

Form **990-PF**

**Return of Private Foundation  
or Section 4947(a)(1) Trust Treated as Private Foundation**

OMB No. 1545-0052

**2015**

Department of the Treasury  
Internal Revenue Service

▶ **Do not enter social security numbers on this form as it may be made public.**  
▶ Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

**Open to Public Inspection**

**For calendar year 2015, or tax year beginning , 2015, and ending**

Name of foundation <b>Rockefeller Brothers Fund, Inc.</b>		<b>A</b> Employer identification number 13-1760106
Number and street (or P.O. box number if mail is not delivered to street address) <b>475 Riverside Drive</b>	Room/suite 900	<b>B</b> Telephone number (see instructions) (212) 812-4200
City or town, state or province, country, and ZIP or foreign postal code <b>New York NY 10115</b>		<b>C</b> If exemption application is pending, check here. ▶ <input type="checkbox"/>
<b>G</b> Check all that apply:		<b>D</b> 1 Foreign organizations, check here . . . . . ▶ <input type="checkbox"/>
<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity	2 Foreign organizations meeting the 85% test, check here and attach computation . . . . . ▶ <input type="checkbox"/>
<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return	<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here . . . . ▶ <input type="checkbox"/>
<input type="checkbox"/> Address change	<input type="checkbox"/> Name change	<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here . . . . ▶ <input type="checkbox"/>
<b>H</b> Check type of organization:		
<input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation		
<input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust		
<input type="checkbox"/> Other taxable private foundation		
<b>I</b> Fair market value of all assets at end of year (from Part II, column (c), line 16) ▶ \$ <b>859,466,256.</b>	<b>J</b> Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	

<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>R E V E N U E</b>	<b>1</b> Contributions, gifts, grants, etc. received (attach schedule) . . . . .	9,268,575.			
	<b>2</b> Ck ▶ <input type="checkbox"/> if the foundation is not required to attach Sch B				
	<b>3</b> Interest on savings and temporary cash investments . . . . .	1,373,272.	1,373,272.		
	<b>4</b> Dividends and interest from securities . . . . . <i>Sch. 1B</i>	5,600,270.	15,257,349.		
	<b>5a</b> Gross rents . . . . .				
	<b>b</b> Net rental income or (loss) . . . . .				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10 . . . . .	-1,656,400.			
	<b>b</b> Gross sales price for all assets on line 6a . . . . . <b>740,324,448.</b>				
	<b>7</b> Capital gain net income (from Part IV, line 2) . . . . .		16,767,282.		
	<b>8</b> Net short-term capital gain . . . . .				
	<b>9</b> Income modifications . . . . .				
	<b>10a</b> Gross sales less returns and allowances . . . . .				
<b>b</b> Less: Cost of goods sold . . . . .					
<b>c</b> Gross profit or (loss) (attach schedule) . . . . .					
<b>11</b> Other income (attach schedule) . . . . .					
<i>See Line 11 Stmt (Schedule 2)</i>	13,818.	-4,252,756.			
<b>12 Total.</b> Add lines 1 through 11 . . . . .	14,599,535.	29,145,147.			
<b>A D M I N I S T R A T I V E  O P E R A T I N G  A N D  E X P E N S E S</b>	<b>13</b> Compensation of officers, directors, trustees, etc. . . . .	1,711,355.	154,586.		1,556,769.
	<b>14</b> Other employee salaries and wages . . . . .	4,079,329.	96,595.		3,969,955.
	<b>15</b> Pension plans, employee benefits . . . . .	3,429,407.	144,337.		2,273,404.
	<b>16a</b> Legal fees (attach schedule) . <i>Schedule 3</i>	399,230.	80,740.		108,011.
	<b>b</b> Accounting fees (attach sch) . <i>Schedule 3</i>	184,952.	89,566.		78,624.
	<b>c</b> Other prof. fees (attach sch) . <i>Schedule 3</i>	6,831,326.	3,545,026.		4,398,002.
	<b>17</b> Interest . . . . .				
	<b>18</b> Taxes (attach schedule)(see instrs) <i>See Line 18 Stmt (Sch 1A)</i>	-2,150,718.			
	<b>19</b> Depreciation (attach schedule) and depletion . <i>Schedule 4</i>		30,578.		
	<b>20</b> Occupancy . . . . .	870,346.	23,763.		820,649.
	<b>21</b> Travel, conferences, and meetings . . . . .	835,150.	11,625.		1,120,026.
	<b>22</b> Printing and publications . . . . .	1,130,735.	0.		188,239.
	<b>23</b> Other expenses (attach schedule)	190,314.			
	<i>See Line 23 Stmt (Schedule 1A)</i>	866,497.	20,889.		748,874.
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23 . . . . .	18,377,923.	4,197,705.		15,262,553.
<b>25</b> Contributions, gifts, grants paid . . . . .	36,326,518.			32,761,054.	
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25 . . . . .	54,704,441.	4,197,705.		48,023,607.	
<b>27</b> Subtract line 26 from line 12:					
<b>a Excess of revenue over expenses and disbursements</b> . . . . .	-40,104,906.				
<b>b Net investment income</b> (if negative, enter -0-) . . . . .		24,947,442.			
<b>c Adjusted net income</b> (if negative, enter -0-) . . . . .					

Form **8868**

(Rev. January 2014)

Department of the Treasury  
Internal Revenue Service

# Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► **File a separate application for each return.**  
► Information about Form 8868 and its instructions is at [www.irs.gov/form8868](http://www.irs.gov/form8868).

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*.

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

<b>Type or print</b>  File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions. ROCKEFELLER BROTHERS FUND, INC.	Employer identification number (EIN) or 13-1760106
	Number, street, and room or suite no. If a P.O. box, see instructions. 475 RIVERSIDE DRIVE	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. NEW YORK, NY 10115	

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► GERALDINE F. WATSON, 475 RIVERSIDE DRIVE, NEW YORK, NY 10115

Telephone No. ► 212 812-4200 FAX No. ► \_\_\_\_\_

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ . If this is for the whole group, check this box  . If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 2016, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

►  calendar year 2015 or

►  tax year beginning \_\_\_\_\_, 20\_\_\_\_, and ending \_\_\_\_\_, 20\_\_\_\_.

2 If the tax year entered in line 1 is for less than 12 months, check reason:  Initial return  Final return  Change in accounting period

<b>3a</b> If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>3a</b> \$	725,000.
<b>b</b> If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	<b>3b</b> \$	2,080,000.
<b>c Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>3c</b> \$	0.

**Caution.** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form **8868** (Rev. 1-2014)

• If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box. . . . .  **X**

**Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

• If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

**Part II Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

Enter filer's identifying number, see instructions

<b>Type or print</b>  <small>File by the due date for filing your return. See instructions.</small>	Name of exempt organization or other filer, see instructions.	Employer identification number (EIN) or
	ROCKEFELLER BROTHERS FUND, INC.	13-1760106
	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
	475 RIVERSIDE DRIVE	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	NEW YORK, NY 10115	

Enter the Return code for the return that this application is for (file a separate application for each return) . . . . . **04**

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

• The books are in the care of **GERALDINE F. WATSON, 475 RIVERSIDE DRIVE, ,NEW YORK, NY 10115**  
 Telephone No. **212 812-4200** Fax No. \_\_\_\_\_

• If the organization does not have an office or place of business in the United States, check this box . . . . .

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_. If this is for the whole group, check this box . . . . . . If it is for part of the group, check this box . . . . .  and attach a list with the names and EINs of all members the extension is for.

**4** I request an additional 3-month extension of time until 11/15, 2016.

**5** For calendar year 2015, or other tax year beginning \_\_\_\_\_, 20\_\_\_\_, and ending \_\_\_\_\_, 20\_\_\_\_.

**6** If the tax year entered in line 5 is for less than 12 months, check reason:  Initial return  Final return  
 Change in accounting period

**7** State in detail why you need the extension INFORMATION NECESSARY TO PREPARE A COMPLETE AND ACCURATE RETURN IS NOT YET AVAILABLE.

<b>8a</b> If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>8a</b> \$	362,500.
<b>b</b> If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	<b>8b</b> \$	2,080,000.
<b>c Balance Due.</b> Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>8c</b> \$	0.

**Signature and Verification must be completed for Part II only.**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature  Title **PAID PREPARER** Date **8/10/16**

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
ASSETS	1	Cash — non-interest-bearing . . . . .		2,270,432.	2,650,935.	2,650,935.
	2	Savings and temporary cash investments . . . . .		22,820,654.	78,522,029.	78,522,029.
	3	Accounts receivable . . . . . ▶ 2,584,813.				
		Less: allowance for doubtful accounts ▶ 0.		1,322,630.	2,584,813.	2,584,813.
	4	Pledges receivable . . . . . ▶ 16,124,644.				
		Less: allowance for doubtful accounts ▶ 0.		16,864,980.	16,124,644.	16,124,644.
	5	Grants receivable . . . . .				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .				
	7	Other notes and loans receivable (attach sch) ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use . . . . .				
	9	Prepaid expenses and deferred charges . . . . .		5,634.	37,776.	37,776.
	10a	Investments — U.S. and state government obligations (attach schedule) . . . . . Schedule 5 . . . . .		0.		
	b	Investments — corporate stock (attach schedule) . Schedule 5 . . . . .		203,216,731.	117,269,940.	117,269,940.
	c	Investments — corporate bonds (attach schedule) . . . . .				
	11	Investments — land, buildings, and equipment: basis . . . . . ▶ 510,000.				
	Less: accumulated depreciation (attach schedule) . . . . . Schedule 6 . . . . . ▶ 0		510,000.	510,000.	510,000.	
12	Investments — mortgage loans . . . . .					
13	Investments — other (attach schedule) . . . . . Schedule 7 . . . . .		632,294,587.	635,713,031.	635,713,031.	
14	Land, buildings, and equipment: basis ▶ 35,529,200.					
	Less: accumulated depreciation (attach schedule) . . . . . Schedule 8 . . . . . ▶ 29,476,112.		6,074,712.	6,053,088.	6,053,088.	
15	Other assets (describe ▶ )					
16	<b>Total assets</b> (to be completed by all filers — see the instructions. Also, see page 1, item I) . . . . .		885,380,360.	859,466,256.	859,466,256.	
LIABILITIES	17	Accounts payable and accrued expenses . . . . .		14,482,113.	12,809,521.	
	18	Grants payable . . . . .		4,441,885.	8,016,513.	
	19	Deferred revenue . . . . .				
	20	Loans from officers, directors, trustees, & other disqualified persons . . . . .				
	21	Mortgages and other notes payable (attach schedule) . . . . .				
	22	Other liabilities (describe ▶ <u>Taxes Payable</u> )		3,005,272.	3,239,042.	
	23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .		21,929,270.	24,065,076.	
NET ASSETS OR FUND BALANCES	<b>Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.</b> . . . . . ▶ <input checked="" type="checkbox"/>					
	24	Unrestricted . . . . .		835,894,852.	808,297,057.	
	25	Temporarily restricted . . . . .		17,530,437.	17,389,355.	
	26	Permanently restricted . . . . .		10,025,801.	9,714,768.	
	<b>Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.</b> . . . . . ▶ <input type="checkbox"/>					
	27	Capital stock, trust principal, or current funds . . . . .				
	28	Paid-in or capital surplus, or land, bldg., and equipment fund . . . . .				
	29	Retained earnings, accumulated income, endowment, or other funds . . . . .				
	30	<b>Total net assets or fund balances</b> (see instructions) . . . . .		863,451,090.	835,401,180.	
	31	<b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .		885,380,360.	859,466,256.	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	863,451,090.
2	Enter amount from Part I, line 27a . . . . .	2	-40,104,906.
3	Other increases not included in line 2 (itemize) . . . . . ▶ <u>See Other Increases Stmt (Schedule 1A)</u>	3	13,308,932.
4	Add lines 1, 2, and 3 . . . . .	4	836,655,116.
5	Decreases not included in line 2 (itemize) . . . . . ▶ <u>See Other Decreases Stmt (Schedule 1A)</u>	5	1,253,936.
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30 . . . . .	6	835,401,180.

Part IV Capital Gains and Losses for Tax on Investment Income

Table with 4 columns: (a) List and describe the kind(s) of property sold, (b) How acquired, (c) Date acquired, (d) Date sold. Row 1: See Schedule 1.

Table with 4 columns: (e) Gross sales price, (f) Depreciation allowed, (g) Cost or other basis plus expense of sale, (h) Gain or (loss) plus (f) minus (g). Rows a-e are blank.

Table with 4 columns: (i) F.M.V. as of 12/31/69, (j) Adjusted basis as of 12/31/69, (k) Excess of col. (i) over col. (j), (l) Gains or Losses. Rows a-e are blank.

Summary rows for capital gain net income (line 2) and net short-term capital gain (line 3). Line 2: 16,767,282. Line 3: blank.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? [ ] Yes [X] No

Table with 4 columns: (a) Base period years, (b) Adjusted qualifying distributions, (c) Net value of noncharitable-use assets, (d) Distribution ratio. Rows for years 2014-2010.

Summary rows for qualification: Total of line 1, column (d) (line 2: 0.275417), Average distribution ratio (line 3: 0.055083), Net value of noncharitable-use assets for 2015 (line 4: 836,902,908), etc.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

Table with 11 rows for excise tax calculations. Includes sub-rows for credits and payments (6a-6d). Total tax due is 1,830,526, with 1,830,526 refunded.

Part VII-A Statements Regarding Activities

Table with 10 rows of activity statements. Columns include question number, Yes, and No. Includes questions about political campaigns, expenditures, and reporting requirements.

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>www.rbf.org</u>	13	X	
14	The books are in care of <u>Geraldine F. Watson</u> Telephone no. <u>(212) 812-4230</u> Located at <u>475 Riverside Drive</u> <u>New York</u> <u>NY</u> ZIP + 4 <u>10115</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u> N/A			
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If 'Yes,' Belgium, Brazil, China, Malaysia, enter the name of the foreign country <u>New Zealand, Portugal, South Korea, Switzerland</u>	16	X	

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.			Yes	No
1 a	During the year did the foundation (either directly or indirectly):			
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(6)	Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1 b		X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015?	1 c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If 'Yes,' list the years <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u> .			
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.)	2 b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u> .			
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If 'Yes,' did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.)	3 b	N/A	
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4 b		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

5 a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?. . . . .  Yes  No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? . . . . .  Yes  No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? . . . . .  Yes  No
- (4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? (see instructions) . . . . .  Yes  No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . .  Yes  No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? . . . . .

5 b X

Organizations relying on a current notice regarding disaster assistance check here . . . . .

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . . Schedule 9  Yes  No  
If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .  Yes  No

6 b X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . .  
If 'Yes' to 6b, file Form 8870.

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? . . . . .  Yes  No

7 b N/A

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . .

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Schedule 10		1,711,355.	532,139.	31,893.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Michael F. Northrop 475 Riverside Drive, Suite 900 New York NY 10115	Program Director 35.00	227,540.	87,847.	4,690.
Ben Rodriguez-Cubenas 475 Riverside Drive, Suite 900 New York NY 10115	Program Director 35.00	200,024.	55,932.	4,744.
Shenyu Belsky 475 Riverside Drive, Suite 900 New York NY 10115	Program Director 35.00	192,384.	52,456.	4,493.
Haki Abazi 475 Riverside Drive, Suite 900 New York NY 10115	Program Director 35.00	191,693.	72,393.	6,370.
Ariadne Papagapitos 475 Riverside Drive, Suite 900 New York NY 10115	Program Director 35.00	181,043.	51,021.	4,933.
Total number of other employees paid over \$50,000 . . . . .				39

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)

<b>3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'</b>		
<b>(a) Name and address of each person paid more than \$50,000</b>	<b>(b) Type of service</b>	<b>(c) Compensation</b>
Greenrock Corporation 200 Lake Road Sleepy Hollow NY 10591	Facilities Maintenance	1,329,556.
Investure, LLC 126 Garrett Street, Suite J Charlottesville VA 22902	Investment Management	465,335.
Perella Weinberg Partners 767 Fifth Avenue New York NY 10153	Investment Management	1,964,248.
Patterson, Belknap, Webb & Tyler 1133 Avenue of the Americas New York NY 10036	Legal Services	375,996.
Eagle Capital Management LLC 499 Park Avenue New York NY 10022	Investment Management	457,220.
<b>Total number of others receiving over \$50,000 for professional services</b>		<b>13</b>

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 Pocantico Historic Area (See Schedule 11)	3,914,065.
2 Assistance to Charitable Organizations (See Schedule 11)	682,046.
3 Pocantico Conference Center (See Schedule 11)	303,705.
4	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 None	0.
2	
All other program-related investments. See instructions.	
3	
<b>Total. Add lines 1 through 3</b>	<b>None</b>

**BAA** Form 990-PF (2015)

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b> Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes:		
<b>a</b> Average monthly fair market value of securities . . . . .	<b>1 a</b>	846,722,868.
<b>b</b> Average of monthly cash balances . . . . .	<b>1 b</b>	2,924,754.
<b>c</b> Fair market value of all other assets (see instructions) . . . . .	<b>1 c</b>	
<b>d Total</b> (add lines 1a, b, and c) . . . . .	<b>1 d</b>	849,647,622.
<b>e</b> Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . .	<b>1 e</b>	
<b>2</b> Acquisition indebtedness applicable to line 1 assets . . . . .	<b>2</b>	
<b>3</b> Subtract line 2 from line 1d . . . . .	<b>3</b>	849,647,622.
<b>4</b> Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions) . . . . .	<b>4</b>	12,744,714.
<b>5 Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4. . . . .	<b>5</b>	836,902,908.
<b>6 Minimum investment return.</b> Enter 5% of line 5 . . . . .	<b>6</b>	41,845,145.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b> Minimum investment return from Part X, line 6 . . . . .	<b>1</b>	41,845,145.
<b>2 a</b> Tax on investment income for 2015 from Part VI, line 5 . . . . .	<b>2 a</b>	249,474.
<b>b</b> Income tax for 2015. (This does not include the tax from Part VI.) . . . . .	<b>2 b</b>	
<b>c</b> Add lines 2a and 2b . . . . .	<b>2 c</b>	249,474.
<b>3</b> Distributable amount before adjustments. Subtract line 2c from line 1 . . . . .	<b>3</b>	41,595,671.
<b>4</b> Recoveries of amounts treated as qualifying distributions . . . . .	<b>4</b>	9,163.
<b>5</b> Add lines 3 and 4. . . . .	<b>5</b>	41,604,834.
<b>6</b> Deduction from distributable amount (see instructions) . . . . .	<b>6</b>	
<b>7 Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 . . . . .	<b>7</b>	41,604,834.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b> Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes:		
<b>a</b> Expenses, contributions, gifts, etc — total from Part I, column (d), line 26 . . . . .	<b>1 a</b>	48,023,607.
<b>b</b> Program-related investments — total from Part IX-B. . . . .	<b>1 b</b>	0.
<b>2</b> Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes . . . . .	<b>2</b>	834,849.
<b>3</b> Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b> Suitability test (prior IRS approval required) . . . . .	<b>3 a</b>	
<b>b</b> Cash distribution test (attach the required schedule) . . . . .	<b>3 b</b>	
<b>4 Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 . . . . .	<b>4</b>	48,858,456.
<b>5</b> Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) . . . . .	<b>5</b>	249,474.
<b>6 Adjusted qualifying distributions.</b> Subtract line 5 from line 4 . . . . .	<b>6</b>	48,608,982.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
<b>1</b> Distributable amount for 2015 from Part XI, line 7 . . . . .				41,604,834.
<b>2</b> Undistributed income, if any, as of the end of 2015:				
<b>a</b> Enter amount for 2014 only . . . . .			0.	
<b>b</b> Total for prior years: 20 __, 20 __, 20 __				
<b>3</b> Excess distributions carryover, if any, to 2015:				
<b>a</b> From 2010 . . . . .	6,486,566.			
<b>b</b> From 2011 . . . . .	4,898,336.			
<b>c</b> From 2012 . . . . .	4,562,360.			
<b>d</b> From 2013 . . . . .	2,054,984.			
<b>e</b> From 2014 . . . . .				
<b>f</b> Total of lines 3a through e . . . . .	18,002,246.			
<b>4</b> Qualifying distributions for 2015 from Part XII, line 4: ▶ \$ 48,858,456.				
<b>a</b> Applied to 2014, but not more than line 2a . . . . .				
<b>b</b> Applied to undistributed income of prior years (Election required – see instructions) . . . . .				
<b>c</b> Treated as distributions out of corpus (Election required – see instructions) . . . . .				
<b>d</b> Applied to 2015 distributable amount . . . . .				41,604,834.
<b>e</b> Remaining amount distributed out of corpus . . . . .	7,253,622.			
<b>5</b> Excess distributions carryover applied to 2015 . . . . . <i>(If an amount appears in column (d), the same amount must be shown in column (a).)</i>	0.			0.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 . . . . .	25,255,868.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
<b>d</b> Subtract line 6c from line 6b. Taxable amount – see instructions . . . . .		0.		
<b>e</b> Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount – see instructions . . . . .			0.	
<b>f</b> Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016 . . . . .				0.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required – see instructions) . . . . .	2,011,500.			
<b>8</b> Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions) . . . . .	6,486,566.			
<b>9</b> Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a . . . . .	16,757,802.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2011 . . . . .	4,898,336.			
<b>b</b> Excess from 2012 . . . . .	4,562,360.			
<b>c</b> Excess from 2013 . . . . .	2,054,984.			
<b>d</b> Excess from 2014 . . . . .	0.			
<b>e</b> Excess from 2015 . . . . .	5,242,122.			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

<b>1 a</b> If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling. . . . . ▶					
<b>b</b> Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)	<input type="checkbox"/> 4942(j)(3) or				<input type="checkbox"/> 4942(j)(5)
<b>2 a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .	Tax year	Prior 3 years			(e) Total
	(a) 2015	(b) 2014	(c) 2013	(d) 2012	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> 'Assets' alternative test — enter:					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
<b>b</b> 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
<b>c</b> 'Support' alternative test — enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					
<b>(3)</b> Largest amount of support from an exempt organization . . . . .					
<b>(4)</b> Gross investment income . . . . .					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year — see instructions.)**

- 1 Information Regarding Foundation Managers:**
- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
- None
- 
- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
- None
- 
- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**
- Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.
- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:
- See Schedule 12
- 
- b** The form in which applications should be submitted and information and materials they should include:
- See Schedule 12
- 
- c** Any submission deadlines:
- See Schedule 12
- 
- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:
- See Schedule 12

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p><b>a Paid during the year</b> See Schedule 13</p>				<p>32,761,054.</p>
<p><b>Total</b> . . . . . ▶ <b>3 a</b></p>				<p>32,761,054.</p>
<p><b>b Approved for future payment</b> See Schedule 13</p>				<p>8,016,513.</p>
<p><b>Total</b> . . . . . ▶ <b>3 b</b></p>				<p>8,016,513.</p>



Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of:

- (1) Cash
(2) Other assets

b Other transactions:

- (1) Sales of assets to a noncharitable exempt organization
(2) Purchases of assets from a noncharitable exempt organization
(3) Rental of facilities, equipment, or other assets
(4) Reimbursement arrangements
(5) Loans or loan guarantees
(6) Performance of services or membership or fundraising solicitations

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

Table with columns Yes and No, and rows 1 a (1), 1 a (2), 1 b (1), 1 b (2), 1 b (3), 1 b (4), 1 b (5), 1 b (6), 1 c.

d If the answer to any of the above is 'Yes,' complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

Table with columns (a) Line no., (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements.

2 a is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? Yes No

b If 'Yes,' complete the following schedule.

