

Form **990-PF**

**Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation**

▶ **Do not enter social security numbers on this form as it may be made public.**
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2014

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

For calendar year 2014, or tax year beginning _____, **2014, and ending** _____

Name of foundation: Rockefeller Brothers Fund, Inc.

Number and street (or P.O. box number if mail is not delivered to street address): 475 Riverside Drive Room/suite: 900

City or town, state or province, country, and ZIP or foreign postal code: New York NY 10115

A Employer identification number: 13-1760106

B Telephone number (see instructions): (212) 812-4200

C If exemption application is pending, check here:

D 1 Foreign organizations, check here:
2 Foreign organizations meeting the 85% test, check here and attach computation:

E If private foundation status was terminated under section 507(b)(1)(A), check here:

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here:

G Check all that apply: Initial return Initial return of a former public charity
 Final return Amended return
 Address change Name change

H Check type of organization: Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16): \$ 885,380,360.

J Accounting method: Cash Accrual
 Other (specify) _____ (Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc. received (attach schedule)	4,163,800.			
	2 Ck <input type="checkbox"/> if the foundn is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	218,077.	218,077.		
	4 Dividends and interest from securities	5,618,693.	11,678,928.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	92,828,935.			
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		100,246,495.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
See Line 11 Stmt	474,074.	-3,101,884.			
12 Total. Add lines 1 through 11	103,303,579.	109,041,616.			
ADMINISTRATIVE AND EXPENSES	13 Compensation of officers, directors, trustees, etc.	1,451,136.	168,750.		1,282,386.
	14 Other employee salaries and wages	4,377,759.	123,516.		4,253,893.
	15 Pension plans, employee benefits	2,562,217.	122,400.		2,193,884.
	16a Legal fees (attach schedule) . . . Schedule 3.	264,696.	136,116.		121,191.
	b Accounting fees (attach sch) . . . Schedule 3.	155,351.	57,157.		16,456.
	c Other prof. fees (attach sch) . . . Schedule 3.	8,102,694.	5,230,869.		848,493.
	17 Interest				
	18 Taxes (attach schedule)(see instrs) See Line 18 Stmt (Schedule 1A)	3,347,420.			
	19 Depreciation (attach sch) and depletionSchedule 4.		33,568.		
	20 Occupancy	820,596.	27,411.		839,630.
	21 Travel, conferences, and meetings	871,039.	25,128.		1,116,372.
	22 Printing and publications	1,132,967.	0.		109,932.
	23 Other expenses (attach schedule)				
	See Line 23 Stmt (Schedule 1A)	1,175,674.	28,778.		893,023.
	24 Total operating and administrative expenses. Add lines 13 through 23	24,364,831.	5,953,693.		11,675,260.
25 Contributions, gifts, grants paid	25,693,082.			29,880,120.	
26 Total expenses and disbursements. Add lines 24 and 25	50,057,913.	5,953,693.		41,555,380.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	53,245,666.				
b Net investment income (if negative, enter -0-)		103,087,923.			
c Adjusted net income (if negative, enter -0-)					

Form **8868**

(Rev. January 2014)

Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

► **File a separate application for each return.**
► Information about Form 8868 and its instructions is at www.irs.gov/form8868.

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions. ROCKEFELLER BROTHERS FUND, INC.	Employer identification number (EIN) or 13-1760106
	Number, street, and room or suite no. If a P.O. box, see instructions. 475 RIVERSIDE DRIVE	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. NEW YORK, NY 10115	

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► GERALDINE F. WATSON, 475 RIVERSIDE DRIVE, NEW YORK, NY, 10022

Telephone No. ► 212 812-4228 FAX No. ► _____

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ . If this is for the whole group, check this box . If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/17, 2015, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

► calendar year 2014 or

► tax year beginning _____, 20____, and ending _____, 20____.

2 If the tax year entered in line 1 is for less than 12 months, check reason: Initial return Final return Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a \$ 4,920,000.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b \$ 5,405,686.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c \$ 0

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form **8868** (Rev. 1-2014)

• If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box. X

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

• If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print <small>File by the due date for filing your return. See instructions.</small>	Name of exempt organization or other filer, see instructions.	Employer identification number (EIN) or
	ROCKEFELLER BROTHERS FUND, INC.	13-1760106
	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
	475 RIVERSIDE DRIVE	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	NEW YORK, NY 10115	

Enter the Return code for the return that this application is for (file a separate application for each return) **04**

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of LEAH D'ANGELO, 475 RIVERSIDE DRIVE, NEW YORK, NY , 10115
Telephone No. 212 812-4228 Fax No.
- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ . If this is for the whole group, check this box . If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension is for.

- I request an additional 3-month extension of time until 11/16, 2015.
- For calendar year 2014, or other tax year beginning _____, 20____, and ending _____, 20____.
- If the tax year entered in line 5 is for less than 12 months, check reason: Initial return Final return
 Change in accounting period
- State in detail why you need the extension INFORMATION NECESSARY TO PREPARE A COMPLETE AND ACCURATE RETURN IS NOT YET AVAILABLE.

8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a \$ 4,920,000.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b \$ 5,405,686.
c Balance Due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c \$ 0

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature  Title PAID PREPARER Date 8/5/15

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)			
		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
ASSETS	1	Cash — non-interest-bearing	1,275,018.	2,270,432.	2,270,432.
	2	Savings and temporary cash investments	282,678,787.	22,820,654.	22,820,654.
	3	Accounts receivable ▶ 1,322,630.			
		Less: allowance for doubtful accounts ▶ 0.	770,427.	1,322,630.	1,322,630.
	4	Pledges receivable ▶ 16,864,980.			
		Less: allowance for doubtful accounts ▶ 0.	17,589,094.	16,864,980.	16,864,980.
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach sch) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	11,573.	5,634.	5,634.
	10a	Investments — U.S. and state government obligations (attach schedule) Schedule 5	37,344,487.	0.	0.
		b Investments — corporate stock (attach schedule) . Schedule 5	97,856,298.	203,216,731.	203,216,731.
		c Investments — corporate bonds (attach schedule)			
	11	Investments — land, buildings, and equipment: basis ▶ 510,000.			
	Less: accumulated depreciation (attach schedule) Schedule 6. ▶ 0.	510,000.	510,000.	510,000.	
12	Investments — mortgage loans				
13	Investments — other (attach schedule) Schedule 7	426,219,469.	632,294,587.	632,294,587.	
14	Land, buildings, and equipment: basis ▶				
	Less: accumulated depreciation (attach schedule) Schedule 8. ▶	6,317,065.	6,074,712.	6,074,712.	
15	Other assets (describe ▶)				
16	Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I).	870,572,218.	885,380,360.	885,380,360.	
LIABILITIES	17	Accounts payable and accrued expenses	6,755,875.	14,482,113.	
	18	Grants payable	8,505,549.	4,441,885.	
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, & other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ <u>Taxes Payable</u>)	5,940,750.	3,005,272.	
	23	Total liabilities (add lines 17 through 22)	21,202,174.	21,929,270.	
FUNDS ASSETS	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input checked="" type="checkbox"/>				
	24	Unrestricted	821,763,951.	835,894,852.	
	25	Temporarily restricted	17,642,750.	17,530,437.	
	26	Permanently restricted	9,963,343.	10,025,801.	
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input type="checkbox"/>				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	849,370,044.	863,451,090.	
	31	Total liabilities and net assets/fund balances (see instructions)	870,572,218.	885,380,360.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	849,370,044.
2	Enter amount from Part I, line 27a	2	53,245,666.
3	Other increases not included in line 2 (itemize) ▶ <u>See Other Increases Stmt (Schedule 1A)</u>	3	688,988.
4	Add lines 1, 2, and 3	4	903,304,698.
5	Decreases not included in line 2 (itemize) ▶ <u>See Other Decreases Stmt (Schedule 1A)</u>	5	39,853,608.
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	863,451,090.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a See Schedule 1			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss).	<input type="checkbox"/> If gain, also enter in Part I, line 7 <input type="checkbox"/> If (loss), enter -0- in Part I, line 7	2	100,246,495.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):			
If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	<input type="checkbox"/>	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2013	40,859,797.	794,382,459.	0.051436
2012	42,416,766.	739,358,492.	0.057370
2011	42,332,134.	742,833,551.	0.056987
2010	41,573,616.	699,305,732.	0.059450
2009	52,300,115.	647,412,111.	0.080783

2 Total of line 1, column (d)	2	0.306026
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.061205
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5.	4	839,582,744.
5 Multiply line 4 by line 3	5	51,386,662.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,030,879.
7 Add lines 5 and 6.	7	52,417,541.
8 Enter qualifying distributions from Part XII, line 4	8	42,125,262.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

Table with 11 rows for excise tax calculations. Includes categories like 'Exempt operating foundations', 'Domestic foundations that meet the section 4940(e) requirements', and 'Total credits and payments'. Values include 2,061,758 and 5,405,686.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Columns include question number, 'Yes', and 'No'. Questions cover political campaign participation, political expenditures, and substantial contributors.

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>www.rbf.org</u>	13	X	
14	The books are in care of <u>Geraldine F. Watson</u> Telephone no. <u>(212) 812-4230</u> Located at <u>475 Riverside Drive</u> <u>New York</u> <u>NY</u> ZIP + 4 <u>10115</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u> N/A			
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If 'Yes,' enter the name of the foreign country			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

		Yes	No
1 a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here	1 b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1 c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If 'Yes,' list the years <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.)	2 b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>		
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If 'Yes,' did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)	3 b	N/A
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4 b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5 a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?. Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? (see instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5 b** Yes No

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Schedule 9 Yes No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6 b** Yes No

If 'Yes' to 6b, file Form 8870.

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? **7 b** Yes No N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Schedule 10		1,451,136.	358,294.	28,412.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Michael F. Northrop 475 Riverside Drive, Suite 900 New York NY 10115	Program Director 35.00	218,602.	85,279.	5,197.
Judy A. Clark 475 Riverside Drive, Suite 900 New York NY 10115	Executive Director 35.00	194,395.	72,878.	4,770.
Ben Rodriguez-Cubenas 475 Riverside Drive, Suite 900 New York NY 10115	Program Director 35.00	192,331.	54,189.	3,980.
Shenyu Belsky 475 Riverside Drive, Suite 900 New York NY 10115	Program Director 35.00	184,985.	50,846.	4,884.
Haki Abazi 475 Riverside Drive, Suite 900 New York NY 10115	Program Director 35.00	184,320.	70,419.	8,909.
Total number of other employees paid over \$50,000				36

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Greenrock Corporation 200 Lake Road Sleepy Hollow NY 10591	Facilities Maintenance	1,279,928.
Investure, LLC 126 Garrett Street, Suite J Charlottesville VA 22902	Investment Management	1,286,986.
Perella Weinberg Partners 767 Fifth Avenue New York NY 10153	Investment Management	3,012,792.
Patterson, Belknap, Webb & Tyler 1133 Avenue of the Americas New York NY 10036	Legal Services	322,401.
Eagle Capital Management LLC 499 Park Avenue New York NY 10022	Investment Management	297,574.
Total number of others receiving over \$50,000 for professional services		11

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 Pocantico Historic Area (See Schedule 11)	3,598,215.
2 Assistance to Charitable Organizations (See Schedule 11)	472,281.
3 Pocantico Conference Center (See Schedule 11)	273,423.
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 None	0.
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	None

BAA

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes:		
a	Average monthly fair market value of securities	1 a	849,597,078.
b	Average of monthly cash balances	1 b	2,771,190.
c	Fair market value of all other assets (see instructions)	1 c	
d	Total (add lines 1a, b, and c)	1 d	852,368,268.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	852,368,268.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	12,785,524.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	839,582,744.
6	Minimum investment return. Enter 5% of line 5	6	41,979,137.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	41,979,137.
2 a	Tax on investment income for 2014 from Part VI, line 5	2 a	2,061,758.
b	Income tax for 2014. (This does not include the tax from Part VI.)	2 b	
c	Add lines 2a and 2b	2 c	2,061,758.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	39,917,379.
4	Recoveries of amounts treated as qualifying distributions	4	123,373.
5	Add lines 3 and 4.	5	40,040,752.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	40,040,752.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes:		
a	Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1 a	41,555,380.
b	Program-related investments — total from Part IX-B.	1 b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	569,882.
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	42,125,262.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	42,125,262.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				40,040,752.
2 Undistributed income, if any, as of the end of 2014:				
a Enter amount for 2013 only			0.	
b Total for prior years: 20 __, 20 __, 20 __				
3 Excess distributions carryover, if any, to 2014:				
a From 2009	18,879,509.			
b From 2010	6,486,566.			
c From 2011	4,898,336.			
d From 2012	4,562,360.			
e From 2013	2,054,984.			
f Total of lines 3a through e . Schedule 11A	36,881,755.			
4 Qualifying distributions for 2014 from Part XII, line 4: ▶ \$ 42,125,262.				
a Applied to 2013, but not more than line 2a				
b Applied to undistributed income of prior years (Election required – see instructions)				
c Treated as distributions out of corpus (Election required – see instructions)				
d Applied to 2014 distributable amount				40,040,752.
e Remaining amount distributed out of corpus	2,084,510.			
5 Excess distributions carryover applied to 2014 <i>(If an amount appears in column (d), the same amount must be shown in column (a).)</i>	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	38,966,265.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount – see instructions		0.		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount – see instructions			0.	
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required – see instructions) Schedule 11A	2,570,000.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions)	18,394,019.			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	18,002,246.			
10 Analysis of line 9: Schedule 11A				
a Excess from 2010	6,486,566.			
b Excess from 2011	4,898,336.			
c Excess from 2012	4,562,360.			
d Excess from 2013	2,054,984.			
e Excess from 2014				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling. ▶					
b Check box to indicate whether the foundation is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)					
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a 'Assets' alternative test — enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test — enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year — see instructions.)

- 1 Information Regarding Foundation Managers:**
- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
- None
-
- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
- None
-
- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**
- Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.
- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:
- See Schedule 12
-
- b** The form in which applications should be submitted and information and materials they should include:
- See Schedule 12
-
- c** Any submission deadlines:
- See Schedule 12
-
- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:
- See Schedule 12

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year See Schedule 13				29,880,120.
Total ▶				3 a 29,880,120.
b Approved for future payment See Schedule 13				4,441,885.
Total ▶				3 b 4,441,885.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

Table with 3 columns: Question, Yes, No. Rows include 1a(1) Cash, 1a(2) Other assets, 1b(1) Sales of assets, 1b(2) Purchases of assets, 1b(3) Rental of facilities, 1b(4) Reimbursement arrangements, 1b(5) Loans or loan guarantees, 1b(6) Performance of services, and 1c Sharing of facilities.

a Transfers from the reporting foundation to a noncharitable exempt organization of:

- (1) Cash
(2) Other assets

b Other transactions:

- (1) Sales of assets to a noncharitable exempt organization
(2) Purchases of assets from a noncharitable exempt organization
(3) Rental of facilities, equipment, or other assets
(4) Reimbursement arrangements
(5) Loans or loan guarantees
(6) Performance of services or membership or fundraising solicitations

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

d If the answer to any of the above is 'Yes,' complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

Table with 4 columns: (a) Line no., (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

Yes No (X) No

b If 'Yes,' complete the following schedule.

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer or trustee

Date 10.27.15 Title President

May the IRS discuss this return with the preparer shown below (see instructions)? Yes No (X) Yes

Paid Preparer Use Only

Print/Type preparer's name Devin L. Duncan, Preparer's signature, Date 10/26/15, Check self-employed, PTIN P01249521, Firm's name KPMG LLP, Firm's address 345 PARK AVENUE NEW YORK NY 10154-0102, Firm's EIN 13-5565207, Phone no. (212) 758-9700

BAA

ROCKEFELLER BROTHERS FUND, INC.
13-1760106
475 Riverside Drive, Suite 900
New York, New York 10115

INDEX
FORM 990-PF
2014

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Schedule B
(Form 990, 990-EZ,
or 990-PF)

Schedule of Contributors

2014

Department of the Treasury
Internal Revenue Service

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF**
▶ **Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at www.irs.gov/form990.**

Name of the organization

Employer identification number

Rockefeller Brothers Fund, Inc.

13-1760106

Organization type (check one):

Filers of:

Form 990 or 990-EZ

Section:

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer 'No' on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990EZ,

Schedule **B** (Form 990, 990-EZ, or 990-PF) (2014)

or 990-PF.

Name of organization Rockefeller Brothers Fund, Inc.	Employer identification number 13-1760106
---------------------------------------------------------	----------------------------------------------

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	The William and Flora Hewlett Foundation 2121 Sand Hill Road Menlo Park CA 94025	\$ 1,500,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2	The Advantage Foundation Witikonerstrasse 61 Postfach, CH-8032, SZ	\$ 1,000,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
3	Barr Foundation The Pilot House, Lewis Wharf Boston MA 02110	\$ 1,000,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
4	Laszlo Bito and Olivia Carino Foundation 450 7th Avenue, Suite 2701 New York NY 10123	\$ 500,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
5	Peggy Dulany 30 Rockefeller Plaza New York NY 10112	\$ 11,700.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
6	David Rockefeller, Jr. 30 Rockefeller Plaza New York NY 10112	\$ 11,700.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization Rockefeller Brothers Fund, Inc.	Employer identification number 13-1760106
---------------------------------------------------------	----------------------------------------------

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	Estate of Richard Rockefeller 30 Rockefeller Plaza New York NY 10112	\$ 11,700.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
8	Eileen and Paul Growald 30 Rockefeller Plaza New York NY 10112	\$ 6,500.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
9	Rockefeller Philanthropy Advisors 6 West 48th Street New York NY 10036	\$ 6,500.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Form 990-PF, Page 1, Part I, Line 11

Line 11 Stmt

Other income:	Rev/Exp Book	Net Inv Inc	Adj Net Inc
Misc. Investment Income (See Schedule 2)	474,074.	-3,101,884.	
Total	<u>474,074.</u>	<u>-3,101,884.</u>	

Form 990-PF, Page 1, Part I, Line 18

Line 18 Stmt

Taxes	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
See Line 18 Stmt				
Property Tax	71,731.			
Tax on Investment Income	3,275,689.			
Total	<u>3,347,420.</u>			

Form 990-PF, Page 1, Part I, Line 23

Line 23 Stmt

Other expenses:	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
See Line 23 Stmt				
Postage	8,683.			6,954.
Messenger Services	1,070.			997.
Dues	29,618.			0.
Capital Expenditures	45,481.			-110,418.
Office Supplies	48,710.			47,861.
Maintenance & Repairs	58,713.			50,001.
Telephone & Internet	109,945.	3,987.		104,470.
Staff Development	75,260.			31,449.
General Insurance	269,362.	6,373.		248,595.
Equipment Leases & Rentals	58,034.			55,657.
Temporary Help	212,746.			212,073.
Collections Maintenance	62,129.			62,129.
Printing & Stationary	12,736.			12,451.
Books & Subscriptions	6,736.			5,836.
Misc. Expenses	176,451.	18,418.		164,968.
Total	<u>1,175,674.</u>	<u>28,778.</u>		<u>893,023.</u>

Form 990-PF, Page 2, Part III, Line 3

Other Increases Stmt

See Part III, line 3 Stmt, SCHEDULE 1A	
Deferred Excise Tax Credit	688,988.
Total	<u>688,988.</u>

Form 990-PF, Page 2, Part III, Line 5

Other Decreases Stmt

See Part III, line 5 Stmt, SCHEDULE 1A

Form 990-PF, Page 2, Part III, Line 5

Continued

Other Decreases Stmt

<u>Unrealized Depreciation on Investments</u>	<u>34,449,429.</u>
<u>Amounts Not Recognized as a Component of Net Periodic Benefit Cost</u>	<u>5,404,179.</u>
Total	<u>39,853,608.</u>

Form 990-PF, Page 4, Part VII-A, Line 8a

States Registered In

NY - New York
CA - California
MA - Massachusetts

ROCKEFELLER BROTHERS FUND, INC.
13-1760106
475 Riverside Drive, Suite 900
New York, New York 10115

SCHEDULE 1B
FORM 990-PF
YEAR 2014

Schedule of Dividends & Interest from Securities

Part I, line 4

Dividends	5,618,693
Interest	0

Total line 4, col. (a)	\$5,618,693
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Add: Dividends & Interest per Limited Partnerships K-1s	6,060,235
---------------------------------------------------------	-----------

Total line 4, col. (b)	<u>\$11,678,928</u>
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ROCKEFELLER BROTHERS FUND, INC.
13-1760106
475 Riverside Drive, Suite 900
New York, New York 10115

SCHEDULE 1
FORM 990-PF
YEAR 2014

Schedule of Capital Gains and Losses

Part I, line 6a & Part IV, line 2 *

	<u>Gain or (Loss)</u>
Brandywine Global Investment Management Trust	\$ 1,530
Brookfield Global Listed RE Fund	639,681
DSM Capital Partners	(63,435)
Eagle Capital Management LLC	406,172
Eton Park Overseas	2,272,700
Fir Tree Institutional Partners Fund II	256
GMO Emerging Domestic Opportunities Fund	174,387
Goldman Sachs Overlay Equity Swaps	(643,650)
Gotham Absolute Return Fund	548,819
Harrison Street Securities LLC	(227,301)
International REITS	1,050,989
Real Assets	1,794,135
Lazard Global Listed Infrastructure	
Portfolio	1,106,957
Cash Account	(46,506)
Investure Fixed Income	(1,092,985)
Investure Emerging Markets Fund	270,475
Investure Global Equity Fund	83,055,965
Sanders Capital LLC	(21,417)
BNY Mellon Assets Servicing Beta Management Accounts	3,602,163
Net Realized Gain per Books (Total Part I, line 6a,col.(a))	\$ 92,828,935
Add: Actual Net Capital Gain per limited partnerships (Schedule K-1 basis) (See attached schedule)	90,475,055
Less: Gain/(loss) from Partnerships previously reflected in books, <u>for which K-1 values are now reflected on Form 990PF:</u>	
Brandywine Global Investment Management Trust	(1,530)
Investure Global Equity Fund	(83,055,965)
	<u>(83,057,495)</u>
Total Part IV, line 2	\$ 100,246,495

* As applicable, detailed records to support acquisition and sales dates, sales price, and cost basis are maintained by the organization. Gains and losses passed through from limited partnership investments are reported directly from the Form 1065, Schedule K-1, as provided by the limited partnerships to the organization.

