## **SUSTAINABLE DEVELOPMENT PROGRAM GUIDELINES**

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Human activity is causing climate change, rapid loss of biodiversity, and accelerating degradation of Earth's life support systems. These developments threaten the livelihoods, health, and security of people in all nations and cultures as well as the well-being of the greater community of life. The RBF's sustainable development grantmaking endeavors to address these challenges by supporting development that meets the needs of the present without compromising the ability of future generations to meet their own needs. The program supports global stewardship that is ecologically based, economically sound, socially just, culturally appropriate, and consistent with intergenerational equity. The Fund encourages government, business, and civil society to work collaboratively on climate change, to acknowledge the moral and ethical consequences of inaction, and to make it an integral part of all development planning and activity. Recognizing the global nature of many environmental problems, the Fund also promotes international cooperation in addressing these challenges.

The Sustainable Development program maintains a significant focus on the United States in light of its disproportionate impact on the global economy, politics, and the environment. The program's work is also advanced in collaboration with the Fund's "pivotal place" programs—New York City, Southern China, and the Western Balkans—and with the Democratic Practice program's Global Governance portfolio. Pivotal place programs support work in specific countries or regions to build the knowledge, policies, organizational capacity, and leadership needed to advance sustainable development in locally

appropriate ways. The Fund's Global Governance portfolio supports broad participation in forging the international agreements and institutional arrangements needed to encourage investment in sustainable development. Fund staff work to ensure that global developments inform work in specific places and that locally grounded efforts generate lessons and innovations needed for global impact.

With the recognition that the impact of unchecked climate change threatens all other conservation efforts, the Sustainable Development program focuses its U.S. grantmaking on building a green economy at the federal, state, and local levels.

### **Goal: Advancing Solutions to Climate Change**

#### **Strategies:**

- Building public and policymaker understanding and support for a range of actions to address the threat of climate change.
- Supporting implementation efforts to build a clean energy economy at the federal, state, and local levels.
- Supporting efforts to reduce reliance on carbon-intensive energy sources.
- Supporting targeted efforts to advance international progress on climate change.

## **PEACEBUILDING PROGRAM GUIDELINES**

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Numerous and diverse factors drive contemporary conflicts, from strained relations between governments and groups of people to threats that transcend borders such as environmental crises, the flow of weapons, and violent extremism. In today's interdependent world, regional or local conflicts often have consequences that undermine the security and well-being of distant communities. Transforming conflict to build just and durable peace requires the global community to think differently about how it responds to the complex nature of 21st century challenges. Governments, multilateral organizations, and civil society must work in concert to develop a new framework for conflict prevention and peacebuilding that engages international, regional, state, and local actors in addressing the human security challenges of local populations.

As the world evolves rapidly toward a multipolar reality in which a growing array of nations pursue their national interests from positions of shifting political and economic power, the United States continues to play an important role in global efforts to create a more just, sustainable, and peaceful world. U.S. policies and behaviors that demonstrate respect for the competencies and perspectives of both traditional allies and emerging powers can help create a greater sense of shared responsibility and advance collective problem-solving to prevent, manage, and resolve conflicts. Civil society organizations have a significant role to play in promoting non-violent responses to conflict and cultivating the political climate necessary for official peacebuilding and diplomatic negotiations to succeed. They also are important advocates for a more robust multilateral system that is effective at brokering peace agreements.

The Fund's Peacebuilding program aspires to strengthen grassroots constituencies for peace and to connect them with policymaking on the regional and international levels. It aims to understand the conditions that lead to violence and the processes that support durable peace in order to identify innovative solutions to the most pressing drivers of insecurity. The program's grantmaking focuses on conflicts that have a disproportionate influence on international peace and security. It works closely with the Fund's Pivotal Place programs, especially in the Western Balkans, and with the Global Governance portfolio of the Democratic Practice program. The Fund places particular importance on elevating the role of women and young leaders in international peacebuilding and conflict transformation efforts.

### **Goal: Advancing Just and Durable Peace**

The Fund will pursue the following interrelated strategies with respect to select conflicts.

#### **Strategies:**

- Supporting innovative and collaborative approaches and policies for conflict prevention, management, and transformation at the multilateral, regional, and national levels.
- Strengthening constituencies and political will for conflict transformation and durable peace.
- Exploring solutions to emerging transnational threats and drivers of conflict (specific focus to be developed).

*The program is currently focusing a significant portion of its grantmaking on the wider Middle East.*

## **CULPEPER ARTS & CULTURE PROGRAM GUIDELINES**

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The Charles E. Culpeper Arts & Culture grants honor the legacy of Charles E. Culpeper by supporting the artists and arts and cultural organizations that make New York City one of the world's most dynamic creative capitals. The Fund is inspired by the conviction that arts and culture promote free expression, foster a deeper understanding of human experience and diverse communities, and provide a fresh lens on persistent problems and emerging challenges. The Fund aims to strengthen the cultural environment of the city by supporting its rich artistic community and the diversity of the city's population. Special interests of the Fund are to sustain and advance small and mid-size cultural organizations, particularly those that are community-based and/or culturally specific, and to provide opportunities for artists of color and other underrepresented artists to contribute to the cultural vitality of New York City. The Fund also seeks to encourage mainstream, larger institutions to present underrepresented artists and to find innovative ways to engage new and diverse audiences.

The Pocantico Center, located in Tarrytown, New York, is an important resource in the Fund's efforts to support artists and the creative process, advance cultural awareness, and foster artistic collaborations. The center provides time and space for aesthetic exploration and opportunities for nurturing artistic imagination.

Grants support the creative process and build the capacity of small and mid-size arts and cultural institutions across all arts disciplines, and promote diversity in the city's creative life. Capacity-building grants support strategic planning, technology enhancements, board and staff development, marketing, and outreach initiatives.

**Goal: Nurture a Vibrant and Inclusive Arts Community in New York City**

**Strategies:**

- Supporting organizations that assist individual artists and the creative process with an emphasis on providing opportunities for artists of color and other underrepresented artists.
- Providing artist residencies, in partnership with grantee organizations, at The Pocantico Center.
- Building capacity in small and mid-size cultural organizations, particularly those that are community-based and/or reflect the city's diversity.

The Fund prioritizes support for organizations with annual budgets under \$4 million. Given limited resources and to enable the Fund to be responsive to a wider range of applicants, grantee organizations must wait a minimum of three years from their grant final payment before reapplying. The Fund does not make grants to individuals.

**PIVOTAL PLACE: SOUTHERN CHINA PROGRAM GUIDELINES**

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In March 2004, the Rockefeller Brothers Fund decided to concentrate its Asian grantmaking in southern China, one of the fastest growing and most dynamic regions of the world. This focus builds on the Fund's history of philanthropy in East and Southeast Asia and continues over a century of Rockefeller family philanthropy in China which includes the founding of the Peking Union Medical College in 1917.

The Fund's geographic area of interest encompasses the nine diverse and increasingly interconnected provinces of the Pan Pearl River Delta—Guangdong, Guangxi, Hunan, Yunnan, Sichuan, Guizhou, Fujian, Hainan, and Jiangxi—and, to a lesser extent, Hong Kong and Macau. In practice, RBF grantmaking also connects work in southern China with Beijing-based institutions and stakeholders because of their importance in addressing regional and national sustainable development challenges. This region accounts for one-third of China's population and 40 percent of its GDP. It has played a pivotal role in China's extraordinary progress over the last 30 years, progress which includes, among many achievements, the elevation of hundreds of millions of people out of extreme poverty and the growth of China's economy into the second largest in the world.

Southern China's rapid development, however, has been accompanied by profound challenges including environmental degradation and growing disparities between rich and poor, urban and rural which, if not addressed, threaten the sustainability of development in the region, the country, and the world. With innovative government policy, a vibrant marketplace, and growing public participation, China has the potential to lead the world in sustainable approaches to development. The RBF's southern China grantmaking seeks to assist indigenous Chinese efforts to: 1 mitigate environmental pollution and

improve the well-being of the Chinese people; 2) further sustainable energy practices and climate change solutions; and 3) strengthen community leadership for sustainable development.

At the core of all of the RBF's work in southern China is a fundamental belief in the wisdom, creativity, and resourcefulness of the Chinese people. The Fund will encourage multidisciplinary approaches to problem solving that integrate social, economic, environmental, and cultural concerns; and it will seek to foster collaboration at all levels and across sectors—government, business, civil society, and academia.

## **SUSTAINABLE DEVELOPMENT**

### **Goal: Mitigating Environmental Pollution and Its Impact on Human Health**

#### **Strategies:**

- Building knowledge, capacity, and cross-sector, cross-discipline collaborations.
- Strengthening public participation in environmental governance by promoting accountability, transparency, and access to information.
- Encouraging responsible corporate behavior in protecting the environment and public health.

### **Goal: Advancing Solutions to Climate Change**

#### **Strategies:**

- Supporting a broad range of initiatives to assist China's efforts to reduce greenhouse gas emissions, with a strong emphasis on work at the provincial and local levels to promote energy conservation and clean energy production.
- Building understanding and facilitating cooperation between the United States and China in pursuing effective policy and action on energy and climate challenges.

### **Goal: Strengthening Community Leadership to Support Sustainable Development**

#### **Strategies:**

- Nurturing indigenous philanthropy.
- Strengthening the capacity of key civic sector institutions, including the development of emerging leaders.

## **PIVOTAL PLACE: WESTERN BALKANS PROGRAM GUIDELINES**

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The Rockefeller Brothers Fund (RBF) has been engaged in grantmaking in the Western Balkans since 2001. At that time, in light of the region's long history of instability and the recent conclusion of the Balkan wars of the 1990s, the prospect of a peaceful, democratic, and prosperous Europe hinged, in part,

on the Balkans' successful transition to a post-Communist and post-conflict era. During its first years in the Western Balkans, the Fund's grantmaking aimed to nurture healthy democratic processes, support regional initiatives to debunk persistent myths and prejudices, and encourage development of a vibrant civil society. The Fund's work in the Western Balkans, and especially in Serbia, Montenegro, Kosovo, and Bosnia and Herzegovina, builds on its 20-year past experience in Central and Eastern Europe, supporting transition processes and helping to create conditions for their accession to the European Union.

The countries of the Western Balkans are focused on realizing their European integration aspirations, as accession brings with it the promise of stability and rule of law—necessary prerequisites for long-term peace, prosperity, and democracy. To support that vision, the Fund will help create the enabling legal, fiscal, and political environment for EU membership, giving special attention to strengthening democratic practice, enabling sustainable development, and documenting and facing the consequences of past conflicts. Engagement and leadership of youth in building a sustainable future for the region and creating a new narrative based on the region's diversity and European values are central to the RBF's support in the region.

The Fund focuses on Serbia, Montenegro, Kosovo, and Bosnia and Herzegovina to help them complete the process of integration into the EU. The interdependence among the countries, common challenges they face, and the potential they possess together as a subregion of the European Union present a unique opportunity for successful integration. The Fund supports civil society initiatives that advance collaboration and enhance the capacity for sustainable development and enduring peace in the region.

The RBF is pursuing the following goal and strategies across the Western Balkans region:

**Goal: Support the successful integration of the Western Balkans into the European Union**

**Strategies:**

- Supporting civil society efforts to improve practices, performance, transparency, and accountability in governance.
- Advancing sustainable development, focusing on an efficient regional energy sector based on renewable energy resources.
- Strengthening constituencies for reconciliation and enduring peace.
- Encouraging the development of indigenous philanthropy and corporate social responsibility to support participatory democracy and models of sustainable development.

The RBF works closely with civil society groups, governmental institutions, and other funding organizations to highlight the richness of the region's ethnic, gender, age, cultural, and racial diversity and to promote the values of a pluralistic and inclusive society.