Table with columns (a) Name of organization, (b) Type of organization, (c) Description of relationship.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer or trustee

Date 11.1.16

Title President

May the IRS discuss this return with the preparer shown below (see instructions)? Yes No

Preparer information section including Print/Type preparer's name (Devin L. Duncan), Preparer's signature, Date (10/31/16), Firm's name (KPMG LLP), Firm's address (345 PARK AVENUE, NEW YORK, NY 10154-0102), Firm's EIN (13-5565207), and Phone no. ((212) 758-9700).

BAA

**ROCKEFELLER BROTHERS FUND, INC.**  
**13-1760106**  
**475 Riverside Drive, Suite 900**  
**New York, New York 10115**

**INDEX**  
**FORM 990-PF**  
**2015**

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**Schedule B**  
**(Form 990, 990-EZ,**  
**or 990-PF)**

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**  
▶ **Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).**

OMB No. 1545-0047

**2015**

Name of the organization

Rockefeller Brothers Fund, Inc.

Employer identification number

13-1760106

**Organization type** (check one):

**Filers of:**

Form 990 or 990-EZ

**Section:**

501(c)( ) (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year . . . . . ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer 'No' on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

**Schedule B (Form 990, 990-EZ, or 990-PF) (2015)**

Name of organization Rockefeller Brothers Fund, Inc.	Employer identification number 13-1760106
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**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	The Advantage Foundation Witikonerstrasse 61 Postfach, CH	\$ 3,100,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2	The Redlands Trust 3 Anson Road, #27-01 Springleaf Tower Singapore, SN	\$ 2,000,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
3	The William and Flora Hewlett Foundation 2121 Sand Hill Road Menlo Park CA 94025	\$ 1,500,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
4	David Rockefeller 30 Rockefeller Plaza New York NY 10112	\$ 1,008,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
5	Lao Niu Brother Sister Philanthropy #107 Dongsubei Dajie, Dongcheng District, Rm # 220, Building A Beijing, CH	\$ 1,000,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
6	Climateworks Foundation 235 Montgomery Street, 13th Floor San Francisco CA 94104	\$ 500,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization Rockefeller Brothers Fund, Inc.	Employer identification number 13-1760106
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**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	Rockefeller Philanthropy Advisors 6 West 48th Street New York NY 10036	\$ 157,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
-----	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
-----	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
-----	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
-----	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
-----	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
-----	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Rockefeller Brothers Fund, Inc. 13-1760106

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Form 990-PF, Page 1, Part I, Line 11

**Line 11 Stmt**

Other income:	Rev/Exp Book	Net Inv Inc	Adj Net Inc
Misc. Investment Income (See Schedule 2)	13,818.	-4,252,756.	
<b>Total</b>	<u>13,818.</u>	<u>-4,252,756.</u>	

Form 990-PF, Page 1, Part I, Line 18

**Line 18 Stmt**

Taxes	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
See Line 18 Stmt				
Property Tax	68,854.			
Tax on Investment Income	-2,219,572.			
<b>Total</b>	<u>-2,150,718.</u>			

Form 990-PF, Page 1, Part I, Line 23

**Line 23 Stmt**

Other expenses:	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
See Line 23 Stmt				
Postage	6,462.			6,620.
Messenger Services	667.			689.
Dues	35,263.			0.
Capital Expenditures	46,289.			-27,773.
Office Supplies	48,510.			46,134.
Maintenance & Repairs	62,967.			66,206.
Telephone & Internet	106,439.	3,332.		95,722.
IT Security Measures	22,108.			22,108.
General Insurance	265,937.	5,218.		235,317.
Equipment Leases & Rentals	46,868.			44,280.
Temporary Staffing	63,718.			63,141.
Collections Maintenance	63,431.			63,431.
Printing & Stationary	3,620.			3,905.
Books & Subscriptions	5,308.			6,208.
Misc. Expenses	88,910.	12,339.		122,886.
<b>Total</b>	<u>866,497.</u>	<u>20,889.</u>		<u>748,874.</u>

Form 990-PF, Page 2, Part III, Line 3

**Other Increases Stmt**

See Part III, line 3 Stmt, SCHEDULE 1A	
Unrealized Appreciation on Investments	<u>11,688,498.</u>
Amounts Not Recognized as a Component of Net Periodic Benefit Cost	<u>1,620,434.</u>
<b>Total</b>	<u>13,308,932.</u>

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Form 990-PF, Page 2, Part III, Line 5

**Other Decreases Stmt**

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<u>See Part III, line 5 Stmt, SCHEDULE 1A</u>	
<u>Deferred Excise Tax Expense</u>	<u>233,770.</u>
<u>Adjustment to Discount Pledges Receivable</u>	<u>1,020,166.</u>
<b>Total</b>	<b><u>1,253,936.</u></b>

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Form 990-PF, Page 4, Part VII-A, Line 8a

**States Registered In**

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<u>NY - New York</u>
<u>CA - California</u>
<u>MA - Massachusetts</u>

ROCKEFELLER BROTHERS FUND, INC.  
 13-1760106  
 475 Riverside Drive, Suite 900  
 New York, New York 10115

SCHEDULE 1B  
 FORM 990-PF  
 YEAR 2015

Schedule of Dividends & Interest from Securities

Part I, line 4

Dividends	5,600,270
Interest	0
<b>Total line 4, col. (a)</b>	<b>\$5,600,270</b>
Add: Dividends & Interest per Limited Partnerships K-1s	9,657,079
<b>Total line 4, col. (b)</b>	<b><u>\$15,257,349</u></b>

**ROCKEFELLER BROTHERS FUND, INC.**  
**13-1760106**  
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**New York, New York 10115**

**SCHEDULE 1**  
**FORM 990-PF**  
**YEAR 2015**

Schedule of Capital Gains and Losses

Part I, line 6a & Part IV, line 2 \*

	<u>Gain or (Loss)</u>
Brandywine Global Investment Management Trust	\$ 225
Brookfield Global Listed RE Fund	(1,425,336)
DSM Capital Partners	5,366,859
DFA International Sustainability Core 1 Fund	(1,607,588)
Eagle Capital Management LLC	3,986,342
ECF Value Fund International	(3,979,818)
Eton Park Overseas	168,756
Fir Tree Institutional Partners Fund II	(28,694)
GMO Emerging Domestic Opportunities Fund	(1,299,068)
Goldman Sachs Overlay Equity Swaps	(1,721,494)
Gotham Absolute Return Fund	(178,063)
Harrison Street Securities LLC	(720,006)
Lazard Global Listed Infrastructure Portfolio	313,923
Cash Account	(17,062)
Private Advisors Income Fund	(1,183,888)
Investure Global Equity Fund	422,865
Sanders Capital LLC	1,185,794
Scout Unconstrained Bond Fund	(940,147)
<b>Net Realized Gain per Books (Total Part I, line 6a,col.(a))</b>	<b>\$ (1,656,400)</b>
Add: Actual Net Capital Gain per limited partnerships (Schedule K-1 basis) (See attached schedule)	17,662,884
Less: Gain/(loss) from Partnerships previously reflected in books, <u>for which K-1 values are now reflected on Form 990PF:</u>	
Brandywine Global Investment Management Trust	(225)
Private Advisors Income Fund	1,183,888
Investure Global Equity Fund	(422,865)
	<u>760,798</u>
<b>Total Part IV, line 2</b>	<b>\$ 16,767,282</b>

\* As applicable, detailed records to support acquisition and sales dates, sales price, and cost basis are maintained by the organization. Gains and losses passed through from limited partnership investments are reported directly from the Form 1065, Schedule K-1, as provided by the limited partnerships to the organization.

**ROCKEFELLER BROTHERS FUND, INC.**  
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**SCHEDULE 1**  
**FORM 990-PF**  
**YEAR 2015**

Schedule of Limited Partnerships' Gains and Losses per Schedules K-1

	<b>Capital Gain</b>	<b>Capital Loss</b>	<b>Net Capital Gain/(Loss)</b>
Ampersand 2001 Limited Partnership	137,982		137,982
Arrowpoint Income Opportunity	68,480		68,480
Brandywine Global Investment Mgmt, LLC	129,141	(4,554)	124,587
Generation IM Global Equity Fund, LLC	3,490,028		3,490,028
Hawkeye Capital Institutional LP	196,207	(420,984)	(224,777)
HSREP IV Co-Investment, LP	810,356		810,356
Strategic Partners II, LP	16,961	(8,503)	8,458
Strategic Partners III, LP	429,784		429,784
Strategic Partners III VC, LP	105,604		105,604
Strategic Partners Fund I, LP		(18,040)	(18,040)
Investure Evergreen Fund	8,452,960		8,452,960
J.H. Whitney IV	1,051		1,051
Kayne Anderson Energy Fund III		(385,876)	(385,876)
Kayne Anderson Energy Fund IV		(121,105)	(121,105)
Lehman Brothers NB Co-Investment Partners, LP - Cayman AIV I, LP	380,688		380,688
Lehman Brothers NB Co-Investment Partners	140,582	(2,430)	138,152
Lehman Brothers NB Secondary Opportunities Fund	130,885		130,885
Lexington Capital Partners II, LP		(166,189)	(166,189)
Lexington Capital Partners IV, LP		(119,803)	(119,803)
Natural Gas Partners VIII	277,804		277,804
New Enterprise Associates 10		(31,936)	(31,936)
NGP Energy Technology Partners		(187)	(187)
Private Advisors Income Fund, LP	4,520	(777,080)	(772,560)
Private Advisors Small Company Buyout Fund II, LP	412,146		412,146
Prospect Venture Partners		(14,778)	(14,778)
Siguler Guff	2,230,981		2,230,981
Sustainable Asset Fund, LP	833,301	(1)	833,300
Three Arch Capital, LP	111,101		111,101
Three Arch Partners IV, LP	765,738		765,738
TIFF Partners II		(386)	(386)
TIFF Partners IV	47,584		47,584
Trinity Ventures VII		(11,284)	(11,284)
Trinity Ventures VIII		(96,375)	(96,375)
Weston Presidio Capital IV	7,134		7,134
Weston Presidio V, L.P.	661,377		661,377
	<b>19,842,395</b>	<b>(2,179,511)</b>	<b>17,662,884</b>

ROCKEFELLER BROTHERS FUND, INC.  
13-1760106  
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SCHEDULE 2  
FORM 990-PF  
YEAR 2015

Schedule of Other Income/(Loss)

Part I, line 11

Miscellaneous Investment Income	744
Miscellaneous Income - Public Programs	13,074
<b>Total line 11, col. (a)</b>	<b>13,818</b>
 Add: Other Income/(Loss) per Limited Partnerships K-1s (See attached schedule)	 <u>(4,266,574)</u>
<b>Total line 11, col. (b)</b>	<b><u>(4,252,756)</u></b>

ROCKEFELLER BROTHERS FUND, INC.  
13-1760106  
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SCHEDULE 2  
FORM 990-PF  
YEAR 2015

Schedule of Other Income/(Loss)

Part I, line 11, col. (b)

	Other Income/(Loss)	Deductions Related to Portfolio Income	Net Other Income/(Loss)
<u>Net Income/(Loss) received from the following Partnerships:</u>			
Ampersand 2001 Limited Partnership		(987)	(987)
Arrowpoint Income Opportunity	133,727	(107,958)	25,769
Brandywine Global Investment Mgmt, LLC	(186,781)	(36,823)	(223,604)
Cabot Industrial Value Fund II	14,820	(926)	13,894
Cantillon Global Equity LP	405,762	(272,795)	132,967
C/R Renewable Energy Infrastructure Fund I, L.P.		(23,905)	(23,905)
Carlyle Riverstone TE Partners III, LP		(112,229)	(112,229)
Centeroak Equity Fund I LP		(223,953)	(223,953)
Elevor Equity III LP		(718,321)	(718,321)
Farallon Capital F5 Investors I LP		(2,343)	(2,343)
Generation IM Global Equity Fund, LLC	(347,628)	(790,527)	(1,138,155)
Hawkeye Capital Institutional LP	933	(213,950)	(213,017)
HSREP IV Co-Investment, LP		(93,923)	(93,923)
Strategic Partners II, LP	9,727	(6,009)	3,718
Strategic Partners III, LP	64,752	(55,961)	8,791
Strategic Partners III VC, LP	21,893	(40,831)	(18,938)
Strategic Partners Fund I, LP	1,114	(2,921)	(1,807)
Investure Evergreen Fund	155,866	(1,034,522)	(878,656)
J.H. Whitney IV		(706)	(706)
Kayne Anderson Energy Fund III	19,046	(3,737)	15,309
Kayne Anderson Energy Fund IV	(190,478)	16,666	(173,812)
Lehman Brothers NB Co-Investment Partners, LP - Cayman AIV I, LP	(342)	(3,405)	(3,747)
Lehman Brothers NB Co-Investment Partners	5,853	(38,140)	(32,287)
Lehman Brothers NB Secondary Opportunities Fund	5,116	(56,803)	(51,687)
Lexington Capital Partners II, LP	933	(5,646)	(4,713)
Lexington Capital Partners IV, LP	(440)	(11,910)	(12,350)
Morgan Stanley Real Estate Fund V International - TE, LP	52,448	(6,929)	45,519
Morgan Stanley Real Estate Fund VI	2,275	(598)	1,677
Natural Gas Partners VIII	16,508	2,279	18,787
New Enterprise Associates 10		(562)	(562)
NGP Energy Technology Partners		(5,350)	(5,350)
Palamon European Equity 'B', LP		(8,059)	(8,059)
Private Advisors Income Fund, LP	(7,635)	(20,157)	(27,792)
Private Advisors Small Company Buyout Fund II, LP	14,872	(90,789)	(75,917)
Prospect Venture Partners	55	(661)	(606)
Siguler Guff	13,280	(166,617)	(153,337)
Sustainable Asset Fund, LP	138,680	(341,605)	(202,925)
TCV IV		(1,867)	(1,867)
Three Arch Capital, LP		(1,179)	(1,179)
Three Arch Partners IV, LP		(31,768)	(31,768)
TIFF Partners II		(9,645)	(9,645)
TIFF Partners IV	1,426	(36,079)	(34,653)
Trinity Ventures VII		(1,765)	(1,765)
Trinity Ventures VIII		(575)	(575)
Turner Multifamily Impact Fund, L.P.		(1,581)	(1,581)
Weston Presidio Capital IV		(941)	(941)
Weston Presidio V AIV 1 Holdings, L.P.		(2,187)	(2,187)
Weston Presidio V, L.P.	(106)	(43,050)	(43,156)
	345,676	(4,612,250)	
Other Income/(Loss) from Limited Partnerships			<u>(4,266,574)</u>

ROCKEFELLER BROTHERS FUND, INC.  
 13-1760106  
 475 Riverside Drive, Suite 900  
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SCHEDULE 3  
 FORM 990-PF  
 YEAR 2015

SCHEDULE OF EXPENDITURES

Part I, line 16(a,b,c)

Legal Fees (Patterson, Belknap, Webb & Tyler)	\$ 379,109	
Legal Fees (Jackson Walker, LLP)	20,121	
	<u>          </u>	
<b>Total line 16a</b>		<b><u>\$ 399,230</u></b>
Accounting Fees (KPMG LLP)	\$ 166,446	
Accounting Fees (Grant Thornton LLP)	18,506	
	<u>          </u>	
<b>Total line 16b</b>		<b><u>\$ 184,952</u></b>
<u>Other Professional Fees:</u>		
Investment Management Fees	\$ 3,507,436	
Custody Fees	229,463	
Consultants' Fees	1,482,560	
Facilities Maintenance	1,611,867	
	<u>          </u>	
<b>Total line 16c</b>		<b><u>\$ 6,831,326</u></b>

ROCKEFELLER BROTHERS FUND, INC.  
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SCHEDULE 4  
FORM 990-PF  
YEAR 2015

SCHEDULE OF DEPRECIATION

Part I, line 19

Description	ASSETS					ACCUMULATED DEPRECIATION		
	Beginning of Year	P/Y Adj.	2015 Acquisitions	2015 Dispositions	End of Year	Reserve, beginning of Year	2015 Depreciation (Part 1, line 19)	Reserve, end of Year
Office Equipment	2,241,315		194,952		2,436,267	2,029,665	187,899	2,217,564
Computer Equipment & Software	2,097,781		47,060		2,144,841	2,009,963	65,435	2,075,398
Fine Art	4,780		0		4,780	0		0
Leasehold Improvements-437 Madison Ave	3,049,452		0		3,049,452	1,819,658	0	1,819,658
Leasehold Improvements-Interchurch Center	7,159,516		0		7,159,516	3,286,527	508,418	3,794,945
Leasehold Improvements	20,071,776		559,454		20,631,230	19,430,332	82,189	19,512,521
Other	39,589		5,130		44,719	21,303	11,839	33,142
Automobile	16,269		42,126		58,395	8,318	14,566	22,884
	34,680,478	-	848,722	-	35,529,200	28,605,766	870,346	29,476,112

ROCKEFELLER BROTHERS FUND, INC.  
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SCHEDULE 5  
FORM 990-PF  
YEAR 2015

Schedule of Investments

Part II, lines 10 (a,b,c), col. (b) & (c)

Manager	As Of Date	Security Description	Shares/Par	Price	line 10a	line 10b		line 10c
					U.S. & State Gov't Obligations	Corporate Stock	Corporate Bonds	
					Cost	Market Value		
DSM CAPITAL PARTNERS	12/31/2015	DREYFUS TRE PR CSH MGT INS 761	147,832.140	100.0000		147,832	147,832	
	12/31/2015	DIVIDENDS RECEIVABLE	0.000	1.0000		14,262	14,262	
	12/31/2015	INTEREST RECEIVABLE	0.000	1.0000		10	10	
	12/31/2015	ADOBE SYSTEMS INC	15,500.000	93.9400		1,273,179	1,456,070	
	12/31/2015	AFFILIATED MANAGERS GROUP INC	6,050.000	159.7600		1,210,914	966,548	
	12/31/2015	ALEXION PHARMACEUTICALS INC	8,300.000	190.7500		1,357,325	1,583,225	
	12/31/2015	ALIBABA GROUP HOLDING LTD	17,600.000	81.2700		1,534,122	1,430,352	
	12/31/2015	ALPHABET INC-CL A	2,700.000	778.0100		1,547,398	2,100,627	
	12/31/2015	BRISTOL-MYERS SQUIBB CO	10,600.000	68.7900		640,026	729,174	
	12/31/2015	CELGENE CORP	12,950.000	119.7600		922,471	1,550,892	
	12/31/2015	CERNER CORP	11,800.000	60.1700		787,344	710,006	
	12/31/2015	COGNIZANT TECHNOLOGY SOLUTIONS	28,500.000	60.0200		1,547,522	1,710,570	
	12/31/2015	DOLLAR GENERAL CORP	15,800.000	71.8700		1,113,950	1,135,546	
	12/31/2015	FACEBOOK INC	17,850.000	104.6600		1,464,295	1,868,181	
	12/31/2015	FLEETCOR TECHNOLOGIES INC	4,850.000	142.9300		749,347	693,211	
	12/31/2015	INTUITIVE SURGICAL INC	1,700.000	546.1600		824,222	928,472	
	12/31/2015	MASTERCARD INC	11,200.000	97.3600		833,382	1,090,432	
	12/31/2015	MONSTER BEVERAGE CORP	6,600.000	148.9600		825,710	983,136	
	12/31/2015	NEWELL BRANDS INC	19,500.000	44.0800		862,738	859,560	
	12/31/2015	PRICELINE GROUP INC/THE	1,445.000	1,274.9500		1,773,241	1,842,303	
	12/31/2015	REGENERON PHARMACEUTICALS INC	1,175.000	542.8700		337,351	637,872	
	12/31/2015	SHIRE PLC	5,850.000	205.0000		1,454,734	1,199,250	
	12/31/2015	STARBUCKS CORP	11,200.000	60.0300		528,528	672,336	
	12/31/2015	STERICYCLE INC	5,500.000	120.6000		722,210	663,300	
	12/31/2015	TENCENT HOLDINGS LTD	80,850.000	19.6770		1,165,849	1,590,885	
	12/31/2015	VISA INC	13,950.000	77.5500		790,692	1,081,823	
	12/31/2015	ALLERGAN PLC	6,250.000	312.5000		1,826,159	1,953,125	
	12/31/2015	INVESCO LTD	28,050.000	33.4800		1,067,217	939,114	
12/31/2015	NIELSEN HOLDINGS PLC	19,850.000	46.6000		909,065	925,010		
12/31/2015	PERRIGO CO PLC	6,600.000	144.7000		1,020,516	955,020		
12/31/2015	NXP SEMICONDUCTORS NV	9,150.000	84.2500		766,653	770,888		
12/31/2015	ROYAL CARIBBEAN CRUISES LTD	11,300.000	101.2100		891,227	1,143,673		
					<b>30,909,489</b>	<b>34,332,704</b>		
EAGLE CAPITAL MGMT	12/31/2015	DREYFUS TRE PR CSH MGT INS 761	622,226.080	100.0000		622,226	622,226	
	12/31/2015	DIVIDENDS RECEIVABLE	0.000	1.0000		30,126	30,126	
	12/31/2015	INTEREST RECEIVABLE	0.000	1.0000		2	2	
	12/31/2015	ALIBABA GROUP HOLDING LTD	10,100.000	81.2700		818,581	820,827	
	12/31/2015	ALPHABET INC-CL C	3,019.000	758.8800		1,611,924	2,291,059	