ROCKEFELLER BROTHERS FUND, INC.
13-1760106
475 Riverside Drive, Suite 900
New York, New York 10115

SCHEDULE 1
FORM 990-PF
YEAR 2014

Schedule of Limited Partnerships' Gains and Losses per Schedules K-1

	Capital Gain	Capital Loss	Net Capital Gain/(Loss)
Ampersand 2001 Limited Partnership	763,687		763,687
Arrowpoint Income Opportunity	118,685		118,685
Brandywine Global Investment Mgmt, LLC	137,369		137,369
C/R Brazil Ethanol Investment Partnership - B, LP		(54,372)	(54,372)
Generation IM Global Equity Fund, LLC	927,230	(14,534)	912,696
Hawkeye Capital Institutional LP	513,091	(132,301)	380,790
Strategic Partners II, LP		(128,817)	(128,817)
Strategic Partners III, LP	579,408	(6,700)	572,708
Strategic Partners III VC, LP	418,233		418,233
Strategic Partners Fund I, LP	30,474		30,474
Investure Global Equity Fund LP	77,883,127		77,883,127
Investure Evergreen Fund	5,404,830	(177,590)	5,227,240
J.H. Whitney IV		(56,602)	(56,602)
Kayne Anderson Energy Fund III		(225,964)	(225,964)
Kayne Anderson Energy Fund IV		(10,209)	(10,209)
Lehman Brothers NB Co-Investment Partners, LP - Cayman AIV I, LP <i>aka NB Co-Investment Partners Cayman AIV I LP</i>	326,452		326,452
Lehman Brothers NB Co-Investment Partners		(89,331)	(89,331)
Lehman Brothers NB Secondary Opportunities Fund	605,698	(4,751)	600,947
Lexington Capital Partners II, LP	0	(81,595)	(81,595)
Lexington Capital Partners IV, LP	156,267	(18)	156,249
Morgan Stanley Real Estate Fund V International - TE, LP		(173,398)	(173,398)
Natural Gas Partners VIII		(43,544)	(43,544)
New Enterprise Associates 10	85,629	(824)	84,805
NGP Energy Technology Partners		(27,571)	(27,571)
Private Advisors Income Fund, LP		(200,638)	(200,638)
Private Advisors Small Company Buyout Fund II, LP	385,124	(1,326)	383,798
Prospect Venture Partners		(1,000)	(1,000)
Siguler Guff	2,442,422		2,442,422
TCV IV	1	(1,836)	(1,835)
Three Arch Capital, LP	420	(64,131)	(63,711)
Three Arch Partners IV, LP	481,926		481,926
TIFF Partners II		(4,571)	(4,571)
TIFF Partners IV	855,149		855,149
Trinity Ventures VI		(76,572)	(76,572)
Trinity Ventures VII		(62,379)	(62,379)
Trinity Ventures VIII		(21,806)	(21,806)
Weston Presidio Capital III	2,093		2,093
Weston Presidio V, L.P.	20,120		20,120
	<u>92,137,435</u>	<u>(1,662,380)</u>	<u>90,475,055</u>

ROCKEFELLER BROTHERS FUND, INC.
 13-1760106
 475 Riverside Drive, Suite 900
 New York, New York 10115

SCHEDULE 2
 FORM 990-PF
 YEAR 2014

Schedule of Other Income/(Loss)

Part I, line 11

Miscellaneous Investment Income	11,693
Other Income (Affiliate Reimbursement)	<u>462,381</u>
Total line 11, col. (a)	474,074
Deduct: Other Income (Affiliate Reimbursement)	(462,381)
Add: Other Income/(Loss) per Limited Partnerships K-1s (See attached schedule)	<u>(3,113,577)</u>
Total line 11, col. (b)	<u>(3,101,884)</u>

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SCHEDULE 2
FORM 990-PF
YEAR 2014

Schedule of Other Income/(Loss)

Part I, line 11, col. (b)

	Other Income/(Loss)	Deductions Related to Portfolio Income	Net Other Income/(Loss)
<u>Net Income/(Loss) received from the following Partnerships:</u>			
Ampersand 2001 Limited Partnership		(\$1,390)	(\$1,390)
Arrowpoint Income Opportunity	(35,064)	(77,293)	(112,357)
Brandywine Global Investment Mgmt, LLC	468,054	(29,544)	438,510
Cabot Industrial Value Fund II		(897)	(897)
Carlyle Riverstone TE Partners III, LP		(112,800)	(112,800)
Generation IM Global Equity Fund, LLC	(431,853)	(555,274)	(987,127)
Hawkeye Capital Institutional LP	(81)	(122,448)	(122,529)
HSREP IV Co-Investment, LP		(112,507)	(112,507)
Strategic Partners II, LP	176	(6,656)	(6,480)
Strategic Partners III, LP	61,838	(88,327)	(26,489)
Strategic Partners III VC, LP	6,634	(58,718)	(52,084)
Strategic Partners Fund I, LP	2,501	(8,002)	(5,501)
Investure Global Equity Fund LP	(100,779)	(411,561)	(512,340)
Investure Evergreen Fund	1,038,110	(2,586,769)	(1,548,659)
J.H. Whitney IV		(1,307)	(1,307)
Kayne Anderson Energy Fund III	(99)	(4,767)	(4,866)
Kayne Anderson Energy Fund IV	671,686	(16,527)	655,159
Lehman Brothers NB Co-Investment Partners, LP - Cayman AIV I, LP	47	(14,367)	(14,320)
aka NB Co-Investment Partners Cayman AIV I LP			0
Lehman Brothers NB Co-Investment Partners	11,542	(50,944)	(39,402)
Lehman Brothers NB Secondary Opportunities Fund	6,350	(139,346)	(132,996)
Lexington Capital Partners II, LP	709	(4,172)	(3,463)
Lexington Capital Partners IV, LP	(19,320)	(7,122)	(26,442)
Morgan Stanley Real Estate Fund V International - TE, LP	4,330	(9,606)	(5,276)
Morgan Stanley Real Estate Fund VI	58,616	(180)	58,436
Natural Gas Partners VIII	133,145	(43,220)	89,925
New Enterprise Associates 10		(644)	(644)
NGP Energy Technology Partners		(5,313)	(5,313)
Palamon European Equity 'B', LP	(39)	(16,138)	(16,177)
Private Advisors Income Fund, LP	23,277	(28,647)	(5,370)
Private Advisors Small Company Buyout Fund II, LP	23,409	(127,917)	(104,508)
Prospect Venture Partners		(609)	(609)
Siguler Guff	(443)	(207,820)	(208,263)
TCV IV		(2,268)	(2,268)
Three Arch Capital, LP		(794)	(794)
Three Arch Partners IV, LP		(57,959)	(57,959)
TIFF Partners II		(10,214)	(10,214)
TIFF Partners IV	7,948	(45,666)	(37,718)
Trinity Ventures VI		(2,162)	(2,162)
Trinity Ventures VII		(1,492)	(1,492)
Trinity Ventures VIII		(508)	(508)
Weston Presidio Capital III		(2,112)	(2,112)
Weston Presidio Capital IV		(2,114)	(2,114)
Weston Presidio V AIV 1 Holdings, L.P.		(5,428)	(5,428)
Weston Presidio V, L.P.	(93)	(62,629)	(62,722)
	1,930,601	(5,044,178)	
Other Income/(Loss) from Limited Partnerships			<u>(\$3,113,577)</u>

ROCKEFELLER BROTHERS FUND, INC.
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SCHEDULE 3
 FORM 990-PF
 YEAR 2014

SCHEDULE OF EXPENDITURES

Part I, line 16(a,b,c)

Legal Fees (Patterson, Belknap, Webb & Tyler)	\$ 250,304	
Legal Fees (Jackson Walker, LLP)	14,392	
	<u> </u>	
Total line 16a		<u>\$ 264,696</u>
Accounting Fees (KPMG LLP)	\$ 135,938	
Accounting Fees (Grant Thornton LLP)	19,413	
	<u> </u>	
Total line 16b		<u>\$ 155,351</u>
<u>Other Professional Fees:</u>		
Investment Management Fees	\$ 5,165,700	
Custody Fees	202,891	
Consultants' Fees	1,209,891	
Facilities Maintenance	1,524,212	
	<u> </u>	
Total line 16c		<u>\$ 8,102,694</u>

ROCKEFELLER BROTHERS FUND, INC.
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SCHEDULE 4
 FORM 990-PF
 YEAR 2014

SCHEDULE OF DEPRECIATION

Part I, line 19

Description	ASSETS					ACCUMULATED DEPRECIATION		
	Beginning of Year	P/Y Adj.	2014 Acquisitions	2014 Dispositions	End of Year	Reserve, beginning of Year	2014 Depreciation (Part 1, line 19)	Reserve, end of Year
Office Equipment	2,239,246		2,069		2,241,315	1,866,767	162,898	2,029,665
Computer Equipment & Software	1,939,612		158,169		2,097,781	1,939,567	70,396	2,009,963
Fine Art	4,780		0		4,780	0	0	0
Leasehold Improvements-437 Madison Ave	3,049,452		0		3,049,452	1,815,683	3,975	1,819,658
Leasehold Improvements-Interchurch Center	7,159,516		0		7,159,516	2,778,109	508,418	3,286,527
Leasehold Improvements	19,668,243		403,533		20,071,776	19,369,661	60,671	19,430,332
Other	25,117		14,472		39,589	10,319	10,984	21,303
Automobile	16,269		0		16,269	5,064	3,254	8,318
	34,102,235	-	578,243	-	34,680,478	27,785,170	820,596	28,605,766

ROCKEFELLER BROTHERS FUND, INC.
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SCHEDULE 5
FORM 990-PF
YEAR 2014

Schedule of Investments

Part II, lines 10 (a,b,c), col. (b) & (c)

Manager	As Of Date	Security Description	Shares/Par	Price	line 10a	line 10b		line 10c
					U.S. & State Gov't Obligations	Corporate Stock		Corporate Bonds
						Cost	Market Value	
DSM CAPITAL PARTNERS	12/31/2014	DREYFUS TRE PR CSH MGT INS 761	547,605.440	100.0000		547,605	547,605	
	12/31/2014	RECEIVABLE FOR INVESTMENTS SOLD	0.000	1.0000		415,606	415,606	
	12/31/2014	DIVIDENDS RECEIVABLE	0.000	1.0000		11,817	11,817	
	12/31/2014	PAYABLE FOR INVESTMENTS PURCHASED	0.000	1.0000		(394,416)	(394,416)	
	12/31/2014	ABBOTT LABORATORIES	42,750.000	45.0200		1,736,628	1,924,605	
	12/31/2014	AFFILIATED MANAGERS GROUP INC	6,950.000	212.2400		1,405,914	1,475,068	
	12/31/2014	ALEXION PHARMACEUTICALS INC	13,350.000	185.0300		2,026,882	2,470,151	
	12/31/2014	ALIBABA GROUP HOLDING LTD	14,350.000	103.9400		1,287,113	1,491,539	
	12/31/2014	BAIDU INC	6,450.000	227.9700		1,540,699	1,470,407	
	12/31/2014	BIOGEN INC	6,885.000	339.4500		2,122,191	2,337,113	
	12/31/2014	BLACKROCK INC	2,800.000	357.5600		866,363	1,001,168	
	12/31/2014	CELGENE CORP	40,800.000	111.8600		2,960,868	4,563,888	
	12/31/2014	COGNIZANT TECHNOLOGY SOLUTIONS	43,200.000	52.6600		2,221,491	2,274,912	
	12/31/2014	COMCAST CORP	31,250.000	58.0100		1,606,277	1,812,813	
	12/31/2014	DISCOVERY COMMUNICATIONS INC	17,850.000	34.4500		757,019	614,933	
	12/31/2014	DISCOVERY COMMUNICATIONS INC	17,850.000	33.7200		729,225	601,902	
	12/31/2014	DOLLAR GENERAL CORP	16,150.000	70.7000		925,313	1,141,805	
	12/31/2014	DOLLAR TREE INC	21,700.000	70.3800		1,265,610	1,527,246	
	12/31/2014	GOOGLE INC	2,850.000	530.6600		1,600,517	1,512,381	
	12/31/2014	GOOGLE INC	950.000	526.4000		537,450	500,080	
	12/31/2014	LAS VEGAS SANDS CORP	18,250.000	58.1600		1,482,731	1,061,420	
	12/31/2014	MASTERCARD INC	19,250.000	86.1600		1,437,310	1,658,580	
	12/31/2014	MONSANTO CO	14,350.000	119.4700		1,659,951	1,714,395	
	12/31/2014	MONSTER BEVERAGE CORP	13,700.000	108.3500		927,428	1,484,395	
	12/31/2014	PRECISION CASTPARTS CORP	9,300.000	240.8800		2,329,449	2,240,184	
	12/31/2014	PRICELINE GROUP INC/THE	1,932.000	1,140.2100		2,383,294	2,202,886	
	12/31/2014	REGENERON PHARMACEUTICALS INC	5,650.000	410.2500		1,689,423	2,317,913	
	12/31/2014	SAFRAN SA	68,950.000	15.5040		1,175,015	1,069,001	
	12/31/2014	STARBUCKS CORP	26,050.000	82.0500		1,907,030	2,137,403	
	12/31/2014	TENCENT HOLDINGS LTD	130,000.000	14.5070		1,849,544	1,885,910	
	12/31/2014	TIME WARNER INC	26,850.000	85.4200		1,826,506	2,293,527	
	12/31/2014	VISA INC	8,350.000	262.2000		1,774,064	2,189,370	
	12/31/2014	WYNN RESORTS LTD	7,400.000	148.7600		1,648,867	1,100,824	
	12/31/2014	INVESCO LTD	39,250.000	39.5200		1,459,415	1,551,160	
	12/31/2014	PERRIGO CO PLC	11,750.000	167.1600		1,739,807	1,964,130	
	12/31/2014	NXP SEMICONDUCTORS NV	10,700.000	76.4000		795,826	817,480	
					-	50,255,833	54,989,198	-

SANDERS CAPITAL LLC

12/31/2014	DIVIDENDS RECEIVABLE RECLAIM	0.000	1.0000	58,525	51,539
12/31/2014	NON-BASE CURRENCY	0.000	1.0000	0	0
12/31/2014	FFX CONTRACTS RECEIVABLE	0.000	1.0000	22,007	21,946
12/31/2014	PAYABLE FOR INVESTMENTS PURCHASED	0.000	1.0000	(22,062)	(21,946)
12/31/2014	DIVIDENDS RECEIVABLE	0.000	1.0000	2,957	2,972
12/31/2014	DIVIDENDS RECEIVABLE RECLAIM	0.000	1.0000	546	500
12/31/2014	FOREIGN TAXES PAYABLE - DIVIDENDS	0.000	1.0000	(312)	(314)
12/31/2014	DIVIDENDS RECEIVABLE	0.000	1.0000	11,917	11,766
12/31/2014	FOREIGN TAXES PAYABLE - DIVIDENDS	0.000	1.0000	(1,192)	(1,177)
12/31/2014	DIVIDENDS RECEIVABLE	0.000	1.0000	179	179
12/31/2014	FOREIGN TAXES PAYABLE - DIVIDENDS	0.000	1.0000	(39)	(39)
12/31/2014	NON-BASE CURRENCY	0.000	1.0000	0	0
12/31/2014	DREYFUS TRE PR CSH MGT INS 761	570,012.330	100.0000	570,012	570,012
12/31/2014	DIVIDENDS RECEIVABLE	0.000	1.0000	24,193	24,193
12/31/2014	PAYABLE FOR FORWARD FOREIGN EXCHANGE CONTRACTS	0.000	1.0000	(22,007)	(22,007)
12/31/2014	DELHAIZE GROUP SA	6,900.000	73.1233	503,744	504,551
12/31/2014	ALLIANZ SE	7,400.000	166.2004	1,262,392	1,229,883
12/31/2014	PUBLICIS GROUPE SA	4,300.000	72.1674	391,606	310,320
12/31/2014	RENAULT SA	24,100.000	73.2443	2,126,114	1,765,188
12/31/2014	SANOFI	19,400.000	91.5524	2,040,474	1,776,116
12/31/2014	BNP PARIBAS SA	34,900.000	59.6071	2,593,652	2,080,287
12/31/2014	TOTAL SA	6,800.000	51.4513	447,663	349,869
12/31/2014	ENEL SPA	142,000.000	4.4723	805,374	635,073
12/31/2014	EDP - ENERGIAS DE PORTUGAL SA	178,400.000	3.8939	832,443	694,679
12/31/2014	CATHAY PACIFIC AIRWAYS LTD	233,900.000	2.1793	443,167	509,734
12/31/2014	CHINA PETROLEUM & CHEMICAL COR	532,000.000	0.8059	479,218	428,764
12/31/2014	CHINA RESOURCES POWER HOLDINGS	82,000.000	2.5790	243,693	211,481
12/31/2014	HONDA MOTOR CO LTD	17,100.000	29.4091	605,013	502,895
12/31/2014	IMPERIAL TOBACCO GROUP PLC	11,100.000	44.2203	452,215	490,846
12/31/2014	BP PLC	235,800.000	6.4085	1,901,155	1,511,128
12/31/2014	MARKS & SPENCER GROUP PLC	69,600.000	7.4657	527,666	519,612
12/31/2014	BARCLAYS PLC	493,800.000	3.7968	1,951,262	1,874,847
12/31/2014	BNK FINANCIAL GROUP INC	13,900.000	13.1920	226,331	183,369
12/31/2014	DGB FINANCIAL GROUP INC	700.000	10.2807	11,682	7,196
12/31/2014	DGB FINANCIAL GROUP CO LTD	146.000	1.0463	-	153
12/31/2014	NORDEA BANK AB	125,000.000	11.6118	1,786,155	1,451,474
12/31/2014	NOVARTIS AG	16,900.000	92.9402	1,451,550	1,570,689
12/31/2014	AETNA INC	12,400.000	88.8300	925,399	1,101,492
12/31/2014	ANTHEM INC	7,600.000	125.6700	760,805	955,092
12/31/2014	APACHE CORP	9,000.000	62.6700	752,220	564,030
12/31/2014	APPLE INC	21,750.000	110.3800	1,704,951	2,400,765
12/31/2014	BANK OF AMERICA CORP	104,000.000	17.8900	1,804,702	1,860,560
12/31/2014	BED BATH & BEYOND INC	7,400.000	76.1700	511,577	563,658
12/31/2014	CIGNA CORP	8,500.000	102.9100	711,086	874,735
12/31/2014	CITIGROUP INC	44,100.000	54.1100	2,106,072	2,386,251
12/31/2014	CORNING INC	85,900.000	22.9300	1,808,822	1,969,687
12/31/2014	DR HORTON INC	64,100.000	25.2900	1,430,803	1,621,089
12/31/2014	GENERAL DYNAMICS CORP	7,500.000	137.6200	819,207	1,032,150
12/31/2014	GENERAL ELECTRIC CO	34,700.000	25.2700	901,981	876,869

12/31/2014	GOLDMAN SACHS GROUP INC/THE	4,800.000	193.8300	791,753	930,384
12/31/2014	INTEL CORP	69,500.000	36.2900	1,797,423	2,522,155
12/31/2014	INTERNATIONAL BUSINESS MACHINE	5,400.000	160.4400	1,045,693	866,376
12/31/2014	JPMORGAN CHASE & CO	37,200.000	62.5800	2,203,903	2,327,976
12/31/2014	JOHNSON & JOHNSON	9,100.000	104.5700	896,973	951,587
12/31/2014	LENNAR CORP	2,000.000	44.8100	87,051	89,620
12/31/2014	MEDTRONIC INC	15,000.000	72.2000	930,246	1,083,000
12/31/2014	MERITAGE HOMES CORP	12,900.000	35.9900	532,652	464,271
12/31/2014	METLIFE INC	19,000.000	54.0900	1,020,788	1,027,710
12/31/2014	MICROSOFT CORP	51,900.000	46.4500	2,147,155	2,410,755
12/31/2014	RYLAND GROUP INC/THE	11,900.000	38.5600	459,217	458,864
12/31/2014	ST JUDE MEDICAL INC	22,300.000	65.0300	1,475,981	1,450,169
12/31/2014	SANDISK CORP	5,900.000	97.9800	487,359	578,082
12/31/2014	SHINHAN FINANCIAL GROUP CO LTD	10,900.000	40.3900	479,558	440,251
12/31/2014	SUNTRUST BANKS INC	20,300.000	41.9000	817,534	850,570
12/31/2014	TAIWAN SEMICONDUCTOR MANUFACTU	41,000.000	22.3800	851,048	917,580
12/31/2014	UNITEDHEALTH GROUP INC	11,800.000	101.0900	971,087	1,192,862
12/31/2014	VALERO ENERGY CORP	8,900.000	49.5000	480,771	440,550
12/31/2014	WAL-MART STORES INC	11,700.000	85.8800	901,251	1,004,796
12/31/2014	SAMSUNG ELECTRONICS CO LTD	4,000.000	605.0000	2,543,361	2,420,000
12/31/2014	GAZPROM OAO	23,200.000	4.6500	175,946	107,880
12/31/2014	ROSNEFT OAO	54,300.000	3.5100	357,181	190,593
12/31/2014	DELPHI AUTOMOTIVE PLC	18,800.000	72.7200	1,289,117	1,367,136
12/31/2014	NXP SEMICONDUCTORS NV	800.000	76.4000	58,314	61,120
12/31/2014	VOLKSWAGEN AG	7,700.000	223.4357	1,883,034	1,720,455
12/31/2014	PORSCHE AUTOMOBIL HOLDING SE	3,500.000	81.2670	364,390	284,434
HARRISON STREET SECURITIES, LLC				61,013,707	61,611,332
12/31/2014	DREYFUS TRE PR CSH MGT INS 761	107,341.580	100.0000	107,342	107,342
12/31/2014	RECEIVABLE FOR INVESTMENTS SOLD	0.000	1.0000	151,522	151,522
12/31/2014	DIVIDENDS RECEIVABLE	0.000	1.0000	83,293	83,293
12/31/2014	PAYABLE FOR INVESTMENTS PURCHASED	0.000	1.0000	(151,804)	(151,804)
12/31/2014	AMERICAN TOWER CORP	13,657.000	98.8500	1,362,337	1,349,994
12/31/2014	BIOMED REALTY TRUST INC	4,900.000	21.5400	107,414	105,546
12/31/2014	BOSTON PROPERTIES INC	7,012.000	128.6900	857,854	902,374
12/31/2014	BROOKDALE SENIOR LIVING INC	4,129.000	36.6700	151,804	151,410
12/31/2014	CAMDEN PROPERTY TRUST	13,837.000	73.8400	1,037,744	1,021,724
12/31/2014	CHESAPEAKE LODGING TRUST	15,980.000	37.2100	493,002	594,616
12/31/2014	CORPORATE OFFICE PROPERTIES TR	15,045.000	28.3700	424,911	426,827
12/31/2014	DIGITAL REALTY TRUST INC	9,517.000	66.3000	625,809	630,977
12/31/2014	EPR PROPERTIES	8,120.000	57.6300	460,771	467,956
12/31/2014	EXTRA SPACE STORAGE INC	15,360.000	58.6400	818,380	900,710
12/31/2014	HCP INC	21,928.000	44.0300	904,034	965,490
12/31/2014	HYATT HOTELS CORP	7,710.000	60.2100	462,186	464,219
12/31/2014	MID-AMERICA APARTMENT COMMUNIT	12,081.000	74.6800	870,877	902,209
12/31/2014	NATIONAL RETAIL PROPERTIES INC	13,500.000	39.3700	520,447	531,495
12/31/2014	NEW YORK REIT INC	23,315.000	10.5900	257,693	246,906
12/31/2014	PROLOGIS INC	20,400.000	43.0300	831,262	877,812
12/31/2014	RAMCO-GERSHENSON PROPERTIES TR	22,550.000	18.7400	383,039	422,587
12/31/2014	REALTY INCOME CORP	12,700.000	47.7100	576,816	605,917