**ROCKEFELLER BROTHERS FUND**  
**13-1760106**  
**475 Riverside Drive, Suite 900**  
**New York, New York 10115**

**SCHEDULE 13**  
**FORM 990-PF**  
**YEAR 2016**

PART XV, Lines 3(a) and 3(b) Grants and Contributions Paid During the Year and Approved for Future Payment

**SCHEDULE OF GRANTS TO ORGANIZATIONS**

FYE 12/31/2016

*NOTE: There are no relationships between any individual grantee and any foundation manager or substantial contributor.*

<b>Recipient and Purpose</b>	<b>Tax Status</b>	<b>Beginning Balance</b>	<b>Approved in 2016</b>	<b>Lapsed in 2016</b>	<b>Paid in 2016</b>	<b>Ending Balance</b>
<b>2030, Inc.</b> 607 Cerrillos Road Santa Fe, NM 87505 <i>To advance sustainable architecture in China.</i>	PC	\$60,455	\$0	\$0	\$0	\$60,455
<b>2030, Inc.</b> 607 Cerrillos Road Santa Fe, NM 87505 <i>For general support.</i>	PC	\$0	\$400,000	\$0	\$400,000	\$0
<b>350.org</b> 20 Jay Street, Suite 732 Brooklyn, NY 11201 <i>For general support.</i>	PC	\$0	\$200,000	\$0	\$200,000	\$0
<b>ActionAid</b> 33-39 Bowling Green Lane London, EC1R 0BJ United Kingdom <i>For its Bretton Woods Project.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
<b>ActionAid USA</b> ActionAid International USA 1420 K Street, NW Suite 900 Washington, D.C. 20005 <i>For advocacy on international climate finance.</i>	PC	\$0	\$40,000	\$0	\$40,000	\$0
<b>Adalah - The Legal Center for Arab Minority Rights in Israel</b> 94 Yaffa Street Haifa, 3109001 Israel <i>For its U.S. advocacy and institution-building.</i>	PC	\$0	\$150,000	\$0	\$75,000	\$75,000
<b>The ADM Capital Foundation Limited</b> 2406 9 Queen's Road Central Hong Kong China <i>For its China Water Risk project.</i>	PC	\$250,000	\$0	\$0	\$250,000	\$0
<b>Advanced Energy Economy Institute</b> 135 Main Street, Suite 1320 San Francisco, CA 94105 <i>For its 21st Century Electricity System Initiative.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
<b>The Agenda Project, Inc.</b> 1010 Wisconsin Avenue, NW Suite 705B Washington, D.C. 20007 <i>For the public education campaign of its project, the Patriotic Millionaires.</i>	PC	\$0	\$40,000	\$0	\$40,000	\$0
<b>ALIGN: The Alliance for a Greater New York, Inc.</b> 50 Broadway, 29th Floor New York, NY 10004 <i>For its New York City Building Retrofit Campaign.</i>	PC	\$0	\$150,000	\$0	\$150,000	\$0

<b>Alliance Publishing Trust</b> 15 Prescott Place London, SW4 6BS United Kingdom <i>For dissemination of the June 2016 issue of Alliance magazine.</i>	PF	\$0	\$5,000	\$0	\$5,000	\$0
<b>American Council for an Energy Efficient Economy</b> 529 14th Street, NW, Suite 600 Washington, D.C. 20045-1000 <i>For its project, Finalizing Strong Fuel Efficiency Standards for Light- and Heavy-Duty Vehicles.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>American Councils for International Education, Inc.</b> 1828 L Street, NW Suite 1200 Washington, D.C. 20036 <i>For the Kosovo American Education Fund's event, Creating a New Kosovo Through Education.</i>	PC	\$0	\$10,000	\$0	\$10,000	\$0
<b>American Friends Service Committee</b> 1501 Cherry Street Philadelphia, PA 19102 <i>For its Israel Program.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0
<b>American Opera Projects, Inc.</b> 138 S. Oxford Street Brooklyn, NY 11217 <i>For its Dance Opera Initiative's Hagaromo re-visioning project, including a Pocantico Center residency for the creative team.</i>	PC	\$0	\$32,000	\$0	\$32,000	\$0
<b>American Opera Projects, Inc.</b> 138 S. Oxford Street Brooklyn, NY 11217 <i>For its Dance Opera Initiative's Hagaromo re-visioning project, including a Pocantico Center residency for the creative team.</i>	PC	\$0	\$38,000	\$0	\$38,000	\$0
<b>American Prospect, Inc.</b> 1225 Eye Street, NW Suite 600 Washington, D.C. 20005 <i>For a series of investigative reports on the political and economic role of hedge funds and the challenges to global governance.</i>	PC	\$0	\$15,000	\$0	\$15,000	\$0
<b>The American University in Cairo</b> 420 Fifth Avenue, 3rd Floor New York, NY 10018 <i>For its youth outreach through Egyptian national universities project.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>The American University in Cairo</b> 420 Fifth Avenue, 3rd Floor New York, NY 10018 <i>For its Policy Production Project.</i>	PC	\$0	\$97,000	\$0	\$97,000	\$0
<b>Appalachian Voices</b> 171 Grand Boulevard Boone, NC 28607 <i>For its Energy Savings for Appalachia program.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>Arab Human Rights Fund Stichting</b> Sodeco Street Beirut Lebanon <i>For general support.</i>	PF	\$0	\$30,000	\$0	\$30,000	\$0

<b>Artspace Projects, Inc.</b> 250 Third Avenue North, Suite 400 Minneapolis, MN 55401 <i>For predevelopment work for its 124th Street project in Harlem.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
<b>As You Sow</b> 1611 Telegraph Avenue, Suite 1450 Oakland, CA 94612 <i>For its project, Divest-Invest Philanthropy.</i>	PC	\$0	\$20,000	\$0	\$20,000	\$0
<b>Asia Society</b> 725 Park Avenue New York, NY 10021-5088 <i>For its project titled In and Out of Context: The Asia Society Collections at 60.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>Asian Americans Advancing Justice - AAJC, Inc.</b> 1620 L Street, NW Suite 1050 Washington, D.C. 20036 <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>Aspen Global Change Institute, Inc.</b> 104 Midland Avenue, Suite 205 Basalt, CO 81621 <i>For its Climate Communications project.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
<b>The Aspen Institute, Inc.</b> One Dupont Circle, NW Suite 700 Washington, D.C. 20036 <i>For its Congressional Program.</i>	PC	\$200,000	\$0	\$0	\$71,065	\$128,935
<b>The Aspen Institute, Inc.</b> One Dupont Circle, NW Suite 700 Washington, D.C. 20036 <i>For its India-U.S. Track II Dialogue and the North American Carbon Price Forum.</i>	PC	\$0	\$62,500	\$0	\$62,500	\$0
<b>Atlantic Council of the U.S., Inc.</b> 1030 15th Street, NW, 12th Floor Washington, D.C. 20005 <i>For its South Asia Center.</i>	PC	\$100,000	\$0	\$0	\$100,000	\$0
<b>Atlantic Council of the U.S., Inc.</b> 1030 15th Street, NW, 12th Floor Washington, D.C. 20005 <i>For its project, Regional Reset: A New Strategy for the Western Balkans in the Transatlantic Community.</i>	PC	\$0	\$120,000	\$0	\$120,000	\$0
<b>Balkan Green Foundation</b> 2 Korriku 2/3 Pristina, 10000 Kosovo <i>For general support.</i>	PF	\$0	\$154,000	\$0	\$154,000	\$0
<b>Balkan Investigative Reporting Network</b> Mesa e Studenteve, Kati I Pristina, 10000 Kosovo <i>For general support.</i>	PC	\$100,000	\$0	\$0	\$0	\$100,000
<b>Balkan Investigative Reporting Regional Network</b> Mehmeda Spahe 10 Sarajevo, 71000 Bosnia and Herzegovina <i>For general support.</i>	PC	\$90,000	\$0	\$0	\$90,000	\$0

<b>Beijing Chaoyang District Yongxu Global Environmental Institute</b> Tayuan Diplomatic Residence Office Building No. 14 Liangmahe South Road Unit 1, Suite 32 Chaoyang District Beijing, 100062 China <i>To support China-U.S. climate leadership.</i>	PF	\$200,000	\$0	\$0	\$200,000	\$0
<b>Beijing Chaoyang District Yongxu Global Environmental Institute</b> Tayuan Diplomatic Residence Office Building No. 14 Liangmahe South Road Unit 1, Suite 32 Chaoyang District Beijing, 100062 China <i>For its project, U.S.-China Climate Leadership in the Least Developed Countries.</i>	PF	\$0	\$250,000	\$0	\$250,000	\$0
<b>Beijing Entrepreneur Environmental Protection Foundation</b> No. 1 (JIA) Nongzhan South Road, Chaoyang District Beijing, 100125 China <i>For its Blue Sky Defense Fund.</i>	PC	\$200,000	\$0	\$0	\$200,000	\$0
<b>Beijing Entrepreneur Environmental Protection Foundation</b> No. 1 (JIA) Nongzhan South Road, Chaoyang District Beijing, 100125 China <i>For its Blue Sky Defense Fund.</i>	PC	\$0	\$250,000	\$0	\$250,000	\$0
<b>Beijing Normal University</b> 19 Xijiekou Wai Street, Haidian District Beijing, 100875 China <i>For its China Philanthropic Research Institute.</i>	PC	\$150,000	\$0	\$0	\$150,000	\$0
<b>Beijing Water Source Conservation Foundation</b> Rm. 4001, Fengbaoheng Dasha, No. 2, Minzuyuan Road, Chaoyang District Beijing, 100029 China <i>For its project on environment and health.</i>	PC	\$100,000	\$0	\$0	\$100,000	\$0
<b>Belgrade Open School</b> Masarikova 5/16 Belgrade, 11000 Serbia <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000
<b>Trustees of Boston University</b> 745 Commonwealth Avenue Boston, MA 02215 <i>For the Global Economic Governance Initiative of its Frederick S. Pardee School of Global Studies.</i>	PC	\$100,000	\$0	\$0	\$100,000	\$0
<b>Breaking the Silence</b> P.O. Box 51027 Tel Aviv, 6713206 Israel <i>For general support.</i>	PC	\$0	\$105,000	\$0	\$55,000	\$50,000
<b>William J. Brennan, Jr., Center for Justice, Inc.</b> 120 Broadway Suite 1750 New York, NY 10271 <i>For its Money in Politics and Fair Courts projects.</i>	PC	\$0	\$400,000	\$0	\$200,000	\$200,000

<b>Broadway Cares/Equity Fights AIDS, Inc.</b> 165 West 46th Street, Suite 1300 New York, NY 10036  <i>For the development of new work and performance opportunities in its Dancers Responding to AIDS program.</i>	PC	\$0	\$40,000	\$0	\$40,000	\$0
<b>Broadway Dance Lab, Inc.</b> 433 West 34th Street, Suite 7N New York, NY 10001 <i>For its 2016 Lab Cycle.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>Bronx Museum of the Arts</b> 1040 Grand Concourse Bronx, NY 10456-3999 <i>For general support.</i>	PC	\$100,000	\$0	\$0	\$100,000	\$0
<b>The Brookings Institution</b> 1775 Massachusetts Avenue, NW Washington, D.C. 20036 <i>For its Foreign Policy program's United Nations at 70 project.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
<b>Brooklyn Academy of Music, Inc.</b> 30 Lafayette Avenue Brooklyn, NY 11217 <i>For the Next Wave Festival programs at its Richard B. Fisher building.</i>	PC	\$75,000	\$0	\$0	\$75,000	\$0
<b>Business for the Environment Global Futures Foundation</b> 230 California Street, Suite 301 San Francisco, CA 94111 <i>For general support.</i>	PC	\$100,000	\$0	\$0	\$100,000	\$0
<b>The Campaign Finance Institute</b> 1775 Eye Street, NW Suite 1150 Washington, D.C. 20006 <i>For general support.</i>	PC	\$0	\$200,000	\$0	\$100,000	\$100,000
<b>Campaign Legal Center, Inc.</b> 1411 K Street, NW Suite 1400 Washington, D.C. 20005 <i>For general support.</i>	PC	\$0	\$200,000	\$0	\$100,000	\$100,000
<b>Carbon Disclosure Project North America, Inc.</b> 127 West 26th Street, Suite 300 New York, NY 10001 <i>For its Capturing Leadership Actions by Non-State Actors project.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>Carnegie Endowment for International Peace</b> 1779 Massachusetts Avenue, NW Washington, D.C. 20036 <i>For general support.</i>	PC	\$70,000	\$0	\$0	\$70,000	\$0
<b>Carpathian Foundation-Hungary</b> Felvegi u. 53 Eger, H-3300 Hungary <i>For its 20th Anniversary Event.</i>	PC	\$0	\$4,500	\$0	\$4,500	\$0
<b>CEC Stuyvesant Cove, Inc.</b> 2420 FDR Drive Service Road East New York, NY 10010	PC	\$0	\$25,000	\$0	\$25,000	\$0