12/31/2015	INTEREST RECEIVABLE	0.000	1.0000	2	2
12/31/2015	FFX CONTRACTS RECEIVABLE	0.000	1.0000	75,233	75,233
12/31/2015	PAYABLE FOR INVESTMENTS PURCHASED	0.000	1.0000	(4,674)	(4,674)
12/31/2015	ALLIANZ SE	3,900.000	177.6644	665,315	692,891
12/31/2015	PUBLICIS GROUPE SA	3,500.000	66.6771	318,749	233,370
12/31/2015	RENAULT SA	7,900.000	100.6240	569,994	794,929
12/31/2015	SANOFI	10,600.000	85.3832	1,114,898	905,062
12/31/2015	BNP PARIBAS SA	26,900.000	56.7374	1,947,913	1,526,237
12/31/2015	TOTAL SA	5,000.000	44.8262	329,164	224,131
12/31/2015	ENEL SPA	91,800.000	4.2279	520,658	388,119
12/31/2015	EDP - ENERGIAS DE PORTUGAL SA	96,600.000	3.6076	450,751	348,494
12/31/2015	CATHAY PACIFIC AIRWAYS LTD	165,400.000	1.7316	313,381	286,403
12/31/2015	CHINA PETROLEUM & CHEMICAL COR	270,000.000	0.6039	243,212	163,042
12/31/2015	CHINA RESOURCES POWER HOLDINGS	99,500.000	1.9458	281,416	193,604
12/31/2015	HONDA MOTOR CO LTD	14,400.000	32.5034	509,485	468,049
12/31/2015	SUMITOMO MITSUI FINANCIAL GROU	43,100.000	38.2892	1,775,939	1,650,265
12/31/2015	IMPERIAL BRANDS PLC	7,800.000	52.8614	317,773	412,319
12/31/2015	BP PLC	174,400.000	5.2176	1,406,113	909,950
12/31/2015	TESCO PLC	172,500.000	2.2035	613,016	380,100
12/31/2015	MARKS & SPENCER GROUP PLC	17,200.000	6.6679	130,400	114,688
12/31/2015	BARCLAYS PLC	369,900.000	3.2264	1,461,668	1,193,433
12/31/2015	UNITED OVERSEAS BANK LTD	32,000.000	13.8230	520,114	442,336
12/31/2015	BNK FINANCIAL GROUP INC	10,200.000	7.1809	166,085	73,245
12/31/2015	DGB FINANCIAL GROUP INC	855.000	8.5711	12,971	7,328
12/31/2015	BNK FINANCIAL GROUP INC	2,582.000	1.1343	-	2,929
12/31/2015	NORDEA BANK AB	41,900.000	11.0668	598,719	463,700
12/31/2015	NOVARTIS AG	11,300.000	86.7133	971,930	979,860
12/31/2015	ROCHE HOLDING AG	3,800.000	276.1239	1,099,889	1,049,271
12/31/2015	ANTHEM INC	7,500.000	139.4400	837,121	1,045,800
12/31/2015	APPLE INC	7,750.000	105.2600	628,134	815,765
12/31/2015	BANK OF AMERICA CORP	85,700.000	16.8300	1,473,585	1,442,331
12/31/2015	BED BATH & BEYOND INC	5,500.000	48.2500	380,226	265,375
12/31/2015	CIGNA CORP	7,800.000	146.3300	780,251	1,141,374
12/31/2015	CALATLANTIC GROUP INC	9,592.000	37.9200	358,164	363,729
12/31/2015	CITIGROUP INC	32,600.000	51.7500	1,555,744	1,687,050
12/31/2015	CORNING INC	35,000.000	18.2800	737,006	639,800
12/31/2015	DR HORTON INC	56,000.000	32.0300	1,277,600	1,793,680
12/31/2015	GENERAL DYNAMICS CORP	4,800.000	137.3600	524,292	659,328
12/31/2015	GENERAL ELECTRIC CO	17,639.000	31.1500	458,503	549,455
12/31/2015	GOLDMAN SACHS GROUP INC/THE	3,600.000	180.2300	593,815	648,828
12/31/2015	INTEL CORP	25,200.000	34.4500	651,727	868,140
12/31/2015	INTERNATIONAL BUSINESS MACHINE	3,300.000	137.6200	639,034	454,146
12/31/2015	JPMORGAN CHASE & CO	27,800.000	66.0300	1,631,243	1,835,634
12/31/2015	JOHNSON & JOHNSON	14,500.000	102.7200	1,460,130	1,489,440
12/31/2015	LENNAR CORP	16,900.000	48.9100	786,083	826,579
12/31/2015	MERCK & CO INC	26,900.000	52.8200	1,621,270	1,420,858
12/31/2015	MERITAGE HOMES CORP	10,700.000	33.9900	439,130	363,693
12/31/2015	METLIFE INC	14,100.000	48.2100	757,532	679,761
12/31/2015	MICROSOFT CORP	38,200.000	55.4800	1,580,372	2,119,336
12/31/2015	PFIZER INC	31,700.000	32.2800	1,031,034	1,023,276
12/31/2015	RANGE RESOURCES CORP	30,800.000	24.6100	1,058,085	757,988

12/31/2015	ST JUDE MEDICAL INC	16,400.000	61.7700	1,084,108	1,013,028
12/31/2015	SHINHAN FINANCIAL GROUP CO LTD	8,100.000	33.5900	355,714	272,079
12/31/2015	SUNTRUST BANKS INC	6,400.000	42.8400	257,745	274,176
12/31/2015	SYNCHRONY FINANCIAL	9,028.000	30.4100	223,406	274,541
12/31/2015	TAIWAN SEMICONDUCTOR MANUFACTU	68,000.000	22.7500	1,502,890	1,547,000
12/31/2015	UNITEDHEALTH GROUP INC	7,800.000	117.6400	641,905	917,592
12/31/2015	VANGUARD FTSE ALL-WORLD EX-U	3,300.000	43.4100	147,883	143,253
12/31/2015	DELPHI AUTOMOTIVE PLC	13,200.000	85.7300	903,299	1,131,636
12/31/2015	MEDTRONIC PLC	11,100.000	76.9200	832,722	853,812
12/31/2015	SAMSUNG ELECTRONICS CO LTD	3,650.000	531.5000	2,323,293	1,939,975
				-	-
				<b>46,585,922</b>	<b>45,865,929</b>
				-	-
	<b>Total line 10</b>			<b>117,269,940</b>	<b>117,269,940</b>

ROCKEFELLER BROTHERS FUND, INC.  
 13-1760106  
 475 Riverside Drive, Suite 900  
 New York, New York 10115

SCHEDULE 6  
 FORM 990-PF  
 YEAR 2015

Investments-Land, Buildings, Equipment

Part II, line 11

Description	Cost or Other Basis	Accumulated Depreciation	Book Value	Fair Market Value
Real Estate	510,000	0	510,000	510,000
<b>Total Part II, line 11</b>	510,000	0	510,000	510,000

ROCKEFELLER BROTHERS FUND, INC.  
13-1760106  
475 Riverside Drive, Suite 900  
New York, New York 10015

SCHEDULE 7  
FORM 990-PF  
YEAR 2015

Schedule of Investments- Other

Part II, line 13, col. (b) &(c)

	End Of Year	
	col. (b) Book Value	col. (c) Fair Market Value
<u>Description:</u>		
<b>MUTUAL FUNDS</b>		
GMO Emerging Domestic Opportunities II	11,068,240	11,068,240
DFA International Sustainability Core 1 Portfolio	22,847,123	22,847,123
Lazard Global Listed Infrastructure Portfolio Institutional	12,925,491	12,925,491
Scout Unconstrained Bond Fund	17,262,552	17,262,552
	<u>64,103,406</u>	<u>64,103,406</u>
<b>HEDGE FUNDS</b>		
Arrowpoint Global Opportunity Fund, Ltd.	12,971,834	12,971,834
Brandywine Global Investment Management Trust	18,695,112	18,695,112
Cantillon Global Equity L.P.	50,382,089	50,382,089
CONVEXITY CAPITAL OFFSHORE	24,299,091	24,299,091
ECF Value Fund International Ltd.	665,670	665,670
ETON PARK OVERSEAS FUND	1,494,409	1,494,409
Farallon Capital F5 Investors I, L.P.	11,727,127	11,727,127
Generation IM Global Equity Fund LLC	73,456,246	73,456,246
Good Hill Overseas Fund Ltd.	19,218,427	19,218,427
Gotham Absolute Return Fund	15,158,706	15,158,706
Hawkeye Capital Institutional, L.P.	16,268,125	16,268,125
Arrowpoint Income Opportunity Fund QP, LP	16,489,473	16,489,473
Moon Capital Global Equity Partners Offshore Fund Ltd.	19,046,553	19,046,553
OZ Credit Opportunities Overseas Fund, L.P.	17,208,320	17,208,320
Prince Street Institutional Offshore Ltd.	17,921,791	17,921,791
	<u>315,002,973</u>	<u>315,002,973</u>
<b>FUTURES</b>		
Overlay Equity Total Return Swaps	227,438	227,438
	-	-
<b>PRIVATE EQUITY FUNDS</b>		
Ampersand 2001	690,367	690,367
CenterOak Equity Fund I, L.P.	(434,203)	(434,203)
CSFB Strategic Partners II	144,378	144,378
CSFB Strategic Partners III	3,274,590	3,274,590
CSFB Strategic Partners III VC	1,595,163	1,595,163
Elevar Equity III, L.P.	2,282,974	2,282,974
Generation IM Climate Solutions Fund II	5,212,215	5,212,215
IEF - 2008 Term Tranche	54,674,111	54,674,111
IEF - 2010 Special Term Tranche	30,315,824	30,315,824
IEF - 2011 Special Term Tranche	27,890,335	27,890,335
IEF - 2012 Term Tranche	17,220,239	17,220,239
KA Energy Fund III	131,049	131,049
KA Energy Fund IV	835,281	835,281
Kylin Offshore Fund Ltd	16,947,700	16,947,700
Lexington Partners II	103,565	103,565
Lexington Partners IV	182,220	182,220
NB Co-Investment Partners LP	3,112,922	3,112,922
NB Secondary Opportunities Fund LP	2,317,722	2,317,722
NEA 10	1,576,133	1,576,133
NGP ETP	233,700	233,700
NGP VIII	2,733,965	2,733,965
P2 Brasil Private Infrastructure Fund III Feeder	926,695	926,695
Palamon European Equity	3,466,663	3,466,663
Private Advisors Small Company Buyout Fund II, LP	2,734,730	2,734,730
Prospect II	117,903	117,903
Riverstone/Carlyle Global Energy III	4,803,569	4,803,569
Riverstone/Carlyle Renewable I	66,128	66,128
Siguler Guff Small Buyout Opportunities Fund LP	9,699,443	9,699,443
TCV IV	8,396	8,396
Three Arch Capital Limited Partnership	478,014	478,014
Three Arch Partners IV Limited Partnership	1,940,802	1,940,802

ROCKEFELLER BROTHERS FUND, INC.  
 13-1760106  
 475 Riverside Drive, Suite 900  
 New York, New York 10015

SCHEDULE 7  
 FORM 990-PF  
 YEAR 2015

Schedule of Investments- Other

Part II, line 13, col. (b) &(c)

	End Of Year	
	col. (b)	col. (c)
	Book Value	Fair Market Value
TIFF Partners II, LLC	50,957	50,957
TIFF Partners IV, LLC	692,462	692,462
Trinity VII	63,132	63,132
Trinity VIII	38,913	38,913
Weston Presidio III	303,116	303,116
Weston Presidio IV	114,759	114,759
Weston Presidio V	3,267,336	3,267,336
Whitney Equity Partners IV	138,764	138,764
	<u>199,952,032</u>	<u>199,952,032</u>
<b>REAL ESTATE</b>		-
Morgan Stanley RE Fund V	837,627	837,627
Morgan Stanley RE Fund VI	1,539,546	1,539,546
Cabot Industrial Value Fund II	11,034,628	11,034,628
Orion European Real Estate II	508,098	508,098
HSREP IV Co-Investment LP	18,610,436	18,610,436
Europa II	914,918	914,918
Europa Fund IV, L.P.	6,528,094	6,528,094
Turner Multifamily Impact Fund LP	3,124,167	3,124,167
Vision Ridge Sustainable Asset Fund	7,712,402	7,712,402
Brookfield Global Listed Real Estate Fund	5,617,266	5,617,266
	<u>56,427,182</u>	<u>56,427,182</u>
		-
<b>Total line 13, col. (b) &amp; (c)</b>	<b><u>635,713,031</u></b>	<b><u>635,713,031</u></b>

ROCKEFELLER BROTHERS FUND, INC.  
 13-1760106  
 475 Riverside Drive, Suite 900  
 New York, New York 10015

SCHEDULE 8  
 FORM 990-PF  
 YEAR 2015

SCHEDULE OF LAND, BUILDINGS & EQUIPMENT

Part II, line 14, col. (b) & (c)

<u>Description</u>	<u>Cost</u>	<u>Accumulated Depreciation</u>	<u>Net Basis</u>
Office Equipment	2,436,267	2,217,564	\$ 218,703
Computer Equipment & Software	2,144,841	2,075,398	69,443
Fine Art	4,780	-	4,780
Leasehold Improvements	30,840,198	25,127,124	5,713,074
Other	44,719	33,142	11,577
Automobile	58,395	22,884	35,511
	<u>\$ 35,529,200</u>	<u>\$ 29,476,112</u>	
		<b>Total line 14</b>	<b>\$ 6,053,088</b>

**ROCKEFELLER BROTHERS FUND, INC.**  
**13-1760106**  
**475 Riverside Drive, Suite 900**  
**New York, New York 10115**

**SCHEDULE 8A**  
**FORM 990-PF**  
**YEAR 2015**

STATEMENT REGARDING ACTIVITIES

Part VII-A, lines 4 (a) & (b)

**2015 Tax Return on Form 990-T**

The Rockefeller Brothers Fund did not have unrelated business gross income greater than \$1,000 in 2015.

The Rockefeller Brothers Fund will file a Form 990-T for 2015 to report pass through losses from the Fund's partnership investments.

ROCKEFELLER BROTHERS FUND, INC. 13-1760106  
475 Riverside Drive, Suite 900  
New York, NY 10115

Schedule 9  
FORM 990-PF  
Year 2015

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2015

1. Name and Address of Recipient:  
ACTIVEVISION  
Bait Hadash 7  
Tel Aviv 6687461  
Israel
2. Date and Amount of Grant:  
11/24/2015 \$5,000
3. Purpose of Grant:  
For its ActiveStills project.
4. Amount Expended by Grantee:  
**The entire amount.** Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:  
No.
6. Date of Reports received from Grantee:  
On 3/1/2016, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):  
Not required.

**ROCKEFELLER BROTHERS FUND, INC.****GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2015**

1. Name and Address of Recipient:  
THE ADM CAPITAL FOUNDATION LIMITED  
2406 9 Queen's Road Central  
Hong Kong  
China
2. Date and Amount of Grant:  
3/19/2014      \$220,000
3. Purpose of Grant:  
For its China Water Risk project.
4. Amount Expended by Grantee:  
**The entire amount.** Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:  
No.
6. Date of Reports received from Grantee:  
On 2/28/2015 and 9/5/2016, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):  
Not required.

Note: After the period of the grant, Grantee was determined to be the equivalent of a public charity.

**ROCKEFELLER BROTHERS FUND, INC.****GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2015**

1. Name and Address of Recipient:  
THE ADM CAPITAL FOUNDATION LIMITED  
2406 9 Queen's Road Central  
Hong Kong  
China
2. Date and Amount of Grant:  
3/25/2015      \$250,000
3. Purpose of Grant:  
For its China Water Risk project.
4. Amount Expended by Grantee:  
**The entire amount.** Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:  
No.
6. Date of Reports received from Grantee:  
On 3/4/2016, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):  
Not required.

Note: During the period of the grant, Grantee was determined to be the equivalent of a public charity.

**ROCKEFELLER BROTHERS FUND, INC.****GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2015**

1. Name and Address of Recipient:  
ARAB HUMAN RIGHTS FUND STICHTING  
Bahrain Street, Nakheel Building, Caracas District  
Beirut  
Lebanon
2. Date and Amount of Grant:  
7/23/2014      \$70,000
3. Purpose of Grant:  
For general support.
4. Amount Expended by Grantee:  
**The entire amount.** Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:  
No.
6. Date of Reports received from Grantee:  
On 7/3/2015 and 2/29/2016, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):  
Not required.

**ROCKEFELLER BROTHERS FUND, INC.**

**GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2015**

1. Name and Address of Recipient:  
ASSOCIATION FOR THE PROMOTION OF COOPERATION IN SOUTH EAST EUROPE AKA THE BALKAN FORUM  
Markou Botsari 13  
Thessaloniki 54643  
Greece
2. Date and Amount of Grant:  
9/8/2014      \$75,000  
12/17/2014    \$75,000
3. Purpose of Grant:  
For general support.
4. Amount Expended by Grantee:  
**\$141,130.79.** Grantor received from Grantee narrative and financial reports certifying that \$141,130.79 of the grant was expended for the purpose for which it was made. An unexpended balance of \$8,843.21 was returned to the Fund as of 11/25/2015. This is less than the total unexpended balance (\$8,869.21) because of bank fee deductions. There is no longer a balance on the grant, and it is now considered closed.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:  
No.
6. Date of Reports received from Grantee:  
On 7/15/2015, Grantor received from Grantee narrative and financial reports certifying that \$141,130.79 of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):  
Not required.

**ROCKEFELLER BROTHERS FUND, INC.****GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2015**

1. Name and Address of Recipient:  
BALKAN GREEN FOUNDATION  
2 Korriku 2/3  
Pristina 10000  
Kosovo
2. Date and Amount of Grant:  
3/11/2015      \$95,000
3. Purpose of Grant:  
For general support.
4. Amount Expended by Grantee:  
**The entire amount.** Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:  
No.
6. Date of Reports received from Grantee:  
On 2/29/2016 and 5/21/2016, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):  
Not required.

**ROCKEFELLER BROTHERS FUND, INC.****GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2015**

1. Name and Address of Recipient:  
BALKAN POLICY INSTITUTE  
Rr. Qamil Hoxha 4/3  
Pristina 10000  
Kosovo
2. Date and Amount of Grant:  
8/6/2014      \$25,000
3. Purpose of Grant:  
For its project, Labor Market in Kosovo.
4. Amount Expended by Grantee:  
**\$24,994.** Grantor received from Grantee narrative and financial reports certifying that \$24,994 of the grant was expended for the purpose for which it was made. This amount is equal to the total amount received by Grantee (\$24,994), which is less than the amount granted because of the conversion rate. There is no longer a balance on the grant, and it is now considered closed.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:  
No.
6. Date of Reports received from Grantee:  
On 3/3/2015, 6/23/2015, and 1/4/2016, Grantor received from Grantee narrative and financial reports certifying that \$24,994 of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):  
Not required.

**ROCKEFELLER BROTHERS FUND, INC.****GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2015**

1. Name and Address of Recipient:  
BEIJING CHAOYANG DISTRICT YONGXU GLOBAL ENVIRONMENTAL  
INSTITUTE  
Tayuan Diplomatic Residence Office Building  
No. 14 Liangmahe South Road  
Unit 1, Suite 32  
Chaoyang District  
Beijing 100062  
China
2. Date and Amount of Grant:  
3/19/2015      \$200,000
3. Purpose of Grant:  
To support China-U.S. climate leadership.
4. Amount Expended by Grantee:  
**\$199,995.** Grantor received from Grantee narrative and financial reports certifying that \$199,995 of the grant was expended for the purpose for which it was made. This amount is equal to the total amount received by Grantee (\$199,995), which is less than the amount granted because of bank fee deductions. There is no longer a balance on the grant, and it is now considered closed.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:  
No.
6. Date of Reports received from Grantee:  
On 2/29/2016, Grantor received from Grantee narrative and financial reports certifying that \$199,995 of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):  
Not required.

**ROCKEFELLER BROTHERS FUND, INC.****GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2015**

1. Name and Address of Recipient:  
DIREKT36 UJSAGIRO KOZPONT NONPROFIT KFT.  
Pozsonyi ut 10  
Budapest 1137  
Hungary
2. Date and Amount of Grant:  
10/22/2014    \$100,000
3. Purpose of Grant:  
For general support.
4. Amount Expended by Grantee:  
**The entire amount.** Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:  
No.
6. Date of Reports received from Grantee:  
On 2/28/2015, 6/16/2015, and 11/13/2015, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):  
Not required.

**ROCKEFELLER BROTHERS FUND, INC.****GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2015**

1. Name and Address of Recipient:  
EUROPEAN MIDDLE EAST PROJECT  
Huidevettersstraat 165  
Brussels B-1000  
Belgium
2. Date and Amount of Grant:  
9/16/2015      \$70,000
3. Purpose of Grant:  
For general support.
4. Amount Expended by Grantee:  
**\$30,290.98.** Grantor received from Grantee narrative and financial reports certifying that \$30,290.98 of the grant was expended for the purpose for which it was made. A report on the balance of \$39,709.02 will be due in 2017.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:  
No.
6. Date of Reports received from Grantee:  
On 2/28/2016, Grantor received from Grantee narrative and financial reports certifying that \$30,290.98 of the grant was expended for the purpose for which it was made. A report on the balance of \$39,709.02 will be due in 2017.
7. Dates and Results of the Verification of Grantee's Report(s):  
Not required.

**ROCKEFELLER BROTHERS FUND, INC.****GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2015**

1. Name and Address of Recipient:  
INVESTOR WATCH  
286 Sandycombe Road  
Kew Gardens  
Surrey TW9 3NG  
United Kingdom
2. Date and Amount of Grant:  
11/4/2015     \$15,000
3. Purpose of Grant:  
For its project, INDCs as a Roadmap for Overcoming Finance Hurdles at COP21 and Beyond.
4. Amount Expended by Grantee:  
**The entire amount.** Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:  
No.
6. Date of Reports received from Grantee:  
On 7/29/2016, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):  
Not required.

**ROCKEFELLER BROTHERS FUND, INC.**

**GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2015**

1. Name and Address of Recipient:  
KOSOVO FOUNDATION FOR TALENT AND EDUCATION  
Rruga Sadik Zeneli  
SU4/6 LF-4 No. 23, Dardania  
Pristina 10000  
Kosovo
2. Date and Amount of Grant:  
11/24/2015    \$30,000  
12/17/2015    \$27,217
3. Purpose of Grant:  
For general support.
4. Amount Expended by Grantee:  
**\$3,655.97.** Grantor received from Grantee narrative and financial reports certifying that \$3,655.97 of the grant was expended for the purpose for which it was made. A report on the balance of \$53,561.03 will be due in 2017.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:  
No.
6. Date of Reports received from Grantee:  
On 2/23/2016, Grantor received from Grantee narrative and financial reports certifying that \$3,655.97 of the grant was expended for the purpose for which it was made. A report on the balance of \$53,561.03 will be due in 2017.
7. Dates and Results of the Verification of Grantee's Report(s):  
Not required.

**ROCKEFELLER BROTHERS FUND, INC.****GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2015**

1. Name and Address of Recipient:  
LEADERSHIP AND GROWTH COUNCIL  
Rexhep Luci 15/5  
Pristina 10000  
Kosovo
2. Date and Amount of Grant:  
4/2/2015      \$35,000
3. Purpose of Grant:  
For general support.
4. Amount Expended by Grantee:  
**The entire amount.** Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:  
No.
6. Date of Reports received from Grantee:  
On 2/29/2016, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):  
Not required.

**ROCKEFELLER BROTHERS FUND, INC.****GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2015**

1. Name and Address of Recipient:  
RAMON MAGSAYSAY AWARD FOUNDATION  
1680 Roxas Boulevard  
Manila 1004  
Philippines
2. Date and Amount of Grant:  
8/19/2010      \$150,000
3. Purpose of Grant:  
To support the 2010 Ramon Magsaysay Awards.
4. Amount Expended by Grantee:  
**\$135,000.** Grantor received from Grantee narrative and financial reports certifying that \$135,000 of the grant was expended for the purpose for which it was made. A report on the balance of \$15,000 will be due in 2016.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:  
No.
6. Date of Reports received from Grantee:  
On 10/11/2010, 9/15/2011, 11/3/2013, 9/24/2014, and 10/23/2015, Grantor received from Grantee narrative and financial reports certifying that \$135,000 of the grant was expended for the purpose for which it was made. A report on the balance of \$15,000 will be due in 2016.
7. Dates and Results of the Verification of Grantee's Report(s):  
Not required.

**ROCKEFELLER BROTHERS FUND, INC.****GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2015**

1. Name and Address of Recipient:  
MITVIM - THE ISRAELI INSTITUTE FOR REGIONAL FOREIGN POLICIES  
c/o Eyal Bressler & Co., 11 Tuval Street  
Ramat Gan 52522  
Israel
2. Date and Amount of Grant:  
12/9/2015      \$20,000
3. Purpose of Grant:  
For general support.
4. Amount Expended by Grantee:  
**\$0.** Grantor received from Grantee narrative and financial reports certifying that \$0 of the grant was expended for the purpose for which it was made. A report on the balance of \$20,000 will be due in 2017.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:  
No.
6. Date of Reports received from Grantee:  
On 2/26/2016, Grantor received from Grantee narrative and financial reports certifying that \$0 of the grant was expended for the purpose for which it was made. A report on the balance of \$20,000 will be due in 2017.
7. Dates and Results of the Verification of Grantee's Report(s):  
Not required.

**ROCKEFELLER BROTHERS FUND, INC.****GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2015**

1. Name and Address of Recipient:  
RONA NISHLIU FOUNDATION  
Rr. 28 Nentori, Dardania  
SU1/1, H-2, nr. 20  
Pristina 10000  
Kosovo
2. Date and Amount of Grant:  
5/20/2015      \$16,000
3. Purpose of Grant:  
For general support.
4. Amount Expended by Grantee:  
**The entire amount.** Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:  
No.
6. Date of Reports received from Grantee:  
On 1/28/2016, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):  
Not required.