	12/31/2014	REGENCY CENTERS CORP	9,380.000	63.7800	523,063	598,256
	12/31/2014	RETAIL OPPORTUNITY INVESTMENTS	30,340.000	16.7900	484,108	509,409
	12/31/2014	SL GREEN REALTY CORP	5,880.000	119.0200	647,544	699,838
	12/31/2014	SIMON PROPERTY GROUP INC	11,680.000	182.1100	1,998,186	2,127,045
	12/31/2014	SOVRAN SELF STORAGE INC	8,680.000	87.2200	679,836	757,070
	12/31/2014	STAG INDUSTRIAL INC	18,850.000	24.5000	439,107	461,825
	12/31/2014	STRATEGIC HOTELS & RESORTS INC	38,170.000	13.2300	455,406	504,989
	12/31/2014	UDR INC	29,725.000	30.8200	890,933	916,125
	12/31/2014	VENTAS INC	18,912.000	71.7000	1,239,456	1,355,990
	12/31/2014	WASHINGTON PRIME GROUP INC	32,490.000	17.2200	600,278	559,478
	12/31/2014	WEYERHAEUSER CO	23,130.000	35.8900	786,915	830,136
EAGLE CAPITAL MGMT					20,081,563	21,079,282
	12/31/2014	DREYFUS TRE PR CSH MGT INS 761	3,360,233.190	100.0000	3,360,233	3,360,233
	12/31/2014	DIVIDENDS RECEIVABLE	0.000	1.0000	34,761	34,761
	12/31/2014	ALIBABA GROUP HOLDING LTD	5,800.000	103.9400	394,400	602,852
	12/31/2014	ALTERA CORP	27,400.000	36.9400	897,478	1,012,156
	12/31/2014	AMAZON.COM INC	4,250.000	310.3500	1,245,384	1,318,988
	12/31/2014	ANADARKO PETROLEUM CORP	7,400.000	82.5000	732,013	610,500
	12/31/2014	WR BERKLEY CORP	22,600.000	51.2600	1,007,971	1,158,476
	12/31/2014	BERKSHIRE HATHAWAY INC	31,050.000	150.1500	3,976,259	4,662,158
	12/31/2014	CITIGROUP INC	62,600.000	54.1100	2,991,107	3,387,286
	12/31/2014	COCA-COLA CO/THE	52,900.000	42.2200	2,157,498	2,233,438
	12/31/2014	COMCAST CORP	23,325.000	57.5650	1,218,944	1,342,704
	12/31/2014	CONSTELLATION BRANDS INC	14,400.000	98.1700	1,191,521	1,413,648
	12/31/2014	DISCOVERY COMMUNICATIONS INC	19,700.000	34.4500	667,791	678,665
	12/31/2014	DISH NETWORK CORP	15,500.000	72.8900	927,907	1,129,795
	12/31/2014	ECOLAB INC	22,200.000	104.5200	2,385,070	2,320,344
	12/31/2014	GOLDMAN SACHS GROUP INC/THE	7,600.000	193.8300	1,212,929	1,473,108
	12/31/2014	GOOGLE INC	1,600.000	530.6600	885,017	849,056
	12/31/2014	GOOGLE INC	3,300.000	526.4000	1,779,959	1,737,120
	12/31/2014	JPMORGAN CHASE & CO	10,400.000	62.5800	637,917	650,832
	12/31/2014	MICROSOFT CORP	73,900.000	46.4500	3,000,686	3,432,655
	12/31/2014	MONDELEZ INTERNATIONAL INC	48,200.000	36.3250	1,785,151	1,750,865
	12/31/2014	MORGAN STANLEY	56,289.000	38.8000	1,734,354	2,184,013
	12/31/2014	NESTLE SA	23,180.000	73.4160	1,805,726	1,701,783
	12/31/2014	NOBLE ENERGY INC	33,600.000	47.4300	2,406,939	1,593,648
	12/31/2014	ORACLE CORP	100,700.000	44.9700	4,189,881	4,528,479
	12/31/2014	PEPSICO INC	20,500.000	94.5600	1,785,012	1,938,480
	12/31/2014	PRAXAIR INC	11,300.000	129.5600	1,496,826	1,464,028
	12/31/2014	THERMO FISHER SCIENTIFIC INC	10,300.000	125.2900	1,191,373	1,290,487
	12/31/2014	3M CO	5,100.000	164.3200	726,182	838,032
	12/31/2014	TWENTY-FIRST CENTURY FOX INC	80,400.000	36.8900	2,679,900	2,965,956
	12/31/2014	UNITEDHEALTH GROUP INC	26,600.000	101.0900	2,074,838	2,688,994
	12/31/2014	VALEANT PHARMACEUTICALS INTERN	9,000.000	143.1100	1,192,053	1,287,990
	12/31/2014	WAL-MART STORES INC	10,900.000	85.8800	838,081	936,092
	12/31/2014	AON PLC	33,600.000	94.8300	2,968,419	3,186,288
	12/31/2014	LIBERTY GLOBAL PLC	78,100.000	48.3100	3,249,889	3,773,011
					-	-
					60,829,469	65,536,919
					Total line 10	203,216,731
					-	-

ROCKEFELLER BROTHERS FUND, INC.
 13-1760106
 475 Riverside Drive, Suite 900
 New York, New York 10115

SCHEDULE 6
 FORM 990-PF
 YEAR 2014

Investments-Land, Buildings, Equipment

Part II, line 11

<u>Description</u>	<u>Cost or Other Basis</u>	<u>Accumulated Depreciation</u>	<u>Book Value</u>	<u>Fair Market Value</u>
Real Estate	510,000	0	510,000	510,000
Total Part II, line 11	510,000	0	510,000	510,000

ROCKEFELLER BROTHERS FUND, INC.
13-1760106
475 Riverside Drive, Suite 900
New York, New York 10015

SCHEDULE 7
FORM 990-PF
YEAR 2014

Schedule of Investments- Other

Part II, line 13, col. (b) &(c)

	End Of Year	
	col. (b) Book Value	col. (c) Fair Market Value
<u>Description:</u>		
MUTUAL FUNDS		
GMO Emerging Domestic Opportunities II	25,261,416	25,261,416
DFA International Sustainability Core 1 Portfolio	37,020,864	37,020,864
Lazard Global Listed Infrastructure Portfolio Institutional	11,825,655	11,825,655
Scout Unconstrained Bond Fund	38,588,338	38,588,338
	112,696,273	112,696,273
HEDGE FUNDS		
Brandywine Global Investment Management Trust	20,415,874	20,415,874
CONVEXITY CAPITAL OFFSHORE	24,417,478	24,417,478
ECF Value Fund International Ltd.	16,755,210	16,755,210
ETON PARK OVERSEAS FUND	1,642,508	1,642,508
Fir Tree International Value Fund	11,507	11,507
Generation IM Global Equity Fund LLC	68,925,408	68,925,408
Good Hill Overseas Fund Ltd.	18,480,267	18,480,267
Gotham Absolute Return Fund	31,928,572	31,928,572
Hawkeye Capital Institutional, L.P.	17,649,083	17,649,083
Investure Global Equity Fund -ALL ASSETS	750,192	750,192
Arrowpoint Income Opportunity Fund QP, LP	15,630,668	15,630,668
Moon Capital Global Equity Partners Offshore Fund Ltd.	18,123,413	18,123,413
OSS CAPITAL MANAGEMENT	427,481	427,481
OZ Credit Opportunities Overseas Fund, L.P.	18,191,822	18,191,822
Prince Street Institutional Offshore Ltd.	20,252,665	20,252,665
	273,602,148	273,602,148
FUTURES		
Overlay Equity Total Return Swaps	(773,567)	(773,567)
PRIVATE EQUITY FUNDS		
Ampersand 2001	743,171	743,171
PRIVATE ADVISORS INCOME FUND	321,600	321,600
CSFB Strategic Partners II	203,347	203,347
CSFB Strategic Partners III	4,457,590	4,457,590
CSFB Strategic Partners III VC	1,850,836	1,850,836
DLJ Strategic Partners I	169,341	169,341
Generation IM Climate Solutions Fund II	3,037,131	3,037,131
IEF - 2008 Term Tranche	52,242,275	52,242,275
IEF - 2010 Special Term Tranche	31,465,009	31,465,009
IEF - 2011 Special Term Tranche	24,510,394	24,510,394
IEF - 2012 Term Tranche	17,501,855	17,501,855
KA Energy Fund III	208,934	208,934
KA Energy Fund IV	1,418,259	1,418,259
Lexington Partners II	191,175	191,175
Lexington Partners IV	364,836	364,836
NB Co-Investment Partners LP	4,587,211	4,587,211
NB Secondary Opportunities Fund LP	2,973,172	2,973,172
NEA 10	1,610,777	1,610,777
NGP ETP	134,004	134,004
NGP VIII	4,154,914	4,154,914
Palamon European Equity	3,485,987	3,485,987
Private Advisors Small Company Buyout Fund II, LP	3,781,069	3,781,069
Prospect II	220,057	220,057
Riverstone/Carlyle Global Energy III	8,024,660	8,024,660
Riverstone/Carlyle Renewable I	442,881	442,881
Siguler Guff Small Buyout Opportunities Fund LP	11,875,414	11,875,414
TCV IV	38,377	38,377
Three Arch Capital Limited Partnership	476,425	476,425
Three Arch Partners IV Limited Partnership	3,429,213	3,429,213
TIFF Partners II, LLC	178,611	178,611
TIFF Partners IV, LLC	1,279,137	1,279,137

ROCKEFELLER BROTHERS FUND, INC.
 13-1760106
 475 Riverside Drive, Suite 900
 New York, New York 10015

SCHEDULE 7
 FORM 990-PF
 YEAR 2014

Schedule of Investments- Other

Part II, line 13, col. (b) &(c)

	End Of Year	
	col. (b) Book Value	col. (c) Fair Market Value
Trinity VII	65,936	65,936
Trinity VIII	38,369	38,369
Weston Presidio III	404,240	404,240
Weston Presidio IV	252,795	252,795
Weston Presidio V	3,771,765	3,771,765
Whitney Equity Partners IV	139,326	139,326
	190,050,093	190,050,093
REAL ESTATE		
Morgan Stanley RE Fund V	916,062	916,062
Morgan Stanley RE Fund VI	2,528,159	2,528,159
Cabot Industrial Value Fund II	9,371,883	9,371,883
Orion European Real Estate II	603,604	603,604
HSREP IV Co-Investment LP	13,132,597	13,132,597
Europa II	1,252,336	1,252,336
Europa Fund IV, L.P.	3,446,979	3,446,979
Brookfield Global Listed Real Estate Fund	25,468,020	25,468,020
	56,719,640	56,719,640
Total line 13, col. (b) & (c)	632,294,587	632,294,587

ROCKEFELLER BROTHERS FUND, INC.
 13-1760106
 475 Riverside Drive, Suite 900
 New York, New York 10015

SCHEDULE 8
 FORM 990-PF
 YEAR 2014

SCHEDULE OF LAND, BUILDINGS & EQUIPMENT

Part II, line 14, col. (b) & (c)

<u>Description</u>	<u>Cost</u>	<u>Accumulated Depreciation</u>	<u>Net Basis</u>
Office Equipment	\$ 2,241,315	\$ 2,029,665	\$ 211,650
Computer Equipment & Software	2,097,781	2,009,963	87,818
Fine Art	4,780	-	4,780
Leasehold Improvements	30,280,744	24,536,517	5,744,227
Other	39,589	21,303	18,286
Automobile	16,269	8,318	7,951
	<u>\$ 34,680,478</u>	<u>\$ 28,605,766</u>	
		Total line 14	<u>\$ 6,074,712</u>

ROCKEFELLER BROTHERS FUND, INC. 13-1760106
475 Riverside Drive, Suite 900
New York, NY 10115

Schedule 9
FORM 990-PF
Year 2014

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2014

1. Name and Address of Recipient:
THE ADM CAPITAL FOUNDATION LIMITED
2406 9 Queen's Road Central
Hong Kong
China
2. Date and Amount of Grant:
3/19/2014 \$220,000
3. Purpose of Grant:
For its China Water Risk project.
4. Amount Expended by Grantee:
\$211,802. Grantor received from Grantee narrative and financial reports certifying that \$211,802 of the grant was expended for the purpose for which it was made. A report on the balance of \$8,198 will be due in 2016.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No.
6. Date of Reports received from Grantee:
On 2/28/2015, Grantor received from Grantee narrative and financial reports certifying that \$211,802 of the grant was expended for the purpose for which it was made. A report on the balance of \$8,198 will be due in 2016.
7. Dates and Results of the Verification of Grantee's Report(s):
Not required.

ROCKEFELLER BROTHERS FUND, INC.**GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2014**

1. Name and Address of Recipient:
ARAB HUMAN RIGHTS FUND STICHTING
Bahrain Street, Nakheel Building, Caracas District
Beirut
Lebanon
2. Date and Amount of Grant:
7/23/2014 \$70,000
3. Purpose of Grant:
For general support.
4. Amount Expended by Grantee:
\$38,911.58. Grantor received from Grantee narrative and financial reports certifying that \$38,911.58 of the grant was expended for the purpose for which it was made. A report on the balance of \$31,088.42 will be due in 2016.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No.
6. Date of Reports received from Grantee:
On 7/3/2015, Grantor received from Grantee narrative and financial reports certifying that \$38,911.58 of the grant was expended for the purpose for which it was made. A report on the balance of \$31,088.42 will be due in 2016.
7. Dates and Results of the Verification of Grantee's Report(s):
Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2014

1. Name and Address of Recipient:
ASSOCIATION FOR THE PROMOTION OF COOPERATION IN SOUTH EAST EUROPE AKA THE BALKAN FORUM
Markou Botsari 13
Thessaloniki 54643
Greece
2. Date and Amount of Grant:
9/8/2014 \$75,000
12/17/2014 \$75,000
3. Purpose of Grant:
For general support.
4. Amount Expended by Grantee:
\$141,130.79. Grantor received from Grantee narrative and financial reports certifying that \$141,130.79 of the grant was expended for the purpose for which it was made. A report on the balance of \$8,869.21 will be due in 2016.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No.
6. Date of Reports received from Grantee:
On 7/15/2015, Grantor received from Grantee narrative and financial reports certifying that \$141,130.79 of the grant was expended for the purpose for which it was made. A report on the balance of \$8,869.21 will be due in 2016.
7. Dates and Results of the Verification of Grantee's Report(s):
Not required.

ROCKEFELLER BROTHERS FUND, INC.**GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2014**

1. Name and Address of Recipient:
BALKAN POLICY INSTITUTE
Rr. Qamil Hoxha 4/3
Pristina 10000
Kosovo
2. Date and Amount of Grant:
8/6/2014 \$25,000
3. Purpose of Grant:
For its project, Labor Market in Kosovo.
4. Amount Expended by Grantee:
\$20,409.03. Grantor received from Grantee narrative and financial reports certifying that \$20,409.03 of the grant was expended for the purpose for which it was made. A report on the balance of \$4,584.97 will be due in 2016. The total amount received by the grantee (the amount expended plus the balance remaining) is \$24,994, which is less than the amount granted because of the conversion rate.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No.
6. Date of Reports received from Grantee:
On 3/3/2015 and 6/23/2015, Grantor received from Grantee narrative and financial reports certifying that \$20,409.03 of the grant was expended for the purpose for which it was made. A report on the balance of \$4,584.97 will be due in 2016.
7. Dates and Results of the Verification of Grantee's Report(s):
Not required.

ROCKEFELLER BROTHERS FUND, INC.**GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2014**

1. Name and Address of Recipient:
SLAVKO CURUVIJA FOUNDATION
Kursulina 7/5
Belgrade 11000
Serbia
2. Date and Amount of Grant:
12/11/2013 \$50,000
3. Purpose of Grant:
For general support.
4. Amount Expended by Grantee:
The entire amount. Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No.
6. Date of Reports received from Grantee:
On 2/28/14 and 5/4/2015, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):
Not required.

Note: During the period of the grant, Grantee was determined to be the equivalent of a public charity.

ROCKEFELLER BROTHERS FUND, INC.**GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2014**

1. Name and Address of Recipient:
DIREKT36 UJSAGIRO KOZPONT NONPROFIT KFT.
Pozsonyi ut 10
Budapest 1137
Hungary
2. Date and Amount of Grant:
10/22/2014 \$100,000
3. Purpose of Grant:
For general support.
4. Amount Expended by Grantee:
\$42,963.54. Grantor received from Grantee narrative and financial reports certifying that \$42,963.54 of the grant was expended for the purpose for which it was made. A report on the balance of \$57,036.46 will be due in 2016.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No.
6. Date of Reports received from Grantee:
On 2/28/2015 and 6/16/2015, Grantor received from Grantee narrative and financial reports certifying that \$42,963.54 of the grant was expended for the purpose for which it was made. A report on the balance of \$57,036.46 will be due in 2016.
7. Dates and Results of the Verification of Grantee's Report(s):
Not required.

ROCKEFELLER BROTHERS FUND, INC.**GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2014**

1. Name and Address of Recipient:
ECOCIETY
Bajram Bahtiri, nr. 36
Pristina 10000
Kosovo
2. Date and Amount of Grant:
10/1/2014 \$25,000
3. Purpose of Grant:
For general support.
4. Amount Expended by Grantee:
\$24,982.40. Grantor received from Grantee narrative and financial reports certifying that \$24,982.40 of the grant was expended for the purpose for which it was made. This amount is equal to the total amount received by Grantee (\$24,982.40), which is less than the amount granted because of the conversion rate.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No.
6. Date of Reports received from Grantee:
On 2/22/2015 and 7/6/2015, Grantor received from Grantee narrative and financial reports certifying that \$24,982.40 of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):
Not required.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2014

1. Name and Address of Recipient:
 GLOBAL FUND FOR COMMUNITY FOUNDATIONS
 Registered Office Community House
 Citilink Business Park
 Albert Street
 Belfast BT12 4HQ
 Northern Ireland

2. Date and Amount of Grant:
 12/9/2013 \$75,000

3. Purpose of Grant:
 For its project, the Global Alliance for Community Philanthropy.

4. Amount Expended by Grantee:
The entire amount. Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.

5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
 No.

6. Date of Reports received from Grantee:
 On 6/19/2014 and 6/12/2015, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.

7. Dates and Results of the Verification of Grantee's Report(s):
 Not required.

Note: After the period of the grant, Grantee was determined to be the equivalent of a public charity.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2014

1. Name and Address of Recipient:
 GLOBAL FUND FOR COMMUNITY FOUNDATIONS
 Registered Office Community House
 Citilink Business Park
 Albert Street
 Belfast BT12 4HQ
 Northern Ireland
2. Date and Amount of Grant:
 6/25/2014 \$50,000
3. Purpose of Grant:
 For general support.
4. Amount Expended by Grantee:
The entire amount. Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
 No.
6. Date of Reports received from Grantee:
 On 6/9/2015, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):
 Not required.

Note: During the period of the grant, Grantee was determined to be the equivalent of a public charity.

ROCKEFELLER BROTHERS FUND, INC.

GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2014

1. Name and Address of Recipient:
 GLOBAL FUND FOR COMMUNITY FOUNDATIONS
 Registered Office Community House
 Citilink Business Park
 Albert Street
 Belfast BT12 4HQ
 Northern Ireland

2. Date and Amount of Grant:
 12/15/2014 \$90,800

3. Purpose of Grant:
 For its project, the Global Alliance for Community Philanthropy, as well as for its work exploring the feasibility of corporate-funded community philanthropy.

4. Amount Expended by Grantee:
\$29,028. Grantor received from Grantee narrative and financial reports certifying that \$29,028 of the grant was expended for the purpose for which it was made.

5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
 No.

6. Date of Reports received from Grantee:
 On 6/12/2015, Grantor received from Grantee narrative and financial reports certifying that \$29,028 of the grant was expended for the purpose for which it was made. During the period of the grant, Grantee was determined to be the equivalent of a public charity. Therefore, Expenditure Responsibility is no longer required, and this schedule will not be included in subsequent Forms 990-PF.

7. Dates and Results of the Verification of Grantee's Report(s):
 Not required.

ROCKEFELLER BROTHERS FUND, INC.**GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2014**

1. Name and Address of Recipient:
RAMON MAGSAYSAY AWARD FOUNDATION
1680 Roxas Boulevard
Manila 1004
Philippines
2. Date and Amount of Grant:
8/19/2010 \$150,000
3. Purpose of Grant:
To support the 2010 Ramon Magsaysay Awards.
4. Amount Expended by Grantee:
\$135,000. Grantor received from Grantee narrative and financial reports certifying that \$135,000 of the grant was expended for the purpose for which it was made. A report on the balance of \$15,000 will be due in 2015.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No.
6. Date of Reports received from Grantee:
On 10/11/2010, 9/15/2011, 11/3/2013, and 9/24/2014, Grantor received from Grantee narrative and expenditure reports certifying that \$135,000 of the grant was expended for the purpose for which it was made. A report on the balance of \$15,000 will be due in 2015.
7. Dates and Results of the Verification of Grantee's Report(s):
Not required.

ROCKEFELLER BROTHERS FUND, INC.**GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2014**

1. Name and Address of Recipient:
MITVIM - THE ISRAELI INSTITUTE FOR REGIONAL FOREIGN POLICIES
c/o Eyal Bressler & Co., 11 Tuval Street
Ramat Gan 52522
Israel
2. Date and Amount of Grant:
7/9/2014 \$20,000
3. Purpose of Grant:
For general support.
4. Amount Expended by Grantee:
The entire amount. Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No.
6. Date of Reports received from Grantee:
On 5/21/2015, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):
Not required.