<b>CEL Education Fund</b> 1330 Broadway, Suite 300 Oakland, CA 94612 <i>For general support.</i>	PC	\$100,000	\$0	\$0	\$100,000	\$0
<b>CEL Education Fund</b> 1330 Broadway, Suite 300 Oakland, CA 94612 <i>For its project, Online Progressive Engagement Network.</i>	PC	\$0	\$120,000	\$0	\$60,000	\$60,000
<b>Center for American Progress</b> 1333 H Street, NW, 10th Floor Washington, D.C. 20005 <i>To further U.S.-China energy and climate cooperation.</i>	PC	\$0	\$400,000	\$0	\$346,002	\$53,998
<b>Center for Civilians in Conflict</b> 1850 M Street, NW Suite 350 Washington, D.C. 20036 <i>For general support.</i>	PC	\$100,000	\$0	\$0	\$100,000	\$0
<b>Center for Climate Strategies</b> 1800 K Street, NW, Suite 714 Washington, D.C. 20006 <i>To support Chinese policymakers in low-carbon development planning and analysis.</i>	PC	\$200,000	\$0	\$0	\$200,000	\$0
<b>Center for Climate Strategies</b> 1800 K Street, NW, Suite 714 Washington, D.C. 20006 <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
<b>Center for Democratic Transition</b> Vladike Danila 28 Podgorica Montenegro <i>For its Elections Monitoring program.</i>	PC	\$0	\$45,000	\$0	\$45,000	\$0
<b>Center for Effective Philanthropy, Inc.</b> 675 Massachusetts Avenue, 7th Floor Cambridge, MA 02139 <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
<b>Center for Euro-Atlantic Studies</b> Trajka Stamenkovica 2 Belgrade, 11000 Serbia <i>For general support.</i>	PC	\$40,000	\$0	\$0	\$40,000	\$0
<b>Center for International Policy, Inc.</b> 2000 M Street, NW, Suite 720 Washington, D.C. 20036 <i>For its Arms and Security Project.</i>	PC	\$0	\$40,000	\$0	\$40,000	\$0
<b>Center for International Policy, Inc.</b> 2000 M Street, NW, Suite 720 Washington, D.C. 20036 <i>For its Security Assistance Monitor project.</i>	PC	\$0	\$30,000	\$0	\$30,000	\$0
<b>Center for Political Accountability</b> 1233 20th Street, NW, Suite 205 Washington, D.C. 20036 <i>For general support.</i>	PC	\$0	\$70,000	\$0	\$70,000	\$0
<b>Center for Responsive Politics</b> 1101 14th Street, NW Suite 1030 Washington, D.C. 20005 <i>For general support.</i>	PC	\$0	\$40,000	\$0	\$40,000	\$0

<b>Center for Technology and Civic Life</b> 220 N. Green Street Chicago, IL 60607 <i>For general support.</i>	PC	\$0	\$125,000	\$0	\$10,000	\$115,000
<b>Centre for Democracy and Human Rights</b> George Washington Boulevard 51/III Podgorica, 81000 Montenegro <i>For general support.</i>	PC	\$0	\$40,000	\$0	\$40,000	\$0
<b>Ceres, Inc.</b> 99 Chauncey Street, 6th Floor Boston, MA 02111-1703 <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
<b>China Council for Industrial Environmental Protection</b> R6136, Apartment Unit 1, Exhibition Centre Hotel No. 135 Xizhimen Wai Street Beijing, 100044 China  <i>For evidence-based policy studies on soil pollution in China.</i>	PC	\$0	\$250,000	\$0	\$250,000	\$0
<b>China Dialogue Trust</b> Suite 306 Grayston Centre 28 Charles Square London, N1 6HT United Kingdom <i>For general support.</i>	PC	\$0	\$190,000	\$0	\$95,000	\$95,000
<b>China Foundation Center</b> Room 1702, Building 1, Dongfang Ruijing, No. 16, Jianguomen Outer Street, Chaoyang District Beijing China <i>For general support.</i>	PC	\$0	\$200,000	\$0	\$200,000	\$0
<b>Chinese Academy of Sciences</b> 52 Sanlihe Road Beijing, 100864 China <i>For its Forum on Health, Environment, and Development.</i>	PC	\$0	\$600,000	\$0	\$400,000	\$200,000
<b>Civic Alliance</b> Studentska ulica Lamela 9, br. 5 Podgorica, 8100 Montenegro <i>For general support.</i>	PC	\$0	\$120,000	\$0	\$60,000	\$60,000
<b>Civic Association CRTA-The Center for Research, Transparency and Accountability</b> Topolska 22 Belgrade, 11000 Serbia <i>For general support.</i>	PC	\$0	\$190,000	\$0	\$95,000	\$95,000
<b>Civic Exchange</b> 23/F, Chun Wo Commercial Centre, 23-29 Wing Wo Street, Central Hong Kong China <i>For general support.</i>	PC	\$0	\$400,000	\$0	\$200,000	\$200,000
<b>Civic Nation</b> 1120 G Street, NW, Suite 990 Washington, D.C. 20005 <i>For its Action Civics Initiative's participation in the South by South Lawn event.</i>	PC	\$0	\$5,000	\$0	\$5,000	\$0
<b>Clean Air Initiative for Asian Cities Center, Inc.</b> Unit 3504-3505, Robinsons-Equitable Tower, ADB Avenue Pasig City, NCR 1605 Philippines <i>For promoting air quality management in China.</i>	PC	\$300,000	\$0	\$0	\$200,000	\$100,000

<b>Climate Accountability Institute</b> 1626 Gateway Road Snowmass, CO 81654 <i>For general support.</i>	PC	\$0	\$30,000	\$0	\$30,000	\$0
<b>The Climate Group, Inc.</b> 145 West 58th Street, Suite 2A New York, NY 10019 <i>For its work on the Under 2 MOU Coalition and Climate Week NYC 2016.</i>	PC	\$0	\$150,000	\$0	\$150,000	\$0
<b>Climate Institute (Australia) Ltd</b> Level 15, 179 Elizabeth Street Sydney, 2000 Australia <i>For its Divest-Invest Conference.</i>	PC	\$0	\$15,000	\$0	\$15,000	\$0
<b>Clubbed Thumb, Inc.</b> 440 Lafayette Street, 4th Floor New York, NY 10003 <i>For the artistic development and production of Men on Boats, a collaboration with Playwrights Horizons.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>Colorado State University Foundation</b> 410 University Services Center Fort Collins, CO 80523-9100 <i>For Colorado State University's Center for the New Energy Economy.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
<b>ColorOfChange.org Education Fund, Inc.</b> 1714 Franklin Street, Suite 100-136 Oakland, CA 94612 <i>For general support.</i>	PC	\$0	\$250,000	\$0	\$125,000	\$125,000
<b>Trustees of Columbia University in the City of New York</b> Office of Research Administration 1210 Amsterdam Avenue Room 254 Engineering Terrace, Mail Code: 2205 New York, NY 10027 <i>For its project, Gulf/2000.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
<b>Trustees of Columbia University in the City of New York</b> Office of Research Administration 1210 Amsterdam Avenue Room 254 Engineering Terrace, Mail Code: 2205 New York, NY 10027 <i>For its U.S.-China Energy and Climate Program.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
<b>COMET-ME</b> Tel Hai 3 Jerusalem, 9210703 Israel <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000
<b>Common Cause Education Fund</b> 805 15th Street, NW, Suite 800 Washington, D.C. 20005 <i>For general support.</i>	PC	\$150,000	\$0	\$0	\$150,000	\$0
<b>Commonwealth Club of California</b> 555 Post Street San Francisco, CA 94102 <i>For its Climate One project.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
<b>The Conference Board, Inc.</b> 845 Third Avenue New York, NY 10022-6679 <i>For its Committee for Economic Development project.</i>	PC	\$0	\$120,000	\$0	\$50,000	\$70,000

<b>Confluence Philanthropy, Inc.</b> 475 Riverside Drive, Suite 900 New York, NY 10115 <i>For general support.</i>	PC	\$0	\$10,000	\$0	\$10,000	\$0
<b>Confluence Philanthropy, Inc.</b> 475 Riverside Drive, Suite 900 New York, NY 10115 <i>For its capacity-building campaign.</i>	PC	\$0	\$20,000	\$0	\$20,000	\$0
<b>Conservatives for Clean Energy</b> 514 Daniels Street, Suite 197 Raleigh, NC 27605 <i>For general support.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0
<b>Consultative Group on Biological Diversity</b> Presidio Building 1016 P.O. Box 29361 San Francisco, CA 94129-0361 <i>For 2016 membership and general support.</i>	PC	\$0	\$32,550	\$0	\$32,550	\$0
<b>Convergence</b> 1133 19th Street, NW Suite 410 Washington, D.C. 20036 <i>For general support.</i>	PC	\$0	\$10,000	\$0	\$10,000	\$0
<b>Council on Foreign Relations, Inc.</b> 58 East 68th Street New York, NY 10021 <i>For its Contingency Planning Roundtable Series.</i>	PC	\$100,000	\$0	\$0	\$100,000	\$0
<b>Council on Foundations, Inc.</b> 2121 Crystal Drive Suite 700 Arlington, VA 22202 <i>For general support for 2016 and 2017.</i>	PC	\$0	\$47,000	\$0	\$23,500	\$23,500
<b>Crime and Corruption Reporting Network</b> Kralja Milana 20/22 Belgrade, 11000 Serbia <i>For general support.</i>	PC	\$0	\$70,000	\$0	\$35,000	\$35,000
<b>Crisis Action, Inc.</b> 708 Third Avenue, Suite 1820 New York, NY 10017 <i>For general support.</i>	PC	\$100,000	\$0	\$0	\$100,000	\$0
<b>University of Delaware</b> 162 The Green Newark, DE 19716 <i>For its Special Initiative on Offshore Wind project.</i>	PC	\$250,000	\$0	\$0	\$250,000	\$0
<b>University of Delaware</b> 162 The Green Newark, DE 19716 <i>For its Special Initiative on Offshore Wind project.</i>	PC	\$0	\$500,000	\$0	\$0	\$500,000
<b>Democracy 21 Education Fund</b> 2000 Massachusetts Avenue, NW Washington, D.C. 20036 <i>For general support.</i>	PC	\$0	\$120,000	\$0	\$60,000	\$60,000
<b>Democracy Works, Inc.</b> 20 Jay Street, Suite 824 Brooklyn, NY 11201 <i>For general support.</i>	PC	\$89,220	\$0	\$0	\$89,220	\$0

<b>Democratization Policy Council e.V.</b> Sybelstr. 10 Berlin, 10629 Germany <i>For general support.</i>	PC	\$0	\$120,000	\$0	\$60,000	\$60,000
<b>Demos: A Network for Ideas and Action, Ltd.</b> 220 Fifth Avenue, Second Floor New York, NY 10001 <i>For general support and its Inclusive Democracy Partnership.</i>	PC	\$0	\$300,000	\$0	\$150,000	\$150,000
<b>Direkt36 Ujsagiro Kozpont Nonprofit Kft.</b> Pozsonyi ut 10 Budapest, 1137 Hungary <i>For general support.</i>	PF	\$0	\$30,000	\$0	\$30,000	\$0
<b>DokuFest</b> Marin Barleti nn Prizren, 20000 Kosovo <i>For general support.</i>	PC	\$25,000	\$0	\$0	\$25,000	\$0
<b>Earth Island Institute, Inc.</b> 2150 Allston Way, Suite 460 Berkeley, CA 94706-1375 <i>For its project, EcoEquity.</i>	PC	\$75,000	\$0	\$0	\$75,000	\$0
<b>Earthjustice</b> 50 California Street, Suite 500 San Francisco, CA 94111 <i>For its project, Creating the New Utility Business Model in New York.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0
<b>East West Center</b> Hamdije Kresevljakovica, No. 27 Sarajevo, 71000 Bosnia and Herzegovina <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000
<b>Eco Art Project, Inc.</b> 325 West 45th Street, Suite 312 New York, NY 10036 <i>For general support.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0
<b>ecoAmerica</b> 1900 L Street, NW Suite 607 Washington, D.C. 20036 <i>For its Hispanic climate communications research and summit.</i>	PC	\$79,250	\$0	\$0	\$79,250	\$0
<b>ECOCIETY</b> Bajram Bahtiri, nr. 36 Pristina, 10000 Kosovo <i>For its ECOWEEK Pristina event.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
<b>Electrification Coalition Foundation</b> 1111 19th Street, NW, Suite 406 Washington, D.C. 20036 <i>For its Energy Secure Cities Coalition program.</i>	PC	\$100,000	\$0	\$0	\$50,000	\$50,000
<b>Energy Action Coalition</b> 205 New York Avenue, NW Washington, D.C. 20001 <i>For Power Shift 2016.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
<b>Environmental Grantmakers Association</b> 475 Riverside Drive New York, NY 10115 <i>For general support.</i>	PC	\$0	\$24,800	\$0	\$24,800	\$0