**ROCKEFELLER BROTHERS FUND, INC.****GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2015**

1. Name and Address of Recipient:  
RADIO VALA RINORE  
Eqrem Cabej  
B-2/13, OB.1  
Pristina 10000  
Kosovo
2. Date and Amount of Grant:  
4/29/2015      \$25,000
3. Purpose of Grant:  
For its project, Sustainable Development - Raising Awareness for Energy Efficiency
4. Amount Expended by Grantee:  
**The entire amount.** Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:  
No.
6. Date of Reports received from Grantee:  
On 12/8/2015, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):  
Not required.

**ROCKEFELLER BROTHERS FUND, INC.****GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2015**

1. Name and Address of Recipient:  
STICHTING CORPORATE EUROPE OBSERVATORY  
26 Rue d'Edimbourg  
Brussels 1050  
Belgium
2. Date and Amount of Grant:  
12/17/2014    \$50,000
3. Purpose of Grant:  
For its work on economic integration negotiations.
4. Amount Expended by Grantee:  
**The entire amount.** Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:  
No.
6. Date of Reports received from Grantee:  
On 3/23/2015, 6/23/2015, and 2/26/2016, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):  
Not required.

**ROCKEFELLER BROTHERS FUND, INC.****GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2015**

1. Name and Address of Recipient:  
TROJA GROUP  
Rr. 24 Maji, Arberi p.n.  
Pristina 10000  
Kosovo
2. Date and Amount of Grant:  
10/29/14      \$30,000
3. Purpose of Grant:  
For its project, Speak Up!
4. Amount Expended by Grantee:  
**\$29,807.** Grantor received from Grantee narrative and financial reports certifying that \$29,807 of the grant was expended for the purpose for which it was made. This amount is equal to the total amount received by Grantee (\$29,807), which is less than the amount granted because of the conversion rate and bank fee deductions. There is no longer a balance on the grant, and it is now considered closed.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:  
No.
6. Date of Reports received from Grantee:  
On 6/18/2015, 12/8/2015, and 2/28/2016, Grantor received from Grantee narrative and financial reports certifying that \$29,807 of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):  
Not required.

**ROCKEFELLER BROTHERS FUND, INC.****GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2015**

1. Name and Address of Recipient:  
THE VOICES PROJECT  
c/o Purpose  
Unit 28  
40 Bowling Green Lane  
London EC1R 0NE  
United Kingdom
2. Date and Amount of Grant:  
12/9/2015      \$140,000
3. Purpose of Grant:  
For general support.
4. Amount Expended by Grantee:  
**The entire amount.** Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:  
No.
6. Date of Reports received from Grantee:  
On 7/9/2016, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):  
Not required.

**ROCKEFELLER BROTHERS FUND, INC.**  
**13-1760106**  
**475 Riverside Drive, Suite 900**  
**New York, New York 10115**

**SCHEDULE 10**  
**FORM 990-PF**  
**YEAR 2015**

Compensation of Officers, Directors, and Trustees

Part VIII, (1)

<u>Name and Address</u>	<u>Title</u>	<u>Average Hours per Week Devoted to Position</u>	<u>Compensation</u>	<u>Contributions to Employee Benefit Plans</u>	<u>Expense Account and Other Allowances</u>
<b>TRUSTEES:</b>					
Steven C. Rockefeller c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	1-3 hrs.	- 0 -	None	None
David Rockefeller c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Advisory Trustee	1-3 hrs.	- 0 -	None	None
Anne Bartley c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	1-3 hrs.	- 0 -	None	None
Vali Nasr c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	1-3 hrs.	- 0 -	None	829
R. Nicholas Burns c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	1-3 hrs.	- 0 -	None	829
Valerie Rockefeller Wayne c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee, Chair	10 hrs.	- 0 -	None	None
Joseph A. Pierson c/o Rockefeller Brother Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee Vice Chair	1-3 hrs.	- 0 -	None	829
Miranda M. Kaiser c/o Rockefeller Brother Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	<b>(2)</b> 1-3 hrs.	- 0 -	None	None
Wendy Gordon c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	1-3 hrs.	- 0 -	None	None

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**YEAR 2015**

Compensation of Officers, Directors, and Trustees

Part VIII, (1)

<u>Name and Address</u>	<u>Title</u>	<u>Average Hours per Week Devoted to Position</u>	<u>Compensation</u>	<u>Contributions to Employee Benefit Plans</u>	<u>Expense Account and Other Allowances</u>
Justin Rockefeller c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	(1) 1-3 hrs.	- 0 -	None	None
Arlene Shuler c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	(1) 1-3 hrs.	- 0 -	None	829
Marsha Simms c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	(1) 1-3 hrs.	- 0 -	None	829
Kavita Ramdas c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	(1) 1-3 hrs.	- 0 -	None	829
Daniel Levy c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	1-3 hrs.	- 0 -	None	829
Peter O'Neill c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	(4) 1-3 hrs.	- 0 -	None	None
Hugh Lawson c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	1-3 hrs.	- 0 -	None	None
Marnie S. Pillsbury c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	1-3 hrs.	- 0 -	None	None
<b>OFFICERS:</b> Stephen B. Heintz 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee, President	40-50 hrs.	600,023	176,034	5,470

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 New York, New York 10115

SCHEDULE 10  
 FORM 990-PF  
 YEAR 2015

Compensation of Officers, Directors, and Trustees

Part VIII, (1)

Name and Address	Title	Average Hours per Week Devoted to Position	Compensation	Contributions to Employee Benefit Plans	Expense Account and Other Allowances
Elizabeth C. Campbell 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Vice President For Programs	40-50 hrs.	340,353	86,687	4,577
Geraldine F. Watson 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Vice President, Finance and Operations	40-50 hrs.	335,286	96,410	6,285
Nancy L. Muirhead 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Corporate Secretary	40-50 hrs.	233,522	97,867	5,089
Judy A. Clark 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Executive Director of the Pocantico Center	(3) 40-50 hrs.	202,171	75,141	4,669
			<b>\$ 1,711,355</b>	<b>\$ 532,139</b>	<b>\$ 31,893</b>

(1) Re-elected to Board effective June 17, 2015.

(2) Until June 17, 2015.

(3) Elected as Officer effective June 17, 2015.

(4) Originally elected to the Board effective March 13, 2014. Re-elected to Board effective June 17, 2015.

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New York, NY 10115

**Schedule 11**  
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**Summary of Direct Charitable Activities**

**PART IX-A**

**Line 1 Pocantico Historic Area**

The Pocantico Center is managed by the Rockefeller Brothers Fund as part of its agreement with the National Trust for Historic Preservation, entered into in 1991, under which the Fund is responsible for the renovation, maintenance, and operation of this historic site. It also serves as a community resource, and offers public access through a visitation program, lectures, and cultural events. In 2015, 33,647 people visited the Pocantico Historic area, and 53 public programs were held.

Amount: \$3,914,065

**Line 2 Assistance to Charitable Organizations:**

During 2015, 12 members of the staff of Rockefeller Brothers Fund provided technical assistance to charitable organizations, governmental bodies and committees, and 17 members of the staff served on 38 charitable organizations' boards, committees, public commissions, or task forces.

Amount: \$682,046

**Line 3 Pocantico Conference Center**

The Pocantico Conference Center of the Rockefeller Brothers Fund, located within the Pocantico Historic Area (see Line 1, above), began operations in April of 1994. The Pocantico Center is a venue for conferences and meetings on critical issues related to the Rockefeller Brothers Fund's mission. The Pocantico Center also hosts artist residencies, and provides performance space for artists selected by RBF grantees and New York City arts organizations. In 2015, The Pocantico Center held 65 conferences and meetings. Of the 65 conferences held, 24 were related to the Fund's programs. There were 12 Pocantico artist residencies in 2015.

Amount: \$303,705

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PART XV, Line 2a

Grant Inquiries are accepted throughout the year at <http://www.rbf.org/content/apply-grant>. The online Grant Inquiry is the starting point for applying for a grant from the Rockefeller Brothers Fund.

PART XV, Line 2b

Founded in 1940, the Rockefeller Brothers Fund advances social change that contributes to a more just, sustainable, and peaceful world. The Fund's grantmaking is organized in three thematic programs that support work in the United States and at the global level: Democratic Practice, Sustainable Development, and Peacebuilding, and in two pivotal place programs that address these themes in specific contexts: Southern China and the Western Balkans. The Charles E. Culpeper Arts & Culture program, focused on New York, nurtures a vibrant and inclusive arts community in the Fund's home city.

Rockefeller Brothers Fund staff are highly engaged in the fields in which they provides support. Staff members actively identify organizations that are well-positioned to meet programmatic goals. While the Fund remains open to unsolicited requests, applicants should be aware that the likelihood of an unsolicited request becoming a grant is low. Applications for funding are considered throughout the year. The first step for prospective applicants is to submit an online grant inquiry. The review process may take up to three months from the time the inquiry is received.

Staff review each inquiry for fit within current program guidelines, funding priorities, and budget constraints. If there is interest in considering a grant, staff will contact the applicant for additional information, including a detailed proposal. Applicants should not send a full proposal unless requested to do so by Fund staff. The review process may take up to three months from the time the inquiry is received.

Once a grant is approved, grantees will receive an agreement letter from the Fund outlining the terms of the grant, including the expected reporting requirements and payment schedule. The first payment on the grant is generally issued upon return of the countersigned award letter to the Fund.

RBF staff members monitor grants throughout their life cycle. Each grantee is required to submit narrative and financial reports at specified intervals during the grant as well as at its conclusion. Grant reports become part of the Fund's permanent records.

PART XV, Line 2c

The Charles E. Culpeper Arts & Culture program honors the legacy of Charles E. Culpeper by supporting the artists and arts and cultural organizations that make New York City one of the world's most dynamic creative capitals. These grants support the creative process, build the capacity of small and mid-size arts and cultural institutions, and promote the pursuit of the creative life.

**ELIGIBILITY**

- Organizations based within the five boroughs of New York City
- Organizations with 501(c)(3) tax status or projects of sponsoring organizations with 501(c)(3) tax status
- For capacity-building grants, organizations with annual operating budgets between \$200,000 and \$4 million

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- Generally, no capital campaigns, festivals, meetings, cash reserve funds, or endowments
- Support for arts education has been phased out

PART XV, Line 2d

The Rockefeller Brothers Fund awards grants throughout the year to support a variety of charitable projects in the United States and abroad that seek to expand knowledge, clarify values and critical choices, nurture creative expression, and shape public policy.

To be eligible for a grant, organizations should be considered tax-exempt or seeking support for a project that would qualify as educational or charitable. The Fund's grantmaking is organized around three thematic programs and three place-based programs. The RBF awards a limited number of Philanthropic Stewardship and Special Opportunities grants that support nonprofit infrastructure organizations and help to further the Fund's mission. The Fund does not accept unsolicited applications in these areas.

Please also see What We Do Not Fund at [www.rbf.org/content/what-we-do-not-fund](http://www.rbf.org/content/what-we-do-not-fund).

**RBF PROGRAM STATEMENT**

The Rockefeller Brothers Fund advances social change that contributes to a more just, sustainable, and peaceful world. Through its grantmaking, the Fund supports efforts to expand knowledge, clarify values and critical choices, nurture creative expression, and shape public policy. The Fund's programs are intended to develop leaders, strengthen institutions, engage citizens, build community, and foster partnerships that include government, business, and civil society. Respect for cultural diversity and ecological integrity pervades the Fund's activities.

As an institutional citizen of an interdependent world, the Fund is active globally, nationally, and locally in its home city of New York. Grant programs are organized around three themes: Democratic Practice; Peacebuilding; and Sustainable Development. The Fund recognizes that achievement of progress in each of these program areas is often interconnected with developments in the others. As a private foundation, the Fund strives to promote philanthropic excellence and to enhance the effectiveness of the nonprofit sector.

As specified in the guidelines for each grant program, the Fund supports activities in a variety of geographic contexts. It also has identified several specific locations on which to concentrate cross-programmatic attention. The Fund refers to these as "RBF pivotal places": sub-national areas, nation-states, or cross-border regions which have special importance with regard to the Fund's substantive concerns and whose future will have disproportionate significance for the future of a surrounding region, an ecosystem, or the world. The Fund currently works in two pivotal places: Southern China and the Western Balkans. The Charles E. Culpeper Arts & Culture program, focused on New York, nurtures a vibrant and inclusive arts community in the Fund's home city. The Pocantico Center of the Rockefeller Brothers Fund is located at the heart of the Rockefeller estate outside New York City and was created when the Fund leased the area from the National Trust for Historic Preservation in 1991. The conference center provides a unique setting where the RBF and other nonprofit organizations and public sector institutions can bring together people of diverse backgrounds and perspectives to engage critical issues, reach new levels of understanding, and develop creative solutions to pressing problems.

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In the years since its founding in 1940, the Fund has developed a distinctive style of grantmaking that is reflected in the following characteristics:

**Long View.** Grantmaking is primarily concerned with fundamental problems and is designed to contribute to the achievement of long-term goals and to make a lasting impact.

**Commitment.** Extended commitments are frequently made to specific issues and geographic regions and even to particular grantees.

**Synergy.** Rather than considering opportunities on a stand-alone basis, the Fund looks for connections among the activities it supports and the themes it pursues, both within and across program areas and in specific geographic locations.

**Initiative.** The Fund initiates or participates in the development of many of the projects that it supports.

**Engagement.** In addition to providing financial support, the Fund often works closely with grantee organizations to help strengthen their capacity and advance their work

**Collaboration.** The Fund actively seeks opportunities to collaborate with other funders.

**Convening.** The Fund devotes time and resources, including the use of its Pocantico Conference Center, to convening groups of diverse stakeholders and encouraging collaboration among government agencies, corporations, and nongovernmental organizations.

The goals and strategies in each of our programs are implemented through a variety of approaches to grantmaking. In some programs, as is noted in the guidelines, the Fund proactively identifies grantee partners and thus has limited ability to respond to unsolicited proposals. Grantseekers are encouraged to study the guidelines closely. Prospective grantees are also urged to consult the section [“How to Apply”](#) at [www.rbf.org](http://www.rbf.org) for specific guidance on the application process.

## **RBF PROGRAMS**

### **DEMOCRATIC PRACTICE PROGRAM GUIDELINES**

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For democracy to flourish and deliver on its promises—including political participation, human rights, access to justice, a good education, an improved quality of life, a healthy environment, and personal security—its citizens must be informed, engaged, empowered, and assertive. Similarly, institutions of governance must be inclusive, transparent, accountable, and responsive.

The frequent failure of both new and established democracies to deliver on democracy's promises undermines the commitment to democratic practices. Wealthy and powerful actors exercise undue influence, and voices that historically have been excluded remain unheard in decision-making processes.

The United States continues to face a number of democratic deficits: a decline in many traditional forms of civic engagement; reduced participation in the formal institutions of democracy, including but not limited to voting; and declining trust in all institutions, especially institutions of government. These deficits are being exacerbated by deeply rooted economic challenges, and American society is becoming increasingly polarized, socially, economically, and politically.

At the same time, the process of globalization has similarly produced democratic deficits in global governance. Global power and wealth inequities have deepened, while the significance of decisions made by transnational institutions such as multilateral organizations, multinational corporations, and international financial institutions has increased. In this patchwork of institutions and practices, global governance decisions are made with inadequate inclusiveness, accountability, and transparency, often pre-empting or distorting legitimate national and local decision-making processes.

The Fund's Democratic Practice program has two parts: strengthening democracy in the United States and strengthening democratic practice in global governance. Based on a careful assessment of local needs and priorities, the Fund also pursues one or more of the democratic principles underlying the program in its "pivotal places." Recognizing that there is no single model of effective democratic practice, the Fund emphasizes flexibility and adaptability to different contexts in these pivotal places.

### **DEMOCRACY IN THE UNITED STATES**

Persistent and deep divisions undermine the nation's social, economic, and political vitality. The gap between rich and poor, both economic and social, continues to widen, with the top one percent of American wage earners receiving nearly a quarter of the nation's total income. Exorbitant amounts of private money spent on political campaigns and lobbying profoundly distort the political system. Those without the financial resources to influence public policy are further marginalized, and private interests are frequently prioritized over the public good, fostering public cynicism and a distrust of elected officials. According to the Center for Responsive Politics, an estimated \$7 billion was spent on the 2012 federal elections. In 2012, successful candidates for the House of Representatives spent an average of \$1.6 million on their campaigns; successful candidates for the Senate spent an average of \$11.4 million, up from \$1.4 million and \$9.8 million, respectively, in the 2010 cycle. Moreover, in 2012, there were 12,374 registered lobbyists working to influence Congress and federal agencies—23 for every member of Congress—with a total of \$3.27 billion spent on lobbying.

The quality of our political culture is deteriorating. There are few examples of bipartisanship and constructive compromise in political debate. While participation in national elections has increased, it remains below that of most advanced democracies and turnout for local elections is persistently low. Furthermore, millions of eligible voters have been kept from voting by registration or identification problems and many others have been discouraged by poor administration of polling places, or worse, by overt efforts at voter suppression. For years, government has been derided as a source of the nation's ills, rather than a positive force for national progress. The capacities of government institutions have been weakened, and confidence in them has declined.

In the media, confrontation often passes for dialogue, crowding out nuanced views and a middle ground. Traditional media organizations face financial challenges that are reducing the number of public voices and limiting journalism's ability to hold government and business accountable. Resources for investigative journalism are shrinking. New media offer opportunities to broaden and redefine journalism but may foster a cacophony of voices without editorial mediation, leaving the public with no way to judge the accuracy or reliability of the information.

**Goal: Strengthening the Vitality of Democracy in the U.S.**

In the United States, the Fund seeks to strengthen and to broaden participation in the practices and institutions of democratic governance through the following strategies.

**Strategies:**

- Combating the corrupting influence of money in politics by supporting the adoption of public financing of electoral campaigns and selected other reforms that increase access to and participation in elections and enhance the integrity of representative democracy.
- Fostering greater understanding of and appreciation for the role of the public sector in society. Support also will be provided on a limited basis to organizations that promote the improved performance of key public sector institutions.
- Promoting the transparency, accountability, and responsiveness of government institutions and the transparency and accountability of corporate political spending, with special attention given to the importance of investigative journalism and to the potential of new technologies to enhance transparency and strengthen accountability.

**GLOBAL GOVERNANCE**

Globalization—the dramatic increase in cross-border flows of capital, goods, and people and their values and ideas—is producing deeper interdependencies and changes in power relations. It is a defining process of the 21st century, offering both challenges and opportunities.

Global governance is an incomplete patchwork of institutions, rules, and processes that transcend the authority of individual nations. The elements of global governance are many, including formal agreements among nations; the architecture and practices of international and intergovernmental institutions and international courts; the policies, behaviors, and actions of states and multinational corporations; and the work and influence of civil society organizations.

Economic interests have largely overshadowed democratic practices, social equity, and environmental concerns in the evolution of global governance institutions and processes. Powerful international trade and financial institutions remain opaque and exclusive, while the power and reach of multinational corporations often escape oversight or effective regulation. Thus, while the impact of global governance on peoples' lives is growing, democracy in global governance faces acute deficits. Transparency and accountability in global decision making are often critically deficient. Representation in global governance processes remains limited; underrepresented populations and weaker states have restricted voice and standing, curtailing their access and participation. Injustices persist, as rights are unevenly recognized and ad hoc coordination often substitutes for meaningful democratic processes. Together, these deficits challenge the ability of nations and groups to protect their commonwealth, ensure necessary social and environmental protections, and promote ethical and effective stewardship of common resources.

At the same time, globalization has opened up new opportunities for building cross-border coalitions that are finding innovative ways to address and resolve global problems. Novel combinations of public, private, intergovernmental, and nongovernmental organizations are asserting their concerns, forging new

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democratic practices, and advancing systemic changes in global processes, rules, and institutions.

**Goal: Strengthening the Vitality of Democracy in Global Governance**

In its work to strengthen democracy in global governance, the Fund focuses on the areas of climate change, development finance, and trade. The Fund is particularly interested in civil society organizations that represent and advance developing country concerns as they seek to increase equity and foster sustainability.

**Strategies:**

- Enhancing transparency and accountability of global governance institutions and decision-making processes.
- Expanding access to and participation in global governance institutions and decision-making processes. Priority is given to initiatives led by or in support of constituencies underrepresented in global governance.
- Advancing new ideas, advocacy approaches, and institutional arrangements aimed at more effective and democratic decision making in global governance and more just and sustainable outcomes.

**SUSTAINABLE DEVELOPMENT PROGRAM GUIDELINES**

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Human activity is causing climate change, rapid loss of biodiversity, and accelerating degradation of Earth's life support systems. These developments threaten the livelihoods, health, and security of people in all nations and cultures as well as the well-being of the greater community of life. The RBF's sustainable development grantmaking endeavors to address these challenges by supporting development that meets the needs of the present without compromising the ability of future generations to meet their own needs. The program supports global stewardship that is ecologically based, economically sound, socially just, culturally appropriate, and consistent with intergenerational equity. The Fund encourages government, business, and civil society to work collaboratively on climate change, to acknowledge the moral and ethical consequences of inaction, and to make it an integral part of all development planning and activity. Recognizing the global nature of many environmental problems, the Fund also promotes international cooperation in addressing these challenges.

The Sustainable Development program maintains a significant focus on the United States in light of its disproportionate impact on the global economy, politics, and the environment. The program's work is also advanced in collaboration with the Fund's "pivotal place" programs—New York City, Southern China, and the Western Balkans—and with the Democratic Practice program's Global Governance portfolio. Pivotal place programs support work in specific countries or regions to build the knowledge, policies, organizational capacity, and leadership needed to advance sustainable development in locally appropriate ways. The Fund's Global Governance portfolio supports broad participation in forging the international agreements and institutional arrangements needed to encourage investment in sustainable

development. Fund staff work to ensure that global developments inform work in specific places and that locally grounded efforts generate lessons and innovations needed for global impact.

With the recognition that the impact of unchecked climate change threatens all other conservation efforts, the Sustainable Development program focuses its U.S. grantmaking on building a green economy at the federal, state, and local levels.

**Goal: Advancing Solutions to Climate Change**

**Strategies:**

- Building public and policymaker understanding and support for a range of actions to address the threat of climate change.
- Supporting implementation efforts to build a clean energy economy at the federal, state, and local levels.
- Supporting efforts to reduce reliance on carbon-intensive energy sources.
- Supporting targeted efforts to advance international progress on climate change.

**PEACEBUILDING PROGRAM GUIDELINES**

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Numerous and diverse factors drive contemporary conflicts, from strained relations between governments and groups of people to threats that transcend borders such as environmental crises, the flow of weapons, and violent extremism. In today's interdependent world, regional or local conflicts often have consequences that undermine the security and well-being of distant communities. Transforming conflict to build just and durable peace requires the global community to think differently about how it responds to the complex nature of 21st century challenges. Governments, multilateral organizations, and civil society must work in concert to develop a new framework for conflict prevention and peacebuilding that engages international, regional, state, and local actors in addressing the human security challenges of local populations.

As the world evolves rapidly toward a multipolar reality in which a growing array of nations pursue their national interests from positions of shifting political and economic power, the United States continues to play an important role in global efforts to create a more just, sustainable, and peaceful world. U.S. policies and behaviors that demonstrate respect for the competencies and perspectives of both traditional allies and emerging powers can help create a greater sense of shared responsibility and advance collective problem-solving to prevent, manage, and resolve conflicts. Civil society organizations have a significant role to play in promoting non-violent responses to conflict and cultivating the political climate necessary for official peacebuilding and diplomatic negotiations to succeed. They also are important advocates for a more robust multilateral system that is effective at brokering peace agreements.