ROCKEFELLER BROTHERS FUND, INC.**GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2014**

1. Name and Address of Recipient:
MOLAD-THE CENTER FOR THE RENEWAL OF DEMOCRACY
20 Emek Refaim Street
Jerusalem 93105
Israel
2. Date and Amount of Grant:
12/16/2013 \$50,000
3. Purpose of Grant:
For general support.
4. Amount Expended by Grantee:
The entire amount. Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No.
6. Date of Reports received from Grantee:
On 9/16/2014, 3/9/2015, and 7/1/2015, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):
Not required.

Note: After the period of the grant, Grantee was determined to be the equivalent of a public charity.

ROCKEFELLER BROTHERS FUND, INC.**GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2014**

1. Name and Address of Recipient:
JEROME ROBBINS FOUNDATION
156 West 56th Street, Suite 900
New York, NY 10019
2. Date and Amount of Grant:
4/2/2014 \$10,000
3. Purpose of Grant:
For its 2014 Floria V. Lasky Symposium.
4. Amount Expended by Grantee:
The entire amount. Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No.
6. Date of Reports received from Grantee:
On 2/2/2015, Grantor received from Grantee narrative and financial reports certifying that the entire amount of the grant was expended for the purpose for which it was made.
7. Dates and Results of the Verification of Grantee's Report(s):
Not required.

ROCKEFELLER BROTHERS FUND, INC.**GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2014**

1. Name and Address of Recipient:
STICHTING CORPORATE EUROPE OBSERVATORY
26 Rue d'Edimbourg
Brussels 1050
Belgium
2. Date and Amount of Grant:
12/17/2014 \$50,000
3. Purpose of Grant:
For its work on economic integration negotiations.
4. Amount Expended by Grantee:
\$17,928. Grantor received from Grantee narrative and financial reports certifying that \$17,928 of the grant was expended for the purpose for which it was made. A report on the balance of \$32,072 will be due in 2016.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No.
6. Date of Reports received from Grantee:
On 3/23/2015 and 6/23/2015, Grantor received from Grantee narrative and financial reports certifying that \$17,928 of the grant was expended for the purpose for which it was made. A report on the balance of \$32,072 will be due in 2016.
7. Dates and Results of the Verification of Grantee's Report(s):
Not required.

ROCKEFELLER BROTHERS FUND, INC.**GRANT SUBJECT TO EXPENDITURE RESPONSIBILITY - 2014**

1. Name and Address of Recipient:
TROJA GROUP
Rr. 24 Maji, Arberi p.n.
Pristina 10000
Kosovo
2. Date and Amount of Grant:
10/29/14 \$30,000
3. Purpose of Grant:
For its project, Speak Up!
4. Amount Expended by Grantee:
\$0. Grantor received from Grantee narrative and financial reports certifying that, because of unforeseen delays, none of the grant was expended in 2014 for the purpose for which it was made. The project is expected to begin in 2015, and a report on the balance of \$30,000 will be due in 2016.
5. Whether Grantee—to the knowledge of Grantor—made any diversion of funds from the purpose of the grant:
No.
6. Date of Reports received from Grantee:
On 6/8/2015, Grantor received from Grantee narrative and financial reports certifying that, because of unforeseen delays, none of the grant was expended in 2014 for the purpose for which it was made. The project is expected to begin in 2015, and a report on the balance of \$30,000 will be due in 2016.
7. Dates and Results of the Verification of Grantee's Report(s):
Not required.

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475 Riverside Drive, Suite 900
New York, New York 10115

SCHEDULE 10
FORM 990-PF
YEAR 2014

Compensation of Officers, Directors, and Trustees

Part VIII, (1)

<u>Name and Address</u>	<u>Title</u>	<u>Average Hours per Week Devoted to Position</u>	<u>Compensation</u>	<u>Contributions to Employee Benefit Plans</u>	<u>Expense Account and Other Allowances</u>
TRUSTEES:					
Steven C. Rockefeller c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	2 hrs.	- 0 -	None	None
David Rockefeller c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Advisory Trustee	1 hr.	- 0 -	None	None
Anne Bartley c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	(1) 1 hr.	- 0 -	None	None
Vali Nasr c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	1 hr.	- 0 -	None	829
R. Nicholas Burns c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	1 hr.	- 0 -	None	829
Valerie Rockefeller Wayne c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee, Chair	10 hrs.	- 0 -	None	None
Joseph A. Pierson c/o Rockefeller Brother Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee Vice Chair	1 hr.	- 0 -	None	829
Miranda M. Kaiser c/o Rockefeller Brother Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	1 hr.	- 0 -	None	None
Wendy O'Neill c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	(2) 1 hr.	- 0 -	None	None

ROCKEFELLER BROTHERS FUND, INC.

13-1760106

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New York, New York 10115

SCHEDULE 10**FORM 990-PF****YEAR 2014**Compensation of Officers, Directors, and TrusteesPart VIII, (1)

<u>Name and Address</u>	<u>Title</u>	<u>Average Hours per Week Devoted to Position</u>	<u>Compensation</u>	<u>Contributions to Employee Benefit Plans</u>	<u>Expense Account and Other Allowances</u>
Timothy O'Neill c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	(2) 1 hr.	- 0 -	None	None
Wendy Gordon c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	(1) 1 hr.	- 0 -	None	None
Justin Rockefeller c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	1 hr.	- 0 -	None	None
Arlene Shuler c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	1 hr.	- 0 -	None	829
Marsha Simms c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	1 hr.	- 0 -	None	829
Kavita Ramdas c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	1 hr.	- 0 -	None	829
Daniel Levy c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	2 hrs.	- 0 -	None	829
Hugh Lawson c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	(3) 1 hr.	- 0 -	None	None
Marnie S. Pillsbury c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee	(3) 1 hr.	- 0 -	None	None

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SCHEDULE 10
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YEAR 2014

Compensation of Officers, Directors, and Trustees

Part VIII, (1)

<u>Name and Address</u>	<u>Title</u>	<u>Average Hours per Week Devoted to Position</u>	<u>Compensation</u>	<u>Contributions to Employee Benefit Plans</u>	<u>Expense Account and Other Allowances</u>
Richard Rockefeller c/o Rockefeller Brothers Fund 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Advisory Trustee	(4) 1 hr.	- 0 -	None	None
OFFICERS:					
Stephen B. Heintz 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Trustee, President	(1) 40-50 hrs.	576,944	101,162	6,653
Nancy L. Muirhead 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Corporate Secretary	40-50 hrs.	224,540	86,529	4,608
Elizabeth C. Campbell 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Vice President For Programs	40-50 hrs.	327,262	85,663	5,184
Geraldine F. Watson 475 Riverside Drive, Suite 900 New York, N.Y. 10115	Vice President, Finance and Operations	40-50 hrs.	<u>322,390</u>	<u>84,940</u>	<u>6,164</u>
			<u>\$ 1,451,136</u>	<u>\$ 358,294</u>	<u>\$ 28,412</u>

(1) Re-elected to Board effective June 25, 2014.

(2) Until June 25, 2014.

(3) Elected to Board effective June 25, 2014.

(4) Until June 13, 2014.

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Year 2014

Summary of Direct Charitable Activities

PART IX-A

Line 1 Pocantico Historic Area

The Pocantico Center is managed by the Rockefeller Brothers Fund as part of its agreement with the National Trust for Historic Preservation, entered into in 1991, under which the Fund is responsible for the renovation, maintenance, and operation of this historic site. It also serves as a community resource, and offers public access through a visitation program, lectures, and cultural events. In 2014, 31,665 people visited the Pocantico Historic area, and 40 public programs were held.

Amount: \$3,598,215

Line 2 Assistance to Charitable Organizations:

During 2014, 10 members of the staff of Rockefeller Brothers Fund provided technical assistance to charitable organizations, governmental bodies and committees, and 14 members of the staff served on 48 charitable organizations' boards, committees, public commissions, or task forces.

Amount: \$472,281

Line 3 Pocantico Conference Center

The Pocantico Conference Center of the Rockefeller Brothers Fund, located within the Pocantico Historic Area (see Line 1, above), began operations in April of 1994. The Pocantico Center is a venue for conferences and meetings on critical issues related to the Rockefeller Brothers Fund's mission. The Pocantico Center also hosts artist residencies, and provides performance space for artists selected by RBF grantees and New York City arts organizations. In 2014, The Pocantico Center held 57 conferences and meetings. Of the 57 conferences held, 25 were related to the Fund's programs. There were 11 Pocantico artist residencies in 2014.

Amount: \$273,423

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Undistributed Income Statement

Part XIII, Lines 3, 7 & 10

Rockefeller Brothers Fund regularly makes out of corpus distributions to satisfy IRC section 4942(g)(3). In 2014, Rockefeller Brothers Fund discovered that it inadvertently over reported out of corpus distributions with respect to certain grants it received in each of its 2012 and 2013 tax years. Since Rockefeller Brothers Fund had excess qualifying distributions in each of those years, this error's only impact is to increase qualifying distribution carryforwards. As such, Rockefeller Brothers Fund has adjusted its carryforwards as follows:

2012: Increased carryforward by \$200,000

2013: Increased carryforward by \$425,000

In addition, Rockefeller Brothers Fund has appropriately reported and certified its out of corpus spending to each of the grantmaking foundations as required, and has implemented policies to ensure proper reporting of out of corpus distributions in the future.

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PART XV, Line 2a

Grant Inquiries are accepted throughout the year at <http://www.rbf.org/content/apply-grant>. The online Grant Inquiry is the starting point for applying for a grant from the Rockefeller Brothers Fund.

PART XV, Line 2b

Founded in 1940, the Rockefeller Brothers Fund advances social change that contributes to a more just, sustainable, and peaceful world. The Fund's grantmaking is organized in three thematic programs that support work in the United States and at the global level: Democratic Practice, Sustainable Development, and Peacebuilding; and in three pivotal place programs that address these themes in specific contexts: New York City, Southern China, and the Western Balkans.

The Rockefeller Brothers Fund is highly engaged in the fields in which the RBF provides support. Staff members actively identify organizations that are well-positioned to meet programmatic goals. While the Fund remains open to unsolicited requests, applicants should be aware that the likelihood of an unsolicited request becoming a grant is low. The online grant inquiry is the starting point for requesting a grant.

Applications for funding are considered throughout the year. The first step for prospective applicants is to submit an online grant inquiry. The review process may take up to three months from the time the inquiry is received.

Staff review each inquiry for fit within current program guidelines, funding priorities, and budget constraints. If there is interest in considering a grant, staff will contact the applicant for additional information, including a detailed proposal. Applicants should not send a full proposal unless requested to do so by Fund staff. The review process may take up to three months from the time the inquiry is received.

Once a grant is approved, grantees will receive an agreement letter from the Fund outlining the terms of the grant, including the expected reporting requirements and payment schedule. The first payment on the grant is generally issued upon return of the countersigned award letter to the Fund.

RBF staff members monitor grants throughout their life cycle. Each grantee is required to submit narrative and financial reports at specified intervals during the grant as well as at its conclusion. Grant reports become part of the Fund's permanent records.

PART XV, Line 2c

The Charles E. Culpeper Arts & Culture Grants honor the legacy of Charles E. Culpeper by supporting the artists and arts and cultural organizations that make New York City one of the world's most dynamic creative capitals. Managed by the Fund's Pivotal Place: New York City program, these grants support the creative process, build the capacity of small and mid-size arts and cultural institutions, and promote the pursuit of the creative life.

This portfolio is currently under review and as a result, applications were not accepted in 2014.

ELIGIBILITY

- Organizations based within the five boroughs of New York City
- Organizations with 501(c)(3) tax status or projects of sponsoring organizations with 501(c)(3) tax status

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- For capacity-building grants, organizations with annual operating budgets between \$200,000 and \$4 million
- Generally, no capital campaigns, festivals, meetings, cash reserve funds, or endowments
- Support for arts education has been phased out

PART XV, Line 2d

The Rockefeller Brothers Fund awards grants throughout the year to support a variety of charitable projects in the United States and abroad that seek to expand knowledge, clarify values and critical choices, nurture creative expression, and shape public policy.

To be eligible for a grant, organizations should be considered tax-exempt or seeking support for a project that would qualify as educational or charitable. The Fund's grantmaking is organized around three thematic programs and three place-based programs. The RBF awards a limited number of Philanthropic Stewardship and Special Opportunities grants that support nonprofit infrastructure organizations and help to further the Fund's mission. The Fund does not accept unsolicited applications in these areas.

Please also see What We Do Not Fund at www.rbf.org/content/what-we-do-not-fund.

RBF PROGRAM STATEMENT

The Rockefeller Brothers Fund advances social change that contributes to a more just, sustainable, and peaceful world. Through its grantmaking, the Fund supports efforts to expand knowledge, clarify values and critical choices, nurture creative expression, and shape public policy. The Fund's programs are intended to develop leaders, strengthen institutions, engage citizens, build community, and foster partnerships that include government, business, and civil society. Respect for cultural diversity and ecological integrity pervades the Fund's activities.

As an institutional citizen of an interdependent world, the Fund is active globally, nationally, and locally in its home city of New York. Grant programs are organized around three themes: Democratic Practice, Peacebuilding, and Sustainable Development. The Fund recognizes that achievement of progress in each of these program areas is often interconnected with developments in the others. As a private foundation, the Fund strives to promote philanthropic excellence and to enhance the effectiveness of the nonprofit sector.

As specified in the guidelines for each grant program, the Fund supports activities in a variety of geographic contexts. It also has identified several specific locations on which to concentrate cross-programmatic attention. The Fund refers to these as "RBF pivotal places": sub-national areas, nation-states, or cross-border regions which have special importance with regard to the Fund's substantive concerns and whose future will have disproportionate significance for the future of a surrounding region, an ecosystem, or the world. The Fund currently works in three pivotal places: New York City, Southern China, and Western Balkans.

The Pocantico Center of the Rockefeller Brothers Fund is located at the heart of the Rockefeller estate outside New York City and was created when the Fund leased the area from the National Trust for Historic Preservation in 1991. The conference center provides a unique setting where the RBF and other nonprofit organizations and public sector institutions can bring together people of diverse backgrounds and

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perspectives to engage critical issues, reach new levels of understanding, and develop creative solutions to pressing problems.

In the years since its founding in 1940, the Fund has developed a distinctive style of grantmaking that is reflected in the following characteristics:

Long View. Grantmaking is primarily concerned with fundamental problems and is designed to contribute to the achievement of long-term goals and to make a lasting impact.

Commitment. Extended commitments are frequently made to specific issues and geographic regions and even to particular grantees.

Synergy. Rather than considering opportunities on a stand-alone basis, the Fund looks for connections among the activities it supports and the themes it pursues, both within and across program areas and in specific geographic locations.

Initiative. The Fund initiates or participates in the development of many of the projects that it supports.

Engagement. In addition to providing financial support, the Fund often works closely with grantee organizations to help strengthen their capacity and advance their work

Collaboration. The Fund actively seeks opportunities to collaborate with other funders.

Convening. The Fund devotes time and resources, including the use of its Pocantico Conference Center, to convening groups of diverse stakeholders and encouraging collaboration among government agencies, corporations, and nongovernmental organizations.

The goals and strategies in each of our programs are implemented through a variety of approaches to grantmaking. In some programs, as is noted in the guidelines, the Fund proactively identifies grantee partners and thus has limited ability to respond to unsolicited proposals. Grantseekers are encouraged to study the guidelines closely. Prospective grantees are also urged to consult the section [“How to Apply”](#) at www.rbf.org for specific guidance on the application process.

RBF PROGRAMS

DEMOCRATIC PRACTICE PROGRAM GUIDELINES

For democracy to flourish and deliver on its promises—including political participation, human rights, access to justice, a good education, an improved quality of life, a healthy environment, and personal security—its citizens must be informed, engaged, empowered, and assertive. Similarly, institutions of governance must be inclusive, transparent, accountable, and responsive.

The frequent failure of both new and established democracies to deliver on democracy's promises undermines the commitment to democratic practices. Wealthy and powerful actors exercise undue influence, and voices that historically have been excluded remain unheard in decision-making processes.

The United States continues to face a number of democratic deficits: a decline in many traditional forms of civic engagement; reduced participation in the formal institutions of democracy, including but not limited

to voting; and declining trust in all institutions, especially institutions of government. These deficits are being exacerbated by deeply rooted economic challenges, and American society is becoming increasingly polarized, socially, economically, and politically.

At the same time, the process of globalization has similarly produced democratic deficits in global governance. Global power and wealth inequities have deepened, while the significance of decisions made by transnational institutions such as multilateral organizations, multinational corporations, and international financial institutions has increased. In this patchwork of institutions and practices, global governance decisions are made with inadequate inclusiveness, accountability, and transparency, often preempting or distorting legitimate national and local decision-making processes.

The Fund's Democratic Practice program has two parts: strengthening democracy in the United States and strengthening democratic practice in global governance. Based on a careful assessment of local needs and priorities, the Fund also pursues one or more of the democratic principles underlying the program in its "pivotal places." Recognizing that there is no single model of effective democratic practice, the Fund emphasizes flexibility and adaptability to different contexts in these pivotal places.

DEMOCRACY IN THE UNITED STATES

Persistent and deep divisions undermine the nation's social, economic, and political vitality. The gap between rich and poor, both economic and social, continues to widen, with the top one percent of American wage earners receiving nearly a quarter of the nation's total income. Exorbitant amounts of private money spent on political campaigns and lobbying profoundly distort the political system. Those without the financial resources to influence public policy are further marginalized, and private interests are frequently prioritized over the public good, fostering public cynicism and a distrust of elected officials. According to the Center for Responsive Politics, an estimated \$7 billion was spent on the 2012 federal elections. In 2012, successful candidates for the House of Representatives spent an average of \$1.6 million on their campaigns; successful candidates for the Senate spent an average of \$11.4 million, up from \$1.4 million and \$9.8 million, respectively, in the 2010 cycle. Moreover, in 2012, there were 12,374 registered lobbyists working to influence Congress and federal agencies—23 for every member of Congress—with a total of \$3.27 billion spent on lobbying.

The quality of our political culture is deteriorating. There are few examples of bipartisanship and constructive compromise in political debate. While participation in national elections has increased, it remains below that of most advanced democracies and turnout for local elections is persistently low. Furthermore, millions of eligible voters have been kept from voting by registration or identification problems and many others have been discouraged by poor administration of polling places, or worse, by overt efforts at voter suppression. For years, government has been derided as a source of the nation's ills, rather than a positive force for national progress. The capacities of government institutions have been weakened, and confidence in them has declined.

In the media, confrontation often passes for dialogue, crowding out nuanced views and a middle ground. Traditional media organizations face financial challenges that are reducing the number of public voices and limiting journalism's ability to hold government and business accountable. Resources for investigative journalism are shrinking. New media offer opportunities to broaden and redefine journalism but may foster

a cacophony of voices without editorial mediation, leaving the public with no way to judge the accuracy or reliability of the information.

Goal: Strengthening the Vitality of Democracy in the U.S.

In the United States, the Fund seeks to strengthen and to broaden participation in the practices and institutions of democratic governance through the following strategies.

Strategies:

- Combating the corrupting influence of money in politics by supporting the adoption of public financing of electoral campaigns and selected other reforms that increase access to and participation in elections and enhance the integrity of representative democracy.
- Fostering greater understanding of and appreciation for the role of the public sector in society. Support also will be provided on a limited basis to organizations that promote the improved performance of key public sector institutions.
- Promoting the transparency, accountability, and responsiveness of government institutions and the transparency and accountability of corporate political spending, with special attention given to the importance of investigative journalism and to the potential of new technologies to enhance transparency and strengthen accountability.

GLOBAL GOVERNANCE

Globalization—the dramatic increase in cross-border flows of capital, goods, and people and their values and ideas—is producing deeper interdependencies and changes in power relations. It is a defining process of the 21st century, offering both challenges and opportunities.

Global governance is an incomplete patchwork of institutions, rules, and processes that transcend the authority of individual nations. The elements of global governance are many, including formal agreements among nations; the architecture and practices of international and intergovernmental institutions and international courts; the policies, behaviors, and actions of states and multinational corporations; and the work and influence of civil society organizations.

Economic interests have largely overshadowed democratic practices, social equity, and environmental concerns in the evolution of global governance institutions and processes. Powerful international trade and financial institutions remain opaque and exclusive, while the power and reach of multinational corporations often escape oversight or effective regulation. Thus, while the impact of global governance on peoples' lives is growing, democracy in global governance faces acute deficits. Transparency and accountability in global decision making are often critically deficient. Representation in global governance processes remains limited; underrepresented populations and weaker states have restricted voice and standing, curtailing their access and participation. Injustices persist, as rights are unevenly recognized and ad hoc coordination often substitutes for meaningful democratic processes. Together, these deficits challenge the ability of nations and groups to protect their commonwealth, ensure necessary social and environmental protections, and promote ethical and effective stewardship of common resources.

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At the same time, globalization has opened up new opportunities for building cross-border coalitions that are finding innovative ways to address and resolve global problems. Novel combinations of public, private, intergovernmental, and nongovernmental organizations are asserting their concerns, forging new democratic practices, and advancing systemic changes in global processes, rules, and institutions.

Goal: Strengthening the Vitality of Democracy in Global Governance

In its work to strengthen democracy in global governance, the Fund focuses on the areas of climate change, development finance, and trade. The Fund is particularly interested in civil society organizations that represent and advance developing country concerns as they seek to increase equity and foster sustainability.

Strategies:

- Enhancing transparency and accountability of global governance institutions and decision-making processes.
- Expanding access to and participation in global governance institutions and decision-making processes. Priority is given to initiatives led by or in support of constituencies underrepresented in global governance.
- Advancing new ideas, advocacy approaches, and institutional arrangements aimed at more effective and democratic decision making in global governance and more just and sustainable outcomes.

SUSTAINABLE DEVELOPMENT PROGRAM GUIDELINES

Human activity is causing climate change, rapid loss of biodiversity, and accelerating degradation of Earth's life support systems. These developments threaten the livelihoods, health, and security of people in all nations and cultures as well as the well-being of the greater community of life. The RBF's sustainable development grantmaking endeavors to address these challenges by supporting development that meets the needs of the present without compromising the ability of future generations to meet their own needs. The program supports global stewardship that is ecologically based, economically sound, socially just, culturally appropriate, and consistent with intergenerational equity. The Fund encourages government, business, and civil society to work collaboratively on climate change, to acknowledge the moral and ethical consequences of inaction, and to make it an integral part of all development planning and activity. Recognizing the global nature of many environmental problems, the Fund also promotes international cooperation in addressing these challenges.