<b>Essential Partners, Inc.</b> 186 Alewife Brook Parkway, Suite 212 Cambridge, MA 02138 <i>For its Laura R. Chasin Project for Monitoring and Evaluation.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
<b>Euro-Mediterranean Foundation of Support to Human Rights Defenders</b> Vestergade 16, 2nd Floor Copenhagen, DK-1456 Denmark <i>For its project to scale up the provision of pro-bono legal aid and representation services for Egyptian activists and nongovernmental organizations.</i>	PC	\$0	\$40,000	\$0	\$40,000	\$0
<b>European Iran Research Group</b> Center for Middle East Studies Lund University P.O. Box 201 Lund, 221 00 Sweden <i>For general support.</i>	PC	\$0	\$40,000	\$0	\$40,000	\$0
<b>European Middle East Project</b> Huidevettersstraat 165 Brussels, B-1000 Belgium <i>For general support.</i>	PF	\$0	\$50,000	\$0	\$50,000	\$0
<b>Every Voice Center</b> 1211 Connecticut Avenue, NW Washington, D.C. 20036 <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>FairDistricts Now, Inc.</b> 3182 Munroe Drive Miami, FL 33133 <i>For general support.</i>	PC	\$0	\$10,000	\$0	\$10,000	\$0
<b>FairVote</b> 6930 Carroll Avenue, Suite 240 Takoma Park, MD 20912 <i>For general support.</i>	PC	\$0	\$80,000	\$0	\$40,000	\$40,000
<b>Fight for the Future Education Fund</b> 20 High Street Colrain, MA 01340 <i>For public education on international integration agreements.</i>	PC	\$0	\$35,000	\$0	\$35,000	\$0
<b>Forest Trends Association</b> 1050 Potomac Street, NW Washington, D.C. 20007 <i>For its Land Carbon Policy Roadmap.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>Forum for Civic Initiatives</b> Sejdi Kryeziu blloku 20, Nr. 3 Pristina, 10000 Kosovo  <i>For small grants for Hungarian civil society organizations.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
<b>Forum for Civic Initiatives</b> Sejdi Kryeziu blloku 20, Nr. 3 Pristina, 10000 Kosovo <i>For its Balkan Forum project.</i>	PC	\$0	\$135,951	\$0	\$135,951	\$0
<b>Forum for Civic Initiatives</b> Sejdi Kryeziu blloku 20, Nr. 3 Pristina, 10000 Kosovo <i>For its Civil Society House project in Kosovo.</i>	PC	\$0	\$150,000	\$0	\$150,000	\$0

<b>Forum Mladi I Neformalna Edukacija</b> ul. Bratstva i jedinstva br. 4 Podgorica, 81000 Montenegro <i>For its project, Youth for Green Montenegro.</i>	PC	\$40,000	\$0	\$0	\$40,000	\$0
<b>Forward Thinking</b> 83 Clerkenwell Road London, EC1R 5AR United Kingdom <i>For its project, Developing Peacebuilding Capacity in Significant Israeli Constituencies.</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000
<b>Foundation Center</b> 32 Old Slip, 24th Floor New York, NY 10008 <i>For general support for 2016 and 2017.</i>	PC	\$0	\$110,000	\$0	\$110,000	\$0
<b>The Foundation for a Civil Society, Ltd.</b> 25 East End Avenue Apt. 1B New York, NY 10028 <i>For its Iran Project.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
<b>Foundation for Middle East Peace</b> 1761 N Street, NW Washington, D.C. 20036 <i>For its Israel/Palestine funders' coalition.</i>	PF	\$0	\$8,000	\$0	\$8,000	\$0
<b>Fractured Atlas Productions, Inc.</b> 248 West 35th Street, 10th Floor New York, NY 10001 <i>For its project, GAYLETTER.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
<b>Fractured Atlas Productions, Inc.</b> 248 West 35th Street, 10th Floor New York, NY 10001 <i>For its Donkeysaddle projects.</i>	PC	\$0	\$20,000	\$0	\$20,000	\$0
<b>Franciscan Action Network</b> P.O. Box 29106 Washington, D.C. 20017 <i>For its Catholics of Color Climate Campaign.</i>	PC	\$0	\$40,000	\$0	\$40,000	\$0
<b>Franklin Furnace Archive, Inc.</b> Pratt Institute 200 Willoughby Avenue Brooklyn, NY 11205 <i>For the administrative expenses of four new programs in conjunction with Pratt Institute.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>Friends of Nature</b> Apt. 201 Building A, Huazhan Guoji Gongyu No. 12 Yumin Road, Chaoyang District Beijing, 10029 China <i>For general support.</i>	PC	\$0	\$300,000	\$0	\$300,000	\$0
<b>Friends of the Earth</b> 1101 15th Street, NW, 11th Floor Washington, D.C. 20005  <i>For continued support of its Economic Policy Program's initiative, Advancing and Protecting Sustainability Standards in Development Financing: Addressing the Coal Challenge.</i>	PC	\$0	\$200,000	\$0	\$200,000	\$0
<b>Fund for Active Citizenship</b> Vaka Djurovica - Nova Varos II Podgorica, 81000 Montenegro <i>For its Civil Society House project in Montenegro.</i>	PC	\$0	\$150,000	\$0	\$150,000	\$0

<b>Fund for Constitutional Government</b> 122 Maryland Avenue, NE Washington, D.C. 20002 <i>For its project, OpenTheGovernment.org.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
<b>Fund for Constitutional Government</b> 122 Maryland Avenue, NE Washington, D.C. 20002 <i>For its project, the Peace and Security Funders Group.</i>	PC	\$0	\$35,000	\$0	\$35,000	\$0
<b>Fund for Global Human Rights</b> 1666 Connecticut Avenue, NW Suite 410 Washington, D.C. 20009 <i>For its work in Egypt.</i>	PC	\$0	\$200,000	\$0	\$200,000	\$0
<b>Fund for the City of New York, Inc.</b> 121 Avenue of the Americas New York, NY 10013 <i>For its project, the Afro Latin Jazz Alliance.</i>	PC	\$8,033	\$0	\$0	\$8,033	\$0
<b>Fund for the City of New York, Inc.</b> 121 Avenue of the Americas New York, NY 10013 <i>For its New American Leaders project.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
<b>Gallim Dance Company, Inc.</b> c/o The Church of St. Luke and St. Matthew 520 Clinton Avenue Brooklyn, NY 11238-2211 <i>For general support.</i>	PC	\$35,000	\$0	\$0	\$35,000	\$0
<b>Gallim Dance Company, Inc.</b> c/o The Church of St. Luke and St. Matthew 520 Clinton Avenue Brooklyn, NY 11238-2211 <i>For a collaborative project with artist Marta Minujin.</i>	PC	\$0	\$20,000	\$0	\$20,000	\$0
<b>Genetic Resources Action International</b> Girona 25, principal Barcelona, 08010 Spain <i>For its project, bilaterals.org.</i>	PC	\$15,000	\$0	\$0	\$15,000	\$0
<b>The Geneva Headquarters of the Cairo Institute for Human Rights Studies</b> Rue des Asters 18 1202 Geneva Switzerland <i>For general support.</i>	PC	\$0	\$150,000	\$0	\$150,000	\$0
<b>The President and Directors Georgetown College (Georgetown University)</b> 37th and O Streets, NW Washington, D.C. 20057 <i>For the Georgetown Climate Center.</i>	PC	\$114,664	\$0	\$0	\$14,664	\$100,000
<b>The President and Directors Georgetown College (Georgetown University)</b> 37th and O Streets, NW Washington, D.C. 20057 <i>For the Georgetown Climate Center.</i>	PC	\$0	\$20,000	\$0	\$20,000	\$0
<b>Gisha - Legal Center for Freedom of Movement</b> 42 HaRakevet Street Tel Aviv-Jaffa, 6777008 Israel <i>For general support.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0

<b>Global Fund for Community Foundations</b> Registered Office Community House Citilink Business Park Albert Street Belfast, BT12 4HQ Northern Ireland <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000
<b>Global Philanthropy Partnership</b> 1916 N. Mohawk Street, Suite 7 Chicago, IL 60614 <i>For its Urban Sustainability Directors Network.</i>	PC	\$0	\$350,000	\$0	\$190,000	\$160,000
<b>Grantmakers for Effective Organizations</b> 1725 DeSales Street, NW Suite 404 Washington, D.C. 20036 <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
<b>Grantmakers in the Arts</b> 4055 21st Avenue West, Suite 100 Seattle, WA 98119-1247 <i>For 2016 and 2017 membership and general support.</i>	PC	\$0	\$1,500	\$0	\$1,500	\$0
<b>Grants Managers Network, Inc.</b> 1666 K Street, NW Suite 440 Washington, D.C. 20006 <i>For general support for 2016 and 2017.</i>	PC	\$0	\$6,000	\$0	\$3,000	\$3,000
<b>Grassroots Jerusalem</b> Harun al Rasheed 9 Jerusalem, 9149302 Israel <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>Greater Cincinnati Energy Alliance</b> 200 W. 4th Street, Suite 600 Cincinnati, OH 45202 <i>For its Kentucky PACE program.</i>	PC	\$25,000	\$0	\$0	\$25,000	\$0
<b>GreenFaith</b> 101 South 3rd Avenue, Suite 12 Highland Park, NJ 08904 <i>For its COP22 campaign.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
<b>Guangdong Harmony Foundation</b> Room 515, Haizhu Science Park Sun Yat-sen University No. 135 Xingang Road Guangzhou, 510275 China <i>For its South China Environment Fund.</i>	PC	\$150,000	\$0	\$0	\$150,000	\$0
<b>Guangdong Harmony Foundation</b> Room 515, Haizhu Science Park Sun Yat-sen University No. 135 Xingang Road Guangzhou, 510275 China <i>For its South China Environment Fund.</i>	PC	\$0	\$200,000	\$0	\$200,000	\$0
<b>Vaclav Havel Library</b> Ostroni 129/13 Prague, 11000 Czech Republic <i>For its e-learning project.</i>	PF	\$0	\$35,000	\$0	\$35,000	\$0
<b>Healthy Environment Alliance of Utah</b> 824 South 400 West, Suite B111 Salt Lake City, UT 84101 <i>For its carbon reduction campaign.</i>	PC	\$15,000	\$0	\$0	\$15,000	\$0

<b>Heartland Initiative, Inc.</b> P.O. Box 369 Michigan City, IN 46361 <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>Hebrew University of Jerusalem</b> Mount Scopus Jerusalem, 91905 Israel <i>For its Community-Campus Partnership.</i>	PC	\$75,000	\$0	\$0	\$75,000	\$0
<b>Higher Heights Leadership Fund</b> 147 Prince Street Brooklyn, NY 11201 <i>For its #BlackWomenVote campaign.</i>	PC	\$0	\$15,000	\$0	\$15,000	\$0
<b>Hispanics in Philanthropy</b> 414 13th Street Suite 200 Oakland, CA 94612 <i>For general support and membership.</i>	PC	\$0	\$20,000	\$0	\$10,000	\$10,000
<b>Hopewell Fund</b> 1201 Connecticut Avenue, NW Suite 300 Washington, D.C. 20036 <i>For its project, LocalizED.</i>	PC	\$0	\$60,000	\$0	\$60,000	\$0
<b>Humanitarian Law Center - Documentation and Memory</b> Decanska 12 III/IV Belgrade, 11110 Serbia <i>For its project, Strengthening Support for the RECOM Process.</i>	PC	\$0	\$170,000	\$0	\$100,286	\$69,714
<b>Independent Journalists' Association of Vojvodina</b> Zmaj Jovina 3/1 Novi Sad, 21000 Serbia <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
<b>Independent Sector</b> 1602 L Street, NW Suite 900 Washington, D.C. 20036 <i>For general support for 2016 and 2017.</i>	PC	\$0	\$24,300	\$0	\$12,150	\$12,150
<b>Indigenous Educational Network of Turtle Island</b> P.O. Box 485 Bemidji, MN 56619	PC	\$0	\$20,000	\$0	\$20,000	\$0
<b>Innovation Center for Energy and Transportation</b> 592 Garfield Avenue South Pasadena, CA 91030 <i>For general support.</i>	PC	\$6,226	\$0	\$0	\$6,226	\$0
<b>Innovation Center for Energy and Transportation</b> 592 Garfield Avenue South Pasadena, CA 91030 <i>For general support.</i>	PC	\$0	\$300,000	\$0	\$300,000	\$0
<b>Institute for Advanced Studies GAP</b> Sejidi Kryeziu, 4/4 Pristina, 10000 Kosovo <i>For general support.</i>	PC	\$0	\$80,000	\$0	\$40,000	\$40,000
<b>Institute for Development Policy</b> Fehmi Agani 50 A/4 Pristina, 10000 Kosovo <i>For its project, Strengthening and Developing Sustainable Development in Kosovo.</i>	PC	\$60,600	\$0	\$0	\$60,600	\$0

