

1954-55 RBF FILE

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Rockefeller Brothers Fund

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NEW YORK 20, NEW YORK

Rockefeller Brothers Fund

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*EFFECTIVE MARCH 15, 1956

Rockefeller Brothers Fund

1954-1955 Report

The two years covered by this report saw a continuance of the steady growth in the amount of contributions made by the Rockefeller Brothers Fund. Total contributions in 1954 were \$1,423,444 and in 1955, \$1,762,820. These figures compare with a total of \$1,177,430 in 1953.

Grants since the start of the Fund in 1940 totaled \$7,630,843 at the end of 1955.

Policies

The Fund's activities are carried on through a general and a special program and are guided by the following policy considerations:

General Program

Since its establishment, the Fund has made grants to local, national and international philanthropic organizations which depend upon the general public for funds. The

Trustees continue to make sizable annual contributions to the Fund toward the support of this General Program. In the Greater New York area, the Fund contributes to such community needs as civic improvement, cultural advancement, education, health, religion and welfare. As a general rule its contributions are to agencies whose activities are city-wide in scope since it cannot, because of the number involved, contribute directly to individual hospitals, churches, community centers and schools. The same principle is followed in the State of New York, where it contributes to agencies whose services are state-wide.

Outside of New York State its grants are directed to selected organizations with programs of general import. For the most part these organizations are national or international in scope, but occasionally an institution whose primary activity is local in character may be the center of a particular program which the Fund assists because it relates to a field of particular interest to the Fund.

In the case of grants to the budgetary or capital needs of established agencies, the Fund's contributions are purposely held to a relatively small proportion of the total need since the objective of the Fund in this area is to share with others in support of such institutions.

Special Program

In 1951, following the receipt of a major capital gift from Mr. John D. Rockefeller, Jr., the Fund's program was expanded to include support and in some cases direct operation of experimental or new undertakings. These undertakings lie in the fields of international relations; strengthened national life; conservation, population and resources, and mental health. The trustees take an active part in the formation and operation of these programs as well as in their support.

Many projects that the Fund supports fall into both General and Special Program categories. In other words, the Fund may have a particular interest in a field which it would support as part of its General Program, even without that interest.

Funds

The Rockefeller brothers and their sister, Abby Rockefeller Mauzé, contribute annually the major portion of the funds used for the General Program. The expanded Special Program was made possible by a contribution of \$58,000,000 from Mr. John D. Rockefeller, Jr.

Officers and Trustees

Abby Rockefeller Mauzé was elected a trustee in 1954. Mr. John D. Rockefeller, 3rd, who had served as president since 1941, guiding the Fund from its formative years through its expansion into the Special Program, was succeeded in that position on March 15, 1956, by Mr. Nelson A. Rockefeller.

Major Activities

International

Agricultural Research

IBEC Research Institute, created primarily to do research related to the agricultural economy of tropical and subtropical countries, received \$688,655 from the Fund during a three-year period ended December 31, 1955, for programs in Venezuela and Brazil. In 1955, it received an additional grant of \$625,000 for continuing research in Brazil, to be used over a five-year period.

In Venezuela, IBEC Research experimented with rice and corn production and chemical weed control and studied tillage problems of the heavy clay soils prevalent in the tropics. These experiments were the subjects of scientific reports by the organization.

The work in Brazil is concerned principally with improvement in production and processing of coffee and the study of agricultural chemicals, particularly chemical brush control in pastures. IBEC Research's recent study, "Experimental

Programs in Brazil," includes much pioneering work in coffee horticulture and processing and has evoked intense interest in coffee-growing regions as distant as Kenya.

*International
Exchange
of Art*

The Fund is contributing \$625,000 over a five-year period to the Museum of Modern Art's International Circulating Exhibits Program. The program is designed to promote greater understanding among countries of the world by presenting significant achievements of contemporary art in exhibitions both here and abroad.