The Sustainable Development program maintains a significant focus on the United States in light of its disproportionate impact on the global economy, politics, and the environment. The program's work is also advanced in collaboration with the Fund's "pivotal place" programs—New York City, Southern China, and the Western Balkans—and with the Democratic Practice program's Global Governance portfolio. Pivotal place programs support work in specific countries or regions to build the knowledge, policies,

organizational capacity, and leadership needed to advance sustainable development in locally appropriate ways. The Fund's Global Governance portfolio supports broad participation in forging the international agreements and institutional arrangements needed to encourage investment in sustainable development. Fund staff work to ensure that global developments inform work in specific places and that locally grounded efforts generate lessons and innovations needed for global impact.

With the recognition that the impact of unchecked climate change threatens all other conservation efforts, the Sustainable Development program focuses its U.S. grantmaking on building a green economy at the federal, state, and local levels.

Goal: Advancing Solutions to Climate Change

Strategies:

- Building public and policymaker understanding and support for a range of actions to address the threat of climate change.
- Supporting implementation efforts to build a clean energy economy at the federal, state, and local levels.
- Supporting efforts to reduce reliance on carbon-intensive energy sources.
- Supporting targeted efforts to advance international progress on climate change.

PEACEBUILDING PROGRAM GUIDELINES

Numerous and diverse factors drive contemporary conflicts, from strained relations between governments and groups of people to threats that transcend borders such as environmental crises, the flow of weapons, and violent extremism. In today's interdependent world, regional or local conflicts often have consequences that undermine the security and well-being of distant communities. Transforming conflict to build just and durable peace requires the global community to think differently about how it responds to the complex nature of 21st century challenges. Governments, multilateral organizations, and civil society must work in concert to develop a new framework for conflict prevention and peacebuilding that engages international, regional, state, and local actors in addressing the human security challenges of local populations.

As the world evolves rapidly toward a multipolar reality in which a growing array of nations pursue their national interests from positions of shifting political and economic power, the United States continues to play an important role in global efforts to create a more just, sustainable, and peaceful world. U.S. policies and behaviors that demonstrate respect for the competencies and perspectives of both traditional allies and emerging powers can help create a greater sense of shared responsibility and advance collective problem-solving to prevent, manage, and resolve conflicts. Civil society organizations have a significant role to play in promoting non-violent responses to conflict and cultivating the political climate necessary for official peacebuilding and diplomatic negotiations to succeed. They also are important advocates for a

more robust multilateral system that is effective at brokering peace agreements.

The Fund's Peacebuilding program aspires to strengthen grassroots constituencies for peace and to connect them with policymaking on the regional and international levels. It aims to understand the conditions that lead to violence and the processes that support durable peace in order to identify innovative solutions to the most pressing drivers of insecurity. The program's grantmaking focuses on conflicts that have a disproportionate influence on international peace and security. It works closely with the Fund's Pivotal Place programs, especially in the Western Balkans, and with the Global Governance portfolio of the Democratic Practice program. The Fund places particular importance on elevating the role of women and young leaders in international peacebuilding and conflict transformation efforts.

Goal: Advancing Just and Durable Peace

The Fund will pursue the following interrelated strategies with respect to select conflicts.

Strategies:

- Supporting innovative and collaborative approaches and policies for conflict prevention, management, and transformation at the multilateral, regional, and national levels.
- Strengthening constituencies and political will for conflict transformation and durable peace.
- Exploring solutions to emerging transnational threats and drivers of conflict (specific focus to be developed).

The program is currently focusing a significant portion of its grantmaking on the wider Middle East.

PIVOTAL PLACE: NEW YORK CITY PROGRAM GUIDELINES

The Rockefeller Brothers Fund has been active in New York City since its founding there in 1940. New York City's extraordinarily diverse population, economic prominence, and cultural vitality combine to make the city pivotal to the future of its region, the nation, and the world. New York City has the potential to be a model 21st century sustainable urban community that nourishes neighborhoods and civic life, supports individual achievement and artistic expression, generates widely shared prosperity, and preserves and enhances its built and natural environments. Linked as it is to the region, the nation, and the world, New York City can become a beacon of excellence and a force for positive change both within and well beyond its boundaries.

The Fund's overarching thematic interest in sustainable development is reflected in its engagement with New York City. The Charles E. Culpeper Arts & Culture Grants are a distinctive feature of the Pivotal Place: New York City program.

SUSTAINABLE DEVELOPMENT

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New York City is poised to become a model of urban sustainable development and environmental stewardship for the next century. However, the city faces complex development challenges driven by projections of significant population growth, limited space, and the imperatives of climate change mitigation and adaptation. Against these challenges, Mayor Bill de Blasio's "One City: Built to Last" and the adoption of state and local legislation to advance green economic development in the city offer promising new avenues for the development of sustainable New York communities.

Goal: Building Sustainable Communities

Following on a history of support for community and waterfront development and brownfield remediation, the Fund seeks to engage low-income communities and communities of color in the development of an equitable clean energy economy in New York City.

Strategies:

- Promoting civic engagement in vital, green, and sustainable communities with an emphasis on restoring the city's waterfront for community use.
- Supporting implementation efforts to build a clean energy economy in New York City, particularly in the buildings sector. Grantmaking under this strategy is carried out in collaboration with the Fund's Sustainable Development program.

CHARLES E. CULPEPER ARTS & CULTURE GRANTS

The Charles E. Culpeper Arts & Culture Grants honor the legacy of Charles E. Culpeper by supporting the artists and arts and cultural organizations that make New York City one of the world's most dynamic creative capitals. These grants support the creative process, build the capacity of small and mid-size arts and cultural institutions, and promote the pursuit of the creative life.

Grantee organizations must wait a minimum of three years from their grant final payment before reapplying.

Goal: Supporting the Creative Process

The Fund seeks to foster an environment in which artists and the creative process can flourish through the following strategy:

Strategy:

- Supporting organizations that assist individual artists and the creative process, providing infrastructure to sustain the artistic life, and offering artists additional opportunities to develop skills complementary to their creative talents.

Goal: Building Capacity in Cultural Organizations

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The Fund seeks to sustain and advance small and mid-size cultural organizations, particularly those that are community-based and/or culturally specific, with annual budgets under \$4 million, through the following strategy:

Strategy:

- Giving non-renewable, capacity-building grants as added vitality in the immediate term.

PIVOTAL PLACE: SOUTHERN CHINA PROGRAM GUIDELINES

In March 2004, the Rockefeller Brothers Fund decided to concentrate its Asian grantmaking in southern China, one of the fastest growing and most dynamic regions of the world. This focus builds on the Fund's history of philanthropy in East and Southeast Asia and continues over a century of Rockefeller family philanthropy in China which includes the founding of the Peking Union Medical College in 1917.

The Fund's geographic area of interest encompasses the nine diverse and increasingly interconnected provinces of the Pan Pearl River Delta—Guangdong, Guangxi, Hunan, Yunnan, Sichuan, Guizhou, Fujian, Hainan, and Jiangxi—and, to a lesser extent, Hong Kong and Macau. In practice, RBF grantmaking also connects work in southern China with Beijing-based institutions and stakeholders because of their importance in addressing regional and national sustainable development challenges. This region accounts for one-third of China's population and 40 percent of its GDP. It has played a pivotal role in China's extraordinary progress over the last 30 years, progress which includes, among many achievements, the elevation of hundreds of millions of people out of extreme poverty and the growth of China's economy into the second largest in the world.

Southern China's rapid development, however, has been accompanied by profound challenges including environmental degradation and growing disparities between rich and poor, urban and rural which, if not addressed, threaten the sustainability of development in the region, the country, and the world. With innovative government policy, a vibrant marketplace, and growing public participation, China has the potential to lead the world in sustainable approaches to development. The RBF's southern China grantmaking seeks to assist indigenous Chinese efforts to: 1) mitigate environmental pollution and improve the well-being of the Chinese people; 2) further sustainable energy practices and climate change solutions; and 3) strengthen community leadership for sustainable development.

At the core of all of the RBF's work in southern China is a fundamental belief in the wisdom, creativity, and resourcefulness of the Chinese people. The Fund will encourage multidisciplinary approaches to problem solving that integrate social, economic, environmental, and cultural concerns; and it will seek to foster collaboration at all levels and across sectors—government, business, civil society, and academia.

SUSTAINABLE DEVELOPMENT

Goal: Mitigating Environmental Pollution and Its Impact on Human Health

Strategies:

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- Building knowledge, capacity, and cross-sector, cross-discipline collaborations.
- Strengthening public participation in environmental governance by promoting accountability, transparency, and access to information.
- Encouraging responsible corporate behavior in protecting the environment and public health.

Goal: Advancing Solutions to Climate Change

Strategies:

- Supporting a broad range of initiatives to assist China's efforts to reduce greenhouse gas emissions, with a strong emphasis on work at the provincial and local levels to promote energy conservation and clean energy production.
- Building understanding and facilitating cooperation between the United States and China in pursuing effective policy and action on energy and climate challenges.

Goal: Strengthening Community Leadership to Support Sustainable Development

Strategies:

- Nurturing indigenous philanthropy.
- Strengthening the capacity of key civic sector institutions, including the development of emerging leaders.

PIVOTAL PLACE: WESTERN BALKANS PROGRAM GUIDELINES

The Rockefeller Brothers Fund (RBF) has been engaged in grantmaking in the Western Balkans since 2001. At that time, in light of the region's long history of instability and the recent conclusion of the Balkan wars of the 1990s, the prospect of a peaceful, democratic, and prosperous Europe hinged, in part, on the Balkans' successful transition to a post-Communist and post-conflict era. During its first years in the Western Balkans, the Fund's grantmaking aimed to nurture healthy democratic processes, support regional initiatives to debunk persistent myths and prejudices, and encourage development of a vibrant civil society. The Fund's work in the Balkans, and especially in Serbia, Montenegro, and Kosovo, builds on its 20-year experience in Central and Eastern Europe, supporting transition processes and helping to create conditions for their accession to the European Union.

The countries of the Western Balkans are now focused on realizing their own European integration aspirations, as accession brings with it the promise of stability and rule of law—necessary prerequisites for long-term peace, prosperity, and democracy. To support that vision, the Fund will help create the enabling legal, fiscal, and political environment for EU membership, giving special attention to democratic practice and sustainable development requirements. Engagement and leadership of youth in building a sustainable future for the region, facing the past, and establishing a truth and reconciliation process will continue to be

part of the RBF's support in the region.

The RBF will continue to work closely with civil society groups, governmental institutions, and other funding organizations to highlight the richness of the region's ethnic, gender, age, cultural, and racial diversity and to promote the values of a tolerant and inclusive society.

The RBF is pursuing the following goals and strategies across the Western Balkans region:

DEMOCRATIC PRACTICE

Goal: Improving the Performance, Transparency, and Accountability of Government

Strategies:

- Enhancing the capacity of civil society to monitor the quality of public sector governance and service delivery
- Strengthening the investigative and educational practices of media and nongovernmental organizations
- Strengthening the capacity of government and nongovernmental institutions to conduct sound policy analysis

Goal: Building Civil Society Capacity and Effectiveness to Strengthen Participatory Democracy

Strategies:

- Strengthening the organizational and financial capacity of the civic sector
- Stimulating indigenous philanthropy and solidarity in society

SUSTAINABLE DEVELOPMENT

Goal: Building a Culture and Practice of Sustainable Development

Strategies:

- Contributing to participatory and inclusive approaches to the design and implementation of strategies for sustainable development
- Supporting educational reforms to include sustainable development concepts and practices as part of curriculum
- Introducing and encouraging energy efficiency and environmental protection approaches in economic and infrastructure development

PEACEBUILDING

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Goal: Strengthening Constituencies for Reconciliation and Enduring Peace

Strategies:

- Supporting efforts to establish and disseminate the truth about atrocities and mass violations of human rights during the years of conflict in the region.
- Contributing to regional initiatives that engage diverse states and communities in conflict transformation to create conditions for enduring peace.

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Recipient and Purpose	Tax Status	Beginning Balance	Approved in 2014	Lapsed in 2014	Paid in 2014	Ending Balance
2030, Inc. 607 Cerrillos Road Santa Fe, NM 87505 <i>For general support.</i>	PC	\$0	\$300,000	\$0	\$300,000	\$0
350.org 20 Jay Street, Suite 1010 Brooklyn, NY 11201 <i>For general support.</i>	PC	\$0	\$175,000	\$0	\$175,000	\$0
350.org 20 Jay Street, Suite 1010 Brooklyn, NY 11201 <i>For its work on The People's Climate March.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
Kyle Abraham Abraham in Motion, Inc. P.O. Box 986 New York, NY 10113 <i>For its project, Abraham.In.Motion.</i>	PC	\$0	\$85,000	\$0	\$35,000	\$50,000
ActionAid USA ActionAid International USA 1420 K Street, NW Suite 900 Washington, D.C. 20005 <i>For advocacy on international climate finance.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Adalah - The Legal Center for Arab Minority Rights in Israel 94 Yaffa Street Haifa, 3109001 Israel <i>For its U.S. Advocacy and Institution-Building Initiatives.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
The ADM Capital Foundation Limited 2406 9 Queen's Road Central Hong Kong China <i>For its China Water Risk project.</i>	PF	\$0	\$220,000	\$0	\$220,000	\$0
Advanced Energy Economy Institute 135 Main Street, Suite 1320 San Francisco, CA 94105 <i>For its NY State 21st Energy System initiative.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0

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Alliance for Justice 11 Dupont Circle, NW, 2nd Floor Washington, D.C. 20036 <i>For its Bolder Advocacy initiative.</i>	PC	\$0	\$20,000	\$0	\$20,000	\$0
Alliance for Open Society International, Inc. 224 West 57th Street New York, NY 10019 <i>For its Talking Transition project.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Alliance of Resident Theatres/New York, Inc. 520 Eighth Avenue, Suite 319 New York, NY 10018 <i>To support its capital campaign's consultant fee and marketing materials.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0
American Council for an Energy Efficient Economy 529 14th Street, NW, Suite 600 Washington, D.C. 20045-1000 <i>For its Ensuring Strong Fuel Economy Standards project.</i>	PC	\$0	\$75,000	\$0	\$25,000	\$50,000
American Council on Renewable Energy 1600 K Street, NW Suite 650 Washington, D.C. 20006 <i>For general support.</i>	PC	\$0	\$150,000	\$0	\$150,000	\$0
American Prospect, Inc. 1333 H Street, NW Suite 300 East Tower Washington, D.C. 20005 <i>For its 2014 Democracy Project: Accelerating the American Democracy Movement.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
American University 4400 Massachusetts Avenue, NW Washington, D.C. 20016-8061 <i>For the Investigative Reporting Workshop of its School of Communication.</i>	PC	\$0	\$40,000	\$0	\$40,000	\$0
The American University in Cairo 420 Fifth Avenue, 3rd Floor New York, NY 10018 <i>For its youth outreach through Egyptian national universities project.</i>	PC	\$0	\$54,000	\$0	\$54,000	\$0

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Americas Society, Inc. 680 Park Avenue New York, NY 10021 <i>For its initiative, Immigration and Integration: New York City, New Gateway Cities, and Beyond.</i>	PC	\$0	\$150,000	\$0	\$150,000	\$0
Appalachian Voices 171 Grand Boulevard Boone, NC 28607 <i>For its Energy Savings for Appalachia program.</i>	PC	\$0	\$30,000	\$0	\$30,000	\$0
Arab Human Rights Fund Stichting Bahrain Street, Nakheel Building, Caracas District Beirut Lebanon <i>For general support.</i>	PF	\$0	\$70,000	\$0	\$70,000	\$0
Arab Reform Initiative 71 Rue Fondary Paris, 75015 France <i>For general support.</i>	PC	\$0	\$200,000	\$0	\$200,000	\$0
Louis Armstrong House Museum 34-56 107th Street Corona, NY 11368-1226 <i>For general support</i>	PC	\$0	\$7,600	\$0	\$7,600	\$0
Artspace Projects, Inc. 250 Third Avenue North, Suite 500 Minneapolis, MN 55401 <i>For its East Harlem Artspace project.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0
Asia Society 725 Park Avenue New York, NY 10021-5088 <i>For its U.S.-Iran dialogue.</i>	PC	\$150,000	\$0	\$106,063	\$43,937	\$0
Asian Americans Advancing Justice - AAJC, Inc. 1140 Connecticut Avenue, NW Suite 1200 Washington, D.C. 20036 <i>For its Emerging Constituencies: A Community Capacity and Leadership Initiative.</i>	PC	\$0	\$32,000	\$0	\$32,000	\$0

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Aspen Global Change Institute, Inc. 104 Midland Avenue, Suite 205 Basalt, CO 81621 <i>For its Climate Communication project.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
The Aspen Institute, Inc. One Dupont Circle, NW Suite 700 Washington, D.C. 20036 <i>For its Congressional Program.</i>	PC	\$264,000	\$0	\$0	\$114,000	\$150,000
The Aspen Institute, Inc. One Dupont Circle, NW Suite 700 Washington, D.C. 20036 <i>For its Partners for a New Beginning project.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
The Aspen Institute, Inc. One Dupont Circle, NW Suite 700 Washington, D.C. 20036 <i>For its Energy and Environment program's U.S.-India Track II Dialogue on Clean Energy and Climate Change.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
Association for the Promotion of Cooperation in South East Europe aka The Balkan Forum Markou Botsari 13 Thessaloniki, 54643 Greece <i>For general support.</i>	PF	\$0	\$150,000	\$0	\$150,000	\$0
Atlantic Council of the U.S., Inc. 1030 15th Street, NW, 12th Floor Washington, D.C. 20005 <i>For its South Asia Center.</i>	PC	\$100,000	\$0	\$0	\$100,000	\$0
Australian Environmental Grantmakers Network 39 Little Collin Street Melbourne, VIC 3000 Australia <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$25,000	\$25,000
Arthur Aviles Typical Theatre 2474 Westchester Avenue Bronx, NY 10461 <i>For the development and implementation of its strategic plan.</i>	PC	\$0	\$85,000	\$0	\$35,000	\$50,000

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Backbone Campaign P.O. Box 278 Vashon, WA 98070 <i>For its program, The Other 98% Lab.</i>	PC	\$0	\$10,000	\$0	\$10,000	\$0
Balkan Investigative Reporting Network Mesa e Studenteve, Kati I Pristina, 10000 Kosovo <i>For general support.</i>	PC	\$54,000	\$0	\$0	\$54,000	\$0
Balkan Policy Institute Rr. Qamil Hoxha 4/3 Pristina, 10000 Kosovo <i>For its project, Labor Market in Kosovo.</i>	PF	\$0	\$25,000	\$0	\$25,000	\$0
Ballet Hispanico of New York 167 West 89th Street New York, NY 10024 <i>For the development of its strategic plan and the commissioning of a new work as part of its residency at The Pocantico Center.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0
The Bank Information Center 1100 H Street, NW Suite 650 Washington, D.C. 20005 <i>For its work on securing accountability and access to World Bank decisions.</i>	PC	\$0	\$20,000	\$0	\$20,000	\$0
Bard College P.O. Box 5000 Annandale-on-Hudson, NY 12504 <i>For the Bard/Longy Masters of Music in Curatorial, Critical, and Performance Studies.</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000
Beijing Entrepreneur Environmental Protection Foundation No. 1 (JIA) Nongzhan South Road, Chaoyang District Beijing, 100125 China <i>For its Blue Sky Defense Fund.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
Beijing Water Source Conservation Foundation Rm. 4001, Fengbaoheng Dasha, No. 2, Minzuyuan Rd., Chaoyang District Beijing, 100029 China <i>For its project, Nature University.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0

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Better World Fund 1800 Massachusetts Avenue, NW Suite 400 Washington, D.C. 20036 <i>For 25x'25's Energy for Economic Growth project.</i>	PC	\$75,000	\$0	\$0	\$75,000	\$0
Blacksmith Institute, Inc. 475 Riverside Drive, Suite 860 New York, NY 10115 <i>To build the capacity of its China-based team.</i>	PC	\$100,000	\$0	\$0	\$100,000	\$0
Blue Planet Project, Inc. 700-170 Laurier Avenue West Ottawa, ON K1P 5V5 Canada <i>For a conference on the global water crisis and opportunities for citizen action.</i>	PC	\$0	\$45,000	\$0	\$45,000	\$0
BOFFO, Inc. 85 Kenmare Street Unit 22 New York, NY 10012 <i>For general support.</i>	PC	\$0	\$105,000	\$0	\$105,000	\$0
Breaking the Silence P.O. Box 51027 Tel Aviv, 6713206 Israel <i>For general support.</i>	PC	\$50,000	\$0	\$0	\$0	\$50,000
William J. Brennan, Jr., Center for Justice, Inc. 161 Avenue of the Americas 12th Floor New York, NY 10013 <i>For its Democracy Program's Money in Politics Project.</i>	PC	\$0	\$150,000	\$0	\$150,000	\$0
Broadway Cares/Equity Fights AIDS, Inc. 165 West 46th Street, Suite 1300 New York, NY 10036 <i>For the development of new work and performance opportunities in its Dancers Responding to AIDS program.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
Byrd Hoffman Water Mill Foundation 115 West 29th Street, 10th Floor New York, NY 10001 <i>For its Watermill Center's 2014 Residency Program.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0

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Cairo Institute for Human Rights Studies 21 Abd El-Megid El-Remaly Street 7th Floor, Flat No. 71 Bab El Louk Cairo Egypt <i>For its Egypt Program.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
The Regents of the University of California 215 Moses Hall, Room 2308 Berkeley, CA 94720-2308 <i>For the Renewable and Appropriate Energy Laboratory of its College of Natural Resources, for further work on energy investment options for Kosovo.</i>	PC	\$0	\$80,000	\$0	\$80,000	\$0
The Campaign Finance Institute 1425 K Street, NW Suite 350 Washington, D.C. 20005 <i>To provide general support.</i>	PC	\$70,000	\$0	\$0	\$70,000	\$0
Campaign Legal Center, Inc. 215 E Street, NE Washington, D.C. 20002 <i>For its Voting Rights Institute.</i>	PC	\$0	\$40,000	\$0	\$40,000	\$0
CEL Education Fund 2150 Allston Way Suite 340 Berkeley, CA 94704 <i>For its project, Online Progressive Engagement Network.</i>	PC	\$0	\$30,000	\$0	\$30,000	\$0
CEL Education Fund 2150 Allston Way Suite 340 Berkeley, CA 94704 <i>For its Online Progressive Engagement Network-U.S. program.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
Center for Civilians in Conflict 1210 18th Street, NW 4th Floor Washington, D.C. 20036 <i>For general support.</i>	PC	\$100,000	\$0	\$0	\$100,000	\$0
Center for Climate Strategies 1800 K Street, NW, Suite 714 Washington, D.C. 20006 <i>To support central and provincial governments in its low-carbon development planning and analysis.</i>	PC	\$200,000	\$0	\$0	\$200,000	\$0