<b>Institute for Energy Economics and Financial Analysis, Inc.</b> River's Edge 3430 Rocky River Drive Cleveland, OH 44111 <i>For work on climate finance, including efforts in Kosovo.</i>	PC	\$0	\$130,000	\$0	\$130,000	\$0
<b>Institute for Energy Economics and Financial Analysis, Inc.</b> River's Edge 3430 Rocky River Drive Cleveland, OH 44111 <i>For its Puerto Rico Electric System Reform project.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
<b>Institute for European Affairs</b> 27. marta 25a Belgrade, 11120 Serbia <i>For general support.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
<b>Institute for Governance and Sustainable Development, Inc.</b> 2300 Wisconsin Avenue, NW Suite 300B Washington, D.C. 20007 <i>For its work on climate and global governance.</i>	PC	\$73,877	\$0	\$0	\$73,877	\$0
<b>Institute for Governance and Sustainable Development, Inc.</b> 2300 Wisconsin Avenue, NW Suite 300B Washington, D.C. 20007 <i>For its Fast Action Climate Campaign.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
<b>Institute for Market Transformation, Inc.</b> 1707 L Street, NW Suite 1050 Washington, D.C. 20036 <i>For its work on implementing New York City's Greener, Greater Buildings Plan.</i>	PC	\$0	\$250,000	\$0	\$250,000	\$0
<b>Institute for Market Transformation, Inc.</b> 1707 L Street, NW Suite 1050 Washington, D.C. 20036 <i>For a building efficiency benchmarking meeting for the Pacific Coast Collaborative jurisdictions.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
<b>Institute for Middle East Understanding</b> 174 West 4th Street, Suite 171 New York, NY 10014 <i>For general support.</i>	PC	\$75,000	\$0	\$0	\$75,000	\$0
<b>Institute for Nonprofit News</b> 17514 Ventura Boulevard, Suite 103 Encino, CA 91316 <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
<b>Institute for Policy Studies</b> 1112 16th Street, NW Suite 600 Washington, D.C. 20036 <i>For its LobeLog project.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
<b>Institute for State Effectiveness</b> 600 New Hampshire Avenue, NW Suite 900 Washington, D.C. 20037 <i>For general support.</i>	PC	\$0	\$300,000	\$0	\$150,000	\$150,000

<b>Institute for Sustainable Communities</b> 535 Stone Cutters Way Montpelier, VT 05602-3795 <i>For its 25th Anniversary Fund.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
<b>Institute of International Education, Inc.</b> 809 United Nations Plaza New York, NY 10017 <i>For the Global Travel and Learning Fund.</i>	PC	\$15,000	\$0	\$0	\$15,000	\$0
<b>Institute of International Education, Inc.</b> 809 United Nations Plaza New York, NY 10017 <i>For the Richard Rockefeller Fellowship.</i>	PC	\$0	\$200,000	\$0	\$150,000	\$50,000
<b>Institute of International Education, Inc.</b> 809 United Nations Plaza New York, NY 10017 <i>For the Rockefeller Brothers Fund's Global Travel and Learning Fund.</i>	PC	\$0	\$250,000	\$0	\$182,709	\$67,291
<b>Institute of Public and Environmental Affairs</b> Room 1, Floor 9, Building 6-1 Jianguomen Diplomatic Residence Compound No. 1 Xiushui Avenue Beijing China <i>To address challenges at the nexus of air pollution, public health, and climate change in China.</i>	PC	\$650,000	\$0	\$0	\$650,000	\$0
<b>Institute of Public and Environmental Affairs</b> Room 1, Floor 9, Building 6-1 Jianguomen Diplomatic Residence Compound No. 1 Xiushui Avenue Beijing China <i>For general support.</i>	PC	\$0	\$650,000	\$0	\$650,000	\$0
<b>Integra</b> Rrustem Statovci n.n. 2/3 Pristina Kosovo <i>For general support.</i>	PC	\$0	\$35,000	\$0	\$35,000	\$0
<b>International Council on Clean Transportation</b> 1225 I Street, NW, Suite 900 Washington, D.C. 20005 <i>For its clean transportation work in Guangdong.</i>	PC	\$150,000	\$0	\$0	\$150,000	\$0
<b>International Crisis Group</b> 1629 K Street, NW Room 450 Washington, D.C. 20006 <i>For its work in Afghanistan and Pakistan.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
<b>International Federation for Human Rights</b> 17, Passage de la Main-d'Or Paris, 75011 France  <i>For the first phase of its Middle East and North Africa work.</i>	PC	\$0	\$120,000	\$0	\$120,000	\$0
<b>International Institute for Sustainable Development</b> 111 Lombard Avenue, Suite 325 Winnipeg, MB R3B OT4 Canada  <i>For its Investment and Sustainable Development Program.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0

<b>Investor Watch</b> 286 Sandycombe Road Kew Gardens Surrey, TW9 3NG United Kingdom <i>For its NDC Interconnect project.</i>	PF	\$0	\$50,000	\$0	\$50,000	\$0
<b>Irish Repertory Theatre Company, Inc.</b> 132 West 22nd Street New York, NY 10011-2419 <i>For its 2016-2017 season programming.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>J Street Education Fund, Inc.</b> P.O. Box 66073 Washington, D.C. 20035 <i>For general support.</i>	PC	\$125,000	\$0	\$0	\$125,000	\$0
<b>A Jewish Voice for Peace, Inc.</b> 1611 Telegraph Avenue, Suite 550 Oakland, CA 94612 <i>For general support.</i>	PC	\$70,000	\$0	\$0	\$70,000	\$0
<b>Johns Hopkins University</b> 600 North Wolfe Street Blalock 1408 Baltimore, MD 21287-4961 <i>For the Center for Transatlantic Relations' project, Focus on Reforms: A 2020 Vision for Bosnia and Herzegovina.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
<b>Joyce Theatre Foundation, Inc.</b> 175 Eighth Avenue New York, NY 10011 <i>For its Closing the Gap initiative.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
<b>Just Vision</b> 1616 P Street, NW, Suite 340 Washington, D.C. 20036 <i>For general support.</i>	PC	\$85,465	\$0	\$0	\$85,465	\$0
<b>Justice at Stake</b> 717 D Street, NW, Suite 203 Washington, D.C. 20004 <i>For general support.</i>	PC	\$100,000	\$0	\$0	\$100,000	\$0
<b>Kosovo Foundation for Talent and Education</b> Rruga Sadik Zeneli SU4/6 LF-4 No. 23, Dardania Pristina, 10000 Kosovo <i>For general support.</i>	PF	\$2,783	\$0	\$0	\$2,783	\$0
<b>Kosovo Women's Chamber of Commerce - G7</b> Pallati i Mediave, Anexi 2 Pristina, 10000 Kosovo <i>For general support.</i>	PC	\$0	\$20,000	\$0	\$20,000	\$0
<b>Lambda Legal Defense and Education Fund, Inc.</b> 120 Wall Street, 19th Floor New York, NY 10005 <i>For its Fair Courts Project Fellowship.</i>	PC	\$0	\$180,000	\$0	\$50,000	\$130,000
<b>The Laundromat Project, Inc.</b> 127 West 127th Street, Suite 324 New York, NY 10027-3723 <i>For the hiring of a deputy director.</i>	PC	\$0	\$60,000	\$0	\$60,000	\$0
<b>Lawyers' Committee for Civil Rights Under Law</b> 1401 New York Avenue, NW Suite 400 Washington, D.C. 20005 <i>For its Voting Rights project.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0

<b>Lawyers' Committee for Civil Rights Under Law</b> 1401 New York Avenue, NW Suite 400 Washington, D.C. 20005 <i>For its 2016 Black Civic Engagement Training project.</i>	PC	\$0	\$80,000	\$0	\$80,000	\$0
<b>Lawyers' Committee for Civil Rights Under Law</b> 1401 New York Avenue, NW Suite 400 Washington, D.C. 20005 <i>For its Voting Rights Project's Election Protection Our Vote Live database.</i>	PC	\$0	\$10,000	\$0	\$10,000	\$0
<b>League of Conservation Voters Education Fund</b> 1920 L Street, NW Suite 800 Washington, D.C. 20036 <i>For its Climate Disruption poll.</i>	PC	\$0	\$5,500	\$0	\$5,500	\$0
<b>Lincoln Center for the Performing Arts, Inc.</b> 70 Lincoln Center Plaza New York, NY 10023-6583 <i>For the 2016 Lincoln Center Global Exchange.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>Living Arts International, Inc.</b> 228 Park Avenue South, #49331 New York, NY 10003  <i>For the New York City workshop and creative development phase of Bangsokol: A Requiem for Cambodia.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>Lost Light Projects, Inc.</b> 16 Court Street, Suite 2307 Brooklyn, NY 11241 <i>For investigative news focused on climate change.</i>	PC	\$0	\$400,000	\$0	\$365,916	\$34,084
<b>Mary Miss City as Living Laboratory, Inc.</b> 349 Greenwich Street, #5 New York, NY 10013  <i>For the core programs of its Broadway: 1,000 Steps project.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0
<b>University of Maryland</b> 3112 Lee Building 7809 Regents Drive College Park, MD 20742-5141 <i>For its School of Public Policy's Center for International and Security Studies' project, Iranian Public Opinion after the Nuclear Agreement.</i>	GOV	\$0	\$20,000	\$0	\$20,000	\$0
<b>Media Impact Funders, Inc.</b> 200 West Washington Square Suite 220 Philadelphia, PA 19106 <i>For 2016 membership and general support.</i>	PC	\$0	\$4,500	\$0	\$4,500	\$0
<b>Media Matters for America</b> 455 Massachusetts Avenue, NW, Suite 600 Washington, D.C. 20031 <i>For its Climate and Energy Initiative.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
<b>Mexican Cultural Institute of New York, Inc.</b> 27 East 39th Street New York, NY 10016 <i>For its Visual Arts program.</i>	PC	\$0	\$20,000	\$0	\$20,000	\$0

<b>Michigan Conservative Energy Forum</b> 106 W. Allegan Street, Suite 200A Lansing, MI 48933 <i>For general support.</i>	PC	\$75,000	\$0	\$0	\$75,000	\$0
<b>Middle East Children's Alliance</b> 1101 Eighth Street, Suite 100 Berkeley, CA 94710 <i>For its project, Rawa: Creative Palestinian Communities Fund.</i>	PC	\$0	\$140,000	\$0	\$75,000	\$65,000
<b>Middle East Institute</b> 1761 N Street, NW Washington, D.C. 20036-2882 <i>For its Middle East Dialogue.</i>	PC	\$0	\$150,000	\$0	\$75,000	\$75,000
<b>Middle East Policy Network, Inc.</b> P.O. Box 9613 Washington, D.C. 20016-9997 <i>For general support.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0
<b>Mikro Art</b> Dusina 34/6 Belgrade, 11000 Serbia <i>For general support.</i>	PC	\$0	\$90,000	\$0	\$45,000	\$45,000
<b>MOLAD-The Center for the Renewal of Democracy</b> Lloyd George 6 Jerusalem, 9311006 Israel <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000
<b>Mothers Out Front</b> 30 Bow Street Cambridge, MA 02138 <i>For its Mothers Out Front Expansion Toolkit.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>Mountain Association for Community Economic Development</b> 433 Chestnut Street Berea, KY 40403 <i>For its HowSmartKY project.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>Moving Theater</b> FDR Station, P.O. Box 1449 New York, NY 10150 <i>For general support.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0
<b>NAACP Legal Defense and Educational Fund, Inc.</b> 40 Rector Street, 5th Floor New York, NY 10006 <i>For its 2016 Voter Protection Initiative.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
<b>National Institute on Money in State Politics</b> 833 N. Last Chance Gulch 2nd Floor Helena, MT 59601 <i>For general support.</i>	PC	\$0	\$200,000	\$0	\$100,000	\$100,000
<b>National Iranian American Council</b> 1411 K Street NW, Suite 250 Washington, D.C. 20005 <i>For its Losing an Enemy - Obama, Iran, and the Legacy of Diplomacy book project.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
<b>National Parks of New York Harbor Conservancy</b> Federal Hall National Memorial 26 Wall Street, 3rd Floor New York, NY 10005 <i>For its Federal Hall project.</i>	PC	\$0	\$35,000	\$0	\$35,000	\$0