In addition to circulating a number of exhibitions overseas and in this country, the International Program participated in 1955 in the organization of two major exhibitions for the Museum's 25th anniversary — "Textiles and Ornamental Arts of India" and "Latin American Architecture Since 1945." The Museum of Modern Art's international division also assumed over-all responsibility for two exhibitions sent to Paris for the "Salute to France." Selections from one of these exhibitions, a cross-section of American art works, have been shown in Switzerland, Germany, Spain, England, Holland and Austria.

*Education
in the
Middle East*

To assist the American University of Beirut in its research program in the basic educational needs of the Near and Middle East, the Fund is providing \$160,000 over a three-year period. This program also is making possible demonstrational activities and conferences designed to communicate the University's research findings to educational leaders from other countries of the area.

*Social
Research*

The Fund has granted the Institute for International Social Research \$1,000,000 to help in the establishment of the Institute and to assist in its operation.

The Institute is studying socio-psychological factors which affect relations among nations and peoples. In Western Europe, the Institute is analyzing shifts in attitudes and behavior on international issues resulting from changing Soviet policy and the increasing awareness of the devastating potentialities of hydrogen warfare. Another study is focusing on political behavior in France and Italy, with special attention to factors involved in the appeals of communism to the people of those countries.

Strengthened National Life

Geriatrics

As in previous years, the Fund participated in a broad program of activities concerned with strengthening our national life. Of particular interest, in view of the ever-increasing number of older persons in our society, is a three-year demonstration and study project in geriatrics being conducted by the Community Service Society of New York and financed by Fund contributions of \$225,000. Many specific problems of the aged have been studied in the past. The Society hopes now to bring together comprehensive data on the agencies and services available in the New York area and the ways in which these resources may best be used by the community in restoring the useful capacities of the aged and providing for treatment and protection where needed.

Welfare and the Courts

During 1954, the Community Service Society also received \$10,000 from the Fund for a special study on the relationship of social welfare agencies to court procedures in New York City and on the administration of laws relating to the family.

*Social
Work*

To further professional training in the field of social work, the Fund in 1954 agreed to contribute \$100,000 to the New York School of Social Work. In 1955, the Fund granted \$75,000 to the Council on Social Work Education for a three-year study of extension and improvement of professional training of public welfare personnel. There also was a grant of \$28,000 over a four-year period to the American Public Welfare Association in support of its program of improving the administration of public welfare programs.

*Sanitary
Code*

With a grant of \$30,000 the Fund in 1955 joined with others in financing a study for the revision of the New York City Sanitary Code, a project sponsored by the Department of Health of the City of New York.

*Vocational
Rehabilitation*

During 1954, the Fund agreed to make contributions totaling \$30,000 over a three-year period to enable the Institute for the Crippled and Disabled to initiate a follow-up service for former patients in the interest of improving its rehabilitation program.

Nursing

The National League for Nursing in 1954 undertook a study of the civilian utilization of the training and experience of military nursing personnel who have left the armed forces. It is hoped this will lead to training courses designed to adapt their skills for civilian use after they leave the services. This study was financed by Fund grants totaling \$22,500 and was conducted jointly by the League and the Institute of Research and Service in Nursing Education at Teachers College, Columbia University.

*Memorial
Center*

In 1954, the Fund gave \$263,129 to the Memorial Center for Cancer and Allied Diseases for the Center's Assurance Fund Campaign, which has a long-term goal of \$10,000,000. This contribution was made possible by a special gift to the Fund from Mr. John D. Rockefeller, Jr.

*Theological
Fellowships*

The Fund has established a theological school fellowship program which seeks to encourage young people of outstanding ability to enter the Protestant ministry as a profession.

The Fund has agreed to contribute up to \$100,000 a year for a three-year experimental program, which is being administered through the American Association of Theological Schools Fund, Inc. During the 1954-55 academic year, when the program was getting started, five fellowships were awarded. In 1955-56, 46 young people received fellowships.

Fellowships are given primarily to undergraduates and recent college graduates. The fellowships are limited to the first year of study, but it is hoped that a majority of the fellows will continue their theological education. In any event, the Fund believes that seminary experience will help them to contribute to the religious strength of the country.