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Center for Climate Strategies 1800 K Street, NW, Suite 714 Washington, D.C. 20006 <i>To support a research project in Minnesota.</i>	PC	\$0	\$70,000	\$0	\$70,000	\$0
Center for Climate Strategies 1800 K Street, NW, Suite 714 Washington, D.C. 20006 <i>For general support.</i>	PC	\$0	\$175,000	\$0	\$175,000	\$0
Center for Democratic Transition VII Omladinske bb Podgorica Montenegro <i>For its Elections Monitoring program.</i>	PC	\$0	\$45,000	\$0	\$45,000	\$0
Center for Effective Government 2040 S Street, NW, 2nd Floor Washington, D.C. 20009 <i>For its Charity and Security Network.</i>	PC	\$0	\$30,000	\$0	\$30,000	\$0
Center for Effective Government 2040 S Street, NW, 2nd Floor Washington, D.C. 20009 <i>For general support and for its program, Our Government Matters.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
Center for Effective Philanthropy, Inc. 675 Massachusetts Avenue Seventh Floor Cambridge, MA 02139 <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$25,000	\$25,000
Center for Euro-Atlantic Studies Trajka Stamenkovica 2 Belgrade, 11000 Serbia <i>For general support.</i>	PC	\$0	\$80,000	\$0	\$72,578	\$7,422
Center for Investigative Reporting Dolina 11 Sarajevo, 71000 Bosnia and Herzegovina <i>For its Investigative Reporting: Bosnia and Herzegovina Energy Framework project.</i>	PC	\$0	\$60,000	\$0	\$60,000	\$0
Center for Investigative Reporting, Inc. 1400 65th Street, Suite 200 Emeryville, CA 94608 <i>For general support.</i>	PC	\$0	\$40,000	\$0	\$40,000	\$0

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Center for Political Accountability 1233 20th Street, NW, Suite 205 Washington, D.C. 20036 <i>For general support.</i>	PC	\$0	\$70,000	\$0	\$70,000	\$0
Center for Popular Democracy 802 Kent Avenue Brooklyn, NY 11205 <i>For its project, Going Local on Voting Rights: New York City and Developing a National Model.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Center for Popular Democracy 802 Kent Avenue Brooklyn, NY 11205 <i>For its Immigrant Equality Initiative.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
Center for Public Integrity 910 17th Street, NW 7th Floor Washington, D.C. 20006 <i>For general support.</i>	PC	\$0	\$40,000	\$0	\$40,000	\$0
Center for Responsive Politics 1101 14th Street, NW Suite 1030 Washington, D.C. 20005 <i>For general support.</i>	PC	\$100,000	\$0	\$0	\$100,000	\$0
Ceres, Inc. 99 Chauncey Street Boston, MA 02111-1703 <i>For general support.</i>	PC	\$0	\$400,000	\$0	\$400,000	\$0
Chesapeake Climate Action Network P.O. Box 11138 Takoma Park, MD 20912 <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
China Dialogue Trust Suite 306 Grayston Centre 28 Charles Square London, N1 6HT United Kingdom <i>To support expanded coverage of environment and health and energy and climate issues.</i>	PC	\$0	\$190,000	\$0	\$95,000	\$95,000
China Energy Research Society Rm. 469, No. 54, Sanlihe Road Beijing, 100045 China <i>For its low-carbon development training project.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0

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Recipient and Purpose	Tax Status	Beginning Balance	Approved in 2014	Lapsed in 2014	Paid in 2014	Ending Balance
China Foundation Center Room 1702, Building 1, Dongfang Ruijing, No. 16, Jianguomen Outer Street, Chaoyang District Beijing China <i>For general support.</i>	PC	\$0	\$220,000	\$0	\$220,000	\$0
Chinese Academy of Sciences 52 Sanlihe Road Beijing, 100864 China <i>For its Forum on Health, Environment, and Development.</i>	PC	\$0	\$350,000	\$0	\$127,000	\$223,000
Citizens for Responsibility and Ethics in Washington, Inc. 409 7th Street, NW Suite 300 Washington, D.C. 20004 <i>For general support.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
Civic Alliance Studentska ulica Lamela 9, br. 5 Podgorica, 8100 Montenegro <i>For general support.</i>	PC	\$0	\$160,000	\$0	\$80,000	\$80,000
Civic Association CRTA-The Center for Research, Transparency and Accountability 7/16, Nusiceva Street Belgrade, 11000 Serbia <i>For general support.</i>	PC	\$0	\$180,000	\$0	\$90,000	\$90,000
Civic Exchange 23/F, Chun Wo Commercial Centre, 23-29 Wing Wo Street, Central Hong Kong China <i>For its Pearl River Delta ship emission inventory study.</i>	PC	\$0	\$150,000	\$0	\$150,000	\$0
Clean Air Initiative for Asian Cities Center, Inc. Unit 3504-3505, Robinsons-Equitable Tower, ADB Avenue Pasig City, NCR 1605 Philippines <i>For its project, Pearl River Delta Regional Air Quality Management.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0
Clean Air Initiative for Asian Cities Center, Inc. Unit 3504-3505, Robinsons-Equitable Tower, ADB Avenue Pasig City, NCR 1605 Philippines <i>For assessing China's air quality management progress.</i>	PC	\$0	\$150,000	\$0	\$150,000	\$0

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Recipient and Purpose	Tax Status	Beginning Balance	Approved in 2014	Lapsed in 2014	Paid in 2014	Ending Balance
Climate Accountability Institute 1626 Gateway Road Snowmass, CO 81654 <i>For research, writing, and communication on responsibility for climate change.</i>	PC	\$0	\$20,000	\$0	\$20,000	\$0
The Climate Group, Inc. 145 West 58th Street, Suite 2A New York, NY 10019 <i>For its States & Regions Innovation Report: How State & Regional Governments Are Pioneering the Next Wave of Climate Policies.</i>	PC	\$0	\$35,000	\$0	\$35,000	\$0
Climate Prosperity Project, Inc. Clean Economy Solutions c/o Mission Post, 318 Blackwell Steet, Suite 130 Durham, NC 27701 <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
Climate Prosperity Project, Inc. Clean Economy Solutions c/o Mission Post, 318 Blackwell Steet, Suite 130 Durham, NC 27701 <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Colorado State University Foundation 410 Univesity Services Center Fort Collins, CO 80523-9100 <i>For Colorado State University's Center for the New Energy Economy.</i>	PC	\$0	\$200,000	\$0	\$200,000	\$0
Trustees of Columbia University in the City of New York Office of Research Administration 1210 Amsterdam Avenue Room 254 Engineering Terrace, Mail Code: 2205 New York, NY 10027 <i>For the Columbia Graduate School of Journalism's postgraduate fellowship program.</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000
Trustees of Columbia University in the City of New York Office of Research Administration 1210 Amsterdam Avenue Room 254 Engineering Terrace, Mail Code: 2205 New York, NY 10027 <i>For Columbia University Center for Global Energy Policy's Roundtable on the Resilience of the Liquid Fuel Supply Chain in the New York Area.</i>	PC	\$0	\$5,000	\$0	\$5,000	\$0

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Trustees of Columbia University in the City of New York Office of Research Administration 1210 Amsterdam Avenue Room 254 Engineering Terrace, Mail Code: 2205 New York, NY 10027 <i>For its Columbia Center for Children's Environmental Health's air pollution and environmental health study in China.</i>	PC	\$0	\$200,000	\$0	\$200,000	\$0
COMET-ME Meshek 30 Tsafirir, 99830 Israel <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000
Committee for Economic Development 2000 L Street, NW, Suite 700 Washington, D.C. 20036 <i>For its project, Business Champions for Campaign Finance Reform.</i>	PC	\$0	\$40,000	\$0	\$40,000	\$0
Common Cause Education Fund 1133 19th Street, NW, 9th Floor Washington, D.C. 20036 <i>For its work on campaign finance reform.</i>	PC	\$100,000	\$0	\$0	\$100,000	\$0
Confluence Philanthropy, Inc. 475 Riverside Drive, Suite 900 New York, NY 10115 <i>For general support.</i>	PC	\$0	\$10,000	\$0	\$10,000	\$0
The Constitution Project 1200 18th Street, NW Suite 1000 Washington, D.C. 20036 <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Consultative Group on Biological Diversity Presidio Building 1016 P.O. Box 29361 San Francisco, CA 94129-0361 <i>For 2014 membership and general support.</i>	PC	\$0	\$32,550	\$0	\$32,550	\$0
Crisis Action, Inc. 866 United Nations Plaza Suite 4018 New York, NY 10017 <i>For general support.</i>	PC	\$100,000	\$0	\$0	\$100,000	\$0

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Crisis Management Initiative Etelaranta 12, 2nd Floor Helsinki, 00130 Finland <i>For its Middle East and North Africa program.</i>	PC	\$100,000	\$0	\$0	\$100,000	\$0
Cuban Artists Fund, Inc. 41 Union Square West Room 610 New York, NY 10003 <i>For general support.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0
Slavko Curuvija Foundation Kursulina 7/5 Belgrade, 11000 Serbia <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000
University of Delaware 162 The Green Newark, DE 19716 <i>For its Special Initiative on Offshore Wind project.</i>	PC	\$200,000	\$0	\$0	\$200,000	\$0
University of Delaware 162 The Green Newark, DE 19716 <i>For its Special Initiative on Offshore Wind project.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Democracy 21 Education Fund 2000 Massachusetts Avenue, NW Washington, D.C. 20036 <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Democracy Matters Institute, Inc. P.O. Box 157 Hamilton, NY 13346 <i>For general support.</i>	PC	\$0	\$40,000	\$0	\$40,000	\$0
Democratization Policy Council e.V. Sybelstr. 10 Berlin, 10629 Germany <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Demos: A Network for Ideas and Action, Ltd. 220 Fifth Avenue, Second Floor New York, NY 10001 <i>For research, writing, and convening on trends in global governance.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0

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Demos: A Network for Ideas and Action, Ltd. 220 Fifth Avenue, Second Floor New York, NY 10001 <i>For its project on money in politics.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
Doctors Without Borders USA, Inc. 333 Seventh Avenue 2nd Floor New York, NY 10001 <i>For general support.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
Doctors Without Borders USA, Inc. 333 Seventh Avenue 2nd Floor New York, NY 10001 <i>For its aid in the Ebola crisis in West Africa.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
DokuFest Mimar Sinan 12 Prizren, 20000 Kosovo <i>For general support.</i>	PC	\$30,000	\$0	\$0	\$30,000	\$0
Drugs for Neglected Diseases Initiative North America, Inc. 40 Wall Street, 24th Floor New York, NY 10005 <i>For general support.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
Earth Island Institute, Inc. 2150 Allston Way, Suite 460 Berkeley, CA 94704-1375 <i>For its project, EcoEquity.</i>	PC	\$75,000	\$0	\$0	\$75,000	\$0
Earthjustice 50 California Street, Suite 500 San Francisco, CA 94111 <i>For its project, Advancing Practical Reforms to Achieve New York's Energy Vision.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
ECociety Bajram Bahtiri, nr. 36 Pristina, 10000 Kosovo <i>For general support.</i>	PF	\$0	\$25,000	\$0	\$25,000	\$0
Economic Cooperation Foundation 4, Hashalom Road Tel Aviv, 67892 Israel <i>For its project, Establishing an Information, Knowledge, and Research Center on Israeli-Palestinian Relations.</i>	PC	\$0	\$70,000	\$0	\$70,000	\$0

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EcoPeace Middle East Environmental NGO Forum 85 Nahalat Binyamin Street Tel Aviv, 66102 Israel <i>For general support.</i>	PC	\$100,000	\$0	\$0	\$100,000	\$0
Edge Funders Alliance Box 559 60 29th Street San Francisco, CA 94110 <i>For general support.</i>	PC	\$0	\$10,000	\$0	\$10,000	\$0
Elderhomes Corp 88 Carnation Street Richmond, VA 23225 <i>For its Richmond Region Energy Alliance's Virginia PACE project.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
Electrification Coalition Foundation 1111 19th Street, NW, Suite 406 Washington, D.C. 20036 <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
Emerging Democracies Institute 1916 17th Street, NW, Apt. 409 Washington, D.C. 20009 <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
ENCOMPASS - Centre for Social and Psychological Studies and Services Lidhja e Pejes Str., No. 73, Ex-High School of Economy Building, Fourth Floor Pristina, 10000 Kosovo <i>For its project, ATOMI.</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000
Energiaklub Climate Policy Institute and Applied Communications Association Szerb utca 17-19 Budapest, 1056 Hungary <i>For its project, The Politics of Power in Hungary.</i>	PC	\$0	\$85,000	\$0	\$85,000	\$0
Energy Action Coalition 205 New York Avenue, NW Washington, D.C. 20001 <i>For general support.</i>	PC	\$0	\$150,000	\$0	\$150,000	\$0
The Energy Foundation 301 Battery Park, 5th Floor San Francisco, CA 94111 <i>For its Pacific Coast Clean Energy and Climate initiative.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0

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The Energy Foundation 301 Battery Park, 5th Floor San Francisco, CA 94111 <i>For its Pacific Coast Clean Energy and Climate initiative.</i>	PC	\$0	\$13,333	\$0	\$13,333	\$0
Enterprise Community Partners, Inc. One Whitehall Street 11th Floor New York, NY 10004 <i>For its PartnerPREP program.</i>	PC	\$150,000	\$0	\$0	\$150,000	\$0
Environmental Defense Fund, Incorporated 257 Park Avenue South New York, NY 10010 <i>For its building energy-efficiency finance project.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
Environmental Grantmakers Association 475 Riverside Drive New York, NY 10115 <i>To advance U.S.-China environmental grantmakers' exchange.</i>	PC	\$0	\$35,000	\$0	\$35,000	\$0
Environmental Grantmakers Association 475 Riverside Drive New York, NY 10115 <i>For general support.</i>	PC	\$0	\$23,400	\$0	\$23,400	\$0
European Foundation Centre 94, rue Royale Brussels, 1000 Belgium <i>For support of its 2014 Annual General Assembly (AGA) and Conference.</i>	PC	\$0	\$30,000	\$0	\$30,000	\$0
European Foundation Centre 94, rue Royale Brussels, 1000 Belgium <i>For its project, Exploring the Operating Environment for Public Benefit Foundations in the Western Balkans Region.</i>	PC	\$0	\$20,000	\$0	\$20,000	\$0
European Foundation Centre 94, rue Royale Brussels, 1000 Belgium <i>In support of relief efforts in the wake of devastating floods in Serbia and Bosnia and Herzegovina.</i>	PC	\$0	\$20,000	\$0	\$20,000	\$0

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EXPEDITIO P.O. Box 85 Kotor, Crna Gora 85330 Montenegro <i>For its project, Promotion of Education for Sustainable Development through Professional Support to the Implementation of the Cross-Curricular Area: Sustainable Cities and Neighborhoods.</i>	PC	\$0	\$20,000	\$0	\$20,000	\$0
FairVote 6930 Carroll Avenue Suite 610 Takoma Park, MD 20912 <i>For the Ranked Choice Voting Education and Outreach project.</i>	PC	\$0	\$80,000	\$0	\$80,000	\$0
Faith and Politics Institute 110 Maryland Avenue, NE Suite 504 Washington, D.C. 20002 <i>For its 2015 Congressional Civil Rights Pilgrimage.</i>	PC	\$0	\$32,000	\$0	\$32,000	\$0
Filmmakers Collaborative, Inc. 397 Moody Street Waltham, MA 02453 <i>For its film project, Death by Design.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
ForestEthics 1 Haight Street San Francisco, CA 94102 <i>For its Business Ethics Network project.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
ForestEthics 1 Haight Street San Francisco, CA 94102 <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Forum for Civic Initiatives Aktash Quarter Rexhep Mala Prishtina, 10000 Kosovo <i>For general support.</i>	PC	\$0	\$180,000	\$0	\$90,000	\$90,000
Forum for Civic Initiatives Aktash Quarter Rexhep Mala Prishtina, 10000 Kosovo <i>For its Civil Society House project in Kosovo.</i>	PC	\$0	\$150,000	\$0	\$150,000	\$0

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Forward Works 2H, Yat Sing Mansion, Lei King Wan, Sai Wan Ho Hong Kong China <i>For its project, Greenovation Hub.</i>	PC	\$75,000	\$0	\$0	\$75,000	\$0
Foundation Center 79 Fifth Avenue, 8th Floor New York, NY 10003 <i>For its project, Mapping the Democracy Funding Landscape.</i>	PC	\$3,850	\$0	\$0	\$3,850	\$0
Foundation Center 79 Fifth Avenue, 8th Floor New York, NY 10003 <i>For general support for 2015 and to support relocation to new offices in 2015.</i>	PC	\$0	\$40,000	\$0	\$40,000	\$0
The Foundation for a Civil Society, Ltd. 25 East End Ave Apt. 1B New York, NY 10028 <i>For its Iran Project.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
Foundation for Contemporary Arts, Inc. 820 Greenwich Street New York, NY 10014 <i>For its strategic planning process.</i>	PC	\$0	\$35,000	\$0	\$35,000	\$0
Foundation for National Progress 222 Sutter Street Suite 600 San Francisco, CA 94108 <i>For voting rights coverage of the 2014 election.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
Foundation for Sustainable Development P.O. Box 634 Austin, TX 78767 <i>For its Keeping PACE in Texas project.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Foundry Theatre, Inc. 140-142 Second Avenue Suite 405 New York, NY 10003 <i>For the production of The Box, and concurrent community-engagement initiatives.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Fractured Atlas Productions, Inc. 248 West 35th Street, 10th Floor New York, NY 10001 <i>For its project, Abdul Latif D2D/T.</i>	PC	\$0	\$110,000	\$0	\$40,000	\$70,000

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Fractured Atlas Productions, Inc. 248 West 35th Street, 10th Floor New York, NY 10001 <i>For its project, A Portrait of Marina Abramovic.</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000
Free Press 40 Main Street, Suite 301 Florence, MA 01062 <i>For its project with ColorOfChange, Communities of Color for Real Net Neutrality.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Free Speech for People, Inc. 48 N. Pleasant Street, Suite 304 Amherst, MA 01002 <i>For its Legal Advocacy program.</i>	PC	\$0	\$70,000	\$0	\$70,000	\$0
Fresh Energy 408 St. Peter Street Suite 220 St. Paul, MN 55102 <i>For its Clean Energy, Efficiency, and Climate program.</i>	PC	\$0	\$70,000	\$0	\$70,000	\$0
Friends of the Earth 1100 15th Street, NW, Suite 1100 Washington, D.C. 20005 <i>For continued support of its Economic Policy Program's initiative, Advancing and Protecting Sustainability Standards in Development Finance.</i>	PC	\$0	\$170,000	\$0	\$170,000	\$0
Fund for Active Citizenship Vaka Djurovica - Nova Varos II Podgorica, 81000 Montenegro <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000
Fund for Active Citizenship Vaka Djurovica - Nova Varos II Podgorica, 81000 Montenegro <i>For its Civil Society House project in Montenegro.</i>	PC	\$0	\$150,000	\$0	\$150,000	\$0
Fund for Constitutional Government 122 Maryland Avenue, NE Washington, D.C. 20002 <i>For its project, the Peace and Security Funders Group.</i>	PC	\$0	\$35,000	\$0	\$35,000	\$0

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Fund for Constitutional Government 122 Maryland Avenue, NE Washington, D.C. 20002 <i>For its project, OpenTheGovernment.org.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Fund for Global Human Rights 1666 Connecticut Avenue, NW Suite 410 Washington, D.C. 20009 <i>For its work in Egypt.</i>	PC	\$0	\$200,000	\$0	\$200,000	\$0
Futuro Media Group 361 West 125th Street 6th Floor New York, NY 10027 <i>For democracy-related reporting on National Public Radio's Latino USA program.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
The President and Directors Georgetown College (Georgetown University) 37th and O Streets, NW Washington, D.C. 20057 <i>For the Georgetown Climate Center.</i>	PC	\$200,000	\$0	\$0	\$200,000	\$0
The President and Directors Georgetown College (Georgetown University) 37th and O Streets, NW Washington, D.C. 20057 <i>For its Georgetown Climate Center.</i>	PC	\$20,000	\$0	\$0	\$20,000	\$0
The President and Directors Georgetown College (Georgetown University) 37th and O Streets, NW Washington, D.C. 20057 <i>For the Georgetown Climate Center.</i>	PC	\$0	\$110,000	\$0	\$110,000	\$0
Global Fund for Community Foundations Registered Office Community House Citilink Business Park Albert Street Belfast, BT12 4HQ Northern Ireland <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Global Fund for Community Foundations Registered Office Community House Citilink Business Park Albert Street Belfast, BT12 4HQ Northern Ireland <i>For its project, the Global Alliance for Community Philanthropy, as well as for its work exploring the feasibility of corporate-funded community philanthropy.</i>	PC	\$0	\$150,000	\$0	\$90,800	\$59,200

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Global Philanthropy Partnership 1916 N. Mohawk Street, Suite 7 Chicago, IL 60614 <i>For its Urban Sustainability Directors Network project.</i>	PC	\$0	\$135,000	\$0	\$60,000	\$75,000
Global Policy Forum Europe Koenigstrasse 37a Bonn, D-53115 Germany <i>For research on U.N. funding trends and processes.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Grantmakers in the Arts 4055 21st Avenue West, Suite 100 Seattle, WA 98119-1247 <i>For membership and general support for 2014.</i>	PC	\$0	\$750	\$0	\$750	\$0
Grassroots Jerusalem Al-Joulany Building, 1st Floor Qubaniyat Um-Harun, West Sheikh Jarrah Jerusalem Israel <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Great Plains Institute for Sustainable Development, Inc. 2801 21st Avenue South Suite 230 Minneapolis, MN 55407 <i>For its Midwestern Power Sector Collaborative project.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Greater Washington Educational Telecommunications Association, Inc. 3939 Campbell Avenue Arlington, VA 22206 <i>For its documentary, The Vietnam War.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Green Renewable Independent Power Producer, Inc. Unit 32 Cubao Expo 68 Gen. Romulo Avenue, Barangay Socorro Quezon City Philippines <i>For its RE-charge Tacloban project which will provide solar powered services to communities devastated by Typhoon Haiyan.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0