<b>National Wildlife Federation</b> 11100 Wildlife Center Drive Reston, VA 20190-5362 <i>For its Northeast Campaign for Atlantic Offshore Wind Power project.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
<b>Natural Capitalism Solutions, Inc.</b> 11823 N. 75th Street Longmont, CO 80503 <i>For its Presidential Climate Action project.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0
<b>Natural Capitalism Solutions, Inc.</b> 11823 N. 75th Street Longmont, CO 80503 <i>For its project, the Clean Coalition.</i>	PC	\$0	\$65,000	\$0	\$65,000	\$0
<b>Natural Resources Defense Council, Inc.</b> 40 West 20th Street New York, NY 10011 <i>For its efforts to curb emissions from ports and shipping.</i>	PC	\$250,000	\$0	\$0	\$250,000	\$0
<b>Nebraska Easement Action Team, Inc.</b> 1010 North Denver Avenue Hastings, NE 68901 <i>For its education and engagement work on the impact of economic integration agreements.</i>	PC	\$0	\$40,000	\$0	\$40,000	\$0
<b>NEO Philanthropy, Inc.</b> 45 West 36th Street, 6th Floor New York, NY 10018 <i>For membership in and general support of its project, the Funders' Committee for Civic Participation.</i>	PC	\$0	\$41,700	\$0	\$22,200	\$19,500
<b>The Network for the Affirmation of the NGO Sector</b> Dalmatinska 188 Podgorica, 81000 Montenegro <i>For general support.</i>	PC	\$25,000	\$0	\$0	\$25,000	\$0
<b>New America Foundation</b> 740 15th Street, NW Washington, D.C. 20005 <i>For its U.S.-Iran Initiative.</i>	PC	\$0	\$150,000	\$0	\$75,000	\$75,000
<b>New Art Publications, Inc.</b> 80 Hanson Place, Suite 703 Brooklyn, NY 11217 <i>For a study of the organization's programmatic, staffing, and space needs.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>New Energy Economy, Inc.</b> 343 East Alameda Street Santa Fe, NM 87501-2229 <i>For its Young Climate Leaders Network project.</i>	PC	\$0	\$20,000	\$0	\$20,000	\$0
<b>New Israel Fund</b> 6 East 39th Street, Suite 301 New York, NY 10016-0112 <i>For its research and report on anti-Semitism on U.S. campuses.</i>	PC	\$0	\$9,000	\$0	\$9,000	\$0
<b>The New Press, Inc.</b> 120 Wall Street, 31st Floor New York, NY 10005 <i>For the marketing, publicity, and outreach activities of three or more New Press titles on issues of democracy, including money in politics.</i>	PC	\$0	\$75,000	\$0	\$30,000	\$45,000

<b>The New School</b> 79 Fifth Avenue, 17th Floor New York, NY 10003	PC	\$0	\$10,000	\$0	\$10,000	\$0
<i>For its conference, Rules, Rights, and Resistance: The Battle over the TPP and TTIP Mega-regional Trade Agreements.</i>						
<b>New Venture Fund</b> 1201 Connecticut Avenue, NW, Suite 300 Washington, D.C. 20036 <i>For its project, The Pluribus Project.</i>	PC	\$100,000	\$0	\$0	\$100,000	\$0
<b>New Venture Fund</b> 1201 Connecticut Avenue, NW, Suite 300 Washington, D.C. 20036 <i>For its Climate Interactive Scoreboard project.</i>	PC	\$25,000	\$0	\$0	\$25,000	\$0
<b>New Venture Fund</b> 1201 Connecticut Avenue, NW, Suite 300 Washington, D.C. 20036 <i>For its PACENow project.</i>	PC	\$150,000	\$0	\$0	\$150,000	\$0
<b>New Venture Fund</b> 1201 Connecticut Avenue, NW, Suite 300 Washington, D.C. 20036 <i>For its Climate Mobilization Fund project.</i>	PC	\$0	\$35,000	\$0	\$35,000	\$0
<b>New Venture Fund</b> 1201 Connecticut Avenue, NW, Suite 300 Washington, D.C. 20036 <i>For its Youth Engagement Fund.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
<b>New Venture Fund</b> 1201 Connecticut Avenue, NW, Suite 300 Washington, D.C. 20036 <i>For its Fossil Fuel Reduction project.</i>	PC	\$0	\$300,000	\$0	\$300,000	\$0
<b>New Venture Fund</b> 1201 Connecticut Avenue, NW, Suite 300 Washington, D.C. 20036 <i>For its Redistricting Reform project.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
<b>New Venture Fund</b> 1201 Connecticut Avenue, NW, Suite 300 Washington, D.C. 20036 <i>For its project, The Partnership Fund.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
<b>New Venture Fund</b> 1201 Connecticut Avenue, NW, Suite 300 Washington, D.C. 20036 <i>For its Climate Interactive Scoreboard project.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>New Venture Fund</b> 1201 Connecticut Avenue, NW, Suite 300 Washington, D.C. 20036 <i>For its Rapid Response Lab pilot program.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
<b>New Woman Foundation</b> 14 Abd El Moneem Sanad Street Mohandeseen Cairo Egypt <i>For its Egyptian Youth and Social Change project.</i>	PC	\$0	\$54,000	\$0	\$54,000	\$0

<b>New York City Ballet, Inc.</b> David H. Koch Theater 20 Lincoln Center Plaza New York, NY 10023	PC	\$0	\$30,000	\$0	\$30,000	\$0
<i>For a Pocantico Center residency for New York City Ballet dancers and choreographer, and for the cost of their participation at the International Ballet Festival of Havana.</i>						
<b>New York City Ballet, Inc.</b> David H. Koch Theater 20 Lincoln Center Plaza New York, NY 10023	PC	\$0	\$30,000	\$0	\$30,000	\$0
<i>For a Pocantico Center residency for New York City Ballet dancers and choreographer, and for the cost of their participation at the International Ballet Festival of Havana.</i>						
<b>New York City Center, Inc.</b> 130 West 56th Street New York, NY 10019	PC	\$0	\$100,000	\$0	\$100,000	\$0
<i>For the new production of Cabin in the Sky, a classical musical celebrating the African American experience, as part of its 2016 Encores! series.</i>						
<b>New York City Energy Efficiency Corporation</b> 1359 Broadway, 19th Floor New York, NY 10018	PC	\$0	\$100,000	\$0	\$100,000	\$0
<i>For general support.</i>						
<b>New York City H2O, Inc.</b> 410 East 6th Street Suite 21F New York, NY 10009	PC	\$0	\$25,000	\$0	\$25,000	\$0
<i>For resiliency and sustainability planning for the Ridgewood Reservoir.</i>						
<b>The New York Community Trust</b> 909 Third Avenue, 22nd Floor New York, NY 10022	PC	\$0	\$100,000	\$0	\$100,000	\$0
<i>For its New York City Cultural Agenda Fund.</i>						
<b>New York Foundation for the Arts, Inc.</b> 20 Jay Street, Suite 740 Brooklyn, NY 11201	PC	\$0	\$25,000	\$0	\$25,000	\$0
<i>For its project, the New York Performance Artists Collective.</i>						
<b>New York Foundation for the Arts, Inc.</b> 20 Jay Street, Suite 740 Brooklyn, NY 11201	PC	\$0	\$50,000	\$0	\$50,000	\$0
<i>For its project, Nuevo New York.</i>						
<b>New York Foundation for the Arts, Inc.</b> 20 Jay Street, Suite 740 Brooklyn, NY 11201	PC	\$0	\$60,000	\$0	\$60,000	\$0
<i>For its professional development program.</i>						
<b>New York Live Arts, Inc.</b> 219 West 19th Street New York, NY 10011	PC	\$0	\$50,000	\$0	\$50,000	\$0
<i>For its project, The Black Iris Project.</i>						
<b>New York Live Arts, Inc.</b> 219 West 19th Street New York, NY 10011	PC	\$0	\$15,000	\$0	\$15,000	\$0
<i>For its project, The Black Iris Project.</i>						

<b>New York University</b> 25 West Fourth Street New York, NY 10012 <i>For its Leadership Initiative's Social Sector Leadership Diversity Fellowship.</i>	PC	\$25,000	\$0	\$0	\$25,000	\$0
<b>New York University</b> 25 West Fourth Street New York, NY 10012 <i>For its Hemispheric Institute of Performance and Politics program, Hemispheric Access: Building Capacity for Born-Digital Art and Scholarship.</i>	PC	\$0	\$100,000	\$0	\$71,346	\$28,654
<b>New York University</b> 25 West Fourth Street New York, NY 10012 <i>For its Center on International Cooperation's Afghanistan-Pakistan Regional Project.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>Research Foundation of the City University of New York</b> 240 West 41st Street, 7th Floor New York, NY 10036 <i>For its Murphy Institute's International Program for Labor, Climate, and Environment.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
<b>Nine Seven Two-Advancement of Citizen Journalism</b> 40 Shderot Chen Street Tel Aviv, 64167 Israel <i>For general support.</i>	PC	\$0	\$120,000	\$0	\$60,000	\$60,000
<b>The Niskanen Center, Inc.</b> 820 First Street, NE Suite 675 Washington, D.C. 20002 <i>For its climate program.</i>	PC	\$0	\$70,000	\$0	\$70,000	\$0
<b>Nonprofit Coordinating Committee of New York, Inc.</b> 135 West 36th Street, 15th Floor New York, NY 10018 <i>For general support for 2016 and 2017.</i>	PC	\$0	\$3,000	\$0	\$1,500	\$1,500
<b>North American Congress on Latin America, Inc.</b> 53 Washington Square South, Floor 4W New York, NY 10012 <i>For work related to a special initiative on U.S. economic integration (free trade) policies and Latin America.</i>	PC	\$0	\$15,000	\$0	\$15,000	\$0
<b>Oberlin College</b> 173 West Lorain Street Oberlin, OH 44074-1089 <i>For its Next Economy conference.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
<b>The Ocean Conservancy, Inc.</b> 1300 19th Street, NW 8th Floor Washington, D.C. 20036 <i>For its work on ocean acidification.</i>	PC	\$0	\$370,000	\$0	\$195,000	\$175,000
<b>Oil Change International</b> 714 G Street, SE Suite 202 Washington, D.C. 20003 <i>For general support.</i>	PC	\$0	\$400,000	\$0	\$380,308	\$19,692
<b>openDemocracy Limited</b> The Print House 18 Ashwin Street London, E8 3DL United Kingdom <i>For its openDemocracy.net and Arab Awakening projects.</i>	PC	\$0	\$20,000	\$0	\$20,000	\$0