Conservation, Population and Resources

*National
Park*

Jackson Hole Preserve, Inc., a conservation foundation, has undertaken a program to preserve a large part of the Island of St. John in the Virgin Islands for national park purposes. Approximately half of the island has been acquired by Jackson Hole Preserve. In 1955, the Fund agreed to make \$250,000 available over a two-year period for the purchase of additional land.

Population Studies

The Fund's interest in the field of human resources was advanced in 1955 by its agreement to support The Population Council, Inc., during the succeeding three years with grants totalling \$460,000. Since its establishment in 1952, The Population Council has devoted itself to the encouragement of the scientific study of the major problems of population as they exist in different parts of the world.

Mental Health

Education and Treatment

Sharing in the widening national concern over the problem of mental health, the Fund has made a number of grants to agencies doing research and providing services in this field. Among the initial grants was one in 1955 of \$50,000 to assist The National Association for Mental Health in a much needed expansion of its program, particularly as it relates to state and local affiliates. The Association seeks to coordinate and stimulate public education and the establishment of preventive and treatment facilities for the mentally ill.

Industrial Psychiatry

During 1955, the Fund agreed to contribute a total of \$105,000 over a three-year period to pioneer at The Menninger Foundation a program of special research, training and demonstration in the application of psychiatric principles to industrial problems.

Community Study

The Yorkville Community Mental Health Research Project, a comprehensive exploration of the determinants of mental illness in a heterogeneous urban community, is being conducted by Cornell University Medical College with support from the Fund totaling \$40,000.

*Agencies Receiving Contributions 1954-1955**

	1954	1955
Adoption Service of Westchester	\$ 10,000	\$ 10,000
American Association for the United Nations	7,500	5,000
American Association of Psychiatric Social Workers		5,000
American Association of Theological Schools Fund	<i>50,000</i>	<i>100,000</i>
American Council for Emigrés in the Professions	5,000	5,000
American Diabetes Association		7,500
American Friends Service Committee	15,000	15,000
	<i>10,000</i>	<i>7,500</i>
American-Korean Foundation	<i>25,000</i>	
American Library Association		4,650
American Museum of Natural History	2,500	2,500
American Planning and Civic Association	500	500
American Public Welfare Association		12,500
American Red Cross	7,500	7,500
		<i>5,000</i>
American University of Beirut	<i>60,660</i>	
Arctic Institute of North America	7,500	
Arthritis and Rheumatism Foundation	1,000	1,000
Association on American Indian Affairs		1,000
Austen Riggs Center	<i>15,000</i>	<i>10,000</i>
Big Brother Movement	2,500	2,500
Big Sisters	1,000	1,000
Board of Education of the City of New York	<i>11,500</i>	<i>11,270</i>
Boy Scouts of America, Greater New York Councils	3,500	3,500
Brooklyn Bureau of Social Service and Children's Aid Society	2,000	2,000
Brooklyn Institute of Arts and Sciences	2,500	2,500
Catholic Charities of the Archdiocese of New York	5,000	5,000
Children's Aid Society	2,500	2,500
Children's Village		2,500
Citizens' Committee on Children of New York City	2,500	
City Center of Music and Drama	2,500	2,500
Committee for Religion in American Life	1,500	
Community Service Society of New York	20,000	20,000
	<i>10,000</i>	
	<i>35,000</i>	<i>85,000</i>
Conservation Foundation	10,000	10,000
Cornell University Medical College	<i>15,000</i>	<i>12,500</i>
Council on Social Work Education		16,000
Department of Health of the City of New York		30,000
Educational Testing Service		11,500
Family Service of Westchester		2,000
Federation of Jewish Philanthropies of New York	5,000	5,000
Federation of Protestant Welfare Agencies	2,500	2,500

*Figures in italics were contributions for special projects or activities; all others were for general operating purposes.