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Groundswell Inc. 1156 15th Street, NW, Suite 840 Washington, D.C. 20005 <i>For general support.</i>	PC	\$150,000	\$0	\$0	\$150,000	\$0
Guangdong Harmony Foundation Room 515, Haizhu Science Park Sun Yat-sen University No. 135 Xingang Road Guangzhou, 510275 China <i>For its South China Environment Fund.</i>	PC	\$0	\$500,000	\$0	\$150,000	\$350,000
President and Fellows of Harvard College 124 Mount Auburn Street Cambridge, MA 02138 <i>For its Strategic Management for Leaders of Non-Governmental Organizations Executive Education program.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
President and Fellows of Harvard College 124 Mount Auburn Street Cambridge, MA 02138 <i>For its Use of Land forum.</i>	PC	\$0	\$40,000	\$0	\$40,000	\$0
Hebrew University of Jerusalem Mount Scopus Jerusalem, 91905 Israel <i>For its Community-Campus partnership.</i>	PC	\$75,000	\$0	\$0	\$75,000	\$0
Humanitarian Law Center - Documentation and Memory Decanska 12 III/IV Belgrade, 11110 Serbia <i>For its project, Strengthening Support for the RECOM Process.</i>	PC	\$0	\$160,000	\$0	\$160,000	\$0
Immigrant Legal Resource Center 1663 Mission Street, Suite 602 San Francisco, CA 94103 <i>For its New Americans Campaign in New York.</i>	PC	\$250,000	\$0	\$0	\$250,000	\$0
Independent Journalists' Association of Vojvodina Zmaj Jovina 3/1 Novi Sad, 21000 Serbia <i>For its Research Center of Vojvodina project.</i>	PC	\$0	\$45,000	\$0	\$45,000	\$0
Independent Sector 1602 L Street, NW Suite 900 Washington, D.C. 20036 <i>For general support for 2014.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0

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Recipient and Purpose	Tax Status	Beginning Balance	Approved in 2014	Lapsed in 2014	Paid in 2014	Ending Balance
Innovation Center for Energy and Transportation 592 Garfield Avenue South Pasadena, CA 91030 <i>For general support.</i>	PC	\$0	\$300,000	\$0	\$293,774	\$6,226
Institute for Advanced Studies GAP Mother Theresa Str. 42/29 Pristina, 10000 Kosovo <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000
Institute for Development Policy Rrustem Statovci str. 78 Pristina Kosovo <i>For general support.</i>	PC	\$72,000	\$0	\$0	\$72,000	\$0
Institute for EastWest Studies, Inc. 11 East 26th Street, 20th Floor New York, NY 10010 <i>For its work on the Partnership to Strengthen Women's Political Empowerment and to Advance the Role of Women in Peace, Security, and Human Rights project.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
Institute for Energy Economics and Financial Analysis, Inc. 3430 Rocky River Drive Cleveland, OH 44111 <i>For its work on climate finance.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
Institute for Governance and Sustainable Development, Inc. 2300 Wisconsin Avenue, NW Suite 300B Washington, D.C. 20007 <i>For its work on climate change.</i>	PC	\$150,000	\$0	\$0	\$150,000	\$0
Institute for Governance and Sustainable Development, Inc. 2300 Wisconsin Avenue, NW Suite 300B Washington, D.C. 20007 <i>For its international climate change project.</i>	PC	\$0	\$70,000	\$0	\$70,000	\$0
Institute for Integrated Transitions Benet Mateu 40 Barcelona, 08034 Spain <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Institute for Local Self-Reliance 2720 East 22nd Street Minneapolis, MN 55406 <i>For its Democratic Energy Program.</i>	PC	\$0	\$25,000	\$0	\$15,000	\$10,000

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Institute for Market Transformation, Inc. 1707 L Street, NW Suite 1050 Washington, D.C. 20036 <i>For its work on implementing New York City's Greener, Greater Building Plan.</i>	PC	\$83,730	\$0	\$0	\$83,730	\$0
Institute for Market Transformation, Inc. 1707 L Street, NW Suite 1050 Washington, D.C. 20036 <i>For its work on implementing New York City's Greener, Greater Building Plan.</i>	PC	\$0	\$242,000	\$0	\$135,113	\$106,887
Institute for State Effectiveness 600 New Hampshire Avenue, NW Suite 900 Washington, D.C. 20037 <i>For general support.</i>	PC	\$0	\$250,000	\$0	\$125,000	\$125,000
Institute for Transportation and Development Policy 9 East 19th Street, 7th Floor New York, NY 10003 <i>For its Better Cities program.</i>	PC	\$0	\$380,000	\$0	\$190,000	\$190,000
Institute of International Education, Inc. 809 United Nations Plaza New York, NY 10017 <i>For the Global Travel and Learning Fund.</i>	PC	\$28,206	\$0	\$0	\$28,206	\$0
Institute of International Education, Inc. 809 United Nations Plaza New York, NY 10017 <i>For the Rockefeller Brothers Fund's Global Travel and Learning Fund.</i>	PC	\$0	\$200,000	\$0	\$200,000	\$0
Institute of International Education, Inc. 809 United Nations Plaza New York, NY 10017 <i>For the Rockefeller Brothers Fund's Global Travel and Learning Fund.</i>	PC	\$0	\$100,000	\$0	\$53,000	\$47,000
Institute of Public and Environmental Affairs Room 1, Floor 9, Building 6-1 Jianguomen Diplomatic Residence Compound No. 1 Xiushui Avenue Beijing China <i>For its Green Choice Initiative.</i>	PC	\$210,000	\$0	\$0	\$210,000	\$0

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Institute of Public and Environmental Affairs Room 1, Floor 9, Building 6-1 Jianguomen Diplomatic Residence Compound No. 1 Xiushui Avenue Beijing China <i>For its efforts to promote information disclosure on contributors of air pollution.</i>	PC	\$0	\$450,000	\$0	\$450,000	\$0
International Council on Clean Transportation 1225 I Street, NW, Suite 900 Washington, D.C. 20005 <i>For its project, Reducing Air Pollution from Transport in Guangdong.</i>	PC	\$125,000	\$0	\$0	\$125,000	\$0
International Crisis Group 1629 K Street, NW Room 450 Washington, D.C. 20006 <i>For its work in Afghanistan and Pakistan.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
International Institute for Sustainable Development 161 Portage Avenue East 6th Floor Winnipeg, MB R3B 0Y4 Canada <i>For its Investment and Sustainable Development program.</i>	PC	\$100,000	\$0	\$0	\$100,000	\$0
International Rivers Network 2054 University Avenue, Suite 300 Berkeley, CA 94704-2644 <i>For its Protecting China's Rivers while Combating Climate Change project.</i>	PC	\$0	\$10,000	\$0	\$10,000	\$0
Investigative News Network 17514 Ventura Boulevard, Suite 103 Encino, CA 91316 <i>For general support.</i>	PC	\$0	\$70,000	\$0	\$70,000	\$0
Investigative Reporters and Editors, Inc. 141 Neff Annex Missouri School of Journalism Columbia, MO 65211 <i>For a series of sessions focused on 2016 election coverage and issues at its 2015 annual conference.</i>	PC	\$0	\$15,000	\$0	\$15,000	\$0
Direkt36 Invesztigativ Ujsagi Kozpont Nonprofit Kft. Etele Way 73, 2nd Floor, Room 19 Budapest, 1119 Hungary <i>For general support.</i>	PF	\$0	\$100,000	\$0	\$100,000	\$0

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Island Institute 386 Main Street Rockland, ME 04841 <i>For the development of a Maine Ocean Acidification Working Group.</i>	PC	\$0	\$15,000	\$0	\$15,000	\$0
Issue One 11 Dupont Circle, NW Suite 350 Washington, D.C. 20036 <i>To support its work related to the search for the inaugural executive director for the Conservative Lighthouse.</i>	PC	\$0	\$10,000	\$0	\$10,000	\$0
Issue One 11 Dupont Circle, NW Suite 350 Washington, D.C. 20036 <i>For general support.</i>	PC	\$0	\$40,000	\$0	\$40,000	\$0
J Street Education Fund, Inc. P.O. Box 66073 Washington, D.C. 20035 <i>For general support.</i>	PC	\$125,000	\$0	\$0	\$125,000	\$0
Johns Hopkins University 600 North Wolfe Street Blalock 1408 Baltimore, MD 21287-4961 <i>For its Accelerating Investment in Climate Resilient Infrastructure project.</i>	PC	\$0	\$38,000	\$0	\$38,000	\$0
Just Vision 1616 P Street, NW, Suite 340 Washington, D.C. 20036 <i>For general support.</i>	PC	\$100,000	\$0	\$0	\$100,000	\$0
Justice at Stake 717 D Street, NW, Suite 203 Washington, D.C. 20004 <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Keigwin and Company, Inc. 143 West 29th Street, 5th Floor New York, NY 10001 <i>To support its 10th anniversary campaign.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0

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Keigwin and Company, Inc. 143 West 29th Street, 5th Floor New York, NY 10001 <i>For the creation of a theatrical evening-length dance work.</i>	PC	\$35,000	\$0	\$0	\$35,000	\$0
Lawyers' Committee for Civil Rights Under Law 1401 New York Avenue, NW Suite 400 Washington, D.C. 20005 <i>For its Voting Rights project.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
Library of Congress Attn: Nina Latterell 101 Independence Avenue, SE Washington, D.C. 20540 <i>For its Congressional Research Service's Seminar for New Members.</i>	PC	\$22,490	\$0	\$0	\$22,490	\$0
Lincoln Center for the Performing Arts, Inc. 70 Lincoln Center Plaza New York, NY 10023-6583 <i>For the Lincoln Center Education's Artist Mentoring Lab.</i>	PC	\$210,000	\$0	\$0	\$105,000	\$105,000
Lost Light Preservation Project, Inc. 16 Court Street, #1210 Brooklyn, NY 11241 <i>For its InsideClimate News project.</i>	PC	\$0	\$200,000	\$0	\$200,000	\$0
Lower Manhattan Cultural Council, Inc. 125 Maiden Lane, 2nd Floor New York, NY 10038 <i>For its East River Arts program.</i>	PC	\$0	\$45,000	\$0	\$45,000	\$0
Maine Coast Heritage Trust 1 Bowdoin Mill Island Suite 201 Topsham, ME 04086 <i>For general support.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
MAPLight 2223 Shattuck Avenue Berkeley, CA 94704 <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0

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Massachusetts Institute of Technology Office of Sponsored Programs 77 Massachusetts Avenue, E19-750 Cambridge, MA 02139 <i>For the Energy Generating Wealth initiative of its Community Innovators Lab.</i>	PC	\$0	\$250,000	\$0	\$125,000	\$125,000
MATTIN Group Square Baron Alfred Bouvier 6/4 Brussels, 1060 Belgium <i>For general support.</i>	PC	\$0	\$40,000	\$0	\$40,000	\$0
Media Impact Funders, Inc. 200 West Washington Square Suite 220 Philadelphia, PA 19106 <i>For 2014 and 2015 membership.</i>	PC	\$0	\$14,000	\$0	\$7,000	\$7,000
Media Matters for America 455 Massachusetts Avenue, NW, Suite 600 Washington, D.C. 20031 <i>For its Climate and Energy initiative.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
Medijski Centar Beta Kralja Milana 4 Belgrade, 11000 Serbia <i>For its project, Daily Ecology News Green Serbia.</i>	PC	\$0	\$20,000	\$0	\$20,000	\$0
Metropolitan Waterfront Alliance, Inc. 241 Water Street, 3rd Floor New York, NY 10038 <i>For general support.</i>	PC	\$0	\$150,000	\$0	\$150,000	\$0
Michigan Suburbs Alliance 22757 Woodward Avenue, Suite 250 Ferndale, MI 48220 <i>For its Michigan PACE project.</i>	PC	\$0	\$10,000	\$0	\$10,000	\$0
Mikro Art Nusiceva 8 Belgrade, 11000 Serbia <i>For its project, Space as a Tool for the Sustainable Future of Civil Society.</i>	PC	\$0	\$34,000	\$0	\$34,000	\$0
MinKwon Center for Community Action, Inc. 136-19 41st Avenue, 3rd Floor Flushing, NY 11355 <i>For staff advocacy training.</i>	PC	\$0	\$6,000	\$0	\$6,000	\$0

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Mitvim - The Israeli Institute for Regional Foreign Policies c/o Eyal Bressler & Co., 11 Tuval Street Ramat Gan, 52522 Israel <i>For general support.</i>	PF	\$0	\$20,000	\$0	\$20,000	\$0
MOLAD-The Center for the Renewal of Democracy 20 Emek Refaim Street Jerusalem, 93105 Israel <i>For general support.</i>	PC	\$50,000	\$0	\$0	\$0	\$50,000
More Art, Inc. 71 Nassau Street Suite 13A New York, NY 10038 <i>For House of God, its collaborative work with Andres Serrano.</i>	PC	\$60,000	\$0	\$0	\$60,000	\$0
Mountain Association for Community Economic Development 433 Chestnut Street Berea, KY 40403 <i>For its How\$martKY project.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Moving Theater FDR Station, P.O. Box 1449 New York, NY 10150 <i>For general support.</i>	PC	\$0	\$65,000	\$0	\$65,000	\$0
The Municipal Art Society of New York 111 West 57th Street New York, NY 10019 <i>For its Community Resilience Program.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
Nation Institute 116 East 16th Street 8th Floor New York, NY 10003 <i>For its Investigative Fund.</i>	PC	\$25,000	\$0	\$0	\$25,000	\$0
National Foundation for Advancement in the Arts, Inc. 2100 Biscayne Boulevard Miami, FL 33137 <i>For the film, A Portrait of Marina Abramovic.</i>	PC	\$100,000	\$0	\$0	\$100,000	\$0
National Institute on Money in State Politics 833 N. Last Chance Gulch 2nd Floor Helena, MT 59601 <i>For general support.</i>	PC	\$100,000	\$0	\$0	\$100,000	\$0

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National Iranian American Council 1411 K Street NW, Suite 250 Washington, D.C. 20005 <i>For its project, The Outside Game: Beyond Netroots - Developing the Grassroots Infrastructure to Win on Diplomacy.</i>	PC	\$0	\$40,000	\$0	\$40,000	\$0
National Priorities Project, Inc. 243 King Street Suite 109 Northampton, MA 01060 <i>For general support.</i>	PC	\$70,000	\$0	\$0	\$70,000	\$0
National Wildlife Federation 11100 Wildlife Center Drive Reston, VA 20190-5362 <i>For its Campaign on Atlantic Offshore Wind Power.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
Natural Capitalism Solutions, Inc. 11823 N. 75th Street Longmont, CO 80503 <i>For its CLEAN Action Fund.</i>	PC	\$400,000	\$0	\$0	\$400,000	\$0
Natural Resources Defense Council, Inc. 40 West 20th Street New York, NY 10011 <i>For its two projects: Implementing Environment and Health Policy in China; and Addressing Heavy Metal Pollution and Emission from Ports and Shipping.</i>	PC	\$325,000	\$0	\$0	\$325,000	\$0
Natural Resources Defense Council, Inc. 40 West 20th Street New York, NY 10011 <i>For its Board Members of Color and Indigenous People of Environment and Conservation Organizations Project.</i>	PC	\$0	\$35,000	\$0	\$17,500	\$17,500
NECEC Institute, Inc. 125 Summer Street Suite 1020 Boston, MA 02110 <i>For its New England Clean Energy Initiative.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0

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NEO Philanthropy 45 West 36th Street, 6th Floor New York, NY 10018 <i>For 2014 and 2015 membership in its project, the Funders' Committee for Civic Participation, and for its December 2014 redistricting conference.</i>	PC	\$0	\$13,500	\$0	\$9,000	\$4,500
New America Foundation 1899 L Street, NW, Suite 400 Washington, D.C. 20036 <i>For its U.S.-Iran Initiative.</i>	PC	\$0	\$106,063	\$0	\$31,063	\$75,000
New Energy Economy, Inc. 343 East Alameda Street Santa Fe, NM 87501-2229 <i>For its Young Climate Leaders Network project.</i>	PC	\$0	\$15,000	\$0	\$15,000	\$0
New Venture Fund 1201 Connecticut Avenue, NW, Suite 300 Washington, D.C. 20036 <i>For its PACENow project.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
New Venture Fund 1201 Connecticut Avenue, NW, Suite 300 Washington, D.C. 20036 <i>For its Fossil Fuel Reduction project.</i>	PC	\$0	\$300,000	\$0	\$300,000	\$0
New Venture Fund 1201 Connecticut Avenue, NW, Suite 300 Washington, D.C. 20036 <i>For its project, The Pluribus Project.</i>	PC	\$0	\$200,000	\$0	\$200,000	\$0
New York City Center, Inc. 130 West 56th Street New York, NY 10019 <i>For its Choreography Fellowship.</i>	PC	\$60,000	\$0	\$0	\$60,000	\$0
New York City Energy Efficiency Corporation 1359 Broadway, 19th Floor New York, NY 10018 <i>For general support.</i>	PC	\$0	\$250,000	\$0	\$125,000	\$125,000
New York Foundation for the Arts, Inc. 20 Jay Street, Suite 740 Brooklyn, NY 11201 <i>For its project, Nuevo New York.</i>	PC	\$110,000	\$0	\$0	\$110,000	\$0

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New York Foundation for the Arts, Inc. 20 Jay Street, Suite 740 Brooklyn, NY 11201 <i>For its project, the Crescendo con la Musica Foundation.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
New York Foundation for the Arts, Inc. 20 Jay Street, Suite 740 Brooklyn, NY 11201 <i>For its project, the New York Performance Artists Collective.</i>	PC	\$0	\$135,000	\$0	\$135,000	\$0
New York Harbor Foundation, Inc. 10 South Street Building, Slip 7 New York, NY 10004 <i>For its Billion Oyster Project.</i>	PC	\$0	\$15,000	\$0	\$15,000	\$0
The New York Immigration Coalition, Inc. 137-139 West 25th Street 12th Floor New York, NY 10001-7277 <i>For its grassroots civic base-building efforts.</i>	PC	\$100,000	\$0	\$0	\$100,000	\$0
The New York Immigration Coalition, Inc. 137-139 West 25th Street 12th Floor New York, NY 10001-7277 <i>For general support.</i>	PC	\$0	\$150,000	\$0	\$150,000	\$0
Nexus Energy Center 6767 Old Madison Pike Progress Center, Building 7, Suite 730 Huntsville, AL 35806 <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Nine Seven Two-Advancement of Citizen Journalism 40 Shderot Chen Street Tel Aviv, 64167 Israel <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000
Nonprofit Information Networking Association 112 Water Street, Suite 400 Boston, MA 02109 <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$25,000	\$25,000
The Ocean Conservancy, Inc. 1300 19th Street, NW 8th Floor Washington, D.C. 20036 <i>For its ocean acidification project.</i>	PC	\$0	\$175,000	\$0	\$175,000	\$0

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Ocean Foundation 1320 19th Street, NW 5th Floor Washington, D.C. 20036 <i>For its Friends of the Global Ocean Acidification Observing Network Fund project.</i>	PC	\$0	\$10,000	\$0	\$10,000	\$0
Oceana, Inc. 1350 Connecticut Avenue, NW 5th Floor Washington, D.C. 20036 <i>For general support.</i>	PC	\$0	\$250,000	\$0	\$250,000	\$0
Oil Change International 714 G Street, SE Suite 202 Washington, D.C. 20003 <i>For general support.</i>	PC	\$0	\$400,000	\$0	\$323,152	\$76,848
Optimist Association Marsala Tita bb Bosilegrad Serbia <i>For its project, Partnership Beats Poverty.</i>	PC	\$0	\$20,000	\$0	\$20,000	\$0
Our Children's Trust P.O. Box 5181 Eugene, OR 97405 <i>For general support.</i>	PC	\$0	\$30,000	\$0	\$15,000	\$15,000
Pacific News Service 209 9th Street, Suite 200 San Francisco, CA 94024 <i>For its program, New America Media.</i>	PC	\$0	\$40,000	\$0	\$40,000	\$0
Page Seventy-Three Productions, Inc. 138 South Oxford Street Suite 4E Brooklyn, NY 11217 <i>For general support.</i>	PC	\$0	\$35,000	\$0	\$35,000	\$0
Partnership for Public Service, Inc. 1100 New York Avenue, NW Suite 200 East Washington, D.C. 20005 <i>For a conference at the Pocantico Center, Achieving Public Good: Why Organizational Capacity Matters, and follow-up to execute conference outcomes.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0

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PeaceWorks Network Foundation P.O. Box 1577 Old Chelsea Station New York, NY 10113 <i>For its OneVoice Movement project.</i>	PC	\$0	\$80,000	\$0	\$80,000	\$0
Performance Zone, Inc. 75 Maiden Lane Suite 906 New York, NY 10038 <i>For its project, Pontus Lidberg Dance.</i>	PC	\$85,000	\$0	\$0	\$85,000	\$0
Performance Zone, Inc. 75 Maiden Lane Suite 906 New York, NY 10038 <i>For its New York Dance and Performance Awards, The Bessies.</i>	PC	\$0	\$10,000	\$0	\$5,000	\$5,000
Pesticide Eco-Alternatives Center Yunnan Thoughtful Action Apt. 1212, 1214, 1216, 12/F Block 14 Yunnan Yingxiang Quarter Chuanjin Road Kunming, Yunnan 650224 China <i>For capacity building.</i>	PC	\$55,000	\$0	\$0	\$55,000	\$0
Philanthropy for Active Civic Engagement 1201 15th Street, NW Suite 420 Washington, D.C. 20005 <i>For 2014 and 2015 membership and general support.</i>	PC	\$0	\$68,000	\$0	\$34,000	\$34,000
Philanthropy New York, Inc. 79 Fifth Avenue Fourth Floor New York, NY 10003 <i>For its Fund for 2025 initiative.</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000
Ploughshares Fund 1808 Wedemeyer Street, Suite 200 San Francisco, CA 94129 <i>For its Iran campaign.</i>	PC	\$500,000	\$0	\$0	\$500,000	\$0
Ploughshares Fund 1808 Wedemeyer Street, Suite 200 San Francisco, CA 94129 <i>For its Iran campaign.</i>	PC	\$0	\$500,000	\$0	\$500,000	\$0

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PART XV, Lines 3(a) and 3(b) Grants and Contributions Paid During the Year and Approved for Future Payment

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NOTE: There are no relationships between any individual grantee and any foundation manager or substantial contributor.