<b>Optimist Association</b> Marsala Tita bb Bosilegrad Serbia <i>For its project, Feel the Philanthropy.</i>	PC	\$0	\$60,000	\$0	\$30,000	\$30,000
<b>Organization for Social Innovation Association for Development of New Options</b> Skopje, 1000 Macedonia <i>For its project, Philanthropy for Green Ideas: Macedonia 2016.</i>	PC	\$0	\$60,000	\$0	\$60,000	\$0
<b>OTTOmy</b> Rrrugae Elbanasit, pall. ABAU Sh. 1, kati 5, nr. 10 Tirana, 0001 Albania <i>For general support.</i>	PF	\$0	\$30,000	\$0	\$30,000	\$0
<b>Our Children's Trust</b> P.O. Box 5181 Eugene, OR 97405 <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>University of Oxford</b> Oxford, OX2 6JF United Kingdom <i>For its support to the Forum on Health, Environment, and Development.</i>	PC	\$0	\$700,000	\$0	\$300,000	\$400,000
<b>Pacific News Service dba New America Media</b> 209 9th Street, Suite 200 San Francisco, CA 94103 <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>Partners Albania for Change and Development</b> Rruga Sulejman Delvina, N. 18, H. 8, Ap. 12 Tirana, 1001 Albania <i>For general support.</i>	PC	\$0	\$110,000	\$0	\$60,000	\$50,000
<b>Partnership for Public Service, Inc.</b> 1100 New York Avenue, NW Suite 200 East Washington, D.C. 20005 <i>For a conference at The Pocantico Center, Ready to Govern: Preparing to Lead from Day One.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
<b>Partnership for Responsible Growth, Inc.</b> 1133 19th Street, NW, 3rd Floor Washington, D.C. 20036 <i>For two meetings on curbing emissions in North America.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>Peace Direct</b> 302 Mare Street Studios 203-213 Mare Street London, E8 3QE United Kingdom <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>Performance Space 122, Inc.</b> 67 West Street, Unit 315 Brooklyn, NY 11222 <i>For the Artistic Growth Fund of its Give Performance Space campaign.</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000
<b>Performance Zone, Inc.</b> 75 Maiden Lane, Suite 906 New York, NY 10038 <i>For its project, Cherylyn Lavagnino Dance.</i>	PC	\$0	\$10,000	\$0	\$10,000	\$0

<b>Philanthropy for Active Civic Engagement</b> 1201 15th Street, NW Suite 420 Washington, D.C. 20005 <i>For 2016 and 2017 membership and general support.</i>	PC	\$0	\$73,000	\$0	\$36,550	\$36,450
<b>Philanthropy New York, Inc.</b> 1500 Broadway, 7th Floor New York, NY 10036 <i>For general support for 2016 and 2017.</i>	PC	\$0	\$39,500	\$0	\$39,500	\$0
<b>Pioneer Works Art Foundation</b> 159 Pioneer Street Brooklyn, NY 11231 <i>For New York City's first virtual reality lab for artists.</i>	PC	\$0	\$270,000	\$0	\$100,000	\$170,000
<b>PL4Y International</b> 126 rue l'Ouest Paris, 75014 France <i>For general support.</i>	PC	\$0	\$30,000	\$0	\$30,000	\$0
<b>Polaris Institute USA</b> 2235 Lakeshore Road P.O. Box 106 Essex, NY 12936 <i>For its project, the Our World Is Not For Sale network, for its work related to the World Trade Organization.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
<b>Trustees of Princeton University</b> Office of Research and Project Administration 87 Prospect Avenue, P.O. Box 36 Princeton, NJ 08544  <i>For its Program on Science and Global Security at the Woodrow Wilson School of Public and International Affairs</i>	PC	\$0	\$95,000	\$0	\$95,000	\$0
<b>Prison Policy Initiative, Inc.</b> 69 Garfield Avenue, 1st Floor Easthampton, MA 01027 <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000
<b>The Project on Government Oversight, Inc.</b> 1100 G Street, NW Suite 500 Washington, D.C. 20005 <i>For general support.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0
<b>The Project on Middle East Democracy, Inc.</b> 1611 Connecticut Avenue, NW Suite 300 Washington, D.C. 20036 <i>For its Egypt-related activities.</i>	PC	\$0	\$200,000	\$0	\$200,000	\$0
<b>Proteus Fund, Inc.</b> 15 Research Drive, Suite B Amherst, MA 01002 <i>For its initiative, the Piper Fund.</i>	PC	\$125,000	\$0	\$0	\$125,000	\$0
<b>Proteus Fund, Inc.</b> 15 Research Drive, Suite B Amherst, MA 01002 <i>For its project, Solidaire.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
<b>Public Citizen Foundation, Inc.</b> 1600 20th Street, NW Washington, D.C. 20009 <i>For its work with the Corporate Reform Coalition.</i>	PC	\$0	\$110,000	\$0	\$60,000	\$50,000

<b>Public Citizen Foundation, Inc.</b> 1600 20th Street, NW Washington, D.C. 20009 <i>For its Global Trade Watch program's work related to the Trans Pacific Partnership.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
<b>Public Policy and Education Fund of New York, Inc.</b> 94 Central Avenue Albany, NY 12206 <i>For its project, Fair Elections for New York.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
<b>Rainforest Action Network</b> 425 Bush Street San Francisco, CA 94108 <i>For its Climate and Energy program.</i>	PC	\$0	\$150,000	\$0	\$75,000	\$75,000
<b>Reformed Church in America dba Intersections</b> 145 West 28th Street, 11th Floor New York, NY 10001 <i>For its Declaration Initiative Working Group seminar in March 2016.</i>	PC	\$0	\$35,000	\$0	\$35,000	\$0
<b>The Refugee Center Online</b> 4017 NE 67th Avenue Portland, OR 97213 <i>For general support.</i>	PC	\$0	\$30,000	\$0	\$30,000	\$0
<b>Represent.Us Education Fund</b> P.O. Box 60008 Florence, MA 01062 <i>For general support.</i>	PC	\$0	\$175,000	\$0	\$75,000	\$100,000
<b>RES Foundation</b> 3A Zmaja od Nocaja St. Belgrade, 11000 Serbia <i>For general support.</i>	PF	\$0	\$60,000	\$0	\$60,000	\$0
<b>Residency Unlimited, Inc.</b> 360 Court Street, Unit 4 Brooklyn, NY 11231 <i>For artist residencies and to support a part-time development director.</i>	PC	\$0	\$120,000	\$0	\$30,000	\$90,000
<b>Resource Media A Nonprofit Corporation</b> 600 Stewart Street, Suite 1201 Seattle, WA 98101 <i>For its project to support the International Alliance to Combat Ocean Acidification.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
<b>Restaurant Opportunities Centers United - ROC United, Inc.</b> 275 Seventh Avenue, Suite 1703 New York, NY 10001 <i>For its project, Inserting Money in Politics in a One Fair Wage Campaign.</i>	PC	\$0	\$15,000	\$0	\$15,000	\$0
<b>Jerome Robbins Foundation</b> 156 West 56th Street, Suite 900 New York, NY 10019 <i>For its 2016 Floria V. Lasky Symposium.</i>	PF	\$0	\$15,000	\$0	\$15,000	\$0
<b>Robin Hood Foundation</b> 826 Broadway, 9th Floor New York, NY 10003 <i>For its project, the Billion Dollar Challenge.</i>	PC	\$0	\$100,000	\$0	\$25,000	\$75,000

<b>Rockefeller Philanthropy Advisors</b> 6 West 48th Street, 10th Floor New York, NY 10036 <i>For its project, the D5 Initiative.</i>	PC	\$89,441	\$0	\$0	\$50,000	\$39,441
<b>Rockefeller Philanthropy Advisors</b> 6 West 48th Street, 10th Floor New York, NY 10036 <i>For its Climate Nexus project.</i>	PC	\$0	\$400,000	\$0	\$400,000	\$0
<b>Rose Foundation for Communities and the Environment</b> 1970 Broadway, Suite 600 Oakland, CA 94612 <i>For its California Business Alliance for a Clean Economy project.</i>	PC	\$0	\$54,000	\$0	\$54,000	\$0
<b>San Francisco Chamber of Commerce Foundation</b> 235 Montgomery Street, 7th Floor San Francisco, CA 94104  <i>For its Chambers for Innovation and Clean Energy project.</i>	PC	\$0	\$200,000	\$0	\$100,000	\$100,000
<b>Scenic Hudson, Inc.</b> One Civic Center Plaza Suite 200 Poughkeepsie, NY 12601 <i>For its Permanently Protecting the Palisades project.</i>	PC	\$0	\$15,000	\$0	\$15,000	\$0
<b>Search for Common Ground</b> 1601 Connecticut Avenue, NW Suite 200 Washington, D.C. 20009-1035 <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>Seventh Generation Advisors</b> 1223 Wilshire Boulevard, Suite 776 Santa Monica, CA 90403 <i>For its work with the R20 Regions of Climate Action.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
<b>Shen Wei Dance Arts, Inc.</b> 165 West 86th Street Fourth Floor New York, NY 10024 <i>For a new work to premiere at the Brooklyn Academy of Music during the 2016 Next Wave Festival.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>Sierra Club Foundation</b> 2010 Webster Street Suite 1250 Oakland, CA 94612 <i>For its Building Support for Responsible Trade project.</i>	PC	\$0	\$200,000	\$0	\$100,000	\$100,000
<b>Sierra Club Foundation</b> 2010 Webster Street Suite 1250 Oakland, CA 94612 <i>For its Campaign to Reduce Greenhouse Gas Emissions from Oil Refineries.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>Sierra Club Foundation</b> 2010 Webster Street Suite 1250 Oakland, CA 94612 <i>For its International Financial Institution Reform project.</i>	PC	\$0	\$147,000	\$0	\$147,000	\$0
<b>Social Good Fund, Inc.</b> 6641 Aqua Vista Court Richmond, CA 94805 <i>For its Net-Zero Energy Coalition project.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0

<b>South Centre</b> Ch. du Champ d'Anier 17 P.O. Box 228 Geneva, 1211 Switzerland <i>For general support.</i>	PC	\$200,000	\$0	\$0	\$200,000	\$0
<b>Southern Coalition for Social Justice</b> 1415 West Highway 54, Suite 101 Durham, NC 27707 <i>For general support.</i>	PC	\$0	\$200,000	\$0	\$100,000	\$100,000
<b>Spaceworks NYC, Inc.</b> 540 President Street, Unit 2E Brooklyn, NY 11215 <i>For the development of long-term, affordable artist work spaces.</i>	PC	\$0	\$150,000	\$0	\$75,000	\$75,000
<b>Spanish Theatre Repertory Company, Ltd.</b> 138 East 27th Street New York, NY 10016 <i>For the staging and production of three plays written and directed by Latinos as part of its 50th anniversary season.</i>	PC	\$0	\$150,000	\$0	\$100,000	\$50,000
<b>Stand</b> 1 Haight Street San Francisco, CA 94102 <i>For its Business Ethics Network project.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0
<b>Stand</b> 1 Haight Street San Francisco, CA 94102 <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000
<b>Stichting European Climate Foundation</b> Riviervismarkt 5 The Hague, 2513 AM Netherlands <i>For the Pooled Fund for International Energy.</i>	PC	\$100,000	\$0	\$0	\$100,000	\$0
<b>Stichting The Rights Forum</b> Gatwickstraat 11 Amsterdam, 1043 GL The Netherlands <i>For general support.</i>	PC	\$0	\$40,000	\$0	\$40,000	\$0
<b>Sustainability Accounting Standards Board</b> 1045 Sansome Street, Suite 450 San Francisco, CA 94111 <i>For general support.</i>	PC	\$0	\$150,000	\$0	\$60,000	\$90,000
<b>Sustainable Markets Foundation</b> 45 West 36th Street, 6th Floor New York, NY 10018-7635 <i>For its Global Warming Legal Action Project.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
<b>The Synergos Institute, Inc.</b> 3 East 54th Street, 14th Floor New York, NY 10022 <i>To advance philanthropic exchanges between China and the world.</i>	PC	\$0	\$50,000	\$0	\$29,000	\$21,000
<b>The Tahrir Institute for Middle East Policy</b> 1140 Connecticut Avenue, NW Suite 505 Washington, D.C. 20036 <i>For its Egypt work.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0