The Fund's Peacebuilding program aspires to strengthen grassroots constituencies for peace and to connect them with policymaking on the regional and international levels. It aims to understand the

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conditions that lead to violence and the processes that support durable peace in order to identify innovative solutions to the most pressing drivers of insecurity. The program's grantmaking focuses on conflicts that have a disproportionate influence on international peace and security. It works closely with the Fund's Pivotal Place programs, especially in the Western Balkans, and with the Global Governance portfolio of the Democratic Practice program. The Fund places particular importance on elevating the role of women and young leaders in international peacebuilding and conflict transformation efforts.

**Goal: Advancing Just and Durable Peace**

The Fund will pursue the following interrelated strategies with respect to select conflicts.

**Strategies:**

- Supporting innovative and collaborative approaches and policies for conflict prevention, management, and transformation at the multilateral, regional, and national levels.
- Strengthening constituencies and political will for conflict transformation and durable peace.
- Exploring solutions to emerging transnational threats and drivers of conflict (specific focus to be developed).

*The program is currently focusing a significant portion of its grantmaking on the wider Middle East.*

**CULPEPER ARTS & CULTURE PROGRAM GUIDELINES**

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The Charles E. Culpeper Arts & Culture grants honor the legacy of Charles E. Culpeper by supporting the artists and arts and cultural organizations that make New York City one of the world's most dynamic creative capitals. The Fund is inspired by the conviction that arts and culture promote free expression, foster a deeper understanding of human experience and diverse communities, and provide a fresh lens on persistent problems and emerging challenges. The Fund aims to strengthen the cultural environment of the city by supporting its rich artistic community and the diversity of the city's population. Special interests of the Fund are to sustain and advance small and mid-size cultural organizations, particularly those that are community-based and/or culturally specific, and to provide opportunities for artists of color and other underrepresented artists to contribute to the cultural vitality of New York City. The Fund also seeks to encourage mainstream, larger institutions to present underrepresented artists and to find innovative ways to engage new and diverse audiences.

The Pocantico Center, located in Tarrytown, New York, is an important resource in the Fund's efforts to support artists and the creative process, advance cultural awareness, and foster artistic collaborations. The center provides time and space for aesthetic exploration and opportunities for nurturing artistic imagination.

Grants support the creative process and build the capacity of small and mid-size arts and cultural institutions across all arts disciplines, and promote diversity in the city's creative life. Capacity-building

grants support strategic planning, technology enhancements, board and staff development, marketing, and outreach initiatives.

**Goal: Nurture a Vibrant and Inclusive Arts Community in New York City**

**Strategies:**

- Supporting organizations that assist individual artists and the creative process with an emphasis on providing opportunities for artists of color and other underrepresented artists.
- Providing artist residencies, in partnership with grantee organizations, at The Pocantico Center.
- Building capacity in small and mid-size cultural organizations, particularly those that are community-based and/or reflect the city's diversity.

The Fund prioritizes support for organizations with annual budgets under \$4 million. Given limited resources and to enable the Fund to be responsive to a wider range of applicants, grantee organizations must wait a minimum of three years from their grant final payment before reapplying. The Fund does not make grants to individuals.

**PIVOTAL PLACE: SOUTHERN CHINA PROGRAM GUIDELINES**

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In March 2004, the Rockefeller Brothers Fund decided to concentrate its Asian grantmaking in southern China, one of the fastest growing and most dynamic regions of the world. This focus builds on the Fund's history of philanthropy in East and Southeast Asia and continues over a century of Rockefeller family philanthropy in China which includes the founding of the Peking Union Medical College in 1917.

The Fund's geographic area of interest encompasses the nine diverse and increasingly interconnected provinces of the Pan Pearl River Delta—Guangdong, Guangxi, Hunan, Yunnan, Sichuan, Guizhou, Fujian, Hainan, and Jiangxi—and, to a lesser extent, Hong Kong and Macau. In practice, RBF grantmaking also connects work in southern China with Beijing-based institutions and stakeholders because of their importance in addressing regional and national sustainable development challenges. This region accounts for one-third of China's population and 40 percent of its GDP. It has played a pivotal role in China's extraordinary progress over the last 30 years, progress which includes, among many achievements, the elevation of hundreds of millions of people out of extreme poverty and the growth of China's economy into the second largest in the world.

Southern China's rapid development, however, has been accompanied by profound challenges including environmental degradation and growing disparities between rich and poor, urban and rural which, if not addressed, threaten the sustainability of development in the region, the country, and the world. With innovative government policy, a vibrant marketplace, and growing public participation, China has the potential to lead the world in sustainable approaches to development. The RBF's southern China grantmaking seeks to assist indigenous Chinese efforts to: 1) mitigate environmental pollution and improve the well-being of the Chinese people; 2) further sustainable energy practices and climate change solutions; and 3) strengthen community leadership for sustainable development.

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At the core of all of the RBF's work in southern China is a fundamental belief in the wisdom, creativity, and resourcefulness of the Chinese people. The Fund will encourage multidisciplinary approaches to problem solving that integrate social, economic, environmental, and cultural concerns; and it will seek to foster collaboration at all levels and across sectors—government, business, civil society, and academia.

## **SUSTAINABLE DEVELOPMENT**

### **Goal: Mitigating Environmental Pollution and Its Impact on Human Health**

#### **Strategies:**

- Building knowledge, capacity, and cross-sector, cross-discipline collaborations.
- Strengthening public participation in environmental governance by promoting accountability, transparency, and access to information.
- Encouraging responsible corporate behavior in protecting the environment and public health.

### **Goal: Advancing Solutions to Climate Change**

#### **Strategies:**

- Supporting a broad range of initiatives to assist China's efforts to reduce greenhouse gas emissions, with a strong emphasis on work at the provincial and local levels to promote energy conservation and clean energy production.
- Building understanding and facilitating cooperation between the United States and China in pursuing effective policy and action on energy and climate challenges.

### **Goal: Strengthening Community Leadership to Support Sustainable Development**

#### **Strategies:**

- Nurturing indigenous philanthropy.
- Strengthening the capacity of key civic sector institutions, including the development of emerging leaders.

## **PIVOTAL PLACE: WESTERN BALKANS PROGRAM GUIDELINES**

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The Rockefeller Brothers Fund (RBF) has been engaged in grantmaking in the Western Balkans since 2001. At that time, in light of the region's long history of instability and the recent conclusion of the Balkan wars of the 1990s, the prospect of a peaceful, democratic, and prosperous Europe hinged, in part, on the Balkans' successful transition to a post-Communist and post-conflict era. During its first years in the Western Balkans, the Fund's grantmaking aimed to nurture healthy democratic processes, support regional initiatives to debunk persistent myths and prejudices, and encourage development of a vibrant

civil society. The Fund's work in the Western Balkans, and especially in Serbia, Montenegro, Kosovo, and Bosnia and Herzegovina, builds on its 20-year past experience in Central and Eastern Europe, supporting transition processes and helping to create conditions for their accession to the European Union.

The countries of the Western Balkans are focused on realizing their European integration aspirations, as accession brings with it the promise of stability and rule of law—necessary prerequisites for long-term peace, prosperity, and democracy. To support that vision, the Fund will help create the enabling legal, fiscal, and political environment for EU membership, giving special attention to strengthening democratic practice, enabling sustainable development, and documenting and facing the consequences of past conflicts. Engagement and leadership of youth in building a sustainable future for the region and creating a new narrative based on the region's diversity and European values are central to the RBF's support in the region.

The Fund focuses on Serbia, Montenegro, Kosovo, and Bosnia and Herzegovina to help them complete the process of integration into the EU. The interdependence among the countries, common challenges they face, and the potential they possess together as a subregion of the European Union present a unique opportunity for successful integration. The Fund supports civil society initiatives that advance collaboration and enhance the capacity for sustainable development and enduring peace in the region.

The RBF is pursuing the following goal and strategies across the Western Balkans region:

**Goal: Support the successful integration of the Western Balkans into the European Union**

**Strategies:**

- Supporting civil society efforts to improve practices, performance, transparency, and accountability in governance.
- Advancing sustainable development, focusing on an efficient regional energy sector based on renewable energy resources.
- Strengthening constituencies for reconciliation and enduring peace.
- Encouraging the development of indigenous philanthropy and corporate social responsibility to support participatory democracy and models of sustainable development.

The RBF works closely with civil society groups, governmental institutions, and other funding organizations to highlight the richness of the region's ethnic, gender, age, cultural, and racial diversity and to promote the values of a pluralistic and inclusive society.

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<b>2030, Inc.</b> 607 Cerrillos Road Santa Fe, NM 87505 United States <i>To advance sustainable architecture in China.</i>	PC	\$0	\$200,000	\$0	\$200,000	\$0
<b>2030, Inc.</b> 607 Cerrillos Road Santa Fe, NM 87505 United States <i>For general support.</i>	PC	\$0	\$200,000	\$0	\$200,000	\$0
<b>2030, Inc.</b> 607 Cerrillos Road Santa Fe, NM 87505 United States <i>To advance sustainable architecture in China.</i>	PC	\$0	\$400,000	\$0	\$339,545	\$60,455
<b>350.org</b> 20 Jay Street, Suite 732 Brooklyn, NY 11201 United States <i>For general support.</i>	PC	\$0	\$250,000	\$0	\$250,000	\$0
<b>Kyle Abraham Abraham in Motion, Inc.</b> P.O. Box 986 New York, NY 10113 United States <i>For general support.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0
<b>ActionAid</b> 33-39 Bowling Green Lane London, EC1R 0BJ United Kingdom <i>For its Bretton Woods Project.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
<b>ActionAid USA</b> ActionAid International USA 1420 K Street, NW Suite 900 Washington, D.C. 20005 United States <i>For advocacy on international climate finance.</i>	PC	\$0	\$70,000	\$0	\$70,000	\$0
<b>ActiveVision</b> Bait Hadash 7 Tel Aviv, 6687461 Israel <i>For its ActiveStills project.</i>	PF	\$0	\$5,000	\$0	\$5,000	\$0
<b>The ADM Capital Foundation Limited</b> 2406 9 Queen's Road Central Hong Kong China <i>For its China Water Risk project.</i>	PF	\$0	\$500,000	\$0	\$250,000	\$250,000

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<b>Advanced Energy Economy Institute</b> 135 Main Street, Suite 1320 San Francisco, CA 94105 United States <i>For its 21st Century Energy System Initiative.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
<b>Alliance for Justice</b> 11 Dupont Circle, NW, 2nd Floor Washington, D.C. 20036 United States <i>For general support.</i>	PC	\$0	\$20,000	\$0	\$20,000	\$0
<b>ALPHA Centre</b> Serdara Jola Piletica 1 Niksic, 81400 Montenegro <i>For its project, Facilitating Public Debate on Euro-Atlantic Integration in Montenegro.</i>	PC	\$0	\$24,825	\$0	\$24,825	\$0
<b>America Abroad Media</b> 1701 Pennsylvania Ave, NW Suite 300 Washington, D.C. 20006 United States <i>For its South Asia town hall series.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
<b>American Council for an Energy Efficient Economy</b> 529 14th Street, NW, Suite 600 Washington, D.C. 20045-1000 <i>For its Ensuring Strong Fuel Economy Standards project.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0
<b>American Friends Service Committee</b> 1501 Cherry Street Philadelphia, PA 19102 United States <i>For its Israel Program.</i>	PC	\$0	\$50,000	\$0	\$0	\$50,000
<b>American Prospect, Inc.</b> 1333 H Street, NW Suite 300 East Tower Washington, D.C. 20005 <i>For the expansion of its coverage related to money, politics, and democracy.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>American University</b> 4400 Massachusetts Avenue, NW Washington, D.C. 20016-8061 United States <i>For the Investigative Reporting Workshop of its School of Communication.</i>	PC	\$0	\$40,000	\$0	\$40,000	\$0

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<b>Arab Foundations Forum</b> P.O. Box 840900 Amman, 11181 Jordan <i>For general support.</i>	PC	\$0	\$30,000	\$0	\$30,000	\$0
<b>Arab Reform Initiative</b> 71 Rue Fondary Paris, 75015 France <i>For general support.</i>	PC	\$0	\$200,000	\$0	\$200,000	\$0
<b>As You Sow</b> 1611 Telegraph Avenue, Suite 1450 Oakland, CA 94612 United States <i>For its project, Divest-Invest Philanthropy.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
<b>Asian Americans Advancing Justice - AAJC, Inc.</b> 1620 L Street, NW Suite 1050 Washington, D.C. 20036 United States <i>For general support.</i>	PC	\$0	\$32,000	\$0	\$32,000	\$0
<b>Aspen Global Change Institute, Inc.</b> 104 Midland Avenue, Suite 205 Basalt, CO 81621 United States <i>For its Climate Communications project.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
<b>The Aspen Institute, Inc.</b> One Dupont Circle, NW Suite 700 Washington, D.C. 20036 United States <i>For its Congressional Program.</i>	PC	\$150,000	\$0	\$0	\$150,000	\$0
<b>The Aspen Institute, Inc.</b> One Dupont Circle, NW Suite 700 Washington, D.C. 20036 United States <i>For its Congressional Seminar on the Middle East.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>The Aspen Institute, Inc.</b> One Dupont Circle, NW Suite 700 Washington, D.C. 20036 United States <i>For its Aspen Minister's Forum.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0

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<b>The Aspen Institute, Inc.</b> One Dupont Circle, NW Suite 700 Washington, D.C. 20036 United States <i>For its Congressional Program.</i>	PC	\$0	\$350,000	\$0	\$150,000	\$200,000
<b>Atlantic Council of the U.S., Inc.</b> 1030 15th Street, NW, 12th Floor Washington, D.C. 20005 United States <i>For its South Asia Center.</i>	PC	\$0	\$200,000	\$0	\$100,000	\$100,000
<b>Australian Environmental Grantmakers Network</b> 39 Little Collin Street Melbourne, VIC 3000 Australia <i>For general support.</i>	PC	\$25,000	\$0	\$0	\$25,000	\$0
<b>Arthur Aviles Typical Theatre</b> 2474 Westchester Avenue Bronx, NY 10461 United States <i>For the development and implementation of its strategic plan.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0
<b>Balkan Green Foundation</b> 2 Korriku 2/3 Pristina, 10000 Kosovo <i>For general support.</i>	PF	\$0	\$95,000	\$0	\$95,000	\$0
<b>Balkan Investigative Reporting Network</b> Mesa e Studenteve, Kati I Pristina, 10000 Kosovo <i>For general support.</i>	PC	\$0	\$200,000	\$0	\$100,000	\$100,000
<b>Balkan Investigative Reporting Regional Network</b> Mehmeda Spahe 10 Sarajevo, 71000 Bosnia and Herzegovina <i>For general support.</i>	PC	\$0	\$180,000	\$0	\$90,000	\$90,000
<b>The Bank Information Center</b> 1023 15th Street, NW 10th Floor Washington, D.C. 20005 United States <i>For its work on securing accountability and access to World Bank decisions.</i>	PC	\$0	\$35,000	\$0	\$35,000	\$0

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<b>Bard College</b> P.O. Box 5000 Annandale-on-Hudson, NY 12504 United States <i>For the Bard/Longy Masters of Music in Curatorial, Critical, and Performance Studies.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0
<b>Monica Bill Barnes and Company</b> 41 Perry Street, Suite 2A New York, NY 10014 United States <i>For its capacity-building initiative.</i>	PC	\$0	\$35,000	\$0	\$35,000	\$0
<b>Beijing Chaoyang District Yongxu Global Environmental Institute</b> Tayuan Diplomatic Residence Office Building No. 14 Liangmahe South Rd. Unit 1, Suite 32 Chaoyang District  Beijing, 100062 China <i>To support China-U.S. climate leadership.</i>	PF	\$0	\$400,000	\$0	\$200,000	\$200,000
<b>Beijing Entrepreneur Environmental Protection Foundation</b> No. 1 (JIA) Nongzhan South Road, Chaoyang District Beijing, 100125 China <i>For its Blue Sky Defense Fund.</i>	PC	\$0	\$500,000	\$0	\$300,000	\$200,000
<b>Beijing Normal University</b> 19 Xijiekou Wai Street, Haidian District Beijing, 100875 China <i>For its China Philanthropic Research Institute.</i>	PC	\$0	\$300,000	\$0	\$150,000	\$150,000
<b>Beijing Water Source Conservation Foundation</b> Rm. 4001, Fengbaoheng Dasha, No. 2, Minzuyuan Road, Chaoyang District Beijing, 100029 China <i>For its project on environment and health.</i>	PC	\$0	\$200,000	\$0	\$100,000	\$100,000
<b>BOFFO, Inc.</b> 85 Kenmare Street Unit 22 New York, NY 10012 United States <i>For general support.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0

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<b>Trustees of Boston University</b> 745 Commonwealth Avenue Boston, MA 02215 United States <i>For the Global Economic Governance Initiative of its Frederick S. Pardee School of Global Studies.</i>	PC	\$0	\$200,000	\$0	\$100,000	\$100,000
<b>Breaking the Silence</b> P.O. Box 51027 Tel Aviv, 6713206 Israel <i>For general support.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0
<b>William J. Brennan, Jr., Center for Justice, Inc.</b> 161 Avenue of the Americas 12th Floor New York, NY 10013 United States <i>For its Money in Politics and Fair Courts projects.</i>	PC	\$0	\$200,000	\$0	\$200,000	\$0
<b>Broadway Cares/Equity Fights AIDS, Inc.</b> 165 West 46th Street, Suite 1300 New York, NY 10036 United States <i>For the development of new work and performance opportunities in its Dancers Responding to AIDS program.</i>	PC	\$0	\$30,000	\$0	\$30,000	\$0
<b>Bronx Museum of the Arts</b> 1040 Grand Concourse Bronx, NY 10456-3999 United States <i>For general support.</i>	PC	\$0	\$175,000	\$0	\$75,000	\$100,000
<b>Brooklyn Academy of Music, Inc.</b> 30 Lafayette Avenue Brooklyn, NY 11217 United States <i>For the Next Wave Festival programs at its Richard B. Fisher building.</i>	PC	\$0	\$150,000	\$0	\$75,000	\$75,000
<b>Business for the Environment Global Futures Foundation</b> 230 California Street, Suite 301 San Francisco, CA 94111 United States <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$0	\$100,000
<b>Campaign Legal Center, Inc.</b> 1411 K Street, NW Suite 1400 Washington, D.C. 20005 United States <i>For general support.</i>	PC	\$0	\$80,000	\$0	\$80,000	\$0

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<b>Carnegie Endowment for International Peace</b> 1779 Massachusetts Avenue, NW Washington, D.C. 20036 United States <i>For general support.</i>	PC	\$0	\$140,000	\$0	\$70,000	\$70,000
<b>CEL Education Fund</b> 1330 Broadway, Suite 300 Oakland, CA 94612 United States <i>For its project, Online Progressive Engagement Network.</i>	PC	\$0	\$57,000	\$0	\$57,000	\$0
<b>CEL Education Fund</b> 1330 Broadway, Suite 300 Oakland, CA 94612 United States <i>For general support.</i>	PC	\$0	\$125,000	\$0	\$25,000	\$100,000
<b>Center for Civilians in Conflict</b> 1850 M Street, NW Suite 350 Washington, D.C. 20036 United States <i>For general support.</i>	PC	\$0	\$200,000	\$0	\$100,000	\$100,000
<b>Center for Climate Strategies</b> 1800 K Street, NW, Suite 714 Washington, D.C. 20006 United States <i>To support a research project in Minnesota.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>Center for Climate Strategies</b> 1800 K Street, NW, Suite 714 Washington, D.C. 20006 United States <i>For general support.</i>	PC	\$0	\$175,000	\$0	\$175,000	\$0
<b>Center for Climate Strategies</b> 1800 K Street, NW, Suite 714 Washington, D.C. 20006 United States <i>To support Chinese policymakers in low-carbon development planning and analysis.</i>	PC	\$0	\$400,000	\$0	\$200,000	\$200,000
<b>Center for Democratic Transition</b> VII Omladinske bb Podgorica Montenegro <i>For its project, Initiative for Transparent State Prosecution.</i>	PC	\$0	\$48,544	\$0	\$48,544	\$0
<b>Center for Economic and Policy Research</b> 1611 Connecticut Ave, NW Suite 400 Washington, D.C. 20009 United States <i>For general support.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0

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<b>Center for Effective Government</b> 2040 S Street, NW, 2nd Floor Washington, D.C. 20009 United States <i>For its Charity and Security Network.</i>	PC	\$0	\$30,000	\$0	\$30,000	\$0
<b>Center for Effective Philanthropy, Inc.</b> 675 Massachusetts Avenue, 7th Floor Cambridge, MA 02139 United States <i>For general support.</i>	PC	\$25,000	\$0	\$0	\$25,000	\$0
<b>Center for Euro-Atlantic Studies</b> Trajka Stamenkovica 2 Belgrade, 11000 Serbia <i>For general support.</i>	PC	\$7,422	\$0	\$0	\$7,422	\$0
<b>Center for Euro-Atlantic Studies</b> Trajka Stamenkovica 2 Belgrade, 11000 Serbia <i>For general support.</i>	PC	\$0	\$80,000	\$0	\$40,000	\$40,000
<b>Center for International Policy, Inc.</b> 2000 M Street, NW, Suite 720 Washington, D.C. 20036 United States <i>For its project, Win Without War.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
<b>Center for International Policy, Inc.</b> 2000 M Street, NW, Suite 720 Washington, D.C. 20036 United States <i>For its Security Assistance Monitor project.</i>	PC	\$0	\$30,000	\$0	\$30,000	\$0
<b>Center for Investigative Journalism of Serbia</b> Resavska 28/II Belgrade Serbia <i>For general support.</i>	PC	\$0	\$39,900	\$0	\$39,900	\$0
<b>Center for Investigative Reporting, Inc.</b> 1400 65th Street, Suite 200 Emeryville, CA 94608 United States <i>For general support.</i>	PC	\$0	\$40,000	\$0	\$40,000	\$0
<b>Center for Political Accountability</b> 1233 20th Street, NW, Suite 205 Washington, D.C. 20036 United States <i>For general support.</i>	PC	\$0	\$70,000	\$0	\$70,000	\$0
<b>Center for Popular Democracy</b> 802 Kent Avenue Brooklyn, NY 11205 United States <i>For its Voting Rights and Democracy program.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0

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<b>Center for Public Integrity</b> 910 17th Street, NW 7th Floor Washington, D.C. 20006 United States <i>For general support.</i>	PC	\$0	\$60,000	\$0	\$60,000	\$0
<b>Center for Responsive Politics</b> 1101 14th Street, NW Suite 1030 Washington, D.C. 20005 United States <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
<b>Ceres, Inc.</b> 99 Chauncey Street, 6th Fl. Boston, MA 02111-1703 United States <i>For general support.</i>	PC	\$0	\$200,000	\$0	\$200,000	\$0
<b>chashama, Inc.</b> 675 Third Avenue 32nd Floor New York, NY 10017 United States <i>For its strategic planning initiatives.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>China Council for Industrial Environmental Protection</b> R6136, Apartment Unit 1, Exhibition Centre Hotel No. 135 Xizhimen Wai Street  Beijing, 100044 China <i>For its assessment and ranking of China's urban carbon emissions.</i>	PC	\$0	\$130,000	\$0	\$130,000	\$0
<b>China Dialogue Trust</b> Suite 306 Grayston Centre 28 Charles Square London, N1 6HT United Kingdom <i>To support its work on environment and health and energy and climate issues.</i>	PC	\$95,000	\$0	\$0	\$95,000	\$0
<b>Chinese Academy of Sciences</b> 52 Sanlihe Road Beijing, 100864 China <i>For its Forum on Health, Environment, and Development.</i>	PC	\$223,000	\$0	\$0	\$223,000	\$0
<b>Citizens for Responsibility and Ethics in Washington, Inc.</b> 455 Massachusetts Avenue, NW 6th Floor Washington, D.C. 20001 United States <i>For general support.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0