Recipient and Purpose	Tax Status	Beginning Balance	Approved in 2014	Lapsed in 2014	Paid in 2014	Ending Balance
Polaris Institute USA 2235 Lakeshore Road P.O. Box 106 Essex, NY 12936 <i>For its project, the Our World Is Not For Sale network, for its work related to the World Trade Organization.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
Premiere Commission, Inc. 466 15th Street Suite 9 Brooklyn, NY 11215 <i>For the commission of five new works of music and a performance at The Pocantico Center.</i>	PC	\$0	\$21,000	\$0	\$21,000	\$0
Trustees of Princeton University Office of Research and Project Administration 4 New South Building Princeton, NJ 08544-5264 <i>For the Program on Science and Global Security at the Woodrow Wilson School of Public and International Affairs.</i>	PC	\$0	\$85,000	\$0	\$85,000	\$0
The Project on Government Oversight, Inc. 1100 G Street, NW Suite 900 Washington, D.C. 20005 <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
The Project on Middle East Democracy, Inc. 1611 Connecticut Avenue, NW Suite 300 Washington, D.C. 20036 <i>For its Egypt-related activities.</i>	PC	\$0	\$145,000	\$0	\$145,000	\$0
Project Vote, Inc. 805 15th Street, NW Suite 250 Washington, D.C. 20005 <i>For its Public Agency Voter Registration project.</i>	PC	\$0	\$57,500	\$0	\$57,500	\$0
Proteus Fund, Inc. 15 Research Drive, Suite B Amherst, MA 01002 <i>For its initiative, Piper Fund.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
Proteus Fund, Inc. 15 Research Drive, Suite B Amherst, MA 01002 <i>For its project, Solidaire.</i>	PC	\$0	\$25,000	\$0	\$19,139	\$5,861

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Public Campaign 1133 19th Street, NW Suite 900 Washington, D.C. 20036 <i>For general support.</i>	PC	\$100,000	\$0	\$0	\$100,000	\$0
Public Citizen Foundation, Inc. 1600 20th Street, NW Washington, D.C. 20009 <i>To support a global convening of organizations working to align global investment rules with democratic, environmental, and development objectives.</i>	PC	\$0	\$15,000	\$0	\$15,000	\$0
Public Citizen Foundation, Inc. 1600 20th Street, NW Washington, D.C. 20009 <i>For its Global Trade Watch program.</i>	PC	\$0	\$250,000	\$0	\$250,000	\$0
Public Citizen Foundation, Inc. 1600 20th Street, NW Washington, D.C. 20009 <i>For its money-in-politics work and for its work with the Corporate Reform Coalition.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
Public Policy and Education Fund of New York, Inc. 94 Central Avenue Albany, NY 12206 <i>For its project, Fair Elections for New York.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Public Works The Center for the Public Sector 3801 N. Capital of Texas Highway E-240/446 Austin, TX 78746 <i>For general support.</i>	PC	\$125,000	\$0	\$0	\$125,000	\$0
Rainforest Action Network 425 Bush Street San Francisco, CA 94108 <i>For its Energy and Finance program.</i>	PC	\$50,000	\$0	\$0	\$50,000	\$0
Regional Environmental Center for Central and Eastern Europe Ady Endre ut 9-11 Szentendre, 2000 Hungary <i>For the fourth phase of its project, Educational Tools for Sustainable Development in the Western Balkans: Turning Education into Action for Sustainable Futures.</i>	PC	\$0	\$250,000	\$0	\$125,000	\$125,000

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Recipient and Purpose	Tax Status	Beginning Balance	Approved in 2014	Lapsed in 2014	Paid in 2014	Ending Balance
Republikon Foundation for Science, Education and Research Harangvirág utca 7 Budapest, 1026 Hungary <i>For its project, Investigating, Monitoring, and Exposing Electoral Fraud in Hungary.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
Restaurant Opportunities Center of New York 275 Seventh Avenue, 17th Floor New York, NY 10001 <i>For general support.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Jerome Robbins Foundation 156 West 56th Street, Suite 900 New York, NY 10019 <i>For its 2014 Floria V. Lasky Symposium.</i>	PF	\$0	\$10,000	\$0	\$10,000	\$0
Rockefeller Philanthropy Advisors 6 West 48th Street 10th Floor New York, NY 10036 <i>For its Climate Nexus project.</i>	PC	\$0	\$200,000	\$0	\$200,000	\$0
Rockefeller Philanthropy Advisors 6 West 48th Street 10th Floor New York, NY 10036 <i>For its Climate Nexus project.</i>	PC	\$0	\$85,000	\$0	\$85,000	\$0
Rockefeller Philanthropy Advisors 6 West 48th Street 10th Floor New York, NY 10036 <i>For its project, the D5 Initiative.</i>	PC	\$0	\$100,000	\$0	\$75,000	\$25,000
Rockefeller Philanthropy Advisors 6 West 48th Street 10th Floor New York, NY 10036 <i>For the international work of its Fund for Shared Insight.</i>	PC	\$0	\$50,000	\$0	\$25,000	\$25,000
The Franklin and Eleanor Roosevelt Institute 570 Lexington Avenue Fifth Floor New York, NY 10022 <i>For its Campus Network program.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0

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Recipient and Purpose	Tax Status	Beginning Balance	Approved in 2014	Lapsed in 2014	Paid in 2014	Ending Balance
San Francisco Chamber of Commerce Foundation 235 Montgomery Street, 7th Floor San Francisco, CA 94104 <i>For its Chambers for Innovation and Clean Energy project.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
San Francisco Film Society 39 Mesa Street, Suite 110 The Presidio San Francisco, CA 94129 <i>For its Nuclear Requiem film project.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
Scenic Hudson, Inc. One Civic Center Plaza Suite 200 Poughkeepsie, NY 12601 <i>For its LG Electronics Tower Campaign.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
Search for Common Ground 1601 Connecticut Avenue, NW Suite 200 Washington, D.C. 20009-1035 <i>For its U.S.-Iran program.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
Seventh Generation Advisors 1223 Wilshire Boulevard, Suite 776 Santa Monica, CA 90403 <i>For its work with the R20 Regions of Climate Action.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
Sierra Club Foundation 85 Second Street Suite 750 San Francisco, CA 94105 <i>For its Beyond Coal and Tar Sands projects.</i>	PC	\$200,000	\$0	\$0	\$200,000	\$0
Sierra Club Foundation 85 Second Street Suite 750 San Francisco, CA 94105 <i>For the Building Support for Responsible Trade Project.</i>	PC	\$0	\$175,000	\$0	\$100,000	\$75,000
Sierra Club Foundation 85 Second Street Suite 750 San Francisco, CA 94105 <i>For its International Financial Institution Reform project.</i>	PC	\$0	\$125,000	\$0	\$125,000	\$0

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Recipient and Purpose	Tax Status	Beginning Balance	Approved in 2014	Lapsed in 2014	Paid in 2014	Ending Balance
Sightline Institute 1402 Third Avenue, Suite 500 Seattle, WA 98101 <i>For its Pacific Coast Collaborative project.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
Social Science Research Council One Pierrepont Plaza, 15th Floor Brooklyn, NY 11201 <i>For its interdisciplinary research project on air pollution, health, and development.</i>	PC	\$0	\$150,000	\$0	\$150,000	\$0
Social Science Research Council One Pierrepont Plaza, 15th Floor Brooklyn, NY 11201 <i>For its China Environment and Health initiative.</i>	PC	\$0	\$450,000	\$0	\$225,000	\$225,000
Social Science Research Council One Pierrepont Plaza, 15th Floor Brooklyn, NY 11201 <i>For its program, Anxieties of Democracy.</i>	PC	\$0	\$30,000	\$0	\$30,000	\$0
South Centre Ch. du Champ d'Anier 17 P.O. Box 228 Geneva 19 Geneva, 1211 Switzerland <i>For general support.</i>	PC	\$200,000	\$0	\$0	\$200,000	\$0
Sponsors for Educational Opportunity, Inc. 55 Exchange Place New York, NY 10005 <i>For its Career Program in Philanthropy.</i>	PC	\$0	\$10,000	\$0	\$10,000	\$0
Stichting Corporate Europe Observatory 26 Rue d'Edimbourg Brussels, 1050 Belgium <i>For its work on economic integration negotiations.</i>	PF	\$0	\$50,000	\$0	\$50,000	\$0
Stichting European Climate Foundation Riviermarkt 5 The Hague, 2513 AM Netherlands <i>For the Pooled Fund for International Energy.</i>	PC	\$100,000	\$0	\$0	\$100,000	\$0
Stichting European Climate Foundation Riviermarkt 5 The Hague, 2513 AM Netherlands <i>For its Net-Zero Strategy & Options project.</i>	PC	\$0	\$20,000	\$0	\$20,000	\$0
Stichting European Climate Foundation Riviermarkt 5 The Hague, 2513 AM Netherlands <i>For its Net-Zero Strategy initiative.</i>	PC	\$0	\$150,000	\$0	\$50,000	\$100,000

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Stichting Global Partnership for the Prevention of Armed Conflict Laan van Meerdervoort 70, 6th Floor The Hague, 2517 AN Netherlands <i>For its consortium to strengthen peacebuilding policy through civil society empowerment.</i>	PC	\$350,000	\$0	\$0	\$257,088	\$92,912
Stichting Transnational Institute P.O. Box 14656 Amsterdam, 1001 LD Netherlands <i>For work on trade and investment.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0
Strategies for the Global Environment 2101 Wilson Boulevard, Suite 550 Arlington, VA 22201 <i>For its Transportation program.</i>	PC	\$0	\$50,000	\$0	\$47,970	\$2,030
The Sunlight Foundation 1818 N Street, NW Suite 300 Washington, D.C. 20036 <i>For general support.</i>	PC	\$0	\$40,000	\$0	\$40,000	\$0
Sustainable Markets Foundation 45 West 36th Street, 6th Floor New York, NY 10018-7635 <i>For its Eco-Accountability project.</i>	PC	\$0	\$75,000	\$0	\$75,000	\$0
The Telos Group P.O. Box 33248 Washington, D.C. 20033-3248 <i>For general support.</i>	PC	\$0	\$150,000	\$0	\$75,000	\$75,000
Third Generation Environmentalism, Ltd. 1250 24th Street, NW Suite 300 Washington, D.C. 20037 <i>For its Aristotle project.</i>	PC	\$0	\$150,000	\$0	\$50,000	\$100,000
Third World Network Berhad 131 Jalan Macalister Road Penang, 10400 Malaysia <i>For general support.</i>	PC	\$200,000	\$0	\$0	\$200,000	\$0

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Third World Network Ghana 9 Ollenu Street, East Legon P.O. Box 19452 Accra Ghana <i>For general support.</i>	PC	\$0	\$200,000	\$0	\$100,000	\$100,000
Tides Center P.O. Box 29907 San Francisco, CA 94129-0907 <i>For its Alliance in Support of the Afghan People project.</i>	PC	\$0	\$30,000	\$0	\$30,000	\$0
Tides Foundation Presidio Main Post, Building No. 37 P.O. Box 29903 San Francisco, CA 94129-0903 <i>For its project, SumOfUs Education Fund.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Tides Foundation Presidio Main Post, Building No. 37 P.O. Box 29903 San Francisco, CA 94129-0903 <i>For its VoteRunLead project.</i>	PC	\$0	\$60,000	\$0	\$60,000	\$0
Times Square District Management Association 1560 Broadway Suite 800 New York, NY 10036 <i>For its Public Art Program.</i>	PC	\$85,230	\$0	\$0	\$85,230	\$0
The Governing Council of the University of Toronto 720 Spadina Avenue Suite 218 Toronto, ON M5S 2T9 Canada <i>For its Mowat Center program.</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000
Trag Foundation Majke Jevrosime 19/I Belgrade, 106502 Serbia <i>For general support.</i>	PC	\$100,000	\$0	\$0	\$100,000	\$0
Trag Foundation Majke Jevrosime 19/I Belgrade, 106502 Serbia <i>For relief efforts in the wake of devastating floods in Serbia and Bosnia and Herzegovina.</i>	PC	\$0	\$20,000	\$0	\$20,000	\$0
Trag Foundation Majke Jevrosime 19/I Belgrade, 106502 Serbia <i>For its Civil Society House project in Serbia.</i>	PC	\$0	\$150,000	\$0	\$150,000	\$0

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Troja Group Rr. 24 Maji, Arberi p.n. Pristina, 10000 Kosovo <i>For its project, Speak Up!</i>	PF	\$0	\$60,000	\$0	\$30,000	\$30,000
Trustees of Tufts College Medford, MA 02155 <i>For the International Investment and Sustainable Development project of the Globalization and Sustainable Development program of its Global Development and Environment Institute.</i>	PC	\$82,045	\$0	\$0	\$82,045	\$0
U.S. Green Building Council, New York Chapter 20 Broad Street, Suite 709 New York, NY 10005 <i>For general support.</i>	PC	\$75,000	\$0	\$0	\$75,000	\$0
Union Theological Seminary in the City of New York 3041 Broadway New York, NY 10027 <i>For its Religions for the Earth: Spirituality and Faith-Based Action on Climate Change conference.</i>	PC	\$0	\$65,000	\$0	\$65,000	\$0
United Republic Education Fund P.O. Box 60008 Florence, MA 01062 <i>For general support.</i>	PC	\$0	\$60,000	\$0	\$60,000	\$0
United States Conference of Catholic Bishops 3211 4th Street, NE Washington, D.C. 20017-1194 <i>For its interfaith dialogue between Iranian and American religious leaders.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Urban Justice Center 123 William Street, 16th Floor New York, NY 10038 <i>For its Iraqi Refugee Assistance project.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
USAction Education Fund 1825 K Street, NW Suite 210 Washington, D.C. 20006 <i>For general support.</i>	PC	\$40,000	\$0	\$0	\$40,000	\$0

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Vermont Public Interest Research & Education Fund 141 Main Street, Suite 6 Montpelier, VT 05602 <i>For its Carbon Pollution Tax educational campaign.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Virginia Organizing, Inc. 703 Concord Avenue Charlottesville, VA 22903 <i>For organizational membership of its project, the Health and Environmental Funders Network.</i>	PC	\$0	\$1,500	\$0	\$1,500	\$0
Visual Arts Research and Resource Center Relating to the Caribbean 1825 Park Avenue, Suite 602 New York, NY 10035 <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000
Voice of the People 1779 Massachusetts Avenue, NW Suite 510 Washington, D.C. 20036 <i>For its project, Citizen Cabinet.</i>	PC	\$0	\$50,000	\$0	\$50,000	\$0
Washington Environmental Council 1402 3rd Avenue, Suite 1400 Seattle, WA 98101 <i>For its Pacific Coast Collaborative Skamania Conference.</i>	PC	\$0	\$25,000	\$0	\$25,000	\$0
Women and Memory Forum 12 Sulaiman Abaza St. Dokki Giza Egypt <i>For the production of promotional materials about the history of women's activism in Egypt.</i>	PC	\$0	\$71,500	\$0	\$0	\$71,500
Women's Action for New Directions Education Fund, Inc. 691 Massachusetts Avenue Arlington, MA 02476 <i>For its work on the Partnership to Strengthen Women's Political Empowerment and to Advance the Role of Women in Peace, Security, and Human Rights project.</i>	PC	\$0	\$100,000	\$0	\$100,000	\$0

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Works and Process, Inc. 708 Third Avenue Suite 1005 New York, NY 10017 <i>For its 30th anniversary season's strategic plan and special programs.</i>	PC	\$125,000	\$0	\$0	\$125,000	\$0
World Resources Institute 10 G Street, NE Washington, D.C. 20002 <i>For its research on climate change, air quality, and public health.</i>	PC	\$0	\$150,000	\$0	\$150,000	\$0
World Resources Institute 10 G Street, NE Washington, D.C. 20002 <i>For its Sustainable Finance Center.</i>	PC	\$0	\$200,000	\$0	\$200,000	\$0
Young Voices on Climate Change, Inc. P.O. Box 127 Thurmont, MD 21788 <i>For its project, Young Voices for the Planet.</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000
Youth America Grand Prix, Inc. 115 West 29th Street, Suite 803 New York, NY 10001 <i>For general support.</i>	PC	\$0	\$100,000	\$0	\$50,000	\$50,000
Zhongshan University Room 807, Science and Technology Park No. 135, Xingang West Road Guangzhou, Guangdong 510275 China <i>For its Center on Philanthropy.</i>	PC	\$180,000	\$0	\$0	\$180,000	\$0
Grand Total		\$8,505,551	\$25,888,696	\$106,063	\$29,846,298	\$4,441,886
Historical Rounding Difference Per G/L @ 12/31/2014		(\$2)	\$1	\$0	\$0	(\$1)
2014 Matching Gifts (see following attached schedule)					\$33,822	
					Total Part XV, line 3(a)	\$29,880,120
					Total Part XV, line 3(b)	\$4,441,885

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Key to Tax Status

PF	Private non-operating foundation, U.S.-based or foreign equivalent
POF	Private operating foundation, U.S.-based or foreign equivalent
EOF	Exempt operating foundation (section 4940(d))
PC	Public charity as described in section 509(a)(1) or (2), U.S.-based or foreign equivalent
GOV	Domestic or foreign government
SO-DP	Type I,II, or II functionally integrated supporting organization if a disqualified person of the private foundation controls the supporting organization or a supported organization (sections 509(a)(3) and 4942(g)4))
SO I	Type I supporting organization (sections 509(a)(3) and sections 509(a)(3)(B)(i) other than an SO-DP
SO II	Type II supporting organization (sections 509(a)(3) and sections 509(a)(3)(B)(ii) other than an SO-DP
SO III	Functionally integrated Type III supporting organization (sections 509(a)(3), sections 509(a)(3)(B)(iii), and 4943(f)(5)(B) other than an SO-DP
SO III	Non-functionally integrated Type III supporting organization (sections 509(a)(3), sections 509(a)(3)(B)(iii), and 4943(f)(5)(B) other than an SO-DP
TPS	Testing for public safety organization (section 509(a)(4))
NC	Organization not otherwise classified
I	Individual

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DETAIL OF EMPLOYEE MATCHING GIFTS

The Rockefeller Brothers Fund makes matching grants only to U.S. publicly supported organizations.

Organization	Date of Gift	Matching Gift Amount
"I Have A Dream" Foundation-New York	7/1/2014	500
American Cancer Society	2/12/2014	30
American Cancer Society	11/25/2014	25
American Cancer Society	12/16/2014	25
American Diabetes Association	3/26/2014	50
American Friends of Attingham	12/31/2014	500
American Museum of Natural History	6/25/2014	65
Asian Cultural Council	11/4/2014	1,000
Bloomington School of Music	12/31/2014	100
Boston Latin School Association	4/16/2014	100
Boston Latin School Association	12/31/2014	200
Brown University	12/16/2014	200
Byrd Hoffman Water Mill Foundation	10/22/2014	1,200
Center For Rural Strategies, Inc.	12/31/2014	500
Chashama	6/18/2014	500
Chester Theatre Group	3/26/2014	200
Chester Theatre Group	9/24/2014	115
Children's Chorus Of Washington	12/16/2014	150
Citi Performing Arts Center's Education Program	3/19/2014	60
Citymeals-On-Wheels	11/12/2014	30
Columbia University	10/22/2014	150
Commonweal	12/31/2014	250
Cornell University	3/31/2014	2,000
Dancers Responding to Aids	7/9/2014	500
Doctors Without Borders	12/31/2014	100
Earth Island Institute, Inc.	12/31/2014	100
Elmira College	2/12/2014	50
Elmira College	10/29/2014	50
Fordham Preparatory School	8/20/2014	100
George Street Playhouse,Inc	5/8/2014	100
George Street Playhouse,Inc	12/31/2014	100
Greater Hudson Heritage Network	12/16/2014	177
Hudson River Museum	12/16/2014	250
Independent Sector	10/29/2014	1,500
Infinite Family	3/12/2014	100
Inside Climate News	12/31/2014	500
Interfaith Nutrition Network	12/16/2014	200

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The Rockefeller Brothers Fund makes matching grants only to U.S. publicly supported organizations.

Organization	Date of Gift	Matching Gift Amount
International Women's Health Coalition	10/29/2014	150
Iyengar Yoga Association Of Greater New York	12/31/2014	130
Just Vision	12/31/2014	250
Kids Club of Tarrytown & Sleepy Hollow, Inc.	12/31/2014	100
Kids From Wisconsin	5/8/2014	100
Lutheran Medical Center	12/31/2014	750
Lyndhurst, National Trust for Historic Preservation	12/16/2014	500
Maine Coast Heritage Trust	12/31/2014	250
Miami City Ballet	3/6/2014	500
Multidisciplinary Association for Psychedelic Studies	12/31/2014	200
Nesst	6/18/2014	100
New York City Audubon Society, Inc	12/31/2014	50
New York City Center, Inc.	12/31/2014	100
New York Foundation For The Arts	4/2/2014	1,000
New York Philharmonic	12/16/2014	200
New York Public Radio	6/18/2014	60
New York Women's Foundation	12/16/2014	20
Ossing Food Pantry	12/31/2014	100
Pascal Rioult Dance Theatre, Inc.	12/31/2014	500
Paul Taylor Dance Co.	4/2/2014	250
Philanthropy New York, Inc.	12/31/2014	75
Philanthropy New York, Inc.	12/31/2014	175
Princeton In Asia	12/31/2014	750
Princeton University	6/25/2014	600
Rainforest Action Network	11/4/2014	500
Regis High School	4/23/2014	2,000
Regis High School	12/31/2014	1,500
Rising Tide Capital	6/18/2014	1,500
Rising Tide Capital	12/16/2014	1,500
Rivertown Artists Workshop	12/31/2014	50
Saddle River Day School	5/8/2014	350
Saint Ann's School	12/31/2014	600
Sierra Club Foundation	12/31/2014	200
Silent Animal Voices Echo, Inc	12/16/2014	100
SPCA of Central Florida	2/12/2014	80
St. John Villa Academy	12/16/2014	500
St. Joseph's College	4/2/2014	50

ROCKEFELLER BROTHERS FUND, INC.
13-1760106
475 Riverside Drive, Suite 900
New York, New York 10115

FORM 990PF
YEAR 2014

DETAIL OF EMPLOYEE MATCHING GIFTS

The Rockefeller Brothers Fund makes matching grants only to U.S. publicly supported organizations.

Organization	Date of Gift	Matching Gift Amount
Stone Barns Center For Food & Agriculture	6/11/2014	75
Stone Barns Center For Food & Agriculture	12/16/2014	125
Stone Educational Systems, Inc	12/3/2014	4,000
Students for a Free Tibet	4/2/2014	450
Students for a Free Tibet	7/9/2014	220
The Asia Foundation	10/29/2014	150
The Field	2/26/2014	250
The Linnaean Society Of New York	10/22/2014	35
The Speyer Legacy School	12/31/2014	100
Trinity School: Annual Fund	12/31/2014	1,000
Union County Arts Center, Inc.	12/31/2014	100
Urban Justice Center	10/22/2014	200
Voided Check	1/1/2014	(2,000)
Westchester County Historical Society	9/10/2014	100
Women Make Movies: Ariadne Papagapitos	3/26/2014	200
Works And Process, Inc.	3/19/2014	1,200
		33,822
		33,822