<b>Take Back Our Republic, Inc.</b> 246 E. Glenn Avenue Auburn, AL 36830 <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>Paul Taylor Dance Foundation, Inc.</b> 551 Grand Street New York, NY 10002 <i>For Paul Taylor's American Modern Dance.</i>	PC	\$75,000	\$0	\$0	\$75,000	\$0
<b>The Telos Group</b> P.O. Box 33248 Washington, D.C. 20033-3248 United States <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>University of Texas Foundation</b> 1601 Rio Grande Street Suite 360 Austin, TX 78701 <i>For a research project on the history of the Forest Stewardship Council.</i>	PC	\$0	\$30,000	\$0	\$30,000	\$0
<b>Texas Property Assessed Clean Energy Authority</b> 98 San Jacinto Boulevard, Suite 1900 Austin, TX 78701 <i>For general support.</i>	PC	\$25,000	\$0	\$0	\$25,000	\$0
<b>Texas Property Assessed Clean Energy Authority</b> 98 San Jacinto Boulevard, Suite 1900 Austin, TX 78701 <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000
<b>Third World Network Berhad</b> 131 Jalan Macalister Road Penang, 10400 Malaysia <i>For general support.</i>	PC	\$200,000	\$0	\$0	\$200,000	\$0
<b>Third World Network Berhad</b> 131 Jalan Macalister Road Penang, 10400 Malaysia <i>For convening a meeting of climate policy NGOs, campaign groups, and social movement representatives to assess the outcomes of the 2015 Paris Agreement and identify future strategy on climate change.</i>	PC	\$0	\$30,000	\$0	\$30,000	\$0
<b>Third World Network Berhad</b> 131 Jalan Macalister Road Penang, 10400 Malaysia <i>For coordination of the People's Strategy Meeting on the Regional Comprehensive Economic Partnership (RCEP).</i>	PC	\$0	\$15,000	\$0	\$15,000	\$0
<b>Third World Network-Africa</b> 9 Asmara Street, East Legon P.O. Box 19452 Accra Ghana <i>For general support.</i>	PC	\$0	\$200,000	\$0	\$100,000	\$100,000
<b>Tides Center</b> P.O. Box 29907 San Francisco, CA 94129-0907 <i>For its Palestine Legal project.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>Times Square District Management Association</b> 1560 Broadway Suite 800 New York, NY 10036 <i>For its Public Art Program.</i>	PC	\$0	\$170,000	\$0	\$170,000	\$0

<b>Tomorrow Foundation for a Sustainable Future</b> 103-10535 78 Avenue NW Edmonton, Alberta T6E1P3 Canada <i>For its Broadbent Institute project.</i>	PF	\$0	\$12,000	\$0	\$12,000	\$0
<b>Trag Foundation</b> Djevdjelijska 19 Belgrade-Zvezdara, 106502 Serbia <i>For general support.</i>	PC	\$0	\$200,000	\$0	\$100,000	\$100,000
<b>Trag Foundation</b> Djevdjelijska 19 Belgrade-Zvezdara, 106502 Serbia <i>For its Civil Society House project in Serbia.</i>	PC	\$0	\$150,000	\$0	\$150,000	\$0
<b>Troja Group</b> Rr. 24 Maji, Arberi p.n. Pristina, 10000 Kosovo <i>For its project, Speak Up!</i>	PF	\$30,000	\$0	\$0	\$30,000	\$0
<b>T'ruah</b> 266 West 37th Street, Suite 803 New York, NY 10018 <i>For its project with Breaking the Silence.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>U.S. Green Building Council, New York Chapter</b> 55 Broad Street, 9th Floor New York, NY 10004 <i>For general support.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
<b>United Nations</b> 1 United Nations Plaza New York, NY 10017 <i>For the United Nations Development Programme's Egypt Network for Integrated Development program.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0
<b>Universities Allied for Essential Medicines Corp.</b> 641 S Street, NW Washington, D.C. 20001  <i>For its work on trade agreements and access to medicines.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
<b>Urban Justice Center</b> 123 William Street, 16th Floor New York, NY 10038 <i>For its Iraqi Refugee Assistance project.</i>	PC	\$75,000	\$0	\$0	\$75,000	\$0
<b>urgewald</b> Von-Galen Str. 4 Sassenberg, 48336 Germany <i>For its project on international financial institutions and fossil fuels.</i>	PC	\$0	\$30,000	\$0	\$30,000	\$0
<b>Virginia Organizing, Inc.</b> 703 Concord Avenue Charlottesville, VA 22903 <i>For its project, the Health and Environmental Funders Network.</i>	PC	\$0	\$1,500	\$0	\$1,500	\$0
<b>Visualizing Impact</b> Matta Building 204 226 Makdissi Street, Hamra Beirut, 2034 3511 Lebanon <i>For general support.</i>	PC	\$0	\$30,000	\$0	\$15,000	\$15,000
<b>VoteRunLead</b> 1103 Missouri Avenue Duluth, MN 55811 <i>For general support.</i>	PC	\$100,000	\$0	\$0	\$100,000	\$0

<b>Who Profits Research Center</b> P.O. Box 1084 Tel Aviv, 6101001 Israel <i>For general support.</i>	PF	\$0	\$25,000	\$0	\$25,000	\$0
<b>Winrock International Institute for Agricultural Development, Inc.</b> 2101 Riverfront Drive Little Rock, AR 72202 <i>For an environmental project in Central Asia.</i>	PC	\$0	\$40,000	\$0	\$40,000	\$0
<b>Women and Memory Forum</b> 12 Sulaiman Abaza Street Dokki Giza Egypt <i>For the production of promotional materials about the history of women's activism in Egypt.</i>	PF	\$71,500	\$0	\$0	\$71,500	\$0
<b>World Affairs Councils of America</b> 1200 18th Street, NW Suite 902 Washington, D.C. 20036 <i>For its Engage America Series.</i>	PC	\$0	\$40,000	\$0	\$40,000	\$0
<b>World Green Building Council, Inc.</b> 5 Shoreham Drive Toronto, ON M3N 1S4 Canada <i>For its Advancing Net Zero Buildings workshop.</i>	PC	\$0	\$40,000	\$0	\$40,000	\$0
<b>World Resources Institute</b> 10 G Street, NE Washington, D.C. 20002 <i>For its Sustainable Finance Center.</i>	PC	\$0	\$150,000	\$0	\$150,000	\$0
<b>Zhongshan University Education Development Foundation</b> Second Floor, No. 333, Northeast District of Sun Yat-sen University No. 135 Xingang West Road Guangzhou, Guangdong China <i>For its School of Philanthropy.</i>	PF	\$180,000	\$0	\$0	\$180,000	\$0
<b>Zhongshan University Education Development Foundation</b> Second Floor, No. 333, Northeast District of Sun Yat-sen University No. 135 Xingang West Road Guangzhou, Guangdong China <i>For its School of Philanthropy.</i>	PF	\$0	\$150,000	\$0	\$150,000	\$0
<b>Grand Total</b>		\$8,016,514	\$28,867,801	\$0	\$30,074,951	\$6,809,364
<b>Rounding Difference</b>		(\$1)	\$0	\$0	\$1	(\$2)
<b>Per G/L @ 12/31/16</b>		\$ 8,016,513	\$ 28,867,801	\$ -	\$ 30,074,952	\$ 6,809,362
<b>2016 Employee Matching Gifts (see following attached schedule)</b>					\$ 44,886	
					<b>\$30,119,838</b>	
						<b>\$6,809,362</b>

**Key to Tax Status**

PF	Private non-operating foundation, U.S.-based or foreign equivalent
POF	Private operating foundation, U.S.-based or foreign equivalent
EOF	Exempt operating foundation (section 4940(d))
PC	Public charity as described in section 509(a)(1) or (2), U.S.-based or foreign equivalent

- GOV Domestic or foreign government
- SO-DP Type I,II, or II functionally integrated supporting organization if a disqualified person of the private foundation controls the supporting organization or a supported organization (sections 509(a)(3) and 4942(g)4))
- SO I Type I supporting organization (sections 509(a)(3) and sections 509(a)(3)(B)(i) other than an SO-DP
- SO II Type II supporting organization (sections 509(a)(3) and sections 509(a)(3)(B)(ii) other than an SO-DP
- SO III Functionally integrated Type III supporting organization (sections 509(a)(3), sections 509(a)(3)(B)(iii), and 4943(f)(5)(B) other than an SO-DP
- SO III Non-functionally integrated Type III supporting organization (sections 509(a)(3), sections 509(a)(3)(B)(iii), and 4943(f)(5)(B) other than an SO-DP
- TPS Testing for public safety organization (section 509(a)(4))
- NC Organization not otherwise classified
- I Individual

**ROCKEFELLER BROTHERS FUND, INC.**

13-1760106

475 Riverside Drive, Suite 900

New York, New York 10115

SCHEDULE 13

FORM 990PF

YEAR 2016

**DETAIL OF EMPLOYEE MATCHING GIFTS***The Rockefeller Brothers Fund makes matching grants only to U.S. publicly supported organizations.*

Date of Gift	Organization	Matching Gift Amount
1/28/2016	Bronx Museum of the Arts	3,750
1/28/2016	Stone Barns Center For Food & Agriculture	90
2/3/2016	Trinity School	2,000
2/10/2016	The Field	200
3/2/2016	American Cancer Society	100
3/2/2016	International Rescue Committee	1,000
3/2/2016	Iyengar Yoga Association Of Greater New York	100
3/2/2016	Multidisciplinary Association for Psychedelic Studies	100
3/2/2016	Pet Alliance of Greater Orlando	40
3/2/2016	Regis High School	8,000
3/2/2016	The Linnaean Society Of New York	50
3/2/2016	Tim Keyes Consort	1,000
3/10/2016	Iyengar Yoga Association Of Greater New York	100
3/28/2016	Children's Chorus Of Washington	100
3/28/2016	New York Public Interest Research Group Fund, Inc.	350
4/13/2016	Center for Hope Hospice	450
4/13/2016	Friends of Caritas Cubana	1,000
4/13/2016	Kids From Wisconsin	200
5/11/2016	Brooklyn Botanic Garden	50
5/11/2016	Heifer International	50
5/11/2016	The Victoria Snelgrove Memorial Fund	100
5/11/2016	Westchester County Historical Society	40
5/11/2016	Wikimedia Foundation	20
5/18/2016	March of Dimes	25
5/25/2016	Museum of Chinese in America	209
5/25/2016	Stone Educational Systems, Inc	4,000
6/8/2016	Westchester County Historical Society	150
6/8/2016	The Asia Society	1,000
6/14/2016	Brooklyn Museum	60
6/14/2016	Chester Theatre Group	292
6/20/2016	CAAAV Organizing Asian Communities	200
6/30/2016	Bronx River Alliance	350
7/27/2016	OXFAM America	200
8/17/2016	Byrd Hoffman Water Mill Foundation	1,000
8/17/2016	Chashama	500
8/17/2016	Eco Art Project, Inc.	150
8/17/2016	George Street Playhouse, Inc	100
8/17/2016	WNET	150
8/31/2016	New York City Audubon Society, Inc	50
8/31/2016	Virtue Foundation	180
9/21/2016	La Escuelita	100
9/21/2016	National MS Society-Greater New England Chapter	54
9/21/2016	Legion of Good Will	50
10/5/2016	La Escuelita	100
10/5/2016	Uprose Inc	400
10/5/2016	World Food Program USA	100
10/12/2016	National Mobilization Against Sweatshops	5,600
10/27/2016	American Heart Association	100
10/27/2016	Insight Meditation Society	40
10/27/2016	Proteus Fund Inc.	106
10/27/2016	Works And Process, Inc.	1,150
11/14/2016	Asian Cultural Council	500
11/14/2016	PS 75 Parent Teachers Association, Ltd.	1,000
11/14/2016	The Linnaean Society Of New York	35
11/29/2016	Historic Hudson River Towns	125

12/20/2016	Fund For The City Of New York, Inc.	1,000
12/20/2016	I Have A Dream Foundation	209
12/20/2016	Saddle River Day School	350
12/20/2016	Rising Tide Capital	1,500
12/31/2016	A Place for Kids	200
12/31/2016	Boston Latin School Association	200
12/31/2016	Champlain Valley Office of Economic Opportunity	250
12/31/2016	Cool Culture, Inc.	500
12/31/2016	Doctors Without Borders	1,000
12/31/2016	Doctors Without Borders	100
12/31/2016	First Step Big Step	(100)
12/31/2016	Friends of Ikamva Labantu	100
12/31/2016	Hudson River Museum	750
12/31/2016	Memorial Sloan Kettering Cancer Center	25
12/31/2016	Ossining Children's Center	100
12/31/2016	Pro Publica	100
12/31/2016	Prospect Park Alliance	35
12/31/2016	The Food Bank for Westchester	100
12/31/2016	The Nature Conservancy	100
12/31/2016	The Packer Collegiate Institute	200
12/31/2016	Transportation Alternatives	50
12/31/2016	Trinity School	1,000
12/31/2016	Untermeyer Gardens Conservancy	100
12/31/2016	Woodlawn Conservancy, Inc.	50

**Total Employee Matching Gifts**

**44,886**