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<b>City Futures, Inc.</b> 120 Wall Street 20th Floor New York, NY 10005 United States <i>For its report, Creative New York: Ten Years Later.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
<b>Civic Alliance</b> Studentska ulica Lamela 9, br. 5 Podgorica, 8100 Montenegro <i>For general support.</i>	PC	\$80,000	\$0	\$0	\$80,000	\$0
<b>Civic Association CRTA-The Center for Research, Transparency and Accountability</b> 7/16, Nusiceva Street Belgrade, 11000 Serbia <i>For general support.</i>	PC	\$90,000	\$0	\$0	\$90,000	\$0
<b>Clean Air Initiative for Asian Cities Center, Inc.</b> Unit 3504-3505, Robinsons-Equitale Tower, ADB Avenue Pasig City, NCR 1605 Philippines <i>For promoting air quality management in China.</i>	PC	\$0	\$500,000	\$0	\$200,000	\$300,000
<b>Climate Accountability Institute</b> 1626 Gateway Road Snowmass, CO 81654 United States <i>For communications outreach on responsibility for climate change.</i>	PC	\$0	\$37,000	\$0	\$37,000	\$0
<b>Climate Action Reserve</b> 601 W. 5th Street, Suite 650 Los Angeles, CA 90071 United States <i>For its Subnational Delegation project.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
<b>Carbon Disclosure Project North America, Inc.</b> 132 Crosby Street, 8th Floor New York, NY 10012 United States <i>For its Capturing Leadership Actions by Non-State Actors project.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
<b>The Climate Group, Inc.</b> 145 West 58th Street, Suite 2A New York, NY 10019 United States <i>For its Paris and Beyond campaign.</i>	PC	\$0	\$135,000	\$0	\$135,000	\$0
<b>The Climate Group, Inc.</b> 145 West 58th Street, Suite 2A New York, NY 10019 United States <i>For its Paris and Beyond campaign.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0

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<b>Colorado State University Foundation</b> 410 Univesity Services Center Fort Collins, CO 80523-9100 United States <i>For Colorado State University's Center for the New Energy Economy.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
<b>Trustees of Columbia University in the City of New York</b> Office of Research Administration 1210 Amsterdam Avenue Room 254 Engineering Terrace, Mail Code: 2205  New York, NY 10027 United States <i>For the Columbia Graduate School of Journalism's postgraduate fellowship program.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0
<b>COMET-ME</b> Meshek 30 Tsafririm, 99830 Israel <i>For general support.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0
<b>Common Cause Education Fund</b> 1133 19th Street, NW, 9th Floor Washington, D.C. 20036 United States <i>For general support.</i>	PC	\$0	\$250,000	\$0	\$100,000	\$150,000
<b>The Conference Board, Inc.</b> 845 Third Avenue New York, NY 10022-6679 United States <i>For its project, the Committee for Economic Development of The Conference Board.</i>	PC	\$0	\$40,000	\$0	\$40,000	\$0
<b>Confluence Philanthropy, Inc.</b> 475 Riverside Drive, Suite 900 New York, NY 10115 United States <i>For general support.</i>	PC	\$0	\$10,000	\$0	\$10,000	\$0
<b>Conservatives for Clean Energy</b> 514 Daniels Street, Suite 197 Raleigh, NC 27605 United States <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$0	\$50,000
<b>The Constitution Project</b> 1200 18th Street, NW Suite 1000 Washington, D.C. 20036 United States <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0

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<b>Consultative Group on Biological Diversity</b> Presidio Building 1016 P.O. Box 29361 San Francisco, CA 94129-0361 United States <i>For 2015 membership and general support.</i>	PC	\$0	\$32,550	\$0	\$32,550	\$0
<b>Council on Foreign Relations, Inc.</b> 58 East 68th Street New York, NY 10021 United States <i>For its Contingency Planning Roundtable Series.</i>	PC	\$0	\$200,000	\$0	\$100,000	\$100,000
<b>Crisis Action, Inc.</b> 708 Third Avenue Suite 1820 New York, NY 10017 United States <i>For general support.</i>	PC	\$0	\$200,000	\$0	\$100,000	\$100,000
<b>Crisis Management Initiative</b> Etelaranta 12, 2nd Floor Helsinki, 00130 Finland <i>For its Middle East program.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
<b>Cuban Artists Fund, Inc.</b> 41 Union Square West Room 610 New York, NY 10003 United States <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
<b>Slavko Curuvija Foundation</b> Kursulina 7/5 Belgrade, 11000 Serbia <i>For general support.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0
<b>Dancewave, Inc.</b> 45 Fourth Avenue Brooklyn, NY 11217 United States <i>For its capacity-building initiative.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>University of Delaware</b> 162 The Green Newark, DE 19716 United States <i>For its Special Initiative on Offshore Wind.</i>	PC	\$0	\$200,000	\$0	\$200,000	\$0
<b>University of Delaware</b> 162 The Green Newark, DE 19716 United States <i>For its Special Initiative on Offshore Wind project.</i>	PC	\$0	\$300,000	\$0	\$50,000	\$250,000

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<b>Democracy Works, Inc.</b> 20 Jay Street, Suite 824 Brooklyn, NY 11201 United States <i>For general support.</i>	PC	\$0	\$125,000	\$0	\$35,780	\$89,220
<b>Democracy 21 Education Fund</b> 2000 Massachusetts Avenue, NW Washington, D.C. 20036 United States <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>Demos: A Network for Ideas and Action, Ltd.</b> 220 Fifth Avenue, Second Floor New York, NY 10001 United States <i>For general support and its Inclusive Democracy project.</i>	PC	\$0	\$150,000	\$0	\$150,000	\$0
<b>Doctors Without Borders USA, Inc.</b> 333 Seventh Avenue, 2nd Floor New York, NY 10001 United States <i>For its efforts to provide medical care to Syrian refugees.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
<b>DokuFest</b> Marin Barleti nn Prizren, 20000 Kosovo <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$25,000	\$25,000
<b>Earth Island Institute, Inc.</b> 2150 Allston Way, Suite 460 Berkeley, CA 94706-1375 United States <i>For its project, EcoEquity.</i>	PC	\$0	\$170,000	\$0	\$95,000	\$75,000
<b>EarthAction Alerts Network</b> P.O. Box 63 Amherst, MA 01004 United States <i>For its Citizens' 2015 Campaign for a Global Climate Agreement.</i>	PC	\$0	\$15,000	\$0	\$15,000	\$0
<b>Earthjustice</b> 50 California Street, Suite 500 San Francisco, CA 94111 United States <i>For its project, Creating the New Utility Business Model in New York</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000
<b>ecoAmerica</b> 1900 L Street, NW Suite 607 Washington, D.C. 20036 United States <i>For its Hispanic climate communications research and summit.</i>	PC	\$0	\$79,250	\$0	\$0	\$79,250

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<b>Economic Policy Institute</b> 1333 H Street, NW Suite 300 Washington, DC 20005 United States <i>For a series of analytic papers on economic integration agreements.</i>	PC	\$0	\$33,000	\$0	\$33,000	\$0
<b>EcoPeace Middle East Environmental NGO Forum</b> Menachim Begin 90 Tel Aviv, 67138 Israel <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
<b>Edge Funders Alliance</b> Box 559 60 29th Street San Francisco, CA 94110 United States <i>For its working group, Edge Europe.</i>	PC	\$0	\$10,000	\$0	\$10,000	\$0
<b>Education for Just Peace in the Middle East</b> P.O. Box 21539 Washington, D.C. 20009 United States <i>For general support.</i>	PC	\$0	\$30,000	\$0	\$30,000	\$0
<b>Electrification Coalition Foundation</b> 1111 19th Street, NW, Suite 406 Washington, D.C. 20036 United States <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>Electrification Coalition Foundation</b> 1111 19th Street, NW, Suite 406 Washington, D.C. 20036 United States <i>For its Energy Secure Cities Coalition program.</i>	PC	\$0	\$100,000	\$0	\$0	\$100,000
<b>ENCOMPASS - Centre for Social and Psychological Studies and Services</b> Lidhja e Pejes Str., No. 73, Ex-High School of Economy Building, Fourth Floor Pristina, 10000 Kosovo <i>For its project, ATOMI.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0
<b>Energiaklub Climate Policy Institute and Applied Communications Association</b> Szerb utca 17-19 Budapest, 1056 Hungary <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0

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<b>Environment, Economics and Society Institute, Inc.</b> 22 Pearl Street Cambridge, MA 02139 United States <i>For the completion of its study, Benefits of EU Regulations vs. Gains from TTIP.</i>	PC	\$0	\$15,000	\$0	\$15,000	\$0
<b>Environmental Defense Fund, Incorporated</b> 257 Park Avenue South New York, NY 10010 United States <i>For its Climate Corps program.</i>	PC	\$0	\$30,000	\$0	\$30,000	\$0
<b>Environmental Defense Fund, Incorporated</b> 257 Park Avenue South New York, NY 10010 United States <i>For its Green Supply Chain initiative.</i>	PC	\$0	\$150,000	\$0	\$150,000	\$0
<b>Environmental Grantmakers Association</b> 475 Riverside Drive New York, NY 10115 United States <i>For general support.</i>	PC	\$0	\$24,800	\$0	\$24,800	\$0
<b>Eotvos Karoly Policy Institute</b> Szentkirályi utca 11. Budapest, 1088 Hungary <i>For its project, University Citizens.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>European Federation for Transport and Environment</b> 2nd Floor, Square de Meeus, 18 Brussels, 1050 Belgium <i>For its Pacific Islands IMO Engagement project.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
<b>European Federation for Transport and Environment</b> 2nd Floor, Square de Meeus, 18 Brussels, 1050 Belgium <i>For research and advocacy efforts in the field of European Union trade development.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>European Middle East Project</b> Huidevettersstraat 165 Brussels, B-1000 Belgium <i>For general support.</i>	PF	\$0	\$70,000	\$0	\$70,000	\$0
<b>Every Voice Center</b> 1133 19th Street, NW Suite 900 Washington, D.C. 20036 United States <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0

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<b>FairDistricts Now, Inc.</b> 3182 Munroe Drive Miami, FL 33133 United States <i>For general support.</i>	PC	\$0	\$10,000	\$0	\$10,000	\$0
<b>FairVote</b> 6930 Carroll Avenue, Suite 610 Takoma Park, MD 20912 United States <i>For general support.</i>	PC	\$0	\$40,000	\$0	\$40,000	\$0
<b>Fenomena Association</b> Crveni krst 64 Kraljevo, 36000 Serbia <i>For its project, Development Agriculture Fund Fenomena.</i>	PC	\$0	\$30,000	\$0	\$30,000	\$0
<b>ForestEthics</b> 1 Haight Street San Francisco, CA 94102 United States <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>ForestEthics</b> 1 Haight Street San Francisco, CA 94102 United States <i>For its Business Ethics Network project.</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000
<b>Forum for Civic Initiatives</b> Aktash Quarter Rexhep Mala Prishtina, 10000 Kosovo <i>For general support.</i>	PC	\$90,000	\$0	\$0	\$90,000	\$0
<b>Forum for Civic Initiatives</b> Aktash Quarter Rexhep Mala Prishtina, 10000 Kosovo <i>For its Civil Society House project in Kosovo.</i>	PC	\$0	\$150,000	\$0	\$150,000	\$0
<b>Forum Mladi I Neformalna Edukacija</b> ul. Bratstva i jedinstva br. 4 Podgorica, 81000 Montenegro <i>For its project, Youth for Green Montenegro.</i>	PC	\$0	\$80,000	\$0	\$40,000	\$40,000
<b>The Foundation for a Civil Society, Ltd.</b> 25 East End Avenue Apt. 1B New York, NY 10028 United States <i>For its Iran Project.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0

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<b>Fractured Atlas Productions, Inc.</b> 248 West 35th Street, 10th Floor New York, NY 10001 United States <i>For its project, Abdul Latif D2D/T.</i>	PC	\$70,000	\$0	\$0	\$70,000	\$0
<b>Fractured Atlas Productions, Inc.</b> 248 West 35th Street, 10th Floor New York, NY 10001 United States <i>For its project, A Portrait of Marina Abramovic.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0
<b>Fractured Atlas Productions, Inc.</b> 248 West 35th Street, 10th Floor New York, NY 10001 United States <i>For its Donkeysaddle projects.</i>	PC	\$0	\$11,000	\$0	\$11,000	\$0
<b>Franciscan Action Network</b> P.O. Box 29106 Washington, D.C. 20017 United States <i>For its climate campaign.</i>	PC	\$0	\$65,000	\$0	\$65,000	\$0
<b>Free Press</b> 40 Main Street, Suite 301 Florence, MA 01062 United States <i>For general support.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
<b>Free Speech for People, Inc.</b> 48 N. Pleasant Street, Suite 304 Amherst, MA 01002 United States <i>For its Legal Advocacy program.</i>	PC	\$0	\$105,000	\$0	\$105,000	\$0
<b>Friends of the Earth</b> 1100 15th Street, NW, Suite 1100 Washington, D.C. 20005 United States <i>For continued support of its Economic Policy Program's initiative, Advancing and Protecting Sustainability Standards in Development Financing: Addressing the Coal Challenge.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
<b>Friends of the World Food Program, Inc.</b> 1725 I Street, NW, Suite 510 Washington, D.C. 20006 United States <i>For its project to strengthen the international humanitarian system's response to global emergencies.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
<b>Fund for Active Citizenship</b> Vaka Djurovica - Nova Varos II Podgorica, 81000 Montenegro <i>For general support.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0

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<b>Fund for Active Citizenship</b> Vaka Djurovica - Nova Varos II Podgorica, 81000 Montenegro <i>For its Civil Society House project in Montenegro.</i>	PC	\$0	\$150,000	\$0	\$150,000	\$0
<b>Fund for Constitutional Government</b> 122 Maryland Avenue, NE Washington, D.C. 20002 United States <i>For its project, the Peace and Security Funders Group.</i>	PC	\$0	\$35,000	\$0	\$35,000	\$0
<b>Fund for the City of New York, Inc.</b> 121 Avenue of the Americas New York, NY 10013 United States <i>For its project, Composers Now.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
<b>Fund for the City of New York, Inc.</b> 121 Avenue of the Americas New York, NY 10013 United States <i>For its project, the Afro Latin Jazz Alliance.</i>	PC	\$0	\$150,000	\$0	\$141,967	\$8,033
<b>Fund for the City of New York, Inc.</b> 121 Avenue of the Americas New York, NY 10013 United States <i>For its project, the Archive of New York City Cultural Policy.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
<b>Futuro Media Group</b> 361 West 125th Street, 6th Floor New York, NY 10027 United States <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>Gallim Dance Company, Inc.</b> c/o The Church of St. Luke and St. Matthew 520 Clinton Ave Brooklyn, NY 11238-2211 United States <i>For general support.</i>	PC	\$0	\$70,000	\$0	\$35,000	\$35,000
<b>Genetic Resources Action International</b> Girona 25, principal Barcelona, 08010 Spain <i>For its project, bilaterals.org.</i>	PC	\$0	\$30,000	\$0	\$15,000	\$15,000
<b>The President and Directors Georgetown College (Georgetown University)</b> 37th and O Streets, NW Washington, D.C. 20057 United States <i>For the Georgetown Climate Center.</i>	PC	\$0	\$500,000	\$0	\$385,336	\$114,664

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<b>Gisha - Legal Center for Freedom of Movement</b> 42 HaRakevet Street Tel Aviv-Jaffa, 6777008 Israel <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000
<b>Global Fund for Community Foundations</b> Registered Office Community House Citilink Business Park Albert Street Belfast, BT12 4HQ Northern Ireland <i>For its project, the Global Alliance for Community Philanthropy, as well as for its work exploring the feasibility of corporate-funded community philanthropy.</i>	PC	\$59,200	\$0	\$0	\$59,200	\$0
<b>Global Fund for Community Foundations</b> Registered Office Community House Citilink Business Park Albert Street Belfast, BT12 4HQ Northern Ireland <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>Global Fund for Community Foundations</b> Registered Office Community House Citilink Business Park Albert Street Belfast, BT12 4HQ Northern Ireland <i>For planning related to further developing the Community Foundation Atlas.</i>	PC	\$0	\$15,000	\$0	\$15,000	\$0
<b>Global Fund for Community Foundations</b> Registered Office Community House Citilink Business Park Albert Street Belfast, BT12 4HQ Northern Ireland <i>For support of local foundation relief efforts in the wake of the devastating earthquakes in Nepal.</i>	PC	\$0	\$60,000	\$0	\$60,000	\$0
<b>Global Philanthropy Partnership</b> 1916 N. Mohawk Street, Suite 7 Chicago, IL 60614 United States <i>For its Urban Sustainability Directors Network project.</i>	PC	\$75,000	\$0	\$0	\$75,000	\$0
<b>Global Philanthropy Partnership</b> 1916 N. Mohawk Street, Suite 7 Chicago, IL 60614 United States <i>For its Urban Sustainability Directors Network project.</i>	PC	\$0	\$160,000	\$0	\$160,000	\$0

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<b>Grantmakers in the Arts</b> 4055 21st Avenue West, Suite 100 Seattle, WA 98119-1247 United States <i>For membership and general support for 2015.</i>	PC	\$0	\$750	\$0	\$750	\$0
<b>Grassroots Global Justice</b> 4919 Pentridge Street Philadelphia, PA 19143 United States <i>For its work with the Indigenous Environmental Network, the Road to Paris and Beyond.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
<b>Grassroots Jerusalem</b> Mujeer A-Din 8 Jerusalem, 9149302 Israel <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>Great Plains Institute for Sustainable Development, Inc.</b> 2801 21st Avenue South Suite 220 Minneapolis, MN 55407 United States <i>For its Midwestern Power Sector Collaborative project.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>Greater Cincinnati Energy Alliance</b> 200 W. 4th Street, Suite 600 Cincinnati, OH 45202 United States <i>For its Kentucky PACE program.</i>	PC	\$0	\$50,000	\$0	\$25,000	\$25,000
<b>GreenFaith</b> 101 South 3rd Avenue, Suite 12 Highland Park, NJ 08904 United States <i>For its OurVoices campaign.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>GreenFaith</b> 101 South 3rd Avenue, Suite 12 Highland Park, NJ 08904 United States <i>For its OurVoices campaign.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>Groundswell Inc.</b> 1156 15th Street, NW, Suite 840 Washington, D.C. 20005 United States <i>For general support.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
<b>Guangdong Harmony Foundation</b> Room 515, Haizhu Science Park Sun Yat-sen University No. 135 Xingang Road Guangzhou, 510275 China <i>For its South China Environment Fund.</i>	PC	\$350,000	\$0	\$0	\$200,000	\$150,000

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<b>Guangdong Harmony Foundation</b> Room 515, Haizhu Science Park Sun Yat-sen University No. 135 Xingang Road Guangzhou, 510275 China <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>Health Care Without Harm</b> 12355 Sunrise Valley Drive Suite 680 Reston, VA 20191 United States <i>For its project on building momentum on its Climate Change and Human Health campaign.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>Healthy Environment Alliance of Utah</b> 824 South 400 West, Suite B111 Salt Lake City, UT 84101 United States <i>For its carbon reduction campaign.</i>	PC	\$0	\$30,000	\$0	\$15,000	\$15,000
<b>Hebrew University of Jerusalem</b> Mount Scopus Jerusalem, 91905 Israel <i>For its Community-Campus partnership.</i>	PC	\$0	\$150,000	\$0	\$75,000	\$75,000
<b>Hispanics in Philanthropy</b> 414 13th Street Suite 200 Oakland, CA 94612 United States <i>For general support and membership.</i>	PC	\$0	\$10,000	\$0	\$10,000	\$0
<b>Immigrant Legal Resource Center</b> 1663 Mission Street, Suite 602 San Francisco, CA 94103 United States <i>For its New Americans Campaign in New York.</i>	PC	\$0	\$150,000	\$0	\$150,000	\$0
<b>Indivisible</b> 2040 S Street, NW Washington, D.C. 20009 United States <i>For general support.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
<b>Innovation Center for Energy and Transportation</b> 592 Garfield Avenue South Pasadena, CA 91030 <i>For general support.</i>	PC	\$6,226	\$0	\$0	\$0	\$6,226

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<b>Institute for Advanced Studies GAP</b> Mother Theresa Str. 42/29 Pristina, 10000 Kosovo <i>For general support.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0
<b>Institute for Development Policy</b> Fehmi Agani 50 A/4 Pristina, 10000 Kosovo <i>For its project, Strengthening and Developing Sustainable Development in Kosovo.</i>	PC	\$0	\$116,400	\$0	\$55,800	\$60,600
<b>Institute for EastWest Studies, Inc.</b> 11 East 26th Street, 20th Floor New York, NY 10010 United States <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>Institute for Energy Economics and Financial Analysis, Inc.</b> River's Edge 3430 Rocky River Drive Cleveland, OH 44111 United States <i>For analysis of the proposed Kosovo C coal-fired power plant.</i>	PC	\$0	\$30,000	\$0	\$30,000	\$0
<b>Institute for Energy Economics and Financial Analysis, Inc.</b> River's Edge 3430 Rocky River Drive Cleveland, OH 44111 United States <i>For its Puerto Rico Electric System Reform project.</i>	PC	\$0	\$10,000	\$0	\$10,000	\$0
<b>Institute for Energy Economics and Financial Analysis, Inc.</b> River's Edge 3430 Rocky River Drive Cleveland, OH 44111 United States <i>For its work on climate finance.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
<b>Institute for Governance and Sustainable Development, Inc.</b> 2300 Wisconsin Avenue, NW Suite 300B Washington, D.C. 20007 United States <i>For its international climate change project.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0

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<b>Institute for Governance and Sustainable Development, Inc.</b> 2300 Wisconsin Avenue, NW Suite 300B Washington, D.C. 20007 United States <i>For its work on climate and global governance.</i>	PC	\$0	\$325,000	\$0	\$251,123	\$73,877
<b>Institute for Local Self-Reliance</b> 2720 East 22nd Street Minneapolis, MN 55406 United States <i>For its Democratic Energy Program.</i>	PC	\$10,000	\$0	\$0	\$10,000	\$0
<b>Institute for Market Transformation, Inc.</b> 1707 L Street, NW Suite 1050 Washington, D.C. 20036 United States <i>For its work on implementing New York City's Greener, Greater Building Plan.</i>	PC	\$106,887	\$0	\$0	\$106,887	\$0
<b>Institute for Middle East Understanding</b> 174 West 4th Street, Suite 171 New York, NY 10014 United States <i>For general support.</i>	PC	\$0	\$150,000	\$0	\$75,000	\$75,000
<b>Institute for State Effectiveness</b> 600 New Hampshire Avenue, NW Suite 900 Washington, D.C. 20037 <i>For general support.</i>	PC	\$125,000	\$0	\$0	\$125,000	\$0
<b>Institute for Transportation and Development Policy</b> 9 East 19th Street, 7th Floor New York, NY 10003 <i>For its Better Cities program.</i>	PC	\$190,000	\$0	\$0	\$190,000	\$0
<b>Institute of International Education, Inc.</b> 809 United Nations Plaza New York, NY 10017 <i>For the Rockefeller Brothers Fund's Global Travel and Learning Fund.</i>	PC	\$47,000	\$0	\$0	\$47,000	\$0
<b>Institute of International Education, Inc.</b> 809 United Nations Plaza New York, NY 10017 <i>For the Global Travel and Learning Fund.</i>	PC	\$0	\$176,545	\$0	\$161,545	\$15,000