Agencies Receiving Contributions 1954-1955 (continued)

	1954	1955
Foreign Policy Association	\$ 2,500	\$ 5,000
Fund for Peaceful Atomic Development		25,000
Girl Scout Council of Greater New York	3,500	3,500
IBEC Research Institute	188,655	237,500
		100,000
Institute for International Social Research		150,000
Institute for the Crippled and Disabled	14,000	
Institute of International Education	5,000	5,000
		5,500
International Social Service, American Branch	1,000	3,000
Jackson Hole Preserve		125,000
Joint Campaign Committee-American Association for the United Nations and United States Committee for the United Nations		5,000
Legal Aid Society	2,000	2,000
Lenox Hill Neighborhood Association	10,000	
Manhattanville Community Centers	7,500	7,500
Memorial Center for Cancer and Allied Diseases	263,129	
Menninger Foundation		27,000
Metropolitan Museum of Art	2,500	2,500
Metropolitan Opera Association	2,500	2,500
Mobility, Inc.		7,500
Morningside Heights	5,000	5,000
Mount Desert Larger Parish	750	750
Museum of Modern Art	137,600	125,000
	55,800	20,000
Musicians Emergency Fund	1,000	
National Association for Mental Health	5,000	50,000
National Committee on Alcoholism	1,000	2,500
National Conference of Christians and Jews	2,500	2,500
National Council of the Churches of Christ in the United States of America	10,000	10,000
National 4-H Club Foundation of America	5,000	
National Information Bureau	1,000	1,000
National League for Nursing	6,000	16,500
National Multiple Sclerosis Society	3,000	2,000
National Probation and Parole Association	1,000	
National Urban League	25,000	6,000
New York Botanical Garden	2,500	2,500
	50,000	
New York City Cancer Committee of the American Cancer Society	2,000	2,000
New York Heart Association	2,000	

Figures in italics were contributions for special projects or activities; all others were for general operating purposes.

	1954	1955
New York Public Library	\$ 2,500	\$ 2,500
New York School of Social Work	<i>50,000</i>	<i>35,000</i>
New York State Citizens Committee for the Public Schools	5,000	3,500
New York University-Bellevue Medical Center	<i>6,000</i>	
New York Zoological Society	2,500	2,500
	<i>25,000</i>	
Occupational Health Institute		10,000
Phelps Memorial Hospital Association		<i>50,000</i>
Philharmonic-Symphony Society of New York	2,500	2,500
Planned Parenthood Federation of America	12,500	12,500
Protestant Council of the City of New York	7,500	7,500
Public Education Association	1,000	1,000
Regional Plan Association	2,500	2,500
		<i>14,000</i>
Riverdale Children's Association	2,500	2,500
Riverside Church	15,000	20,000
Riverside Fund to Help Build a Christian World	8,000	2,000
Sceva Speare Foundation		<i>25,000</i>
Spence-Chapin Adoption Service	<i>3,500</i>	<i>1,500</i>
Stadium Concerts	750	750
State Charities Aid Association	4,000	4,000
Travelers Aid Society of New York	1,500	1,500
Union Church of Pocantico Hills	2,600	2,600
United Hospital Fund of New York	20,000	20,000
United Negro College Fund	12,500	12,500
		<i>50,000</i>
United States Committee for the United Nations		5,000
United States Olympic Association		10,000
USO Fund of New York	20,000	20,000
Urban League Fund	10,000	10,000
Urban League of Westchester County	2,000	2,000
Visiting Nurse Service of New York	2,500	2,500
Welfare and Health Council of New York City	7,500	7,500
		<i>1,000</i>
Welfare Trust Fund of the Junior League of the City of New York	<i>10,000</i>	<i>6,300</i>
Westchester County Council of Social Agencies	500	500
Wiltwyck School for Boys	2,500	2,500
Woods Hole Oceanographic Institution		<i>10,000</i>
Young Men's Christian Association of the City of New York	2,500	2,500
Young Men's Christian Association, National Board	6,000	6,000
Young Women's Christian Association of the City of New York	2,500	2,500

Figures in italics were contributions for special projects or activities; all others were for general operating purposes.

Contributions by Years

1941	\$ 54,770
1942	148,150
1943	148,200
1944	166,062
1945	236,950
1946	263,050
1947	220,550
1948	279,300
1949	257,050
1950	265,850
1951	315,350
1952	911,867
1953	1,177,430
1954	1,423,444
1955	1,762,820
	<hr/>
	<u>\$7,630,843</u>

Balance Sheet DECEMBER 31, 1955

ASSETS:

Unrestricted Principal Fund

Cash in bank and on hand	\$ 5,153,958.86	
Securities	54,252,483.62	
Accrued income receivable, etc.	<u>118,556.11</u>	\$59,524,998.59

Fund for the Advancement of
Contemporary Social and
Cultural Studies

Cash in bank	107,570.28	
Accrued income receivable	<u>30,704.75</u>	<u>138,275.03</u>
		<u><u>\$59,663,273.62</u></u>

FUNDS AND LIABILITIES:

Unrestricted Principal Fund

Accounts payable	\$ 4,859.95	
Unpaid appropriations	3,634,340.00	
Fund balance	<u>55,885,798.64</u>	\$59,524,998.59

Fund for the Advancement of
Contemporary Social and
Cultural Studies

Unpaid appropriations	78,000.00	
Fund balance	<u>60,275.03</u>	<u>138,275.03</u>
		<u><u>\$59,663,273.62</u></u>

Statement of Changes in Funds

FOR PERIOD JANUARY 1, 1954 THROUGH DECEMBER 31, 1955

UNRESTRICTED PRINCIPAL FUND

BALANCE, January 1, 1954			\$ 792,026.92
	1954	1955	
ADDITIONS:			
Gifts received	\$ 537,503.63	\$ 539,215.00	
Dividends	585,899.45	745,672.61	
Interest	82,811.67	145,171.11	
Net gain from sales of securities	104,303.53	153,681.43	
Transfer of assets previously held in Restricted Principal Fund	<u>None</u>	<u>58,916,212.28</u>	
Total Additions	<u>\$1,310,518.28</u>	<u>\$60,499,952.43</u>	<u>61,810,470.71</u>
			\$62,602,497.63
DEDUCTIONS:			
Appropriations paid	\$1,159,315.00*	\$ 1,735,820.00	
Appropriations, net of cancellations, authorized for subsequent years	557,340.00	3,077,000.00	
Administrative expenses	<u>79,810.58</u>	<u>107,413.41</u>	
Total Deductions	<u>\$1,796,465.58</u>	<u>\$ 4,920,233.41</u>	<u>6,716,698.99</u>
BALANCE, December 31, 1955			<u>\$55,885,798.64</u>

*An appropriation of \$1,000 accrued in an earlier year was paid in 1954, bringing the total of cash payments to \$1,160,315.00.

RESTRICTED FUNDS

Fund for Special Purposes Designated by Donor

BALANCE, January 1, 1954			\$ 263,128.80
	1954	1955	
DEDUCTION:			
Appropriation paid	<u>\$263,128.80</u>	<u>None</u>	<u>263,128.80</u>
BALANCE, December 31, 1954			<u>\$ None</u>

Restricted Principal Fund

BALANCE, January 1, 1954			\$58,815,024.27
ADDITION:			
Net gain on sales of securities	<u>\$ 69,374.09</u>	<u>\$ 31,813.92</u>	<u>101,188.01</u>
			<u>\$58,916,212.28</u>

DEDUCTION:			
Transfer to Unrestricted Principal Fund	<u>None</u>	<u>58,916,212.28</u>	<u>58,916,212.28</u>
BALANCE, December 31, 1955			<u>\$ None</u>

Fund for the Advancement of Contemporary Social and Cultural Studies

BALANCE, January 1, 1954			\$ None
ADDITION:			
Income from trust of which fund is beneficiary	<u>\$ 52,590.26</u>	<u>\$ 112,684.77</u>	<u>165,275.03</u>
DEDUCTIONS:			
Appropriation paid	<u>None</u>	<u>27,000.00</u>	
Appropriation to be paid in subsequent years	<u>None</u>	<u>78,000.00</u>	<u>105,000.00</u>
BALANCE, December 31, 1955			<u>\$ 60,275.03</u>