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<b>Institute of Public and Environmental Affairs</b> Room 1, Floor 9, Building 6-1 Jianguomen Diplomatic Residence Compound No. 1 Xiushui Avenue  Beijing China <i>To address challenges at the nexus of air pollution, public health, and climate change in China.</i>	PC	\$0	\$1,300,000	\$0	\$650,000	\$650,000
<b>International Council on Clean Transportation</b> 1225 I Street, NW, Suite 900 Washington, D.C. 20005 <i>For its clean transportation work in Guangdong.</i>	PC	\$0	\$300,000	\$0	\$150,000	\$150,000
<b>International Rescue Committee, Inc.</b> 122 East 42nd Street, 12th Floor New York, NY 10168 <i>For its efforts related to the Syrian refugee crisis.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
<b>Investigative Reporters and Editors, Inc.</b> 141 Neff Annex Missouri School of Journalism Columbia, MO 65211 <i>For a series of sessions at its 2016 annual conference focused on coverage of the 2016 election and issues.</i>	PC	\$0	\$15,000	\$0	\$15,000	\$0
<b>Investor Watch</b> 286 Sandycombe Road Kew Gardens Surrey, TW9 3NG United Kingdom <i>For its project, INDCs as a Roadmap for Overcoming Finance Hurdles at COP21 and Beyond.</i>	PF	\$0	\$15,000	\$0	\$15,000	\$0
<b>Issue One</b> 11 Dupont Circle, NW Suite 350 Washington, D.C. 20036 <i>For general support.</i>	PC	\$0	\$40,000	\$0	\$40,000	\$0
<b>Ithaka Harbors, Inc.</b> 2 Rector Street, 18th Floor New York, NY 10006 <i>For a survey by its Ithaka Strategies + Research to measure the diversity of grantees of the New York City Department of Cultural Affairs.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0

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<b>J Street Education Fund, Inc.</b> P.O. Box 66073 Washington, D.C. 20035 <i>For general support.</i>	PC	\$0	\$250,000	\$0	\$125,000	\$125,000
<b>J Street Education Fund, Inc.</b> P.O. Box 66073 Washington, D.C. 20035 <i>For its Iran Campaign.</i>	PC	\$0	\$3,000,000	\$0	\$3,000,000	\$0
<b>Jessica Lang Dance, Inc.</b> 27-28 Thomson Ave., Unit 252 Long Island City, NY 11101 <i>For general support.</i>	PC	\$0	\$55,000	\$0	\$55,000	\$0
<b>A Jewish Voice for Peace Inc.</b> 1611 Telegraph Avenue, Suite 550 Oakland, CA 94612 <i>For general support.</i>	PC	\$0	\$140,000	\$0	\$70,000	\$70,000
<b>Johns Hopkins University</b> 600 North Wolfe Street Blalock 1408 Baltimore, MD 21287-4961 <i>For its School of Advanced International Studies' Dialogue on the Middle East event.</i>	PC	\$0	\$10,603	\$0	\$10,603	\$0
<b>Jordan Institute Inc.</b> 6 Dixon Avenue, Suite 201 Concord, NH 03301 <i>For its PACE in New Hampshire program.</i>	PC	\$0	\$35,000	\$0	\$35,000	\$0
<b>Jubilee South Asia Pacific Movement, Inc.</b> 34 Matiyaga Street Quezon City, 1100 Philippines <i>For work on linking climate justice and international governance.</i>	PC	\$0	\$150,000	\$0	\$150,000	\$0
<b>Jubilee USA Network</b> 212 East Capitol Street, NE Washington, D.C. 20003 <i>To support engagement of faith communities on development and economic integration issues.</i>	PC	\$0	\$30,000	\$0	\$30,000	\$0
<b>Just Vision</b> 1616 P Street, NW, Suite 340 Washington, D.C. 20036 <i>For general support.</i>	PC	\$0	\$200,000	\$0	\$114,535	\$85,465

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<b>Justice at Stake</b> 717 D Street, NW, Suite 203 Washington, D.C. 20004 <i>For general support.</i>	PC	\$0	\$150,000	\$0	\$50,000	\$100,000
<b>Kosovo Agency for Advocacy and Development</b> Gazmend Zajmi 15 Pristina, 10000 Kosovo <i>For its project, the Roma Versitas Kosovo program.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>Kosovo Foundation for Talent and Education</b> Rruga Sadik Zeneli SU4/6 LF-4 No. 23, Dardania Pristina, 10000 Kosovo <i>For general support.</i>	PF	\$0	\$60,000	\$0	\$57,217	\$2,783
<b>Lark Theatre Company, Inc.</b> 311 West 43rd Street, Suite 406 New York, NY 10036 <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>Lawyers' Committee for Human Rights</b> Kneza Milosa 4 Belgrade, 11000 Serbia <i>For its project, Chapter 23 - What is there for citizens?</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>Leadership and Growth Council</b> Rexhep Luci 15/5 Pristina, 10000 Kosovo <i>For general support.</i>	PF	\$0	\$35,000	\$0	\$35,000	\$0
<b>Lincoln Center for the Performing Arts, Inc.</b> 70 Lincoln Center Plaza New York, NY 10023-6583 <i>For the Lincoln Center Education's Artist Mentoring Lab.</i>	PC	\$105,000	\$0	\$0	\$105,000	\$0
<b>Lincoln Center for the Performing Arts, Inc.</b> 70 Lincoln Center Plaza New York, NY 10023-6583 <i>For the 2015 Lincoln Center Global Exchange.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
<b>Lincoln Center for the Performing Arts, Inc.</b> 70 Lincoln Center Plaza New York, NY 10023-6583 <i>For its cinema series, Lincoln Center at the Movies: Great American Dance.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0

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<b>Lost Light Projects, Inc.</b> 16 Court Street, Suite 1210 Brooklyn, NY 11241 <i>For its InsideClimate News project.</i>	PC	\$0	\$200,000	\$0	\$200,000	\$0
<b>MADRE, Inc.</b> 121 West 27th Street, Suite 301 New York, NY 10001 <i>For its efforts related to the Syrian refugee crisis.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
<b>Malta Conferences Foundation</b> c/o Wiley Rein LLP 1776 K Street, NW Washington, D.C. 20006 <i>For its conference, Malta VII.</i>	PC	\$0	\$15,000	\$0	\$15,000	\$0
<b>MAPLight</b> 2223 Shattuck Avenue Berkeley, CA 94704 <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>Marshall-Wythe School of Law Foundation</b> 613 South Henry Street Williamsburg, VA 23185 <i>For its Election Law Program's State Election Law eBenchbook Project.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>Mary Miss City as Living Laboratory, Inc.</b> 349 Greenwich Street, #5 New York, NY 10013 <i>For the core programs of its Broadway: 1,000 Steps project.</i>	PC	\$0	\$75,000	\$0	\$25,000	\$50,000
<b>University of Maryland College Park Foundation, Inc.</b> 4603 Calvert Road College Park, MD 20740 <i>For its symposium, Order in the House: From Watergate to the Tea Party.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
<b>Massachusetts Institute of Technology</b> Office of Sponsored Programs 77 Massachusetts Avenue, E19-750 Cambridge, MA 02139 <i>For the Energy Generating Wealth initiative of its Community Innovators Lab.</i>	PC	\$125,000	\$0	\$0	\$125,000	\$0
<b>Media and Reform Centre Nis</b> Obrenoviceva 38 Nis, 18000 Serbia <i>For its project, Partnership for Sustainable Development.</i>	PC	\$0	\$52,000	\$0	\$52,000	\$0

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<b>Media Impact Funders, Inc.</b> 200 West Washington Square Suite 220 Philadelphia, PA 19106 <i>For 2014 and 2015 membership.</i>	PC	\$7,000	\$0	\$0	\$7,000	\$0
<b>Media Matters for America</b> 455 Massachusetts Avenue, NW, Suite 600 Washington, D.C. 20031 <i>For its Climate and Energy initiative.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
<b>Metropolitan Waterfront Alliance, Inc.</b> 217 Water Street, Suite 300 New York, NY 10038 <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
<b>Michigan Conservative Energy Forum</b> 106 W. Allegan Street, Suite 200A Lansing, MI 48933 <i>For general support.</i>	PC	\$0	\$75,000	\$0	\$0	\$75,000
<b>Middle East Children's Alliance</b> 1101 Eighth Street, Suite 100 Berkeley, CA 94710 <i>For its Creative Palestinian Communities Fund.</i>	PC	\$0	\$40,000	\$0	\$40,000	\$0
<b>Middle East Policy Network, Inc.</b> P.O. Box 9613 Washington, D.C. 20016-9997 <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000
<b>Regents of the University of Minnesota</b> McNamara Center Suite 450 200 Oak Street, SE Minneapolis, MN 55455 <i>For the Certificate in Election Administration program of its Humphrey School of Public Affairs' Election Academy.</i>	GOV	\$0	\$80,000	\$0	\$80,000	\$0
<b>Mitvim - The Israeli Institute for Regional Foreign Policies</b> c/o Eyal Bressler & Co., 11 Tuval Street Ramat Gan, 52522 Israel <i>For general support.</i>	PF	\$0	\$20,000	\$0	\$20,000	\$0
<b>MOLAD-The Center for the Renewal of Democracy</b> 20 Emek Refaim Street Jerusalem, 93105 Israel <i>For general support.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0

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<b>Mountain Association for Community Economic Development</b> 433 Chestnut Street Berea, KY 40403 <i>For its How\$martKY project.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>Moving Theater</b> FDR Station, P.O. Box 1449 New York, NY 10150 <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000
<b>Nation Institute</b> 116 East 16th Street 8th Floor New York, NY 10003 <i>For its Investigative Fund.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
<b>National Catholic Rural Life Conference</b> 2115 Summit Avenue, Suite 4080 St. Paul, MN 55105 <i>For its Catholic Climate Covenant project.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>National Institute on Money in State Politics</b> 833 N. Last Chance Gulch 2nd Floor Helena, MT 59601 <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
<b>National Iranian American Council</b> 1411 K Street NW, Suite 250 Washington, D.C. 20005 <i>For its project, Comprehensive Approaches to Support Peace and Nonproliferation with Iran.</i>	PC	\$0	\$40,000	\$0	\$40,000	\$0
<b>National Priorities Project, Inc.</b> 243 King Street, Suite 246 Northampton, MA 01060 <i>For general support.</i>	PC	\$0	\$70,000	\$0	\$70,000	\$0
<b>National Wildlife Federation</b> 11100 Wildlife Center Drive Reston, VA 20190-5362 <i>For its Northeast Campaign for Atlantic Offshore Wind Power project.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
<b>Natural Capitalism Solutions, Inc.</b> 11823 N. 75th Street Longmont, CO 80503 <i>For its CLEAN Action Fund.</i>	PC	\$0	\$150,000	\$0	\$150,000	\$0

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<b>Natural Capitalism Solutions, Inc.</b> 11823 N. 75th Street Longmont, CO 80503 <i>For its Presidential Climate Action Project.</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000
<b>Natural Resources Defense Council, Inc.</b> 40 West 20th Street New York, NY 10011 <i>For its Board Members of Color and Indigenous People of Environment and Conservation Organizations Project.</i>	PC	\$17,500	\$0	\$0	\$17,500	\$0
<b>Natural Resources Defense Council, Inc.</b> 40 West 20th Street New York, NY 10011 <i>For its efforts to curb emissions from ports and shipping.</i>	PC	\$0	\$450,000	\$0	\$200,000	\$250,000
<b>NEO Philanthropy, Inc.</b> 45 West 36th Street, 6th Floor New York, NY 10018 <i>For 2014 and 2015 membership in its project, the Funders' Committee for Civic Participation, and for its December 2014 redistricting conference.</i>	PC	\$4,500	\$0	\$0	\$4,500	\$0
<b>The Network for the Affirmation of the NGO Sector</b> Dalmatinska 188 Podgorica, 81000 Montenegro <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$25,000	\$25,000
<b>Network of European Foundations</b> Philanthropy House 94 rue royale Brussels, 1000 Belgium <i>For its project, the European Joint Fund for Hungary.</i>	PC	\$0	\$20,000	\$0	\$20,000	\$0
<b>New America Foundation</b> 1899 L Street, NW, Suite 400 Washington, D.C. 20036 <i>For its U.S.-Iran Initiative.</i>	PC	\$75,000	\$0	\$0	\$75,000	\$0
<b>The New School</b> 66 West 12th Street New York, NY 10011 <i>For its conference, Sanctions and Divestment: Economic Weapons of Political and Social Change.</i>	PC	\$0	\$15,000	\$0	\$15,000	\$0

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<b>New Venture Fund</b> 1201 Connecticut Avenue, NW, Suite 300 Washington, D.C. 20036 <i>For its Climate Mobilization Fund project.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>New Venture Fund</b> 1201 Connecticut Avenue, NW, Suite 300 Washington, D.C. 20036 <i>For its PACENow project.</i>	PC	\$0	\$10,000	\$0	\$10,000	\$0
<b>New Venture Fund</b> 1201 Connecticut Avenue, NW, Suite 300 Washington, D.C. 20036 <i>For its project, The Pluribus Project.</i>	PC	\$0	\$200,000	\$0	\$200,000	\$0
<b>New Venture Fund</b> 1201 Connecticut Avenue, NW, Suite 300 Washington, D.C. 20036 <i>For its Fossil Fuel Reduction project.</i>	PC	\$0	\$325,000	\$0	\$325,000	\$0
<b>New Venture Fund</b> 1201 Connecticut Avenue, NW, Suite 300 Washington, D.C. 20036 <i>For its project, The Pluribus Project.</i>	PC	\$0	\$150,000	\$0	\$50,000	\$100,000
<b>New Venture Fund</b> 1201 Connecticut Avenue, NW, Suite 300 Washington, D.C. 20036 <i>For its project, The Pluribus Project.</i>	PC	\$0	\$200,000	\$878	\$199,122	\$0
<b>New Venture Fund</b> 1201 Connecticut Avenue, NW, Suite 300 Washington, D.C. 20036 <i>For its Climate Interactive Scoreboard project.</i>	PC	\$0	\$50,000	\$0	\$25,000	\$25,000
<b>New Venture Fund</b> 1201 Connecticut Avenue, NW, Suite 300 Washington, D.C. 20036 <i>For its PACENow project.</i>	PC	\$0	\$300,000	\$0	\$150,000	\$150,000
<b>New Venture Fund</b> 1201 Connecticut Avenue, NW, Suite 300 Washington, D.C. 20036 <i>For its Afghanistan Media Engagement project.</i>	PC	\$0	\$200,000	\$0	\$200,000	\$0

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<b>New York City Ballet, Inc.</b> David H. Koch Theater 20 Lincoln Center Plaza New York, NY 10023 <i>For its New York Choreographic Institute to explore a collaboration with the Pocantico Center.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
<b>New York City Energy Efficiency Corporation</b> 1359 Broadway, 19th Floor New York, NY 10018 <i>For general support.</i>	PC	\$125,000	\$0	\$0	\$125,000	\$0
<b>New York Foundation for the Arts, Inc.</b> 20 Jay Street, Suite 740 Brooklyn, NY 11201 <i>For its project, the New York Performance Artists Collective.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
<b>New York Foundation for the Arts, Inc.</b> 20 Jay Street, Suite 740 Brooklyn, NY 11201 <i>For its project, EcoArt.</i>	PC	\$0	\$250,000	\$0	\$200,000	\$50,000
<b>New York Foundation for the Arts, Inc.</b> 20 Jay Street, Suite 740 Brooklyn, NY 11201 <i>For its project, Paradise Interrupted.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>New York Foundation for the Arts, Inc.</b> 20 Jay Street, Suite 740 Brooklyn, NY 11201 <i>For its project, Serrano Studio Projects.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
<b>New York Jobs with Justice, Inc.</b> 50 Broadway, 29th Floor New York, NY 10004 <i>For its New York City Building Retrofit campaign.</i>	PC	\$0	\$200,000	\$0	\$200,000	\$0
<b>New York University</b> 25 West Fourth Street New York, NY 10012 United States <i>For its Leadership Initiative's Social Sector Leadership Diversity Fellowship.</i>	PC	\$0	\$50,000	\$0	\$25,000	\$25,000

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<b>Research Foundation of the City University of New York</b> 240 West 41st Street, 7th Floor New York, NY 10036 <i>For its Murphy Institute's International Program for Labor, Climate, and Environment.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
<b>Nine Seven Two-Advancement of Citizen Journalism</b> 40 Shderot Chen Street Tel Aviv, 64167 Israel <i>For general support.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0
<b>Nonprofit Information Networking Association</b> 112 Water Street, Suite 400 Boston, MA 02109 <i>For general support.</i>	PC	\$25,000	\$0	\$0	\$25,000	\$0
<b>The Ocean Conservancy, Inc.</b> 1300 19th Street, NW 8th Floor Washington, D.C. 20036 <i>For its work on ocean acidification.</i>	PC	\$0	\$190,000	\$0	\$190,000	\$0
<b>Oil Change International</b> 714 G Street, SE Suite 202 Washington, D.C. 20003 <i>For general support.</i>	PC	\$76,848	\$0	\$0	\$76,848	\$0
<b>Oil Change International</b> 714 G Street, SE Suite 202 Washington, D.C. 20003 <i>For its work on global fossil fuel subsidies.</i>	PC	\$0	\$40,000	\$0	\$40,000	\$0
<b>openDemocracy Limited</b> The Print House 18 Ashwin Street London, E8 3DL United Kingdom <i>For its openDemocracy.net project.</i>	PC	\$0	\$5,000	\$0	\$5,000	\$0
<b>Optimist Association</b> Marsala Tita bb Bosilegrad Serbia <i>For its project, Green Economy Encourages Bosilegrad (Green B.)</i>	PC	\$0	\$30,000	\$0	\$30,000	\$0

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<b>Oregon Progress Forum</b> 333 SE 2nd Avenue Portland, OR 97215 <i>For its implementation efforts related to the 2015 Oregon New Motor Voter law.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>Our Children's Trust</b> P.O. Box 5181 Eugene, OR 97405 <i>For general support.</i>	PC	\$15,000	\$0	\$0	\$15,000	\$0
<b>OXFAM America</b> 226 Causeway Street, 5th Floor Boston, MA 02114-2206 <i>For its retreat, Linking Change Movements, Reversing Income Inequality: An Agenda for Fair Wages and Fair Taxes.</i>	PC	\$0	\$15,000	\$0	\$15,000	\$0
<b>Partners Albania, Center for Change and Conflict Management</b> Muhamet Gjolllesha Tirana, 1019 Albania <i>For its project, Enhancing and Advocating for an Enabling Environment for the Development of Philanthropy in Albania.</i>	PC	\$0	\$65,000	\$0	\$65,000	\$0
<b>Partnership for Public Service, Inc.</b> 1100 New York Avenue, NW Suite 200 East Washington, D.C. 20005 <i>For a conference at The Pocantico Center, American Ingenuity: Driving Innovation in the U.S. Federal Government.</i>	PC	\$0	\$40,000	\$0	\$40,000	\$0
<b>Peace Direct</b> Development House 56-64 Leonard Street London, EC2A 4 LT United Kingdom <i>For general support.</i>	PC	\$0	\$70,000	\$0	\$70,000	\$0
<b>PEN American Center, Inc.</b> 588 Broadway, Suite 303 New York, NY 10012 <i>For updating its communications strategy and brand identity.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
<b>People United for Sustainable Housing, Inc.</b> 271 Grant St. Buffalo, NY 14213 <i>For its New York State Energy Democracy Initiative.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0

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<b>Performance Zone, Inc.</b> 75 Maiden Lane Suite 906 New York, NY 10038 <i>For its New York Dance and Performance Awards, The Bessies.</i>	PC	\$5,000	\$0	\$0	\$5,000	\$0
<b>Philanthropy for Active Civic Engagement</b> 1201 15th Street, NW Suite 420 Washington, D.C. 20005 <i>For 2014 and 2015 membership and general support.</i>	PC	\$34,000	\$0	\$0	\$34,000	\$0
<b>Philanthropy New York, Inc.</b> 1500 Broadway, 7th Floor New York, NY 10036 <i>For its Fund for 2025 initiative.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0
<b>Ploughshares Fund</b> 1808 Wedemeyer Street, Suite 200 San Francisco, CA 94129 <i>For its Iran Project.</i>	PC	\$0	\$1,100,000	\$0	\$1,100,000	\$0
<b>Ploughshares Fund</b> 1808 Wedemeyer Street, Suite 200 San Francisco, CA 94129 <i>For its Iran Campaign.</i>	PC	\$0	\$1,000,000	\$0	\$1,000,000	\$0
<b>Polaris Institute USA</b> 2235 Lakeshore Road P.O. Box 106 Essex, NY 12936 <i>For its project, the Our World Is Not For Sale network, for its work related to the World Trade Organization.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
<b>Trustees of Princeton University</b> Office of Research and Project Administration 4 New South Building  Princeton, NJ 08544-5264 <i>For its Program on Science and Global Security at the Woodrow Wilson School of Public and International Affairs.</i>	PC	\$0	\$90,000	\$0	\$90,000	\$0
<b>The Project on Government Oversight, Inc.</b> 1100 G Street, NW Suite 900 Washington, D.C. 20005 <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000

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<b>The Project on Middle East Democracy, Inc.</b> 1611 Connecticut Avenue, NW Suite 300 Washington, D.C. 20036 <i>For its Egypt-related activities.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
<b>Proteus Fund, Inc.</b> 15 Research Drive, Suite B Amherst, MA 01002 <i>For its project, Solidaire.</i>	PC	\$5,861	\$0	\$0	\$5,861	\$0
<b>Proteus Fund, Inc.</b> 15 Research Drive, Suite B Amherst, MA 01002 <i>For its initiative, the Piper Fund.</i>	PC	\$0	\$250,000	\$0	\$125,000	\$125,000
<b>Public Works The Center for the Public Sector</b> 3801 N. Capital of Texas Highway E-240/446 Austin, TX 78746 <i>For general support.</i>	PC	\$0	\$125,000	\$0	\$125,000	\$0
<b>Radio Vala Rinore</b> Eqrem Cabej B-2/13, OB.1 Pristina, 10000 Kosovo <i>For its project, Sustainable Development - Raising Awareness for Energy Efficiency</i>	PF	\$0	\$25,000	\$0	\$25,000	\$0
<b>Rainforest Action Network</b> 425 Bush Street San Francisco, CA 94108 <i>For its Climate and Energy program.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
<b>Regional Environmental Center for Central and Eastern Europe</b> Ady Endre ut 9-11 Szentendre, 2000 Hungary <i>For the fourth phase of its project, Educational Tools for Sustainable Development in the Western Balkans: Turning Education into Action for Sustainable Futures.</i>	GOV	\$125,000	\$0	\$0	\$125,000	\$0
<b>Represent.Us Education Fund</b> P.O. Box 60008 Florence, MA 01062 United States <i>For general support.</i>	PC	\$0	\$60,000	\$0	\$60,000	\$0

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<b>Republikon Foundation for Science, Education and Research</b> Harangvirág utca 7 Budapest, 1026 Hungary <i>For general support.</i>	PC	\$0	\$84,000	\$0	\$84,000	\$0
<b>Resource Media A Nonprofit Corporation</b> 325 Pacific Avenue, 3rd Floor San Francisco, CA 94111 United States <i>For its Rural Electric Cooperatives Clean Energy and Energy Efficiency project.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
<b>Restaurant Opportunities Centers United - ROC United, Inc.</b> 275 7th Avenue, Suite 1703 New York, NY 10001 United States <i>For its project, #It'sOurTime.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
<b>Rockefeller Philanthropy Advisors</b> 6 West 48th Street, 10th Floor New York, NY 10036 United States <i>For its project, the D5 Initiative.</i>	PC	\$25,000	\$0	\$0	\$25,000	\$0
<b>Rockefeller Philanthropy Advisors</b> 6 West 48th Street, 10th Floor New York, NY 10036 United States <i>For the international work of its Fund for Shared Insight.</i>	PC	\$25,000	\$0	\$0	\$25,000	\$0
<b>Rockefeller Philanthropy Advisors</b> 6 West 48th Street, 10th Floor New York, NY 10036 United States <i>For the Theory of the Foundation initiative.</i>	PC	\$0	\$22,500	\$0	\$22,500	\$0
<b>Rockefeller Philanthropy Advisors</b> 6 West 48th Street, 10th Floor New York, NY 10036 United States <i>For its Climate Nexus project.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>Rockefeller Philanthropy Advisors</b> 6 West 48th Street, 10th Floor New York, NY 10036 United States <i>For its project, the D5 Initiative.</i>	PC	\$0	\$200,000	\$0	\$110,559	\$89,441
<b>Roma Press Center</b> Pava utca 8 Budapest, 1094 Hungary <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0

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<b>Rona Nishliu Foundation</b> Rr. 28 Nentori, Dardania SU1/1, H-2, nr. 20 Prishtina, 10000 Kosovo <i>For general support.</i>	PF	\$0	\$16,000	\$0	\$16,000	\$0
<b>The Franklin and Eleanor Roosevelt Institute</b> 570 Lexington Avenue, 5th Floor New York, NY 10022 United States <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
<b>The Franklin and Eleanor Roosevelt Institute</b> 570 Lexington Avenue, 5th Floor New York, NY 10022 United States <i>For its project, Rewriting the Rules of Global Trade.</i>	PC	\$0	\$33,000	\$0	\$33,000	\$0
<b>Rose Foundation for Communities and the Environment</b> 1970 Broadway, Suite 600 Oakland, CA 94612 United States <i>For its California Business Alliance for a Clean Energy project.</i>	PC	\$0	\$54,000	\$0	\$54,000	\$0
<b>San Francisco Chamber of Commerce Foundation</b> 235 Montgomery Street, 7th Floor San Francisco, CA 94104 United States <i>For its Chambers for Innovation and Clean Energy project.</i>	PC	\$0	\$125,000	\$0	\$125,000	\$0
<b>Scenic Hudson, Inc.</b> One Civic Center Plaza Suite 200 Poughkeepsie, NY 12601 United States <i>For its LG Electronics Tower Campaign.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
<b>Search for Common Ground</b> 1601 Connecticut Avenue, NW Suite 200 Washington, D.C. 20009-1035 United States <i>For general support.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
<b>Seventh Generation Advisors</b> 1223 Wilshire Boulevard, Suite 776 Santa Monica, CA 90403 <i>For its work with the R20 Regions of Climate Action.</i>	PC	\$0	\$125,000	\$0	\$125,000	\$0
<b>Shangri-la Institute for Sustainable Communities</b> Room 1605, Building 3, SOHO New Town, No. 88 Jianguo Road, Chaoyang District Beijing, 100022 China <i>For its Climate Change Education project.</i>	PC	\$0	\$150,000	\$0	\$150,000	\$0

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<b>Sierra Club Foundation</b> 85 Second Street, Suite 750 San Francisco, CA 94105 United States <i>For the Building Support for Responsible Trade Project.</i>	PC	\$75,000	\$0	\$0	\$75,000	\$0
<b>Sierra Club Foundation</b> 85 Second Street, Suite 750 San Francisco, CA 94105 United States <i>For its International Financial Institution Reform project.</i>	PC	\$0	\$125,000	\$0	\$125,000	\$0
<b>Sightline Institute</b> 1402 Third Avenue, Suite 500 Seattle, WA 98101 United States <i>For a economic analysis of clean energy market development.</i>	PC	\$0	\$21,000	\$0	\$21,000	\$0
<b>Social Good Fund, Inc.</b> 6641 Aqua Vista Court Richmond, CA 94805 United States <i>For its Net-Zero Energy Coalition project.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>Social Science Research Council</b> One Pierrepont Plaza, 15th Floor Brooklyn, NY 11201 United States <i>For its China Environment and Health initiative.</i>	PC	\$225,000	\$0	\$0	\$225,000	\$0
<b>Sojourners</b> 3333 14th Street, NW, Suite 200 Washington, D.C. 20010 United States <i>For its Reaching a Broader Audience on Climate Change project.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>Sojourners</b> 3333 14th Street, NW, Suite 200 Washington, D.C. 20010 United States <i>For its project, Mobilizing the Faith Community in Support of the Iran Nuclear Framework.</i>	PC	\$0	\$30,000	\$0	\$30,000	\$0
<b>South Centre</b> Ch. du Champ d'Anier 17 P.O. Box 228 Geneva 19 Geneva, 1211 Switzerland <i>For general support.</i>	PC	\$0	\$400,000	\$0	\$200,000	\$200,000

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<b>Southern Coalition for Social Justice</b> 1415 West Highway 54, Suite 101 Durham, NC 27707 United States <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
<b>Spanish Dance Arts Company, Inc.</b> 4 West 43rd Street, Suite 608 New York, NY 10036 United States <i>For its web development and marketing initiative.</i>	PC	\$0	\$35,000	\$0	\$35,000	\$0
<b>Stichting European Climate Foundation</b> Riviermarkt 5 The Hague, 2513 AM Netherlands <i>For its Net-Zero Strategy initiative.</i>	PC	\$100,000	\$0	\$0	\$100,000	\$0
<b>Stichting European Climate Foundation</b> Riviermarkt 5 The Hague, 2513 AM Netherlands <i>For the Pooled Fund for International Energy.</i>	PC	\$0	\$200,000	\$0	\$100,000	\$100,000
<b>Stichting Global Partnership for the Prevention of Armed Conflict</b> Laan van Meerdervoort 70, 6th Floor The Hague, 2517 AN Netherlands <i>For its consortium to strengthen peacebuilding policy through civil society empowerment.</i>	PC	\$92,912	\$0	\$0	\$92,912	\$0
<b>Strategies for the Global Environment</b> 2101 Wilson Boulevard, Suite 550 Arlington, VA 22201 United States <i>For its Transportation program.</i>	PC	\$2,030	\$0	\$0	\$2,030	\$0
<b>The Sunlight Foundation</b> 1818 N Street, NW Suite 300 Washington, D.C. 20036 United States <i>For general support.</i>	PC	\$0	\$40,000	\$0	\$40,000	\$0
<b>Sustainability Accounting Standards Board</b> 1045 Sansome Street, Suite 450 San Francisco, CA 94111 United States <i>For general support.</i>	PC	\$0	\$15,000	\$0	\$15,000	\$0
<b>Sustainable Markets Foundation</b> 45 West 36th Street, 6th Floor New York, NY 10018-7635 United States <i>For its project, This Changes Everything.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0

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<b>Sustainable Markets Foundation</b> 45 West 36th Street, 6th Floor New York, NY 10018-7635 United States <i>For its Global Subnational PR campaign.</i>	PC	\$0	\$150,000	\$0	\$150,000	\$0
<b>Sustainable Markets Foundation</b> 45 West 36th Street, 6th Floor New York, NY 10018-7635 United States <i>For its Eco-Accountability project.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
<b>Take Back Our Republic, Inc.</b> 246 E. Glenn Avenue Auburn, AL 36830 United States <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>Paul Taylor Dance Foundation, Inc.</b> 551 Grand Street New York, NY 10002 United States <i>For Paul Taylor's American Modern Dance.</i>	PC	\$0	\$150,000	\$0	\$75,000	\$75,000
<b>The Telos Group</b> P.O. Box 33248 Washington, D.C. 20033-3248 United States <i>For general support.</i>	PC	\$75,000	\$0	\$0	\$75,000	\$0
<b>Texas Property Assessed Clean Energy Authority</b> 98 San Jacinto Boulevard, Suite 1900 Austin, TX 78701 United States <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$25,000	\$25,000
<b>Third Generation Environmentalism, Ltd.</b> 1250 24th Street, NW Suite 300 Washington, D.C. 20037 United States <i>For its Aristotle project.</i>	PC	\$100,000	\$0	\$0	\$100,000	\$0
<b>Third World Network Berhad</b> 131 Jalan Macalister Road Penang, 10400 Malaysia <i>For general support.</i>	PC	\$0	\$400,000	\$0	\$200,000	\$200,000
<b>Third World Network Ghana</b> 9 Ollenu Street, East Legon P.O. Box 19452 Accra Ghana <i>For general support.</i>	PC	\$100,000	\$0	\$0	\$100,000	\$0

**ROCKEFELLER BROTHERS FUND**  
**13-1760106**  
**475 Riverside Drive, Suite 900**  
**New York, New York 10115**

**SCHEDULE 13**  
**FORM 990-PF**  
**YEAR 2015**

PART XV, Lines 3(a) and 3(b) Grants and Contributions Paid During the Year and Approved for Future Payment

**SCHEDULE OF GRANTS TO ORGANIZATIONS**

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Recipient and Purpose	Tax Status	Beginning Balance	Approved in 2015	Lapsed in 2015	Paid in 2015	Ending Balance
<b>Tides Center</b> P.O. Box 29907 San Francisco, CA 94129-0907 United States <i>For its Alliance in Support of the Afghan People project.</i>	PC	\$0	\$12,000	\$0	\$12,000	\$0
<b>Tides Foundation</b> Presidio Main Post, Building No. 37 P.O. Box 29903 San Francisco, CA 94129-0903 United States <i>For its project, SumOfUs Education Fund.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>The Governing Council of the University of Toronto</b> 720 Spadina Avenue Suite 218 Toronto, ON M5S 2T9 Canada <i>For its Mowat Center program.</i>	PC	\$50,000	\$0	\$40,000	\$10,000	\$0
<b>Trag Foundation</b> Djevdjeljska 19 Belgrade-Zvezdara, 106502 Serbia <i>For its Civil Society House project in Serbia.</i>	PC	\$0	\$150,000	\$0	\$150,000	\$0
<b>Troja Group</b> Rr. 24 Maji, Arberi p.n. Pristina, 10000 Kosovo <i>For its project, Speak Up!</i>	PF	\$30,000	\$0	\$0	\$0	\$30,000
<b>Trustees of Tufts College</b> Medford, MA 02155 United States <i>For its Global Development and Environment Institute's analysis of global economic integration.</i>	PC	\$0	\$42,000	\$0	\$42,000	\$0
<b>Trustees of Tufts College</b> Medford, MA 02155 United States <i>For its Global Development and Environment Institute's Globalization and Sustainable Development program.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>U.S. Green Building Council, New York Chapter</b> 20 Broad Street, Suite 709 New York, NY 10005 United States <i>For general support.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
<b>United Nations</b> 1 United Nations Plaza New York, NY 10017 United States <i>For the United Nations Development Programme's Egypt Network for Integrated Development program.</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000

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<b>United Nations</b> 1 United Nations Plaza New York, NY 10017 United States <i>For its program, the United Nations Development Programme, for its Improved Management of Protected Areas in Western Balkans Countries project.</i>	PC	\$0	\$65,000	\$0	\$65,000	\$0
<b>University of Chicago</b> 5841 South Maryland Avenue MC1000 Chicago, IL 60637-1470 United States <i>For its Oriental Institute's Epigraphic Survey project to conserve and restore the southern decorated well of Ramesses III at Medinet Habu in Luxor, Egypt.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>Urban Justice Center</b> 123 William Street, 16th Floor New York, NY 10038 United States <i>For its Iraqi Refugee Assistance project.</i>	PC	\$0	\$150,000	\$0	\$75,000	\$75,000
<b>Urban Justice Center</b> 123 William Street, 16th Floor New York, NY 10038 United States <i>For its program, the International Refugee Assistance Project, for its efforts related to the Syrian refugee crisis.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
<b>urgewald</b> Von-Galen Str. 4 Sassenberg, 48336 Germany <i>For work on international financial institutions and fossil fuels.</i>	PC	\$0	\$60,000	\$0	\$60,000	\$0
<b>Virginia Organizing, Inc.</b> 703 Concord Avenue Charlottesville, VA 22903 United States <i>For organizational membership of its project, the Health and Environmental Funders Network, and for a Pocantico convening.</i>	PC	\$0	\$13,500	\$0	\$13,500	\$0
<b>Visual Arts Research and Resource Center Relating to the Caribbean</b> 1825 Park Avenue, Suite 602 New York, NY 10035 United States <i>For general support.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0

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<b>Visualizing Impact</b> Co-working +961, Sursock Palace Gardens, Sursock Street, Achrafieh Beirut Lebanon <i>For general support.</i>	PC	\$0	\$30,000	\$0	\$30,000	\$0
<b>Voice of the People</b> 1779 Massachusetts Avenue, NW Suite 510 Washington, D.C. 20036 United States <i>For its project, Citizen Cabinet.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
<b>The Voices Project</b> c/o Purpose Unit 28 40 Bowling Green Lane London, EC1R ONE United Kingdom <i>For general support.</i>	PF	\$0	\$140,000	\$0	\$140,000	\$0
<b>VoteRunLead</b> 1103 Missouri Avenue Duluth, MN 55811 United States <i>For general support.</i>	PC	\$0	\$160,000	\$0	\$60,000	\$100,000
<b>West Harlem Environmental Action, Inc.</b> 1854 Amsterdam Avenue, 2nd Floor New York, NY 10031 United States <i>For general support.</i>	PC	\$0	\$30,000	\$0	\$30,000	\$0
<b>Women and Memory Forum</b> 12 Sulaiman Abaza St. Dokki Giza Egypt <i>For the production of promotional materials about the history of women's activism in Egypt.</i>	PC	\$71,500	\$0	\$0	\$0	\$71,500
<b>World Music Institute, Inc.</b> 101 Lafayette Street, Suite 801 New York, NY 10013 United States <i>For capacity building.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
<b>World Resources Institute</b> 10 G Street, NE Washington, D.C. 20002 United States <i>For its senior-track U.S.-China climate dialogues.</i>	PC	\$0	\$200,000	\$0	\$200,000	\$0

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FYE 12/31/2015

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Recipient and Purpose	Tax Status	Beginning Balance	Approved in 2015	Lapsed in 2015	Paid in 2015	Ending Balance
<b>World Resources Institute</b> 10 G Street, NE Washington, D.C. 20002 United States <i>For its Sustainable Finance Center.</i>	PC	\$0	\$200,000	\$0	\$200,000	\$0
<b>Yale University</b> New Haven, CT 06520 United States <i>For its Yale Environment 360's Strategic Planning Project.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
<b>Young Voices on Climate Change, Inc.</b> P.O. Box 127 Thurmont, MD 21788 United States <i>For its project, Young Voices for the Planet.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0
<b>Youth America Grand Prix, Inc.</b> 417 Fifth Avenue, Fifth Floor New York, NY 10016 United States <i>For general support.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0
<b>Zhongshan University</b> Room 514, Bulding B, National University Tech. Park, Sun Yat-sen University, 135 Xingang Xi Road  Guangzhou, Guangdong 510275 China <i>For its School on Philanthropy.</i>	PC	\$0	\$360,000	\$0	\$180,000	\$180,000
<b>Zochrot</b> 34 Itzhak Sade Street Tel Aviv, 97212 Israel <i>For general support.</i>	PC	\$0	\$20,000	\$0	\$20,000	\$0
<b>Grand Total</b>		\$4,441,886	\$36,329,167	\$40,878	\$32,713,661	\$8,016,514
<b>Rounding Difference</b>		(\$1)	\$0	\$0	\$0	(\$1)
<b>Per G/L @ 12/31/15</b>		\$4,441,885	\$36,329,167	\$40,878	\$32,713,661	\$8,016,513
<b>2015 Employee Matching Gifts (see following attached schedule)</b>					\$50,118	\$0
Portion of 2015 Grant Appropriation Returned in 2015 (Johns Hopkins University)					\$(2,725)	\$0

**Total Part XV, line 3(a) \$32,761,054**  
**Total Part XV, line 3(b) \$8,016,513**

**Key to Tax Status**

PF	Private non-operating foundation, U.S.-based or foreign equivalent
POF	Private operating foundation, U.S.-based or foreign equivalent
EOF	Exempt operating foundation (section 4940(d))
PC	Public charity as described in section 509(a)(1) or (2), U.S.-based or foreign equivalent

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**SCHEDULE OF GRANTS TO ORGANIZATIONS**

FYE 12/31/2015

*NOTE: There are no relationships between any individual grantee and any foundation manager or substantial contributor.*

Recipient and Purpose	Tax Status	Beginning Balance	Approved in 2015	Lapsed in 2015	Paid in 2015	Ending Balance
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- GOV Domestic or foreign government
- SO-DP Type I,II, or II functionally integrated supporting organization if a disqualified person of the private foundation controls the supporting organization or a supported organization (sections 509(a)(3) and 4942(g)4))
- SO I Type I supporting organization (sections 509(a)(3) and sections 509(a)(3)(B)(i) other than an SO-DP
- SO II Type II supporting organization (sections 509(a)(3) and sections 509(a)(3)(B)(ii) other than an SO-DP
- SO III Functionally integrated Type III supporting organization (sections 509(a)(3), sections 509(a)(3)(B)(iii), and 4943(f)(5)(B) other than an SO-DP
- SO III Non-functionally integrated Type III supporting organization (sections 509(a)(3), sections 509(a)(3)(B)(iii), and 4943(f)(5)(B) other than an SO-DP
- TPS Testing for public safety organization (section 509(a)(4))
- NC Organization not otherwise classified
- I Individual

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**Schedule 13**  
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**YEAR 2015**

**DETAIL OF EMPLOYEE MATCHING GIFTS**

*The Rockefeller Brothers Fund makes matching grants only to U.S. publicly supported organizations.*

Date of Gift	Organization	Matching Gift Amount
1/21/2015	Legion of Good Will	100
1/29/2015	Iyengar Yoga Association Of Greater New York	100
2/4/2015	Winrock International	1,000
2/25/2015	American Cancer Society	100
2/25/2015	Pet Alliance of Greater Orlando	40
2/25/2015	Iyengar Yoga Association Of Greater New York	200
2/25/2015	The Linnaean Society Of New York	45
2/25/2015	Nesst	100
2/25/2015	Theater Development Fund	30
2/25/2015	Iyengar Yoga Association Of Greater New York	(100)
3/11/2015	Care	100
3/18/2015	Brooklyn Botanic Garden	50
3/18/2015	New York Public Radio	132
3/18/2015	Prospect Park Alliance	35
3/18/2015	St. John Villa Academy	250
4/8/2015	Rivertown Artists Workshop	50
4/8/2015	Brooklyn Museum	60
4/8/2015	Friends of Jamaica Pond	100
4/8/2015	NYRR Team for Kids	100
4/8/2015	New York Public Radio	120
4/15/2015	Westchester County Historical Society	40
4/15/2015	Team for Kids, New York Road Runners	25
4/22/2015	Metropolitan Museum of Art	710
4/22/2015	Kids From Wisconsin	150
5/6/2015	Regis High School	2,500
5/6/2015	New York City Center, Inc.	150
5/6/2015	Union County Arts Center, Inc.	125
5/6/2015	Regis High School	(2,500)
5/13/2015	Dancewave, Inc.	500
5/13/2015	Regis High School	3,500
5/13/2015	Girl Scouts of Greater New York	50
5/27/2015	Ballet Hispanico of New York	250
6/3/2015	Boston Latin School Association	76
6/3/2015	The Nature Conservancy	50
6/3/2015	"I Have A Dream" Foundation-New York	500
6/3/2015	Adhikaar	2,000
6/3/2015	CAAAV Organizing Asian Communities	50
6/3/2015	OXFAM America	200
6/3/2015	Saint Ann's School	1,000
6/3/2015	The Boulevard Theater	200
6/3/2015	Adhikaar	(2,000)
6/10/2015	Chashama	500
6/10/2015	Hope's Door, Inc.	25
6/12/2015	Rivertown Artists Workshop	(50)
6/17/2015	The Greater Boston Food Bank	25
6/17/2015	International Women's Health Coalition	2,500
6/17/2015	Girl Scouts Heart of The Hudson	25
7/1/2015	Make The Road New York	100
7/1/2015	Adhikaar for Human Rights and Social Justice	2,000
7/8/2015	Team for Kids, New York Road Runners	15
7/8/2015	Save the Children Federation, Inc.	25
7/8/2015	Saddle River Day School	350
8/5/2015	Broadway Cares/Equity Fights AIDS, Inc.	350
8/5/2015	Bideawee	150
8/26/2015	The Watermill Center	500

8/26/2015	American Cancer Society	100
9/9/2015	Transportation Alternatives	40
9/16/2015	Students for a Free Tibet	500
9/16/2015	Paralyzed Veterans of America	200
9/23/2015	Global Impact	200
10/6/2015	Winrock International	1,000
10/6/2015	Linnaean Society of New York	35
10/6/2015	Legion of Good Will	100
10/6/2015	Chester Theatre Group	230
10/21/2015	National Mobilization Against Sweatshops	25
10/21/2015	New York Public Radio	72
10/21/2015	Audre Lorde Project	100
11/11/2015	Dana-Farber Cancer Institute	18
11/11/2015	Joseph Unanue Latino Institute at Seton Hall University	1,175
11/11/2015	Joseph Unanue Latino Institute at Seton Hall University	(1,175)
11/25/2015	Joseph Unanue Latino Institute at Seton Hall University	2,350
11/25/2015	The Packer Collegiate Institute	200
11/25/2015	Stone Educational Systems, Inc	4,000
11/25/2015	Linghan Foundation	500
11/25/2015	Joseph Unanue Latino Institute at Seton Hall University	(2,350)
12/2/2015	Hudson River Museum	250
12/9/2015	The Leukemia & Lymphoma Society	50
12/17/2015	George Street Playhouse, Inc	100
12/31/2015	American Friends of Attingham: C.Altman	500
12/31/2015	Bideawee: J.Lesser	200
12/31/2015	Boston Latin School Association: K.Yee	200
12/31/2015	Champlain Valley Office of Economic Opportunity:	250
12/31/2015	Children's Chorus Of Washington	200
12/31/2015	Commonweal	500
12/31/2015	Fairfield University	3,375
12/31/2015	First Step Big Step	100
12/31/2015	Friends of Ikamva Labantu	200
12/31/2015	I Cantori di New York, Inc.	100
12/31/2015	Interfaith Nutrition Network	125
12/31/2015	Joseph Unanue Latino Institute at Seton Hall University	2,175
12/31/2015	Kids From Wisconsin	200
12/31/2015	Long Island Cares, Inc.	125
12/31/2015	Lyndhurst, National Trust for Historic Preservation	500
12/31/2015	National Mobilization Against Sweatshops	6,000
12/31/2015	Nesst	200
12/31/2015	New York Public Radio	130
12/31/2015	New York Public Radio	120
12/31/2015	Ossing Food Pantry	150
12/31/2015	Pascal Rioult Dance Theatre, Inc.	500
12/31/2015	St. Mary of the Assumption High School	100
12/31/2015	Thirteen/WNET	100
12/31/2015	Thirteen/WNET	100
12/31/2015	Trinity School	2,000
12/31/2015	American Academy in Rome	1,000
12/31/2015	Brown University	500
12/31/2015	Casita Maria, Inc.	670
12/31/2015	Cool Culture, Inc.	1,000
12/31/2015	Doctors Without Borders	1,000
12/31/2015	Karam Foundation	200
12/31/2015	Princeton In Asia	1,500
12/31/2015	Regis High School	2,500
12/31/2015	Joseph Unanue Latino Institute	(825)
<b>Total Employee Matching Gifts</b>		<b><u>50,118</u></b>